

# III–V nanowire photovoltaics: Review of design for high efficiency

**Review@RRL**

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Received 12 March 2013, revised 4 April 2013, accepted 4 April 2013

Published online 11 April 2013

**Keywords** nanowires, photovoltaics, solar cells, III–V semiconductors

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This article reviews recent developments in nanowire-based photovoltaics (PV) with an emphasis on III–V semiconductors including growth mechanisms, device fabrication and performance results. We first review the available nanowire growth methods followed by control of nanowire growth direction and crystal structure. Important device issues are reviewed, including optical absorption, carrier collection, strain

accommodation, design for high efficiency, tunnel junctions, Ohmic contact formation, passivation and doping. Performance data of III–V nanowire cells and the primary challenges in nanowire PV are summarized. Many of the issues discussed here are also applicable to other nanowire devices such as photodetectors.

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**1 Introduction** Global energy demand is predicted to exceed 30 TW by 2050, about double the present value [1]. This predicament, known as the TeraWatt challenge, and concern over anthropogenic climate change, resource availability (“peak energy”) and energy security have all increased the interest in renewable energy (hydroelectric, wind, solar, geothermal and biomass) [2]. One of the most promising renewable energy technologies is solar photovoltaics (PV) which convert sunlight directly into electrical energy. Although the resource potential of PV is enormous, it currently constitutes a small fraction (<1%) of global energy supply [2]. One of the main factors limiting the widespread adoption of PV is its low energy density, low efficiency, and relatively high cost in comparison to other energy technologies. This means that current PV technology can only compete in areas of high insolation or by government incentives such as feed-in tariff programs.

One of the most relevant metrics for PV devices is the power conversion efficiency (PCE); that is, the efficiency with which sunlight can be converted to electrical power. A significant effort in PV research today aims to improve PCE while simultaneously reducing (or, at least, not significantly impacting) production cost. The vast majority of

today’s PV market consists of first generation technology defined as single p–n junctions formed in single crystal Si substrates or, more commonly now, multi-crystalline Si substrates. Second generation PV, also known as thin film PV, aims to reduce cost by utilizing less material, and depositing on inexpensive substrates such as metal foil, glass and plastic. These include amorphous Si, cadmium telluride (CdTe) and copper indium gallium diselenide (CIGS), all of lower material quality and PCE compared to first generation cells. To overcome these limitations, third generation solar cells [3] are currently being pursued that aim to overcome the Shockley–Queisser efficiency limit [4] of ~30% (1 Sun) for a single p–n junction, while maintaining or reducing cost. The highest efficiencies in this category are achieved by III–V cells that employ highly perfect thin films of Group III–V compound semiconductor materials in a multi-junction device structure, which consists of tandem sub-cells connected electrically in series [5]. By absorbing a wider wavelength range within a number of sub-cells, these multi-junction solar cells have achieved the highest efficiencies of any PV technology with a current record of 44% under concentrated sunlight (942 Suns) [6]. However, the materials and fabrication for III–V multi-

junction cells are very expensive ( $> \$10$  per  $\text{cm}^2$  of cell area). Therefore, this technology is deployed terrestrially in concentrator systems which typically reduce the cell area required by a factor of several hundred, replacing the high cost of the cell with the low cost of focusing optics (lenses or mirrors) [7].

To overcome the limitations of current III–V multi-junction cells, III–V nanowire-based solar cells are being aggressively pursued by numerous groups. Nanowire-based solar cells replace the traditional optical absorber (planar substrate or thin film) with a “forest” of one-dimensional rods, wires, or pillars having lengths typically on the order of microns and diameters on the order of tens to hundreds of nanometers. Throughout this review, we refer to these structures as nanowires, although the terms “nanorods”, “nanopillars” and “whiskers” have also been used in the literature. In subsequent sections, we will highlight the advantages of nanowire-based structures compared to their planar counterparts to improve PCE, as well as opportunities for significant cost reduction. Issues on control of nanowire parameters are also examined. We focus on the “bottom-up” grown nanowires rather than the top-down methods involving etching since the former method affords greater control and possibilities in device design. Several notable review articles on nanowire PV already exist [8–12]. However, this is a rapidly advancing field and recent developments merit further review.

**2 Nanowire growth methods** Three dominant methods exist for III–V nanowire growth: (i) catalyst-assisted growth by molecular beam epitaxy (MBE), metalorganic vapour phase epitaxy (MOVPE), or their variants; (ii) self-catalyzed nanowire growth by MBE, MOVPE, or their variants; and (iii) selective-area growth by MOVPE. Most of the nanowire growth techniques have employed MBE or MOVPE, although their variants such as chemical beam epitaxy (CBE) are also certainly possible. Other

techniques, such as laser ablation pioneered by the Lieber group [13], will not be described here.

**2.1 Catalyst-assisted growth** The catalyst-assisted nanowire growth method (Fig. 1a), employing a foreign metal particle, is the oldest and most studied of the nanowire growth techniques. A foreign metal seed particle (typically Au; often called the catalyst) acts as a collector for the growth species in an MBE or MOVPE system or their derivatives. Growth species collect in the metal droplet (seed particle) by direct impingement, by diffusion after impingement on the surrounding substrate surface (the collection area), or by diffusion after impingement on the nanowire sidewall surfaces (following initial formation of the nanowire). Supersaturation of the seed particle by the growth species results in the nucleation and epitaxial growth of nanowire material under each seed particle.

