

## A Sinh Cosh optimizer

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### ARTICLE INFO

#### Keywords:

Meta-heuristic  
Sinh Cosh Optimizer (SCHO)  
Mathematical inspiration  
Benchmark function  
Engineering design problems

### ABSTRACT

Currently, meta-heuristic algorithms have been widely studied and applied, but balancing exploration and exploitation remains a challenge. In this study, a novel meta-heuristic algorithm named Sinh Cosh Optimizer (SCHO) is proposed based on the mathematical inspiration of the characteristics of Sinh and Cosh. SCHO includes four steps: two different phases of exploration and exploitation, the bounded search strategy, and the switching mechanism. SCHO is compared with eight meta-heuristic algorithms for the 23 benchmark functions at different dimensions and CEC 2014, and its strong performance is validated. The efficiency and robustness of SCHO are verified by qualitative analysis, convergence curves, and two statistical tests. Furthermore, five engineering problems are presented. Source codes of SCHO are publicly available at <https://www.mathworks.com/matlabcentral/fileexchange/130734-a-sinh-cosh-optimizer>.

### 1. Introduction

With the emergence of more nonlinear, large-scale, high-dimensional, and complex constrained problems, meta-heuristic optimization algorithms have been researched by more and more researchers [1]. Although traditional exact optimization algorithms can obtain more accuracy solutions than meta-heuristic in small-scale problems by searching the whole space, it does not only cost a lot of time, but also it is unrealistic to optimize the engineering problems with large-scale and high-dimension search space [2]. On the contrary, meta-heuristic optimization algorithms as methods of approximation are effective for these complex problems due to their simple principles and their better avoidance of local optimum [3,4]. Moreover, meta-heuristic optimization algorithms do not require derivation for optimization problems and are only concerned with the input and output data. The process can be regarded as a black box, which indicates that meta-heuristic optimization algorithms possess good flexibility and can be used for optimization in various fields [5].

Meta-heuristic optimization algorithms always perform a repetitive procedure of “trial and error”, which means that meta-heuristic

algorithms can update new solutions at each iteration to find the minimal or maximal values for optimization problems. The new solutions will be compared with the optimal solution obtained so far [6]. Meta-heuristic algorithms can be grouped into two types via the number of candidate solutions and different inspiration methods. According to the first type, meta-heuristic algorithms are divided into single-solution-based and population-based optimization algorithms [7, 8]. The single-solution-based algorithms, such as Simulated Annealing (SA) inspired by annealing process of metals, Vortex Search (VS) inspired by the vortex pattern occurred in a stirred liquid [9], Social Engineering Optimizer (SEO) inspired by Social Engineering [10], and single-solution Simulated Kalman Filter (ssSKF) inspired by Kalman Filter [11], only produce one candidate solution at each iteration. Then, the new solution is compared with the optimal solution obtained so far to decide whether the optimal solution is replaced. These algorithms possess short computing time. Still, bad exploration and rapid convergence of these algorithms occur so that these algorithms easily get stuck in a local optimum. By contrast, the population-based algorithms can search the whole space of optimization problems by using many candidate solutions at each iteration to improve the ability of local

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optimum avoidance and looking for a global optimum. The disadvantages of population-based algorithms are more function evaluation and longer runtime.

A more common classified method is based on different inspirations, and divides meta-heuristic algorithms into four classes: Evolutionary Algorithm (EA), Physics-Based Algorithm (PhA), Human-Based Algorithm (HBA), and Swarm Intelligence (SI) algorithm [12,13]. EA is proposed based on the inspiration of the principle of natural evolution. Genetic Algorithm (GA), the most famous EA, was proposed by Holland based on the inspiration of Darwinian evolution [14]. GA mainly performs the crossover and mutation strategies to obtain better solutions to replace the poorer ones. The other well-known EA, such as Genetic Programming (GP) [15], Differential Evolution (DE) [16], Evolutionary Programming (EP) [17], Evolution Strategy (ES) [18], are all involved in mutation, crossover and recombination. Biogeography-Based Optimization (BBO) algorithm mainly focuses on migration and exchange of information [19]. PhA is proposed according to the inspiration of natural laws of physics, and Simulated Annealing (SA) belongs to the classical representative of PhA [20]. The performance of SA inspired by the physical concept of annealing is affected by temperature. The probability that the optimal solution obtained so far is replaced by a bad solution gradually decreases by decreasing the temperature until SA converges to the optimal solution or close to it. Also, other examples of PhA include Gravitational Search Algorithm (GSA) inspired by the law of interaction between gravity and mass [21], Charged System Search (CSS) based on Coulomb's law and Newtonian mechanics laws [22], Multi-Verse Optimizer (MVO) based on black hole, white hole, and wormhole in universe [23], Nuclear Reaction Optimization (NRO) inspired by nuclear reaction process [24], Atom Search Optimization (ASO) based on basic molecular dynamics [25], Lichtenberg Algorithm (LA) inspired by Lichtenberg figures patterns [26], Flow Direction Algorithm (FDA) inspired by the direction of flow toward the outlet of a drainage basin [27], Light Spectrum Optimizer (LSO) inspired by light dispersions through raindrops [28], Fick's Law Algorithm (FLA) inspired by Fick's first rule of diffusion [29], Kepler Optimization Algorithm (KOA) based on the inspiration of Kepler's laws of planetary motion [30], and Snow Ablation Optimizer (SAO) inspired by sublimation and melting of snow [31].

Human-based algorithms are proposed based on the inspiration of human production and life behaviors. One of the most well-known algorithms is Teaching-Learning-Based Optimization (TLBO), which searches for the optimal solution by using two steps, the 'Teacher Phase' and the 'Learner Phase' [32–34]. Other examples are League Championship Algorithm (LCA) based on contest between sports teams in a sport league [35], Colliding Bodies Optimization (CBO) inspired by collision between bodies [36], Collective Decision Optimization (CSO) based on five decision-making characteristics of human [37], Poor and Rich Optimization (PRO) based on efforts by the poor and the rich to achieve a better life [38], Gaining Sharing Knowledge (GSK) based Algorithm inspired by knowledge acquisition and sharing behaviors [39], Equilibrium Optimizer (EO) based on control volume mass balance [40], Past Present Future (PPF) inspired by learning from successful people to improve their lives [41], Chef-Based Optimization Algorithm (CBOA) inspired by the process of learning to cook [42], Mountaineering Team-Based Optimization (MTBO) inspired by human cooperative behavior to get the mountain top [43], Human Felicity Algorithm (HFA) inspired by human collective intelligence to obtain felicity for hyper-parameter tuning [44].

The last group of SI algorithms is the most widely researched meta-heuristics [45]. SI algorithms is based on the inspiration of the hunting, multiplication, and hierarchical relationship of animals or plants [46, 47]. The optimization process of SI algorithms mainly includes three steps. Firstly, the population candidate solutions are initialized randomly. Then, the next position of candidate solutions is updated mainly using a strategy based on the current position and the optimal solution obtained so far. Finally, the optimal solution at each iteration is

selected by comparing the new solutions and optimal solutions obtained so far. The most famous SI algorithm is Particle Swarm Optimization (PSO) [48]. PSO is based on the inspiration of the collective behavior of a school of fish or birds, and searches the search space by continuously updating the new solutions according to their position. The optimal solution is updated until the end of iterations. There are other well-known SI algorithms that are widely studied and used. Ant Colony Optimization (ACO) is a SI algorithm inspired by foraging of ants, which simulates the phenomenon that ants can choose an optimal way according to the amount of pheromones [49]. Bat Algorithm (BA) is based on inspiration of the echolocation of bats, in which bats update their velocities and positions by using three strategies including frequency tuning, velocity updating and echolocation [50]. Cuckoo Search (CS) Algorithm was introduced by Xin-She Yang for solving structural engineering optimization, which simulates obligate nest parasitism behavior and combines with Lewy flight behavior in cuckoos [51]. Gray Wolf Optimizer (GWO) is a powerful SI algorithm, which was inspired by the hunting mechanism and hierarchy mechanism of wolf [52]. The mathematical model is proposed based on the three leaderships including alpha, beta and delta. Moth-Flame Optimization (MFO) algorithm has been used for different fields such as marine propeller design, which is inspired by navigational phenomenon of moths in nature known as transverse orientation [53]. Whale Optimization Algorithm (WOA) was proposed based on the social behavior of humpback whales, which involved three strategies including searching for prey, encircling prey and bubble-net attacking method [54].

Currently, many new SI algorithms have also been proposed. Aquila Optimizer (AO) is a novel SI algorithm inspired by hunting behaviors of Aquila, which use four methods for hunting [55]. Artificial Rabbits Optimization (ARO) is inspired by survival methods, where detour foraging and random hiding are used for exploration and exploitation, and energy shrink is utilized to switch from exploration and exploitation [56]. Snake Optimizer (SO) simulates the mating behavior of snake, and its exploration and exploitation depend on the food and environmental temperature [57]. Nutcracker Optimization Algorithm (NOA) was proposed according to two behaviors of Clark's nutcrackers, which store seeds in summer and autumn, and search for the previously stored seeds in winter and spring [58]. Shrimp and Goby Association (SGA) search algorithm inspired by the cooperation of shrimp and gobies was proposed, and applied for damage identification of Canton Tower in Guangzhou, China [59]. More SI algorithms are listed as Table 1.

Although SI algorithms are presented by different inspirations, exploration (diversification) and exploitation (intensification) are all involved in looking for the optimal solution in search space [72,73]. In the exploration phase, candidate solutions are utilized to globally explore the search space in order to look for the space of near-optimal solutions. The YUKI algorithm introduces a novel optimization

**Table 1**  
SI algorithms presented in literature.

Algorithms	Inspiration	Year
Flower Pollination Algorithm (FPA) [60]	The pollination of flowers	2012
Bird Swarm Algorithm (BSA) [61]	Bird swarms	2016
Spotted Hyena Optimizer (SHO) [62]	Spotted hyenas	2017
Sunflower Optimization (SFO) [63]	Sunflowers	2018
Tree Growth Algorithm (TGA) [64]	Trees competition	2018
Squirrel Search algorithm (SSA) [65]	Southern flying squirrels	2019
Black Widow Optimization Algorithm (BWO) [66]	Black widow spiders	2020
Golden Eagle Optimizer (GEO) [67]	Golden eagles	2021
White Shark Optimizer (WSO) [68]	Great white sharks	2022
Dwarf Mongoose Optimization Algorithm (DMO) [69]	Dwarf mongoose	2022
Red piranha optimization (RPO) [70]	Red Piranha	2023
Fire Hawk Optimizer (FHO) [71]	Fire Hawks	2023

approach by employing a dynamic search space reduction technique [74]. This method entails modifying the search space size and placement in response to the search's progress, utilizing straightforward equations. Additionally, the algorithm incorporates two specialized systems, one for exploration and the other for exploitation behaviors, which work in tandem throughout the search [75–77]. In contrast, candidate solutions in the exploitation phase will search for the best solution in the potential region found in the exploration process. Parmaksiz et al. [78] modified Dragonfly algorithm (DA) by using mutation operation, boundary control, and greedy selection mechanisms to balance exploration and exploitation. Adhikary et al. [79] introduced three sequential reinforcement strategies combined with a social hierarchy mechanism and random walks using student's t-distributed random numbers to achieve a better balance between exploration and exploitation of gray Wolf Optimizer (GWO). Therefore, it is essential to balance the exploration and exploitation to find the best solution for optimization problems. A good strategy to balance these two phases is that algorithms should mainly emphasize on exploration in the early iterations and exploitation in the later iterations, but exploration and exploitation should be existed simultaneously in the entire iterations. However, achieving balance in these two phases is still a challenge due to the randomness of the search methods of SI algorithm [80]. Therefore, how to strike a better balance between exploration and exploitation is also the goal that researchers have been working on.

Many meta-heuristic algorithms including novel optimization algorithms [81,82], improved algorithms [83–85], and hybrid algorithms [86–88] have been emerged, and are applied for different fields such as feature selection [89,90], data clustering [91–93], image segmentation [94,95], neural network training [96,97], truss design [98], Unmanned Aerial Vehicle (UAV) path planning [99], and Traveling Salesman Problem (TSP) [100,101]. A survey called Supply chain challenges in 2021 from the Statista website shows that there are many challenges in the supply chain such as faster response time. In this regard, meta-heuristic algorithms can also be used to design and optimize supply chain networks [102], which indicates that they are very effective strategies for different designs. Also, as optimization problems increase and become more complex in the future, the demand for new optimization algorithms will also increase. In addition, No Free Lunch (NFL) theorem for optimization [103] shows that there are no optimization algorithms for solving all problems, so an optimization algorithm can be for a specific problem. In detail, even if one algorithm has a good optimization ability in some problems, its results in other problems may become unacceptable. Therefore, in this paper, a Sinh Cosh optimizer inspired by the characteristics of Sinh and Cosh functions in the interval of 0–1 is proposed to provide a new optimization strategy and better balance between exploration and exploitation. As far as we know, it is the first time that SCHO is proposed in the literature. The other contents of this paper are as follows.

**Section 2** presents the inspiration and mathematical model of SCHO. **Section 3** analyzes the results of the performance and practicality of SCHO for the 23 classical benchmark functions at different dimensions, CEC 2014 test functions and six engineering design problems compared to other well-known meta-heuristics. **Section 4** shows the conclusion of SCHO and proposes the research direction for the future.

## 2. Sinh cosh optimizer (SCHO)

This section first presents the inspiration for SCHO. Afterward, the mathematical model of SCHO is introduced. It has four crucial components: the first and second phase of exploration and exploitation, the bounded search strategy, and the switching mechanism.

### 2.1. Inspiration

There are three points for inspiration for SCHO. Firstly, how to strike a balance between exploration and exploitation is still a big challenge,

which indicates that more strategies need to be provided. Secondly, no algorithm can satisfy all optimization problems, as mentioned by NFL above. Therefore, new meta-heuristic algorithms still need to be proposed to face the complex and diverse problems. Finally, optimization algorithms based on mathematical inspiration, such as Sin Cosine Algorithm (SCA) [104] and Arithmetic Optimization Algorithm (AOA) [105], are proposed, which points out a new possible direction for researchers to study meta-heuristic algorithms. Hyperbolic functions are common types of trigonometric functions, among which sinh and cosh are the most basic hyperbolic functions. Two characteristics of Cosh and sinh can be used for meta-heuristic algorithms. One is that the values of cosh are always bigger than one, which is a critical boundary between exploration and exploitation. Another one is that the values of sinh are in the interval [−1, 1] and close to zero, which can improve the exploration and exploitation.

According to these reasons, SCHO is proposed based on sinh and cosh, and its schematic diagram is shown in Fig. 1. The schematic diagram consists of three parts: the first phase of exploration and exploitation in Fig. 1(a), the second phase of exploration and exploitation in Fig. 1(b), and the bounded search strategy in Fig. 1(c). Yellow color represents the non-optimal space, which will be discarded in the first phase of search, while green represents the potential solution space. The potential candidates will be gathered to green space and carry out the second phase of deep search in it. The pink color represents the space of the bounded search strategy, and  $n$  represents the number of times to enter this strategy. When the iteration value is equal to the set value, the algorithm randomly distributes all candidate solutions in this space, and then executes the second phase of search strategy in this space. The cosh and sinh will be modified to achieve these strategies. The mathematical models will be introduced in the following subsections in details.

## 2.2. Mathematical model and algorithm

### 2.2.1. Initialization phase

Like other metaheuristic algorithms, SCHO also starts by randomly initializing a set of candidate solutions. The initialized candidate solutions are shown in Eq. (1), where the best candidate solution obtained during iterations is considered nearly the optimum.

$$X = \begin{bmatrix} x_{1,1} & \cdots & x_{1,j} & x_{1,dim-1} & x_{1,dim} \\ x_{1,2} & \cdots & x_{2,j} & \cdots & x_{2,dim} \\ \cdots & \cdots & x_{i,j} & \cdots & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ x_{N-1,1} & \cdots & x_{N-1,j} & \cdots & x_{N-1,dim} \\ x_{N,1} & \cdots & x_{N,j} & x_{N,dim-1} & x_{N,dim} \end{bmatrix} \quad (1)$$

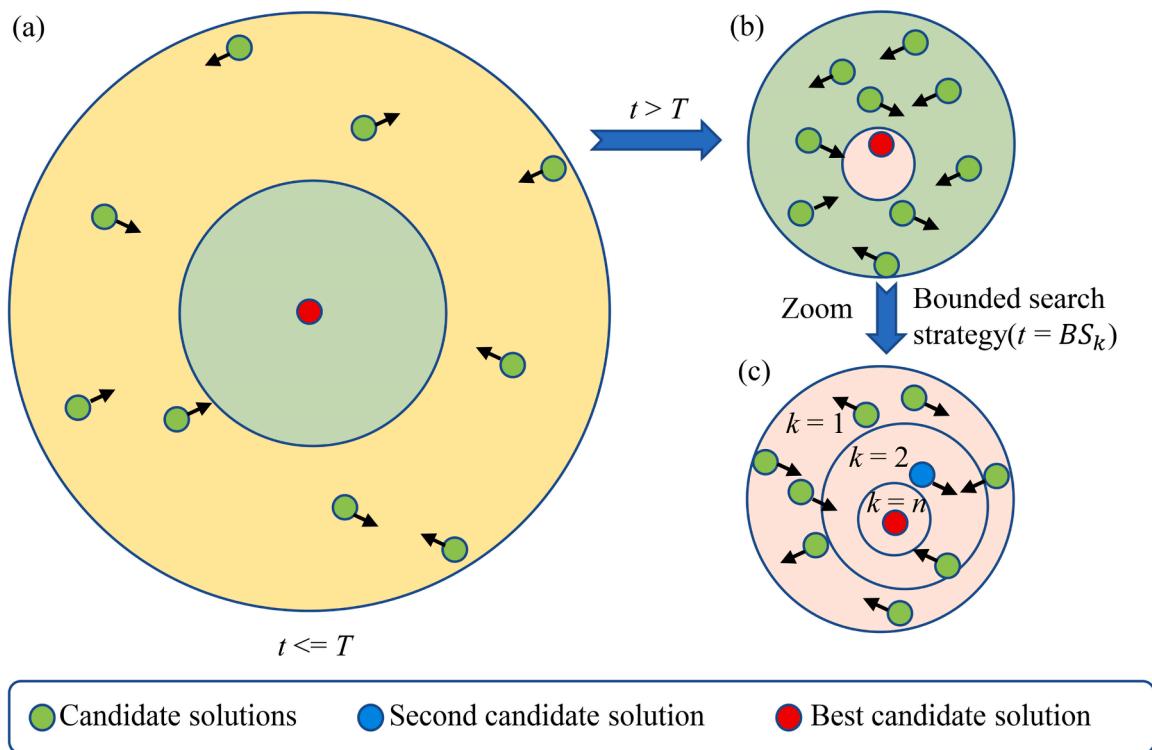
where  $X$  is a set of random candidate solutions obtained via using Eq. (2),  $x_{i,j}$  denotes the  $j$ th position of  $i$ th solution,  $N$  represents the number of candidate solutions, and  $dim$  is the problem dimension.

$$X = rand(N, dim) \times (ub - lb) + lb \quad (2)$$

where  $rand$  represents the random value in [0,1],  $ub$  and  $lb$  are the upper bound and lower bound of variables, respectively.

### 2.2.2. Exploration phase

SI algorithms emphatically balance the exploration and exploitation in the optimization process. Generally, these algorithms can explore the next position according to their position and the position of the best solution obtained so far. Therefore, in this study, exploring the next position is still related to its position, and the optimal solution obtained so far. Exploration is divided into two phases in the optimization process (iterations), and should exist in the later iterations to escape from local optima. The value to switch between these two phases is determined using Eq. (3):



**Fig. 1.** SCHO schematic diagram: (a) the first phase of exploration and exploitation, (b) the second phase of exploration and exploitation and (c) the bounded search strategy.

$$T = \text{floor}\left(\frac{\text{Max\_iteration}}{ct}\right) \quad (3)$$

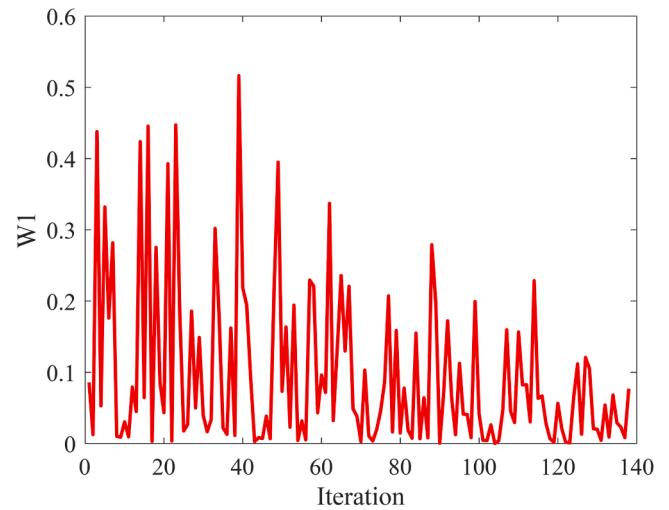
where *Max\_iteration* denotes the maximum value of iterations, *floor* is a function of rounding down in MATLAB, *ct* is a coefficient for setting switching point in two phases, fixed equal to 3.6 according to the experiments in this paper. In the first phase, the exterior of the search space near the position of search agents will be explored in the early iterations, and then search agents will gradually close to the best-obtained solution. The position update function for exploration in the first phase is proposed as in Eq. (4):

$$X_{(i,j)}^{t+1} = \begin{cases} X_{best}^{(j)} + r_1 \times W_1 \times X_{(i,j)}^t, & r_2 > 0.5 \\ X_{best}^{(j)} - r_1 \times W_1 \times X_{(i,j)}^t, & r_2 < 0.5 \end{cases} \quad (4)$$

where *t* indicates the current iteration,  $X_{(i,j)}^t$  and  $X_{(i,j)}^{t+1}$  mean the *j*th position of the *i*th solution in the current iteration and next iteration, respectively.  $X_{best}^{(j)}$  is the *j*th position of the optimal solution obtained as far,  $r_1/r_2$  are the random numbers in the interval [0,1].  $W_1$  is the weight coefficient of  $X_{(i,j)}^t$  in the first exploration phase, controlling candidate solutions in the first phase to stay away from themselves and gradually exploring towards the optimal solution, which is calculated using Eq. (5):

$$W_1 = r_3 \times a_1 \times (\cosh r_4 + u \times \sinh r_4 - 1) \quad (5)$$

where  $a_1$  is a monotonically decreasing function, which is calculated by using Eq. (6).  $r_3/r_4$  are random numbers in [0,1].  $u$  is a sensitive coefficient that controls the accuracy of exploration in the first phase and is fixed equal to 0.388. Fig. 2 shows that  $W_1$  gradually decreases from a small value, which indicates the importance of own position for position updates. Therefore, the candidate solutions gradually stay away from themselves in the first phase and then explore the optimal solution:



**Fig. 2.** The value of  $W_1$ .

$$a_1 = 3 \times \left( -1.3 \times \frac{t}{\text{Max\_iteration}} + m \right) \quad (6)$$

where *m* is the sensitive coefficient that controls the exploration accuracy of exploration and is equal to 0.45 based on the experiments in this paper.

