

# A Generalized Theory Explains the Anomalous Suns– $V_{OC}$ Response of Si Heterojunction Solar Cells

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**Abstract**—Suns– $V_{OC}$  measurements exclude parasitic series resistance effects and are, therefore, frequently used to study the intrinsic potential of a given photovoltaic technology. However, when applied to a-Si/c-Si heterojunction (SHJ) solar cells, the Suns– $V_{OC}$  curves often feature a peculiar turnaround at high illumination intensities. Generally, this turn-around is attributed to extrinsic Schottky contacts that should disappear with process improvement. In this paper, we demonstrate that this voltage turnaround may be an intrinsic feature of SHJ solar cells, arising from the heterojunction (HJ), as well as its associated carrier-transport barriers, inherent to SHJ devices. We use numerical simulations to explore the full current–voltage ( $J$ – $V$ ) characteristics under different illumination and ambient temperature conditions. Using these characteristics, we establish the voltage and illumination-intensity bias, as well as temperature conditions necessary to observe the voltage turnaround in these cells. We validate our turnaround hypothesis using an extensive set of experiments on a high-efficiency SHJ solar cell and a molybdenum oxide ( $\text{MoO}_x$ ) based hole collector HJ solar cell. Our work consolidates Suns– $V_{OC}$  as a powerful characterization tool for extracting the cell parameters that limit efficiency in HJ devices.

**Index Terms**—Amorphous semiconductors, current–voltage ( $J$ – $V$ ) characteristics, heterojunctions (HJs), modeling and simulation, silicon, solar cells or photovoltaics, Suns– $V_{OC}$ .

## I. INTRODUCTION

**E**XCLUDING the extrinsic series resistance allows one to quantify the intrinsic power conversion potential of a solar cell. The study of illumination intensity (or, equivalently, as-

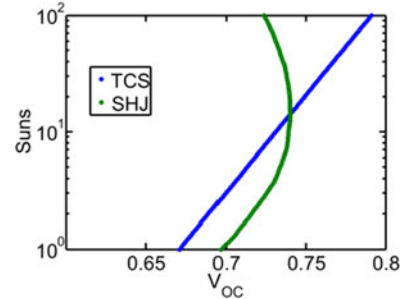


Fig. 1. Experimental Suns– $V_{OC}$  measurements for typical TCS solar cell (blue), reproduced from [3] and SHJ solar cell (green), reproduced from [5] are presented.

sociated short-circuit current density:  $J_{SC}$ ) versus open-circuit voltage ( $V_{OC}$ ) characteristic is one approach that is often used toward this goal, especially for c-Si solar cells [1], [2]. Sinton Instruments developed the Suns– $V_{OC}$  characterization setup, capable of fast, automated measurements [3], [4]. Since then, the technique has been used to characterize other solar cells as well, such as a-Si/c-Si heterojunction (SHJ) [5] (see Fig. 1), Cu(In,Ga)Se<sub>2</sub>, and CuZnSnS<sub>4</sub> [6] solar cells. This methodology is also finding new applications in real-time monitoring of series resistance degradation in outdoor photovoltaic arrays, as a proxy for overall degradation of panel efficiency [7].

For a homojunction c-Si solar cell (labeled hereafter as traditional c-Si solar cell, TCS), the blue curve in Fig. 1 shows that  $V_{OC}$  depends logarithmically on the illumination intensity (Suns,  $I$ ). This is because the free-carrier generation scales with  $I$ , which in turn increases logarithmically the splitting of the quasi-Fermi levels, and thus, the voltage developed across the cell. At the open-circuit condition, the standard solar cell equation (obtained through the superposition of light and dark currents) can be rewritten to obtain

$$V_{OC}(I) = \frac{nk_B T}{q} \log \left( \frac{J_{SC}(I)}{J_0} \right) \quad (1)$$

where  $J_{SC}$  scales with  $I$ , and other symbols have their usual meanings.

In contrast, in heterojunction (HJ) solar cells [e.g., SHJ solar cells], the Suns– $V_{OC}$  curve sometimes features a peculiar turnaround at high  $I$  (see the green curve in Fig. 1), inconsistent with (1). Such turnaround has sometimes been attributed to the formation of a parasitic front Schottky contact [4], [5], but the fundamental physics and the conditions under which it may occur are not yet fully understood.

In this study, we show that the origin of the turnaround for this class of devices need not be *extrinsic*; rather, the valence band offset at the HJ between the hole collector (i.e., the p/i a-Si

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stack) and base (n c-Si), *intrinsic* to an SHJ solar cell, can explain this anomalous feature as well. We find that this HJ hypothesis is consistent with peculiarities observed in light  $J$ - $V$  characteristics reported in earlier works [8], [9]. Fundamentally, the turnaround is dictated by the HJ band offset, which is controlled by a combination of intensity, temperature, and bias conditions.

Furthermore, this study suggests that the technique, when appropriately interpreted, can be used to obtain a broad range of information about solar cells. For example, the HJ theory presented here is consistent with our earlier work on dark and light  $J$ - $V$  [8], impedance spectroscopy, and  $C$ - $V$  analysis [10] of SHJ solar cells. Therefore, the Suns- $V_{oc}$  technique can be used, in combination with other techniques, to extract device parameters, such as the HJ band offset ( $\Delta E_V$ ), surface potential ( $\phi_N$ ), etc. We also explain how the Suns-Photovoltage curves can be used to generalize the Suns- $V_{oc}$  measurement at various bias conditions to help analyze the above features in a systematic and consistent manner. Finally, we validate our HJ hypothesis using experiments on two HJ device types, namely, a “standard” high-efficiency SHJ and a substoichiometric molybdenum oxide (MoO<sub>x</sub>)-based hole collector HJ device, reported in [11].

