

# Bridging nuclear *ab-initio* methods and Energy Density Functional Theories

## From ultracold atoms to nuclear matter

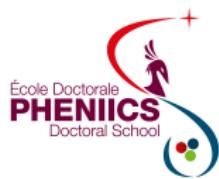
Antoine BOULET

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[antoine.boulet@ipno.in2p3.fr](mailto:antoine.boulet@ipno.in2p3.fr)

*Supervisor:* Denis LACROIX

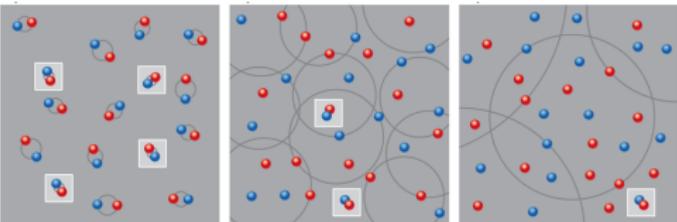
*Collaborators:* Jérémie BONNARD, Marcella GRASSO, Jerry YANG



# Content of the presentation

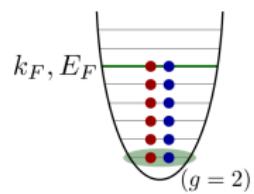
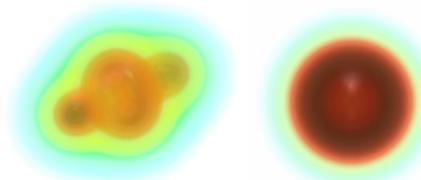
## 1 Motivations and context

- DFT vs EFT
- DFT at low density
- DFT at unitarity

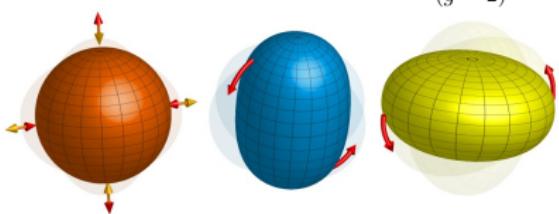


## 2 Non-empirical functional

- Resummed formula for unitary gas
- Non-empirical DFT for neutron matter



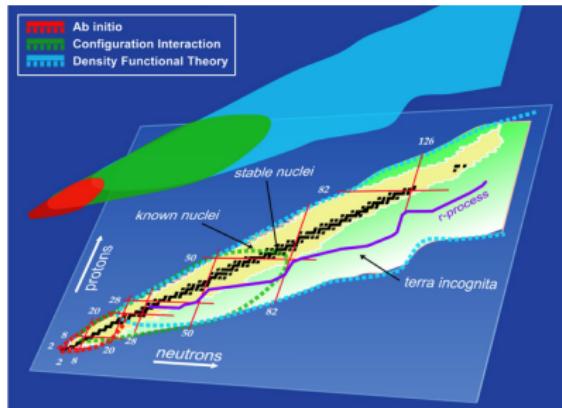
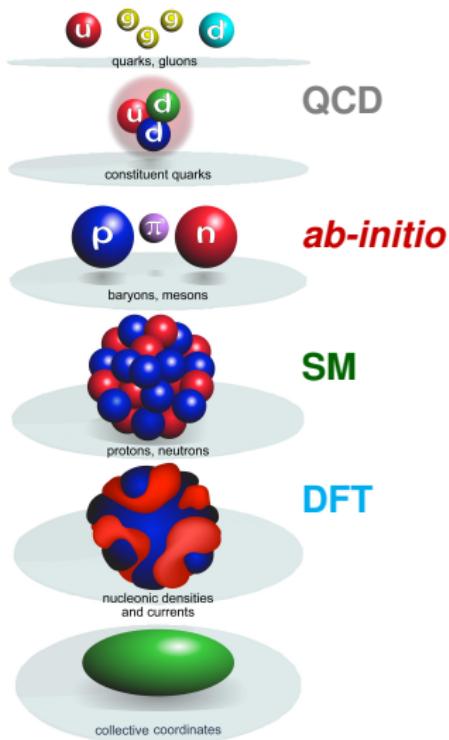
## 3 Self-energy resummation



## 4 Summary and outlook

# Nuclear theories landscape

Physics of Hadrons

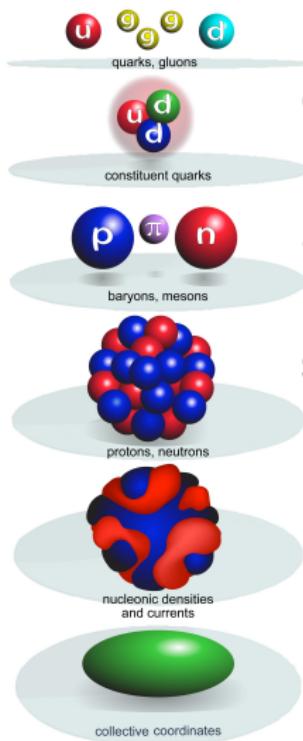


## Unified description of nuclear systems

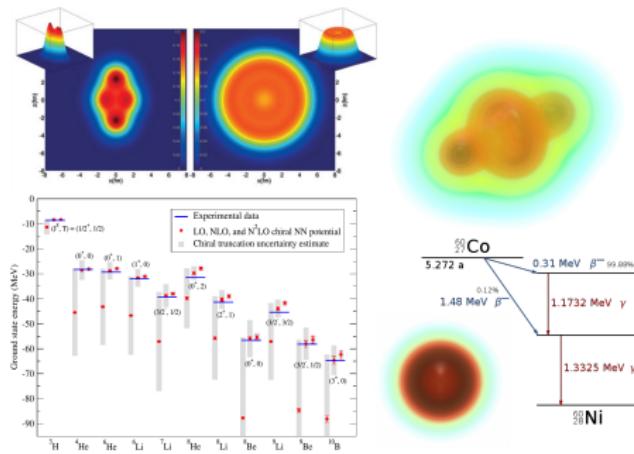
- GS structure of the atomic nuclei
- Small and large amplitude dynamics
- Thermodynamics (finite/infinite systems)

# Nuclear theories landscape

Physics of Hadrons



Physics of Nuclei

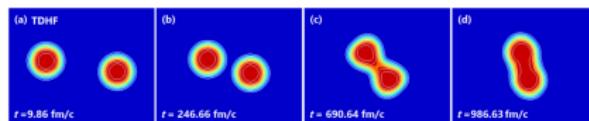
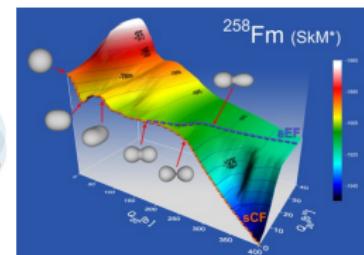
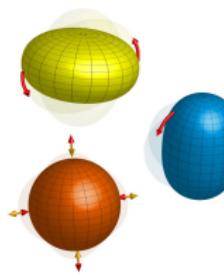
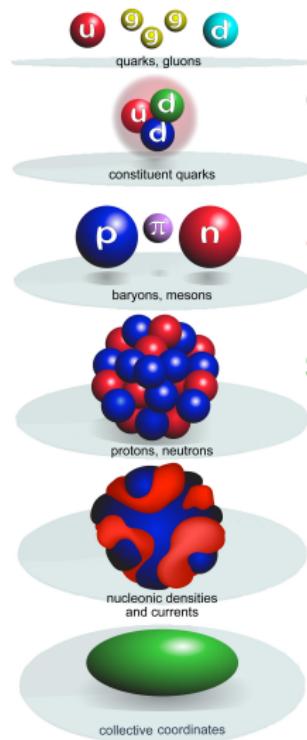