In the second phase of exploration, the search agents are almost unaffected by the best-obtained solution and therefore they non-directionally explore the next position based on their current position. The position update function is calculated using Eq. (7):

$$X_{(i,j)}^{t+1} = \begin{cases} X_{(i,j)}^t + \left| \epsilon \times W_2 \times X_{best}^{(j)} - X_{(i,j)}^t \right|, & r_5 > 0.5 \\ X_{(i,j)}^t - \left| \epsilon \times W_2 \times X_{best}^{(j)} - X_{(i,j)}^t \right|, & r_5 < 0.5 \end{cases} \quad (7)$$

where  $\epsilon$  indicates a tiny positive number set to 0.003 based on the experiments in this paper.  $W_2$  is the weight coefficient of  $X_{best}^{(j)}$  in the second phase of exploration, which is calculated by using Eq. (8). Multiplying  $W_2$  by  $\epsilon$  greatly weakens the influence of the optimal solution on the current solutions, which causes undirected random exploration of candidate solutions around  $X_{(i,j)}^t$ :

$$W_2 = r_6 \times a_2 \quad (8)$$

where  $r_6$  belongs to a random number in  $[0,1]$ ,  $a_2$  indicates a monotonically decreasing function calculated by using Eq. (9), and  $W_2$  is shown in Fig. 3:

$$a_2 = 2 \times \left( -\frac{t}{Max\_iteration} + n \right) \quad (9)$$

where  $n$  denotes a sensitive coefficient that controls the accuracy of exploration in the second phase of exploration and is set to 0.5 according to the experiments in this paper.

### 2.2.3. Exploitation phase

The exploitation of SI algorithms generally exists in the whole process (iterations). To fully exploit the search space, the exploitation is divided into two phases and takes place in the entire iterations. In the first exploitation phase, the near space of  $X$  is exploited, so the formula for exploitation is designed as Eq. (10):

$$X_{(i,j)}^{t+1} = \begin{cases} X_{best}^{(j)} + r_7 \times W_3 \times X_{(i,j)}^t, & r_8 > 0.5 \\ X_{best}^{(j)} - r_7 \times W_3 \times X_{(i,j)}^t, & r_8 < 0.5 \end{cases} \quad (10)$$

where  $r_7/r_8$  belong to the random number in the interval  $[0,1]$ .  $W_3$  is the weight coefficient for the first phase of exploitation and controls candidate solutions to exploit the search space around themselves from near to far, calculated using Eq. (11):

$$W_3 = r_9 \times a_1 \times (\cosh r_{10} + u \times \sinh r_{10}) \quad (11)$$

where  $r_9/r_{10}$  are random numbers in  $[0,1]$ ,  $a_1$  is defined by Eq. (6),  $u$  is the same as the first exploration phase, fixed equal to 0.388. Fig. 4 shows  $W_3$  gradually decrease from a larger value, which denotes that candidate solutions can exploit the space around themselves from near to far.

In the second phase of exploitation, candidate solutions will perform

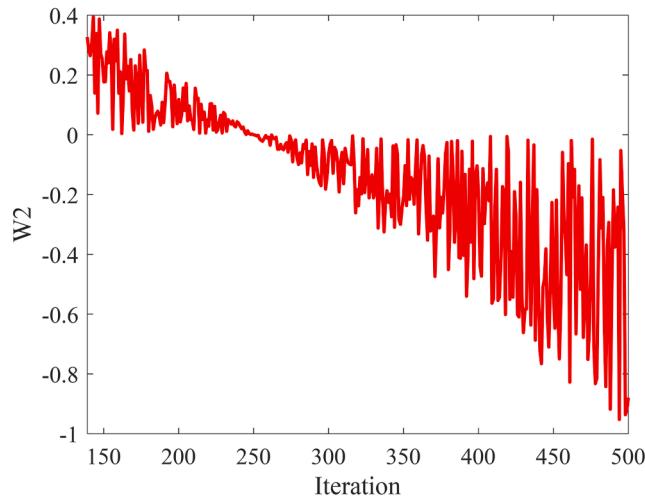


Fig. 3. The value of  $W_2$ .

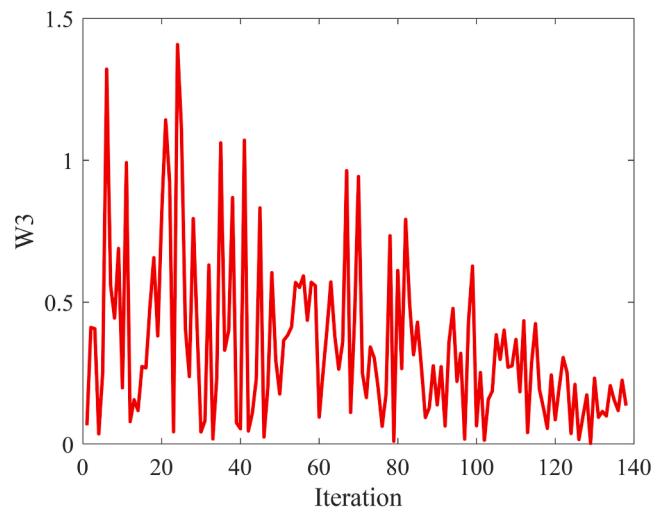


Fig. 4. The value of  $W_3$ .

deep exploitation around the optimal solution obtained as far, and the intensity of exploitation around the best-obtained solution will increase with increasing iterations. The position update function is shown in Eq. (12):

$$X_{(i,j)}^{t+1} = X_{(i,j)}^t + r_{11} \times \frac{\sinh r_{12}}{\cosh r_{12}} \left| W_2 \times X_{best}^{(j)} - X_{(i,j)}^t \right| \quad (12)$$

where  $r_{11}/r_{12}$  are random numbers in the interval  $[0,1]$ ,  $W_2$  is calculated by using Eq. (8).  $W_2$  controls the degree of the second exploitation phase. Its absolute value gradually increases in the later iterations, increasing the exploitation degree. The coefficient in front of the absolute value in Eq. (12) is used for keeping the diversity of candidate solutions.

### 2.2.4. Bounded search strategy

In order to fully exploit the potential search space, a strategy similar to animal hunting in the later stage is applied in SCHO, called the bounded search strategy. The potential search space is found by exploring the entire search area in the early iterations. To fully explore and exploit the potential space, all of candidate solutions are randomly initialized in this potential space by using Eq. (2). Then, the space will be deeply explored and exploited. Every start of this strategy is calculated by using Eq. (13):

$$BS_{k+1} = BS_k + \text{floor} \left( \frac{\text{Max\_iteration} - BS_k}{\alpha} \right) \quad (13)$$

where  $k$  is a positive integer starting from 1,  $BS_k$  is calculated using Eq. (14) and  $BS_{k+1}$  denotes the numbers of iterations that start the current and next bounded search strategy, respectively.  $\alpha$  denotes a sensitive coefficient and controls the accuracy of deep exploration and exploitation in the potential space, which is set to 4.6 based on the experiments in this paper.

$$BS_1 = \text{floor} \left( \frac{\text{Max\_iteration}}{\beta} \right) \quad (14)$$

where  $\beta$  controls the value that starts the bounded search strategy and is set to 1.55.

When SCHO uses the bounded search strategy every time, the upper and lower bound of optimization problems will be calculated using Eq. (15) and Eq. (16), respectively:

$$ub_k = X_{best}^{(j)} + \left( 1 - \frac{t}{\text{Max\_iteration}} \right) \times \left| X_{best}^{(j)} - X_{second}^{(j)} \right| \quad (15)$$

$$lb_k = X_{best}^{(j)} - \left(1 - \frac{t}{Max\_iteration}\right) \times |X_{best}^{(j)} - X_{second}^{(j)}| \quad (16)$$

where  $ub_k$  and  $lb_k$  represent the upper and lower bound of the potential search space,  $X_{second}^{(j)}$  indicates the  $j_{th}$  position of the suboptimal solution.

### 2.2.5. Switching mechanism

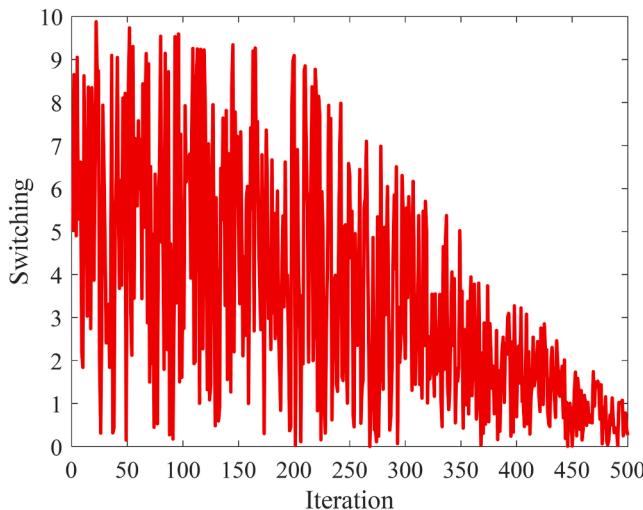
In the SCHO, a switching mechanism with Sinh and Cosh is proposed to switch between the exploration and exploitation. To achieve the exploration and exploitation of the entire search space and escape from the local optimum in the later iteration, the switching mechanism should mainly focus on the exploration, but performs a small amount of exploitation in the early iterations. In contrast, in the later iterations, the switching mechanism should mainly focus on the exploitation but performs a small amount of exploration. The principle used to design the switching mechanism is shown in Eq. (17):

$$A = \left( p - q \times \left( \frac{t}{Max\_iteration} \right) \right) \times r_{13} \quad (17)$$

where  $r_{13}$  belongs to a random number in the interval  $[0,1]$ , and  $p$  and  $q$  are the balance coefficient for controlling the exploration and exploitation during iterations, which are equal to 10 and 9, respectively. When  $A > 1$ , SCHO performs the exploration, while when  $A < 1$ , SCHO performs the exploitation. The values of  $A$  are shown in Fig. 5.

Fig. 5 shows that SCHO mainly performs the exploration in the early iterations. With increasing iterations, SCHO gradually focuses on the exploitation, but keeps the exploration in the later iteration, allowing SCHO to escape from the local optimum in the later iterations.

To recap, SCHO is divided into four parts, including the first phase ( $t < T$ ) and second phase ( $t > T$ ) of exploration and exploitation, bounded search strategy ( $t = BS_{k+1}$ ), and the switching mechanism ( $A$ ). Firstly, SCHO starts optimization via randomly initializing a set of candidate solutions, and then explores the search area from search agents toward the optimal solution obtained as far or exploits the search area around the search agents from near to far when  $t < T$ . Otherwise, SCHO enters the second phase and performs deep exploration and exploitation of the potential search area. Also, when  $t = BS_{k+1}$ , SCHO uses the bounded search strategy to distribute all search agents into the potential space again, and continues performing the second phase of exploration and



**Fig. 5.** The values of  $A$ , the switching mechanism parameter, with 500 iterations.

exploitation. Finally, the switching mechanism is utilized to switch the exploration ( $A > 1$ ) and exploitation ( $A < 1$ ). The pseudo-code of the proposed SCHO is detailed in Algorithm 1. The detailed flowchart of SCHO is presented in Fig. 6.

### 2.3. Computational complexity of SCHO

The computational complexity of SCHO is related to initialization process, fitness function evaluation, bounded search strategy and the updating position of solutions. The complexity of initialization process is of  $O(N)$ , where  $N$  shows the number of candidate solutions. The complexity of fitness function evaluation is of  $O(T \times N \times c)$ , where  $T$  denotes iterations and  $c$  is the function evaluation's cost. The complexity of bounded search strategy includes two parts: the bubble sort ( $O(z \times N^2)$ ) and the redistribution process of candidate solution ( $O(z \times N^2 \times D)$ ), where  $z$  indicates the number of times that SCHO enters the process of bounded search strategy and  $D$  represents the dimension of this problem. Finally, the complexity of the updating position of candidate solutions is of  $O(T \times N \times D)$ . Therefore, the total computational complexity of SCHO can be given as:

$$\begin{aligned} O(SCHO) = & O(N) + O(T \times N \times c) + O(z \times N^2) + O(z \times N^2 \times D) \\ & + O(T \times N \times D) \\ = & O(N + T \times N \times c + T \times N \times D + z \times N^2 + z \times N^2 \times D) \end{aligned} \quad (18)$$

Since  $N \ll T \times N \times D$ ,  $z \times N^2 \ll z \times N^2 \times D$ , the total computational complexity can be reduced to Eq. (19)

$$O(SCHO) \cong O(T \times N \times c + T \times N \times D + z \times N^2 \times D) \quad (19)$$

#### Algorithm 1

Pseudo-code of the Sinh Cosh Optimizer (SCHO).