## II. PHYSICS OF INTENSITY AND TEMPERATURE RESPONSE

### A. Validity of the Principle of Superposition

Here, we provide the rationale for our HJ hypothesis by studying the necessary conditions for SHJ solar cells to satisfy (1). In any solar cell, regardless of whether it follows the superposition principle or not, the total current ( $J_{Tot}$ ) at a given intensity ( $I$ ), bias ( $V$ ), and temperature ( $T$ ) can be written as [12]

$$J_{Tot}(I, V, T) = J_{Photo}(I, V, T) + J_{Inj}(I, V, T) \quad (2)$$

where  $J_{Photo}$  is the total current density due to the photogenerated carriers, and  $J_{Inj}$  is the total current density due to diode-injected carriers. For a typical TCS solar cell, it is possible to simplify (2) by making the following two assumptions.

- 1) The diode injection current density [ $J_{Inj}(I, V, T)$ ] is independent of the illumination intensity and is equal to the dark current density [ $J_{Inj} = J_{Dark}(V, T)$ ].
- 2) The photocurrent density [ $J_{Photo}(I, V, T)$ ] is independent of the operating voltage and temperature so that  $J_{Photo} = J_{SC}(I)$ .

With these assumptions, (2) simplifies to the more familiar expression, namely

$$J_{Tot}(I, V, T) = J_{SC}(I) + J_{Dark}(V, T). \quad (3)$$

Equation (3) defines the “principle of superposition” [1], which states that the total current of a solar cell can be obtained as a superposition of the photocurrent measured under short-circuit conditions [ $J_{SC}(I)$ ] and the dark  $J$ - $V$  characteristics [ $J_{Dark}(V, T)$ ]. Using the diode equation for dark current, we can rewrite (3) as

$$J_{Tot}(I, V, T) = J_{SC}(I) + J_0(T) \left[ e^{\frac{q(V - J_{Tot}R)}{nk_B T}} - 1 \right]. \quad (4)$$

At open-circuit conditions ( $J_{Tot} = 0$ ), (4) reduces to (1), which anticipates the blue curve in Fig. 1, based on experimental data from c-Si cells. Furthermore, Fig. 2(a) shows the

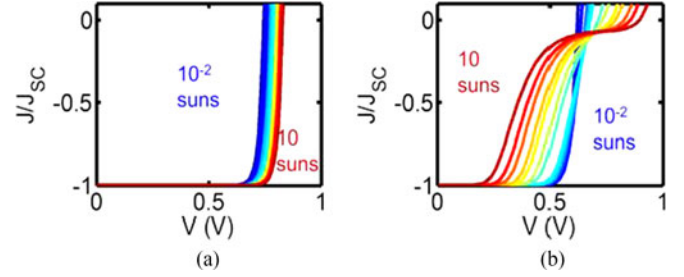


Fig. 2. Numerically simulated intensity-dependent normalized  $J$ - $V$  curves of (a) a typical TCS solar cell and (b) HJ-limited SHJ solar cell are shown.

numerically simulated room temperature  $J$ - $V$  response at different  $I$  for a typical TCS solar cell [see Table II in the Appendix for simulation parameters]. From such curves, one can construct theoretical Suns- $V_{oc}$  curves, as in Fig. 1, by plotting  $V_{oc}$  of each of these curves against the corresponding intensity ( $I$ ). From this, it is obvious that a cell that obeys the superposition principle [see (3) and (4)] *will not* show a turnaround in the Suns- $V_{oc}$  curve. However, if a homojunction cell contains a parasitic Schottky contact, its  $J$ - $V$  characteristics are known to deviate from the superposition principle [1]. In addition, it shows a turnaround in Suns- $V_{oc}$  characteristics [4].

Considering the case of SHJ solar cells, it is well known that the superposition principle does not hold *per se* [8], [12], because the device often violates the low-level injection assumption of the superposition principle [1]. In addition, turnaround in the Suns- $V_{oc}$  curve is often observed for this device class. This raises the question if the two phenomena are interlinked. With the two assumptions leading to (3) being invalid in the SHJ case, its  $J$ - $V$  characteristics cannot be described by a simple analytical formula similar to (4). Instead, numerical simulation must be used to gain insight into its underlying operation principles. Notably, under certain temperature and illumination conditions, such a numerical simulation of the normalized  $J$ - $V$  response (see Fig. 2(b), based on simulation parameters in Table III in Appendix) exhibits a strong S-shaped behavior at high  $I$ , significantly deviating from the assumptions of the superposition principle [the physical origin of these features is discussed in Section II-B]. In turn, Suns- $V_{oc}$  curves constructed from such a family of  $J$ - $V$  curves exhibit the turnaround at high  $I$ . Thus, it is not a surprise that (1) is not always valid for this type of solar cell.

Based on this correlation between the Suns- $V_{oc}$  turnaround and the occurrence of S-shaped  $J$ - $V$  characteristics, in this paper, we will answer the following three questions quantitatively.

- 1) How does the HJ, which is intrinsic to SHJ device, define the shape of  $J$ - $V$  characteristics?
- 2) Under what measurement conditions (intensity, temperature) do S-shaped  $J$ - $V$  curves arise?
- 3) Finally, what additional information can the Suns-photovoltage curve (obtained at voltages other than at open circuit) provide that can help interpret the performance of the cell?

In the following sections, first, we investigate questions 1 and 2 [see Section II-B] using numerical simulations. Based on the theory developed in Section II-B, we construct the Suns-photovoltage curves to answer question 3 [see Section II-C].