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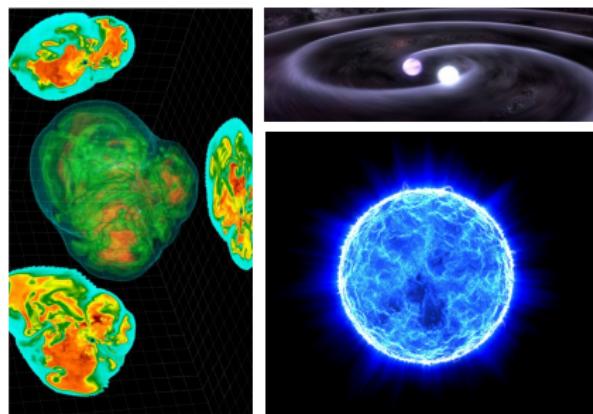
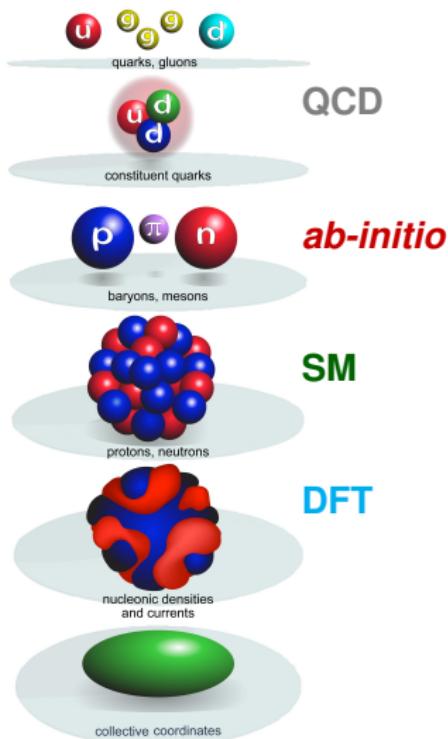


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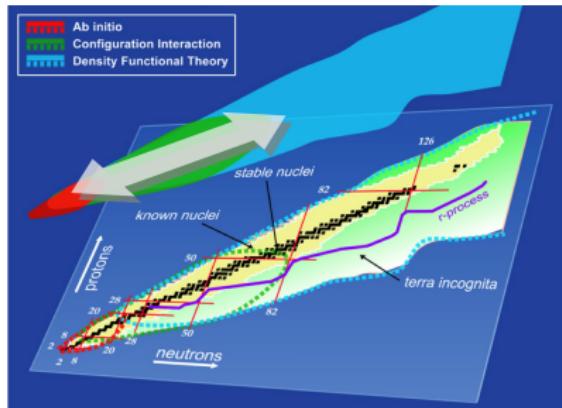
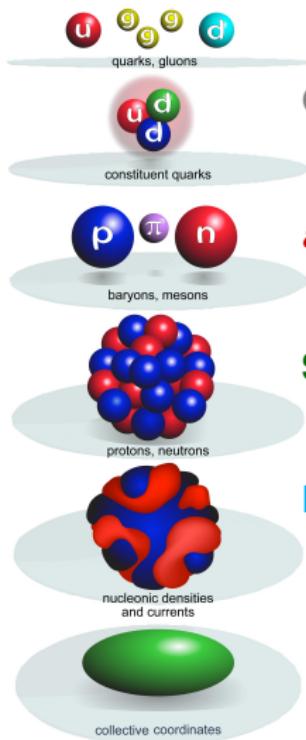


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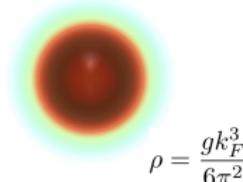
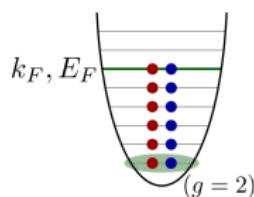
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## Strongly correlated Fermions in infinite matter

### Density Functional Theory (DFT) vs. Effective Field Theory (EFT)

#### DFT / (N)EDF

$$\underbrace{|\psi_{1,\dots,N}\rangle}_{N\text{-body}} \longmapsto \rho \longmapsto E[\rho]$$



$$\rho = \frac{g k_F^3}{6 \pi^2}$$

#### Nuclear DFT (Hartree-Fock like)

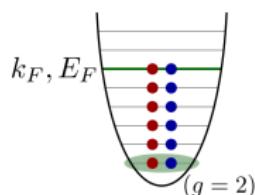
$$\begin{aligned} E[\rho] &= \left\langle \psi[\rho] \left| T + V_{\text{eff}} \right| \psi[\rho] \right\rangle \\ &= \langle T \rangle + c_1 \rho^{\beta_1} + c_2 \rho^{\beta_2} + \dots \end{aligned}$$

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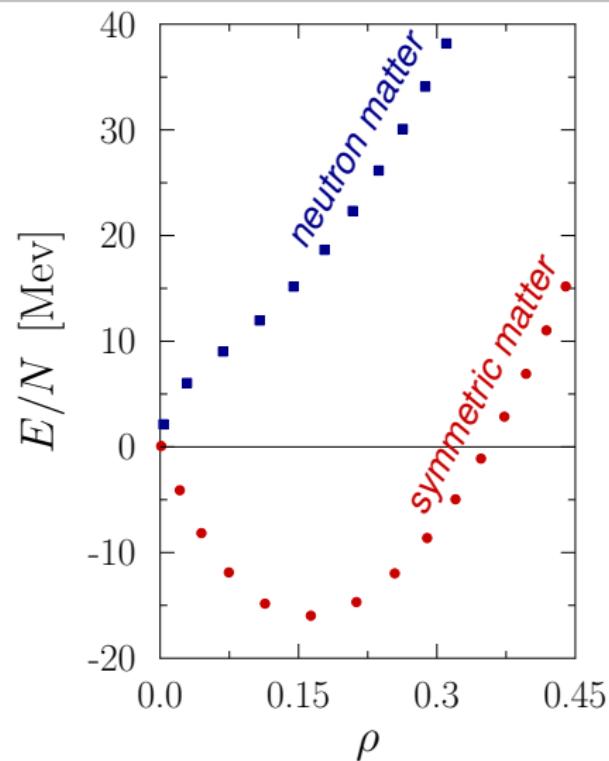
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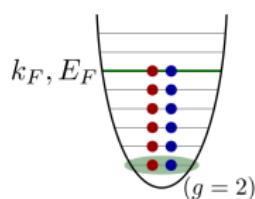