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```

1: Initialize SCHO parameters  $ct$ ,  $T$ ,  $BS_1$ ,  $u$ ,  $m$ ,  $n$ ,  $\alpha$ ,  $\beta$ ,  $p$ ,  $q$ .
2: Initialize the positions of candidate solutions randomly.  $X: i = 1, \dots, N$ .
3: While ( $t < Max\_iteration$ ) do
4:   Calculate the Fitness values of the candidate solutions ( $X$ ).
5:   Find the Optimal solution so far.
6:   for ( $i = 1$  to  $N$ ) do
7:     for ( $j = 1$  to  $dim$ ) do
8:       Update  $A$  by using Eq. (17).
9:       if ( $t = BS_k$ ) then
10:        Find the position of the second solution currently.
11:        Update  $BS_k$  by using Eq. (13).
12:        Update the search space by using Eq. (15) and Eq. (16).
13:        Distribute all candidate solutions by using Eq. (2).
14:      end
15:      if ( $A > 1$ ) then
16:        Enter the exploration phase
17:        Update  $W_1$  and  $W_2$  by using Eq. (5) and Eq. (8), respectively.
18:        if ( $t \leq T$ ) then
19:          The first phase of exploration.
20:          Update the position of candidate solutions by using Eq. (4).
21:        else
22:          The second phase of exploration.
23:          Update the position of candidate solutions by using Eq. (7)
24:        else
25:          Enter the exploitation phase
26:          Update  $W_3$  value by using Eq. (11).
27:          if ( $t \leq T$ ) then
28:            The first phase of exploitation.
29:            Update the position of candidate solutions by using Eq. (10).
30:          else
31:            The second phase of exploitation.
32:            Update the position of candidate solutions by using Eq. (12).
33:          end
34:        end
35:         $t = t + 1$ 
36:      end while
37: Return the best solution ( $B(X)$ ).

```

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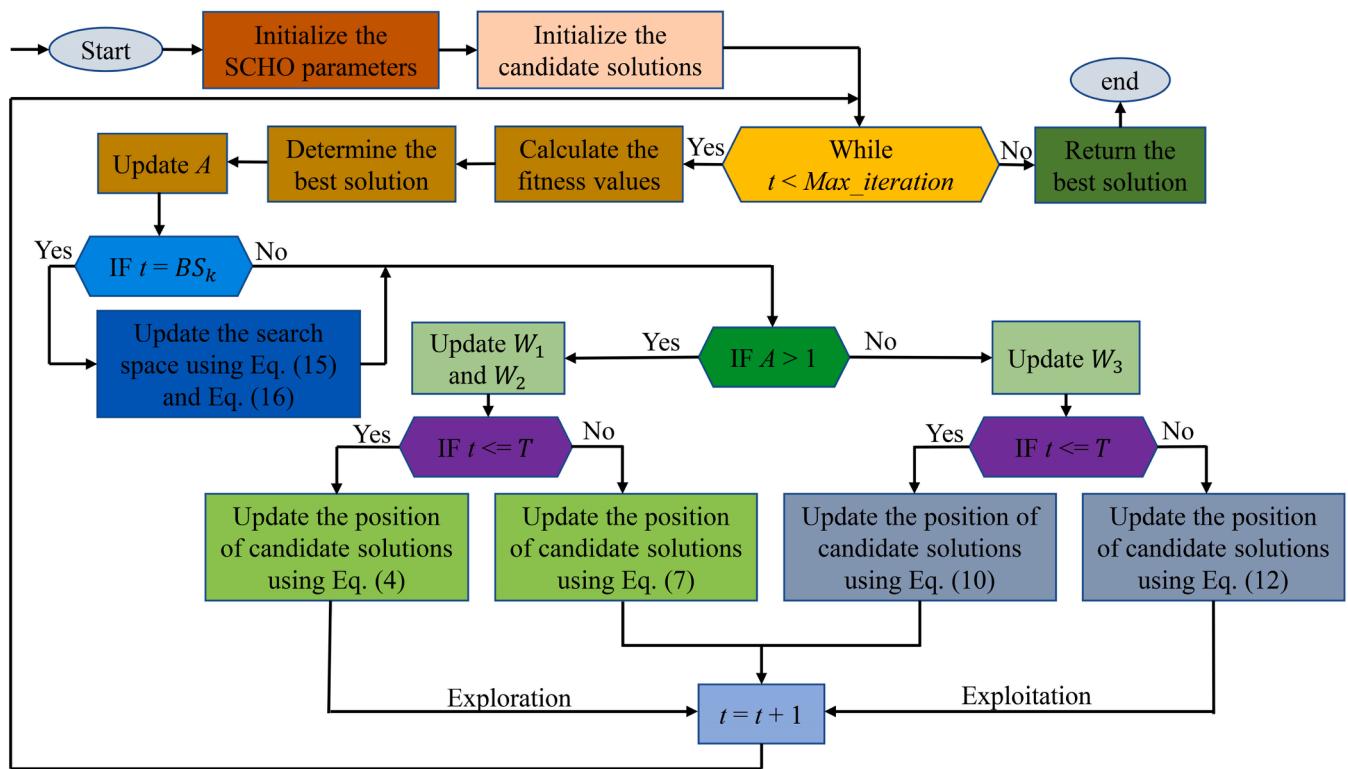


Fig. 6. Detailed flowchart of SCHO.

### 3. Results & discussions

In this section, the robustness and efficiency of SCHO are tested for the 23 classical benchmark functions, CEC 2014 test functions and six engineering design problems, respectively.

#### 3.1. Optimization results of SCHO on benchmark test functions

Twenty-three classical benchmark functions are generally applied to test the performance of meta-heuristic algorithms. They are divided into three families: unimodal, multi-modal, and composite test functions. The first family shown in Table 2 has only one global optimal solution and is utilized to evaluate optimization algorithms' convergence and exploitation ability. The second family shown in Table 3 has many local optima except one optimal global solution and is used to test the ability of local optimum avoidance and the exploration ability of algorithms. The final group shown in Table 4 includes ten fixed-dimensional multi-modal functions.

##### 3.1.1. Analysis of four subordinate models of SCHO

To study the effect of the exploration (the first subordinate model), the exploitation (the second subordinate model), the boundary search

**Table 2**  
Unimodal test functions.

Function	Dimension	Range	f <sub>min</sub>
F <sub>1</sub> (x) = $\sum_{i=1}^n x_i^2$	30	[-100, 100]	0
F <sub>2</sub> (x) = $\sum_{i=0}^n  x_i  + \prod_{i=0}^n  x_i $	30	[-10, 10]	0
F <sub>3</sub> (x) = $\sum_{i=1}^d (\sum_{j=1}^i x_j)^2$	30	[-100, 100]	0
F <sub>4</sub> (x) = $\max\{ x_i , 1 \leq i \leq n\}$	30	[-100, 100]	0
F <sub>5</sub> (x) = $\sum_{i=1}^{n-1} [100(x_i^2 - x_{i-1})^2 + (1 - x_i)^2]$	30	[-30, 30]	0
F <sub>6</sub> (x) = $\sum_{i=1}^n [ x_i + 0.5 ^2]$	30	[-100, 100]	0
F <sub>7</sub> (x) = $\sum_{i=0}^n i x_i^4 + \text{random}[0, 1)$	30	[-1.28, 1.28]	0

**Table 3**  
Multi-modal test functions.

Function	Dimension	Range	f <sub>min</sub>
F <sub>8</sub> (x) = $\sum_{i=1}^n (-x_i \sin(\sqrt{ x_i }))$	30	[-500, 500]	-418.9829 × n
F <sub>9</sub> (x) = $\sum_{i=0}^n [x_i^2 - 10 \cos(2\pi x_i) + 10]$	30	[-5.12, 5.12]	0
F <sub>10</sub> (x) = $-20 \exp\left(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}\right) - \exp\left(\frac{1}{n} \sum_{i=1}^n \cos(2\pi x_i)\right) + 20 + e$	30	[-32, 32]	0
F <sub>11</sub> (x) = $1 + \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos\left(\frac{x_i}{\sqrt{i}}\right)$	30	[-600, 600]	0
F <sub>12</sub> (x) = $\frac{\pi}{n} \{10 \sin(\pi y_1) + \sum_{i=1}^{n-1} (y_i - 1)^2 [1 + 10 \sin^2(\pi y_{i+1})] + (y_n - 1)^2\} + \sum_{i=1}^n u(x_i, 10, 100, 4)$ $y_i = 1 + \frac{x_i + 1}{4}$ $u(x_i, k, a, m) = \begin{cases} k(x_i - a)^m & x_i > a \\ 0 & -a < x_i < a \\ k(-x_i - a)^m & x_i < -a \end{cases}$	30	[-50, 50]	0
F <sub>13</sub> (x) = $0.1 \{\sin^2(3\pi x_1) + \sum_{i=1}^n (x_i - 1)^2 [1 + \sin^2(3\pi x_i) + 1]\} + (x_n - 1)^2 [1 + \sin^2(2\pi x_n)] + \sum_{i=1}^n u(x_i, 5, 100, 4)$	30	[-50, 50]	0

strategy (the third subordinate model), and the switching mechanism (the fourth subordinate model) on the optimization performance of SCHO, SCHO and its five variants are executed for solving 23 classical

**Table 4**

Fixed-dimension multi-modal test functions.

Function	Dimension	Range	$f_{\min}$
$F_{14}(x) = \left( \frac{1}{500} + \sum_{j=1}^{25} \frac{1}{j + \sum_{i=1}^2 (x_i - a_{ij})^6} \right)^{-1}$	2	[-65.53, 65.53]	1
$F_{15}(x) = \sum_{i=1}^{11} \left( a_i - \frac{x_1(b_i^2 + b_i x_2)}{b_i^2 + b_i x_3 + x_4} \right)^2$	4	[-5, 5]	0.0003
$F_{16}(x) = 4x_1^2 - 2.1x_1^4 + \frac{1}{3}x_1^6 + x_1 x_2 - 4x_2^2 + 4x_2^4$	2	[-5, 5]	-1.0316
$F_{17}(x) = \left\{ x_2 - \frac{5.1}{4\pi^2}x_1^2 + \frac{5}{\pi}x_1 - 6 \right\}^2 + 10\left(1 - \frac{1}{8\pi}\right)\cos x_1 + 10$	2	[-5, 10] $\times$ [0, 15]	0.398
$F_{18}(x) = [1 + (x_1 + x_2 + 1)^2(19 - 14x_1 + 3x_1^2 - 14x_2 + 6x_1 x_2 + 3x_2^2)] \times [30 + (2x_1 - 3x_2)^2 \times (18 - 32x_1 + 12x_1^2 + 48x_2 - 36x_1 x_2 + 27x_2^2)]$	2	[-5, 5]	3
$F_{19}(x) = -\sum_{i=1}^4 c_i \exp(-\sum_{j=1}^3 a_{ij}(x_j - p_{ij})^2)$	3	[0, 1]	-3.86
$F_{20}(x) = -\sum_{i=1}^4 c_i \exp(-\sum_{j=1}^6 a_{ij}(x_j - p_{ij})^2)$	6	[0, 1]	-3.32
$F_{21}(x) = -\sum_{i=1}^5 [(X - a_i)(X - a_i)^T + c_i]^{-1}$	4	[0, 10]	-10.1532
$F_{22}(x) = -\sum_{i=1}^7 [(X - a_i)(X - a_i)^T + c_i]^{-1}$	4	[0, 10]	-10.4028
$F_{23}(x) = -\sum_{i=1}^{10} [(X - a_i)(X - a_i)^T + c_i]^{-1}$	4	[0, 10]	-10.5363

benchmark functions. Five variants are SCHO without the third subordinate model (SCHO\_NT), SCHO without the second, third and fourth subordinate models (SCHO\_NSTF), SCHO without the first, third and fourth subordinate models (SCHO\_NFTF), SCHO without the second and fourth subordinate models (SCHO\_NSF), and SCHO without the first and fourth subordinate models (SCHO\_NFF). For these tests, the number of search agents ( $N$ ) and iterations ( $Max\_iteration$ ) are specified as 30 and 500, respectively, and then these algorithms run for 15,000 fitness evaluations to find the optimal solution. The dimension ( $D$ ) of these benchmark functions (F1-F13) is specified as 30. Each algorithm on each tested function is run independently 30 times to ensure that optimization results are not just a random occurrence.

Table 5 shows the optimization results of SCHO, SCHO\_NT, SCHO\_NSTF, SCHO\_NFTF, SCHO\_NSF, and SCHO\_NFF. The Friedman ranking test is executed to rank the average fitness obtained by different SCHO in a statistical method. The Friedman ranking results show that SCHO\_NFTF exhibits the worst optimization ability, because SCHO with only exploitation is prone to being trapped in local optima. It can be observed that the optimization ability of SCHO\_NSTF is only better than that of SCHO\_NFTF among the six algorithms. The results of SCHO\_NFF and SCHO\_NSF indicate that the performance of SCHO\_NFTF and SCHO\_NSTF is improved using the boundary search strategy. It can also be seen that SCHO, SCHO\_NFF and SCHO\_NSF with the boundary search strategy can obviously enhance the optimization performance for F6, F8, F13, and F21-F23 compared with SCHO\_NT, SCHO\_NSTF, and SCHO\_NFTF. The result of SCHO\_NT shows that the performance of algorithm combining exploration and exploitation outperforms the algorithm with only exploration or exploitation. In addition, SCHO wins all changed algorithms with different subordinate models, which shows that the synergy of four subordinate models can achieve the best optimization performance.

To show the importance of sinh & cosh in these subordinate models, Eq. (12) and Eq. (17) were selected as examples to verify them for 23 benchmark functions. SCHO is compared with two variants of SCHO to verify the importance of the equation in the second exploitation phase.

The first one is to use the first phase of exploitation equation in two exploitation phase (variant 1), and another one is the variant without the second phase of exploitation equation (variant 2). The results are shown in Fig. 7(a). It can be seen that SCHO wins two variants in terms of the number of the average optimal solutions, which shows the importance of Eq. (12) for the performance of SCHO. To verify the effect of sinh & cosh on the equations in the subordinate models of exploration and exploitation, the optimization results of SCHO are compared with that of four variants. The first two variants are to use the sine & cosine with the same value range to replace the sinh & cosh in second phase of exploitation equation (variant 3) and the sinh & cosh in the first phase of exploitation equation (variant 4). The latter two variants are to use the linear functions with the same value range to replace the sinh & cosh in second phase of exploitation equation (variant 5) and the sinh & cosh in the first phase of exploitation equation (variant 6). The results are shown in Fig. 7(b). It can be observed that SCHO outperforms these variants with different non-hyperbolic functions in terms of the number of the average optimal solutions, and sinh & cosh have a greater impact in exploration than in exploitation. To further evaluate the effect of the switching mechanism on the performance of SCHO, three variants with different switch equation are used, and the optimization results of SCHO are compared with that of them. These variants are the switching mechanism using sine & cosine (variant 7), the switching mechanism using linear functions (variant 8), and the switching mechanism with same selection probability for exploration and exploitation (variant 9). Fig. 7(c) shows that SCHO wins three variants, which indicates that the switching mechanism with sinh & cosh is more beneficial for the performance of SCHO. Similarly, SCHO are compared with the variant with sine & cosine in Eq. (17) (variant 10) and the variant with linear functions in Eq. (17) (variant 11) to verify the impact of sinh & cosh in Eq. (17) for the performance of SCHO. Fig. 7(d) shows that SCHO is superior to other variants, which illustrates that sinh & cosh is more suitable for the switching mechanism of SCHO. In short, these subordinate models are crucial to the performance of SCHO, and combining sinh & cosh with these subordinate models can more effectively improve the performance of SCHO.

### 3.1.2. Qualitative analysis of SCHO

In order to validate the performance of SCHO, the convergence of SCHO is analyzed by utilizing trajectories and convergence curves, as shown in Fig. 8. There are five qualitative metrics for the convergence of SCHO, containing the 2D graph of the functions mentioned above (as in the first column) to observe the topology of these functions, the search history of search agents (as in the second column), the trajectories of the first dimension of the first agent (as in the third column), the average fitness value of all search agents (as in the fourth column) and the convergence curve of the optimal solution obtained in each iteration (as in the last column).

In general, search history is performed to study the collective behavior of search agents and the interaction between search agents and optimal solution, which provides a useful method to observe the modality of swarm search of search agents. The modality can present a search process in which search agents always surround the best solution for unimodal functions. In contrast, it can present the scattering of search agents on multi-modal functions. These modality properties can be utilized to improve the performance of exploration and exploitation when SCHO is applied for solving the unimodal and multi-modal functions.

The trajectories shown in Fig. 8 indicate that the search agent has a high frequency and amplitude in the early iteration, but nearly vanish soon, which denotes that SCHO possesses the strong abilities of exploration in the early iterations and exploitation in the later iterations. Moreover, it can also be observed from Fig. 8 that the average fitness value of search agents is very high at the first few iterations. Still, the average fitness gets very low soon, indicating that SCHO can converge to the optimal solution with a small number of iterations. In addition, the

**Table 5**

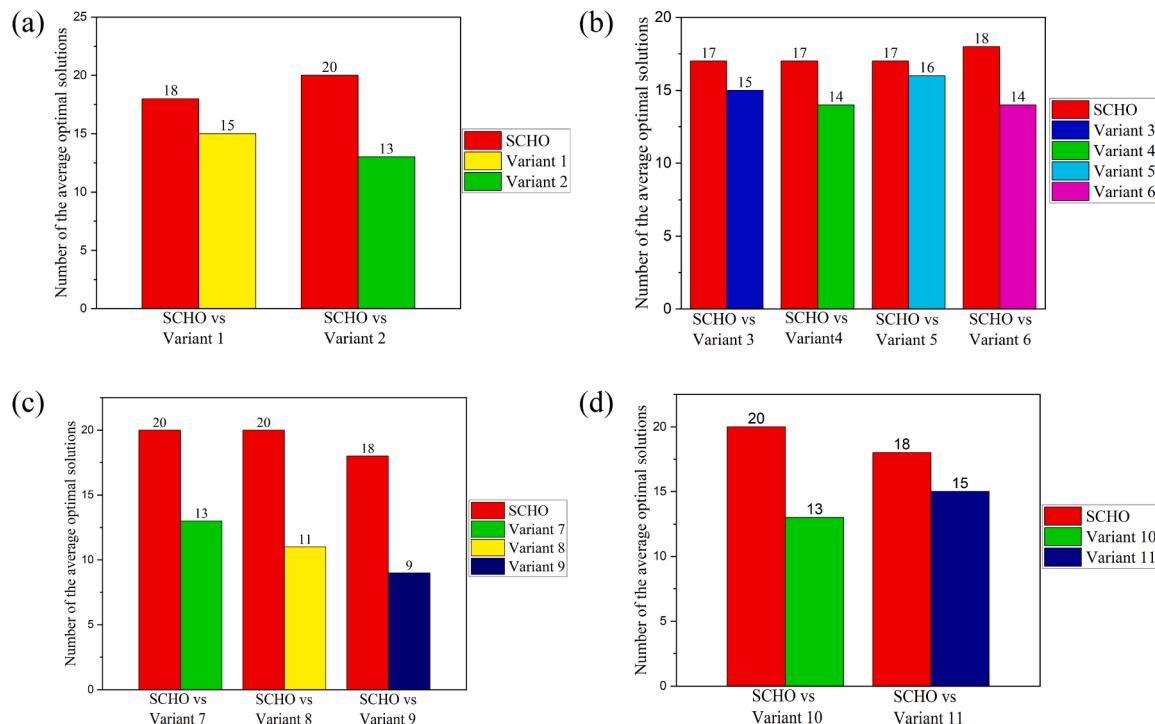
Optimization results of SCHO and SCHO without partial subordinate models for the 23 classical benchmark functions.

Fun	Measure	SCHO	SCHO_NT	SCHO_NSTF	SCHO_NFTF	SCHO_NSF	SCHO_NFF
F1	Best	0.000E+00	0.000E+00	0.000E+00	2.659E-14	0.000E+00	1.158E-16
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	3.570E-12	<b>0.000E+00</b>	2.672E-12
	STD	0.000E+00	0.000E+00	0.000E+00	5.316E-12	0.000E+00	6.116E-12
	Rank	1	1	1	6	1	5
F2	Best	0.000E+00	0.000E+00	0.000E+00	4.824E-09	0.000E+00	4.384E-10
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	1.437E-08	<b>0.000E+00</b>	8.697E-09
	STD	0.000E+00	0.000E+00	0.000E+00	8.286E-09	0.000E+00	9.703E-09
	Rank	1	1	1	6	1	5
F3	Best	0.000E+00	0.000E+00	0.000E+00	4.276E-05	0.000E+00	1.340E-06
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	2.029E-01	<b>0.000E+00</b>	1.390E-01
	STD	0.000E+00	0.000E+00	0.000E+00	3.423E-01	0.000E+00	4.302E-01
	Rank	1	1	1	6	1	5
F4	Best	0.000E+00	0.000E+00	0.000E+00	1.616E-04	0.000E+00	2.048E-07
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	3.637E-03	<b>0.000E+00</b>	7.026E-04
	STD	0.000E+00	0.000E+00	0.000E+00	4.773E-03	0.000E+00	9.504E-04
	Rank	1	1	1	6	1	5
F5	Best	2.156E+01	2.529E+01	2.647E+01	2.641E+01	2.661E+01	2.643E+01
	Average	2.818E+01	2.811E+01	2.874E+01	2.783E+01	2.862E+01	<b>2.781E+01</b>
	STD	1.388E+00	7.644E-01	5.677E-01	7.265E-01	6.209E-01	7.571E-01
	Rank	4	3	6	2	5	1
F6	Best	6.853E-06	1.418E+00	1.775E+00	1.008E+00	5.001E-04	2.606E-06
	Average	6.570E-01	2.576E+00	3.286E+00	2.393E+00	1.232E+00	<b>6.259E-01</b>
	STD	1.205E+00	6.778E-01	7.275E-01	4.878E-01	1.811E+00	1.188E+00
	Rank	2	5	6	4	3	1
F7	Best	3.031E-07	1.646E-06	2.766E-06	1.079E-03	5.818E-06	2.300E-06
	Average	<b>7.236E-05</b>	8.562E-05	7.886E-05	7.095E-03	7.714E-05	2.622E-03
	STD	8.953E-05	8.871E-05	9.120E-05	4.139E-03	6.096E-05	3.762E-03
	Rank	1	4	3	6	2	5
F8	Best	-1.257E+04	-6.947E+03	-6.678E+03	-5.518E+03	-1.255E+04	-1.255E+04
	Average	<b>7.661E+03</b>	-5.572E+03	-5.877E+03	-4.121E+03	-7.526E+03	-6.490E+03
	STD	2.540E+03	6.336E+02	5.987E+02	4.693E+02	2.587E+03	3.440E+03
	Rank	1	5	4	6	2	3
F9	Best	0.000E+00	0.000E+00	0.000E+00	8.527E-13	0.000E+00	0.000E+00