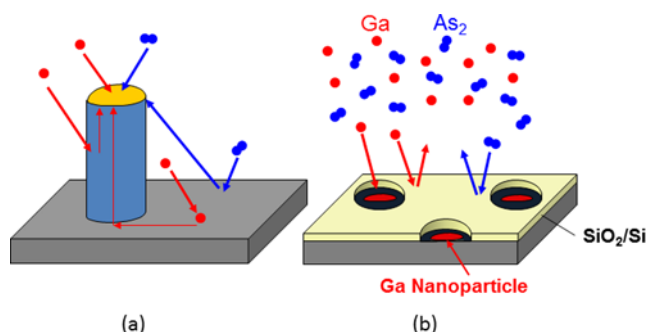
This growth mechanism, also known as the vapour-liquid-solid (VLS) method, was first described for Si whiskers by Wagner and Ellis at Bell Laboratories in 1964 [14]. Givargizov later provided a more detailed study of the VLS growth mechanism in Si whiskers by CVD [15]. The VLS method has since been used to grow almost all III–V compound semiconductors including phosphides, arsenides, antimonides and even some ternary alloy compounds. Various methods exist for seed particle formation including thermal evaporation, colloids, or aerosols [16, 17]. Patterned growth can be achieved by standard lithography procedures, such as electron beam lithography (EBL) [18] or nanoimprint lithography (NIL) [19] to produce an Au dot array.

Axial and core-shell (radial, coaxial) heterostructures or dopant profiles, that are essential for most devices, are achieved by switching of growth species during growth. It is believed that group V solubility in the Au droplet is much smaller (on the order of a few at%) compared to group III material, which has implications in the control of heterostructures (discussed further in Section 14). In MBE, the nanowire morphology and the type of heterostructure grown (radial or axial) are determined by the diffusivity of



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**Figure 1** (a) Au-assisted nanowire growth, showing Ga (red) and  $\text{As}_2$  (blue) adatoms impinging, diffusing or desorbing toward the Au particle (yellow). (b) Initial stages of Ga-assisted growth of GaAs nanowires, showing Ga droplet formation in  $\text{SiO}_2$  holes on a Si substrate.

the growth species which is dictated by growth conditions (temperature, impingement rate, V/III flux ratio) [20–25]. The dominant growth mechanism is believed to be group III diffusion from the nanowire sidewall surfaces [25] with long adatom diffusion lengths favouring axial VLS growth. Conversely, short diffusion lengths favour radial growth by vapour–solid (VS) deposition on the nanowire sidewalls. In MBE, diffusion lengths can be kinetically controlled by growth conditions. In MOVPE, different decomposition rates of the metalorganic precursors on the Au droplet versus the nanowire sidewalls can also influence the nanowire morphology [26]. In addition, the density of Au particles [27], shadowing effects from neighboring nanowires in the case of MBE [28], synergetic effects due to secondary adsorption [29], and competition among neighboring nanowires due to overlapping collection areas may further complicate nanowire growth.

**2.2 Self-assisted growth** The self-catalyzed or self-assisted growth method (Fig. 1b), performed by MBE or MOVPE (or their variants), is a VLS method that uses a seed particle which is a constituent of the nanowire itself [30–36]; for example, Ga is used as the seed particle for GaAs nanowire growth, or In seed for the growth of InAs or InP nanowires. A review is provided in Ref. [34]. In this process, the substrate surface is covered in an oxide (e.g., a native, thermally grown, or deposited oxide). In MBE, for example, Ga adatoms impinge and form droplets at holes or defects in the native oxide or at intentionally patterned holes (e.g., holes patterned by EBL in an SiO<sub>x</sub> oxide [36]).

Due to the existence of a group III droplet at the nanowire tip, this form of growth is group V limited. Krogstrup et al. [37] have argued that diffusion of Ga and As<sub>x</sub> on SiO<sub>x</sub>, and diffusion of As<sub>x</sub> on GaAs nanowire sidewalls, are negligible. Instead, the pathway of group V species (As<sub>x</sub>) to the droplet is by desorption from the oxide surface followed by secondary adsorption at the droplet [38, 39]. A similar process may exist for Ga adatoms, in addition to the pathway of diffusion from the nanowire sidewalls.

The self-assisted growth method is primarily motivated by the desire to eliminate any foreign metal catalyst, which may incorporate into and contaminate the nanowires or substrate during growth, leading to deep level defects [40]. Prior studies have shown a reduction in carrier lifetime in Au-catalyzed as compared to Ga-catalyzed GaAs nanowires [41], although recent results contradict this and hence the issue requires further investigation to reach a coherent conclusion [42].

Possibly another benefit of the self-assisted growth method is the lack of parasitic film growth on the oxide surface between the nanowires. This is always a consideration in PV devices, where the thin film that grows simultaneously between the nanowires may contribute to or even dominate the device performance.

Another motivation in the self-assisted growth method is the ability to terminate preferential axial growth by con-

suming the seed particle with a growth interruption. This technique could be used to facilitate the fabrication of core–shell structures.

The ability to position the group III droplets via holes patterned in the oxide film is beneficial for tuning the spacing between nanowires to maximize light trapping effects (see Section 5). Finally, the self-assisted process is naturally compatible with III–V integration on Si, which could lead to lower cost PV devices (see Section 11).

**2.3 SA-MOVPE** The selective area MOVPE (SA-MOVPE) method uses patterned holes in an oxide mask to define the location of nanowire growth, similar to the self-assisted growth method but without the need for a metal seed particle [43–45]. In this approach, growth is driven by surface energy anisotropy and preferred growth of certain crystal facets. A review is provided in Ref. [45].