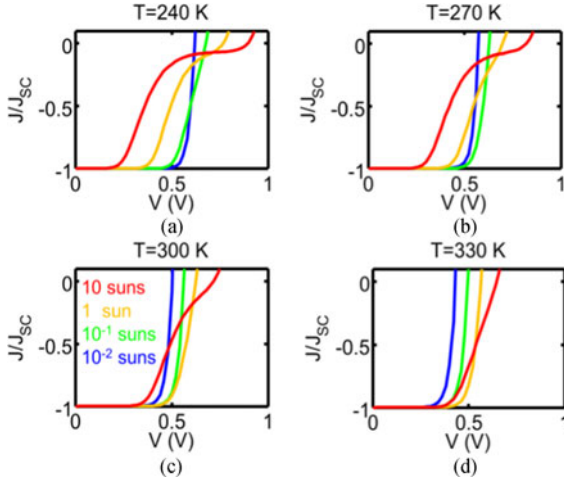


Fig. 3. (a) Simulated normalized  $J$ - $V$  characteristics under different intensity conditions at temperature conditions (a) 240 K, (b) 270 K, (c) 300 K, and (d) 330 K indicate the temperature and intensity dependence of the S-shaped curve.

### B. Temperature and Intensity Dependencies of Light $J$ - $V$ Characteristics

In this section, we explore the HJ physics of  $T$ - and  $I$ -dependent light  $J$ - $V$  characteristics. As we will see,  $T$  and  $I$  have correlated effects on the  $J$ - $V$  characteristics. The electrostatics of the device, which is a function of  $I$ ,  $T$ , and  $V$ , dictates the occurrence of the S-shaped light  $J$ - $V$  characteristic. It is important to study the effects of  $I$ ,  $T$ , and  $V$  together, because experiments with single stimulus ( $I$  or  $T$  or  $V$ ) may not probe all the electrostatic conditions in the solar cell. Thus, studying them together can help identify the necessary conditions to observe the S-shaped  $J$ - $V$  curve and the turnaround in  $\text{Suns}-V_{oc}$ . We have used the drift-diffusion simulator ADEPT 2.1 [13] for numerical simulation of the cell performance.<sup>1</sup> The input parameters are summarized in Table III in the Appendix.

The normalized light  $J$ - $V$  curves for different  $I$  and  $T$  are presented in Fig. 3(a)–(d). There are two immediate observations that can be made from this family of  $J$ - $V$  curves. First, at a given  $T$  [say  $T = 240$  K; see Fig. 3(a)], increasing  $I$  causes an early onset of the S-shaped curve. Second, for a given  $I$  [say at 1 sun in Fig. 3(a)–(d)], increasing  $T$  eliminates the S-shaped curve. Thus, the general trends indicate opposite effects of  $T$  and  $I$ .

The reduced terminal voltage with increasing  $I$  (or decreasing  $T$ ) is best explained by analyzing the quasi-Fermi levels within the device for a given current density [e.g.,  $J = 0.2J_{sc}$ ; see Fig. 4(a)–(c)]. As  $T$  is reduced (and/or  $I$  is increased), the hetero-barrier at the a-Si/c-Si interface begins to impede the collection of photogenerated holes, especially at sufficiently high forward bias. Therefore, the hole quasi-level ( $F_p$ ) must drop significantly beyond the a-Si/c-Si interface [see Fig. 4(a)] so that the additional field can extract the carriers from the “inversion region” formed at the c-Si/a-Si interface. This internal

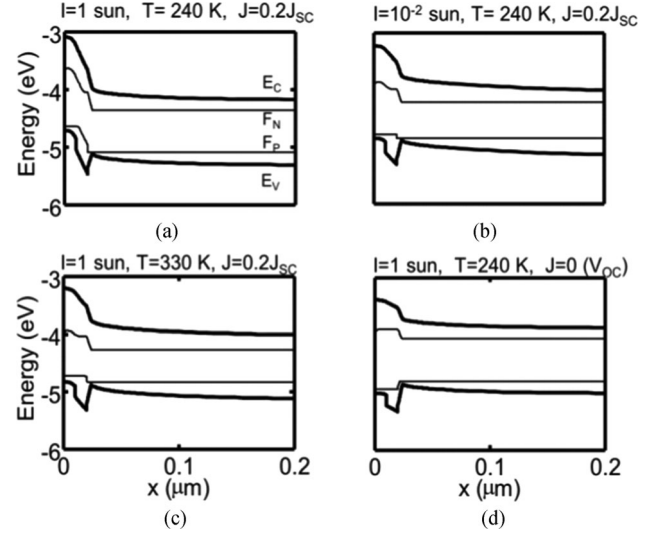


Fig. 4. Energy band diagrams, including quasi-Fermi levels ( $F_N$  and  $F_P$ ), under different stimuli are presented. The collection of photocarriers is impeded in (a). In (b), intensity is reduced from (a). In (c), temperature is increased from (a). In (d), bias is increased from (a).

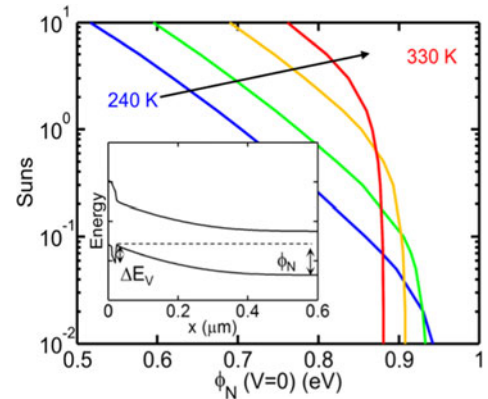


Fig. 5. Surface potential at 0 V ( $\phi_N (V = 0 \text{ V})$ ) is plotted against intensity (Suns) at different temperature conditions. (Inset) Equilibrium energy band diagram indicating  $\phi_N$  and  $\Delta E_v$  is presented.

drop ( $\Delta F_p/q$ ) subtracts from the ideal photovoltage and reduces the terminal voltage developed across the cell, resulting in an S-shaped curve. As the bias approaches  $V_{oc}$ , the carrier recombination compensates the carrier generation, and hence, the net current is zero. Here,  $F_p$  is essentially flat in c-Si and a-Si layers [see Fig. 4(d)].

