Letter

# Electrothermal Feedback and Absorption-Induced Open-Circuit-Voltage Turnover in Solar Cells

Sascha Ullbrich, <sup>1,\*</sup> Axel Fischer, <sup>1,†</sup> Zheng Tang, <sup>1</sup> Jorge Ávila, <sup>2</sup> Henk J. Bolink, <sup>2</sup> Sebastian Reineke, <sup>1</sup> and Koen Vandewal <sup>1</sup> Dresden Integrated Center for Applied Physics and Photonic Materials (IAPP) and Institute for Applied Physics, Technische Universität Dresden, Nöthnitzer Straße 61, 01187 Dresden, Germany <sup>2</sup> Instituto de Ciencia Molecular (ICMol), Universidad de Valencia, Calle Catedrático José Beltrán 2, 46980 Paterna, Spain

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Solar panels easily heat up upon intense solar radiation due to excess energy dissipation of the absorbed photons or by nonradiative recombination of charge carriers. Still, photoinduced self-heating is often ignored when characterizing lab-sized samples. For light-intensity-dependent measurements of the open-circuit voltage (Suns- $V_{\rm OC}$ ), allowing us to characterize the recombination mechanism, sample heating is often not considered, although almost 100% of the absorbed energy is converted into heat. Here, we show that the frequently observed stagnation or even decrease in  $V_{\rm OC}$  at increasingly high light intensities can be explained by considering an effective electrothermal feedback between the recombination current and the open-circuit voltage. Our analytical model fully explains the experimental data for various solar-cell technologies, comprising conventional inorganic semiconductors as well as organic and perovskite materials. Furthermore, the model can be exploited to determine the ideality factor, the effective gap, and the temperature rise from a single Suns- $V_{\rm OC}$  measurement at ambient conditions.

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Photovoltaic devices convert absorbed photons from the Sun into electrical power. During this process, unavoidable thermalization processes lead to self-heating. For silicon solar cells, the relaxation of charge carriers created by photons with energies larger than the optical gap accounts for about 30% of the absorbed energy [1]. Furthermore, the radiative fraction is well below 1% of the total recombination current for most technologies [2]. This leaves nonradiative losses as the dominant recombination mechanism, leading to a temperature increase of photovoltaic devices under illumination. The influence of temperature on solar-cell parameters, for instance on the open-circuit voltage, is well known [3]. For most material systems, higher temperatures are unfavorable for the powerconversion efficiency due to the decrease in  $V_{\rm OC}$ , making active cooling of the devices an option [4,5]. In a few cases, some technologies, such as organic solar cells, can benefit

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At open circuit, almost 100% of the energy is converted into heat since an external current flow is suppressed. As a consequence, internal or external series resistances do not alter the open-circuit voltage  $(V_{\rm OC})$ , a fact exploited in Suns- $V_{\rm OC}$  measurements [8,9]. With this technique, the  $V_{\rm OC}$  is recorded for various intensities and photocurrents, yielding a pseudo-current-voltage curve that follows the Shockley equation for open-circuit conditions, i.e.,  $J(V_{\rm OC})=0$ :

$$J_{\rm ph} = J_{00} \exp\left(\frac{qV_{\rm OC} - E_a}{n_{\rm id}kT}\right). \tag{1}$$

Hereby is  $J_{\rm ph}$  the photogenerated current at a specific incident illumination intensity ( $I_{\rm Sun}$ ) and  $E_a$  describes an activation energy corresponding to the effective band gap at which recombination takes place, while  $J_{00}$  is the maximum theoretical recombination current. Suns- $V_{\rm OC}$  or the more elaborate  $J_{\rm sc}$ - $V_{\rm OC}$  measurement are widely used among many material systems and solar-cell communities. These techniques have been introduced to study recombination processes, e.g., by determining the ideality factor  $n_{\rm id}$ , or to obtain intrinsic charge-carrier densities of organic solar cells [10,11]. Temperature-dependent Suns- $V_{\rm OC}$  measurements

<sup>\*</sup>Corresponding author. sascha.ullbrich@iapp.de †Corresponding author. axel.fischer@iapp.de

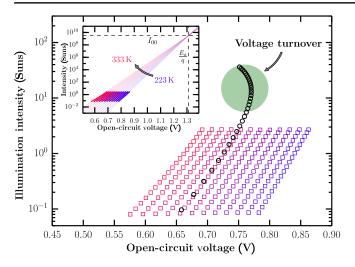


FIG. 1. Suns- $V_{\rm OC}$  measurement of an organic solar cell based on a F4-ZnPc: $C_{60}$  blend and an area of 6.44 mm² for different ambient temperatures (colored squares) from 223 to 333 K in steps of 10 K. Using a global fitting routine according to Eq. (1), it is possible to determine the activation energy  $E_a$ . Black circles present a Suns- $V_{\rm OC}$  measurement taken at ambient temperatures using a brighter illumination source. At high light intensities, saturation of  $V_{\rm OC}$  and a turnover is observed.

