



# Evaluation of recombination processes using the local ideality factor of carrier lifetime measurements

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## ABSTRACT

The mechanisms that limit the performance of a solar cell can be often identified by an assessment of the solar cell's local ideality factor  $m$ . Typically,  $m$  is extracted from the current–voltage curve of a completed solar cell and plotted as a function of voltage. In this study,  $m$  is extracted from photoluminescence measurements of the effective carrier lifetime and plotted against the excess carrier concentration  $\Delta n$  or the implied open-circuit voltage  $V_{oci}$ . It is shown that a plot of  $m(\Delta n)$  or  $m(V_{oci})$  is a powerful way to analyse recombination processes within a silicon wafer, where its main advantage is that it can be determined from wafers that have neither metal contacts nor a  $p$ – $n$  junction. With an  $m(\Delta n)$  plot, one can readily identify a range of  $\Delta n$  (or voltage) that is dominated by a single recombination mechanism, or that constitutes a transition from one dominant mechanism to another. One can also identify the dominating recombination mechanisms at a cell's maximum power point. In this paper we demonstrate the application of extracting an  $m(\Delta n)$  curve, and we show how it is affected by Shockley–Read–Hall and Auger recombination in the bulk, and by fixed charge in a dielectric coating.

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## 1. Introduction

The mechanisms that limit the performance of a solar cell can be often identified by an assessment of the solar cell's local ideality factor  $m$  [1,2]. Typically,  $m$  is extracted from the current–voltage curve of a completed solar cell and plotted as a function of voltage [1–4]. In this study,  $m$  is extracted from a measurement of the effective carrier lifetime  $\tau_{eff}$  and plotted against the excess carrier concentration  $\Delta n$  or the implied open-circuit voltage  $V_{oci}$  [5]. It is shown that a plot of  $m(\Delta n)$  or  $m(V_{oci})$  is a powerful contactless method to analyse recombination processes within a silicon wafer.

The local ideality factor can be defined as [1]

$$U \propto (n \times p)^{1/m}, \quad (1)$$

where  $U$  is the recombination rate, and  $n$  and  $p$  are the concentrations of free electrons and holes. The local ideality factor is therefore readily determined by photoconductance (PC) or photoluminescence (PL), which measure  $U$  as a function of either  $(n+p)$  or  $(n \times p)$ . Appendix A shows how Eq. (1) relates to the common definition used for completed solar cells, which also incorporates the effects of shunting, series resistance and 2D effects.

By taking the derivative of Eq. (1) with respect to  $\Delta n$  and assuming that (i) the excess electron and hole concentrations are

both equal to  $\Delta n$ , and (ii)  $\Delta n \gg n_i^2/N$ , (where  $N$  is the bulk doping concentration and  $n_i$  is the intrinsic carrier concentration),  $m$  can be expressed as a function of  $\Delta n$ :

$$m = \left( \frac{1}{n} + \frac{1}{p} \right) U \frac{d\Delta n}{dU} = \frac{2\Delta n + N}{\Delta n(\Delta n + N)} U \frac{d\Delta n}{dU}. \quad (2)$$

Note that Eq. (2) holds for wafers only; it is not necessarily accurate for completed solar cells, where  $m$  is affected by other effects, such as series resistance, 2D effects etc.

Alternatively,  $m$  can be expressed as a function of  $V_{oci}$ , which is equivalent to the separation of the quasi-Fermi levels and therefore given by

$$V_{oci} = \frac{kT}{q} \ln \left( \frac{n \times p}{n_i^2} \right), \quad (3)$$

where  $q$  is the elementary charge,  $k$  Boltzmann's constant and  $T$  the absolute temperature [6]. Combining this definition of  $V_{oci}$  with Eq. (1) gives

$$m = \frac{q}{kT} \left( \frac{d(\ln(U))}{dV_{oci}} \right)^{-1} = \frac{q}{kT} U \frac{dV_{oci}}{dU}. \quad (4)$$

In this work, our experiments are in steady-state or near steady-state, whereby the generation rate  $G$  equals  $U$ . Under these conditions,  $m$  can be expressed as either:

$$m = \frac{2\Delta n + N}{\Delta n(\Delta n + N)} G \frac{d\Delta n}{dG}, \quad (5)$$

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or

$$m = \frac{q}{kT} \left( \frac{d(\ln(G))}{dV_{oci}} \right)^{-1} = \frac{q}{kT} G \frac{dV_{oci}}{dG}. \quad (6)$$

Thus,  $m(\Delta n)$  and  $m(V_{oci})$  can be determined with Eqs. (5) and (6) using either PC or PL-based measurements. The resulting plots provide information that is not immediately apparent from the standard analysis of  $\tau_{eff}(\Delta n)$ , such as range of  $\Delta n$  that is dominated by a single recombination mechanism, or that constitutes a transition from one dominant mechanism to another.

The main advantage of using PC or PL to determine  $m$  is that it can be performed on wafers that do not have metal contacts or even a  $p$ – $n$  junction. The local ideality factor is therefore unaffected by the shunting or series resistance related to metal contacts, which frequently prevent an assessment of recombination processes at low and high voltages in solar cells. By studying  $m$  before and after the formation of metal contacts, one can evaluate how they influence the dominant recombination mechanisms. One can also assess how the various recombination processes influence the fill factor  $FF$  of the resulting solar cell.

## 2. Modelling

A model following the approach of Girisch et al. [7] was developed using the software package Mathematica 8 (Wolfram Research). The inputs of this model are the sample parameters ( $N$  and thickness), the surface defect parameters (surface state density  $D_{it}$ , capture cross section of electrons  $\sigma_n$  and holes  $\sigma_p$ ) and the fixed charge density within the dielectric  $Q_f$ . The surface potential  $\psi_s$  is determined as a function of  $\Delta n$  assuming charge neutrality [7]. Using  $\psi_s$ , the electron and hole concentrations at the surface are calculated. Based on these concentrations, the surface recombination is computed by the Shockley–Read–Hall (SRH) equation [8,9]. More information regarding these calculations can be found in Refs. [7,10].