To appreciate these relationships intuitively, one must first understand the origin of S-shaped light  $J$ - $V$  and its correlations to dark  $J$ - $V$  [8], [14]. Briefly, this is due to the drop in hole collection efficiency attributed to the valence band offset at the a-Si/c-Si HJ ( $\Delta E_v$ ; see the inset in Fig. 5), which acts as an energetic barrier for collection of photogenerated holes from the c-Si base [15].

One can also explain enhanced S-shaped curves with  $I$  and  $T$  by analyzing the photocollection properties of the HJ. First, at constant  $T$ , increasing  $I$  increases the density of photogenerated carriers in the c-Si absorber. This, in turn, affects the junction electrostatics, causing a reduction in surface potential (i.e., band bending,  $\phi_N$ ; see the inset of Fig. 5). Under these conditions, the photogenerated holes must surmount a higher effective valence

<sup>1</sup>Due to carrier freeze-out effects, even commercial drift-diffusion simulators (such as the one used in this study) have convergence limitations at very low temperatures. Therefore, the simulation results close to the freeze-out regime may not be reliable. Fortunately, given the broad range of experimental data, we can consistently explain the relevance of HJ for  $\text{Sun}-V_{oc}$  measurements by analyzing device physics starting from a slightly higher temperature (e.g. 240 K).



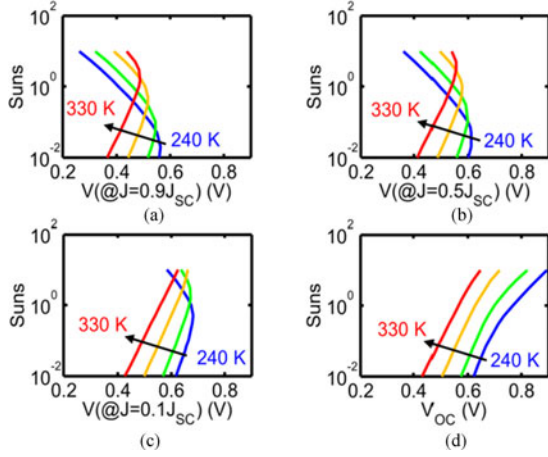


Fig. 6. Suns-photovoltage curves for different temperatures at different current density levels: (a)  $J = -0.9J_{SC}$ , (b)  $J = -0.5J_{SC}$ , (c)  $J = -0.1J_{SC}$ , and (d)  $V_{OC}$  conditions ( $J = 0$ ) are presented. These curves indicate the evolution of turnaround from high-current conditions to the open-circuit condition.

band barrier (compared with the low  $I$  condition), resulting in an early onset of the S-shaped curve at high  $I$ . Next, to explain the  $T$  dependence, we note that at a given  $I$ , the photogeneration rate of carriers is constant, whereas carrier collection across the HJ interface is thermally activated (tunneling through a-Si layers, which has a weak temperature activation, is assumed to be negligible here). Thus, at lower  $T$ , a larger fraction of the photogenerated carriers cannot cross the HJ barrier, causing a “carrier pile-up” near the junction. This changes the junction electrostatics causing a reduction in  $\phi_N$ , which further impedes the collection efficiency, resulting in the early onset of an S-shaped curve at low  $T$ . Note that  $\phi_N$  increases with reduced temperature at very low intensities (e.g.,  $10^{-2}$  suns in Fig. 5), which is consistent with the expectation of Fermi-level change with temperature in the dark.

To summarize, we find that early onset of the S-shaped  $J$ - $V$  may either be triggered by higher  $I$  or lower  $T$ , or both. The above discussion may be quantified by plotting the surface potential in c-Si ( $\phi_N$ ) at short-circuit conditions in Fig. 5. As expected, for a given  $T$ , increasing  $I$  reduces  $\phi_N$ . Conversely, at a given  $I$ , lowering  $T$  reduces  $\phi_N$ . These curves will quantify the shapes of Suns-photovoltage and Suns- $V_{OC}$  curves to be discussed next.

### C. Suns-Photovoltage Versus Suns- $V_{OC}$ Curves

Using the  $J$ - $V$  characteristics in Fig. 3(a)–(d), we construct the Suns-photovoltage curves for different  $T$  conditions and at different current densities in Fig. 6(a)–(d). For example, to obtain the blue curve in Fig. 6(a) (at  $T = 240$  K), we read the voltage ( $V$ ) at  $J = -0.9J_{SC}$  for each  $I$  from Fig. 3(a) (for  $T = 240$  K) and plot it against the corresponding  $I$ . Note that the Suns-photovoltage curves can be constructed at any  $J$ , varying between short- and open-circuit conditions. Here, we chose to construct the Suns-photovoltage curves at  $J = -0.9J_{SC}$ ,  $J = -0.5J_{SC}$ ,  $J = -0.1J_{SC}$ , and  $J = 0$  (i.e., at  $V_{OC}$ ) in Fig. 6(a)–(d) to systematically analyze the effects of  $I$ ,  $V$ , and  $T$  on the Suns-photovoltage curves of this specific simulated HJ device, as well as to investigate the operating conditions where the superposition principle fails.