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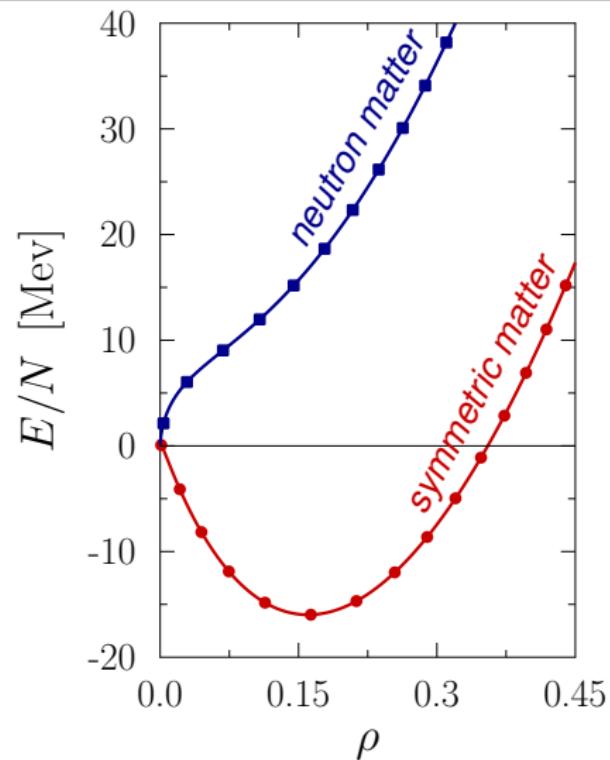
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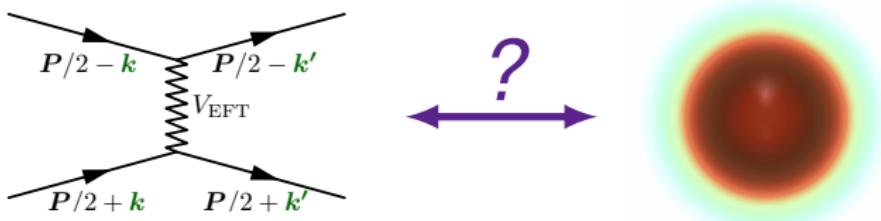
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# *How to relate LECs to DFT? and make it less empirical?*



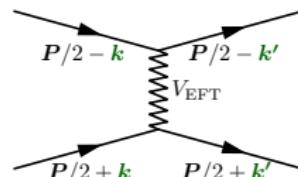
- ▶ Low density expansion
- ▶ Unitary limit

## Strongly correlated Fermions in infinite matter

Density Functional Theory (DFT) vs. Effective Field Theory (EFT)

### EFT at low density ( $s$ -scattering wave)

$$\langle \mathbf{k}' | V_{\text{EFT}} | \mathbf{k} \rangle = \frac{4\pi \mathbf{a}_s}{m}$$



$\mathbf{a}_s$ :  $s$ -wave scattering length

### Many-Body Perturbation Theory: Lee-Yang formula

$$|a_s k_F| \ll 1$$

$$\frac{E}{E_{FG}} = \frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F) + \frac{4}{21\pi^2} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots$$

$$E_{FG} = \frac{3}{5} \frac{\hbar^2 k_F^2}{2m} \rho$$

(Free gas energy)

$$k_F = (3\pi^2 \rho)^{1/3}$$

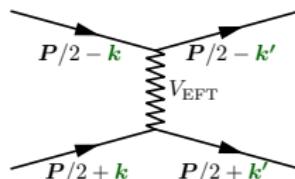
(Fermi momentum)

## Strongly correlated Fermions in infinite matter

### Density Functional Theory (DFT) vs. Effective Field Theory (EFT)

#### EFT at low density ( $s$ -scattering wave)

$$\langle \mathbf{k}' | V_{\text{EFT}} | \mathbf{k} \rangle = \frac{4\pi \mathbf{a}_s}{m} \left[ 1 + \frac{\mathbf{r}_e \mathbf{a}_s}{4} \left( \mathbf{k}^2 + \mathbf{k}'^2 \right) + \dots \right]$$



$\mathbf{a}_s$ :  $s$ -wave scattering length

$\mathbf{r}_e$ :  $s$ -wave effective range

#### Many-Body Perturbation Theory: *Lee-Yang formula*

$$|a_s k_F| \ll 1 \quad \text{and} \quad |\mathbf{r}_e k_F| \ll 1$$

$$\begin{aligned} \frac{E}{E_{FG}} &= \frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F) + \frac{4}{21\pi^2} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots \\ &\quad + \frac{1}{6\pi} (\mathbf{r}_e \mathbf{k}_F) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots \end{aligned}$$

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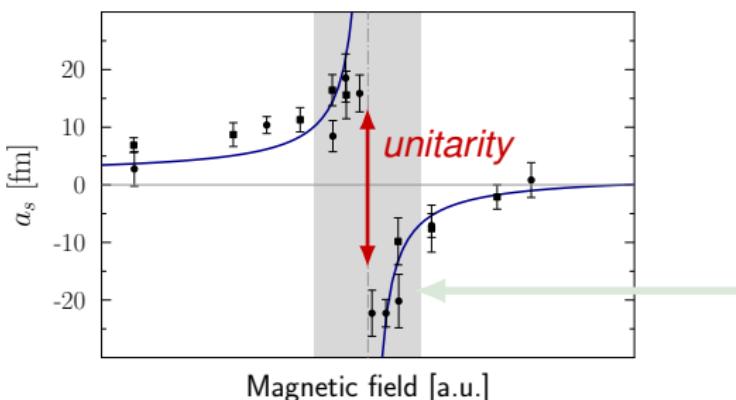
(Fermi momentum)

## New insight from unitary Fermi gas

### Physical scales of interest

DFT at unitarity ( $a_s \rightarrow \pm\infty$ )

$$\frac{E[\rho]}{E_{FG}} = \xi_0$$



[Regal & Jin, PRL 90 (2003)]

$$\xi_0 \simeq 0.37$$

(Bertsch parameter)

$$E_{FG} = \frac{3}{5} \frac{\hbar^2 k_F^2}{2m} \rho$$

(Free Gas energy)

For Neutron Matter

$$a_s = -18.9 \text{ fm}$$

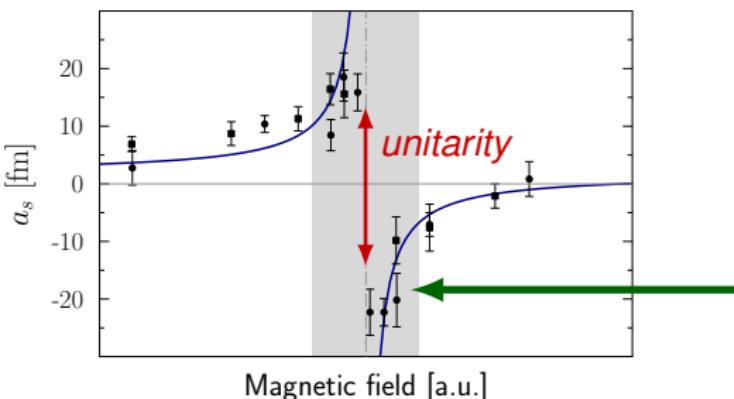
$$r_e = 2.7 \text{ fm}$$

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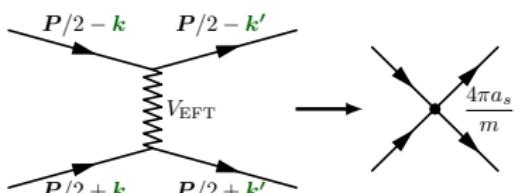
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## Resummed formula for unitary gas

### Ladder particle-particle diagrams resummation

#### Contact interaction (EFT)



[Steele, arXiv:nucl-th/0010066 (2000)]