**3 Nanowire crystal structure** III–V materials (except nitrides) have the zincblende crystal structure in bulk form. Nanowires, on the other hand, typically exhibit polytypism; that is, the crystal structure contains stacking faults (alternating wurtzite and zincblende segments along the nanowire axis), or exhibits zincblende twinning. Hiruma et al. [46–49] reported the first nanometer-scale (10–200 nm in diameter) III–V nanowires grown by Au-assisted VLS and provided valuable information on their crystal structure including transitions from zincblende to wurtzite along the nanowire axis. A review of nanowire crystal structure is provided in Ref. [50]. The wurtzite structure is believed to have a larger bandgap compared to zincblende, and zincblende–wurtzite homostructures are believed to exhibit a type II band alignment [51–53]. The elimination of stacking faults is important since the bandgap fluctuations associated with polytypism are expected to produce carrier scattering (lower carrier mobility), carrier trapping [53, 54] and possible non-radiative recombination.

The prevalence of the wurtzite crystal phase in Au-assisted nanowires was convincingly explained by Glas et al. [55] with subsequent elaborations [56–61], as being caused by preferred nucleation at the VLS triple phase line. In this model, the zincblende phase is favoured at small radii and low supersaturation (for the latter, in the initial stage of growth, or in the final stage of growth upon cool down).

Nearly stacking-fault-free wurtzite GaAs nanowires can be achieved if growth conditions are employed that optimize the droplet chemical potential, surface energies and contact angles such that wurtzite stacking is favoured. Growth conditions that achieve this goal have been reported for MBE-grown GaAs nanowires by employing sufficiently low group III impingement rate and high V/III flux ratio [62]. Similar optimization of growth conditions can reduce or eliminate polytypism in nanowires grown by other techniques such as Au-assisted MOVPE [63, 64] or SA-MOVPE [65, 66].

The zincblende phase is usually favoured in Ga-assisted nanowire growth due to the low Ga surface energy, shape of the Ga droplet, and its wetting of the nanowire sidewalls [61, 67–70]. Recently, the shape of the catalyst has been used to universally explain the polytypism in catalyst-assisted nanowires [37]. The occurrence of other polytypes (e.g., 4H) has been described using *ab initio* [71] and combinatorial models [72].

**4 Nanowire growth direction** III–V nanowires typically grow in the low energy (111)B direction for zincblende or the (0001) *c*-axis direction for wurtzite as reported early by Hiruma et al. [46–49]. Compound semiconductor (111)B substrates result in III–V nanowires that are orthogonal to the substrate surface [73]. Orthogonal nanowires are preferred to facilitate device processing such as electrical contacting at the tips of the nanowires and to take advantage of optimum light trapping effects (see Section 5). III–V nanowires grown on elemental (111) semiconductor substrates such as (111) Si can result in growth along equivalent  $\langle 111 \rangle$  directions [73]. III–V nanowires grown on the technologically important Si (100) substrates similarly result in tilted nanowires. However, the preferred growth direction can depend on a wide variety of growth conditions, substrate preparation and pre-nucleation conditions [74–80]. In particular, a 100% yield of vertical nanowires was achieved in Ga-assisted MBE growth of GaAs nanowires on Si (111) substrates by suppression of multiple order twinning at high V/III ratio [81, 82].

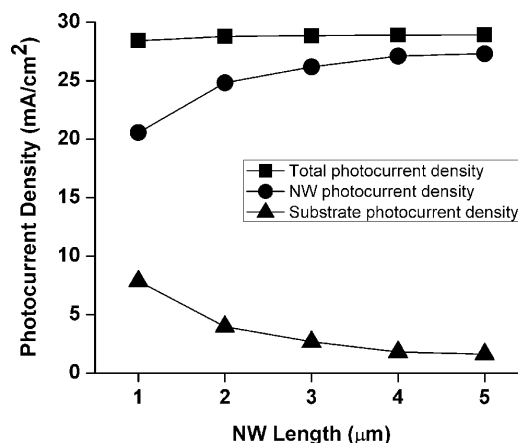
Singular growth conditions that lead simultaneously to optimum nanowire morphology (e.g., non-tapered nanowires), stacking fault-free structure and vertical growth direction may not exist (the optimum conditions for morphology may be different than the optimum conditions for crystal structure, for example). In this regard, a change of conditions during growth may be necessary such as the two-temperature method used to optimize the yield of vertical nanowires in the initial stage of growth and nanowire structure later in the growth [83]. In situ HCl etching can also be used to control nanowire morphology independent of growth conditions [84].

**5 Optical absorption** An important loss mechanism in solar cells is light reflection from the front surface. In conventional cells, this reflection loss can be reduced by employing dielectric anti-reflection coatings or light trapping schemes such as inverted pyramid structures. An array of nanowires can also act as an effective anti-reflection layer with superior wavelength-, polarization-, and angle-dependent properties compared to planar, thin film coatings [85–87].

The anti-reflection properties of nanowires have been well studied both experimentally and theoretically [88–100]. Nanowire dimensions and separation are typically on the order of the wavelength of light where interference effects are dominant; therefore, the reflectance, absorptance and transmittance of nanowire arrays must be determined

using wave optics. Li et al. [99] using a full wave finite element method, and Lin et al. [100] using a transfer matrix method, both found that a nanowire diameter near 540 nm and spacing between nanowires (periodicity) near 600 nm optimized the absorptance of the AM 1.5G solar spectrum, thereby imparting a higher PCE in a Si nanowire-based solar cell compared to a Si film of equivalent thickness. The enhanced absorptance was attributed to a field concentration (waveguiding) in the nanowire. Therefore, the optical absorption is greater than that expected from the Lambert–Beer law. Similar enhancements have been reported for Ge [101], GaP [102, 103], InP [103–106] and InAs nanowires [106]. Hu et al. [107, 108] found that a diameter of 180 nm and period (spacing between nanowires) of about 350 nm yielded the highest short-circuit current in a GaAs-on-GaAs or GaAs-on-Si nanowire solar cell. Similar results have been obtained by other groups [105, 106, 109, 110].