In Fig. 6(a) (plotted at  $J = -0.9J_{SC}$ ), the hole collection and injection at the front contact is the major contributor for the total current density. For low intensities, the photovoltage is purely

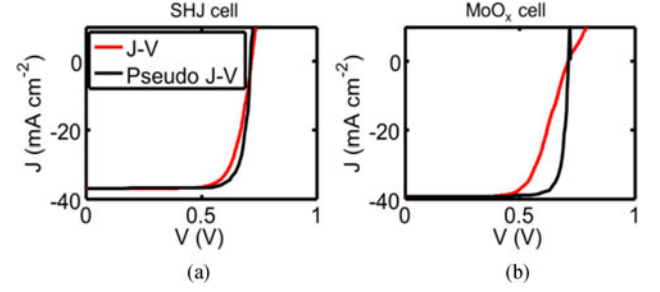


Fig. 7. Experimental  $J$ - $V$  characteristics of (a) SHJ and (b)  $\text{MoO}_x$  cells at STC are plotted. The pseudo  $J$ - $V$  obtained from standard Suns- $V_{OC}$  is also plotted here.

TABLE I  
PERFORMANCE CHARACTERISTICS OF SHJ CELL AND  $\text{MoO}_x$  CELL AT STC

Cell Name	$J_{SC}$ ( $\text{mA} \cdot \text{cm}^{-2}$ )	$V_{OC}$ (V)	FF (%)	$\eta$ (%)	Pseudo $\eta$ (%)
SHJ	37.1	716	75.9	20.2	21.8%
$\text{MoO}_x$	39.4	711	67.2	18.8	23.9%

dictated by the splitting of the quasi-Fermi levels, and the device obeys the superposition principle [i.e., (1)]. However, for higher intensities, photovoltage turnaround occurs. Under these conditions, junction electrostatics and, thus,  $\phi_N$  (see Fig. 5) dictate the hole collection. In addition, considering the full dataset given in Fig. 6(a)–(d), the turnaround is stronger at higher current density [ $J = -0.9J_{SC}$ ; see Fig. 6(a)] while absent close to open-circuit conditions [see Fig. 6(d)] [16]. This is because, close to open-circuit condition, no external current is flowing; therefore, barriers can have no effect on the characteristics.

The detailed numerical simulation suggest that the turnaround in Suns-photovoltage curves can be an indicator for the presence of an intrinsic barrier (due to the HJ interface discontinuity) to charge collection. It may either be triggered by higher  $I$  or lower  $T$  and is prominent closer to maximum power point and disappears as we approach  $V_{OC}$ . Thus, we note that the turnaround can be caused by two quite different phenomena namely—HJ barriers (discussed in this work) and a Schottky barrier due to workfunction mismatch of contacting materials [4], [5]. We will compare these theoretical predictions of this work against experimental data to see if the interpretation of Suns- $V_{OC}$  turnaround is justified.

## III. EXPERIMENTAL SETUP AND VALIDATION

### A. Experimental Setup

To explore the validity of HJ theory developed in Section II,  $I$ - and  $T$ -dependent  $J$ - $V$  measurements are carried out on a “standard” high-quality SHJ solar cell, featuring its p-type a-Si hole collector at the front of the device, as well as a similar cell, where a  $\text{MoO}_x$  hole collector was used (consisting of an intrinsic a-Si buffer layer, capped with a  $\text{MoO}_x$  film, and referred to as  $\text{MoO}_x$  cell here). The fabrication details are presented in [11] and [17].

The SHJ cell does not show an S-shape in its light  $J$ - $V$  characteristic at room temperature under 1-sun illumination (standard test conditions—STC) [see Fig. 7(a)]. Thus, the fill factor (FF) of the device is relatively high (i.e., 75.9%, as summarized in Table I). The light  $J$ - $V$  curve closely follows the pseudo

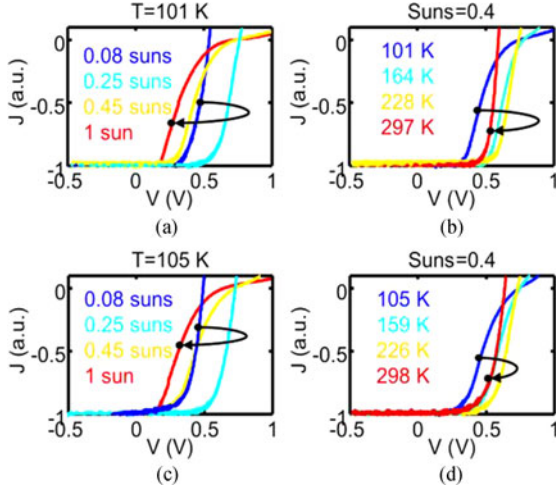


Fig. 8. Experimental normalized  $J$ - $V$  characteristics of a SHJ cell for different (a) intensity conditions at  $T = 101$  K and (b) temperature conditions at  $\text{Suns} = 0.4$  are plotted. The corresponding  $J$ - $V$  characteristics of a  $\text{MoO}_x$  cell for different (c) intensity conditions at  $T = 105$  K and (d) temperature conditions at  $\text{Suns} = 0.4$  are plotted. Arrows indicate increasing  $\text{Suns}$  or  $T$ .

$J$ - $V$  curve (obtained from the standard  $\text{Suns}$ - $V_{oc}$  measurement [3], [4]), with the small deviation attributed to the series resistance. The pseudo efficiency for the cell is 21.8% (obtained from pseudo  $J$ - $V$ ), suggesting that the device does not suffer from HJ bottleneck at STC. The  $\text{MoO}_x$  cell, which has a more transparent window layer ( $\text{MoO}_x$ , with typical bandgap of 3.3eV), minimizes the parasitic absorption by the contact layer. As a result,  $J_{sc}$  for the  $\text{MoO}_x$  cell is higher than that of the SHJ cell, as shown in Table I. Although this specific  $\text{MoO}_x$  cell has a potential to achieve an efficiency of 23.9% (obtained from pseudo  $J$ - $V$ ) through  $J_{sc}$  improvements, the actual efficiency is limited to 18.8% due to FF loss attributed to S-shaped light  $J$ - $V$  [see Fig. 7(b) and Table I]. Our hypothesis is that the S-shape of the light  $J$ - $V$  results from band misalignment at the front contact, as discussed in the following sections.