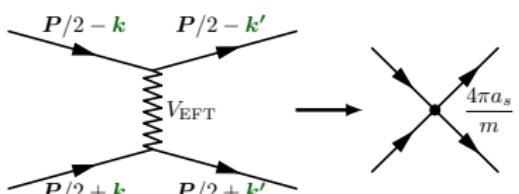
- ▶ Contains terms to **all order** in  $(a_s k_F)$
- ▶ **Finite limit** for Unitary gas ( $a_s \rightarrow \pm\infty$ )
- ▶ Results strongly depends on selected diagram

$$\begin{aligned}
 E &= \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots \\
 &= \left( \frac{4\pi a_s}{m} \right) \iint \frac{d^3 P}{(2\pi)^3} \frac{d^3 k}{(2\pi)^3} \frac{\theta_{\mathbf{k}}^-}{1 - (a_s k_F) F(P, k)}
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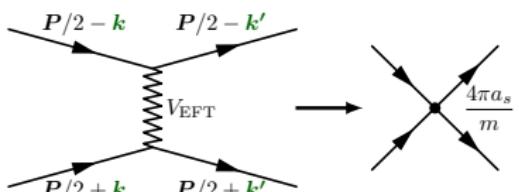
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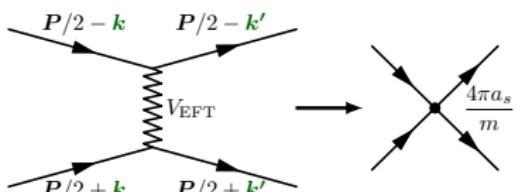
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## Resummed formula for unitary gas

### Pragmatic approach

$$\begin{aligned} E &= \left( \frac{4\pi a_s}{m} \right) \iint \frac{d^3 P}{(2\pi)^3} \frac{d^3 k}{(2\pi)^3} \frac{\theta_{\mathbf{k}}^-}{1 - (\mathbf{a}_s \mathbf{k}_F) \mathbf{F}(\mathbf{P}, \mathbf{k})} \\ &= \left[ \frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F) + \frac{4}{21\pi} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots \right] E_{\text{FG}} \end{aligned}$$

### Phase-space average

$$\mathbf{F}(\mathbf{P}, \mathbf{k}) \mapsto \frac{6}{35\pi} (11 - 2 \ln 2)$$

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[Schäfer *et al.*, NPA 762 (2005)]

► Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)^2$

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[Lacroix, PRA 94 (2016)]

► Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)$

► Bertsch parameter  
( $a_s k_F \rightarrow \infty$ ):

$$\xi_0 = 0.37 \quad (\text{exact})$$

## Resummed formula for unitary gas

### Pragmatic approach

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$$\frac{E}{E_{\text{FG}}} = \frac{\frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F)}{1 - \frac{10}{9\pi} (1 - \xi_0)^{-1} (\mathbf{a}_s \mathbf{k}_F)}$$

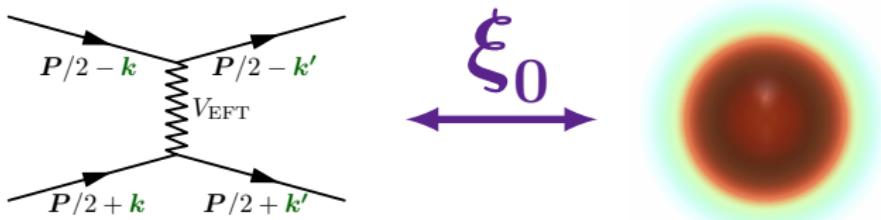
[Lacroix, PRA 94 (2016)]

► Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)$

► Bertsch parameter  
( $a_s k_F \rightarrow \infty$ ):

$$\xi_0 = 0.37 \quad (\text{exact})$$

*Non-empirical DFT based on  
LECs without free parameters:  
effective range generalization*



## Non-empirical DFT without free parameters

### Effective range effect and neutron matter

$$\frac{E}{E_{FG}} = \xi(a_s k_F, r_e k_F)$$

[Lacroix, PRA **94** (2016)]  
[Lacroix, AB, Grasso and Yang, PRC **95** (2017)]

$$= 1 - \underbrace{\frac{\mathbf{U}_0}{1 - (a_s k_F)^{-1} \mathbf{U}_1}}_{\text{zero-range part}} + \underbrace{\frac{(r_e k_F) \mathbf{R}_0}{[1 - \mathbf{R}_1(a_s k_F)^{-1}] [1 - \mathbf{R}_1(a_s k_F)^{-1} + \mathbf{R}_2(r_e k_F)]}}_{\text{effective range part}}$$

$(\mathbf{U}_0, \mathbf{U}_1, \mathbf{R}_0, \mathbf{R}_1, \mathbf{R}_2)$  adjusted without free parameter to reproduce:

- ▶ Low density limit  $(|a_s k_F| \ll 1)$
- ▶ Unitary limit  $(|a_s k_F| \rightarrow \infty)$

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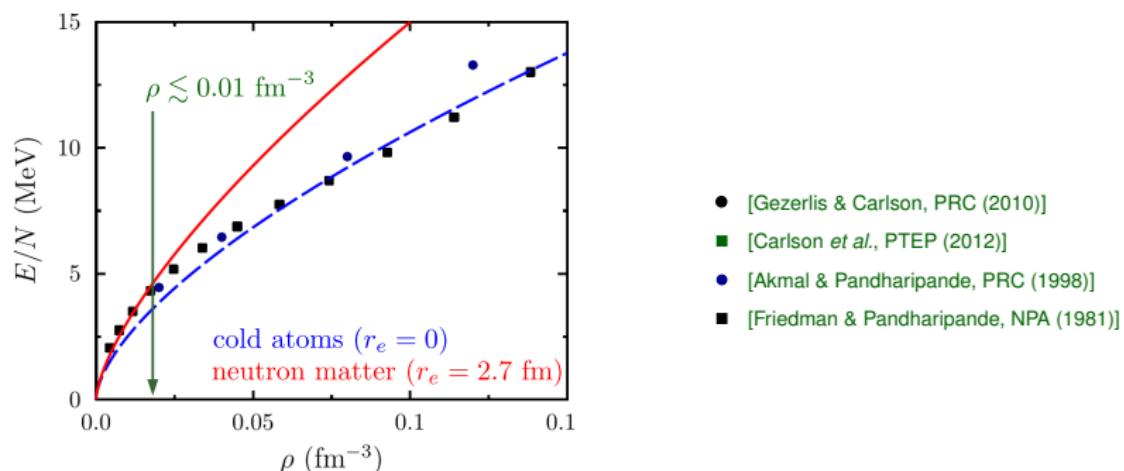
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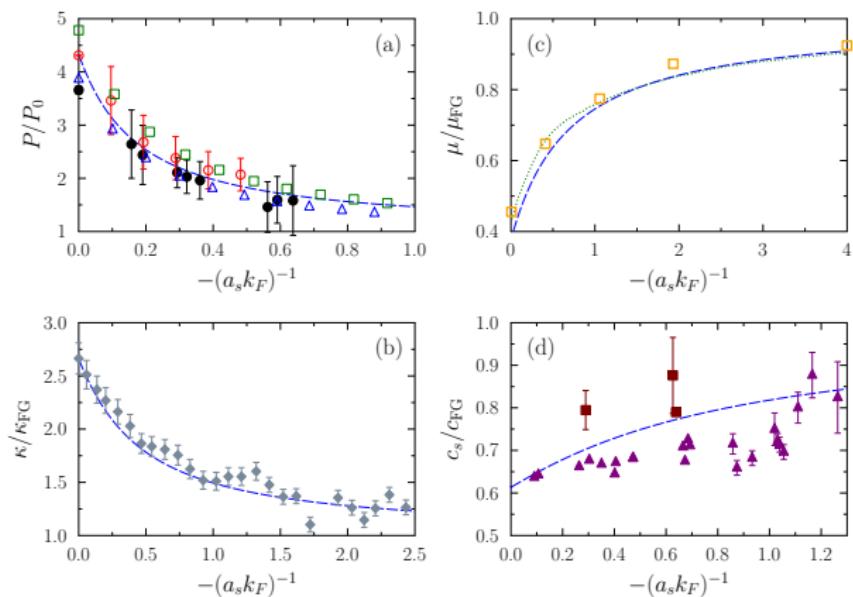
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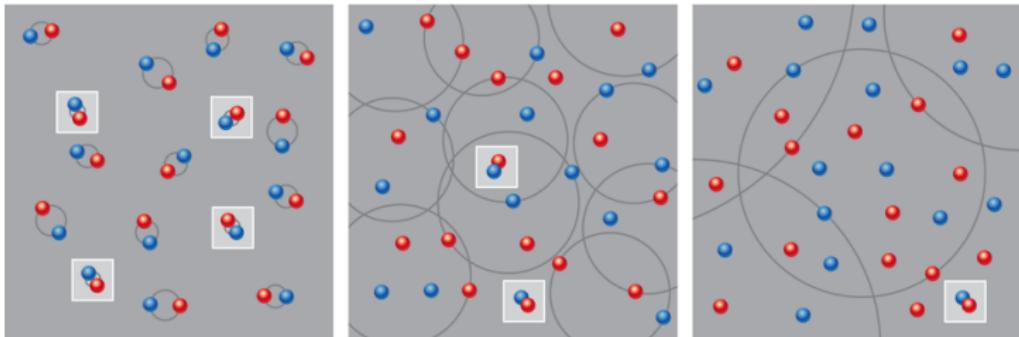
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In general the non-empirical DFT works very well in cold atoms at unitarity and away from unitarity.