(see colored squares in Fig. 1) additionally allow us to determine  $J_{00}$  and the effective gap  $E_a$  of a solar cell [12].

Although Suns- $V_{OC}$  measurements are valuable, the effect of sample heating has not been discussed thoroughly, despite using illumination intensities of up to 1000 Sun equivalents [13,14]. At high applied intensities, an absorption-induced self-heating of the device becomes likely, ultimately leading to a decreased  $V_{\rm OC}$ . Indeed, a saturation of  $V_{\rm OC}$  has frequently been observed at strong irradiation, but has been typically attributed to surface recombination rather than heating [15–17]. Moreover, voltage turnovers at increasing light intensities (see black circles in Fig. 1) have been observed for both inorganic [13,14,18] and organic solar cells [12]. Again, this effect is assigned to the contacts and can be modeled as a Schottky diode and parallel shunt resistor equivalent circuit, reducing the voltage at high intensities [13], or it can be an intrinsic feature of silicon heterojunction solar cells [19].

In this work, we provide an alternative simple analytical model describing these voltage turnover effects in Suns- $V_{\rm OC}$  measurements (black circles in Fig. 1) and show that such a behavior can often be solely explained by an electrothermal feedback effect. We validate this model for various solar-cell technologies including small-molecule organic solar cells, perovskite solar cells, and silicon solar cells. Furthermore, understanding the absorption-induced self-heating has also practical significance. The distinct shape of the curve allows us to determine the ideality factor, the relevant energy gap, and the temperature rise from a single measurement at ambient conditions. Thus, the presented adapted Suns- $V_{\rm OC}$  technique allows us

to quickly compare different materials and structures regarding these basic parameters without requiring an external temperature control.

In order to demonstrate this method, we first perform a set of Suns- $V_{\rm OC}$  measurements where we continuously illuminate the device with a fixed intensity for a hold time of 30 s and subsequently record  $V_{\rm OC}$ . The light intensity is measured with a photodiode and calibrated to Sun equivalents based on mismatch-corrected J(V) characteristics (see Supplemental Material, part III [20]). The red circles in Fig. 2 correspond to these continuous Suns- $V_{\rm OC}$  measurements, which reproduce the typical voltage saturation and turnover for various solar-cell technologies [more details and J(V) characteristics can be found in the Supplemental Material, part I [20]].

In order to explain this observation, we invoke self-heating effects and consider the following: A temperature change  $\Delta T$  caused by absorption-induced self-heating is given by the product of the dissipating power and a thermal

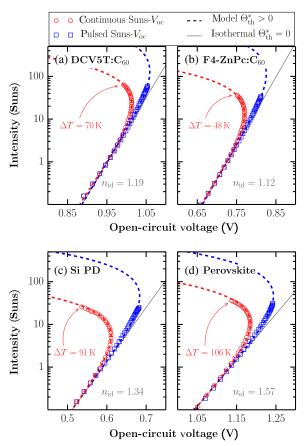


FIG. 2. Suns- $V_{\rm OC}$  measurements of (a) organic solar cell comprising a blend of DCV5T as donor and  $C_{60}$  as acceptor material; (b) organic solar cell with F4-ZnPc as donor and  $C_{60}$  as acceptor; (c) silicon photo diode; (d) perovskite solar cell. Red circles show a voltage turnover which can be explained by absorption-induced self-heating. For comparison, blue squares depict a measurement with a cooldown time between each data point with reduced electrothermal feedback. The latter lay closer to the isothermal curve.

resistance  $\Theta_{\rm th}$ . The thermal resistance depends on various parameters, such as the substrate geometry and the heat dissipation to the environment and can be time dependent [21,22]. By definition, there is no current flow at open-circuit conditions, which means that almost all absorbed energy is eventually converted into heat. It, therefore, can be described by the absorption A, the photon flux  $\Phi$  of the light source, and the energy of the photons  $E_{\rm ph}$ :

$$\Delta T = \Theta_{\rm th} \int A(\lambda) \Phi(\lambda) E_{\rm ph}(\lambda) d\lambda = \underbrace{\Theta_{\rm th} \tilde{A}}_{\Theta_{\rm th}^*} I_{\rm Sun}.$$
 (2)

Typically, solar cells are characterized under standard reporting conditions, i.e., the photon flux is well defined by the AM1.5 spectrum [23], while the product of photon flux and energy describes the intensity  $I_{\rm Sun}$  in units of Sun equivalents. For simplicity, it is convenient to introduce a reduction factor  $\tilde{A}$  accounting for the fraction of incident energy that is absorbed, leading to an effective thermal resistance  $\Theta_{\rm th}^*$  as fitting parameter. Inserting Eq. (2) into the Shockley equation [Eq. (1)], assuming that the photogenerated current is proportional to the incident illumination intensity, i.e.,  $J_{\rm ph} \propto I_{\rm Sun}$ , and solving it for  $V_{\rm OC}$  yields

$$V_{\rm OC}(I_{\rm Sun}) = (T_{\rm amb} + I_{\rm Sun}\Theta_{\rm th}^*)\frac{n_{id}k}{q}\ln\left(\frac{I_{\rm Sun}}{I_{00}}\right) + \frac{E_a}{q}. \tag{3}$$

