TOPICAL REVIEW

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Topical Review

Open-circuit voltage deficits in Tin-based perovskite solar cells

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Abstract

The power conversion efficiency of Pb-based single-junction perovskite solar cells (PSCs) has surpassed 26%; however, the biocompatibility concerns associated with Pb pose threats to both the environment and living organisms. Consequently, the development of Pb-free PSCs is imperative. Among the various alternatives to Pb-based PSCs, Sn-based PSCs have exhibited outstanding optoelectronic properties, showing great potential for large-scale manufacturing and commercialization. Nevertheless, there remains a significant efficiency gap between Sn-based and Pb-based PSCs. The disparity primarily stems from substantial open-circuit voltage ($V_{\rm OC}$) deficits in Sn-based PSCs, typically ranging from 0.4 to 0.6 V. The main reason of $V_{\rm OC}$ deficits is severe non-radiative recombination losses, which are caused by the uncontrolled crystallization kinetics of Sn halide perovskites and the spontaneous oxidation of Sn²⁺. This review summarizes the reasons for $V_{\rm OC}$ deficits in Sn-based PSCs, and the corresponding strategies to mitigate these issues. Additionally, it outlines the persistent challenges and future prospects for Sn-based PSCs, providing guidance to assist researchers in developing more efficient and stable Sn-based perovskites.

Keywords: Sn-based PSCs, $V_{\rm OC}$ deficit, nonradiative recombination, crystallization kinetics, $V_{\rm Sn}$ defects

1. Introduction

Perovskites are characterized by high light absorption coefficients [1], high carrier mobility [2], long carrier diffusion lengths [3], low exciton binding energy [4], and tunable bandgaps [5]. These outstanding optoelectronic properties make perovskites be one of the most promising candidates for the third-generation photovoltaic techniques. The general formula for metal halide perovskite is ABX₃ [6–8], where A mainly consists of alkali metal (Na⁺, K⁺, or Cs⁺), or organic (CH₃NH₃⁺, MA⁺ or HC(NH₂)₂⁺, FA⁺) cations, B consists of divalent metal cations (Pb²⁺, Sn²⁺, or Ge²⁺), and X consists

of halide anions (I⁻, Br⁻, or Cl⁻) [9]. The three-dimensional crystal structure is formed by connecting $[BX_6]^{4-}$ octahedra through corner sharing, while the A-site cations occupy the interstitial positions of the octahedral [10]. Since Kojima *et al* first applied MAPbI₃ perovskite to dye-sensitized cells and achieved a power conversion efficiency (PCE) of 3.8% in 2009 [11], the certified efficiency of $FA_{1-x}Cs_xPbI_3$ perovskite solar cells (PSCs) has reached 25.7% in 2023 [12].

However, considering the biocompatibility issues associated with Pb that may contaminate soil and pose risks to flora and fauna, reducing or completely eliminating Pb is of paramount importance. Consequently, many researchers have focused on replacing Pb with other less toxic metal elements, identifying Sn as one of the most promising candidates due to its similar ionic radius (Sn²⁺, 110 pm; Pb²⁺,

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Table 1. Summary of high-efficiency Sn-based PSCs.