These solar cell devices were placed on the cold finger of a Janis CCS-350 closed-cycle helium-cooled cryostat. The temperature of the device, measured from a silicon diode sensor placed directly on top of the device, was lowered from  $\sim 300$  to  $\sim 100$  K in steps of 10 K. Note that the lower temperature limit ( $\sim 100$  K) is chosen to ensure complete dopant ionization in c-Si [18]. After stabilizing at each temperature, the  $J$ - $V$  characteristics were measured in the dark and under illumination using a Keysight B2912A source-measurement unit. The light intensity was modulated by a series of neutral-density filters inserted between an AM1.5G solar simulator (Newport 96000) and the device under test.

### B. Experimental Validation

The  $J_{sc}$ -normalized  $J$ - $V$  curves for the SHJ and  $\text{MoO}_x$  cell are presented in Fig. 8(a), (b) and (c), (d), respectively. We observe in Fig. 8(a) and (c) that at a given  $T$ , increasing  $I$  indeed causes early onset of the S-shape in the light  $J$ - $V$  characteristics for both types of cells. This is due to the enhanced HJ offset barrier for hole collection at higher intensities, as discussed in Section II-B. Similarly, from Fig. 8(b) and (d), it can be observed that at a given  $I$ , decreasing  $T$  causes early onset of S-shaped

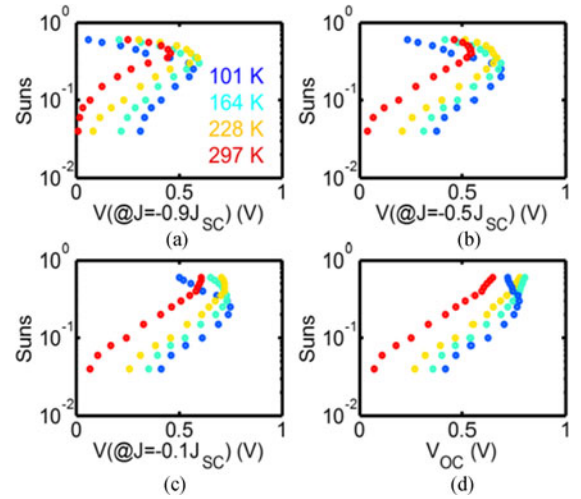


Fig. 9. Experimental  $\text{Suns}$ -photovoltage curves for standard SHJ cell at different temperatures and  $J = -0.9J_{sc}$ ,  $J = -0.5J_{sc}$ ,  $J = -0.1J_{sc}$ , and  $V_{oc}$  conditions ( $J = 0$ ) are plotted in (a)-(d), respectively.

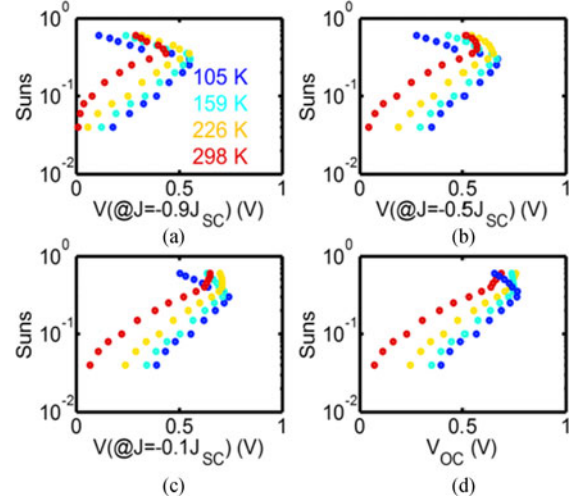


Fig. 10. Experimental  $\text{Suns}$ -photovoltage curves for  $\text{MoO}_x$  cell at different temperatures and  $J = -0.9J_{sc}$ ,  $J = -0.5J_{sc}$ ,  $J = -0.1J_{sc}$ , and  $V_{oc}$  conditions ( $J = 0$ ) are plotted in (a)-(d), respectively.

light  $J$ - $V$  curve. This is again due to enhanced hole collection HJ barrier for hole collection at lower temperature. Furthermore, these results suggest that tunneling at the a-Si/c-Si interface is negligible [19] and confirm thermionic emission over the band offsets as dominating carrier transport mechanism [14].

In addition,  $\text{Suns}$ -photovoltage curves are constructed from the  $J$ - $V$  data of SHJ cell and  $\text{MoO}_x$  cell using the procedure described in Section II-C and presented in Figs. 9(a)-(d) and 10(a)-(d), respectively. Again, as expected, the voltage turnaround is observed to be strong under high  $I$ , low  $T$ , and is less prominent close to  $V_{oc}$ . Note that the turnaround at extremely low temperatures ( $\sim 100$  K) is observed even close at  $V_{oc}$  conditions. This indicates that photocollection from the c-Si absorber (HJ barrier-dependent component) still dominates over the thermally suppressed injection current and additional carrier collection from the front a-Si layers, as opposed to what is observed at higher temperatures.

These results underline that, in essence, the experimental observation of turnaround in  $\text{Suns}$ - $V_{oc}$  can be interpreted as a

direct consequence of the carrier emission over the HJ barrier. This barrier is strongly influenced by illumination intensity, temperature, and bias conditions. Thus, in a good SHJ device, it can be concluded that while the turnaround may be present at lower temperature, it may disappear under actual operation conditions.