Equation (3) takes into account self-heating and below we show that it fully describes the observed saturation and turnover effects. Note that Eq. (3) is valid only at open-circuit conditions and the extracted parameters cannot reproduce the full J-V characteristics with the ideal Shockley equation. However, they can be used in combination with more elaborated models, e.g., for transport-limited solar cells that include charge-carrier mobilities and recombination rates [9] or for drift-diffusion simulations. To fit the measurement data with Eq. (3), it is convenient to reduce the number of fitting parameters by substituting  $I_{00}$  in Eq. (3) with Eq. (1), taking a reference point on the experimental curve ( $V_{\rm ref}$ ,  $I_{\rm ref}$ ), where self-heating is negligible ( $\Delta T < 0.5$  K).

$$V_{\rm OC}(I_{\rm Sun}) = (T_{\rm amb} + I_{\rm Sun}\Theta_{\rm th}^*)\frac{n_{id}k}{q} \times \left[\ln\left(\frac{I_{\rm Sun}}{I_{\rm ref}}\right) + \frac{qV_{\rm ref} - E_a}{n_{id}kT_{\rm amb}}\right] + \frac{E_a}{q}. \quad (4)$$

Using Eq. (4), we can describe the experimental data for all investigated solar-cell technologies. Table I summarizes the values of the fitting parameters  $E_a$ ,  $n_{\rm id}$ , and  $\Theta_{\rm th}^*$ . Both representative small-molecule organic solar cells, DCV5T:C<sub>60</sub> [24,25] and F4-ZnPc:C<sub>60</sub> [26], show ideality factors close to 1, comparable to the ones observed previously at solar intensities for a ZnPc:C<sub>60</sub> blend [27]. For both organic solar cells, activation energies nicely

TABLE I. Fitting parameters of the experimental data shown in Fig. 2 according to Eq. (3).  $\Theta^*_{\text{th},c}$  and  $\Theta^*_{\text{th},p}$  correspond to the effective thermal resistance of the pulsed and continuous Suns- $V_{\text{OC}}$  measurement, respectively.

Material	$n_{\mathrm{id}}$	$E_a$ (eV)	$\Theta_{\operatorname{th},c}^*$ (K/Sun)	$\Theta_{\operatorname{th},p}^*$ (K/Sun)
DCV5T:C <sub>60</sub>	1.19	1.44	1.10	0.20
F4-ZnPc:C <sub>60</sub>	1.12	1.31	1.33	0.18
Silicon	1.34	1.21	3.58	0.49
Perovskite	1.57	1.65	2.97	0.80

correspond to values obtained from temperature-dependent Suns-V<sub>OC</sub> measurements as described by Tvingstedt and Deibel [12] (see Fig. 1 for F4-ZnPc:C<sub>60</sub>; for DCV5T: C<sub>60</sub> see Supplemental Material, part III [20]). However, here we use an adapted technique, which requires no external temperature control and solely knowledge of the ambient temperature. Since both small-molecule devices have the same device architecture and substrate, the effective thermal resistances of both samples are indeed very similar, on the order of 1 K/Sun. Even below intensities of 10 Suns we see deviations from the isothermal curves, which already originate from a photoinduced sample heating. For the perovskite solar cell [28], we find an ideality factor of 1.57, a bit lower than reported elsewhere [29]. We determine an activation energy of 1.65 eV, which is close to the expected gap for this material system [30]. The effective thermal resistance is among the highest of the investigated devices, which can be explained by the very strong absorption of the perovskite [see Eq. (2)]. The same applies for the silicon photodiode, with an about threefold effective thermal resistance as compared to the organic representatives. We are able to fit the data with a band gap of 1.21 eV, which is slightly higher than the well-known band gap for crystalline Si of 1.12 eV [31]. However, a similar value of 1.23 eV has been determined from temperature-dependent voltage measurements [32]. For a solution-processed solar cell based on P3HT:PCBM, a material system with comparably low absorption, we barely see a voltage turnover, but a saturation of  $V_{\rm OC}$  is present at 30 Suns (see Supplemental Material, part IV [20]). Based on our model, we can estimate temperature changes of up to 100 K for the highest intensities used in this work (at  $\approx 35$  Suns for the perovskite sample). The voltage turnover itself typically happens at smaller temperature rises  $\Delta T$  in the range of 20 to 30 K. Overall, we are able to extract a single global ideality factor for this intensity range, despite changes in the slope of the Suns- $V_{\rm OC}$  measurements.

