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Charge Carrier Transport, Trapping, and Recombination in PEDOT:PSS/n-Si Solar Cells

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- 9 Supporting Information

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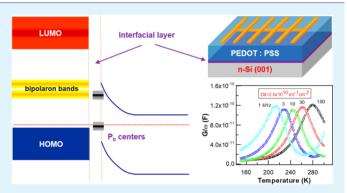
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ABSTRACT: The effect of interface states on electrical transport mechanisms in PEDOT:PSS/n-Si solar cells (SCs) was investigated by using admittance spectroscopy as well as current–voltage (J-V) and capacitance–voltage (C-V) measurements. The J-V dependences measured in the temperature range of 80–300 K can be described by an equivalent circuit for a two-diode model including parallel and serial resistances. From the analysis of the temperature dependence of the J-V curves, the role of the silicon oxide layer in charge carrier transport in the hybrid solar cell (SC) was clarified. A density of states of 2.5×10^{10} cm⁻² eV⁻¹ localized at the SiO₂/Si interface at $E_{\rm v}$ + 0.3 eV is deduced from admittance measurements. The low density of electri-



cally active defects near the Fermi level and an interface recombination rate of 480 cm/s indicate a good passivation of the PEDOT:PSS/n-Si interface. The interfacial defects associated with dangling bonds (P_b centers) at the polymer–silicon interface are responsible for an increase of the series resistances and the dominance of the recombination current at forward biases.

KEYWORDS: organic-inorganic heterojunction, admittance spectroscopy, interface traps, recombination, solar cells

1. INTRODUCTION

Poly(3,4-ethylenedioxythiophene) blended with poly(4-28 styrenesulfonic acid) (PEDOT:PSS) is a heavily doped p-29 type organic semiconductor with a bandgap of around 1.6 eV, which shows currently great promise as transparent electrode material for various applications in organic electronics and next-generation photovoltaics because of its high conductivity and transmission window in the visible range. Recently, considerable progress in producing highly efficient organic—inorganic solar cells (SCs) by spin-coating of aqueous PEDOT:PSS solutions on n-type silicon (n-Si) surfaces with

Recently, considerable progress in producing highly efficient organic—inorganic solar cells (SCs) by spin-coating of aqueous PEDOT:PSS solutions on n-type silicon (n-Si) surfaces with subsequent annealing was reported by many groups. 4-6 Recently to the complex technology required for the production of silicon solar cells, the organic—silicon hetero-40 junctions have some advantages including low-temperature, vacuum-free, and therefore low-cost fabrication processes. A power conversion efficiency (PCE) of over 14% for junctions without antireflection coating is the consequence of the formation of a potential barrier of 0.7 eV at the organic—45 inorganic interface. Its height is determined mainly by the difference between the work functions of the top Au contact and n-Si. To enhance the performance of planar PEDOT:PSS/8 n-Si SCs, different approaches including Si surface passivation

by SiO_x, methyl/allyl monolayers, addition of a cosolvent, 9,10 49 graphene oxide, 11 formation of silicon nanowire arrays, 12 and 50 optimization of the rear contact between Si and the metal 51 electrode were proposed. 13 52

The electrical output of hybrid devices critically depends on 53 the properties of the interface between Si and PEDOT:PSS. A 54 low density of states is highly important for achieving a strong 55 inversion near the silicon surface because devices with a large 56 defect density typically form a depletion layer. In turn, the 57 nature of interface traps is greatly affected by the type of the 58 surfactant used to increase the wettability of PEDOT:PSS on 59 H-terminated silicon surfaces, thickness and continuity of 60 polymer films, thickness of the native SiO_x layer, annealing 61 temperature, and sample storage conditions after the spin-62 coating process. Understanding the influence of all these 63 factors helps to optimize the performance of photovoltaic 64 devices and reach high efficiencies close to the Shockley—65 Queisser limit. 14

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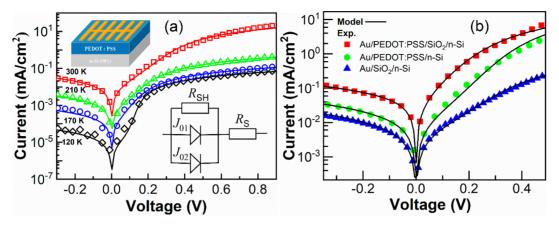


Figure 1. (a) I-V characteristics for a Au/PEDOT:PSS/n-Si sample measured under dark conditions at different temperatures. The insets are a schematic of the Au/PEDOT:PSS/n-Si diode and equivalent scheme of the studied junctions. (b) J-V characteristics for Au/PEDOT:PSS/n-Si, Au/SiO₂/n-Si, and Au/PEDOT:PSS/SiO₂/n-Si junctions measured under dark conditions at 300 K. The points represent the measured data, and lines are the best fit to the data with the two-diode model based on J_{01} , J_{02} , and serial as well as shunt resistances as parameters.

Despite much effort to describe the charge transport in 68 PEDOT:PSS/n-Si, there is no good understanding of the 69 formation of interface states and their effect on the device 70 performance. 15-18 A simplified approach assumes that the 71 PEDOT:PSS/n-Si structure is a Schottky junction, where the 72 organic polymer acts as a metallic electrode. However, such a 73 description is not sufficient to explain the electron transport of 74 hybrid SCs. The main reason is that the lowest unoccupied 75 molecular orbital (LUMO) band of PEDOT is much higher 76 than the conduction band of Si. As a result, the thermionic 77 emission of electrons from Si into PEDOT is blocked. If we 78 assume that the highest occupied molecular orbital (HOMO) 79 band is aligned with the valence band of Si, an extra current 80 associated with recombination at the interface between 81 PEDOT:PSS and n-Si should be observed. Thereby, the 82 transport properties of the studied heterojunction can be 83 predicted when the conduction band offset, the nature of 84 interface defects, and the rate of recombination via interface 85 states are taken into account.