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	3.747E+00	<b>0.000E+00</b>	1.073E+00
	STD	0.000E+00	0.000E+00	0.000E+00	9.106E+00	0.000E+00	3.762E+00
	Rank	1	1	1	6	1	5
F10	Best	4.441E-16	4.441E-16	4.441E-16	3.141E-08	4.441E-16	1.438E-09
	Average	<b>4.441E-16</b>	<b>4.441E-16</b>	<b>4.441E-16</b>	2.817E-07	<b>4.441E-16</b>	1.453E-07
	STD	0.000E+00	0.000E+00	0.000E+00	1.910E-07	0.000E+00	1.493E-07
	Rank	1	1	1	6	1	5
F11	Best	0.000E+00	0.000E+00	0.000E+00	4.674E-14	0.000E+00	0.000E+00
	Average	<b>0.000E+00</b>	<b>0.000E+00</b>	<b>0.000E+00</b>	1.164E-02	<b>0.000E+00</b>	2.323E-03
	STD	0.000E+00	0.000E+00	0.000E+00	1.459E-02	0.000E+00	5.365E-03
	Rank	1	1	1	6	1	5
F12	Best	9.755E-10	6.905E-02	3.762E-01	1.237E-01	1.586E-06	3.125E-11
	Average	1.146E-01	3.415E-01	1.017E+00	1.972E-01	5.620E-01	<b>6.625E-07</b>
	STD	2.405E-01	2.719E-01	3.426E-01	4.574E-02	6.432E-01	6.949E-07
	Rank	2	4	6	3	5	1
F13	Best	7.953E-09	1.331E+00	1.470E+00	1.452E+00	2.850E-05	1.592E-06
	Average	1.266E+00	2.030E+00	2.537E+00	1.802E+00	1.801E+00	<b>1.044E+00</b>
	STD	9.275E-01	3.328E-01	4.190E-01	2.154E-01	1.243E+00	8.715E-01
	Rank	2	5	6	4	3	1
F14	Best	9.980E-01	9.980E-01	9.980E-01	9.980E-01	9.980E-01	9.980E-01
	Average	3.8285	6.61	6.1164	3.4879	5.0945	<b>2.5037</b>
	STD	4.577E+00	4.418E+00	4.517E+00	3.234E+00	5.139E+00	2.923E+00
	Rank	2	6	5	3	4	1
F15	Best	3.082E-04	3.077E-04	3.075E-04	3.101E-04	3.075E-04	3.117E-04
	Average	<b>3.254E-04</b>	7.537E-04	6.490E-03	4.932E-04	4.425E-03	4.063E-04
	STD	2.572E-05	2.697E-04	8.791E-03	1.589E-04	8.107E-03	9.263E-05
	Rank	1	4	6	3	5	2
F16	Best	-1.032E+00	-1.032E+00	-1.032E+00	-1.032E+00	-1.032E+00	-1.032E+00
	Average	<b>-1.0316</b>	<b>-1.0316</b>	<b>-1.0316</b>	<b>-1.0316</b>	<b>-1.0316</b>	<b>-1.0316</b>
	STD	2.443E-08	2.449E-08	1.601E-08	2.214E-06	1.594E-08	1.419E-06
	Rank	1	1	1	1	1	1
F17	Best	3.979E-01	3.979E-01	3.979E-01	3.979E-01	3.979E-01	3.979E-01
	Average	0.39789	0.39789	0.39789	0.39811	0.39789	0.39807
	STD	2.429E-06	2.938E-06	1.985E-06	2.592E-04	2.702E-06	2.455E-04
	Rank	1	1	1	6	1	5
F18	Best	3.000E+00	3.000E+00	3.000E+00	3.000E+00	3.000E+00	3.000E+00
	Average	3.5303	3.0735	7.4332	<b>3</b>	5.8866	<b>3</b>
	STD	2.157E+00	3.712E-01	1.630E+01	1.138E-05	6.496E+00	1.311E-05
	Rank	4	3	6	1	5	1
F19	Best	-3.863E+00	-3.863E+00	-3.863E+00	-3.863E+00	-3.863E+00	-3.863E+00
	Average	<b>-3.8625</b>	<b>-3.8625</b>	<b>-3.8625</b>	-3.8601	<b>-3.8625</b>	-3.8603

(continued on next page)

**Table 5 (continued)**

Fun	Measure	SCHO	SCHO_NT	SCHO_NSTF	SCHO_NFTF	SCHO_NSF	SCHO_NFF
F20	STD	1.433E-03	1.437E-03	1.422E-03	3.381E-03	1.438E-03	3.210E-03
	Rank	1	1	1	6	1	5
	Best	-3.322E+00	-3.322E+00	-3.322E+00	-3.320E+00	-3.322E+00	-3.319E+00
	Average	-3.2652	-3.2653	-3.2694	-3.2111	<b>-3.2728</b>	-3.1925
F21	STD	7.399E-02	1.097E-01	6.669E-02	9.971E-02	6.116E-02	1.396E-01
	Rank	4	3	2	5	1	6
	Best	-1.015E+01	-1.015E+01	-1.015E+01	-9.925E+00	-1.015E+01	-1.015E+01
	Average	-9.3025	-6.1315	-6.1228	-6.8198	<b>-9.4741</b>	-8.1314
F22	STD	1.921E+00	3.610E+00	3.253E+00	2.670E+00	1.747E+00	2.751E+00
	Rank	2	5	6	4	1	3
	Best	-1.040E+01	-1.040E+01	-1.040E+01	-9.957E+00	-1.040E+01	-1.040E+01
	Average	-9.7879	-5.9505	-6.4713	-7.4152	<b>-9.8646</b>	-8.8038
F23	STD	1.889E+00	3.493E+00	3.569E+00	2.661E+00	1.615E+00	2.732E+00
	Rank	2	6	5	4	1	3
	Best	-1.054E+01	-1.053E+01	-1.052E+01	-1.035E+01	-1.054E+01	-1.054E+01
	Average	-9.9194	-5.8951	-5.8011	-6.9884	-9.3805	-8.6732
Mean	STD	1.902E+00	3.655E+00	3.719E+00	2.779E+00	2.372E+00	2.913E+00
	Rank	1	5	6	4	2	3
	Final	1.65	2.96	3.35	4.52	2.13	3.35
	Ranking	1	3	4	6	2	4



**Fig. 7.** (a) Comparison results between SCHO and two variants to verify the impact of the second exploitation phase for SCHO. (b) Comparison results between SCHO and variants with sine & cosine or linear function parameters in Eq. (12) to verify the impact of sinh & cosh for exploration and exploitation. (c) Comparison results between SCHO and variants with different switching mechanism to verify the significance of the proposed switching mechanism. (d) Comparison results between SCHO and variants with sine & cosine or linear function parameters in Eq. (17) to verify the impact of sinh & cosh for SCHO.

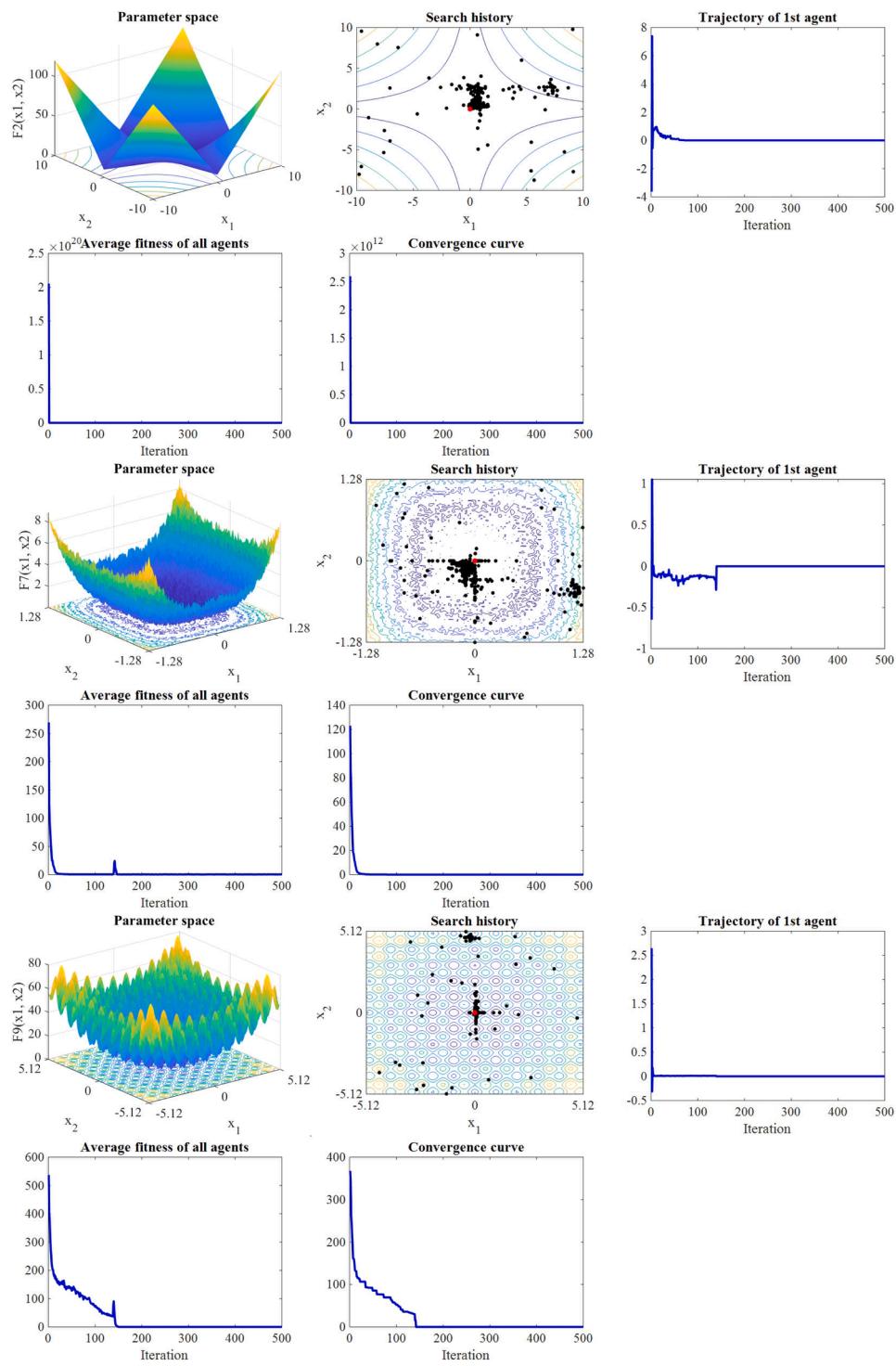
average fitness value has a transient increase in most functions when the iteration reaches about 140, mainly caused by the switch from the first phase to the second phase of exploration and exploitation. Therefore, when SCHO enters the second phase, its exploration ability has a temporary enhancement to escape from the local optima, which can be verified from the average fitness value that becomes smaller for some functions.

Finally, it is noted that the convergence of SCHO in the last column in Fig. 8 has a different pattern on these functions mentioned above. The convergence curve is smooth on the unimodal tested functions, but on the multi-modal tested functions, is more like a stepwise behavior because multi-modal tested functions are more intricate than unimodal tested functions. Furthermore, due to the second phase of exploration

and exploitation and the bounded search strategy in the later iterations, the fitness values obtained by SCHO are closer to optimal solutions for these test functions. For example, F9 and F10 clearly obtain better solutions when entering the second phase of exploration and exploitation, while F21 also obtains a smaller solution due to the bounded search strategy, which indicates that both strategies can effectively help the search solution to escape from the local optimum. In short, SCHO can make a balance between exploration and exploitation well enough, and has a high chance of getting close to the optimal solution.

### 3.1.3. Comparison of optimization results between SCHO and other algorithms

To assess the performance of SCHO for the 23 classical benchmark



Continued

**Fig. 8.** Qualitative results of SCHO.

functions, the results obtained by SCHO are compared with six well-known and two new meta-heuristic optimization algorithms, including GWO [52], ALO [106], SCA [104], SSA [107], AOA [105], RSA [108], SHO [109], GJO [80]. The popular or new algorithms with same benchmark functions for evaluation are chosen to guarantee the reliability and superiority of SCHO. The important parameters of comparison algorithms are all specified, as shown in Table 6. For these tests, the

number of search agents ( $N$ ), iterations ( $Max\_iteration$ ) and the dimension ( $D$ ) are set to the same values as before. Each algorithm on each tested function is run independently 30 times to ensure that optimization results are not just a random occurrence.

Moreover, several metrics are applied for evaluating the performance of SCHO on these tested functions, including the best fitness value, average fitness value, and standard deviation (STD). Also, the

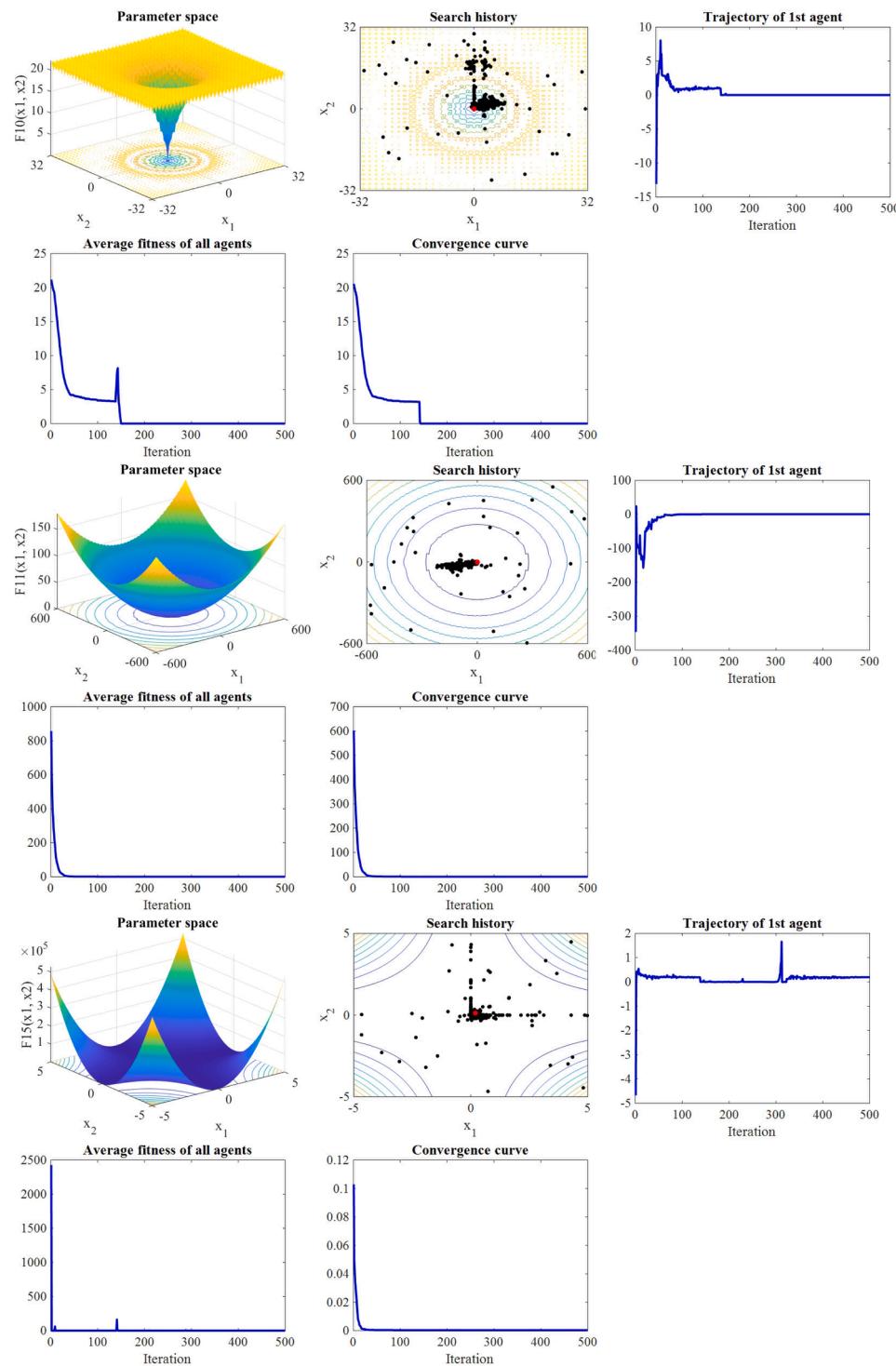


Fig. 8. (continued).

Friedman ranking test is implemented to rank the average fitness obtained by algorithms in a statistical method, and the mean ranking of SCHO and comparison algorithms is finally calculated to assess the performance of SCHO on each tested function. These results obtained by SCHO and other comparison algorithms are shown in Table 7.

The results of the Friedman ranking test indicate that SCHO is ranked first in comparison to other algorithms on the 23 classical benchmark functions, followed by GJO, which is ranked second, and GWO, SHO, RSA, SSA, ALO, AOA, SCA, which are respectively ranked third, fourth, fifth, sixth, seventh, eighth, and ninth. It is also seen that SCHO obtained

the average optimal solution on fourteen tested functions (F1-F4, F7-F11, F15-F17, F19, F21), which account for 61%, ranked first, followed by RSA, which is ranked second with nine average optimal solutions. Then SSA, GJO, GWO, ALO, SHO, AOA, and SCA obtained the six, five, five, five, four, four, and two optimal solutions, respectively. Also, SCHO still obtain the six best solutions (F12, F14, F18, F20, F22, F23) out of the nine test functions that SCHO did not obtain the average optimal solution. In addition, SCHO and RSA are ranked first with five average optimal solutions on the unimodal functions (F1-F7). Still, the mean ranking of SCHO is better than RSA, which indicates that SCHO

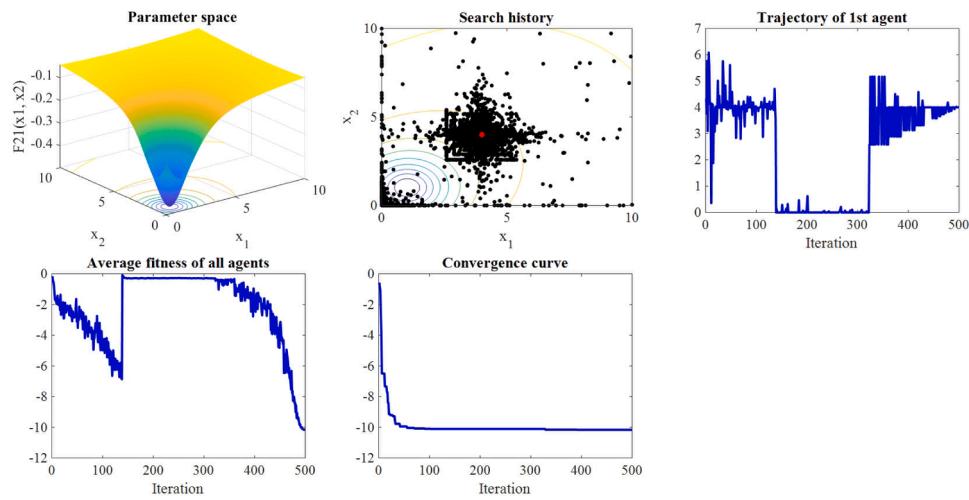


Fig. 8. (continued).

**Table 6**  
Parameters of SCHO for different algorithms.

Algorithm	Parameter	Value
SCHO	$c_t, u, m$	3.6, 0.388, 0.45
	$\epsilon, n$	0.003, 0.5
	$\alpha, \beta$	4.6, 1.55
	$p, q$	10, 9
GWO	$a$ (convergence parameter)	Linear reduction from 2 to 0
ALO	$w$ (integer)	[2,6]
SCA	$a$ (constant)	2
SSA	$c_2, c_3$ (random numbers)	[0, 1]
AOA	$\alpha$ (sensitive parameter)	5
RSA	$u$ (control parameter)	0.5
SHO	$\alpha$ (sensitive parameter)	0.1
	$\beta$ (sensitive parameter)	0.005
GJO	$r_1$ (the cut-off point)	0
	probability of success $r_2$	0.1
GJO	$c_1$ (constant)	1.5
	$\beta$ (constant)	1.5

presents the best exploitation ability compared to other comparative algorithms. Moreover, on the multi-modal tested functions (F8-F13), SCHO and RSA found four average optimal solutions and are ranked first. Still, SCHO is better in terms of the mean ranking than RSA, which shows that SCHO has a better exploration than other comparative algorithms. According to NFL, algorithms are specific to problems. On the 23 classical benchmark functions, SCHO provides a very nice method for solving F15 compared to these mentioned algorithms and other new algorithms such as Chimp Optimization Algorithm (ChOA) [5] and Goby Association Search algorithm (SGA) [59], which indicates SCHO can strike well balance between exploration and exploitation for F15. Therefore, SCHO provides a good balance strategy to get the optimal solution compared to other algorithms, which verify the effectiveness of proposed switching mechanism.

To evaluate the significant difference between SCHO and other algorithms, another statistical method called Wilcoxon rank-sum test is performed [6,110]. A significant level of  $\alpha$  is set to 0.05, and the performance of SCHO and other algorithms is tested using the  $p$  value. Table 8 shows the results of Wilcoxon rank sum test between SCHO and the other algorithms. When  $p > \alpha$ , there is no significant difference between SCHO and the other algorithms, and the result is marked by ‘~’. When  $p < \alpha$ , there is a significant difference between SCHO and the other algorithms, and ‘+’ denotes that SCHO gets better results than other algorithms and ‘-’ declares SCHO gets a worse result compared to other algorithms. It can be seen from the table 8 that SCHO still get better results compared with other algorithms, which further shows the

reliability and effectiveness of SCHO.

To observe the entire optimization process between all of algorithms more intuitively, the convergence curves of SCHO and other algorithms for the 23 tested functions are shown in Fig. 9. It is observed that SCHO gets the optimal solution on most tested functions (F1-F4, F9-F11, F16, F17, F19) with a fast convergence speed, especially on functions F16 and F17. Although SCHO obtains the optimal solution on functions F7, F8, F15, and F21 with a slow convergence rate, SCHO has a strong ability to converge to the optimal solution in the later iterations compared to other algorithms, which is also seen for functions F22 and F23. It is intuitively recognized from these convergence curves how these three search strategies, including the first phase and second phase of exploration and exploitation and the bounded search strategy, affect the convergence for these functions. The first search strategy plays an important role in F5, F8, F12, F13, F16, F17, F19 and F20-F23, while the second strategy possesses a significant effect on F1-F4 and F9-F11. The second strategy cooperates with the first search strategy to obtain the optimal solutions. For F8, F21–23, the third strategy is also very important and assists the first strategy in helping the algorithm continuously escape from local optima in the later iterations, which also benefits from the fact that the switch mechanism can guarantee exploration in the later stage. In addition, these three strategies have obvious synergy on F7, F14 and F15 to obtain better solutions. Therefore, SCHO can easily escape from the local optima, attributed to the applied three search strategy and good switch mechanism.

Compared to other meta-heuristic optimization algorithms for the 23 classical benchmark functions, SCHO possesses good exploration and exploitation capabilities and strong local optimum avoidance. In conclusion, SCHO is a competitive optimization algorithm.

### 3.1.4. Scalability analysis of SCHO

To study the effect of optimization problems at high dimensions on the performance of SCHO, a scalability analysis is performed by using 13 classical benchmark functions with dimension levels of 100 and 500. The search agents, iterations and running times of SCHO and comparative algorithms are set to 30, 500 and 30, respectively. Table 9 and Table 10 are the optimization results at  $D = 100$  and  $D = 500$ , respectively. The results show that SCHO still gets the minimum value on Friedman ranking test, which denotes that SCHO is outperform to other algorithms on solving optimization problems at high dimension. Although the stability of SCHO for F2 and F4 at high dimension decreases, SCHO gets better ranking compared with the results for F5, F12 and F13 with  $D = 30$ . Hence, the value of average ranking of SCHO at high dimension is smaller than the value of 2.1 at low dimension, which indicates that the effect of high dimension on the scalability of SCHO is

**Table 7**

Optimization results of SCHG and other algorithms for the 23 classical benchmark functions.

Fun	Measure	SCHG	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F1	Best	0.000E+00	3.875E-29	1.873E-04	2.957E-01	2.751E-08	7.080E-151	0.000E+00	1.970E-146	5.285E-59
	Average	0.000E+00	1.625E-27	1.178E-03	2.598E+01	8.930E-07	1.717E-15	0.000E+00	4.022E-141	7.423E-55
	STD	0.000E+00	3.875E-27	1.160E-03	4.588E+01	3.636E-06	9.406E-15	0.000E+00	2.061E-140	1.608E-54
	Rank	1	5	8	9	7	6	1	3	4
F2	Best	0.000E+00	7.045E-18	4.267E-01	1.462E-04	1.345E-01	0.000E+00	0.000E+00	1.988E-81	1.114E-33
	Average	0.000E+00	1.340E-16	4.614E+01	2.364E-02	2.036E+00	0.000E+00	0.000E+00	3.010E-78	1.580E-32
	STD	0.000E+00	1.686E-16	4.465E+01	6.863E-02	1.801E+00	0.000E+00	0.000E+00	7.380E-78	1.657E-32
	Rank	1	6	9	7	8	1	1	4	5
F3	Best	0.000E+00	1.022E-08	1.165E+03	1.275E+03	4.208E+02	3.521E-123	0.000E+00	2.197E-108	1.530E-22
	Average	0.000E+00	2.173E-05	4.713E+03	9.576E+03	1.750E+03	3.803E-03	0.000E+00	2.578E-98	2.718E-16
	STD	0.000E+00	4.713E-05	2.318E+03	5.640E+03	1.307E+03	1.044E-02	0.000E+00	7.946E-98	1.300E-15
	Rank	1	5	8	9	7	6	1	3	4
F4	Best	0.000E+00	4.613E-08	4.977E+00	1.126E+01	6.471E+00	2.591E-56	0.000E+00	1.822E-59	1.979E-18
	Average	0.000E+00	1.207E-06	1.664E+01	3.650E+01	1.175E+01	2.978E-02	0.000E+00	4.991E-57	6.210E-16
	STD	0.000E+00	1.624E-06	5.424E+00	1.444E+01	3.590E+00	2.197E-02	0.000E+00	1.081E-56	1.274E-15
	Rank	1	5	8	9	7	6	1	3	4