In order to further verify our model, we perform a second measurement where we minimize self-heating. Instead of keeping the intensity constant at each point for 30 s, we illuminate the devices with a rather short pulse of a few hundred milliseconds, followed by 30 s of darkness. These pulsed Suns- $V_{\rm OC}$  measurements are depicted as blue squares in Fig. 2. When pulsing the incident light, none

of the investigated solar cells heats up sufficiently to show a voltage turnover. This indicates that neither the contact of the solar cell nor approaching the built-in voltage can deliver a full explanation of the voltage turnover. Still, our model is able to describe these pulsed Suns- $V_{\rm OC}$  measurements with a lower effective thermal resistance (Table I). It is also evident, that even in the pulsed measurement the data points deviate from the ideal isothermal case (gray solid line). Our automated measurement routine produces light pulses with a length of about 500 ms. In order to prove whether there still is a significant self-heating effect leading to deviations from the isothermal curve, we perform timeresolved measurements of the open-circuit voltage within the 35 s upon illumination of the F4-ZnPc:C<sub>60</sub> and perovskite solar-cell sample for different fixed intensities (Fig. 3). For F4-ZnPc:C<sub>60</sub> [Fig. 3(a)] we find voltage drops of a few millivolts already after a few 100 ms, a change sufficiently high to affect the pulsed (blue) measurement in Fig. 2. After 500 ms, the solar cells already lose a few millivolts as compared to the maximum values at high intensities. In contrast to F4-ZnPc:C<sub>60</sub>, perovskite-based solar cells need much longer to reach an electronic steady state [33]. Any measurement with shortly applied pulses would characterize these cells in an electronic nonequilibrium (see Supplemental Material, part VII [20]), making a comparison between different structures and material compositions impossible. For this reason, the pulsed measurement is done with a 2-s pulse, which is sufficient to reach the electronic steady state. However, it makes the device more vulnerable to self-heating and thus explains the higher effective thermal resistance (Table I). This finding highlights the necessity of our model for material systems

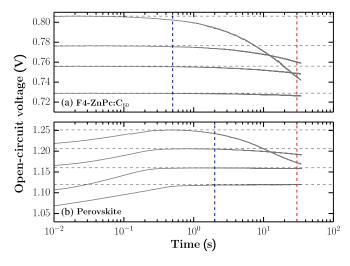


FIG. 3. Dependence of the open-circuit voltage as a function of time for various intensities for (a) the F4-ZnPc: $C_{60}$  sample and (b) for the perovskite sample. Because of self-heating and a consequential increase of the cell temperature,  $V_{\rm OC}$  decreases over time. The blue and red dashed lines mark the point in time at which  $V_{\rm OC}$  is measured in the pulsed and continuous Suns- $V_{\rm OC}$  measurement, respectively.

where long light pulses are needed to reach the electronic steady state. With our approach, one can directly benefit from a temperature change, thus, self-heating is not to be prevented. Depending on the measurement conditions, such as the light intensity and geometry and heat dissipation of the sample, it can be necessary to use time-resolved measurement techniques to investigate the solar cell close to isothermal conditions.

In order to understand the physical origin of the voltage turnover at high light intensities in more detail, we show in Fig. 4 a plot of Eq. (3) for different effective thermal resistances. In the absence of absorption-induced self-heating, i.e.,  $\Theta_{\rm th}^*=0$ , the open-circuit voltage scales linearly with the logarithm of the illumination intensity as illustrated by dotted black lines in Fig. 4. Upon changing the ambient temperature  $T_{\rm amb}$ , the slope will change but all curves will ultimately cross the  $(E_a,I_{00})$ . With increasing effective thermal resistance, the solar cell will heat up, reducing its open-circuit voltage, and eventually leading to a voltage turnover. Such an electrothermal feedback mechanism can also be found in thermistor devices as well as in organic light-emitting diodes, where the power dissipation increases faster than the heat can be conducted [34,35].

Taking the derivative of Eq. (3) gives an expression for the intensities of the turnover points  $TOP_1$  and  $TOP_2$ :

$$TOP_{1,2} = I_{00} \left[ W_{0,-1} \left( -\frac{eT}{\Theta_{th}^* I_{00}} \right) - 1 \right], \tag{5}$$

where  $W_0$  and  $W_{-1}$  are the upper and lower branch of the Lambert W function [36], respectively, and e is Euler's number. For  $W_{0,-1} = -1$ , both turnover points coincide,