Besides tuning the diameter and period of nanowire arrays, absorption and the resulting photocurrent density from a nanowire PV device can also be optimized by increasing the length of nanowires. Figure 2 shows the simulated contribution to the total photocurrent from the nanowires and the substrate in a GaAs nanowire PV device for a nanowire diameter of 180 nm and a period of 350 nm [108]. As the nanowire length increases, the contribution from the nanowire to the total photocurrent increases, while the GaAs substrate contribution correspondingly decreases. The photocurrent from the nanowires saturates for lengths exceeding  $\sim 5 \mu\text{m}$ . The maximum photocurrent of  $27.3 \text{ mA/cm}^2$ , obtained at  $5 \mu\text{m}$  length, is close to the ideal photocurrent density of  $29.9 \text{ mA/cm}^2$  (calculated by integrating the AM1.5G spectrum above the GaAs bandgap). At the optimum nanowire diameter, spacing and length (180 nm, 350 nm, and  $5 \mu\text{m}$ , respectively, for GaAs), we can expect that nanowire growth will be dictated by the



**Figure 2** Theoretical contributions from a GaAs nanowire array and the GaAs substrate to the total photocurrent density in a PV device versus nanowire length obtained at a nanowire diameter of 180 nm and period (spacing) of 350 nm. Reprinted with permission from Ref. [108].



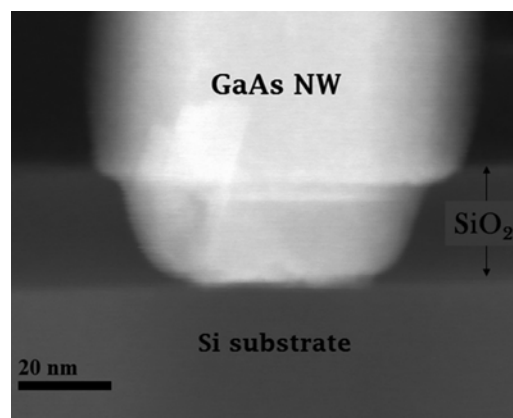
shadowing, secondary adsorption and synergetic effects described earlier.

Some interesting work has also been performed on the optical absorption in single nanowires. Resonant absorption has been observed in single nanowires lying horizontally on a substrate [111, 112]. These resonances can be enhanced by engineering the nanowire geometry or adding plasmonic effects with metal nanoparticles [113, 114]. Resonant absorption has also been reported in vertically standing nanowires where a field concentration of 8 was achieved. Consequently, the absorption cross-section of a vertical nanowire is greater than its physical cross-section [115].

**6 Carrier collection** The advantage of a radial (core-shell) p–n junction nanowire geometry for PV applications was quantitatively assessed in the seminal paper by Kayes et al. [116] and subsequently elaborated by LaPierre [117, 118]. The main advantage of a radial nanowire solar cell is the orthogonal direction of light absorption and carrier collection. Optical absorption is dependent on the nanowire length (as described in Fig. 2), while carrier collection occurs radially along the built-in field of the core-shell p–n junction. Since the nanowire diameter is much less than the carrier diffusion length, internal quantum efficiency (IQE) can approach 100% (i.e., photogenerated carriers are separated and collected at their respective junction before recombination occurs). This means that the efficiency of a GaAs nanowire solar cell can approach the Shockley–Queisser efficiency limit if low surface recombination and certain contacting schemes are employed (see Sections 8 and 9) [117].

**7 Strain accommodation and III–V nanowires on Si** In planar devices, lattice mismatch strain will result in planar defects (dislocations) if a certain critical thickness is exceeded. The critical thickness in both core-shell [119–125] and axial [126–130] nanowire heterostructures is larger than their thin film counterparts because strain can be relaxed elastically at the nanowire free surface. For a given strain, no dislocations are formed below a certain critical thickness or nanowire radius. This imparts a greater freedom for bandgap engineering in nanowires as compared to thin films. This versatility can be used to optimize PCE in a multi-junction PV cell. Conversely, nanowires which exceed the critical thickness exhibit either dislocations, are kinked, or do not grow at all [126]. A review on dislocations in nanowires is provided in Ref. [131].

One of the primary advantages of the elastic strain relief in nanowires is the ability to integrate III–V nanowires with Si substrates for lower cost PV devices (see Section 11). As discussed earlier, the nanowire diameter required for optimum absorption in an array is 180 nm for GaAs. This diameter can be achieved by significant lateral (radial) overgrowth while maintaining a small contact area to the substrate to avoid dislocations. An example of this is shown in Fig. 3.



**Figure 3** Cross-sectional transmission electron microscopy (TEM) image at the base of a Ga-assisted GaAs nanowire on a patterned SiO<sub>2</sub>/Si substrate. The contact area to the substrate is restricted by the oxide hole. Radial growth results in a nanowire that is thicker than its contact area to the Si substrate.