| Composition | Device structure | $V_{\rm OC}\left({ m V}\right)$ | $J_{\rm SC}~({\rm mA~cm}^{-1})$ | FF (%) | PCE (%) | References |
|--|---|---------------------------------|---------------------------------|--------|---------|------------|
| EA _{0.1} FA _{0.9} Sn _{0.95} Ge _{0.05} I ₃ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Ag | 0.84 | 20.32 | 78 | 13.24 | [17] |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/C60/C60-BPy/BCP/Ag | 0.82 | 23.45 | 73.1 | 14.14 | [18] |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Ag | 0.77 | 24.9 | 76.7 | 14.7 | [19] |
| $(Cs_{0.025}(MA_{0.25}FA_{0.75})_{0.975})$ $_{0.98}EDA_{0.01}SnI_3$ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Ag | 0.825 | 23.84 | 73.74 | 14.41 | [20] |
| $(MA_{0.25}FA_{0.75})_{0.98}EDA_{0.01}$ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Ag | 0.81 | 23.47 | 74.05 | 14.08 | [21] |
| $SnI_{2.99}(BF_4)_{0.01}$ | _ | | | | | |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Ag | 0.817 | 22.49 | 77.4 | 14.23 | [22] |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/C ₆₀ /BCP/Cu | 0.8 | 24.2 | 73.5 | 14.2 | [23] |
| $PEA_{0.15}FA_{0.85}SnI_{3}$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.94 | 17.4 | 75 | 12.4 | [24] |
| $PEA_{0.15}FA_{0.85}SnI_{2.85}Br_{0.15}$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.84 | 20.11 | 80.26 | 13.55 | [25] |
| $PEA_{0.15}FA_{0.85}SnI_{2.85}Br_{0.15}$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.93 | 19.66 | 74.9 | 13.70 | [26] |
| $PEA_{0.15}FA_{0.85}SnI_{2.85}Br_{0.15}$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.91 | 20.6 | 77.1 | 14.63 | [27] |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.92 | 20.4 | 76.7 | 14.3 | [28] |
| $FPEA_{0.15}FA_{0.85}SnI_3$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.84 | 24.91 | 70.76 | 14.81 | [29] |
| $PEA_{0.2}FA_{0.8}SnI_{2.85}Br_{0.15}$ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 1.013 | 18.89 | 73.21 | 14.02 | [30] |
| PEA _{0.15} FA _{0.85} SnI _{2.3} | ITO/PEDOT: PSS/Perovskite/fullerene/BCP/Ag | 0.9 | 21.39 | 75.7 | 14.58 | [31] |
| $PEA_{0.15}FA_{0.85}SnI_{2.3}$ | ITO/PEDOT: PSS/Perovskite/fullerene/BCP/Ag | 0.856 | 24.81 | 72.37 | 15.35 | [32] |
| FASnI ₃ | ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag | 0.974 | 21.4 | 74.1 | 15.7 | [33] |

119 pm) and electronic configuration (ns² np²), which enable the formation of a stable perovskite structure [13]. The optical bandgap of Pb-based perovskites is 1.5–1.7 eV, while that of Sn-based perovskites is 1.2–1.4 eV, closer to the ideal optical bandgap (1.34 eV) for the Shockley–Queisser limit under 1.5 AM solar spectrum [14–16]. This allows Sn-based PSCs to have a higher theoretical open-circuit voltage ($V_{\rm OC}$) than Pb-based PSCs. However, the $V_{\rm OC}$ of Sn-based PSCs is significantly lower than their optical bandgap, resulting from severe photon energy loss ($E_{\rm loss}$) (0.4–0.6 eV). According to the equation: $E_{\rm loss} = E_{\rm g} - qV_{\rm OC}$ (where $E_{\rm g}$ is the bandgap and q is the elementary charge), $E_{\rm loss}$ is closely related to the $V_{\rm OC}$. Thus, understanding the $V_{\rm OC}$ loss is crucial for improving the photovoltaic performance of Sn-based PSCs.

Previous review articles have primarily summarized the research progress, challenges, and corresponding strategies for Sn-based PSCs. Table 1 present the device structures and relevant device parameters of Sn-based PSCs reported in recent years. This overview offers a unique perspective by focusing on the mechanisms behind the $V_{\rm OC}$ deficit in Sn-based PSCs, while highlighting the potential of Sn-based PSCs as a promising alternative to their Pb-based counterparts. Figure 1 illustrates several factors contributing to $V_{\rm OC}$ deficits. We systematically summarize the challenges and strategies for enhancing Sn-based PSCs, categorizing the main content into four sections: morphology optimization, antioxidation doping, interface passivation, and energy level regulation. Some strategies involve delaying crystal kinetic to improve film morphology, while others focus on inhibiting the oxidation of Sn²⁺ to reduce bulk and surface defect densities. Designing charge transport layers with better-matched energy levels can minimize carrier losses at the interface, ultimately effectively enhancing the $V_{\rm OC}$ of the devices. We believe that this work can provide more systematic information on the material science and defect mechanisms of Sn-based perovskites, thereby aiding in the theoretical guidance for improving the performance of Sn-based PSCs.