In this study the electrical properties of PEDOT:PSS/n-Si 87 heterojunctions were studied in detail by dark current density-88 voltage and small signal capacitance-voltage measurements as 89 well as admittance spectroscopy. The I-V curves at different 90 temperatures were analyzed in the framework of a two-diode 91 model to extract the energies of the interface traps and to 92 determine the mechanisms of charge carrier transport.

2. EXPERIMENTAL DETAILS

93 Double-side-polished n-type Si(100) phosphorus-doped wafers with a 94 resistivity of 4.5 Ω ·cm and thickness of 500 μ m were used as a 95 substrate. The Si samples $(1.2 \times 1.2 \text{ cm}^2)$ were cleaned by 96 ultrasonicationin acetone, ethanol, and deionized water for 20 min each at 30 °C. Subsequently they were dipped in 30% hydrofluoric (HF) acid to remove the native silicon oxide layer. To increase the conductivity and ensure a proper surface uniformity of the polymer 100 film, 5% vol % dimethyl sulfoxide (DMSO) as a secondary dopant and 101 surfactant (0.025 vol % sodium dodecyl sulfate) were added to the 102 PEDOT:PSS solution. The water-based solution was filtered with a 103 poly(vinylidene fluoride) membrane (0.45 μ m porosity) to remove 104 agglomerations. Then, the PEDOT:PSS solution was spin-coated at 105 3000 rpm for 20 s followed by thermal annealing at 140 °C for 30 min 106 under standard atmospheric conditions.

Small area diodes (0.05-0.06 cm²) with continuous Au electrode 108 were produced for capacitance-voltage (C-V), dark current-voltage (I-V), and admittance measurements to avoid uncertainties with interpretation when considering an equivalent circuit model. 110 Reference junctions, i.e., Au/SiO₂/n-Si and Au/PEDOT:PSS/SiO₂/ 111 n-Si with 2.4 nm native silicon oxide films, were also produced. To 112 evaluate photovoltaic properties, a gold grid pattern with a finger 113 width of 180 μ m and interfinger spacing of 330 μ m was evaporated 114 thermally through a shadow mask on the PEDOT:PSS surface (1.2 \times 115 1.2 cm²). An In/Ga eutectic was scratched into the silicon substrate as 116 a back-contact.

The upper inset in Figure 1a shows a schematic of the Au/ 118 f1 PEDOT:PSS/nSi solar cells. The short circuit current density J_{sc} was 119 essentially constant at around ~20.5 mA/cm² and the open-circuit 120 voltage around ~0.56 V measured under AM1.5G and a light intensity 121 of 100 mW/cm² (Figure S2). The fill factor (FF) for the I-V curves 122 was in the range from 55% to 60% for different samples prepared in 123 the same conditions. The produced hybrid solar cells show efficiencies 124 around 7%, while the gol \bar{d} electrode resulted in a high optical loss of 125 35% of the incident light intensity due to shadowing.

The PEDOT:PSS surface topology and the roughness were 127 controlled using atomic force microscopy (AFM) before gold 128 deposition. The AFM measurements were performed with an NT- 129 MDT Ntegra microscope in semicontact tapping mode using Si 130 cantilevers with a tip apex radius of about 10 nm. A surface roughness 131 (rms) of 1.8 nm is found from AFM image analysis of PEDOT:PSS 132 surface (see Figure S1).

The junctions were characterized by capacitance-voltage (C-V), 134 conductance vs frequency $(G-\omega)$, and J-V measurements in a 135 temperature range between 80 and 300 K using an Agilent 4284A 136 LCR meter as well as Agilent B1500A and Agilent 4156C 137 semiconductor parameter analyzers, respectively.

The optical constants and thicknesses of the PEDOT:PSS and 139 silicon oxide films were studied by using spectroscopic ellipsometry. 140 The ellipsometry characterization of those parts of the samples 141 uncovered with Au was performed using a SE-2000 SEMILAB 142 ellipsometer which spans the NIR-VIS-UV range (190-2000 nm) 143 with a resolution of 5 nm. The measured spectral dependences of the 144 amplitude component ψ (a) and phase difference Δ (b) of the 145 PEDOT:PSS/n-Si heterostructure are presented in Figure S3 (see the 146 Supporting Information). A four-layer model comprising the Si(001) 147 substrate, interfacial (oxide) layer, PEDOT:PSS thin film, and a 148 surface roughness layer was used for fitting the experimental data. The 149 PEDOT:PSS film thickness, the interfacial layer thickness, and the 150 optical constants of PEDOT:PSS films were obtained simultaneously 151 by standard ellipsometric data fitting procedures involving Drude and 152 Cauchy models for the PEDOT:PSS layer as well as the known 153 dielectric function of the Si substrate and the native silicon dioxide. 154 The thickness of the polymer layer on Si(001) and SiO₂/Si(001) 155 wafers was found to be around 45 \pm 1 and 47 \pm 1 nm, respectively. 156 The thickness of native silicon dioxide was found to be 2.4 ± 0.1 and 157

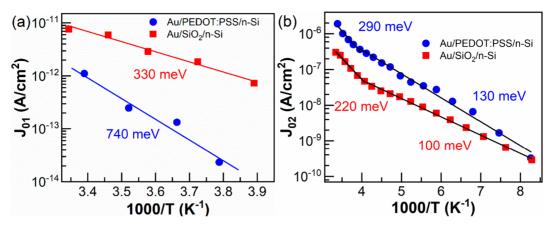


Figure 2. (a) Arrhenius plots showing the calculated barrier height 740 \pm 10 and 330 \pm 10 meV for Au/PEDOT:PSS/n-Si and Au/SiO₂/n-Si diodes, respectively. (b) Temperature dependences of J_{02} for Au/PEDOT:PSS/n-Si (\blacksquare) and Au/SiO₂/n-Si (\blacksquare).

158 2.5 \pm 0.1 nm for the SiO₂/Si(001) wafer before and after covering by 159 polymer film, respectively, while the interfacial layer between 160 PEDOT:PSS and HF-etched silicon substrate was thinner (1.6 \pm 161 0.1 nm).