**8 Ohmic contact formation** Another challenge in nanowire devices may be the realization of low electrical contact resistance. Several papers have discussed the theory of electrical contacts to thin nanowires [132–135]. A review is provided in Ref. [136]. The well-known thermionic field emission theory of contacts seems to apply well to relatively thick nanowires down to diameters of ~140 nm [137]. Metal contacts on the sidewalls of a nanowire can result in carrier depletion due to Fermi level pinning at the nanowire–contact interface. Consequently, the optimum PCE in a PV device is achieved by contacting the top of nanowires instead [117]. A method of planarizing a nanowire array and contacting the top of nanowires was presented in Ref. [138]. Specific contact resistances of III–V/metal contacts, including some III–V/transparent conducting oxide (TCO) contacts [139], are on the order of 10  $\mu\Omega\text{ cm}^2$  for thin films. This is achieved using well-established procedures that include heavy doping under the contact area and thermal annealing [140]. Heurlin et al. [141], for example, used heavy doping to facilitate Ohmic contacting to an InP nanowire. Further information is provided in the section on doping (Section 10). The application of this practice to nanowires can be problematic because the diffusion of the contact material upon annealing can be on the order of 100 nm. Hence, the nanowires must be designed to accommodate this material diffusion and avoid contamination of the p–n junction. Otherwise, diffusion barriers or milder annealing processes may be necessary. Fermi level pinning in the conduction band in InAs can also be employed for Ohmic contact formation [142–144].

**9 Passivation** Thin nanowires require surface passivation to decrease the density of surface (trap) states on the nanowire sidewalls which lead to detrimental carrier depletion, lower charge carrier mobility, Schottky contacts and carrier recombination. A complete analytical model of

surface depletion was recently presented [145]. An upper limit of  $10^{12} \text{ cm}^{-2}$  in surface defect density and  $10^3 \text{ cm/s}$  in surface recombination velocity was calculated to achieve high PCE in a GaAs nanowire PV device [117]. Passivation may be less important in InP, where the surface recombination rate is lower compared to GaAs [146]. Passivation also becomes less important in thick ( $>150 \text{ nm}$  diameter) nanowires [117].

Chemical passivation using chalcogenides, especially sulfur, is one of the most investigated methods for passivation of III–V materials [147], but unfortunately the long term stability of sulfur passivation is inadequate [148, 149]. While sulfur may be used to improve Ohmic contact formation [143], it is doubtful for stable sidewall surface passivation in a real PV device. Instead, the encapsulation of nanowires in a large bandgap passivating shell (“window” layer) is proven to be successful in reducing surface trap density. For example, AlGaAs [150–153] and AlInP [154] are reported as effective passivating shells for GaAs. An InGaP passivating shell was shown to improve the performance of a GaAs PV device [155]. Other results are reported in the section on performance data (Section 15). For electrical contacting, a passivating shell can be locally removed mechanically [154] or by plasma or wet etching technology using published recipes [156].

**10 Doping** Yet another challenge in nanowire devices is the realization of doping, which is a prerequisite for most optoelectronic devices including PV. High doping is required for the formation of tunnel junctions in multi-junction cells, and for the formation of Ohmic contacts (except for InAs and InN, where a surface accumulation layer occurs due to Fermi level pinning above the conduction band). VLS-mediated growth can result in doping behavior quite different from the thin film case. For example, Si, which is a common n-type dopant for (100) III–V films in MBE, can be p-type on the (111) growth plane of nanowires [157]. Furthermore, the doping incorporation can be different between the VLS-mediated axial growth and the vapour-solid growth on the sidewalls, resulting in inhomogeneous dopant distribution [158, 159] or negligible doping altogether [160]. Diffusion of dopants during growth may also be important [160]. Doping can even affect the nucleation mechanism of nanowires and influence crystal structure [161, 162]. It is commonly assumed that dopant atoms affect the adatom migration on nanowire sidewalls resulting in a tapered morphology [158]. Recently, another model was proposed whereby dopants cause nucleation-limited growth [163]. Finally, doping can affect the composition of ternary III–V alloys [164]. A review on nanowire doping is provided in Ref. [165].

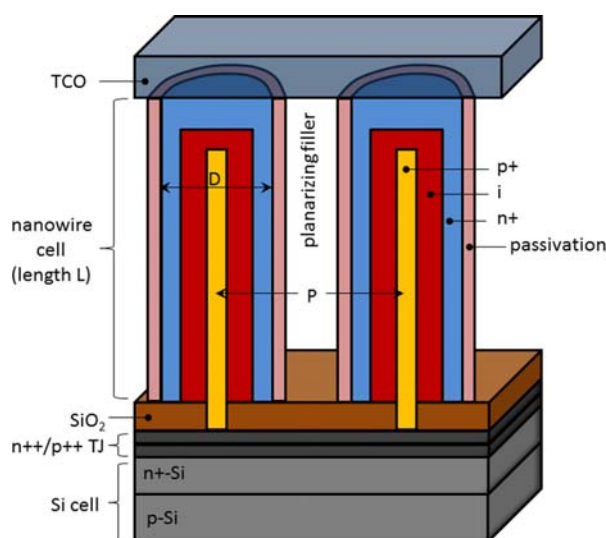
The tools used to evaluate doping in nanowires are becoming increasingly sophisticated. Electrical current-voltage measurements in a four-point probe configuration or in a nanowire-based FET device are the most common method of assessing doping concentration in nanowires. Optical methods for dopant determination include photo-

luminescence, Raman spectroscopy, and photoconductivity. Scanning probe methods include scanning photocurrent microscopy, Kelvin probe force microscopy and photo-emission electron microscopy. Lately, secondary ion mass spectrometry was used on a planarized array of nanowires to assess the depth profile of doping [166], and the Hall effect was recently employed on a single InAs nanowire [167, 168]. Perhaps the most sophisticated tool is atom probe tomography, which provides the three-dimensional distribution of dopant atoms [169]. A discussion of various dopant measurement techniques is provided in Ref. [166].