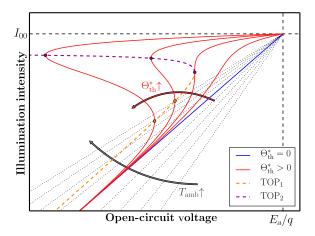


FIG. 4. Schematic illustration of the self-heating model. The black dotted lines show the isothermal behavior for different temperatures. All curves meet in the point  $(I_{00}, E_a/q)$ . Adding absorption-induced self-heating results in deviations from the isothermal curves (red lines), i.e., the voltage decreases with increasing self-heating coefficient  $\Theta_{\rm th}^*$ . The turnover points  ${\rm TOP}_{1,2}$  (orange and purple dashed line) exists for  $\Theta_{\rm th}^* > e^2 T_{\rm amb}/I_{00}$ ; their dependence on  $\Theta_{\rm th}^*$  and  $T_{\rm amb}$  are shown in the Supplemental Material, part V [20].

which is the case when  $\Theta_{\text{th},0}^* = e^2 T/I_{00}$  (see Supplemental Material [20] for more details), while no turnover points are defined for thermal resistances smaller than  $\Theta_{\text{th},0}^*$ . The intensity at which the first turnover point (TOP<sub>1</sub>) occurs, decreases for increasing effective thermal resistance and lower ambient temperatures (see Supplemental Material [20] for more details). Therefore, the effect is even stronger when conducting Suns- $V_{\text{OC}}$  measurements at low ambient temperatures, i.e., the turnover appears at lower light intensities. Indeed, we find data in the literature which support this trend [12–14]. The second turnover point (TOP<sub>2</sub>) will always appear very close to  $I_{00}$ , thus making it very unlikely to reach this point experimentally (see Supplemental Material [20]).

In conclusion, we present a model that includes absorption-induced self-heating for the analysis of Suns- $V_{\rm OC}$ measurement. It explains the saturation of the open-circuit voltage with increasing light intensities for various photovoltaic technologies. The results reveal that commonly invoked causes such as surface recombination, the density of states, or the back contact are not necessarily needed. While it is common to control the temperature of solar cells to determine the performance according to standard testing conditions, we want to emphasize the importance to also consider self-heating in other measurement techniques. In particular, we show that for the perovskite device, longer illumination durations are required to reach a steady state which inevitably leads to self-heating. Additionally, our adapted Suns-V<sub>OC</sub> measurements also allow us to determine the effective gap from a single curve at ambient temperature, which is of special interest for alternative technologies such as small-molecule organic, polymer, and perovskite solar cells.

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#### I. DEVICE INFORMATION

The following list presents the layer sequences, thicknesses and blend ratios of the investigated devices.

- 1.  $DCV5T:C_{60}$  [1, 2] device  $ITO/C_{60}:W_2(hpp)_4(5 nm)/C_{60}(15 nm)/C_{60}:DCV5T$  (2:1 20 nm) / BPAPF (5 nm) / BPAPF:NDP9 (9:1 40 nm) / NDP9 (1 nm) / Al(100 nm)
- 2.  $F_4$ -ZnPc: $C_{60}$  device[3] ITO /  $C_{60}$ : $W_2(hpp)_2(5 nm)$  /  $C_{60}(15 nm)$  /  $C_{60}$ : $F_4$ -ZnPc (1:1 65 nm) / BF-DPB(5 nm) / BF-DPB:F6-TCNNQ(9:1 40 nm) / F6-TCNNQ (1 nm) / Al(100 nm)
- Silicon photodiode
   Hamamatsu S1133 series
- 5. P3HT device ITO / PEIE (1 nm) / P3HT:PCBM (1:1 120 nm) / MoO3 (10 nm)/Ag (100 nm)

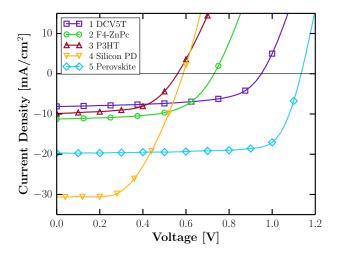


FIG. 1. j - V characteristics.

	$V_{ m oc}$	$j_{ m sc}$	FF	PCE	A	$V_{ m sub}$	$A_{ m sub}$
No. Device	e [V]	$[\mathrm{mA/cm^2}]$	[%]	[%]	$[\mathrm{mm}^2]$	$[\mathrm{cm}^3]$	$[\mathrm{cm}^2]$
1 DCV5	5T 0.950	8.1	61	4.7	6.44	0.81	14.2
2 F4-Zn	Pc 0.726	11.5	62	5.2	6.44	0.81	14.2
3 Silicon	n 0.587	30.6	52	9.8	6.72	0.05	1.4
4 Perov	skite 1.134	19.7	78	17.4	6.53	0.72	19.0
5 P3HT	0.561	9.9	58	3.2	6.44	0.81	14.2

TABLE I. Photovoltaic performance parameters of the devices. A denotes the active area of the device and  $V_{\text{sub}}$  and  $A_{\text{sub}}$  the volume and surface area of the whole device, respectively.