# *To a microscopic theory*

*exploration of resummation techniques*



## What about the quasi-particles properties?

### Importance of the effective mass

#### Green functions and self-energy formalism

$$E = \int \frac{d^3k}{(2\pi)^3} G(\mathbf{k}) \Sigma^\star(\mathbf{k})$$

- ▶  $\text{Re}[\Sigma^\star(\mathbf{k})] = \varepsilon(\mathbf{k}) \rightarrow \frac{\mathbf{k}^2}{2m^\star} + U_0$  (sp energy of qp)
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#### Relation with other theories

#### Self-energy resummation

- ▶ Brueckner Hartree-Fock
- ▶ Landau Fermi liquid theory

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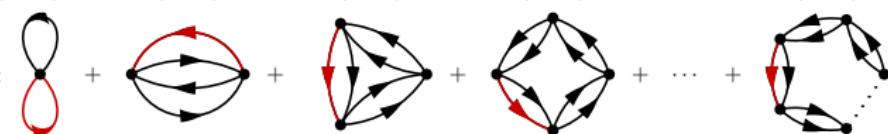
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$$\Sigma^*(\mathbf{k}) = \text{---} \rightarrow \mathcal{O}(a_s k_F) + \text{---} \rightarrow \mathcal{O}(a_s k_F)^2 + \text{---} \rightarrow \mathcal{O}(a_s k_F)^3 +$$
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Close the legs  $\Leftrightarrow \sim \int d^3 k$

$$\Sigma^\star(\mathbf{k}) = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \dots + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

Break a leg

## Summary and perspectives

- ▶ A functional without free parameters was recently proposed and reproduce very well the properties of cold atoms
- ▶ The functional reproduce the *ab-initio* results at low density for neutron matter taking in account the effective range effect
- ▶ Applications: GS thermodynamics, static response and collective mode

## Summary and perspectives

### ► Short-term project

- Validity of **ressumation** to justify the functional
- Include the **effective mass effect**
- Include the pairing in the functional (study more precisely the **BEC-BCS crossover**)

### ► Long-term project

- Include the **3-body interaction**
- Extend the theory to **symmetric matter, finite nuclei** and finite **quantum droplet** (statics and dynamics)
- Include other **partial waves**

## Some GS thermodynamical quantities

Infinite systems

**Non-empirical DFT:**  $E = \xi(a_s k_F, r_e k_F) E_{FG}$

$$P \equiv \rho^2 \frac{\partial E/N}{\partial \rho} \quad \frac{1}{\kappa} \equiv \rho \frac{\partial P}{\partial \rho} \quad \mu \equiv \frac{\partial \rho E/N}{\partial \rho} \quad \rho = \frac{k_F^3}{3\pi^2}$$

**Pressure**  $P$

$$\frac{P}{P_{FG}} = \xi + \frac{k_F}{2} \frac{\partial \xi}{\partial k_F}$$

**Chemical potential**  $\mu$

$$\frac{\mu}{\mu_{FG}} = \xi + \frac{k_F}{5} \frac{\partial \xi}{\partial k_F}$$

**Compressibility**  $\kappa$

$$\frac{\kappa_{FG}}{\kappa} = \xi + \frac{4k_F}{5} \frac{\partial \xi}{\partial k_F} + \frac{k_F^2}{10} \frac{\partial^2 \xi}{\partial k_F^2}$$

**Sound velocity**  $c_s$

$$\left(\frac{c_s}{c}\right)^2 = (m\rho\kappa)^{-1}$$

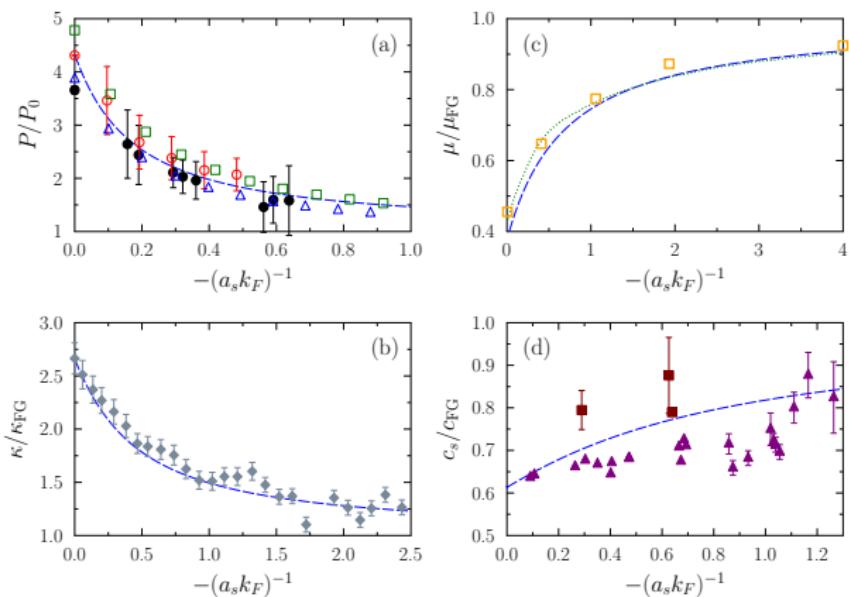
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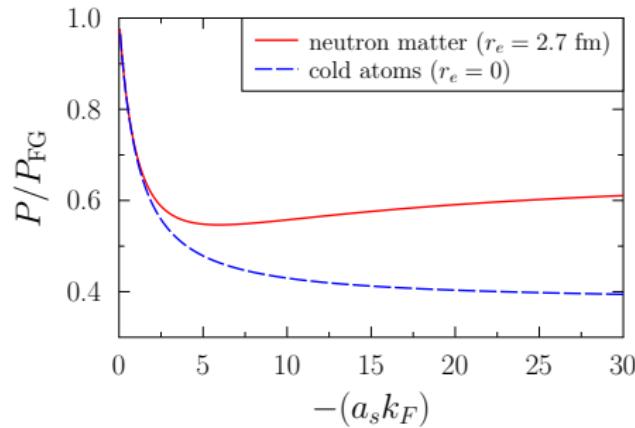