**11 Design for high efficiency PV** Most high efficiency planar multi-junction cells use Ge as a substrate, which is a serious drawback due to its expense and scarcity. Several publications have attempted to address this problem by the rational design of alternative nanowire-based PV devices using electrical and optical simulation software [117, 118, 170–172]. For example, the ability to grow nanowires on Si substrates has inspired the design of a two-junction nanowire-on-Si solar cell [118, 173] shown in Fig. 4. The optimum efficiency for a two-junction cell consists of a bottom cell comprised of Si and a top cell with bandgap near 1.7 eV. The limiting efficiency for this cell was calculated to be 33.8% (one Sun illumination) or 42.3% under concentrated light (500 Suns) [118].

In the aforementioned design, a direct bandgap of 1.7 eV for the top nanowire cell can be achieved in a number of compound semiconductor material systems such as  $\text{GaAs}_{0.77}\text{P}_{0.23}$ ,  $\text{Al}_{0.19}\text{Ga}_{0.81}\text{As}$ ,  $\text{In}_{0.65}\text{Ga}_{0.35}\text{P}$ ,  $\text{Al}_{0.13}\text{In}_{0.87}\text{P}$  and  $\text{Al}_{0.57}\text{In}_{0.43}\text{As}$  with lattice mismatch to Si of 3.2, 4.1, 5.4, 7.1 and 7.4%, respectively, based on the data by Vurgaftman et al. [174]. Another interesting possibility is  $\text{In}_x\text{Ga}_{1-x}\text{N}$  alloys which can span the solar spectrum. Of course, other ternary alloys may be of interest for multi-junction cells. The growth of some of these ternary compound semiconductor nanowires, most notably InGaP, AlGaAs and GaAsP, has already been demonstrated on Si or other substrates [175–181]. As discussed previously, the lattice mismatch between the nanowires and Si is not a concern provided the nanowire–substrate contact area is below the critical diameter for dislocations. Among the ternaries listed above, the critical thickness is estimated as 40 nm for the mismatch of 7.4% for  $\text{Al}_{0.57}\text{In}_{0.43}\text{As}$  [126]. One of the challenges in the fabrication of ternary alloy semiconductors is achieving a homogeneous composition throughout the nanowire due to the different diffusion lengths or incorporation pathways of the constituent elements in the VLS process [178].

The top contact in Fig. 4 is a transparent conducting oxide (TCO) such as indium tin oxide (ITO) deposited on top of the nanowires. The space between nanowires is filled with a polymer, spin-on glass or other planarizing agent to facilitate a planar top contact of low sheet resistance. Other contacting methods can be employed. For example, an insulating barrier such as  $\text{SiO}_x$  can be deposited conformally over the nanowires by chemical vapour depo-



**Figure 4** Cross-section through a proposed two-junction nanowire-on-Si solar cell (not to scale). An axial p–i–n structure, rather than the core–shell one presented here, may also be considered. Passivation of the 1.7 eV bandgap nanowires could be accomplished, for example, by a thin shell of InGaP. Nanowire length ( $L$ ), diameter ( $D$ ) and period ( $P$ ) are indicated.

sition. The top of the nanowires are subsequently exposed by a plasma or wet etching process to remove the oxide from the tip of the nanowires. Finally, the TCO can be deposited by atomic layer deposition, sputtering or other methods. The TCO material only makes contact to the top of the nanowires that are exposed through the insulating  $\text{SiO}_x$  layer.

**12 Current-matching** The highest efficiency in a series-connected multi-junction cell occurs when the current from each sub-cell is equal, which is the so-called current-matching condition. In thin film solar cells, current-matching is achieved by adjusting the thickness of the absorbing layer in each sub-cell. The diameter ( $D$ ), length ( $L$ ) and period ( $P$ ) in a nanowire array provide additional degrees of freedom to achieve current-matching in a nanowire cell [182]. The parameters ( $D$ ,  $L$ ,  $P$ ) needed for current-matching in a two-junction nanowire-on-Si cell were found to also produce low reflectance and high optical absorption, while the optimum nanowire bandgap was maintained at 1.7 eV [182].

**13 Tunnel junctions** The design of multi-junction PV requires tunnel junctions (TJ) for a low resistance series connection between sub-cells. Tunnel junctions within nanowires were demonstrated in Refs. [183–185]. Alternatively, a tunnel junction in Si was recently demonstrated [186], suitable for nanowire-on-Si cells.

**14 Heterostructures** Further improvement in PCE, beyond the nanowire-on-Si cell presented above, may be achieved by tandem p–n heterostructures along the axis of

a nanowire that are connected electrically in series by tunnel junctions. As discussed earlier, the freedom from lattice-matching in nanowires permits the optimum bandgaps for higher PCE than can be achieved in thin films. For example, a design for a triple junction nanowire solar cell was presented recently, consisting of two tandem junctions in the nanowire and a third junction in the Si substrate [187].

It is usually assumed from the bulk phase diagrams that group V species (e.g., As, P) have low solubility in Au, while group III species (e.g., In, Ga) have high concentrations in the Au [188]. Hence, group V species are easily depleted from the Au particle during the gas phase switching and abrupt interfaces are easier to achieve in heterostructures where the group III concentration remains constant while the group V species change. This makes InAs/InP and GaAs/GaP nanowires one of the most studied material systems in nanowires. In addition, the InAs/InP and GaAs/GaP nanowire heterostructures are one of the few systems where kinking can be avoided and straight nanowires can be grown due to the kinetics and thermodynamics of growth [189]. Conversely, due to the memory effect of group III species in the droplet, it is more difficult to achieve abrupt heterostructures that involve a change in group III species (e.g. InAs/GaAs, InP/GaAs, GaAs/AlAs, etc.). However, several growth techniques have been developed to achieve abrupt interfaces in the latter situation; for example, one can purge the droplet via a growth interruption [190].