162 X-ray photoelectron spectroscopy (XPS) and ultraviolet photo-163 electron spectroscopy (UPS) studies were performed by using an 164 ESCALAB 250Xi Microprobe (Thermo Scientific) spectrometer, 165 equipped with 1486.6 eV monochromatic Al K α X-ray source and 166 21.2 eV He I line UV source, respectively. XPS spectra were measured 167 with an analyzer pass energy of 20 eV (resolution 0.6 eV). UPS 168 spectra were taken with a pass energy of 1.0 eV (0.1 eV).

3. RESULTS

3.1. Current-Voltage and Capacitance-Voltage 170 Measurements. To describe the performance of hybrid 171 organic-silicon SCs and highlight the role of PEDOT:PSS 172 thin films, we measured the electrical characteristics of the 173 PEDOT:PSS/n-Si heterojunction. Figure 1a shows the 174 representative J-V characteristics for Au/PEDOT:PSS/n-Si 175 heterojunction measured under dark conditions at different 176 temperatures. As can be seen, a strong rectification with the 177 current at reverse bias being an order of magnitude lower than 178 that at forward bias indicates that a high potential barrier exists 179 near the PEDOT:PSS/n-Si interface. The forward (reverse) 180 regime is obtained for a positive (negative) voltage applied to 181 the Au top electrode. The rectifying behavior which increases 182 with decreasing temperature was also observed for the Au/ $183 \text{ SiO}_2/\text{n-Si}$ reference structures and for the hybrid Au/ 184 PEDOT:PSS/SiO₂/n-Si junctions with the PEDOT:PSS 185 solution spin-coated on the native silicon oxide surface (Figure 186 1b).

The shape of the curves was analyzed within the framework 188 of the two-diode model assuming bulk diffusion and a 189 recombination process via traps in the space-charge region taking into account the impact of serial and shunt resistance. 19 Here the current density, *J*, is given by

$$J(V) = J_{01} \left(\exp\left(\frac{e(V - JR_S)}{kT}\right) - 1 \right)$$

$$+ J_{02} \left(\exp\left(\frac{e(V - JR_S)}{2kT}\right) - 1 \right) + \frac{V - JR_S}{R_{SH}}$$
(1)

193 where k is the Boltzmann constant, T is the absolute 194 temperature, $R_{\rm S}$ and $R_{\rm SH}$ are the series and shunt resistances, 195 J_{01} is saturation current density corresponding to bulk

diffusion, and J_{02} represents recombination process via traps 196 in the space-charge region. The 2/3-Diode software 20 was used 197 to fit the experimental J-V curves measured in the temperature 198 range from 120 to 300 K with a step of 10 K. As a result, a set 199 of fitting parameters of J_{01} , J_{02} , $R_{\rm S}$, and $R_{\rm SH}$ was determined for 200 a given J-V curve allowing to determine their temperature 201 dependences.

To clarify the charge transport mechanism in PEDOT:PSS- 203 n-Si the temperature dependence of the saturation current was 204 analyzed. At forward bias, the direct current is limited by two 205 physical processes: (i) the thermionic emission of electrons 206 from n-Si over the energy barrier and (ii) injection of holes 207 from PEDOT:PSS into the space-charge region of Si. The first 208 one is a Schottky effect leading to a temperature dependence 209 of the saturation current for a diode as

$$J_{01} = A * T^2 \exp\left(-\frac{\phi_{\rm B}}{kT}\right) \tag{2}$$

where A^* is the effective Richardson constant, T is the ²¹² temperature, k is the Boltzmann constant, and $\varphi_{\rm B}$ is the barrier ²¹³ height. With decreasing temperature, the probability of this ²¹⁴ mechanism decreases proportionally to $\exp\left(-\frac{\phi_{\rm B}}{kT}\right)$, whereby ²¹⁵

the electron transport component of the current cannot be 216 distinguished at temperatures below 265 K from the 217 background of the dominating recombination current, which 218 is described by the second term of eq 1. As a consequence, the 219 value of J_{01} was extracted from J-V curves measured in the 220 narrow temperature range from 265 to 295 K. Figure 2a 221 f2 presents the temperature dependence of the saturation current 222 density, J_{01} . The barrier heights of $\varphi_{\rm B}$ = 740 \pm 10 meV and $\varphi_{\rm B}$ 223 = 330 \pm 10 meV were estimated from the slope of the $\ln(J_{01}/224)$ T²) vs 1/kT Arrhenius plot of the Au/PEDOT:PSS/n-Si and 225 Au/SiO₂/n-Si junctions, respectively. In comparison with 226 organic-silicon junction, an order of magnitude higher value 227 of J_{01} and twice lower potential barrier are observed for the 228 Au/SiO₂/n-Si reference Schottky diode (see Figure 2a). The 229 potential barrier heights derived from J-V data are in good 230 agreement with C-V measurements, which are described 231

The dominance of the recombination conduction mecha- 233 nism at forward bias was observed for the all diodes in the 234 studied temperature range. In contradistinction to the classical 235 Schottky junction, when the forward current is due to majority 236 carrier injection from n-Si to the Au electrode, the 237

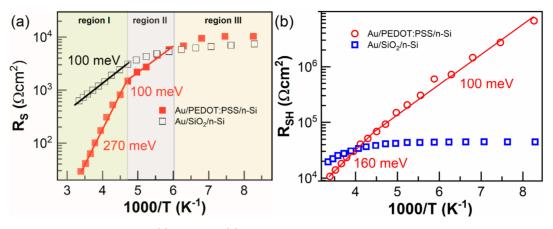


Figure 3. Temperature dependences of serial (a) and shunt (b) resistances of the Au/PEDOT:PSS/n-Si and Au/SiO₂/n-Si diodes.