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## Effective range effect

Application to neutron matter

### Neutron matter prediction



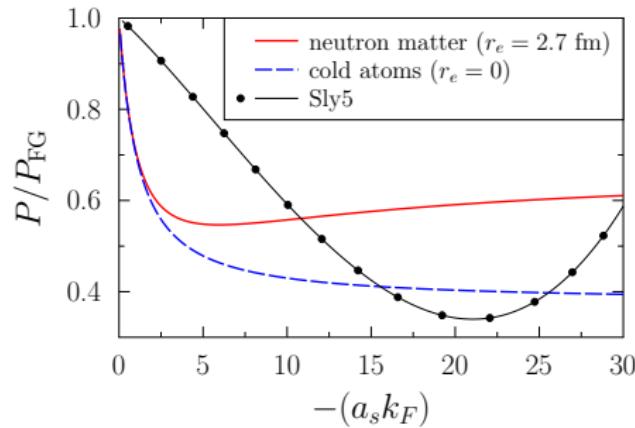
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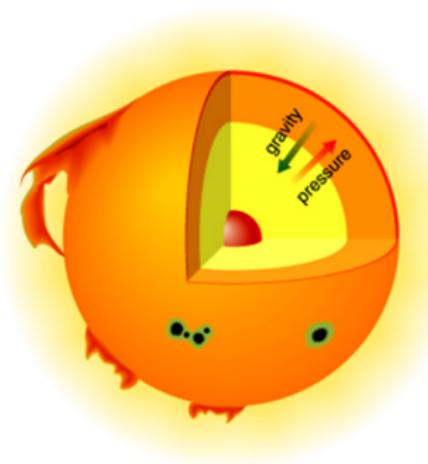
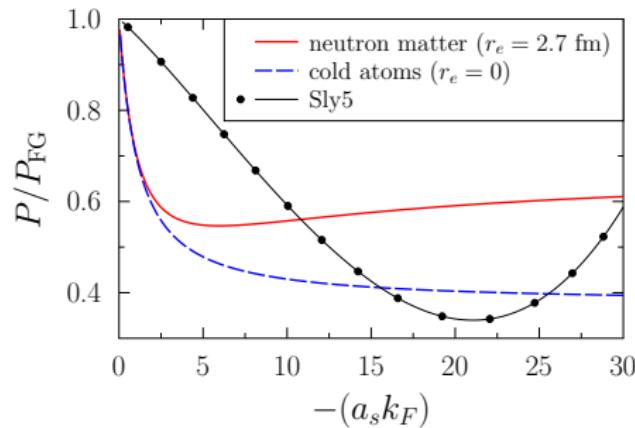
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## Linear response theory

### RPA formalism for infinite matter

System	Weak external field
$E = \int d^3r \left( \underbrace{\mathcal{K}[\rho(\mathbf{r})]}_{\text{kinetic}} + \underbrace{\mathcal{V}[\rho(\mathbf{r})]}_{\text{interaction}} \right)$	$\longleftrightarrow \hat{V}_{\text{ext}} = \sum_j \phi(\mathbf{q}, \omega) e^{i\mathbf{q} \cdot \mathbf{r}_j - i\omega t}$

Response function  $\chi$

$$\rho(\mathbf{r}) \equiv \rho \rightarrow \rho + \delta\rho$$

$$\delta\rho = -\chi(\mathbf{q}, \omega)\phi(\mathbf{q}, \omega)$$

$$\chi = \chi_0 \left[ 1 - \frac{\delta^2 \mathcal{V}}{\delta \rho^2} \chi_0 \right]^{-1}$$



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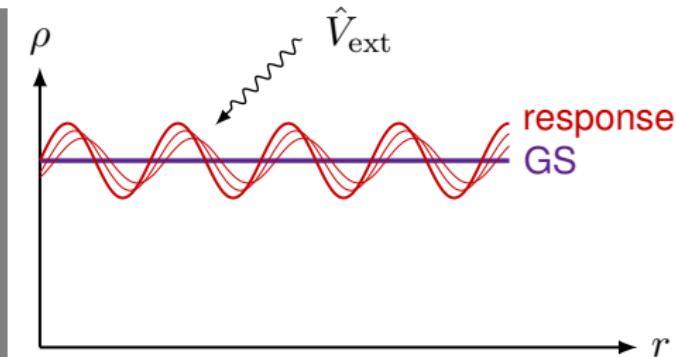
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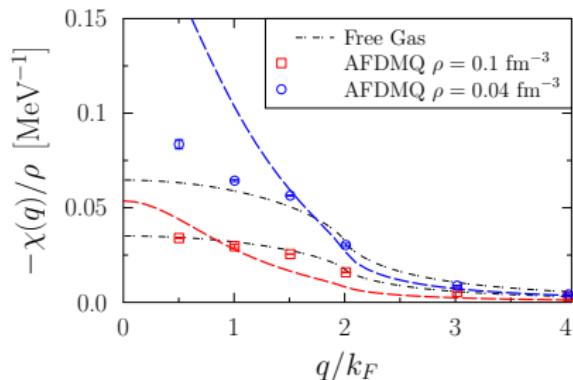
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## Linear static response function for neutron matter

Comparison with recent QMC calculation

### *Empirical DFT (Sly5)*



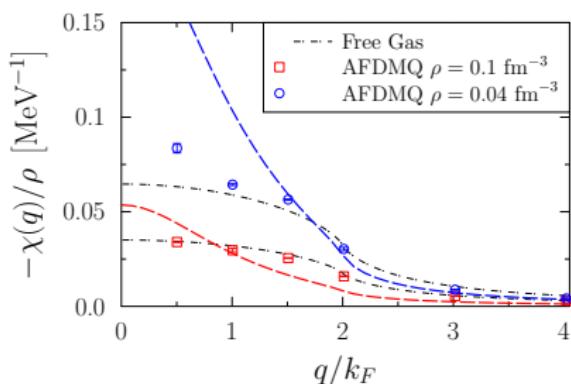
AFDMC match Free Fermi Gas response (unlike *empirical DFT*)

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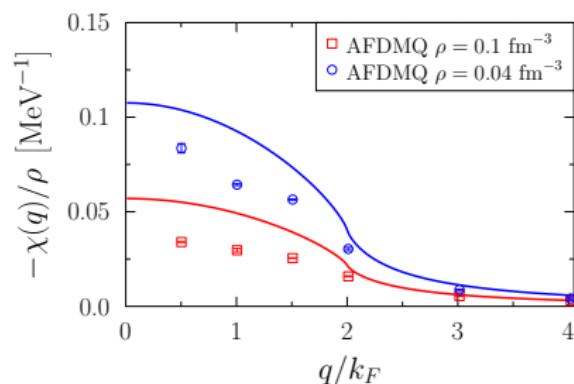
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## Collective modes in trapped Fermi systems