**15 Performance data** The most relevant metric for the performance of a PV cell is the power conversion efficiency (PCE) given by

$$\eta = FF J_{sc} V_{oc} / I_{inc}, \quad (1)$$

where FF is the fill factor,  $J_{sc}$  is the short circuit current,  $V_{oc}$  is the open circuit voltage, and  $I_{inc}$  is the incident irradiance (usually  $100 \text{ mW/cm}^2$  for the simulated AM1.5G spectrum). Table 1 summarizes these performance metrics for previously published III–V nanowire-based cells [115, 141, 155, 191–209]. Within each material category, the results are ranked according to the PCE obtained. As can be seen, PCE has risen from  $\sim 1\%$  a few years ago to the 10% range today.

Historically, the material of greatest interest in nanowire PV has been either GaAs or InP, because their bandgaps are nearest to the theoretical peak efficiency for a single p–n junction and these materials can be alloyed to produce intermediate bandgaps (e.g., for multi-junction cells). Of course, other ternary alloys are also of interest for multi-junction cells.

With the exception of one report [141], all data in Table 1 is for a single p–n junction. A single nanowire cell with two InP p–n junctions connected in series with a tunnel junction was reported in Ref. [141]. Although not listed in Table 1, it should be mentioned that Lieber's group has done extensive work on Si nanowire solar cells, including

**Table 1** Summary of III–V nanowire solar cell performance.

type	substrate	growth details	nanowire (NW) geometry	array or single NW	$\eta^*$	FF	$J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}$ (V)	Ref.
GaAs	n-GaAs(111)B	MBE, Au-assisted	core-shell p–n	array	0.83% (2.6 Suns)	26.7% (2.6 Suns)	36.6 (2.6 Suns)	0.2 (2.6 Suns)	[191]
GaAs hybrid	n-GaAs(111)B	MBE, Au-assisted	n-GaAs NWs embedded in P3HT	array	1.04% (2.6 Suns)	N/A	N/A	N/A	[192]
GaAs hybrid	n-GaAs(111)B	SA-MOVPE	n-GaAs NWs embedded in P3HT	array	1.44%	43%	18.6	0.18	[193]
GaAs	p-GaAs(111)B	MBE, Au-assisted	n-type NW on p-type substrate	array	1.65%	25%	27.4	0.245	[194]
GaAs	p-GaAs(111)B	SA-MOVPE	core-shell p–n	array	2.54%	37%	17.6	0.39	[195]
GaAs	Si/SiO <sub>2</sub>	solid-source CVD, Au-assisted	Schottky contact	single	2.8%	42%	11	0.6	[196]
GaAs	GaAs(111)B	MBE, self-assisted	core-shell p–i–n	single	4.5%	65%	<0.1	<1	[197]
GaAs	n-GaAs(111)B	SA-MOVPE	core-shell p–n with <b>InGaP passivation</b>	array	6.63%	62%	24.3	0.44	[155]
GaAs hybrid	n-GaAs(100)	etched	n-GaAs NWs embedded in PEDOT:PSS	array	9.2%	70%	20.2	0.65	[198]
GaAs	p-Si(111)	MBE, self-catalyzed	core-shell p–i–n	single	40% (due to field concentration)	52%	180 (due to field concentration)	0.43	[115]
InAs	p-Si(111)	MOVPE, catalyst-free	n-type on p-type substrate	array	~1% (300K) 2.5% (110K) (2.86 mW/cm <sup>2</sup> )	~55% (2.86 mW/cm <sup>2</sup> )	~0.3 (2.86 mW/cm <sup>2</sup> )	~0.2 (2.86 mW/cm <sup>2</sup> )	[199]
InP hybrid	ITO-coated glass	MOCVD	n-InP NWs embedded in P3HT	array	0.02	44%	$2.2 \times 10^{-3}$	0.18	[200]
InP	Si(111)	MOVPE, Au-assisted	axial p–i–n with <b>tandem cell</b>	single	3.3%	48%	6.0	1.15	[141]
InP	p-InP(111)A	SA-MOVPE	core-shell p–n	array	3.37%	57%	13.7	0.43	[201]
InP	p-InP(111)B	MOVPE, Au-assisted	axial p–i–n	array	13.8%	72.4	24.6	0.779	[202]
InN	n-Si(111)	MBE, catalyst-free	axial p–i–n <b>CdS passivation</b>	array	0.68%	34%	14.4	0.14	[203]
GaN	n-Si(111)	CVD, Au-assisted	p-type on n-type Si substrate	array	2.73%	38%	7.6	0.95	[204]
InGaN	sapphire	MOCVD, Ni-catalyst	core-shell n-GaN/ i-In <sub>x</sub> Ga <sub>1-x</sub> N/ p-GaN	single	0.19%	56%	1.0	0.39	[205]
InGaAs	p-Si(111)	MOVPE, catalyst-free	n-type NWs on p-type Si substrate	array	2.4%	50%	12.9	0.37	[206]
InGaP	GaAs(111)B	MOVPE, Au-assisted	core-shell n-GaAs/InGaP/ p-GaAs	single	4.7%	52%	18.1	0.5	[207]
GaAsP	Si(100)	MOVPE, Au-assisted	core-shell p–n	array	N/A	N/A	0.03 (Ar laser @ 9.6 W/cm <sup>2</sup> )	0.11 (Ar laser @ 9.6 W/cm <sup>2</sup> )	[208]
GaAsP	p-Si(111)	MBE, self-assisted	core-shell p–i–n <b>InGaP passivation</b>	single	10.2%	77%	14.7	0.9	[209]

\* Performance parameters were measured at 1 Sun, AM1.5G illumination unless specified otherwise. N/A = not available.



tandem Si PV devices also containing tunnel junctions [210].