#### IV. DISCUSSION

In this paper, we have chosen to plot Suns–photovoltage curves at various  $T$  to study the conditions necessary to observe the voltage turnaround in Suns– $V_{oc}$  curve. Alternatively, one could use  $T$  versus photovoltage curves at various  $I$  to explain the same phenomena. In fact, when  $T$  versus FF curve is plotted at 1-sun condition in [14], we observe that the corresponding features are in agreement with observations presented here.

Furthermore, we observe that the turnaround is present at very low temperature experimental Suns– $V_{oc}$  characteristics [see Figs. 9(d) and 10(d)]. Presumably, this is due to the fact that dopant freeze-out occurs at higher temperatures in a-Si layers that the c-Si wafer. Thus, the effective doping in p-doped layers becomes simply too weak to support the electrical junction, especially at higher intensities. Additionally, at very low temperatures, the effect of intensity-dependent increase in cell temperature can become important [27]. It is known that increasing  $I$  increases the operating temperature of the cell ( $T_{op}$ ), especially at  $V_{oc}$ , because the power dissipated ( $P_{diss}$ ) is equal to the input power ( $P_{in}$ ). This increase in temperature (at  $V_{oc}$ ) increases the overall recombination, reduces  $V_{oc}$  below the ideal case [predicted by (1)], and is reflected in the Suns– $V_{oc}$  curve [3]. Note that this effect is more pronounced at lower temperature [at 105 K in this case; see Figs. 9(d) and 10(d)], because the relative increase in recombination compared with ideal [as predicted by (1)] is higher at lower temperature than higher temperature. Note that this self-heating effect may cause uncertainty in interpreting the effect of HJ from the Suns– $V_{oc}$  results. Hence, one has to look at the more general Suns–photovoltage characteristics [see Figs. 9(a)–(c) and 10(a)–(c)] to analyze the HJ bottleneck in these devices.

To illustrate the self-consistency of the HJ theory in explaining a wide range of measurements, we use the extensive measurements of dark  $J$ – $V$ , light  $J$ – $V$ , and Suns– $V_{oc}$  provided by Das *et al.* [21]. Based on the data presented in [21], we note the following for one (first) set of devices.

- 1) The dark  $J$ – $V$  saturation occurs at medium bias.
- 2) The light  $J$ – $V$  exhibits S-shaped characteristics.
- 3) The Suns– $V_{oc}$  exhibits turnaround at high intensity.

On the other hand, for the second set of devices, we note the following.

- 1) The dark  $J$ – $V$  saturation does not occur at medium bias.
- 2) The light  $J$ – $V$  does not exhibit S-shaped characteristics.
- 3) The Suns– $V_{oc}$  does not exhibit turnaround at high intensity.

These results indicate that the first set of devices could be limited by HJ, while the second set is not, which is consistent with the HJ theory and results presented here and in [8].

In addition, we note that the discussion presented here does not exclude the possibility of *extrinsic* Schottky contact, rather, it explain how an *intrinsic* HJ itself can exhibit these features, even in the absence of Schottky barriers. Hence, one needs to be cautious about concluding the presence/absence of Schottky bar-

rier or HJ simply based on Suns– $V_{oc}$  measurement. Indeed, with regard to measurements that probe the carrier collection properties in solar cells, such as  $J$ – $V$  and Suns– $V_{oc}$ , the signatures of HJ or Schottky contact are generally indistinguishable. This is because both these features suppress carrier collection and show similar output characteristics. Nevertheless, when analyzed self-consistently with other techniques, such as  $C$ – $V$  [10], dark  $J$ – $V$ – $T$  [8], [22], impedance spectroscopy [10], [23], surface photovoltage [24], etc., one should be able to infer presence/absence of a Schottky contact for a specific process technology and recommend effective strategies for process improvement.

Finally, we note that the analysis serves as a diagnostic tool to understand the HJ bottlenecks in SHJ cells. In addition, for a cell manufacturer attempting to eliminate S-shape from light  $J$ – $V$  curves present at the STC condition, this paper indicates that S-shape may be suppressed significantly at operating conditions (typical SHJ cell operating  $T \sim 340$  K  $> T_{STC}$  and  $I \sim 0.2$ – $0.9$  suns  $< I_{STC}$ ), and therefore, the SHJ cell optimization space is simpler than conventionally assumed. Hence, we emphasize the need for optimizing the cell at operating conditions rather than STC conditions.

#### V. CONCLUSION

We have presented a comprehensive study of the role of HJ on the bias, temperature, and intensity-dependent collection properties of SHJ solar cells. The conclusions are as follows.

- 1) The turnaround in Suns– $V_{oc}$  can be attributed to the intrinsic HJ at the a-Si/c-Si interface, introducing barriers for carrier transport. This HJ hypothesis is validated using experiments on SHJ solar cells. In addition, we conclude that a one-to-one correlation of Suns– $V_{oc}$  turnaround to the Schottky barrier may not be accurate.
- 2)  $I$  and  $T$  have reciprocal effects on the turnaround in Suns–photovoltage and Suns– $V_{oc}$  curves.
- 3) Exploring  $V$ -dependent Suns–photovoltage curves can help in further development of Suns– $V_{oc}$  measurement technique when applied to complex device configurations.

Correctly interpreted, Suns– $V_{oc}$  measurement is a powerful technique that complements other characterization techniques, such as dark/light  $J$ – $V$  [8], [25],  $C$ – $V$ , impedance spectroscopy [10] and, as a part of a “multiprobe” approach, can help characterize device-relevant interface parameters, such as the HJ band offset ( $\Delta E_V$ ), surface potential ( $\phi$ ), etc., in these type of devices. This analysis can also be applied to other thin-film HJ solar cells to better understand the transport and electrostatics-dependent bottlenecks in these devices.