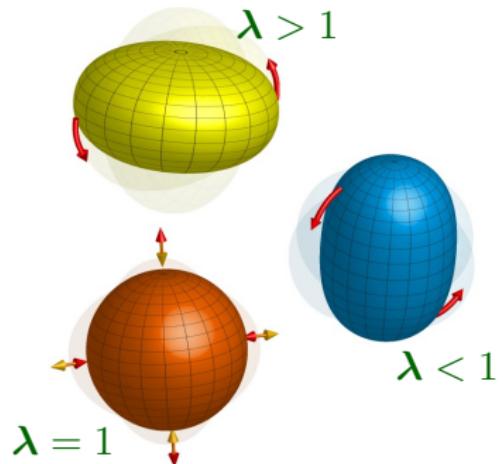
### ► Anisotropic trap

$$U(\mathbf{r}) = \frac{m\omega_0^2}{2} (x^2 + y^2 + \lambda^2 z^2)$$

### ► Polytropic EoS      $P \propto \rho^\Gamma$

$\Gamma = \kappa P$  (adiabatic index of infinite system)

### ► Linearized hydrodynamic



Solution of cigar-shaped / prolate ( $\lambda \ll 1$ ):

$$\frac{\omega_{rad}^p}{\omega_0} = \sqrt{2 \Gamma}$$

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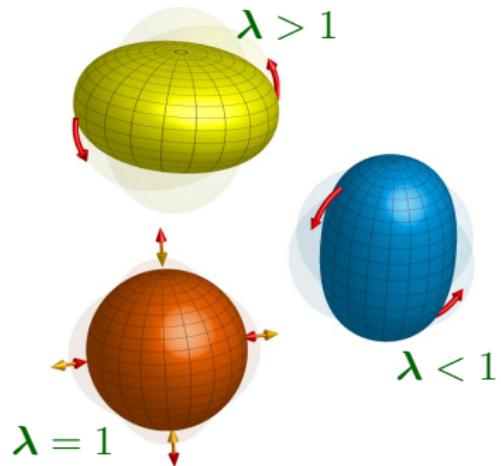
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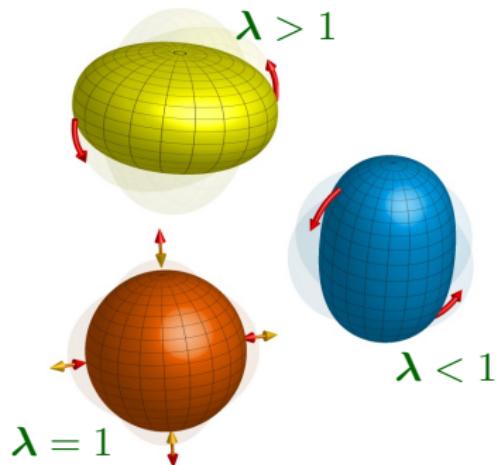
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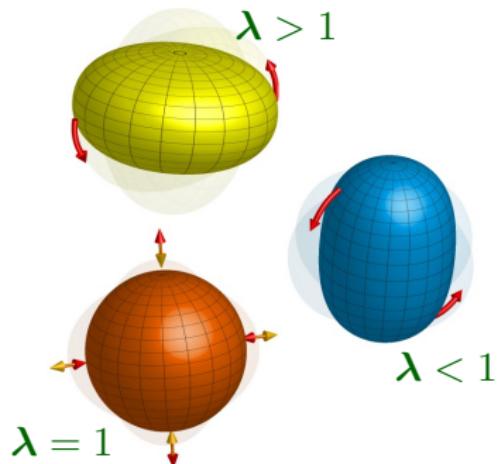
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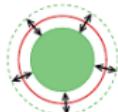
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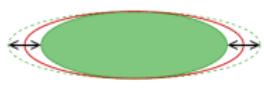


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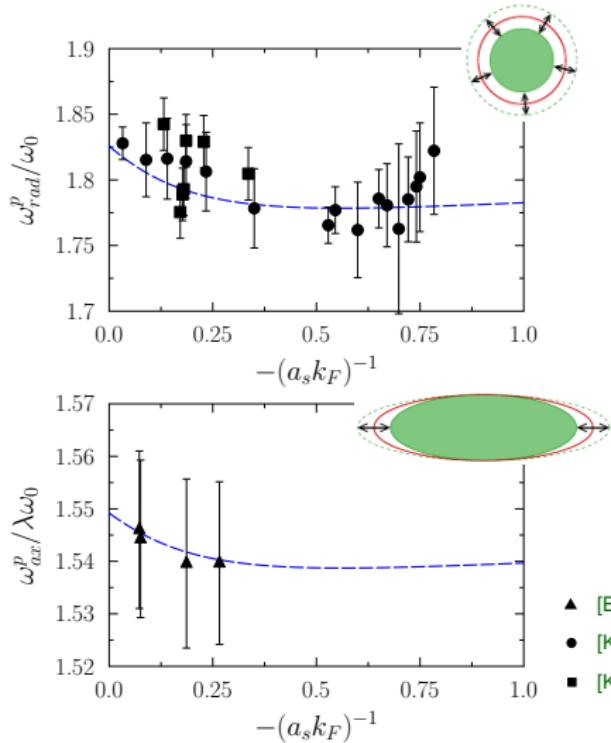
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## Collective mode in trapped cold atoms ( $r_e = 0$ )



### Prolate collective modes

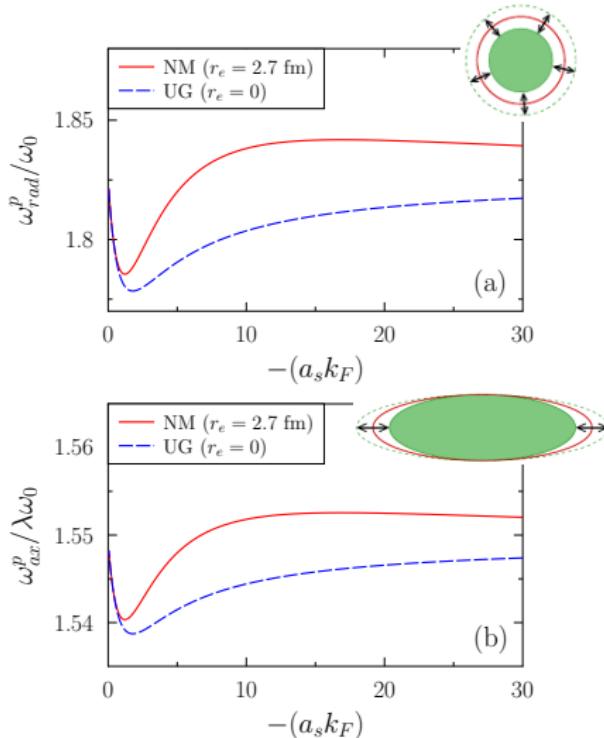
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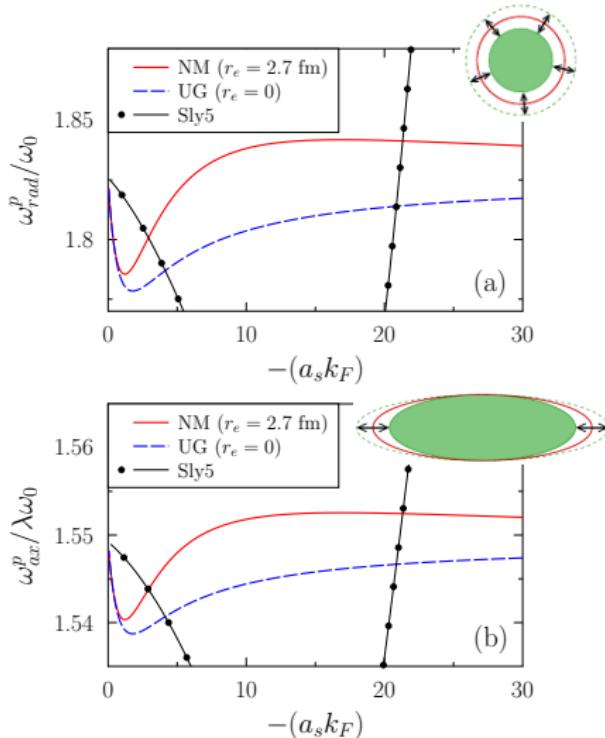


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Tests and constrains DFT?