F5	Best	2.811E+01	2.606E+01	2.443E+01	4.576E+01	1.876E+01	2.774E+01	1.043E-25	2.718E+01	2.716E+01
	Average	2.888E+01	2.606E+01	3.737E+02	4.027E+04	3.493E+02	2.852E+01	1.542E+01	2.820E+01	2.799E+01
	STD	2.002E-01	7.674E-01	5.330E+02	7.277E+04	4.184E+02	2.714E-01	1.467E+01	6.242E-01	6.407E-01
	Rank	6	2	8	9	7	5	1	4	3
F6	Best	5.376E-05	6.376E-05	2.011E-04	4.353E+00	2.446E-08	2.218E+00	3.410E+00	2.327E+00	1.642E+00
	Average	1.993E+00	8.100E-01	1.117E-03	1.485E+01	1.835E-07	3.126E+00	6.556E+00	3.209E+00	2.606E+00
	STD	1.785E+00	5.047E-01	1.083E-03	1.420E+01	2.353E-07	3.253E-01	1.062E+00	4.943E-01	4.679E-01
	Rank	4	3	2	9	1	6	8	7	5
F7	Best	7.659E-07	2.612E-04	1.142E-01	1.486E-02	8.625E-02	7.258E-07	4.066E-06	1.177E-05	8.960E-05
	Average	6.239E-05	1.893E-03	2.645E-01	1.176E-01	1.677E-01	7.968E-05	9.112E-05	1.251E-04	5.253E-04
	STD	5.154E-05	1.181E-03	9.686E-02	9.062E-02	6.191E-02	7.319E-05	1.086E-04	1.239E-04	4.061E-04
	Rank	1	6	9	7	8	2	3	4	5
F8	Best	-1.255E+04	-8.069E+03	-9.093E+03	-4.446E+03	-8.379E+03	-5.887E+03	-5.667E+03	-7.427E+03	-7.704E+03
	Average	-7.923E+03	-6.116E+03	-5.781E+03	-3.738E+03	-7.475E+03	-5.161E+03	-5.430E+03	-6.306E+03	-3.975E+03
	STD	2.356E+03	1.182E+03	9.714E+02	3.119E+02	6.610E+02	4.131E+02	2.191E+02	6.111E+02	1.350E+03
	Rank	1	4	5	9	2	7	6	3	8
F9	Best	0.000E+00	0.000E+00	4.875E+01	9.072E-02	2.189E+01	0.000E+00	0.000E+00	0.000E+00	0.000E+00
	Average	0.000E+00	2.093E+00	8.218E+01	3.463E+01	5.134E+01	0.000E+00	0.000E+00	0.000E+00	0.000E+00
	STD	0.000E+00	2.626E+00	2.083E+01	2.602E+01	1.445E+01	0.000E+00	0.000E+00	0.000E+00	0.000E+00
	Rank	1	6	9	7	8	1	1	1	1
F10	Best	4.441E-16	7.505E-14	1.341E+00	2.635E-01	1.842E-01	4.441E-16	4.441E-16	3.997E-15	3.997E-15
	Average	4.441E-16	1.016E-13	4.878E+00	1.296E+01	2.478E+00	4.441E-16	4.441E-16	3.997E-15	6.721E-15
	STD	0.000E+00	1.799E-14	2.736E+00	9.223E+00	7.645E-01	0.000E+00	0.000E+00	0.000E+00	1.528E-15
	Rank	1	6	8	9	7	1	1	4	5
F11	Best	0.000E+00	0.000E+00	2.135E-02	4.354E-02	1.162E-03	2.115E-02	0.000E+00	0.000E+00	0.000E+00
	Average	0.000E+00	5.059E-03	6.136E-02	8.926E-01	1.476E-02	2.336E-01	0.000E+00	0.000E+00	0.000E+00
	STD	0.000E+00	9.184E-03	2.883E-02	3.834E-01	1.005E-02	1.264E-01	0.000E+00	0.000E+00	0.000E+00
	Rank	1	5	7	9	6	8	1	1	1
F12	Best	2.925E-09	6.569E-03	7.820E+00	7.486E-01	2.177E+00	4.038E-01	4.333E-01	1.087E-01	9.619E-02
	Average	2.571E-01	4.048E-02	1.355E+01	2.280E+04	6.455E+00	5.079E-01	1.193E+00	2.550E-01	2.125E-01
	STD	4.358E-01	2.280E-02	5.292E+00	6.398E+04	2.904E+00	4.972E-02	3.268E-01	9.214E-02	1.209E-01
	Rank	4	1	7	9	8	5	6	3	2
F13	Best	3.926E-06	7.429E-02	8.138E-01	2.385E+00	1.211E-02	2.556E+00	1.017E-30	1.171E+00	1.123E+00
	Average	1.594E+00	6.416E-01	2.982E+01	4.235E+05	1.626E+01	2.795E+00	3.307E-01	2.102E+00	1.561E+00
	STD	8.875E-01	2.961E-01	1.724E+01	1.328E+06	1.160E+01	1.086E-01	8.367E-01	3.323E-01	1.963E-01
	Rank	4	2	8	9	7	6	1	5	3
F14	Best	9.980E-01	9.980E-01	9.980E-01	9.980E-01	9.980E-01	1.992E+00	1.072E+00	9.980E-01	9.980E-01
	Average	5.3593	3.5797	2.384	2.1887	1.2297	10.5152	4.0205	4.7771	5.0442
	STD	4.950E+00	3.727E+00	1.525E+00	9.883E-01	5.641E-01	3.539E+00	3.184E+00	4.656E+00	4.335E+00
	Rank	8	4	3	2	1	9	5	6	7
F15	Best	3.075E-04	3.075E-04	5.683E-04	4.574E-04	3.078E-04	3.400E-04	6.476E-04	3.101E-04	3.076E-04
	Average	3.261E-04	4.399E-03	3.879E-03	1.028E-03	4.199E-03	2.101E-02	1.367E-03	3.925E-04	1.754E-03
	STD	1.774E-05	8.121E-03	1.253E-02	3.783E-04	1.167E-02	2.846E-02	5.964E-04	2.391E-04	5.060E-03
	Rank	1	8	6	3	7	9	4	2	5
F16	Best	-1.032E+00								
	Average	-1.0316	-1.0316	-1.0316	-1.0316	-1.0316	-1.0298	-1.0316	-1.0316	-1.0316
	STD	1.648E-08	2.627E-08	1.067E-13	2.848E-05	1.742E-14	1.720E-07	5.715E-03	1.049E-08	2.677E-05
	Rank	1	1	1	1	1	9	1	1	1
F17	Best	3.979E-01	3.979E-01	3.979E-01	3.979E-01	3.979E-01	3.980E-01	3.984E-01	3.979E-01	3.979E-01
	Average	0.3979	0.3979	0.3979	0.4017	0.3979	0.4095	0.4416	0.3990	0.3980
	STD	1.172E-06	3.637E-06	1.193E-13	4.943E-03	3.365E-14	1.117E-02	1.506E-01	2.270E-03	5.804E-04
	Rank	1	1	1	7	1	8	9	6	5
F18	Best	3.000E+00								
	Average	6.1545	5.7000	3.0000	3.0001	3.0000	9.3000	3.9187	3.0000	3.0000
	STD	7.360E+00	1.479E+01	7.618E-13	1.211E-04	1.713E-13	1.161E+01	4.964E+00	2.034E-08	1.068E-05
	Rank	8	7	1	5	1	9	6	1	1
F19	Best	-3.863E+00	-3.863E+00	-3.863E+00	-3.862E+00	-3.863E+00	-3.860E+00	-3.858E+00	-3.863E+00	-3.863E+00
	Average	-3.8628	-3.8620	-3.8628	-3.8547	-3.8628	-3.8524	-3.8096	-3.8569	-3.8586

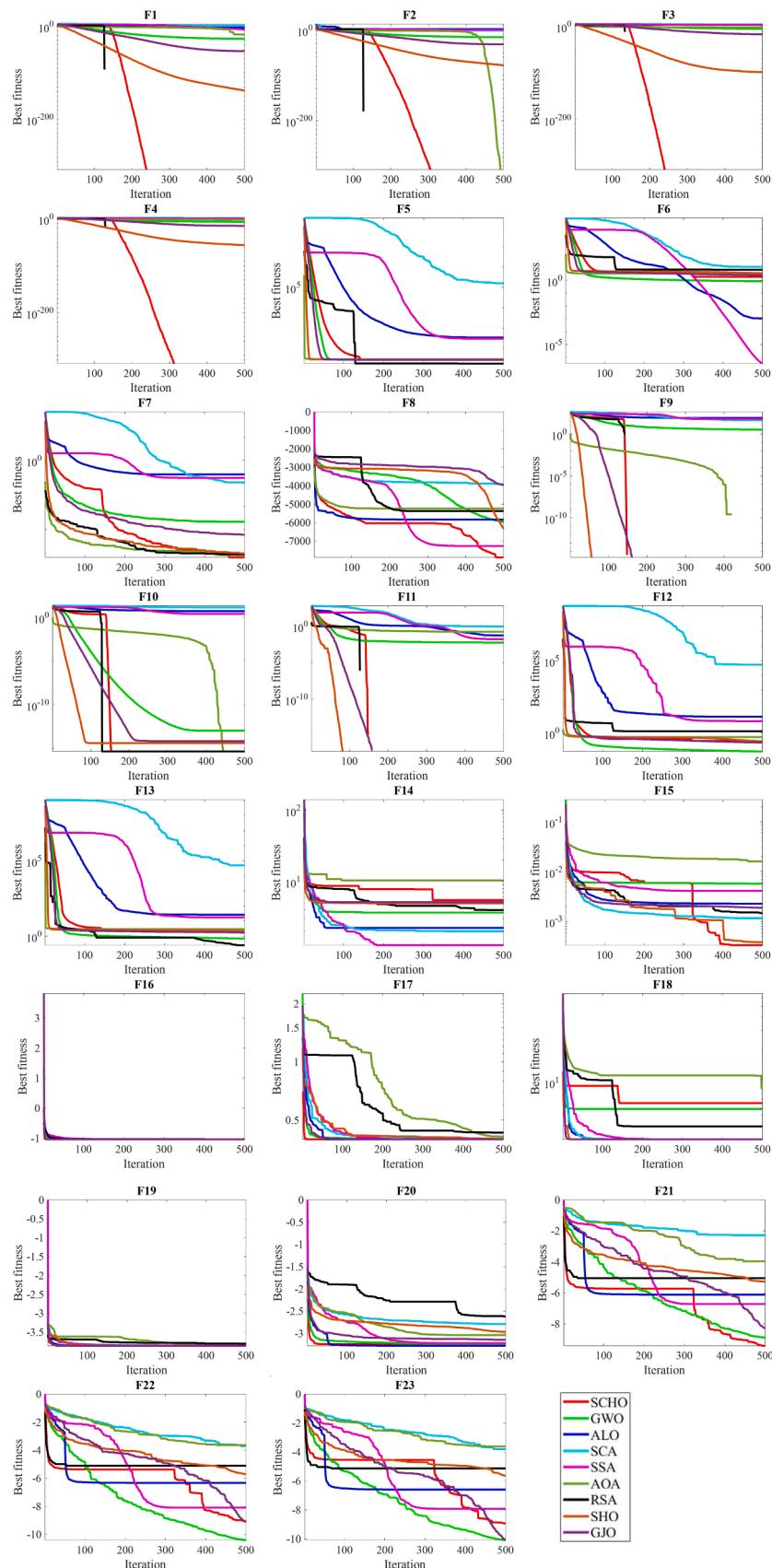
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**Table 7 (continued)**

Fun	Measure	SCHO	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F20	STD	3.066E-06	1.973E-03	1.235E-13	2.892E-03	1.073E-09	4.124E-03	3.421E-02	3.794E-03	3.856E-03
	Rank	1	4	1	7	1	8	9	6	5
	Best	-3.322E+00	-3.322E+00	-3.322E+00	-3.247E+00	-3.322E+00	-3.225E+00	-3.067E+00	-3.320E+00	-3.322E+00
	Average	-3.2477	-3.2487	-3.2621	-2.7874	-3.2057	-3.0674	-2.586	-2.9753	-3.1389
F21	STD	7.561E-02	8.560E-02	6.095E-02	5.354E-01	4.071E-02	1.116E-01	4.383E-01	3.055E-01	1.140E-01
	Rank	3	2	1	8	4	6	9	7	5
	Best	-1.015E+01	-1.015E+01	-1.015E+01	-6.259E+00	-1.015E+01	-6.269E+00	-5.055E+00	-1.007E+01	-1.015E+01
	Average	-9.2330	-9.1361	-6.1155	-2.2408	-6.2201	-4.1517	-5.0292	-5.3257	-8.0097
F22	STD	1.916E+00	2.367E+00	2.845E+00	1.866E+00	3.397E+00	9.277E-01	1.423E-01	1.942E+00	3.218E+00
	Rank	1	2	5	9	4	8	7	6	3
	Best	-1.040E+01	-1.040E+01	-1.040E+01	-8.515E+00	-1.040E+01	-8.812E+00	-5.088E+00	-1.036E+01	-1.040E+01
	Average	-9.0825	-10.2254	-6.6803	-3.2226	-7.9169	-3.5547	-5.0877	-5.4462	-9.2524
F23	STD	2.521E+00	9.629E-01	3.192E+00	1.975E+00	3.404E+00	1.856E+00	8.450E-07	2.684E+00	2.347E+00
	Rank	3	1	5	9	4	8	7	6	2
	Best	-1.054E+01	-1.054E+01	-1.054E+01	-8.394E+00	-1.054E+01	-6.790E+00	-5.129E+00	-1.045E+01	-1.054E+01
	Average	-8.6483	-10.2641	-6.3772	-4.4546	-7.963	-3.7526	-5.1285	-5.6363	-10.0737
Mean	STD	2.949E+00	1.481E+00	3.323E+00	1.686E+00	3.718E+00	1.447E+00	2.004E-06	1.920E+00	1.745E+00
	Rank	3	1	5	8	4	9	7	6	2
	Final	2.48	3.78	5.43	7.39	4.83	5.83	4.57	4.00	3.74
Ranking		1	3	7	9	6	8	5	4	2

**Table 8**Wilcoxon rank sum test between SCHO and other algorithms for the 23 classical benchmark functions with  $D = 30$ , except for fixed-dimension functions (F14–F23).

F	GWO p-value	Sig.	ALO p-value	Sig.	SCA p-value	Sig.	SSA p-value	Sig.	AOA p-value	Sig.	RSA p-value	Sig.	SHO p-value	Sig.	GJO p-value	Sig.
F1	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	NaN	~	1.2E-	+	1.2E-	+
	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F2	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	NaN	~	NaN	~	1.2E-	+	1.2E-	+
	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F3	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	NaN	~	1.2E-	+	1.2E-	+
	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F4	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	NaN	~	1.2E-	+	1.2E-	+
	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F5	1.0E-	-	2.2E-	+	3.0E-	+	5.1E-	+	4.6E-	-	2.2E-	~	9.6E-	~	7.7E-	-
	08	03	11	08	08	03	01	01	01	01	01	01	02	04	04	04
F6	2.8E-	-	2.2E-	-	3.0E-	+	3.0E-	+	1.8E-	+	3.3E-	+	1.4E-	+	2.6E-	+
	02	03	11	11	11	10	11	11	11	11	11	11	09	07	07	07
F7	3.0E-	+	2.2E-	+	3.0E-	+	3.0E-	-	2.0E-	+	1.3E-	~	1.8E-	~	4.0E-	+
	11	03	11	11	11	01	01	01	01	01	01	01	01	08	08	08
F8	2.4E-	+	1.5E-	+	3.3E-	+	3.4E-	+	7.2E-	+	3.8E-	+	2.9E-	+	5.0E-	+
	03	02	11	04	04	05	05	03	03	03	03	03	03	04	04	04
F9	1.2E-	+	2.2E-	+	1.2E-	+	1.2E-	+	NaN	~	NaN	~	NaN	~	NaN	~
	12	03	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F10	1.2E-	+	2.2E-	+	1.2E-	+	1.2E-	+	NaN	~	NaN	~	7.2E-	+	2.0E-	+
	12	03	12	12	12	12	12	12	12	12	12	12	13	13	13	13
F11	5.6E-	+	2.2E-	+	1.2E-	+	1.2E-	+	1.2E-	+	NaN	~	NaN	~	NaN	~
	03	03	12	12	12	12	12	12	12	12	12	12	12	12	12	12
F12	4.0E-	-	2.2E-	+	3.0E-	+	3.0E-	+	1.6E-	-	6.7E-	+	1.0E-	~	3.2E-	-
	04	03	11	11	11	08	11	11	11	11	11	11	04	04	04	04
F13	1.2E-	-	2.6E-	+	3.0E-	+	8.9E-	+	4.5E-	+	5.6E-	-	6.4E-	-	5.4E-	~
	03	02	11	06	11	07	11	07	11	07	07	07	03	01	01	01
F14	9.3E-	~	6.9E-	~	1.3E-	-	3.0E-	-	1.2E-	+	8.2E-	~	7.6E-	~	1.7E-	~
	01	01	02	07	07	06	06	06	02	02	02	02	01	01	01	01
F15	1.3E-	+	2.2E-	+	4.1E-	+	3.0E-	+	8.2E-	+	3.0E-	+	1.6E-	~	1.3E-	+
	02	03	11	11	11	11	11	11	11	11	11	11	01	03	03	03
F16	1.5E-	~	2.2E-	-	3.0E-	+	3.0E-	+	8.5E-	+	3.0E-	+	1.9E-	-	7.7E-	+
	01	03	11	11	11	09	11	11	11	11	11	11	07	08	08	08
F17	2.3E-	~	2.2E-	-	3.0E-	+	3.0E-	-	3.0E-	+	3.0E-	+	1.1E-	+	7.6E-	+
	01	03	11	11	11	11	11	11	11	11	11	11	04	07	07	07
F18	2.6E-	-	2.2E-	-	1.2E-	-	3.0E-	-	2.9E-	+	2.1E-	-	3.7E-	-	1.6E-	-
	03	03	04	11	11	01	01	01	01	01	03	03	11	02	02	02
F19	7.1E-	+	2.2E-	-	4.1E-	+	3.0E-	-	4.5E-	+	3.0E-	+	4.8E-	+	8.2E-	+
	08	03	11	11	11	11	11	11	11	11	11	11	07	07	07	07
F20	9.0E-	-	8.7E-	-	3.3E-	+	5.3E-	+	2.0E-	+	5.5E-	+	8.4E-	+	2.2E-	+
	04	03	11	03	11	03	10	10	11	11	11	11	08	01	01	01
F21	1.1E-	+	6.5E-	~	8.2E-	+	2.1E-	+	8.2E-	+	8.5E-	+	3.5E-	+	2.7E-	+
	03	02	11	03	11	03	11	09	09	09	09	09	09	02	02	02
F22	1.6E-	-	6.5E-	~	7.1E-	+	3.1E-	+	1.2E-	+	8.5E-	+	1.3E-	+	5.6E-	~
	03	02	08	02	08	02	05	05	09	09	09	09	09	01	01	01
F23	1.3E-	-	2.2E-	+	6.0E-	+	3.4E-	+	8.5E-	+	9.5E-	+	8.1E-	+	6.7E-	~
	02	03	09	02	09	02	09	09	06	06	06	05	05	01	01	01
(W L) T	(12 8 3)	(14 6 3)	(21 2 0)	(18 5 0)	(18 2 3)	(18 2 10)	(11 2 10)	(13 3 7)	(14 3 6)							



**Fig. 9.** Convergence curves of SCHO and comparison algorithms for 23 classical benchmark functions.

**Table 9**Optimization results of SCHO and other algorithms for the 13 classical benchmark functions (F1-F13) with fixed dimension ( $D = 100$ ).

Fun	Measure	SCHO	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F1	Best	0.000E+00	2.967E-13	2.725E+03	1.163E+03	6.251E+02	7.818E-03	0.000E+00	5.515E-125	1.080E-29
	Average	0.000E+00	1.562E-12	4.243E+03	9.381E+03	1.377E+03	2.738E-02	0.000E+00	2.453E-120	9.724E-28
	STD	0.000E+00	1.229E-12	1.520E+03	6.695E+03	4.002E+02	1.134E-02	0.000E+00	5.579E-120	1.637E-27
	Rank	1	5	8	9	7	6	1	3	4
F2	Best	0.000E+00	2.261E-08	8.204E+01	4.937E-01	3.364E+01	4.298E-132	0.000E+00	4.655E-68	2.263E-18
	Average	7.576E-263	4.360E-08	8.347E+10	7.918E+00	4.544E+01	3.628E-62	0.000E+00	1.267E-65	1.106E-17
	STD	0.000E+00	1.934E-08	2.614E+11	6.114E+00	5.039E+00	1.987E-61	0.000E+00	4.836E-65	8.101E-18
	Rank	2	6	9	7	8	4	1	3	5
F3	Best	0.000E+00	4.650E+00	6.425E+04	1.524E+05	1.483E+04	2.228E-01	0.000E+00	5.713E-92	8.545E-06
	Average	0.000E+00	5.781E+02	8.982E+04	2.278E+05	4.754E+04	8.509E-01	0.000E+00	1.559E-83	9.311E+00
	STD	0.000E+00	6.494E+02	2.244E+04	4.390E+04	2.531E+04	7.137E-01	0.000E+00	8.287E-83	5.047E+01
	Rank	1	6	8	9	7	4	1	3	5
F4	Best	0.000E+00	2.678E-02	2.727E+01	7.673E+01	2.190E+01	7.685E-02	0.000E+00	1.318E-52	3.169E-04
	Average	4.243E-249	6.978E-01	3.815E+01	8.971E+01	2.741E+01	9.564E-02	0.000E+00	6.906E-50	2.265E+00
	STD	0.000E+00	6.368E-01	7.427E+00	3.247E+00	3.425E+00	1.286E-02	0.000E+00	1.117E-49	4.141E+00
	Rank	2	5	8	9	7	4	1	3	6
F5	Best	9.875E+01	9.589E+01	1.537E+05	2.056E+07	5.850E+04	9.826E+01	9.877E+01	9.795E+01	9.718E+01
	Average	9.887E+01	9.796E+01	6.031E+05	1.113E+08	1.692E+05	9.884E+01	9.898E+01	9.858E+01	9.838E+01
	STD	1.004E-01	7.589E-01	4.238E+05	4.437E+07	8.706E+04	1.490E-01	4.062E-02	2.818E-01	4.492E-01
	Rank	5	1	8	9	7	4	6	3	2
F6	Best	1.466E-04	8.441E+00	2.747E+03	1.474E+03	7.308E+02	1.675E+01	2.443E+01	1.673E+01	1.474E+01
	Average	8.562E+00	9.799E+00	4.423E+03	1.041E+04	1.446E+03	1.823E+01	2.469E+01	1.847E+01	1.642E+01
	STD	8.137E+00	9.496E-01	1.598E+03	7.475E+03	3.772E+02	6.265E-01	1.245E-01	8.060E-01	9.068E-01
	Rank	1	2	8	9	7	4	6	5	3
F7	Best	5.429E-07	3.274E-03	4.537E+00	3.106E+01	1.822E+00	5.753E-06	1.834E-07	1.613E-05	2.415E-04
	Average	7.285E-05	6.890E-03	5.971E+00	1.430E+02	2.842E+00	8.398E-05	8.433E-05	1.352E-04	1.348E-03
	STD	5.388E-05	1.994E-03	2.234E+00	7.330E+01	5.130E-01	7.120E-05	7.447E-05	9.588E-05	9.072E-04
	Rank	1	6	8	9	7	2	3	4	5
F8	Best	-3.926E+04	-2.073E+04	-1.806E+04	-8.409E+03	-2.369E+04	-1.145E+04	-1.806E+04	-1.220E+04	-1.653E+04
	Average	-1.856E+04	-1.635E+04	-1.806E+04	-6.972E+03	-2.057E+04	-1.007E+04	-1.705E+04	-1.021E+04	-8.584E+03
	STD	9.867E+03	1.343E+03	3.835E-12	5.866E+02	1.739E+03	7.221E+02	7.228E+02	8.757E+02	3.864E+03
	Rank	2	5	3	9	1	7	4	6	8
F9	Best	0.000E+00	1.587E-10	3.125E+02	8.865E+01	1.586E+02	0.000E+00	0.000E+00	0.000E+00	0.000E+00
	Average	0.000E+00	1.011E+01	3.535E+02	2.581E+02	2.474E+02	0.000E+00	0.000E+00	0.000E+00	3.790E-15
	STD	0.000E+00	6.742E+00	3.724E+01	1.220E+02	3.880E+01	0.000E+00	0.000E+00	0.000E+00	2.076E-14
	Rank	1	6	9	8	7	1	1	1	5
F10	Best	4.441E-16	4.355E-08	1.364E+01	4.146E+00	7.981E+00	4.441E-16	4.441E-16	4.441E-16	3.952E-14
	Average	4.441E-16	1.110E-07	1.440E+01	1.908E+01	1.025E+01	6.139E-04	4.441E-16	3.878E-15	5.089E-14
	STD	0.000E+00	4.695E-08	7.358E-01	4.142E+00	1.067E+00	1.052E-03	0.000E+00	6.486E-16	1.007E-14
	Rank	1	5	8	9	7	6	1	3	4
F11	Best	0.000E+00	5.995E-14	2.886E+01	1.556E+01	7.278E+00	1.969E+02	0.000E+00	0.000E+00	0.000E+00
	Average	0.000E+00	2.277E-03	3.489E+01	9.554E+01	1.222E+01	5.921E+02	0.000E+00	0.000E+00	0.000E+00
	STD	0.000E+00	7.018E-03	5.162E+00	6.075E+01	3.085E+00	1.958E+02	0.000E+00	0.000E+00	0.000E+00
	Rank	1	5	7	8	6	9	1	1	1
F12	Best	9.093E-10	1.721E-01	6.599E+01	5.477E+07	1.727E+01	8.733E-01	9.107E-01	5.931E-01	4.814E-01
	Average	6.876E-01	2.832E-01	1.386E+03	3.216E+08	3.707E+01	9.135E-01	1.256E+00	7.022E-01	6.119E-01
	STD	6.422E-01	6.849E-02	2.621E+03	1.596E+08	1.250E+01	1.987E-02	8.248E-02	8.099E-02	7.777E-02
	Rank	3	1	8	9	7	5	6	4	2
F13	Best	1.024E+00	6.057E+00	6.666E+03	7.741E+07	1.713E+02	9.868E+00	6.266E+00	9.364E+00	7.620E+00
	Average	9.580E+00	7.035E+00	1.046E+05	5.066E+08	4.372E+03	9.985E+00	9.711E+00	9.654E+00	8.445E+00
	STD	1.616E+00	4.309E-01	1.054E+05	2.481E+08	6.148E+03	4.180E-02	6.573E-01	1.384E-01	3.438E-01
	Rank	3	1	8	9	7	6	5	4	2
Mean	Rank	1.85	4.15	7.69	8.69	6.54	4.77	2.85	3.31	4.00
Final	Ranking	1	5	8	9	7	6	2	3	4

lower than other algorithms. [Table 11](#) and [Table 12](#) report the results of Wilcoxon rank sum test between SCHO and other algorithms for the 13 classical benchmark test functions at  $D = 100$  and  $D = 500$ , respectively. It can be seen that SCHO performs better than the other algorithms for most functions, especially GWO, ALO, SCA, SSA and AOA. Therefore, SCHO still possesses better optimization ability compared to other algorithms for solving optimization problems at high dimensions.

### 3.2. Optimization results of SCHO for CEC 2014 test functions

In this section, a more complex set of CEC 2014 test functions [111] is selected to further evaluate the optimization capabilities of SCHO, as shown in [Table 13](#). These functions include unimodal functions, simple multimodal functions, hybrid functions and composition functions, in which hybrid functions and composition functions account for 50%. SCHO and other algorithms including GWO, ALO, SCA, SSA, AOA, RSA,

SHO and GJO use the same parameters as before for CEC 2014 test functions except for the dimension of CEC 2014, which is set to 10.