## II. THEORY

We start with the Shockley equation:

$$J(V,T) = J_0 \left[ \exp\left(\frac{qV}{n_{\rm id}kT}\right) - 1 \right] - J_{\rm ph} \tag{1}$$

with the photo-generated current  $J_{\rm ph}$  and  $J_0$  as dark saturation current and  $n_{\rm id}$  as ideality factor accounting for non ideal diode behavior of the solar cell due to non-radiative recombination. The dark saturation current is often referred to as material constant, but depends on temperature, too. Following a Boltzmann distribution,  $J_0$  can be written as:

$$J_0 = J_{00} \exp\left(-\frac{E_{\rm a}}{n_{\rm id}kT}\right) \tag{2}$$

Neglecting the -1 for large V and inserting Eq. 2 in Eq. 1, results in:

$$J(V) = J_{00} \exp\left(\frac{qV - E_{\rm a}}{n_{\rm id}kT}\right) - J_{\rm ph} \tag{3}$$

At open-circuit conditions, the total current flow  $J(V_{oc}) = 0$  leading to:

$$J_{\rm ph} = J_{00} \exp\left(\frac{qV_{\rm oc} - E_{\rm a}}{n_{\rm id}kT}\right) \tag{4}$$

At open-circuit conditions, temperature changes due to self-heating of the device are assumed to be proportional to the absorbed energy per time  $P_{\rm abs}$  times the thermal resistance of the device:

$$\Delta T = \Theta_{\rm th} P_{\rm abs} \tag{5}$$

The absorbed energy is well defined by the photon flux of the light source  $\Phi$  and the energy of the photons  $E_{\rm ph}$  via:

$$P_{\rm abs} = \int A(\lambda) E_{\rm ph}(\lambda) \Phi(\lambda) d\lambda \tag{6}$$

Since it is convenient to indicate the illumination intensity in sun equivalents  $(I_{sun})$ :

$$I_{\rm sun} = \int E_{\rm ph}(\lambda) \Phi_{\rm AM1.5}(\lambda) d\lambda \tag{7}$$

we define a effective absorption  $\tilde{A}$  as follows:

$$\tilde{A} = \frac{\int A(\lambda)E_{\rm ph}(\lambda)\Phi(\lambda)d\lambda}{\int E_{\rm ph}(\lambda)\Phi_{\rm AM1.5}(\lambda)d\lambda}$$
(8)

Inserting Eq. 6 and 8 in Eq. 5, gives the following expression for the temperature change upon absorption:

$$\Delta T = \Theta_{\rm th} \tilde{A} I_{\rm sun} = \Theta_{\rm th}^* I_{\rm sun} \tag{9}$$

with the effective thermal resistance  $\Theta_{\rm th}^* = \Theta_{\rm th} \tilde{A}$ .

Inserting Eq. 9 into Eq. 4 under the assumption that the photo-generated current is proportional to the illumination intensity yields:

$$V_{oc}(I_{\text{sun}}) = (T_{\text{amb}} + I_{\text{sun}}\Theta_{\text{th}}^*) \frac{n_{id}k}{q} \ln\left(\frac{I_{\text{sun}}}{I_{00}}\right) + \frac{E_{\text{a}}}{q}$$

$$\tag{10}$$

For fitting the experimental results we choose a reference point  $(V_{\text{ref}}, I_{\text{ref}})$  at which self-heating is rather negligible (for  $\Delta T < 0.5$ K) and eliminate  $I_{00}$  in Eq. 10, resulting in:

$$V_{oc}(I_{\text{sun}}) = (T_{\text{amb}} + I_{\text{sun}}\Theta_{\text{th}}^*)\frac{n_{id}k}{q} \left[ \ln\left(\frac{I_{\text{sun}}}{I_{\text{ref}}}\right) + \frac{qV_{\text{ref}} - E_{\text{a}}}{n_{\text{id}}kT_{\text{amb}}} \right] + \frac{E_{\text{a}}}{q}$$
(11)

To find the turnover points  $TOP_{1,2}$  of the self-heating curves, we take the derivative of Eq. 10 and set it equal 0:

(12)

With the following solutions:

$$TOP_1 = I_{00} \left[ W_0 \left( -\frac{eT}{\Theta_{\text{th}}^* I_{00}} \right) - 1 \right]$$
 (13)

$$TOP_2 = I_{00} \left[ W_{-1} \left( -\frac{eT}{\Theta_{th}^* I_{00}} \right) - 1 \right]$$
 (14)

Where  $W_0$  and  $W_{-1}$  as upper and lower branch of the Lambert W function, the inverse function of  $xe^x$ . The two solutions are identical, i.e. the function has a saddle point, in case the arguments of the two branches  $W_0$  and  $W_{-1}$  are equal to -1/e which is true for a specific thermal resistance  $\Omega_{\text{th,S}}$ :

$$\Theta_{\text{th,S}}^* = e^2 \frac{T_{\text{amb}}}{I_{00}}$$
 (15)

The turnover points in dependence of the thermal resistance are shown in Fig. 2. No solutions exist for thermal resistances below  $\Omega_{\text{th,S}}$ . We want to point out that e in Eq. 13, 14, and 15 refers to Euler's number and not the elementary charge.