238 PEDOT:PSS effectively limits the electron injection into its 239 LUMO band due to the conduction band offset of around 0.45 240 eV to Si. As a consequence, the most likely way for electrons 241 to contribute to the forward current is recombination with 242 holes in the space-charge region of Si or/and via PEDOT:PSS/ 243 n-Si interface traps. Figure 2b presents the temperature 244 dependence of saturation current \bar{J}_{02} in the range from 120 245 to 295 K for sample with PEDOT:PSS on Si surface. The 246 activation energies 290 \pm 10 and 130 \pm 10 meV were 247 determined from the approximation of two linear parts of the 248 Arrhenius plot $\ln(I_{02})$ vs 1/kT. For holes in the PEDOT:PSS 249 film, the injection to the space-charge region of n-Si involves 250 overcoming a potential barrier, the height of which is determined by the energy distance between the Fermi level 252 and the top of the valence band of the Si substrate. The 253 derived activation energy of 290 ± 10 meV is close to the 254 valence band offset reported for PEDOT:PSS/Si junctions. At 255 low temperatures, when thermionic emission becomes less 256 probable, hole trap levels with energy of 130 \pm 10 meV are 257 responsible for the recombination current through PE-258 DOT:PSS/n-Si interface. In comparison with hybrid junctions, 259 the Au/SiO₂/n-Si Schottky diodes show a lower value of J_{02} 260 due to the presence of a native oxide layer SiO2, which limits 261 the current. Its temperature dependence is determined by the 262 presence of electron traps near SiO₂/n-Si interface, the 263 energies of which $E_{\rm C,1}$ -(100 ± 10) meV and $E_{\rm C,2}$ -(220 ± 10) 264 meV were extracted from the slopes of linear parts of the 265 $ln(J_{02})$ vs 1/kT dependence (Figure 2b).

To clarify the impact of interface traps on charge transport in organic—silicon junctions, it is important to analyze their less effect on series and parallel resistances. Figure 3a shows the temperature dependence of the serial resistance of the Au/270 PEDOT:PSS/n-Si diode, where three different regions can be distinguished. At temperatures T > 210 K (region I), the $R_{\rm S}$ decreases with increasing temperature, indicating the thermal activation of holes. In this region, $R_{\rm S}(T)$ can be represented as

$$R_{\rm S}(T) = R_{\rm S0} \exp\left(-\frac{E_{\rm A}}{kT}\right) \tag{3}$$

275 where $R_{\rm S0}$ is an exponential prefactor and $E_{\rm A}$ is the activation 276 energy. A similar behavior was observed for the temperature 277 range from 170 to 210 K (region II). The linear parts of ln 278 $R_{\rm S}(T)$ vs 1/kT plot are fitted and extrapolated to extract from 279 the slopes the activation energies of 270 \pm 10 and 100 \pm 10 280 meV for the regions I and II, respectively. At low temperatures 281 (120–170 K, region III), the dependence of the serial

resistance on temperature was found to be weak and did not 282 show an Arrhenius behavior. It should be noted that the 283 derived activation energies were found to be close to the values 284 obtained from the analysis of $J_{02}(T)$. We can conclude that the 285 temperature dependence of the serial resistance and the 286 recombination current are determined by the same traps 287 localized at the interface layer between PEDOT:PSS and 288 silicon. Their appearance is due to the fact that a thin layer of 289 SiO_x is formed after the Si substrate is taken out from the HF 290 solution. Zhang et al. reported about the formation of a silicon 291 oxide layer with a thickness of 0.87 nm for SiO., layer 292 immediately after the HF etching process. During short (<20 293 s) exposition to air, oxygen tends to react with Si by replacing 294 H-terminated bonds that can enhance wettability required for 295 the production of continuous PEDOT:PSS films. From one 296 point of view, SiO_x suppresses the recombination velocity due 297 to the separation of charge carriers by an internal electric field 298 at the interface.²¹ However, the thin oxide layer increases the 299 interfacial electrical resistance that limits the forward current 300 and deteriorates the transport properties of the hybrid solar 301

A thicker (2.5 nm) silicon oxide layer in the Au/SiO₂/n-Si 303 diode also leads to the observation of a higher series resistance 304 because of its electrically insulating nature. We analyzed the 305 temperature dependence of serial and shunt resistances of the 306 Au/SiO₂/n-Si diodes. As shown in Figure 3a, the serial 307 resistance was much higher in the high-temperature range in 308 comparison with the organic-silicon diode. An Arrhenius plot 309 of $\ln R_{\rm S}(T)$ vs 1/kT provides the activation energy of 100 ± 5 310 meV. Note that this activation energy coincides with the value 311 derived for Au/PEDOT:PSS/n-Si diode in the 170-210 K 312 range. We can conclude that the serial resistance in this 313 temperature range is determined by the properties of the 314 interfacial oxide layer. At the same time, at higher temper- 315 atures, T > 210 K, the forward current is limited by the 316 efficiency of hole emission from PEDOT:PSS to Si, the 317 probability of which increases with the valence band offset 318 $\Delta E_{\rm v}$. The estimate of 270 \pm 10 meV, derived from the analysis 319 of $R_{\rm S}(T)$ in the 210–300 K range, is in a good agreement with 320 values determined from the linear part of $ln(J_{02})$ vs 1/kT plot. 321

The shunt resistance of the Au/PEDOT:PSS/n-Si diode 322 varies from 10.2 k Ω ·cm² at 295 K to 6.6 M Ω ·cm² at 120 K 323 (Figure 3b). From the Arrhenius plot of ln $R_{\rm SH}(T)$ vs 1/kT, 324 the activation energies of 100 \pm 10 and 160 \pm 10 meV were 325 determined. The leakage current, which gives a significant 326 contribution at reverse biases, originates from a number of 327

328 microvoids in the PEDOT:PSS film, which are usually formed 329 during the spin-coating process on Si substrates with poor 330 wettability. 10 Further thermal evaporation of the Au electrode 331 on top of the discontinuous polymer film results in the 332 appearance of local Au/SiO_x/n-Si Schottky microjunctions. 333 These regions differ in electron transport properties from the 334 silicon surface covered by the polymer with hole-selective 335 nature. Being the majority carriers in silicon, electrons 336 contribute predominantly to conductivity via the local voids 337 without blocking by PEDOT:PSS. This process is facilitated by 338 defects of both the Au/SiO, and Au/Si interfaces, which causes 339 a local lowering of the potential barrier as compared to regions 340 with PEDOT:PSS. It enhances charge carrier recombination 341 and therefore increases the leakage current. 19 For the Au/ 342 SiO₂/n-Si diodes, the shunt resistance was much lower than 343 that observed for organic-silicon junctions at T < 210 K, while R_{SH} becomes independent of temperature. The possible reason 345 for the strong leakage is a high density of defects at the silicon 346 oxide surface covered with Au.