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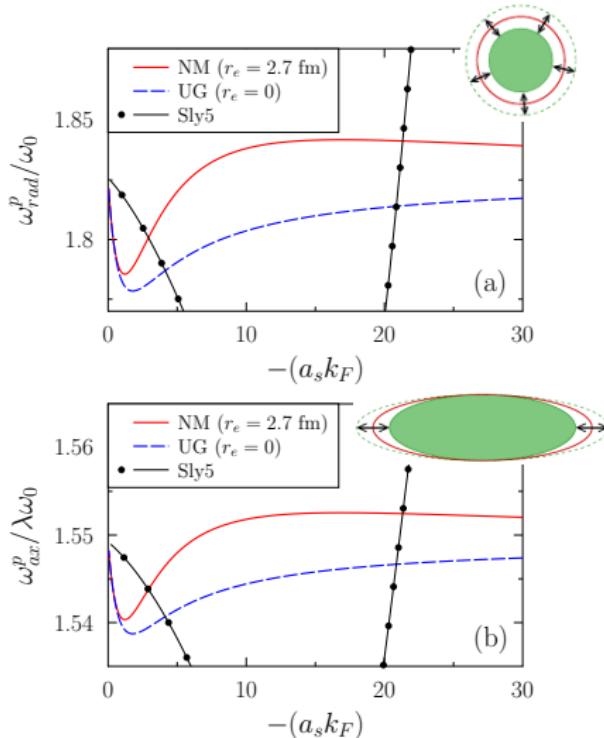


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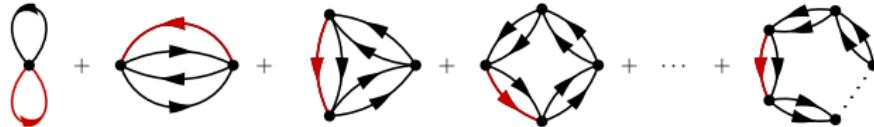
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$$E = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$


## What about the quasi-particles properties?

### Self-energy resummation

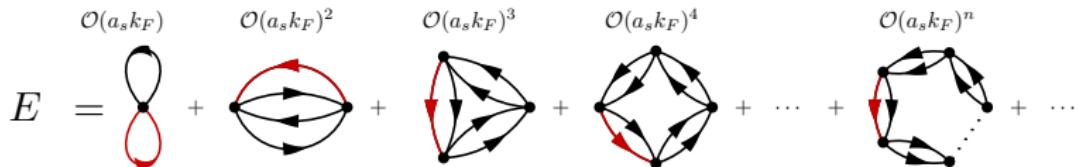
$$E = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

**Break  
a leg**

$$\Sigma^*(\mathbf{k}) = -\frac{\partial}{\partial \mathbf{k}} \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \dots + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

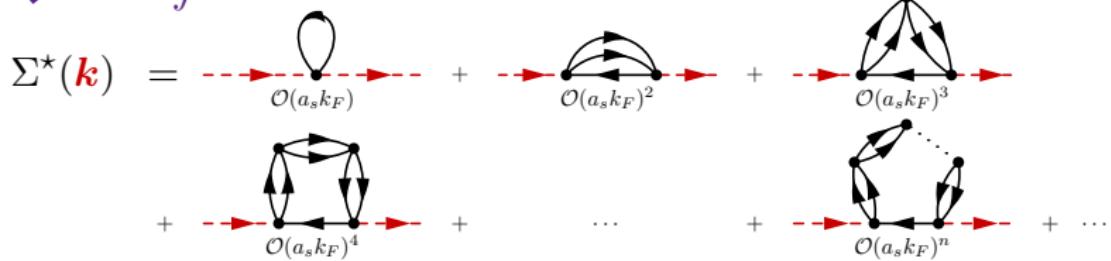
## What about the quasi-particles properties?

### Self-energy resummation

$$E = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$


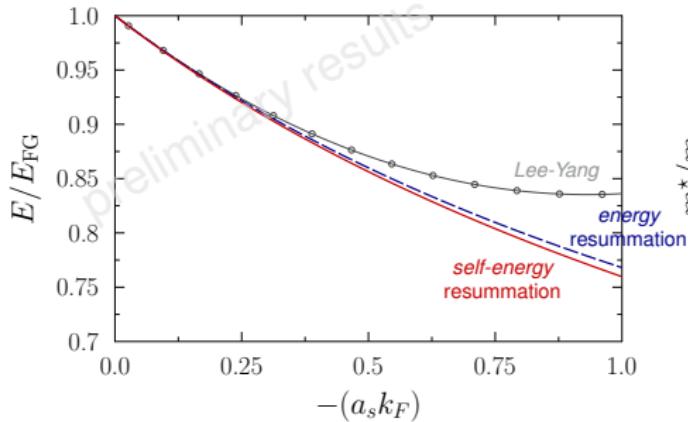
Close the legs  
 $\Leftrightarrow \sim \int d^3 k$



$$\Sigma^\star(\mathbf{k}) = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \dots + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$


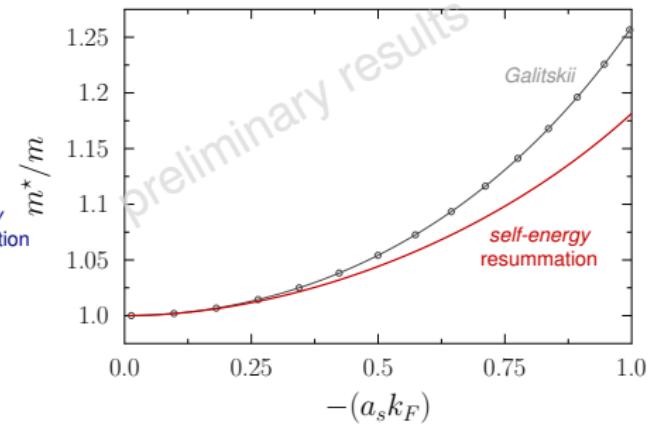
## What about the quasi-particles properties?

### Self-energy resummation



#### Lee-Yang formula

$$\frac{E}{E_{FG}} = 1 + \frac{10}{9\pi}(a_s k_F) + \frac{4}{21\pi^2}(11 - 2\ln 2)(a_s k_F)^2 + \dots$$



#### Galitskii formula

$$\frac{m^*}{m} = 1 + \frac{4}{15\pi^2}(7\ln 2 - 1)(a_s k_F)^2$$

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