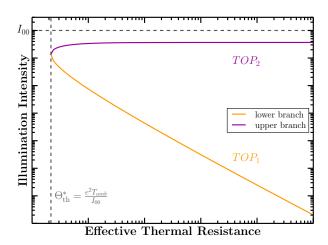


FIG. 2. Solution for the intensities at which turnover points  $(TOP_1 \text{ and } TOP_2)$  exist in accordance to Eq. 13 and Eq. 14 in dependence of the thermal resistance. Note that  $TOP_2$  will be very close to  $I_{00}$  and almost independent of  $\Theta_{th}^*$ .

#### III. EXPERIMENTAL

Basic j-V characterization are conducted using masks to minimize edge effects and to define an exact photoactive area (2.78 mm<sup>2</sup>). The external quantum efficiency is detected with a lock-in amplifier (Signal Recovery SR 7265) under monochromatic illumination (Oriel Xe Arc-Lamp Apex Illuminator combined with Cornerstone 260 1/4m monochromator (Newport, USA)) using a calibrated mono-crystalline silicon reference diode (Hamamatsu S1337 calibrated by Fraunhofer ISE). The j-V characteristics are measured, using a source measurement unit (Keithley SMU 2400), while the cells are illuminated with an spectrally mismatch corrected intensity of 100 mW/cm<sup>2</sup> (AM1.5G) provided by a 16 S003-300 sun simulator (Solarlight Compandy Inc., USA). The intensity is monitored with a Hamamatsu S1337 silicon photodiode.

Pulsed and continuous Suns- $V_{oc}$  measurements have been conducted with a self-made setup, consisting of three Keithley SMU2400, one for controlling light intensity, one for measuring the open-circuit voltage, and one for determination of the light intensity. As light source we use a LED Engin LZP-00CW00, including a LLNF-3T11-H lens and an additional convex lens for further focusing. The intensity is measured with a Newport 818-UV photodiode. The measurement equipment is controlled with the software SweepMe! (obtained here: https://sweep-me.net/).

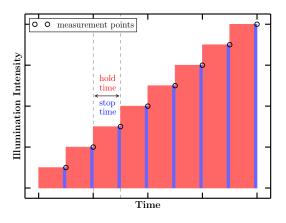


FIG. 3. Scheme of continuous and pulsed measurements.

Temperature dependent Suns- $V_{oc}$  measurements are conducted with a different setup. To externally change the cell temperature, the devices are placed in vacuum on a copper block which is connected to a Peltier element, controlled by a BelektroniG HAT Control device. We varied the temperature between 223K and 323K. Again, everything is controlled by the software SweepMel. A pulsed white high power LED is used as an illumination source (APG2C3-NW, Roithner, Austria). Due to the external heating and the pulsed illumination, the devices are kept close to isothermal conditions during the measurement. The following figures show the measurements for the DCV5T: $C_{60}$ , F4-ZnPc: $C_{60}$  and P3HT:PCBM device, including a global fit with Eq. 4 which yields the activation energy  $E_{a}$  as crossing point of all data points. Since both the perovskite and the silicon device had a different substrate geometry, we could not perform a temperature dependent Suns- $V_{oc}$  measurement with this setup.

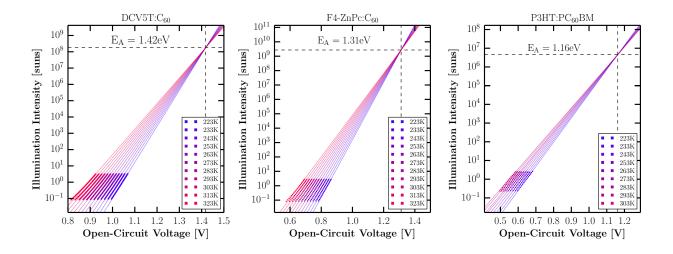


FIG. 4. Temperature dependent Suns- $V_{oc}$  measurements of the DCV5T:C<sub>60</sub>, F4-ZnPc:C<sub>60</sub> and P3HT:PC<sub>60</sub>BM sample.

## IV. P3HT:PC<sub>60</sub>BM DEVICE

The P3HT:PC<sub>60</sub>BM device did not heat up significantly, most likely due to the comparably lower absorption. The turnover point is barely visible, causing the fit with Eq. 11 to fail. However, using the activation energy that was determined with temperature dependent Suns- $V_{\rm oc}$  measurements (see Fig. 4), we are able to extract the effective thermal resistances which are the lowest among all measured devices, indicating a lower absorption.