347 3.2. Capacitance—Voltage Measurements and Admit-348 tance Spectroscopy. Figure 4 shows experimental capaci-

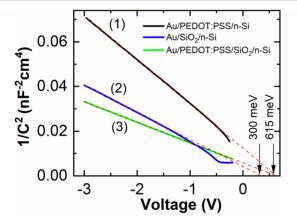


Figure 4. *C–V* characteristics of the Au/PEDOT:PSS/n-Si (curve 1), Au/SiO₂/n-Si (curve 2), and Au/PEDOT:PSS/SiO₂/n-Si diode (curve 3) measured at a modulation frequency of 1 MHz and temperature of 295 K.

349 tance—voltage (C-V) characteristics of the Au/PEDOT:PSS/350 n-Si (curve 1), Au/SiO₂/n-Si (curve 2), and Au/PEDOT:PSS/351 SiO₂/n-Si diode (curve 3) measured at a modulation frequency

of 1 MHz and temperature of 295 K. The depletion layer 352 capacitance of the diodes at reverse bias can be described as 353 follows:

$$\frac{1}{C_{\rm b}^2} = \frac{2\left(V_{\rm bi} - V - \frac{kT}{q}\right)}{q\varepsilon_0\varepsilon_{\rm s}A^2N_{\rm D}} \tag{3a}$$

where $V_{\rm bi}$ is the built-in potential, A is the diode area, $\varepsilon_0\varepsilon_{\rm s}$ is 356 the permittivity of silicon, $N_{\rm D}$ is the dopant concentration of 357 silicon, k is the Boltzmann constant, T is the temperature, V is 358 the applied voltage, and q is the elementary charge. A built-in 359 potential of $\varphi_{\rm B}=qV_{\rm bi}=615\pm5$ meV for the hybrid junctions 360 (curves 1 and 3) was extracted from the V-axis intercepts. A 361 lower potential barrier of 300 \pm 5 meV is observed for the 362 inorganic Au-SiO₂-n-Si diodes due to Fermi level pinning at 363 the defects of the SiO₂/Si interface and Au-induced surface 364 states, which is usually observed at Schottky junctions with 365 silicon.

Admittance spectroscopy²² (measurement of ac conduc- 367 tance G and capacitance C as a function of temperature T and 368 frequency f) was proven in its effectiveness to extract defect 369 parameters in p—n junctions, ^{23,24} heterostructures, ²⁵ Schottky 370 diodes, ²⁶ and MIS capacitors. ²⁷ The $G(T)/\omega$ curves for a 371 given measurement frequency $\omega = 2\pi f$ exhibit a maximum at 372 $T_{\rm max}$ which depends on the activation energy and the capture 373 cross section of a trap, while the amplitude is proportional to 374 the number of electrons contributing to the charging/ 375 discharging process. In most cases the conductance peak is 376 attributed to recharging of deep traps inside the semiconductor 377 bulk, but if interface electronic states are present at the 378 heterointerface or metal—semiconductor interface, then they 379 also may contribute to the ac response. ^{28,29}

The conductance spectra of the Au/PEDOT:PSS/n-Si and 381 Au/SiO₂/n-Si junctions measured at different frequencies are 382 shown in Figures 5a and 5b, respectively. Peaks in the spectra 383 fs of the Au/SiO₂/n-Si junction were taken at much higher 384 modulation frequencies than for the Au/PEDOT:PSS/n-Si 385 junction. If we suggest that the peak value of G/ω is related to 386 the bulk density of deep states in the semiconductor, then this 387 density value should be the same for both samples, since we 388 used the same n-type silicon wafer for sample preparation. To 389 check this, we performed the analysis of $C(\omega)$ dependences 390 following the approach of ref 30, where the trap density of 391 states is calculated from the derivative of the capacitance with 392

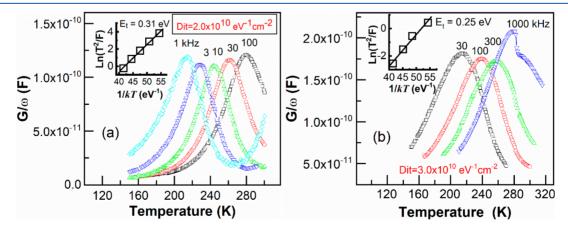


Figure 5. Conductance spectra of the Au/PEDOT:PSS/n-Si sample (a) and Au/Sio₂/n-Si sample (b) measured with different modulation frequencies. Insets show the respective Arrhenius plots of $\ln(T_{\text{max}}^2/\omega)$ obtained from the $G(T/\omega)$ curves.

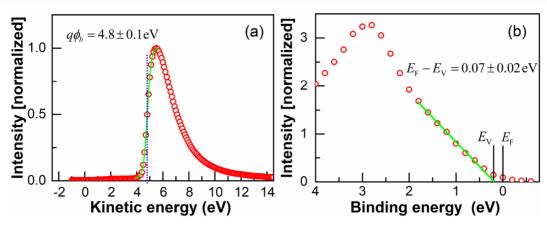


Figure 6. (a) Secondary electron cutoff (SECO) fitted by a Boltzmann sigmoid function for extraction of the work function. (b) Valence band states near the Fermi level E_F with a linear extrapolation to the valence band edge E_V .