#### APPENDIX

TABLE II  
NUMERICAL SIMULATION INPUT PARAMETERS FOR A P-N JUNCTION C-SI CELL

Properties	p-layer	n-layer
Thickness	3 $\mu$ m	200 $\mu$ m
Doping ( $\text{cm}^{-3}$ )	$N_A = 5 \times 10^{18}$	$N_D = 5 \times 10^{15}$
Hole mobility ( $\text{cm}^2/\text{Vs}$ )	300	430
Electron mobility ( $\text{cm}^2/\text{Vs}$ )	1000	1230
Hole lifetime <sup>1</sup> (s)	$10^{-4}$	$10^{-4}$
Electron lifetime <sup>1</sup> (s)	$10^{-4}$	$10^{-4}$
Band gap (eV)	1.12	1.12
Electron affinities (eV)	4.05	4.05
Contact properties	Ohmic, $s_f = 10^2$ cm/s for minority carriers	



TABLE III  
NUMERICAL SIMULATION INPUT PARAMETERS FOR SHJ SOLAR CELL

Properties	p-layer	i-layer	c-Si	i-layer	n-layer
Thickness	10 nm	10 nm	200 $\mu\text{m}$	10 nm	60 nm
Doping ( $\text{cm}^{-3}$ )	$N_A = 1 \times 10^{19}$	—	$N_D = 5 \times 10^{15}$	—	$N_D = 10^{19}$
Hole mobility ( $\text{cm}^2/\text{Vs}$ )	1	2	340	2	2
Electron mobility ( $\text{cm}^2/\text{Vs}$ )	10	20	1100	20	20
Hole lifetime <sup>1</sup> (s)	—	—	$10^{-4}$	—	—
Electron lifetime <sup>1</sup> (s)	—	—	$10^{-4}$	—	—
Bandgap (eV)	1.62	1.8	1.1557	1.7	1.7
Electron affinities (eV)	3.9	3.9	4.05	3.9	3.9
Conduction Band Tail States	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.05 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.019 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	—	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.019 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.05 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$
Valence band tail states	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.1 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.049 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	—	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.049 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$	$N_{\text{tail}} = 10^{19} \text{ cm}^{-3} \text{ eV}^{-1}$ $E_{\text{tail}} = 0.1 \text{ eV}$ $C_h = 10^{-16} \text{ cm}^{-2}$ $C_n = 10^{-16} \text{ cm}^{-2}$
Donor-like defects	$N_{t1} = 10^{16} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.31 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 10^{-9} \text{ s}$ $\tau_{n1} = 10^{-9} \text{ s}$ $N_{t2} = 10^{18} \text{ cm}^{-3}$ $E_{t2} - E_i = -0.26 \text{ eV}$ $\sigma_{t2} = 0.15 \text{ eV}$ $\tau_{p2} = 10^{-9} \text{ s}$ $\tau_{n2} = 10^{-9} \text{ s}$	$N_{t1} = 5 \times 10^{15} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.21 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 2 \times 10^{-9} \text{ s}$ $\tau_{n1} = 2 \times 10^{-9} \text{ s}$	—	$N_{t1} = 5 \times 10^{15} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.21 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 2 \times 10^{-9} \text{ s}$ $\tau_{n1} = 2 \times 10^{-9} \text{ s}$	$N_{t1} = 10^{16} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.31 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 10^{-9} \text{ s}$ $\tau_{n1} = 10^{-9} \text{ s}$ $N_{t2} = 10^{18} \text{ cm}^{-3}$ $E_{t2} - E_i = -0.26 \text{ eV}$ $\sigma_{t2} = 0.15 \text{ eV}$ $\tau_{p2} = 10^{-9} \text{ s}$ $\tau_{n2} = 10^{-9} \text{ s}$
Acceptor-like defects	$N_{t1} = 10^{16} \text{ cm}^{-3}$ $E_{t1} - E_i = -0.21 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 10^{-9} \text{ s}$ $\tau_{n1} = 10^{-9} \text{ s}$	$N_{t1} = 5 \times 10^{15} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.11 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 2 \times 10^{-9} \text{ s}$ $\tau_{n1} = 2 \times 10^{-9} \text{ s}$	—	$N_{t1} = 5 \times 10^{15} \text{ cm}^{-3}$ $E_{t1} - E_i = 0.11 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 2 \times 10^{-9} \text{ s}$ $\tau_{n1} = 2 \times 10^{-9} \text{ s}$	$N_{t1} = 10^{16} \text{ cm}^{-3}$ $E_{t1} - E_i = -0.21 \text{ eV}$ $\sigma_{t1} = 0.08 \text{ eV}$ $\tau_{p1} = 10^{-9} \text{ s}$ $\tau_{n1} = 10^{-9} \text{ s}$
Temperature-dependent $E_G$ parameters <sup>2</sup>	—	—	$\alpha = 7.021 \times 10^{-4} \text{ eV K}^{-1}$ $\beta = 1108 \text{ K}$	—	—
Contact properties			Ohmic contacts, $s_f = 10^7 \text{ cm/s}$ for both contacts		
a-Si/c-Si interface properties			$s_{\text{intf}} = 500 \text{ cm/s}$ for both interfaces		

<sup>1</sup> SRH, Auger, and radiative recombination are included in the numerical models.<sup>2</sup> To improve the accuracy of our simulations, we use Varshni model ( $E_G = E_{G0} - \frac{\alpha T^2}{T + \beta}$ ) for c-Si bandgap estimation [26].

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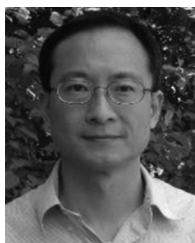


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