The bulk recombination rate  $U_b$  is determined as the sum of the SRH (using inputs regarding defects in the bulk, such as energy level and the electron and hole lifetime parameters  $\tau_{n0}$  and  $\tau_{p0}$ ), Auger and radiative [11] recombination terms:

$$U_{bi} = \Sigma U_{b,i} = U_{b,SRH} + U_{b,Auger} + U_{b,Radiative}, \quad (7)$$

where  $U_{b,i}$  represents the different bulk recombination rates. At steady state  $G$  is equal to the sum of the different recombination processes and therefore can be expressed as:

$$G = \Sigma U_i = U_s \left( \frac{2}{W} \right) + (U_{b,SRH} + U_{b,Auger} + U_{b,Radiative}), \quad (8)$$

where  $U_i$  represents the different recombination mechanisms,  $U_s$  is the surface recombination and  $W$  is the sample thickness. The simulated  $m$  can then be extracted using Eq. (5) or Eq. (6).

## 3. Experimental

### 3.1. Sample preparation

Four float-zone (FZ) 1  $\Omega$  cm  $p$ -type (100) and four Czochralski (Cz) 1.7  $\Omega$  cm  $n$ -type (100) wafers were used in this study. The wafer thickness after an alkali saw damage etch was 210–220  $\mu$ m. After a full RCA clean [12] and HF (hydrofluoric) dip, amorphous silicon nitride ( $\text{SiN}_x$ ) was deposited onto both surfaces using a plasma-enhanced chemical vapour deposition (PECVD) system manufactured by Roth & Rau (AK-400). The refractive index and the film thickness were measured by a dual-mode ellipsometer at a single wavelength of 632.8 nm, using a single-side polished wafers, and found to be 2.4 and 75 nm, respectively. The effective

**Table 1**

Effective lifetime and calculated  $S_{eff,ul}$  and  $\tau_{b,SRH,II}$  at  $\Delta n$  of  $1 \times 10^{15} \text{ cm}^{-3}$ .

	$\tau_{eff}$ [ $\mu$ s]	$S_{eff,ul}$ [cm/s]	$\tau_{b,SRH,II}$ [ $\mu$ s]
$p$ -type (1 $\Omega$ cm)	1611	1.1	2800
$n$ -type (1.7 $\Omega$ cm)	489	19.2	504

lifetime was measured as a function of  $\Delta n$  using a PL-based system under near steady-state conditions and using the generalized analysis [13]. The local ideality factor was then calculated using Eq. (5) or Eq. (6).

In order to evaluate the passivation quality, the surface recombination velocity  $S_{eff}$  was extracted from  $\tau_{eff}$  at  $\Delta n$  of  $1 \times 10^{15} \text{ cm}^{-3}$ , using the following relationships:

$$\frac{1}{\tau_{eff}} = \frac{1}{\tau_b} + \frac{1}{\tau_s}, \quad (9)$$

$$\tau_s = \frac{W}{2S_{eff}}, \quad (10)$$

which combined to give

$$S_{eff} = \frac{W}{2} \left( \frac{1}{\tau_{eff}} - \frac{1}{\tau_b} \right), \quad (11)$$

where  $\tau_b$  is the bulk lifetime and  $\tau_s$  is the surface lifetime. Note that Eq. (10) can only be used when  $S_{eff}$  is relatively small [14]. As the limiting value for the samples presented in this study was calculated to be 470 cm/s, this expression contains negligible error.

The upper limit of  $S_{eff}$  ( $S_{eff,ul}$ ) was calculated using the intrinsic limit [11] on  $\tau_b$ , while the lower limit of the bulk SRH lifetime  $\tau_{b,SRH,II}$  was calculated under the assumption of no surface recombination using:

$$\frac{1}{\tau_{b,SRH,II}} = \frac{1}{\tau_{eff}} - \left( \frac{1}{\tau_{b,Auger}} + \frac{1}{\tau_{b,Rad}} \right), \quad (12)$$

where  $\tau_{b,Auger}$  and  $\tau_{b,Rad}$  are the Auger lifetime and the radiative lifetime, respectively.

Table 1 presents  $\tau_{eff}$  and the calculated  $S_{eff,ul}$  and  $\tau_{b,SRH,II}$  at  $\Delta n$  of  $1 \times 10^{15} \text{ cm}^{-3}$ . The very low  $S_{eff}$  obtained for both wafer polarities indicates that  $\tau_{eff}$  is not dominated by surface recombination in either sample at this  $\Delta n$ . Furthermore, the high  $\tau_{b,SRH,II}$  (particularly that of the  $p$ -type sample) suggests that the bulk lifetime of these samples is relatively high.

### 3.2. Measurement system

In order to measure  $\tau_{eff}$  at very low  $\Delta n$ , a PL-based lifetime system [15] was employed since it is minimally affected by artifacts such as trapping [16–18] and depletion region modulation [19–22].