393 respect to the frequency. Calculations, however, yielded 394 magnitudes of the trap density which differ by a factor of 3 395 for the two samples. Therefore, it is reasonable to relate the 396 peak value of G/ω to the density of interface states at the 397 silicon/dielectric interface and not to the trap density of states 398 in the bulk. In this case the density of interface states, D_{it} , is 399 given by the equation $D_{\rm it} = 2.5 G/(\omega q A)$, where q is the 400 electron charge and A is the sample area. The interface states' 401 densities of traps responsible for the detected admittance peak 402 at the given frequency are equal to 2.5×10^{10} and 3.0×10^{10} 403 cm⁻² eV⁻¹ for Au/PEDOT:PSS/n-Si and Au/SiO₂/nSi structures, respectively. From Arrhenius plots of $\ln(T_{\rm max}^2/\omega)$ (see the insets in Figure 5a,b) activation energies of $E_a = 0.31$ 406 ± 0.01 and 0.25 ± 0.01 eV were derived for the Au/ 407 PEDOT:PSS/nSi and Au/SiO₂/n-Si structures, respectively. 408 These values are close to the depth of P_b traps,³² which are 409 related to dangling bond states at the SiO₂/Si interface with 410 two peaks of D_{it} at $E_v + 0.3$ eV and $E_v + 0.8$ eV. The presence 411 of such centers in the Au/PEDOT:PSS/n-Si sample can be 412 explained by the appearance of a thin interfacial SiO, layer 413 between PEDOT:PSS and silicon due to native oxidation of 414 silicon during the SC production. Pb centers were also detected 415 in samples with high-k dielectric (Gd₂O₃ and Nd₂O₃) layers on 416 silicon. 33 As for the Au/PEDOT:PSS/n-Si junction, the 417 determined activation energy of 0.31 eV corresponds to the 418 contribution of $E_v + 0.3$ eV hole traps to the admittance signal, 419 nearest to the Fermi level, which leads to the observation of 420 the G/ω peak at low modulation frequencies. By contrast, the 421 majority carriers, electrons in nSi, contribute mainly to the 422 admittance response of the Au/SiO₂/n-Si Schottky junction. The states of P_b centers close to the peak at $E_c - 0.25$ eV (or 424 E_v + 0.8 eV) are responsible for a faster electron exchange and 425 therefore the observation of the admittance signal at higher 426 modulation frequencies as compared to the Au/PEDOT:PSS/ 427 nSi diode.

Another fact that should be mentioned is the different width 429 of the admittance peaks for the junctions with and without 430 PEDOT:PSS. One can see in Figure 5 that in the Au/ 431 PEDOT:PSS/n-Si sample the peaks are more narrow. The full 432 width at half-maximum is equal to 36 K for the sample with the 433 PEDOT:PSS layer and to 56 K for the control Au/SiO₂/n-Si 434 Schottky diode. This difference is due to different potential 435 fluctuations at the silicon surface for the two samples. Taking 436 into account the shift of the Fermi level with temperature, the 437 widening of the peaks along the temperature scale can be

converted into a variation of the Fermi potential at the surface. 438 The potential fluctuations at the interface $\Delta \psi_s$ in the Au/SiO₂/ 439 nSi Schottky diode should be 20 meV higher than in the Au/ 440 PEDOT:PSS/n-Si sample to provide the observed widening of 441 the admittance peak. Therefore, the comparison of the two 442 samples shows that the structure with PEDOT:PSS provides a 443 lower interface state density and lower potential fluctuations as 444 compared to the Au/SiO₂/n-Si structure.

3.3. UPS and XPS Measurements. For PEDOT:PSS thin 446 films, the work function, $\Phi_{\rm P}$, and the position of the valence 447 band edge, $E_{\rm v}$, relative to the Fermi level, $E_{\rm F}$, were determined 448 by ultraviolet photoemission spectroscopy (UPS) (Figure 6). 449 66 The work function was calculated from the difference between 450 the excitation energy 21.2 eV and the binding energy at the 451 secondary electron cutoff. For the PEDOT:PSS films a value of 452 $\Phi_{\rm P}=4.8\pm0.1$ eV was found. The position of the valence band 453 edge relative to the Fermi level, $E_{\rm F}-E_{\rm v}=0.07\pm0.02$ eV, was 454 obtained by a linear extrapolation of the leading edge of the 455 density of valence band states to zero.

From X-ray photoemission spectroscopy on the sulfur 2p 457 core levels the PEDOT/PSS ratio in the film can be calculated, 458 which is one of the factors determining the conductivity of the 459 PEDOT:PSS blend. The S 2p XPS spectrum of the 460 PEDOT:PSS thin film is shown in Figure 7. The spectrum is 461 f7 fitted with three components/doublets (S $2p_{1/2}$ and S $2p_{3/2}$ 462 spin-orbit splitting states) corresponding, according to 463 previous studies, 34 to sulfur atoms in PEDOT (binding energy 464 S $2p_{3/2} = 163.6$ eV, asymmetrical component), PSS 465 coordinated to PEDOT or Na (167.5 eV, symmetrical), and 466 PSS coordinated to H (168.2 eV, symmetrical). The PEDOT/ 467 PSS molecular ratio obtained from the XPS spectra, 30/70 468 $(\pm 5\%)$, gives an estimation of the relative surface composition 469 of the PEDOT:PSS film. The determined ratio is consistent 470 with reported ones for SCs with improved conductivity of 471 PEDOT:PSS and enhanced PCE due to optimization of the 472 PSS content by adding different amounts of cosolvents into 473 PEDOT:PSS.¹⁰

4. DISCUSSION

The value of the built-in potential together with the positions 475 determined of the valence band edge and Fermi level was used 476 to construct a band diagram for the PEDOT:PSS/n-Si junction 477 (Figure 8a). The value of $\varphi_{\rm B}$ reflects the upward band bending 478 f8 near the Si surface of about 615 meV. Because of the high level 479 of surface passivation of Si wafers by PEDOT:PSS³⁵ and low 480

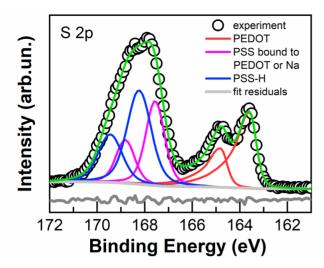


Figure 7. Typical S 2p XPS spectrum of the PEDOT:PSS film obtained by adding 5 vol % DMSO and 0.025 vol % sodium dodecyl sulfate.