Material	$n_{\mathrm{id}}$	$E_{\rm a}$	$\Theta^*_{ m th,c}$	$\Theta^*_{ ext{th,p}}$
P3HT:PC <sub>60</sub> BM	1.50	$1.16^{*}\mathrm{eV}$	$0.76\mathrm{K/sun}$	$0.09\mathrm{K/sun}$

TABLE II. Fitting parameters for the P3HT:PC $_{60}$ BM device. \*The activation energy was fixed to the value obtained by the temperature dependent Suns- $V_{\rm oc}$  measurements.

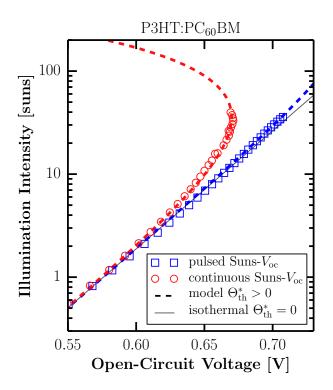


FIG. 5. Suns- $V_{\rm oc}$  measurement of a P3HT:PC<sub>60</sub>BM solar cell.

## V. INFLUENCE OF AMBIENT TEMPERATURE

Recently, Suns- $V_{oc}$  measurements have been performed at different device temperatures. [5] Assuming no change of the activation energy and keeping  $\Theta_{th}^*$ , and  $I_{00}$  constant, we see that the first turnover point (TOP<sub>1</sub>) moves towards lower intensities (orange line in Fig. 6). Here, a smaller temperature change is sufficient to reach the voltage saturation. The intensity is proportional to the temperature rise. Thus, the voltage turnover happens at smaller temperature changes for decreasing  $T_{amb}$ .

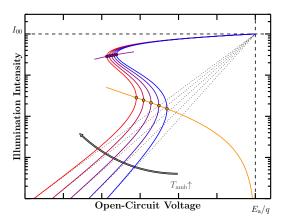


FIG. 6. Solution for the intensities at which turnover points  $(TOP_1 \text{ and } TOP_2)$  exist in accordance to Eq. 13 and Eq. 14 in dependence of the thermal resistance.

#### VI. THERMAL CIRCUIT MODEL

The thermal circuit can be described by a combination of two thermal capacitances  $C_{\rm th}$ , and two thermal resistances  $R_{\rm th}$  for the glass substrate and the surrounding environment, respectively. The substrate consists of BOROFLOAT 33 glass, with the material parameters as shown in the following table:

parameter	symbol	value	unit
specific thermal capacitance			
thermal conductivity	$\lambda$	1.2	${\rm W}{\rm m}^{-1}{\rm K}^{-1}$
density	$\rho$	2.2	$\rm gcm^{-3}$
thickness	d	1.25	mm

With  $C_{\rm th} = \rho cAd$  and  $R_{\rm th} = d/\lambda A$ , the time constant  $C_{\rm th}R_{\rm th}$  for the BOROFLOAT 33 glass becomes 2.4 s. To describe the environment a far more elaborate consideration is necessary, including heating and convection of the surrounding air. We thus include an additional effective time constant  $\tau^*$ , one order higher than  $C_{\rm th}R_{\rm th}$  since the thermal conductivity is lower for air compared to glass. The temperature change is proportional to the open-circuit voltage. Fig. 7 shows a comparison of the time dependence of  $V_{\rm oc}$  upon illuminating the F4-ZnPc:C<sub>60</sub> device and the thermal circuit. It can be seen that this simple model is in a very good agreement.

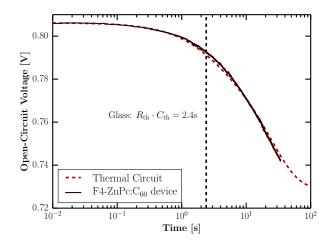


FIG. 7. Comparison of the time dependence of  $V_{\rm oc}$  for the F4-ZnPc:C<sub>60</sub> device (solid black line) and a thermal circuit (red dashed line) at an intensity of about 24 suns. After 30 s the sample heats up of roughly 30 K.

### VII. PULSE TIME VARIATION

Fig. 8 shows a Suns- $V_{\rm oc}$  measurement for the perovskite device with different hold times. With increasing hold times, the turnover becomes stronger. However, for the shortest hold time, the device does not reach a steady state, causing deviations and wrong ideality factors.

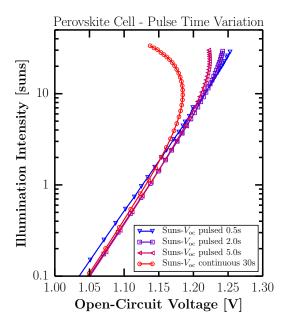


FIG. 8. Suns- $V_{\rm oc}$  measurements for different hold times of the perovskite solar cell.

\* sascha.ullbrich@iapp.de

- † axel.fischer@iapp.de
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