Also included in Table 1 are hybrid cells utilizing III–V/polymer junctions [192, 193, 198, 200]. One of these reports [198] describes a cell comprised of nanowires etched from a GaAs substrate. Only a few reports can be found on cells employing III–V ternary alloys for multi-junction PV [205–209].

The record efficiency, reported recently, is  $\eta = 13.8\%$  for a Au-assisted InP axial p–i–n nanowire array on an InP (111)B substrate [202]. The success of this device is possibly attributed to its patterned array, more optimal geometry (diameter, pitch, length), and the use of InP with its lower surface recombination velocity.

Table 1 also includes results from single nanowire solar cells. All of the single nanowire results, except for Ref. [115], are obtained from nanowires transferred horizontally to a host substrate. Single nanowire results typically have higher efficiency compared to nanowire arrays due to difficulty in processing larger areas and avoiding short circuit paths. An important result for horizontally lying nanowires is a 10.2% efficient GaAsP single nanowire solar cell with InGaP passivation grown on Si [209]. Only one report [115] is for a single nanowire solar cell from a single as-grown nanowire still oriented vertically on a Si substrate. Caution is warranted in the interpretation of single nanowire results, particularly Ref. [115], due to field concentration effects and problems in defining the area and hence the PCE. As described in the section on optical absorption, it is clear that nanowires absorb light from their surroundings. This makes comparison with arrays difficult.

The results in Table 1 should be compared to the record efficiencies for thin film cells. The record one Sun efficiencies are 28.8% for GaAs, 22.1% for InP, and 37.5% for a triple-junction thin film cell [6]. Further work is clearly required for nanowires to have comparable efficiency.

## 16 Other opportunities

**16.1 Further cost reduction** To further reduce cost, there is interest in growing nanowires on other substrates such as ITO, glass, metal foil, graphite or even graphene [211–215]. Some of these, such as metal foil and graphene, would enable flexible devices with applications in building integrated PV and consumer electronics. Such devices also have potentially lower costs of installation. However, nanowires grown on these substrates are usually randomly oriented which is non-optimal for contacting and light trapping. Flexible PV devices could also be fabricated by the transfer of nanowires into a polymer film with the potential to recycle the substrate [216–218]. Finally, a new process, called “aerotaxy”, involving bulk fabrication of III–V nanowires, was recently published [219], which might satisfy the need for low cost III–V nanowire production.

**16.2 Other third generation concepts** Other third generation concepts to improve PCE include multiple excitation generation (MEG) and hot carrier solar cells [3]. MEG

increases the photocurrent of a cell by using the energy lost by carrier relaxation to produce additional electron–hole pairs. This effect is being investigated primarily in II–VI quantum dots. On the other hand, hot carrier solar cells increase the photovoltage of a cell by extracting the carriers before thermalization can occur. In hot carrier cells, a resonant tunneling contact structure is required to extract the hot carriers. The ability to incorporate quantum dot heterostructures, superlattices, and resonant tunneling structures into nanowires could facilitate novel designs for MEG or hot carrier cells.

**17 Conclusion and grand challenges** In summary, the following attributes are required for high PCE:

1. controlled nanowire morphology;
2. stacking-fault-free crystalline structure;
3. orthogonal nanowires with optimum diameter ( $D$ ), period ( $P$ ) and length ( $L$ ) to maximize optical absorption;
4. patterned arrays using cost effective processes (perhaps nanoimprint lithography);
5. dimensions below the critical thickness or critical diameter to avoid misfit dislocations;
6. removal of catalyst droplet to avoid reflection loss and/or Schottky barrier contacts at the tip of nanowires, and possible elimination of Au as a catalyst due to deep level defects;
7. low resistance contacts necessitating highly doped contact layers;
8. controlled doping for p–i–n junctions;
9. surface passivation;
10. current-matching with high performance tunnel junctions; and
11. proven reliability.

As highlighted in this article, some of these items are highly developed (such as item 4, patterned arrays), while others require extensive investigation. Regarding the last point, many of the materials and processes proposed for nanowire PV are already used in existing thin film PV (flat panels for space applications and terrestrial concentrator PV systems) and telecommunications systems (laser diodes, photodetectors) that already meet stringent reliability standards. Nevertheless, nanowire-based devices will eventually have to undergo rigorous qualification testing as described by various organizations (such as the International Electrotechnical Commission (IEC) 61215 test standard) as a prerequisite for any commercially viable PV technology.

Considerable progress toward nanowire PV has already been made as outlined in the previous sections. Nanowire solar cells could provide a low cost, high efficiency option for the concentrator PV market. Besides leading to more cost effective large-scale solar farms, a low cost concentrator PV cell would also lead to more widespread implementation of these systems – for example, in areas of relatively low insolation or in commercial roof-top applications, which would provide much higher power than conven-

tional silicon-based flat modules and make much better use of land or roof-top area.

**Acknowledgements** The authors wish to thank the financial support of the Natural Sciences and Engineering Research Council of Canada.

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