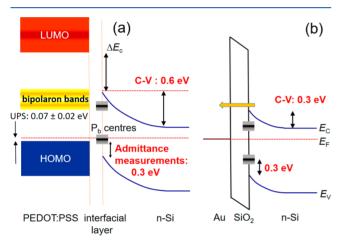


Figure 8. Energy band diagram of the PEDOT:PSS/n-Si junction (a) and the $Au/SiO_2/n$ -Si diode (b).

481 density of the interface traps, the height of the barrier mainly 482 depends on the difference in Fermi level position of the 483 PEDOT:PSS thin films (4.8 eV) and the n-Si substrate (4.3 484 eV). The work function of the silicon substrate was estimated 485 by using standard equation based on the known concentration 486 and ionization energy of phosphorus dopants. The difference 487 between the work function of PEDOT:PSS and silicon (0.5 488 eV) is smaller than the value derived from C-V measurements 489 (0.615 eV). Taking into account a systematic error of UPS 490 measurements of ± 0.1 eV, the observed mismatch can be 491 explained by the presence of the Au top electrode with slightly 492 higher work function of 4.9 eV³⁶ or 5.1 eV.

The barrier height, determined from C-V measurements, 494 exceeds a critical value $\varphi_{\rm B} > |E_{\rm F} - E_{\rm i}| = 0.24 \,{\rm eV}$, where $E_{\rm F}$ is the 495 Fermi energy in Si with a doping concentration of 9.9×10^{14} 496 cm⁻³ and $E_{\rm i}$ is the middle of the band gap. Therefore, the 497 presence of an inversion layer near the silicon surface should 498 be taken into account for further analysis of the dc transport 499 and admittance characteristics. On the other hand, despite the 500 close values of the work functions of Au and PEDOT:PSS, the 501 Au/SiO₂/n-Si junction does not show an inversion layer due to 502 the formation of interface defects after the deposition of Au on 503 the SiO₂ film. As a result, we observed a depletion layer

originating from Fermi level pinning, which limits the potential 504 barrier height near 300 meV (Figure 8b). It is noteworthy that 505 the Au/PEDOT:PSS/SiO $_2$ /n-Si structure without junction 506 between Au and SiO $_2$ has a potential barrier of 615 meV height 507 as well as an inversion layer near the silicon surface. The reason 508 is that PEDOT:PSS films prevent the formation of Au/SiO $_2$ 509 interface defects that deteriorate the transport properties of 510 diodes due to enhanced recombination.

In general, Schottky diode or p—n junction models may be 512 applied for the description of the current—voltage, capaci-513 tance—voltage, and admittance characteristics of PEDOT:PSS/514 n-Si junctions. Subject to the validity of the Schottky model, 515 electrons in the semiconductor (majority carriers in Si), which 516 pass over the potential barrier by means of thermionic 517 emission, provide the main contribution to the current at 518 forward biases. Thowever, this possibility is negligibly small 519 due to the electron blocking properties of PEDOT:PSS. As a 520 consequence, the PEDOT:PSS/silicon structure cannot be 521 considered as typical metal—semiconductor Schottky junction, 522 where the polymer film serves as a semitransparent electrode 523 leading to a built-in voltage in the n-type Si wafer.

As follows from the results obtained, the presence of the 525 interfacial defects and an inversion layer near the silicon 526 surface, i.e., a p-n junction created inside the semiconductor, 527 should be considered. The interface recombination rate is 528 expected to be minimal due to the low electron concentration 529 as compared with holes in the near-surface region of silicon. 530 Further junction improvement requires higher potential 531 barriers, which can be achieved mainly by modifying the 532 work function of nSi or PEDOT:PSS.³⁸ From this point of 533 view, the role of interface states is not so critically important 534 for diodes working in the inversion regime if the density of 535 interface states is lower than 10¹³ cm⁻². However, despite 536 inversion in the near-surface region of the silicon substrate, the 537 interface states play an important role in the charge carrier 538 transport, trapping, and recombination in PEDOT:PSS/n-Si 539 heterojunctions over a wide temperature range.

An additional complicating factor is the electron blocking 541 property due to the high conduction band offset (see Figure 542 8a). To contribute to the diffusion current at forward bias, 543 electrons in Si should overcome the complete potential barrier 544 $\varphi_{\rm B}$ + $\Delta E_{\rm C}$, which consists of the built-in potential $\varphi_{\rm B}$ and the 545 conduction band offset ΔE_C . Because thermionic emission of 546 electrons from Si into PEDOT has low probability, the LUMO 547 states do not contribute significantly to conduction. However, 548 electrons have a chance to overcome a lower potential barrier 549 in the space-charge region of Si, thus contributing to the 550 forward current. Carrier exchange is possible in the case of 551 alignment of the conduction band of Si with upper states of 552 PEDOT bipolaron bands, the distances of which from the 553 HOMO band are near 0.98 and 1.14 eV, correspondingly³⁶ 554 (see Figure 8). The present mechanism is confirmed by the 555 observation of an Arrhenius behavior of the saturation current 556 J_{01} with an activation energy, which matches well with the 557 built-in potential $\varphi_{\rm B}$.