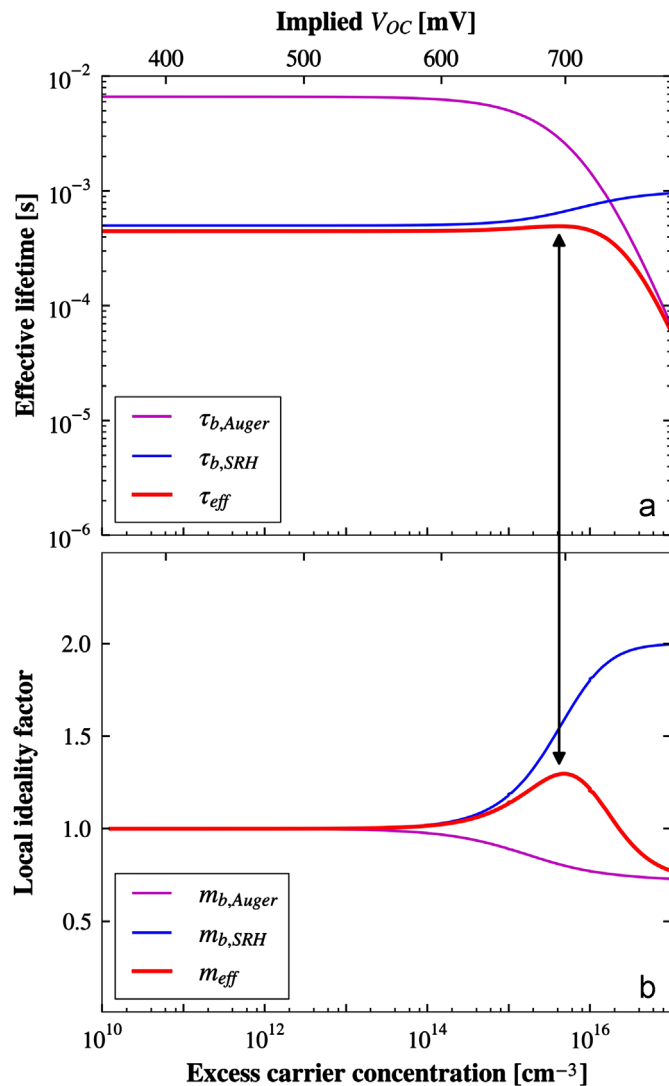
The PL system is a modified Sinton Consulting WCT-120 instrument [23]. An additional silicon diode ('PL sensor') was integrated to detect the spontaneous emission. The signal is fed into a low-noise preamplifier before being analysed. The illumination source was either a 1.5 W array of 810 nm light emitting diodes (LEDs) or a high-power xenon flash. The control of the light source is accomplished by a digital-analogue port of a data acquisition card. The software allows the user to design a wide range of waveforms, to choose the number of repetitions, the number of data points and the desired signal averaging. More details on the system can be found in Refs. [15,20,24].

## 4. Results and discussion

### 4.1. Transition from SRH recombination to Auger recombination

As a starting point,  $\tau_{eff}$  of a *p*-type sample was simulated as described above. Bulk recombination was modelled with a single midgap SRH centre and Auger recombination. The surface recombination was made negligible by setting  $D_{it}$  to zero. Table 2 summarizes the parameters used in this simulation.

Fig. 1(a) presents the simulated bulk SRH lifetime  $\tau_{b,SRH}$ ,  $\tau_{b,Auger}$  and  $\tau_{eff}$  as a function of  $\Delta n$  (and as a function of  $V_{oci}$  on the upper x axis). Consistent with the SRH equation,  $\tau_{b,SRH}$  is constant and equal to the minority carrier lifetime at low injection. It then increases at medium injection and approaches the sum of the minority and majority carrier lifetimes at high injection. The Auger lifetime, is also constant at low injection but it decreases rapidly from mid-injection onwards. Combined, the net lifetime  $\tau_{eff}$  is dominated by SRH recombination at low  $\Delta n$  and by Auger recombination at high  $\Delta n$ , with the transition from SRH to Auger being clearly evident in Fig. 1(a). Such a transition is well documented, see for example Refs. [25,26].

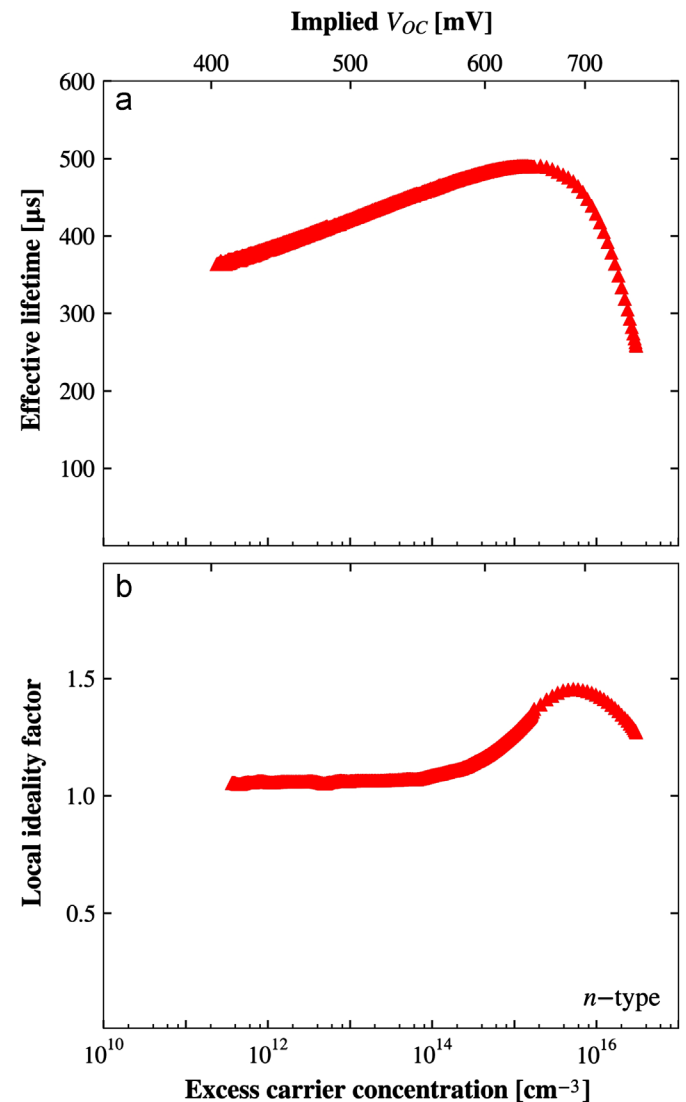


**Fig. 1.** Simulated effective lifetime as a function of the excess carrier concentration (and implied  $V_{oc}$ —upper scale) for a case where the effective lifetime is influenced only by a single SRH recombination centre at the bulk and Auger recombination (a) and the extracted local ideality factor as a function of excess carrier concentration of the same sample (b).