The optimization results from 30 runs are shown in [Table 14](#), including best fitness, average fitness, STD and Friedman ranking test. It can be observed that SCHO obtains the minimum value in Friedman ranking test, followed by GWO and SSA, which denotes SCHO is a competitive algorithm compared to other algorithms. In addition, the results also show that SCHO presents the best optimization ability for solving composition functions (F23-F30), with 75% of the optimal values (F23, F25, F27-F30), which also indicates that SCHO possesses superior performance for complex problems. The results of Wilcoxon rank sum test between SCHO and other algorithms for CEC 2014 test functions ( $\alpha = 0.05$ ,  $D = 10$ ) are shown in [Table 15](#). The results demonstrate that SCHO performs better than the other algorithms for most functions, especially SCA, AOA and RSA. Also, SCHO shows a superior performance on F23-F30 compared with other algorithms, which

**Table 10**Optimization results of SCHO and comparison algorithms on 13 classical benchmark functions (F1-F13) with fixed dimension( $D = 500$ ).

Fun	Measure	SCHO	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F1	Best	0.000E+00	8.358E-04	1.778E+05	2.030E+04	8.615E+04	5.553E-01	0.000E+00	5.746E-115	7.198E-14
	Average	0.000E+00	1.523E-03	2.042E+05	1.859E+05	9.355E+04	6.479E-01	0.000E+00	1.839E-108	7.464E-13
	STD	0.000E+00	5.304E-04	3.555E+04	8.378E+04	5.797E+03	4.159E-02	0.000E+00	8.196E-108	7.954E-13
	Rank	1	5	9	8	7	6	1	3	4
F2	Best	0.000E+00	7.251E-03	2.256E+03	5.238E+01	5.017E+02	1.290E-20	0.000E+00	3.255E-63	2.872E-09
	Average	3.513E-211	1.065E-02	3.682E+211	1.106E+02	5.363E+02	6.151E-04	0.000E+00	3.478E-59	6.277E-09
	STD	0.000E+00	2.156E-03	Inf	4.695E+01	2.108E+01	9.417E-04	0.000E+00	1.302E-58	2.710E-09
	Rank	2	6	9	7	8	5	1	3	4
F3	Best	0.000E+00	1.771E+05	1.536E+06	4.986E+06	6.395E+05	1.742E+01	0.000E+00	1.452E-82	4.149E+03
	Average	0.000E+00	3.198E+05	2.082E+06	7.149E+06	1.364E+06	3.900E+01	0.000E+00	1.876E-74	6.554E+04
	STD	0.000E+00	6.643E+04	4.914E+05	7.149E+06	5.778E+05	1.658E+01	0.000E+00	1.023E-73	7.233E+04
	Rank	1	6	8	9	7	4	1	3	5
F4	Best	0.000E+00	5.047E+01	4.420E+01	9.821E+01	3.484E+01	1.580E-01	0.000E+00	1.687E-48	7.540E+01
	Average	1.492E-204	6.445E+01	5.203E+01	9.905E+01	3.989E+01	1.785E-01	0.000E+00	8.057E-46	8.193E+01
	STD	0.000E+00	4.594E+00	9.203E+00	3.174E-01	2.638E+00	1.611E-02	0.000E+00	1.891E-45	3.662E+00
	Rank	2	7	6	9	5	4	1	3	8
F5	Best	4.988E+02	4.973E+02	5.786E+07	1.001E+09	2.542E+07	4.989E+02	4.990E+02	4.979E+02	4.979E+02
	Average	4.990E+02	4.980E+02	1.149E+08	1.964E+09	3.657E+07	4.991E+02	4.990E+02	4.983E+02	4.983E+02
	STD	5.200E-02	2.628E-01	4.121E+07	4.967E+08	5.727E+06	8.129E-02	1.044E-03	1.543E-01	1.172E-01
	Rank	4	1	8	9	7	6	5	3	2
F6	Best	1.242E+02	8.809E+01	1.872E+05	7.069E+04	8.013E+04	1.139E+02	1.244E+02	1.148E+02	1.073E+02
	Average	1.246E+02	9.163E+01	2.084E+05	2.026E+05	9.259E+04	1.160E+02	1.247E+02	1.169E+02	1.093E+02
	STD	1.481E-01	2.104E+00	2.165E+04	7.158E+04	6.732E+03	1.183E+00	9.114E-02	7.992E-01	1.085E+00
	Rank	5	1	9	8	7	3	6	4	2
F7	Best	4.091E-06	3.343E-02	4.991E+02	8.698E+03	1.925E+02	1.550E-05	2.709E-06	2.697E-05	1.550E-03
	Average	8.216E-05	4.816E-02	7.993E+02	1.533E+04	2.753E+02	1.043E-04	9.321E-05	1.358E-04	6.605E-03
	STD	6.352E-05	1.253E-02	3.213E+02	2.962E+03	3.722E+01	7.519E-05	9.799E-05	9.587E-05	3.598E-03
	Rank	1	6	8	9	7	3	2	4	5
F8	Best	-1.944E+05	-7.113E+04	-1.274E+05	-1.731E+04	-6.843E+04	-2.697E+04	-7.088E+04	-2.741E+04	-4.937E+04
	Average	-6.282E+04	-5.537E+04	-9.232E+04	-1.484E+04	-5.980E+04	-2.252E+04	-6.145E+04	-2.233E+04	-2.544E+04
	STD	5.105E+04	1.175E+04	7.893E+03	9.781E+02	4.690E+03	1.477E+03	6.012E+03	1.889E+03	1.533E+04
	Rank	2	5	1	6	4	8	3	9	7
F9	Best	0.000E+00	2.844E+01	3.555E+03	4.545E+02	2.955E+03	0.000E+00	0.000E+00	0.000E+00	3.638E-12
	Average	0.000E+00	7.023E+01	3.860E+03	1.165E+03	3.159E+03	6.826E-06	0.000E+00	0.000E+00	6.852E-12
	STD	0.000E+00	2.692E+01	2.007E+02	4.574E+02	1.276E+02	6.483E-06	0.000E+00	0.000E+00	2.186E-12
	Rank	1	6	9	7	8	5	1	1	4
F10	Best	4.441E-16	1.350E-03	1.557E+01	9.310E+00	1.361E+01	7.029E-03	4.441E-16	3.997E-15	9.452E-09
	Average	4.441E-16	1.882E-03	1.640E+01	1.906E+01	1.417E+01	7.909E-03	4.441E-16	4.115E-15	3.065E-08
	STD	0.000E+00	4.270E-04	7.167E-01	3.643E+00	2.568E-01	3.505E-04	0.000E+00	6.486E-16	1.280E-08
	Rank	1	5	8	9	7	6	1	3	4
F11	Best	0.000E+00	6.110E-05	1.474E+03	6.561E+02	7.473E+02	6.545E+03	0.000E+00	0.000E+00	9.659E-15
	Average	0.000E+00	2.231E-02	2.109E+03	1.824E+03	8.491E+02	9.606E+03	0.000E+00	0.000E+00	8.888E-14
	STD	0.000E+00	4.102E-02	5.313E+02	5.739E+02	4.823E+01	2.784E+03	0.000E+00	0.000E+00	9.829E-14
	Rank	1	5	7	8	6	9	1	1	4
F12	Best	9.540E-08	6.762E-01	4.069E+07	3.951E+09	1.909E+05	1.052E+00	1.192E+00	1.002E+00	8.661E-01
	Average	1.040E+00	7.768E-01	5.490E+07	6.110E+09	1.311E+06	1.085E+00	1.202E+00	1.052E+00	9.358E-01
	STD	4.150E-01	5.209E-02	1.433E+07	1.056E+09	7.762E+05	1.143E-02	3.540E-03	1.864E-02	2.247E-02
	Rank	3	1	8	9	7	5	6	4	2
F13	Best	2.821E+01	4.863E+01	1.234E+08	5.376E+09	2.466E+07	5.012E+01	4.990E+01	4.941E+01	4.722E+01
	Average	4.916E+01	5.065E+01	2.071E+08	9.721E+09	3.588E+07	5.020E+01	4.992E+01	4.963E+01	4.800E+01
	STD	3.957E+00	1.483E+00	8.615E+07	2.473E+09	8.533E+06	3.525E-02	3.492E-02	9.757E-02	3.433E-01
	Rank	2	6	8	9	7	5	4	3	1
Mean	Rank	2.00	4.62	7.54	8.23	6.69	5.31	2.54	3.38	4.00
Final	Ranking	1	5	8	9	7	6	2	3	4

is also consistent with the results of the Friedman ranking test. Hence, SCHO still presents excellent performance to find solutions for solving complex problems.

### 3.3. SCHO for optimizing engineering design problems

To evaluate the efficiency of SCHO, six well-known engineering design problems with several inequality constraints are performed, including tension/compression spring design problem, pressure vessel design problem, welded beam design problem, speed reducer design problem, cantilever beam design problem, and three-bar truss design problem. A simple death penalty is applied to solve the constraints of these engineering problems. When the search agent is not within the scope of any constraints, its fitness will be given a significant value. Then, it will be discarded in the next iteration, which can change the constrained design problems into unconstrained ones to look for the

global optimal solution.

#### 3.3.1. Tension/compression spring design problem

The aim of the tension/compression spring design problem, shown in Fig. 10, is to minimize the overall weight by selecting suitable parameter values containing wire diameter ( $d$ ), mean coil diameter ( $D$ ), and the number of active coils ( $P$ ). The mathematical formulation of this engineering design problem is as follows.

Given the variables:  $x_1 = d$ ,  $x_2 = D$ ,  $x_3 = P$

Minimize:

$$f(x) = (x_3 + 2)x_2 x_1^2$$

Subject to:

$$g_1(x) = 1 - \frac{x_3 x_2^3}{71785 x_1^4} \leq 0,$$

**Table 11**Wilcoxon rank sum test between SCHO and the other algorithms for the 13 classical benchmark test functions at  $\alpha = 0.05$  and  $D = 100$ .

F	GWO		ALO		SCA		SSA		AOA		RSA		SHO		GJO	
	p-value	Sig.														
F1	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	1.2E- 12	+	1.2E- 12	+
F2	2.3E- 11	+	2.2E- 03	+	2.3E- 11	+	2.3E- 11	+	2.3E- 11	+	6.6E- 05	-	2.3E- 11	+	2.3E- 11	+
F3	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	1.2E- 12	+	1.2E- 12	+
F4	5.2E- 12	+	2.2E- 03	+	5.2E- 12	+	5.2E- 12	+	5.2E- 12	+	2.9E- 05	-	5.2E- 12	+	5.2E- 12	+
F5	3.0E- 11	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	1.3E- 02	-	3.1E- 08	+	3.0E- 11	-	3.0E- 11	-
F6	4.2E- 02	+	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	4.0E- 03	+	3.0E- 11	+	6.7E- 03	+	8.0E- 01	+
F7	3.0E- 11	+	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	4.9E- 01	~	6.6E- 02	~	4.1E- 02	+	7.4E- 11	+
F8	2.3E- 03	+	3.5E- 02	+	3.0E- 11	+	5.2E- 07	-	4.2E- 09	+	3.2E- 03	+	3.0E- 11	+	2.4E- 05	+
F9	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	NaN	~	NaN	~	NaN	~	3.3E- 01	+
F10	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	2.8E- 03	+	NaN	~	1.2E- 13	+	9.7E- 13	+
F11	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	NaN	~	NaN	~
F12	8.0E- 03	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	5.2E- 02	~	3.8E- 03	+	8.7E- 03	+	9.1E- 03	-
F13	3.0E- 11	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	1.4E- 09	+	6.7E- 06	+	3.0E- 11	+	3.0E- 11	-
(W L  T)	(10 3 0)		(13 0 0)		(13 0 0)		(12 1 0)		(9 1 3)		(5 2 6)		(10 1 2)		(9 3 1)	

**Table 12**Wilcoxon rank sum test between SCHO and the other algorithms on 13 classical benchmark test functions at  $\alpha = 0.05$ ,  $D = 500$ .

F	GWO		ALO		SCA		SSA		AOA		RSA		SHO		GJO	
	p-value	Sig.														
F1	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	1.2E- 12	+	1.2E- 12	+
F2	2.0E- 11	+	2.2E- 03	+	2.0E- 11	+	2.0E- 11	+	2.0E- 11	+	2.9E- 05	-	2.0E- 11	+	2.0E- 11	+
F3	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	1.2E- 12	+	1.2E- 12	+
F4	2.1E- 11	+	2.2E- 03	+	2.1E- 11	+	2.1E- 11	+	2.1E- 11	+	1.3E- 05	-	2.1E- 11	+	2.1E- 11	+
F5	3.0E- 11	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	3.0E- 11	+	9.8E- 10	+	3.0E- 11	-	3.0E- 11	-
F6	3.0E- 11	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	3.0E- 11	-	1.2E- 02	+	3.0E- 11	-	3.0E- 11	-
F7	3.0E- 11	+	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	7.5E- 02	~	8.0E- 01	~	1.2E- 02	+	3.0E- 11	+
F8	4.2E- 03	+	4.8E- 02	-	3.0E- 11	+	3.6E- 05	+	1.1E- 07	+	5.9E- 06	+	1.4E- 07	+	1.0E- 03	+
F9	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.3E- 07	+	NaN	~	NaN	~	1.0E- 12	+
F10	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	2.7E- 14	+	1.2E- 12	+
F11	1.2E- 12	+	2.2E- 03	+	1.2E- 12	+	1.2E- 12	+	1.2E- 12	+	NaN	~	NaN	~	1.2E- 12	+
F12	1.1E- 06	-	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	1.1E- 06	+	3.3E- 01	+	1.1E- 06	+	1.1E- 06	-
F13	1.8E- 02	+	2.2E- 03	+	3.0E- 11	+	3.0E- 11	+	3.0E- 11	+	6.2E- 04	+	1.3E- 09	+	5.6E- 10	-
(W L  T)	(10 3 0)		(12 1 0)		(13 0 0)		(13 0 0)		(11 1 1)		(5 2 6)		(9 2 2)		(9 4 0)	

$$g_2(x) = \frac{4x_2^2 - x_1x_2}{1256(x_2x_1^3 - x_1^4)} + \frac{1}{5108x_1^2} \leq 0,$$

$$g_4(x) = \frac{x_1 + x_2}{1.5} - 1 \leq 0,$$

Variables range:

$$0.05 \leq x_1 \leq 2, 0.25 \leq x_2 \leq 1.3, 2 \leq x_1 \leq 15$$

The above formulation shows that the tension/compression spring

**Table 13**  
CEC 2014 test functions.

Typology	Function	Description	$f_{min}$
Unimodal Functions	F1	Rotated High Conditioned Elliptic Function	100
	F2	Rotated Bent Cigar Function	200
Simple Multimodal Functions	F3	Rotated Discus Function	300
	F4	Shifted and Rotated Rosenbrock's Function	400
Hybrid Functions	F5	Shifted and Rotated Ackley's Function	500
	F6	Shifted and Rotated Weierstrass Function	600
	F7	Shifted and Rotated Griewank's Function	700
	F8	Shifted Rastrigin's Function	800
	F9	Shifted and Rotated Rastrigin's Function	900
	F10	Shifted Schwefel's Function	1000
	F11	Shifted and Rotated Schwefel's Function	1100
	F12	Shifted and Rotated Katsuura Function	1200
	F13	Shifted and Rotated HappyCat Function	1300
	F14	Shifted and Rotated HGBat Function	1400
	F15	Shifted and Rotated Expanded Griewank's plus Rosenbrock's Function	1500
	F16	Shifted and Rotated Expanded Scaffer's F6 Function	1600
	F17	Hybrid Function 1 ( $N = 3$ )	1700
	F18	Hybrid Function 2 ( $N = 3$ )	1800
	F19	Hybrid Function 3 ( $N = 4$ )	1900
Composition Functions	F20	Hybrid Function 4 ( $N = 4$ )	2000
	F21	Hybrid Function 5 ( $N = 5$ )	2100
	F22	Composition Function 1 ( $N = 5$ )	2200
	F23	Composition Function 1 ( $N = 5$ )	2300
	F24	Composition Function 2 ( $N = 3$ )	2400
	F25	Composition Function 3 ( $N = 3$ )	2500
	F26	Composition Function 4 ( $N = 5$ )	2600
	F27	Composition Function 5 ( $N = 5$ )	2700
	F28	Composition Function 6 ( $N = 5$ )	2800
	F29	Composition Function 7 ( $N = 3$ )	2900
	F30	Composition Function 8 ( $N = 3$ )	3000

design problem considers four constraints. Table 16 lists the results of optimum weight and corresponding variables obtained by SCHO and the 11 meta-heuristic optimization algorithms published in the literature. It can be observed from Table 16 that SCHO gets the optimal solution compared to other algorithms. In detail, the optimum weight obtained by SCHO is 0.0126656, and the values of the corresponding variables  $x_1$ ,  $x_2$  and  $x_3$  are 0.0517422, 0.3579972, and 11.2146238, respectively. Therefore, the SCHO outperforms all other optimization algorithms for the tension/compression spring design problem.

### 3.3.2. Pressure vessel design problem

One of the most common problems in mixed-integer designs is the pressure vessel design problem. SCHO is applied to look for the minimum cost. Fig. 11 shows that there are four variables in this problem, including the inner radius ( $R$ ), the shell thickness ( $T_s$ ), the head thickness ( $T_h$ ) and the length without the head ( $L$ ). The mathematical formulation of the pressure vessel design problem is as follows.

Given the variables:  $x_1 = T_s$ ,  $x_2 = T_h$ ,  $x_3 = R$ ,  $x_4 = L$

Minimize:

$$f(x) = 0.6224x_1x_3x_4 + 1.7781x_2x_3^2 + 3.1661x_1^2x_4 + 19.84x_1^2x_3$$

Subject to:

$$g_1(x) = -x_1 + 0.0193x_3 \leq 0,$$

$$g_2(x) = -x_2 + 0.00954x_3 \leq 0,$$

$$g_3(x) = -\pi x_3^2x_4 - \frac{4}{3}\pi x_3^3 + 1,296,000 \leq 0,$$

$$g_4(x) = x_4 - 240 \leq 0,$$

Variables range:

$$0 \leq x_1 \leq 99, 0 \leq x_2 \leq 99, 10 \leq x_3 \leq 200, 10 \leq x_4 \leq 200$$

The above formulation shows that four constraints in this engineering problem must be considered for the optimal solution. Table 17 shows the optimization results obtained by SCHO and 11 meta-heuristic optimization algorithms reported in the literature. It can be seen from Table 17 that SCHO obtains the optimum cost of 5889.0061 and its corresponding variables  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$  are 0.7796836, 0.3854124, 40.39092, and 199.0132, respectively, while the results obtained by other algorithms are more than 6000. It is clear that SCHO is far better than other algorithms in solving the pressure vessel design problem.

### 3.3.3. Welded beam design problem

Minimizing the entire cost of the welded beam is the goal of this engineering design problem shown in Fig. 12. To get the optimum cost, its four variables containing the thickness of the weld ( $h$ ), the length of the weld ( $l$ ), the height of the bar ( $t$ ) and the thickness of the bar ( $b$ ) should be well designed, and these variables need to be met seven constraints such as shear stress ( $\tau$ ), the deflection ( $\delta$ ), bending stress in beam ( $\theta$ ) and the bar's buckling load ( $P_c$ ). The mathematical formulation of the welded beam design problem is as follows.

Given the variables:  $x_1 = h$ ,  $x_2 = l$ ,  $x_3 = t$ ,  $x_4 = b$

Minimize:

$$f(x) = 1.10471x_1^2x_2 + 0.04811x_3x_4(14 + x_2)$$

Subject to:

$$g_1(x) = \tau(x) - \tau_{max} \leq 0,$$

$$g_2(x) = \sigma(x) - \sigma_{max} \leq 0,$$

$$g_3(x) = x_1 - x_4 \leq 0,$$

$$g_4(x) = 1.10471x_1^2x_2 + 0.04811x_3x_4(14 + x_2) - 5 \leq 0,$$

$$g_5(x) = 0.125 - x_1 \leq 0,$$

$$g_6(x) = \delta(x) - \delta_{max} \leq 0,$$

$$g_7(x) = P - P_c(x) \leq 0,$$

$$\text{Where } \tau(x) = \sqrt{(\tau')^2 + 2\tau'\tau''\frac{x_2}{2R} + (\tau'')^2}, \tau' = \frac{P}{\sqrt{2}x_1x_2}, \tau'' = \frac{MR}{J}, M = P(L + \frac{x_2}{2}), R = \sqrt{\frac{x_2^2}{4} + \left(\frac{x_1+x_3}{2}\right)^2}, J = 2\left[\sqrt{2}x_1x_2\left\{\frac{x_2^2}{4}\right\} + \left(\frac{x_1+x_3}{2}\right)^2\right], \sigma(x) = \frac{6PL}{x_4x_3^2}, \delta(x) = \frac{4PL}{Ex_3^3x_4}, P_c(x) = \frac{4.013E\sqrt{\frac{x_2^2}{36}}}{L^2}\left(1 - \frac{x_3}{2L}\sqrt{\frac{E}{4G}}\right), P = 6000 \text{ lb}, L = 14 \text{ in}, E = 30 \times 10^6 \text{ psi}, G = 12 \times 10^6 \text{ psi}, \tau_{max} = 13, 600 \text{ psi}, \sigma_{max} = 30, 000 \text{ psi}, \delta_{max} = 0.25 \text{ in}$$