If there were no other transport channels, the forward 559 current would be significantly lower than the experimental 560 values. As follows from the analysis of the J-V dependences 561 measured at different temperatures, one of the main 562 contributions to the forward current is recombination via the 563 PEDOT:PSS/nSi interface states leading to a domination of 564 the term with n=2 in eq 1. This is due to the fact that holes in 565 the HOMO band of PEDOT:PSS have a chance to overcome a 566

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567 potential barrier $\Delta E_{\rm v}$ and recombine with electrons in the 568 space-charge region of Si or/and via interface traps. By 569 analyzing the admittance and direct current measurements, we 570 can conclude that the recombination of electrons with holes via 571 interface states associated with a trivalent dangling bond state, 572 so-called P_h centers, at the SiO₂/Si interface has a strong 573 impact on the forward current. To clarify the role of Pb centers, 574 we analyzed the temperature dependence of the recombination 575 current, I_{02} . The activation energy of 290 \pm 10 meV, 576 determined from an Arrhenius plot of $\ln I_{02}(T)$ vs 1/kT for 577 the PEDOT:PSS/n-Si junction, gives an energy distance 578 between the top of the HOMO band and the top of the 579 valence band of Si substrate, i.e., potential barriers for holes, 580 which flow from PEDOT:PSS to silicon at the forward bias. In 581 addition, UPS measurements detected the position of Fermi s82 level in the PEDOT:PSS near $E_{\rm F}-E_{\rm v}$ = 0.07 \pm 0.02 eV (see 583 Figure 8). Therefore, we can conclude that the peak at $E_v + 0.3$ 584 eV of P_b centers is aligned with the Fermi level position, which 585 makes an effective exchange by holes between SiO_x/Si 586 interface states and the HOMO band possible and therefore 587 facilitates the recombination process.

Assuming that electrons in Si that pass over the built-in 589 potential would recombine with holes at the PEDOT:PSS/n-Si 590 interface, we can estimate the recombination velocity v_1 from 591 eq 1, where $J_{02} = qD_{it}v_{I}$ is the dark recombination current and $592~D_{\rm it}$ is the density of interface traps derived from admittance 593 spectroscopy. This leads to an interface recombination velocity 594 of 480 cm/s at 295 K for the PEDOT:PSS/n-Si junction. Such 595 a low surface recombination rate is due to a reduced 596 concentration of electrons (majority carriers) near the silicon 597 surface in the inversion regime, preventing their recombination 598 with holes via interface states.

The estimated recombination velocity fits well to the 600 observation for the SiO₂/Si interface, which is usually in the 601 order of 1000 cm/s. 40 This means that the forward current in 602 Au/PEDOT:PSS/n-Si structures is determined by the 603 recombination efficiency through the states associated with 604 SiOx/Si interface traps, namely Pb centers. Because of 605 microvoids in the PEDOT: PSS films, this interface is 606 nonuniform because both the PEDOT:PSS/n-Si and SiO_x/n-607 Si junctions are present. As it was concluded in ref 7, it is 608 impossible to avoid the formation of an ultrathin SiO_x layer on 609 the Si surface while producing PEDOT:PSS/n-Si hetero-610 junctions due to the short contact with ambient atmosphere 611 after removing from the HF acid, oxygen diffusion through 612 PEDOT:PSS thin film, and oxidation of the Si surface by PSS 613 species.³⁵ The presence of 1.6 nm thick SiO_x films on the Si 614 surface was detected by spectroscopic ellipsometry measure-615 ments in the studied PEDOT:PSS/n-Si junctions (see Figure 616 S3). Thus, despite the production of polymer films 617 immediately after HF treatment, it was not possible to avoid 618 the formation of an oxide layer, the presence of which could 619 greatly affect the transport properties of solar cells. 41 In 620 addition to the expected improvement of carrier separation due 621 to inducing band bending in the Si substrate, the interfacial 622 oxide increases the serial resistance and limits the current. As a 623 consequence, the temperature dependence of the serial 624 resistance demonstrates an activation behavior with an energy 625 of 290 \pm 10 meV, which agrees well with the position of hole 626 traps at E_v + 0.3 eV.

Thus, these results suggest that the electrical transport 628 mechanisms of the PEDOT:PSS/Si heterojunction are

determined by interfacial defects associated with Pb centers 629 with deep levels in the silicon band gap.

CONCLUSIONS

In conclusion, we addressed the origin of interface states of 632 conducting polymer PEDOT:PSS on n-type silicon wafers. 633 The charge transport mechanism in the hybrid solar cells was 634 experimentally studied by using admittance spectroscopy as 635 well as current-voltage and capacitance-voltage measure- 636 ments. The potential barrier height of diodes was found to be 637 determined mainly by the difference in work function of the 638 PEDOT:PSS and Si, indicating a low density of interface states. 639 To describe the J-V dependences measured at different 640 temperatures, we consider two models for the system. The first 641 one is a typical metal-semiconductor Schottky junction based 642 on thermionic emission of majority carriers, electrons in n-Si, 643 over a built-in potential barrier in the near-surface region. 644 Assuming that the PEDOT:PSS polymer film serves as a 645 semitransparent electrode with a work function close to Au, it 646 is not able to explain the behavior of the forward branch of the 647 J-V dependences of PEDOT:PSS/n-Si and PEDOT:PSS/ 648 SiO₂/n-Si structures measured at low temperatures in 649 comparison with the data for the Au/SiO₂/n-Si diodes.

The key to understand the origin of charge carrier transport 651 in the PEDOT:PSS/n-Si solar cells is that the samples studied 652 show a strong inversion near the silicon surface, i.e., the 653 presence of a p-n junction inside the semiconductor leading 654 to the low recombination losses. The interface recombination 655 rate was found to be around 480 cm/s at 295 K, while the 656 interface state density was equal to $2.5 \times 10^{10}~\text{cm}^{-2}~\text{eV}^{-1}$ for 657 Au/PEDOT:PSS/n-Si. The second, two diode model includ- 658 ing parallel and serial resistance was applied for the numerical 659 analysis of the I-V curves measured at different temperatures. 660 We show that the observed dependences can be understood 661 within the inversion layer model in terms of the diffusion of 662 minority carriers in silicon, blocking of electron flow at forward 663 biases, and dominating recombination current associated with 664 P_b centers at the PEDOT:PSS/n-Si interface.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the 668 ACS Publications website at DOI: 10.1021/acsaem.9b01083. 669

AFM images of PEDOT:PSS film on silicon surface, J-V 670 characteristics under AM1.5 spectrum irradiation of the 671 hybrid PEDOT:PSS/n-Si solar cell, and spectral 672 ellipsometry data (PDF)

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