We now examine  $m$  as a function of  $\Delta n$  (and  $V_{oci}$ ), as presented in Fig. 1(b). At low  $\Delta n$ ,  $m$  is unity, which characterizes all ideal recombination mechanisms at low injection. The local ideality factor then increases at moderate injection and would approach 2 if SRH was to dominate at high injection. But instead,  $m$  peaks as the dominant mechanism transition from SRH to Auger recombination, and  $m$  then decreases to approach 0.755, which is consistent with the Auger recombination equation at high injection [11,27].

It is worth noting here that while both  $\tau_{eff}(\Delta n)$  and  $m(\Delta n)$  contain a peak, the peak does not occur at the same  $\Delta n$ ; since  $m(\Delta n)$  relates to the derivative of  $\tau_{eff}(\Delta n)$ , the peak in  $m(\Delta n)$  corresponds to the inflection point in  $\tau_{eff}(\Delta n)$ .

Two important voltages of any solar cell are its maximum power point voltage  $V_{MPP}$  and open-circuit voltage  $V_{oc}$ . Often, there is a transition from one dominant recombination mechanism to another between  $V_{MPP}$  and  $V_{oc}$ , as would occur in the example of Fig. 1 if it was a typical silicon solar cell. Such transitions cause the FF to deviate from its ideal value. Evaluation of a  $Suns-V_{oc}$  curve [28] can quantify how such transitions affect the implied fill factor  $FF_i$  of the sample. A complementary assessment of the  $m(V_{oci})$  can help to deduce the cause of this transition.



**Fig. 2.** Measured effective lifetime as a function of the excess carrier concentration (and implied  $V_{oc}$ —upper scale) of a representative *n*-type sample (a) and the extracted local ideality factor as a function of excess carrier concentration of the same sample (b).

A practical example that is similar to the simulated case of Fig. 1 is presented in Fig. 2. This data was measured for an  $n$ -type substrate that is well passivated by a positively charged dielectric ( $\text{SiN}_x$ ), where the positive charge has only a limited impact on the transition between dominant recombination mechanisms (see more below). Fig. 2(a) presents  $\tau_{\text{eff}}$  as a function of  $\Delta n$  of a representative  $n$ -type sample (see Section 3.1). Since  $S_{\text{eff}} < 20 \text{ cm/s}$ , it can be concluded that  $\tau_{\text{eff}}$  of this sample is limited by  $\tau_{b,\text{SRH}}$  at low and medium  $\Delta n$  and by  $\tau_{b,\text{Auger}}$  at higher  $\Delta n$ .

Fig. 2(b) plots  $m$  of the same sample as a function of  $\Delta n$ . Similar to Fig. 1(b), this curve shows clearly the transition between SRH and Auger recombination. The local ideality factor is constant and close to unity at low  $\Delta n$ , consistent with recombination that is dominated by a single ideal SRH defect. It then increases as the dominant SRH recombination shifts from low to high injection. These features are not immediately evident from the  $\tau_{\text{eff}}(\Delta n)$  curve. Finally, at  $\Delta n > 3 \times 10^{15} \text{ cm}^{-3}$ ,  $m$  peaks and declines as the dominant recombination mechanism transitions from the SRH defect to Auger recombination.

If this recombination occurred in a typical silicon solar cell, then the transition from being dominated by low-injection SRH to high-injection SRH would occur in a voltage range between  $V_{\text{MPP}}$  and  $V_{\text{oc}}$  (530–640 mV). Consequently, the solar cell's  $FF$  would be lower than ideal. While plot of  $\text{Suns}-V_{\text{oc}}$  would quantify this reduction, the ultimate cause would be more apparent in the  $m(\Delta n)$  plot.

#### 4.2. Influence of surface recombination

The influence of a high surface recombination is now described with the examples of Fig. 3. Firstly, and without surface charge, Fig. 3(a) and (b) present a similar example to Fig. 1 except that  $\tau_s$  was chosen to be the limiting factor by setting a much higher  $D_{it}$  (see Table 2). In this simulation  $\tau_{b,\text{SRH}}$  is sufficiently higher than  $\tau_s$  that it does not impact  $\tau_{\text{eff}}$ . Although  $\tau_{\text{eff}}$  in Fig. 3 is significantly lower than in Fig. 1,  $m$  is similar since in both cases the dominant recombination mechanism transitions from SRH to Auger at mid-

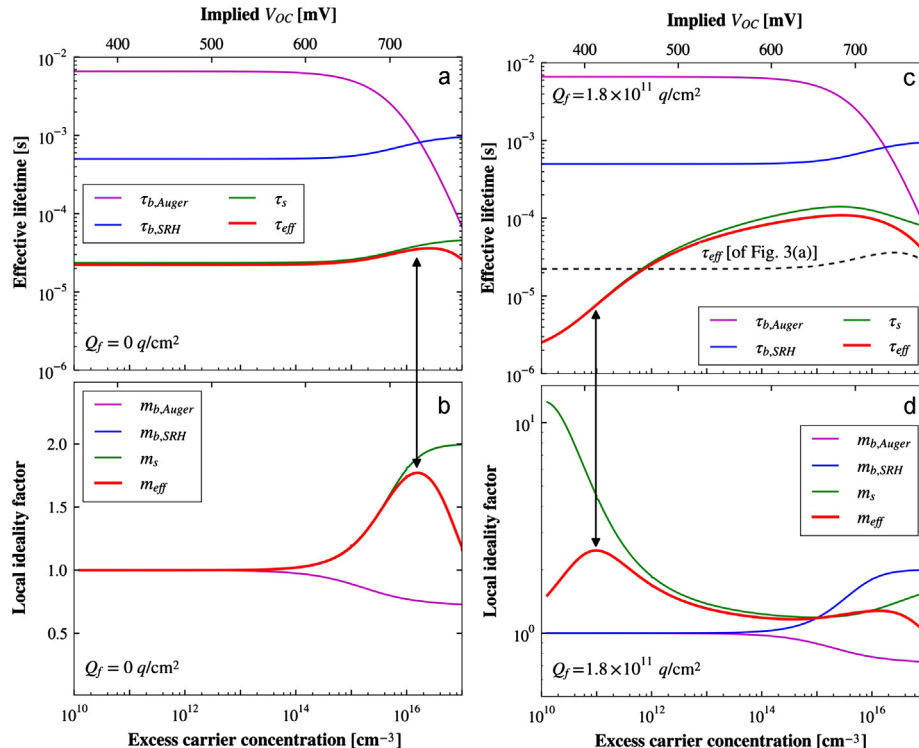
to-high injection. Again, it is worth noting that the peaks in  $\tau_{\text{eff}}(\Delta n)$  and  $m(\Delta n)$  do not occur at the same  $\Delta n$ .