Variables range:

$$0.1 \leq x_1 \leq 2, 0.1 \leq x_2, x_3 \leq 10, 0.1 \leq x_4 \leq 2$$

The optimization results obtained by SCHO and eleven meta-heuristic algorithms reported in literature are shown in Table 18. It can be seen that SCHO found the optimum cost of 1.72516 compared to the other algorithms. Corresponding parameters  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$  are found to be equal to 0.20565, 3.47312, 9.03685, and 0.20573 respectively. Thence, SCHO is the better choice for solving the welded beam design problem.

### 3.3.4. Speed reducer design problem

Minimizing the total weight of the speed reducer is the main objective of this design problem shown in Fig. 13. It is a more complicated design problem than others since it includes seven design variables and eleven constraints. The design variables include the face width ( $x_1$ ), the module of teeth ( $x_2$ ), the number of teeth on the pinion ( $x_3$ ), the length of the first shaft between bearings ( $x_4$ ), the length of the second shaft

**Table 14**Optimization results of SCHG and other algorithms for CEC 2014 test functions with fixed dimension ( $D = 10$ ).

Fun	Measure	SCHO	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F1	Best	1.1278E+06	8.7768E+05	8.7404E+04	3.1196E+06	2.1759E+05	1.0023E+07	1.9842E+07	3.9498E+06	9.7340E+05
	Average	9.7772E+06	1.0634E+07	1.3071E+06	1.0783E+07	2.9747E+06	7.1376E+07	1.4399E+08	1.2505E+07	1.0342E+07
	STD	1.0876E+07	7.4558E+06	1.1346E+06	4.5463E+06	2.3781E+06	7.5185E+07	1.3184E+08	5.3000E+06	7.7517E+06
	Rank	3	5	1	6	2	8	9	7	4
F2	Best	1.4696E+06	1.3200E+04	2.0316E+02	3.3767E+08	2.1446E+02	4.3629E+09	2.1550E+09	2.6738E+06	1.2324E+05
	Average	2.6184E+08	1.1215E+08	2.9711E+03	9.7474E+08	2.8848E+03	7.0183E+09	5.9787E+09	5.9266E+08	7.1230E+08
	STD	4.1319E+08	3.1847E+08	2.7310E+03	4.0522E+08	3.0505E+03	2.0883E+09	1.5982E+09	6.0406E+08	8.0800E+08
	Rank	4	3	2	7	1	9	8	5	6
F3	Best	1.4712E+03	1.3637E+03	9.8011E+03	3.2364E+03	4.8567E+03	1.2007E+04	4.4842E+03	1.5832E+03	1.6593E+03
	Average	1.1682E+04	1.4029E+04	4.5439E+04	1.2611E+04	1.3880E+04	2.1694E+04	1.2067E+04	5.9377E+03	6.7862E+03
	STD	6.4032E+03	7.3119E+03	2.3479E+04	7.6870E+03	7.0631E+03	6.0569E+03	3.6071E+03	4.8039E+03	3.4714E+03
	Rank	3	7	9	5	6	8	4	1	2
F4	Best	4.0469E+02	4.0907E+02	4.0043E+02	4.4148E+02	4.0434E+02	6.5618E+02	5.8829E+02	4.1332E+02	4.0741E+02
	Average	4.3764E+02	4.4870E+02	4.3983E+02	4.7756E+02	4.3785E+02	1.8332E+03	1.7331E+03	4.6610E+02	4.4345E+02
	STD	2.8354E+01	8.0879E+01	1.8840E+01	2.4195E+01	1.4961E+01	7.7444E+02	9.3367E+02	4.6133E+01	2.6459E+01
	Rank	1	5	3	7	2	9	8	6	4
F5	Best	5.2028E+02	5.2028E+02	5.2000E+02	5.2029E+02	5.2000E+02	5.2006E+02	5.2034E+02	5.2002E+02	5.2016E+02
	Average	5.2047E+02	5.2053E+02	5.2003E+02	5.2050E+02	5.2007E+02	5.2018E+02	5.2050E+02	5.2014E+02	5.2049E+02
	STD	1.0989E-01	1.0816E-01	6.7755E-02	9.6875E-02	1.1229E-01	6.3119E-02	7.9119E-02	7.8075E-02	1.1941E-01
	Rank	6	9	1	8	2	5	9	4	7
F6	Best	6.0147E+02	6.0043E+02	6.0074E+02	6.0509E+02	6.0005E+02	6.0771E+02	6.0880E+02	6.0342E+02	6.0156E+02
	Average	6.0521E+02	6.0293E+02	6.0524E+02	6.0759E+02	6.0498E+02	6.0963E+02	6.1008E+02	6.0604E+02	6.0433E+02
	STD	1.8743E+00	1.3476E+00	1.6985E+00	1.3200E+00	1.8679E+00	9.8641E-01	5.9071E-01	1.4442E+00	1.5259E+00
	Rank	4	1	5	7	3	8	9	6	2
F7	Best	7.0091E+02	7.0032E+02	7.0007E+02	7.0588E+02	7.0007E+02	7.3866E+02	7.3448E+02	7.0096E+02	7.0128E+02
	Average	7.0848E+02	7.0220E+02	7.0023E+02	7.1476E+02	7.0021E+02	8.4081E+02	8.0320E+02	7.1250E+02	7.0999E+02
	STD	1.2273E+01	5.2913E+00	1.0819E-01	4.2924E+00	1.3753E-01	4.2992E+01	3.3119E+01	1.6978E+01	1.1731E+01
	Rank	4	3	2	7	1	9	8	6	5
F8	Best	8.0577E+02	8.0507E+02	8.0696E+02	8.3173E+02	8.0696E+02	8.2714E+02	8.4237E+02	8.0384E+02	8.0933E+02
	Average	8.2487E+02	8.1466E+02	8.2551E+02	8.4709E+02	8.2865E+02	8.5681E+02	8.8107E+02	8.1422E+02	8.3372E+02
	STD	1.0142E+01	6.5709E+00	1.0398E+01	8.4121E+00	1.2178E+01	1.1469E+01	1.0950E+01	9.0234E+00	1.5373E+01
	Rank	3	2	4	7	5	8	9	1	6
F9	Best	9.1527E+02	9.0797E+02	9.1194E+02	9.2856E+02	9.0895E+02	9.2023E+02	9.3255E+02	9.2172E+02	9.0802E+02
	Average	9.3154E+02	9.2103E+02	9.2680E+02	9.4997E+02	9.3387E+02	9.4587E+02	9.5971E+02	9.3257E+02	9.3254E+02
	STD	1.3263E+01	1.0719E+01	1.3313E+01	1.0481E+01	1.4888E+01	9.0763E+00	7.2704E+00	6.0098E+00	1.3190E+01
	Rank	2	1	3	8	6	7	9	5	4
F10	Best	1.0852E+03	1.1228E+03	1.0874E+03	1.6529E+03	1.1661E+03	1.3008E+03	1.7395E+03	1.1383E+03	1.1591E+03
	Average	1.4484E+03	1.4536E+03	1.5656E+03	2.1906E+03	1.6617E+03	1.6329E+03	2.1695E+03	1.4597E+03	1.5909E+03
	STD	2.4438E+02	2.9218E+02	2.0774E+02	2.1447E+02	2.7369E+02	2.0402E+02	1.9042E+02	1.8983E+02	2.2565E+02
	Rank	1	2	4	8	7	6	9	3	5
F11	Best	1.1958E+03	1.1228E+03	1.4510E+03	2.1970E+03	1.4591E+03	1.3177E+03	2.2910E+03	1.2425E+03	1.3875E+03
	Average	1.8978E+03	1.8918E+03	2.0549E+03	2.6162E+03	2.0594E+03	2.0484E+03	2.6121E+03	1.8371E+03	2.0763E+03
	STD	3.5080E+02	4.0378E+02	2.6432E-01	2.0701E+02	2.5991E+02	2.6187E+02	1.6891E+02	3.0596E+02	4.5980E+02
	Rank	3	2	5	9	6	4	8	1	7
F12	Best	1.2004E+03	1.2001E+03	1.2000E+03	1.2009E+03	1.2001E+03	1.2001E+03	1.2011E+03	1.2001E+03	1.2002E+03
	Average	1.2008E+03	1.2011E+03	1.2004E+03	1.2015E+03	1.2004E+03	1.2007E+03	1.2016E+03	1.2004E+03	1.2012E+03
	STD	3.5115E-01	7.6283E-01	3.0742E-01	3.0972E-01	3.0332E-01	3.7921E-01	3.4053E-01	1.6018E-01	6.3735E-01
	Rank	5	6	2	8	3	4	9	1	7
F13	Best	1.3003E+03	1.3002E+03	1.3002E+03	1.3005E+03	1.3001E+03	1.3005E+03	1.3017E+03	1.3002E+03	1.3002E+03
	Average	1.3005E+03	1.3003E+03	1.3004E+03	1.3008E+03	1.3004E+03	1.3032E+03	1.3035E+03	1.3005E+03	1.3004E+03
	STD	2.0830E-01	7.0961E-02	1.0997E-01	1.8979E-01	1.8024E-01	9.6517E-01	9.3114E-01	3.8420E-01	1.1445E-01
	Rank	5	1	3	7	4	8	9	6	2
F14	Best	1.4001E+03	1.4002E+03	1.4001E+03	1.4005E+03	1.4002E+03	1.4103E+03	1.4052E+03	1.4002E+03	1.4002E+03
	Average	1.4007E+03	1.4004E+03	1.4003E+03	1.4017E+03	1.4006E+03	1.4253E+03	1.4127E+03	1.4014E+03	1.4011E+03
	STD	9.7179E-01	2.1205E-01	1.5377E-01	9.0374E-01	3.3924E-01	8.0146E+00	5.2211E+00	2.6009E+00	2.8333E+00
	Rank	4	2	1	7	3	9	8	6	5
F15	Best	1.5017E+03	1.5006E+03	1.5064E+03	1.5006E+03	1.5327E+03	1.6277E+03	1.5025E+03	1.5019E+03	1.5019E+03
	Average	1.5075E+03	1.5027E+03	1.5018E+03	1.5175E+03	1.5024E+03	3.6063E+03	3.6496E+03	1.5179E+03	1.5162E+03
	STD	1.1348E+01	1.1222E+00	1.0137E+00	3.1130E+01	1.1303E+00	3.3031E+03	2.1669E+03	7.0145E+01	6.5191E+01
	Rank	4	3	1	6	2	8	9	7	5
F16	Best	1.6023E+03	1.6018E+03	1.6027E+03	1.6026E+03	1.6024E+03	1.6027E+03	1.6035E+03	1.6021E+03	1.6021E+03
	Average	1.6033E+03	1.6030E+03	1.6033E+03	1.6035E+03	1.6034E+03	1.6036E+03	1.6039E+03	1.6034E+03	1.6029E+03
	STD	5.0955E-01	4.5711E-01	2.9764E-01	3.0364E-01	4.2028E-01	3.8139E-01	1.2705E-01	2.7099E-01	4.1837E-01
	Rank	3	2	4	7	5	8	9	6	1
F17	Best	3.1014E+03	2.9363E+03	2.5608E+03	6.0987E+03	2.9885E+03	4.6446E+03	3.2649E+05	1.2756E+04	3.0619E+03
	Average	1.8104E+05	2.1061E+05	2.5376E+05	7.4956E+04	2.0833E+04	3.6084E+05	5.3652E+05	2.3358E+05	2.0340E+04
	STD	2.4750E+05	2.2606E+05	3.1246E+05	9.5037E+04	3.4033E+04	1.7417E+05	6.6016E+04	1.7199E+05	6.3606E+04
	Rank	4	5	7	3	2	8	9	6	1
F18	Best	2.0714E+03	2.0437E+03	2.0078E+03	8.5584E+03	1.9694E+03	1.9453E+03	1.4326E+04	5.8322E+03	1.9804E+03
	Average	7.9588E+03	1.1124E+04	1.2907E+04	5.8429E+04	1.2530E+04	1.3045E+04	7.1584E+05	1.1681E+04	1.2400E+04
	STD	6.5971E+03	8.1391E+03	1.0403E+04	7.8766E+04	8.6456E+03	8.8545E+03	1.7466E+06	2.9759E+03	7.9666E+03
	Rank	1	2	6	8	5	7	9	3	4
F19	Best	1.9020E+03	1.9016E+03	1.9017E+03	1.9038E+03	1.9023E+03	1.9057E+03	1.9098E+03	1.9021E+03	1.9019E+03
	Average	1.9037E+03	1.9033E+03	1.9046E+03	1.9064E+03	1.9041E+03	1.9302E+03	1.9227E+03	1.9039E+03	1.9039E+03

(continued on next page)

**Table 14 (continued)**

Fun	Measure	SCHO	GWO	ALO	SCA	SSA	AOA	RSA	SHO	GJO
F20	STD	1.3994E+00	1.3518E+00	1.6159E+00	1.3006E+00	8.3856E-01	2.2448E+01	1.3969E+01	1.1526E+00	1.4756E+00
	Rank	2	1	6	7	5	9	8	3	4
	Best	2.3633E+03	2.1451E+03	2.5264E+03	3.1752E+03	2.1380E+03	2.3057E+03	5.4105E+03	2.0701E+03	3.2904E+03
	Average	8.3031E+03	1.0084E+04	9.2225E+03	9.7014E+03	9.7167E+03	1.0159E+04	1.3561E+04	9.0505E+03	1.0303E+04
	STD	6.7945E+03	7.3670E+03	6.6161E+03	5.3456E+03	8.4594E+03	5.0908E+03	6.6068E+03	3.4371E+03	4.2416E+03
F21	Rank	1	6	3	4	5	7	9	2	8
	Best	2.6731E+03	3.9166E+03	2.6928E+03	3.6568E+03	2.9099E+03	3.7886E+03	8.1265E+03	2.4708E+03	3.2592E+03
	Average	1.0567E+04	1.0589E+04	1.2461E+04	1.4090E+04	1.1333E+04	1.1914E+06	4.8988E+05	1.5634E+04	1.1188E+04
	STD	6.8773E+03	5.4230E+03	8.0101E+03	7.6974E+03	8.2331E+03	2.6157E+06	7.8432E+05	2.6742E+04	5.2502E+03
F22	Rank	1	2	6	7	4	5	9	8	3
	Best	2.2268E+03	2.2269E+03	2.2258E+03	2.2324E+03	2.2261E+03	2.2300E+03	2.2874E+03	2.2241E+03	2.2377E+03
	Average	2.3255E+03	2.3114E+03	2.3100E+03	2.2730E+03	2.3392E+03	2.3879E+03	2.4178E+03	2.2873E+03	2.3448E+03
	STD	8.5554E+01	5.6703E+01	9.0055E+01	2.4781E+01	9.9059E+01	8.2830E+01	6.3444E+01	6.1918E+01	6.0807E+01
F23	Rank	5	4	3	1	6	8	9	2	7
	Best	2.3118E+03	2.6295E+03	2.6295E+03	2.6394E+03	2.6295E+03	2.5000E+03	2.5000E+03	2.5000E+03	2.5000E+03
	Average	2.4937E+03	2.6346E+03	2.6299E+03	2.6464E+03	2.6321E+03	2.5000E+03	2.5000E+03	2.6243E+03	2.6360E+03
	STD	3.4361E+01	5.7748E+00	2.0737E+00	4.9742E+00	4.7651E+00	0.0000E+00	0.0000E+00	4.2400E+01	2.6180E+01
F24	Rank	1	7	5	9	6	2	2	4	8
	Best	2.5243E+03	2.5104E+03	2.5189E+03	2.5355E+03	2.5157E+03	2.5531E+03	2.5855E+03	2.5227E+03	2.5171E+03
	Average	2.5601E+03	2.5525E+03	2.5661E+03	2.5602E+03	2.5413E+03	2.5906E+03	2.5995E+03	2.5720E+03	2.5494E+03
	STD	2.6101E+01	3.5929E+01	3.2619E+01	1.0368E+01	2.4651E+01	1.6631E+01	2.6493E+00	3.1375E+01	2.6034E+01
F25	Rank	4	3	6	5	1	8	9	7	2
	Best	2.6507E+03	2.6688E+03	2.6581E+03	2.6713E+03	2.6543E+03	2.6668E+03	2.7000E+03	2.6669E+03	2.6549E+03
	Average	2.6943E+03	2.6989E+03	2.6975E+03	2.6990E+03	2.6944E+03	2.6983E+03	2.7000E+03	2.6983E+03	2.6963E+03
	STD	1.0980E+01	7.4679E+00	1.1143E+01	9.2170E+00	1.2787E+01	6.1838E+00	0.0000E+00	6.7920E+00	1.1492E+01
F26	Rank	1	7	4	8	2	5	9	6	3
	Best	2.7002E+03	2.7001E+03	2.7001E+03	2.7004E+03	2.7001E+03	2.7021E+03	2.7023E+03	2.7001E+03	2.6589E+03
	Average	2.7005E+03	2.7035E+03	2.7036E+03	2.7008E+03	2.7004E+03	2.7187E+03	2.7067E+03	2.7037E+03	2.6990E+03
	STD	3.3159E-01	1.8226E+01	1.8247E+01	1.8025E-01	1.9347E-01	3.2584E+01	1.7633E+01	1.8188E+01	7.7868E+00
F27	Rank	3	5	6	4	2	9	8	7	1
	Best	2.7039E+03	2.7033E+03	2.7041E+03	2.7206E+03	2.7054E+03	2.9000E+03	2.9000E+03	2.7057E+03	2.7055E+03
	Average	2.8683E+03	3.0046E+03	3.0020E+03	3.0921E+03	3.0723E+03	2.9000E+03	3.0946E+03	3.0381E+03	3.0366E+03
	STD	7.2135E+01	1.3907E+02	1.6843E+02	7.2994E+01	1.0611E+02	0.0000E+00	1.5280E+02	1.6775E+02	1.5069E+02
F28	Rank	1	4	3	8	7	2	9	6	5
	Best	3.0000E+03	3.1693E+03	3.0000E+03	3.2288E+03	3.1689E+03	3.0000E+03	3.0000E+03	3.2552E+03	3.1700E+03
	Average	3.0000E+03	3.2515E+03	3.4337E+03	3.2989E+03	3.2463E+03	3.0000E+03	3.2883E+03	3.3653E+03	3.2901E+03
	STD	0.0000E+00	5.2321E+01	2.2707E+02	5.2046E+01	7.8945E+01	0.0000E+00	2.1808E+02	7.2176E+01	8.2737E+01
F29	Rank	1	4	9	7	3	1	5	8	6
	Best	3.1000E+03	3.2274E+03	3.1000E+03	4.0208E+03	3.3145E+03	3.1000E+03	3.1000E+03	3.1824E+03	3.1969E+03
	Average	3.9808E+03	3.5784E+05	1.2592E+05	1.8669E+04	1.3030E+05	5.4088E+05	3.6045E+05	6.8969E+05	4.5576E+03
	STD	1.9868E+03	8.2460E+05	6.6868E+05	1.5925E+04	4.7961E+05	1.2524E+06	1.0336E+06	1.6317E+06	1.4537E+03
F30	Rank	1	6	4	3	5	8	7	9	2
	Best	3.2000E+03	3.6011E+03	4.0699E+03	4.1293E+03	3.6506E+03	3.2000E+03	5.5967E+03	4.5365E+03	3.6537E+03
	Average	3.5583E+03	4.3455E+03	5.3679E+03	5.1091E+03	4.6713E+03	7.5115E+04	2.0846E+04	5.2521E+03	4.7573E+03
	STD	6.1821E+02	6.9083E+02	9.9491E+02	6.0757E+02	7.6307E+02	9.3183E+04	2.7452E+04	3.7912E+02	6.6832E+02
Mean	Rank	1	2	7	5	3	9	8	6	4
	Final	2.73	3.73	4.17	6.43	3.80	6.87	8.13	4.93	4.33
	Ranking	1	2	4	7	3	8	9	6	5

between bearings ( $x_5$ ), the diameter of the first shaft ( $x_6$ ), and the diameter of the second shaft ( $x_7$ ). The mathematical formulation of the speed reducer design problem is as follows.

Given the variables:  $x_1, x_2, x_3, x_4, x_5, x_6, x_7$

Minimize:

$$f(x) = 0.7854x_1x_2^2 \times (3.3333x_3^2 + 14.9334x_3 - 43.0934) - 1.508x_1(x_6^2 + x_7^2) \\ + 7.4777(x_6^3 + x_7^3) + 0.7854(x_4x_6^2 + x_5x_7^2)$$

Subject to:

$$g_1(x) = \frac{27}{x_1x_2^2x_3} - 1 \leq 0,$$

$$g_2(x) = \frac{397.5}{x_1x_2^2x_3^2} - 1 \leq 0,$$

$$g_3(x) = \frac{1.93x_4^3}{x_2x_3x_6^4} - 1 \leq 0,$$

$$g_4(x) = \frac{1.93x_5^3}{x_2x_3x_7^4} - 1 \leq 0,$$

$$g_5(x) = \frac{1}{110x_6^3} \sqrt{\left(\frac{745x_4}{x_2x_3}\right)^2 + 16.9 \times 10^6} - 1 \leq 0,$$

$$g_6(x) = \frac{1}{85x_7^3} \sqrt{\left(\frac{745x_5}{x_2x_3}\right)^2 + 157.5 \times 10^6} - 1 \leq 0,$$

$$g_7(x) = \frac{x_2x_3}{40} - 1 \leq 0,$$

$$g_8(x) = \frac{5x_2}{x_1} - 1 \leq 0,$$

$$g_9(x) = \frac{x_2}{12x_2} - 1 \leq 0,$$

$$g_{10}(x) = \frac{1.5x_6 + 1.9}{x_4} - 1 \leq 0,$$

$$g_{11}(x) = \frac{1.1x_7 + 1.9}{x_5} - 1 \leq 0,$$

Variables range:

**Table 15**

Wilcoxon rank sum test between SCHO and the other algorithms for CEC 2014 test functions at  $\alpha = 0.05$ ,  $D = 10$ .

F	GWO p-value	ALO Sig.	SCA p-value	SSA Sig.	AOA p-value	RSA Sig.	SHO p-value	GJO Sig.	GJO p-value	GJO Sig.						
F1	9.5E-03	+	2.2E-03	-	3.3E-01	~	3.8E-07	-	1.4E-07	+	4.5E-11	+	4.2E-01	~	9.1E-03	+
F2	8.5E-09	-	2.2E-03	-	1.5E-02	+	3.0E-11	-	4.1E-11	+	3.0E-11	+	1.4E-03	+	1.5E-01	~
F3	1.7E-02	+	2.2E-03	+	1.5E-02	+	3.6E-02	+	1.4E-04	+	9.5E-04	+	5.9E-04	-	7.6E-03	-
F4	4.8E-02	+	1.1E-03	+	1.7E-05	+	1.8E-04	+	3.0E-11	+	3.0E-11	+	2.1E-03	+	6.3E-01	~
F5	8.8E-01	~	2.2E-03	-	3.4E-02	+	4.5E-11	-	4.1E-11	-	3.0E-02	+	3.7E-11	-	4.2E-02	+
F6	6.7E-10	-	4.1E-02	+	9.6E-02	+	1.1E-01	~	7.8E-09	+	1.5E-09	+	2.0E-02	+	1.3E-04	-
F7	1.9E-07	-	2.2E-03	-	1.8E-03	+	3.0E-11	-	3.3E-11	+	1.1E-10	+	4.0E-01	~	4.0E-01	~
F8	7.8E-09	-	4.1E-02	+	1.7E-09	+	6.8E-02	~	2.2E-09	+	3.0E-11	+	1.3E-06	-	6.4E-01	~
F9	3.8E-10	-	2.6E-02	+	1.0E-04	+	1.1E-02	+	7.6E-03	+	1.2E-08	+	3.4E-02	+	3.1E-02	+
F10	1.1E-03	+	4.1E-02	+	4.2E-10	+	2.2E-02	+	1.2E-02	+	8.1E-10	+	5.9E-04	+	3.5E-02	+
F11	2.4E-04	-	5.1E-03	+	7.1E-08	+	1.5E-02	+	1.8E-01	~	1.1E-09	+	2.6E-02	-	1.3E-02	+
F12	4.4E-02	+	4.3E-03	-	1.2E-07	+	2.9E-09	-	3.2E-05	-	5.9E-06	+	1.4E-05	-	4.4E-02	+
F13	1.9E-09	-	4.8E-01	~	1.2E-06	+	2.2E-02	-	3.3E-11	+	3.0E-11	+	9.1E-03	+	3.8E-07	-
F14	4.9E-05	-	9.3E-02	-	1.8E-03	+	1.9E-01	~	1.3E-10	+	2.8E-08	+	4.5E-02	+	1.5E-01	~
F15	6.7E-10	-	2.2E-03	-	3.0E-02	+	6.1E-11	-	1.7E-09	+	2.4E-10	+	3.4E-02	+	4.0E-04	+
F16	4.1E-05	-	3.4E-02	+	7.0E-03	+	2.2E-03	+	7.0E-03	+	3.8E-07	+	4.7E-02	+	3.8E-02	-
F17	1.2E-05	+	3.1E-01	~	5.4E-02	~	2.0E-04	-	2.5E-02	+	6.5E-04	+	2.5E-01	~	2.9E-06	-
F18	6.6E-01	~	3.1E-01	~	1.6E-04	+	9.1E-01	~	2.4E-01	~	1.7E-06	+	9.9E-01	~	7.6E-01	~
F19	9.8E-05	-	9.4E-01	~	2.0E-07	+	3.0E-02	+	9.0E-11	+	3.0E-11	+	8.0E-03	+	9.1E-03	+
F20	2.0E-02	+	2.6E-03	+	4.8E-01	+	5.4E-02	+	2.4E-01	~	7.0E-03	+	4.4E-02	+	1.4E-03	+
F21	2.2E-02	+	2.3E-02	+	8.4E-01	~	6.2E-04	+	4.4E-05	+	7.1E-09	+	3.6E-04	+	1.9E-04	+