The influence of fixed surface charge is introduced with Fig. 3 (c) and (d). As listed in Table 2, the simulation now includes a large and positive fixed charge, while the rest of the parameters are unchanged. The red curves, which plot  $\tau_{\text{eff}}(\Delta n)$  and  $m(\Delta n)$  is now considerably different to the previous examples; in fact,  $m(\Delta n)$  now contains two peaks!

The peak in  $m(\Delta n)$  at high  $\Delta n$  is caused by the transition from low-injection SRH to high-injection SRH to Auger recombination in the same manner as Figs. 1(b) and 3(b). The large peak at low  $\Delta n$  occurs over the range of carrier concentrations dominated by surface recombination and is influenced by the injection dependence of  $S_{\text{eff}}$  introduced by field effect passivation. Notice that although the first peak in the  $m(\Delta n)$  curve is clearly evident, the corresponding feature in the  $\tau_{\text{eff}}(\Delta n)$  curve is barely noticeable.

Specifically, the peak at low injection is caused as follows. At low  $\Delta n$ , the positive charge increases the electron surface concentration  $n_s$ , making it higher than the hole surface concentration  $p_s$  (i.e., weak inversion—see Appendix B). As  $n_s$  and  $p_s$  are similar under this condition, the recombination rate at the surface is enhanced. However, at higher  $\Delta n$ , the fixed positive charge invokes a stronger inversion layer in the moderately doped  $p$ -type substrate ( $N_A = 1 \times 10^{16} \text{ cm}^{-3}$ ). In this layer the holes and not the electrons are the minority carriers. Due to low hole concentration in this layer, the ratio  $n_s/p_s$  increases and the recombination processes are significantly slowed, hence  $\tau_{\text{eff}}$  is improved compared to a sample without fixed charge [such as the sample of Fig. 3(a)]. This transition is very clear in the  $m(\Delta n)$  plot. The peak at  $\Delta n$  of  $1 \times 10^{11} \text{ cm}^{-3}$  indicates a variation of the surface recombination [29] and correspond to the aforementioned transition from surface recombination limited by electrons (at low  $\Delta n$ ) to recombination limited by holes (at higher  $\Delta n$ ).

Fig. 4(a) presents the measured  $\tau_{\text{eff}}$  of a representative  $p$ -type sample passivated with  $\text{SiN}_x$  (see Section 3.1). Note the wide range



**Fig. 3.** Simulated effective lifetime as a function of the excess carrier concentration (a, c) and the extracted local ideality factor as a function of excess carrier concentration (b, d). In (a, b) the surface recombination is simulated using only  $D_{it}$  ( $Q_f$  was set to zero), while in (c, d) surface recombination is modelled using both  $D_{it}$  and  $Q_f$  (see Table 2).

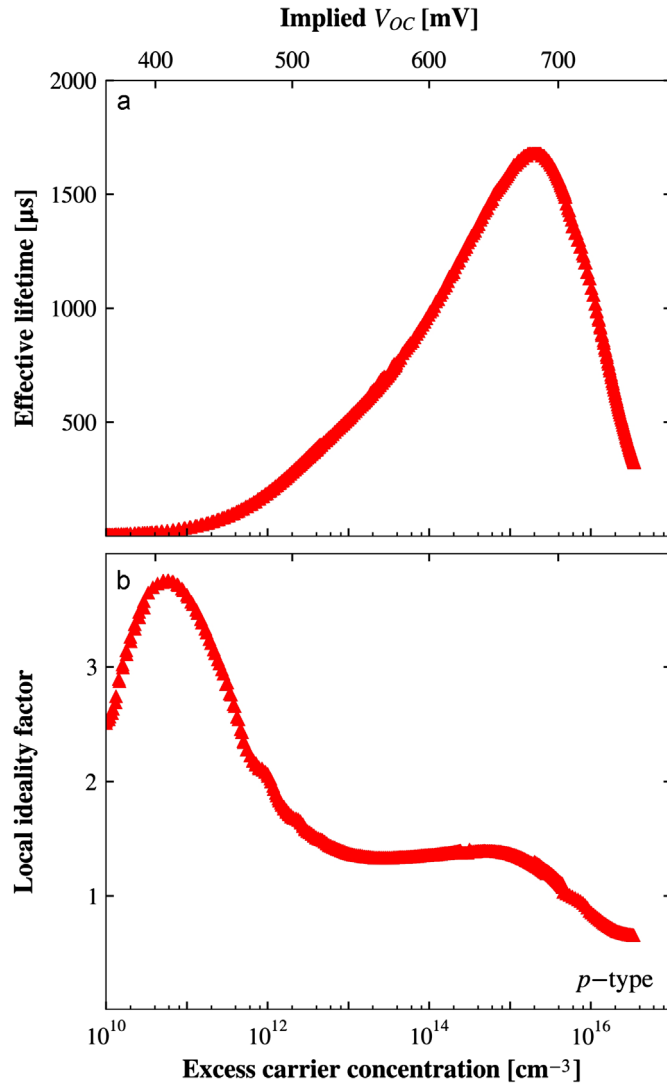
of  $\Delta n$  (from  $1 \times 10^{10}$  to  $5 \times 10^{16} \text{ cm}^{-3}$ ) provided by the PL-based system, which significantly extends the range obtained by the PC-based system.

The observed dependence of  $\tau_{\text{eff}}$  on  $\Delta n$  accords with the simulation results [see Fig. 3(c)] and the findings of other researchers [30–32]. At high  $\Delta n$ ,  $\tau_{\text{eff}}$  is limited by Auger recombination, while at low  $\Delta n$  it is primarily influenced by the injection level dependence of  $S_{\text{eff}}$ . This dependence is influenced not only by the mentioned field effect, created by the positive fixed charge within the  $\text{SiN}_x$  film [33,34], but also by the asymmetry in the capture cross section of electrons and holes. This large asymmetry

**Table 2**

Parameters used for the simulations of Figs. 1 and 3.

Parameter	Fig. 1	Fig. 3(a, b)	Fig. 3(c, d)
$N [\text{cm}^{-3}]$	$1 \times 10^{16}$	$1 \times 10^{16}$	$1 \times 10^{16}$
$D_{it} [\text{cm}^{-2} \text{ eV}^{-1}]$	0	$6 \times 10^{11}$	$6 \times 10^{11}$
$\sigma_n/\sigma_p$	N.A.	1	1
$Q_f [\text{q/cm}^2]$	0	0	$+1.8 \times 10^{11}$



**Fig. 4.** Measured effective lifetime as a function of the excess carrier concentration (and implied  $V_{oc}$ —upper scale) of a representative  $p$ -type sample (a) and the extracted local ideality factor as a function of excess carrier concentration of the same sample (b).

( $\sigma_n/\sigma_p = 10^1$ – $10^6$  at midgap [34,35]) is predicted to result in a strong injection level dependence due to a transition whereby the recombination switches from being limited by the capture of electrons to the capture of holes [36]. A significant decrease in  $S_{\text{eff}}$  is expected once the injection level exceeds a critical value of  $n_s/p_s \approx \sigma_p/\sigma_n$  [37,38].

This transition from electron-limited to hole-limited surface recombination is clearly shown in Fig. 4(b), which presents  $m$  as a function of  $\Delta n$ . Similar to Fig. 3(d), the noticeable peak at  $\Delta n$  of  $7 \times 10^{10} \text{ cm}^{-3}$  indicates a transition between different limiting processes. At low injection the surface recombination is limited by the minority carriers (electrons) concentration, while at higher injection level majority carrier (holes) concentration is the limiting element.

Similar to the  $n$ -type sample (Fig. 2), the  $FF$  of a solar cell made on this substrate would be affected by the transition between SRH at low and high injections, as this transition happens in the voltage range between  $V_{MPP}$  and  $V_{oc}$ . Influence of the recombination processes on the  $FF$  was also reported by others [39,40].

Comparison between  $m$  of the  $p$ -type [Fig. 4(b)] and  $n$ -type [Fig. 2(b)] substrates is informative. As mentioned before, the peak in  $m(\Delta n)$  curve at low injection level is due to the transition from electron-limited to hole-limited surface recombination. However, when using an  $n$ -type substrate, the surface recombination is limited only by the hole lifetime (as the electrons' lifetime is significantly lower than that of the holes and due to the fact that no inversion layer is formed by the positive interface charge). As there is no transition between limiting processes when using a positive fixed charge on an  $n$ -type substrate,  $m$  behaves similarly to Fig. 1(b). The peak at high  $\Delta n$  is due to a transition from low-injection SRH to high-injection SRH to Auger recombination. Due to the relatively low background doping of the  $n$ -type  $1.7 \Omega \text{ cm}$  substrate ( $N_D = 2.8 \times 10^{15} \text{ cm}^{-3}$ ), this effect starts to dominate at a lower  $\Delta n$  than in the  $p$ -type  $1 \Omega \text{ cm}$  substrate.

#### 4.3. Influence of bulk doping density and fixed charge on the transition voltage

In this section the impact of  $N$  and  $Q_f$  on the transition range (i.e., the range of  $V_{oci}$  or  $\Delta n$  over which the transition occurs) is studied using the model of Section 2. The modelled parameters are summarized in Table 3.

Fig. 5(a) presents the impact of a positive  $Q_f$  on  $m$  of an  $n$ -type substrate. For these simulations,  $N_D$  of  $3 \times 10^{15} \text{ cm}^{-3}$  was used and all other parameters were kept identical. As can be clearly seen,  $m$  is independent of  $Q_f$  as all the simulated  $Q_f$  introduce an accumulation layer beneath the surface. Under this condition, no transition from electron-limited to hole-limited surface recombination occurs. Hence, the only noticed peak is at high  $\Delta n$  due to the transition from SRH to Auger.