F22	1.6E-01	~	8.9E-02	~	1.2E-03	-	1.8E-02	+	2.0E-02	+	9.9E-03	+	9.0E-04	-	2.9E-03	+
F23	1.7E-12	+	2.2E-03	+	1.7E-12	+	1.7E-12	+	3.3E-01	~	3.3E-01	~	2.2E-11	+	6.2E-12	+
F24	6.7E-02	~	8.2E-01	~	2.2E-02	+	4.2E-06	-	1.6E-04	+	7.2E-07	+	3.0E-03	+	2.2E-01	~
F25	2.8E-03	+	1.6E-03	+	9.3E-07	+	4.2E-01	~	2.9E-02	+	4.8E-03	+	1.3E-01	~	2.4E-03	+
F26	3.8E-10	+	2.6E-02	+	6.2E-04	+	1.9E-05	-	2.6E-07	+	1.6E-07	+	2.8E-03	+	3.4E-05	-
F27	3.0E-07	+	1.8E-05	+	1.5E-07	+	2.1E-05	+	2.9E-01	~	1.2E-06	+	1.6E-08	+	1.9E-08	+
F28	1.2E-12	+	2.2E-03	+	1.2E-12	+	1.2E-12	+	NaN	~	1.7E-08	+	1.2E-12	+	1.2E-12	+
F29	2.0E-02	+	1.9E-02	+	9.2E-10	+	2.9E-02	+	8.3E-02	~	4.1E-10	+	5.5E-01	~	1.4E-02	+
F30	2.7E-05	+	5.3E-05	+	1.2E-07	+	3.1E-05	+	2.9E-11	+	1.7E-11	+	1.0E-09	+	2.7E-06	+
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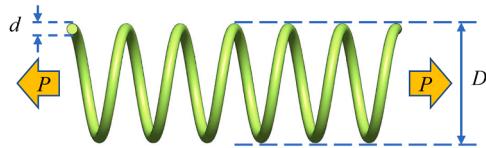


Fig. 10. Tension/compression spring design problem.

**Table 16**

Optimization results of SCHO and other algorithms for the tension/compression spring design problem.

Different Algorithms	Optimum variables			Optimum weight ( $f(x)$ )
	$x_1$	$x_2$	$x_3$	
SCHO	0.0517422	0.3579972	11.2146238	0.0126656
SO [57]	0.0511	0.3418	12.2222	0.012672535
SCA [112]	0.137850353	1.26129434	12.53614501	0.0126763
WOA [54]	0.0512	0.3452	12.004	0.0126763
GSA [52]	0.050276	0.32368	13.52541	0.0127022
SSA [107]	0.051207	0.345215	12.004032	0.0126763
RO [113]	0.05137	0.349096	11.76279	0.012679
GJO [80]	0.0515793	0.354055	11.4484	0.01266752
PSO [114]	0.051728	0.357644	11.244543	0.0126747
AOA [115]	0.0508	0.3348	11.702	0.012681
SHO [109]	0.05194	0.36289	10.9358	0.01266644
DO [47]	0.051215	0.345416	11.983708	0.012669

$$\begin{aligned} 2.6 \leq x_1 \leq 3.6, \quad 0.7 \leq x_2 \leq 0.8, \quad 17 \leq x_3 \leq 28, \quad 7.3 \leq x_4, \quad x_5 \leq 8.3, \quad 2.9 \\ \leq x_6 \leq 3.9, \quad 5 \leq x_7 \leq 5.5 \end{aligned}$$

The optimum weight of SCHO and the other 11 meta-heuristic optimization algorithms is shown in Table 19. The optimization results indicate that SCHO beats other algorithms and gets the optimum weight of 2995.2477, and the values of corresponding variables  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ ,  $x_5$ ,  $x_6$ ,  $x_7$  are 3.50008, 0.7, 17, 7.3, 7.72871, 3.35023, and

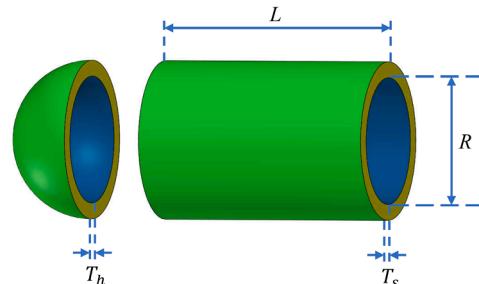


Fig. 11. Pressure vessel design problem.

5.28736, respectively. Therefore, SCHO is still an effective optimization method for complex problems compared to other algorithms.

### 3.3.5. Cantilever beam design problem

In this engineering design problem, SCHO is applied to minimize the optimum weight of the cantilever beam. This design problem, shown in Fig. 14, has five design variables. The mathematical formulation of the cantilever beam design problem is as follows.

Given the variables:  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ ,  $x_5$

Minimize:

$$f(x) = 0.6224(x_1 + x_2 + x_3 + x_4 + x_5)$$

Subject to:

$$g(x) = \frac{60}{x_1^3} + \frac{27}{x_2^3} + \frac{19}{x_3^3} + \frac{7}{x_4^3} + \frac{1}{x_5^3} - 1 \leq 0,$$

Variables range:

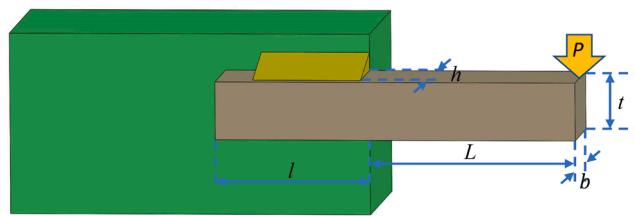
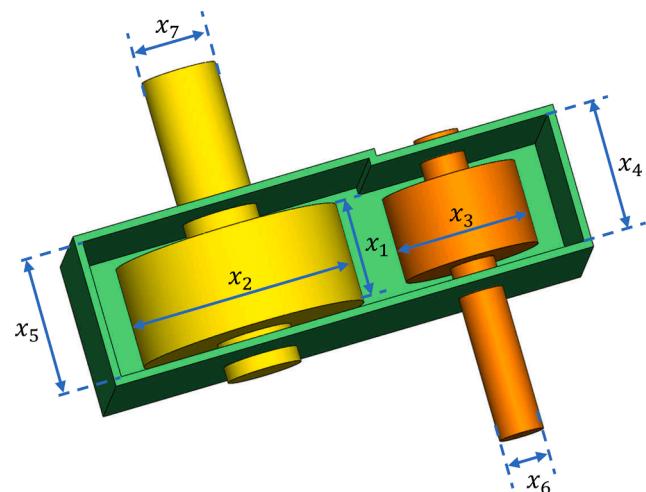
$$0.01 \leq x_1, x_2, x_3, x_4, x_5 \leq 100$$

There is a constraint in this problem. The optimization results from

**Table 17**

Optimization results of SCHO and other algorithms for the pressure vessel design problem.

Different Algorithms	Optimum variables				Optimum cost ( $f(x)$ )
	$x_1$	$x_2$	$x_3$	$x_4$	
SCHO	0.7796836	0.3854124	40.39092	199.0132	5889.0061
RSA [108]	0.8400693	0.4189594	43.38117	161.5556	6034.7591
GWO [52]	0.8125	0.4345	42.0892	176.7587	6051.5639
WOA [54]	0.8125	0.4375	42.0982699	176.638998	6059.741
MVO [23]	0.8125	0.4375	42.0907382	176.73869	6060.8066
AO [105]	1.054	0.182806	59.6219	38.805	5949.2258
MPA [116]	0.8125	0.4375	42.098445	176.636607	6059.7144
SCA [62]	0.817577	0.417932	41.74939	183.5727	6137.3724
AOA [109]	0.8303737	0.4162057	42.75127	169.3454	6048.7844
HHO [117]	0.81758383	0.4072927	42.09174576	176.7196352	6000.46259
CSA [118]	0.8125	0.4375	42.09844539	176.6365986	6059.714363
ACO [119]	0.8125	0.4375	42.103624	176.572656	6059.0888

**Fig. 12.** Welded beam design problem.**Fig. 13.** Speed reducer design problem.**Table 18**

Optimization results of SCHO and other algorithms for the welded beam design problem.

Different Algorithms	Optimum variables				Optimum cost ( $f(x)$ )
	$x_1$	$x_2$	$x_3$	$x_4$	
SCHO	0.20565	3.47312	9.03685	0.20573	1.72516
GWO [52]	0.205676	3.478377	9.03681	0.205778	1.72624
WOA [54]	0.205396	3.484293	9.037426	0.206276	1.730499
HHO [117]	0.204039	3.531061	9.027463	0.206147	1.731991
SCA [62]	0.204695	3.536291	9.00429	0.210025	1.759173
CPSO [114]	0.202369	3.544214	9.04821	0.205723	1.72802
GSA [80]	0.182129	3.856979	10	0.202376	1.87995
MVO [23]	0.205463	3.473193	9.044502	0.205695	1.72645
HGSO [120]	0.2054	3.4476	9.0269	0.206	1.726
GA [62]	0.164171	4.032541	10	0.223647	1.873971
GJO [80]	0.20562	3.4719	9.0392	0.20572	1.72522
SHO [109]	0.20585	3.46946	9.03276	0.20591	1.7259

SCHO and other reported meta-heuristic algorithms are shown in **Table 20**. It can be observed that SCHO gets the optimum weight of 1.3033, and the corresponding five variables  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ ,  $x_5$  are 5.9763, 4.8878, 4.4573, 3.4732, and 2.1447, respectively, while the optimum weight of other comparison algorithms is above 1.33, indicating that SCHO is significantly superior to other algorithms.

### 3.3.6. Three-bar truss design problem

Three-bar truss is a classical problem in civil engineering, in which two variables are selected to minimize the weight of truss structure subjected to deflection, stress, and buckling constraints. The geometric figure of three-bar truss is shown in **Fig. 15**, and SCHO is applied to optimize this problem by adjusting two variables including  $A_1(x_1)$  and  $A_2(x_1)$ . The mathematical formulation of the three-bar truss design problem is as follows.

Given the variables:  $x_1$ ,  $x_2$

Minimize:

$$f(x) = (2\sqrt{2}x_1 + x_2) \times l$$

Subject to:

$$\text{Where } l = 100 \text{ cm}, P = 2 \text{ kN/cm}^2, \sigma = 2 \text{ kN/cm}^2$$

Variables range:

$$0 \leq x_1, x_2 \leq 1$$

The optimization results of SCHO and other algorithms are shown in **Table 21**. It can be observed that SCHO is competitive compared with other comparison algorithms, since SCHO obtains the optimum weight of 263.8958476 when variables of  $x_1$ ,  $x_2$  take values of 0.78866420 and 0.40827926, respectively.

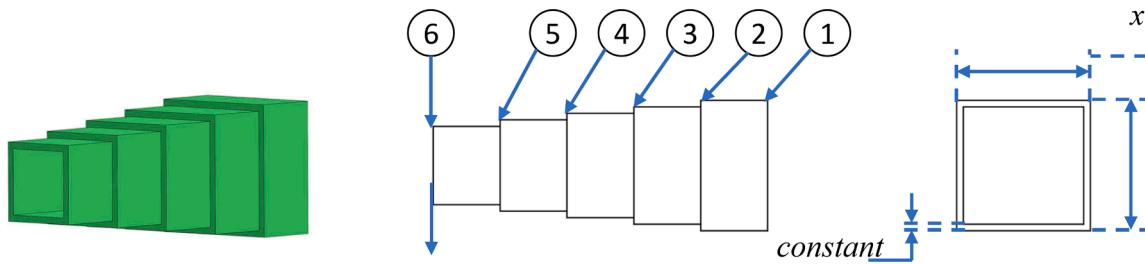
### 3.4. Summary of results

The analysis results of four subordinate models show that SCHO with four subordinate models can obtain the best optimization ability. It is observed from the qualitative analysis that SCHO presents strong exploration ability in the early iterations, good exploitation ability in the later iterations, and fast convergence speed. Compared with eight meta-heuristic algorithms using unimodal and multi-modal test functions (F1-F13), the exploitation and exploration of SCHO are superior to other

**Table 19**

Optimization results of SCHO and other algorithms for the speed reducer design problem.

Different Algorithms	Optimum variables							Optimum weight ( $f(x)$ )
	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	
SCHO	3.50008	0.7	17	7.3	7.72871	3.35023	5.28736	2995.2477
MFPA [121]	3.5	0.7	17	7.30812	7.8005	3.35021	5.28668	2996.2195
PSO [122]	3.5001	0.7	17.0002	7.5177	7.7832	3.3508	5.2867	3145.922
SCA [62]	3.508755	0.7	17	8.3	3.46102	5.289213	5.300734	3030.563
GWO [52]	3.501	0.7	17	7.3	7.811013	3.350704	5.287411	2997.81965
AO [55]	3.5021	0.7	17	7.3099	7.7476	3.3641	5.2994	3007.7328
HGSO [120]	3.498	0.71	17.02	7.67	7.81	3.36	5.289	2997.1
MDE [123]	3.50001	0.7	17	7.300156	7.800027	3.350221	5.286685	2996.35669
AOA [105]	3.50384	0.7	17	7.3	7.72933	3.35649	5.2867	2997.9157
POA [124]	3.5	0.7	17	7.3	7.8	3.350215	5.286683	2996.3482
HHO [57]	3.4981	0.7	17	7.6398	7.8	3.3582	5.2853	3000.67208
LGSI4 [125]	3.501	0.7	17	7.3	7.8	3.350214	5.286683	2996.348205

**Fig. 14.** Cantilever beam design problem.**Table 20**

Optimization results of SCHO and other algorithms for the cantilever beam design problem.

Different Algorithms	Optimum variables					Optimum weight ( $f(x)$ )
	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	
SCHO	5.9763	4.8878	4.4573	3.4732	2.1447	1.3033
RSA [108]	6.0231	5.4457	4.277	3.5853	2.1767	1.3386
SOS [126]	6.01878	5.30344	4.49587	3.49896	2.15564	1.33996
CS [51]	6.0089	5.3049	4.5023	3.5077	2.1504	1.3399
ALO [106]	6.01812	5.31142	4.48836	3.49751	2.158329	1.33995
MFO [53]	5.984871773	5.316726924	4.497332586	3.513616468	2.161620293	1.339988086
MMA [127]	6.01	5.3	4.49	3.49	2.15	1.34
SHO [109]	6.0049	5.3227	4.4737	3.5065	2.16637	1.339987
GCA_I [127]	6.01	5.304	4.49	3.498	2.15	1.34
GCA_II [127]	6.01	5.3	4.49	3.49	2.15	1.34
SSA [107]	6.015134526	5.309304676	4.495006716	3.501426286	2.152787908	1.339956391

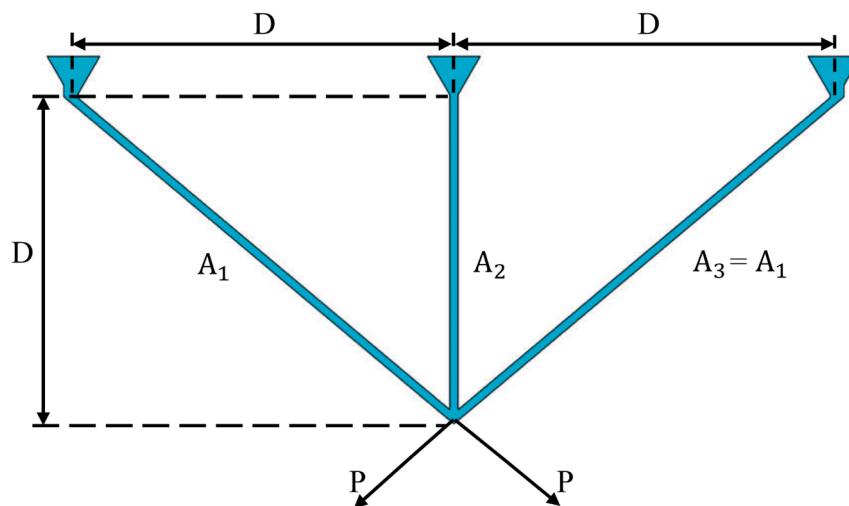
algorithms. As a result, SCHO is ranked first in the 23 classical benchmark functions. The results of convergence analysis indicate that SCHO exhibits fast convergence speed to obtain the optimal solution on most functions, which are benefit by the first and second phase of exploration and exploitation, bounded strategy, and good switch mechanism. The results of the 13 benchmark functions at high dimensions show that SCHO still maintains its superiority, and even exhibits more stable compared with other algorithms. In addition, SCHO performs better than other algorithms for the CEC 2014 test functions, especially F23-F30, which indicates SCHO still keeps its superiority for solving complex problems. It is also concluded from six engineering design problems that SCHO is very effective for optimizing these kind of problems. Hence, SCHO outperforms the other algorithms for the 23 classical benchmark functions, CEC 2014, and six engineering design problems, and shows a good balance ability between exploration and exploitation to obtain optimal solutions.

#### 4. Conclusion and future works

This study proposes a novel meta-heuristic optimization algorithm, named Sinh Cosh Optimizer (SCHO), according to the mathematical

inspiration of the characteristics of Sinh and Cosh. The SCHO mathematical model comprises four parts: the first and second phases of exploration and exploitation, the bounded search strategy, and the switching mechanism. The Sinh and Cosh are integrated into these parts to model the SCHO algorithm.

The optimization performance of SCHO to look for the optimal solution was validated for the 23 classical benchmark functions. The analysis results of four subordinate models show that SCHO with four models can exhibit the best performance. The qualitative analysis of trajectory of first search agent on benchmark functions showed that SCHO exhibited good exploration in the early iterations and strong exploitation in the later iterations, while the search history, average fitness and convergence curve verified the fast convergence of SCHO. The optimization results from SCHO were compared with eight meta-heuristic algorithms like GWO, ALO, SCA, SSA, AOA, RSA, SHO, and GJO. The mean ranking and the number of optimal solutions all are ranked first, indicating that SCHO possesses a great performance in looking for the optimal solution. The results of the unimodal tested functions demonstrated the superiority of SCHO in exploitation compared to other algorithms. At the same time, SCHO outperformed other algorithms in term of exploration of the multi-modal tested



**Fig. 15.** Three-bar truss design problem.

**Table 21**

Optimization results of SCHO and other algorithms for the three-bar truss design problem.

Different Algorithms	Optimum variables $x_1$	$x_2$	Optimum weight ( $f(x)$ )
SCHO	0.78866420	0.40827926	<b>263.8958476</b>
AOA [105]	0.79369	0.39426	263.9154
CS [51]	0.78867	0.40902	263.97156
MBA [128]	0.7885650	0.4085597	263.8958522
MVO [23]	0.78860276	0.40845307	263.8958499
MFO [53]	0.788244770931922	0.409466905784741	263.895979682
WOA [73]	0.789050544	0.407187512	263.8959474
GOA [129]	0.788897555578973	0.407619570115153	263.895881496069
Ray and Sain [130]	0.795	0.395	264.3
SCA [131]	0.78669	0.41426	263.9348
DA [67]	0.7883714	0.409108	263.89591

functions. Hence, the exploration and exploitation are consistent with qualitative analysis, and the good results verify the effectiveness of the proposed switching mechanism. Moreover, the fast convergence ability of SCHO is also confirmed by the convergence curves. It is concluded that the three search strategies and good switching mechanism ensure a satisfactory convergence behavior and good optima avoidance to find the optimal solutions. To evaluate the scalability of the algorithm, 13 classical benchmark functions with 100 and 500 dimensions are optimized by SCHO and comparative algorithms. The results show that SCHO can retain the superiority of low dimension compared with other algorithms, and dimensional changes have less impact on SCHO. In addition, the results of SCHO on CEC 2014 test function indicate its superiority for solving complex problems.

In order to determine the efficiency of SCHO, six classical engineering design problems with unequal constraints were selected, including tension/compression spring design problem, pressure vessel design problem, welded beam design problem, speed reducer design problem, cantilever beam design problem and three-bar truss design problem. The results indicated that SCHO possessed a better ability to minimize the optimum weight or cost than other meta-heuristic algorithms, which indicates that SCHO still performs well in terms of these problems with unknown, challenging search spaces. Therefore, SCHO is a potential optimization algorithm for solving the constrained and unconstrained problems.

Overall, SCHO is a competitive algorithm with good balance between exploration and exploitation to escape from local optimum and find the optimal solution. However, SCHO is applied for solving the simple-objective problems, while its performance on multi-objective problems is unknown. Also, it is undeniable that a good balance strategy between

exploration and exploitation remains an intractable challenge in meta-heuristics algorithms. Therefore, for future research, we will continue to apply it to multi-objective problems, and further explore the strategy how to better balance exploration and exploitation.

#### CRediT authorship contribution statement

**Jianfu Bai:** Investigation, Methodology, Validation, Writing – original draft. **Yifei Li:** Formal analysis. **Mingpo Zheng:** Visualization. **Samir Khatir:** Writing – review & editing. **Brahim Benaissa:** Writing – review & editing. **Laith Abualigah:** Writing – review & editing. **Magd Abdel Wahab:** Supervision, Conceptualization, Validation, Writing – review & editing.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

#### Acknowledgement

The authors would like to acknowledge the financial support of the grants from the China Scholarship Council (202108440192).

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