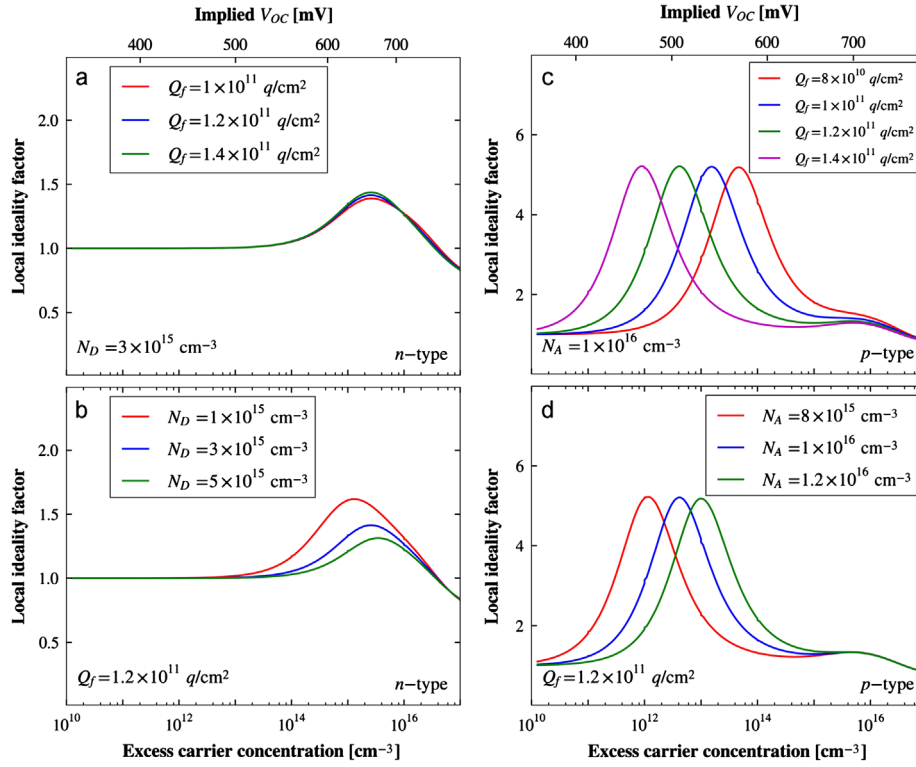
Fig. 5(b) presents the influence of  $N_D$  on  $m$ . Similar to Fig. 5(a), no peak is observed at low and medium  $\Delta n$ . The peak observed at  $\Delta n > 1 \times 10^{14} \text{ cm}^{-3}$  is due to the SRH–Auger transition. Both the starting point of this peak as well as its peak value are influenced by  $N_D$  because  $N_D$  dictates the  $\Delta n$  range over which SRH

**Table 3**

Parameters used for the simulations of Fig. 5.

Parameter	Fig. 5(a)	Fig. 5(b)	Fig. 5(c)	Fig. 5(d)
$N [\text{cm}^{-3}]$	$3 \times 10^{15}$	Vary	$1 \times 10^{16}$	Vary
$D_{it} [\text{cm}^{-2} \text{ eV}^{-1}]$	$6 \times 10^{11}$	$6 \times 10^{11}$	$6 \times 10^{11}$	$6 \times 10^{11}$
$\sigma_n/\sigma_p$	$1 \times 10^4$	$1 \times 10^4$	$1 \times 10^4$	$1 \times 10^4$
$Q_f [\text{q/cm}^2]$	Vary	$+1.2 \times 10^{11}$	Vary	$+1.2 \times 10^{11}$





**Fig. 5.** Simulated local ideality factor as a function of excess carrier concentration of different fixed positive charge densities within the dielectric layer [(a) *n*-type and (c) *p*-type] and for different bulk doping densities [(b) *n*-type and (d) *p*-type].

recombination transitions from low to high injection and  $m$  increases from 1 to 2.

Fig. 5(c) shows the impact of a positive  $Q_f$  on  $m$ , this time for a *p*-type substrate. As can be seen, an increase in charge shifts the peak to lower  $\Delta n$ . A higher  $Q_f$  attracts more electrons to the surface and therefore enhances the development of an inversion layer beneath the silicon-dielectric interface (see Appendix B, Fig. B2). Hence, a higher  $Q_f$  is beneficial, not only to reduce  $S_{eff}$  (by reducing  $p_s$ ), but also to shift the transition range to voltages below  $V_{MPP}$ . The peak at higher  $\Delta n$  ( $> 10^{15} \text{ cm}^{-3}$ ) can be observed for some of the samples. The formation of this peak requires a transition of SRH recombination from low to high injection before the transition from SRH to Auger starts. The peak is less pronounced for samples whose dominant recombination mechanism switches from SRH to Auger when  $m$  is higher than 2 (i.e. for cases where the transition from SRH to Auger starts before the first transition has been ended).

Fig. 5(d) presents  $m(\Delta n)$  for a variety of  $N_A$ . An increase in  $N_A$  shifts the lower peak to higher  $\Delta n$ , as the transition point between depletion and inversion conditions is shifted to higher  $\Delta n$  (see Fig. B3). A higher concentration of electrons (minority carriers) is needed to form an inversion layer when  $N_A$  is higher.

## 5. Conclusions

A contactless method to evaluate recombination processes within silicon wafers, based on  $m$  extracted from PC or PL measurements of the effective lifetime, was presented. The resulting  $m(\Delta n)$  and  $m(V_{oc})$  plots provide information that is not immediately apparent from the standard analysis of  $\tau_{eff}(\Delta n)$ , such as range of  $\Delta n$  that is dominated by a single recombination mechanism, or that constitutes a transition from one dominant mechanism to another.

The main advantage of using PC or PL to determine  $m$  is that they can be performed on wafers that do not have metal contacts

or even a *p*-*n* junction. The local ideality factor is therefore unaffected by the shunting or series resistance related to metal contacts, which frequently prevent an assessment of recombination processes at low and high voltages in solar cells. By studying  $m$  before and after the formation of metal contacts, one can evaluate how they influence the dominant recombination mechanisms. One can also assess how the various recombination processes influence the FF of the resulting solar cell.

Future work will focus on the expansion of the proposed analysis to be used to determine the density of fixed charge in dielectric layers and the capture cross sections of the dominant interface defects.

## Acknowledgement

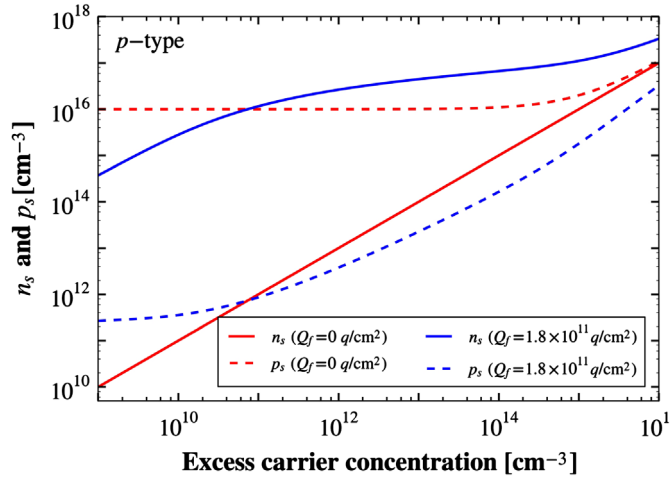
The Solar Energy Research Institute of Singapore (SERIS) is sponsored by the National University of Singapore (NUS) and the Singapore's National Research Foundation (NRF) through the Singapore Economic Development Board (EDB). The funding for this research was received under NRF, Grant NRF-2011EWT-CERP001-015.

## Appendix A. On the definition of the local ideality factor

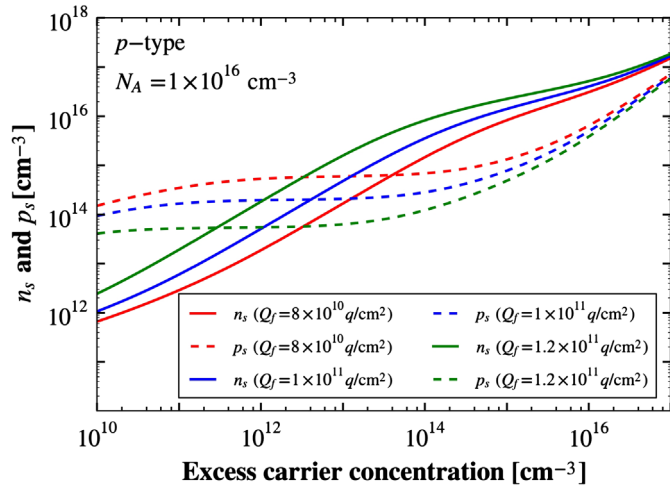
For a solar cell,  $m$  is determined from its current-voltage relationship ( $I$ - $V$ ) [1]:

$$I \propto \exp\left(\frac{qV}{kTm}\right). \quad (\text{A1})$$

If  $V$  were constant at all parts of the cell and equal to  $V_{oc}$ , that is, assuming that the cell is not affected by 2D variations or series



**Fig. B1.** Electron and hole surface concentration as a function of excess carrier concentration with dielectric charge (blue lines) and without dielectric charge (red lines).



**Fig. B2.** Electron and hole surface concentration as a function of excess carrier concentration of different dielectric charges.

resistance, then

$$I \propto \exp\left(\frac{q V_{oc}}{kT} \frac{i}{m}\right) = \left(\frac{n \times p}{n_i^2}\right)^{1/m} \quad (\text{A2})$$

where Eq. (A2) makes use of Eq. (3).

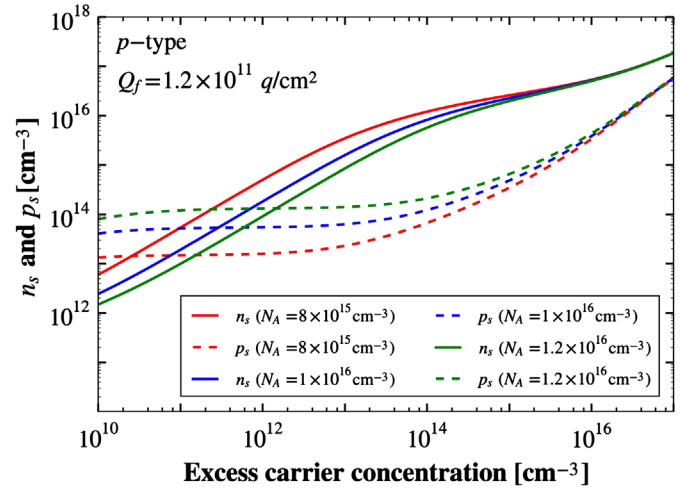
Under the conditions where  $I$  is proportional to  $U$ , such as negligible shunt resistance, Eq. (A2) can be written as:

$$U \propto (n \times p)^{1/m} \quad (\text{A3})$$

as written in Eq. (1).

## Appendix B. Surface concentrations

Fig. B1 presents  $n_s$  and  $p_s$  as a function of  $\Delta n$  for the cases where  $Q_f$  is zero [Fig. 3(a) and (b)] and where  $Q_f = 1.8 \times 10^{11} \text{ q/cm}^2$  [Fig. 3 (c) and (d)]. As clearly seen, for  $\Delta n < 8 \times 10^{11} \text{ cm}^{-3}$  the ratio  $n_s/p_s$  of the second case is smaller than the ratio  $p_s/n_s$  of the first case, resulting with an enhanced surface recombination. However, at  $\Delta n > 8 \times 10^{11} \text{ cm}^{-3}$  an opposite relationship is observed. Note that for the second case, the layer beneath the surface is under an inversion for the entire  $\Delta n$  range.



**Fig. B3.** Electron and hole surface concentration as a function of excess carrier concentration of different bulk doping densities.

Fig. B2 shows  $n_s$  and  $p_s$  of Fig. 5(c) as a function of  $\Delta n$ . The transition point between depletion and inversion is shifted to lower  $\Delta n$ , when  $Q_f$  is increased.

The surface carrier concentrations  $n_s$  and  $p_s$  of Fig. 5(d) are presented in Fig. B3 as a function of  $\Delta n$ . The transition point between depletion and inversion is shifted to higher  $\Delta n$ , when  $N_A$  is increased.

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