2. Overview of the origin of V_{OC} deficits in Sn-based PSCs

2.1. Uncontrollable crystal kinetics growth and poor film morphology

The electron configuration of Pb²⁺ is [Xe]4 f¹⁴5d¹⁰6s², whereas the electron configuration of Sn^{2+} is $[Kr]4d^{10}5s^2$. Due to the absence of 4f orbitals in Sn²⁺, the attraction from the nucleus to the 5s electrons is weaker. Consequently, Sn²⁺ is easily oxidized and exhibits strong Lewis acidity [37]. In the preparation of Pb-based perovskite films, hightemperature annealing is typically required to complete the phase transition. This step provides ample time for crystal growth, facilitating the formation of uniform and smooth perovskite films [38]. Conversely, in Sn-based perovskite films, the reaction of SnI₂ with Lewis basic ammonium salts such as CH₃NH₃I (MAI) and HC(NH₂)₂I (FAI) is very rapid. Upon anti-solvent dripping, the perovskite film quickly transitions to the black perovskite phase without annealing [39]. This rapid crystallization process can result in an imbalance between nucleation and growth rates, leading to numerous three-dimensional defects such as voids, cracks and grain boundaries [40, 41]. Due to poor crystallinity and orientation, these three-dimensional defects hinder the effective extraction and collection of photogenerated charge carriers in the

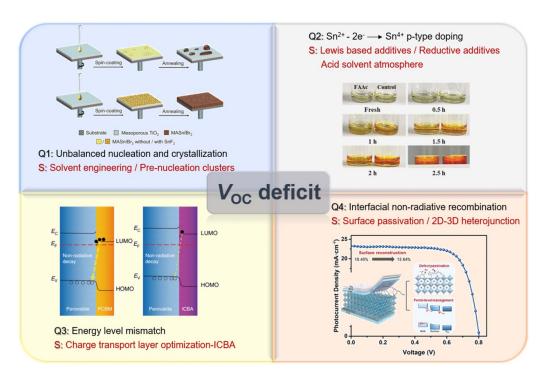


Figure 1. Schematic diagram of the reasons and strategies for *V*_{OC} deficit. Q1: [34] John Wiley & Sons. [Copyright © 2018 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. Q2: [35] John Wiley & Sons. [Copyright © 2023 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. Q3: Reproduced from [24]. CC BY 4.0. Q4: Reprinted with permission from [36]. Copyright (2022) American Chemical Society.

perovskite film [42]. Moreover, these defects are more susceptible to corrosion by oxygen and moisture, further declining device performance and stability [43]. Stress and strain caused by uncontrolled crystal growth can affect the electronic structure and the dynamics of charge carriers, further impacting $V_{\rm OC}$ [44]. Furthermore, uncontrollable crystal growth often introduces numerous point defects, such as vacancies, interstitials, and antisites [45]. These defects can act as recombination centers for charge carriers, increasing non-radiative recombination and consequently reducing $V_{\rm OC}$ [46]. To address these issues, researchers have been developing various strategies to modulate crystallization kinetics [47], passivate surface defects [48], and optimize fabrication processes [39] to enhance the quality of Sn-based perovskite films and the overall performance of PSCs.

Solution engineering is one of the most efficient and common methods for fabricating polycrystalline films. The choice of solvent and antisolvent has been found to be crucial to achieving high-quality Sn-based perovskite films *via* solution methods [49, 50]. During the one-step method, ASnX₃ perovskite is typically dissolved in dimethyl sulfoxide (DMSO) or n, n-dimethylformamide (DMF). Hao *et al* discovered that compared to other highly polar solvents such as DMF and N-methyl-2-pyrrolidone (NMP), SnI₂ can form an intermediate SnI₂3DMSO complex with the stronger coordinating solvent DMSO (figure 2(a)), allowing the organic cations to dissolve in the mother liquor and delaying crystallization [41]. DMSO is known for its strong coordination with Sn²⁺, which can regulate the crystal kinetics of perovskite, resulting in

uniform and dense perovskite films. However, the sulfoxide group (-S(=O)– CH_3) presents in DMSO can oxidize Sn^{2+} to Sn^{4+} [51]. Therefore, exploring or screening alternative solvents that can replace DMSO is also a viable strategy to mitigate this oxidation effect. Subsequently, Rao *et al* found that the larger-molecule volume of hexamethylphosphoramide (HMPA) exhibits stronger binding energy with SnI_2 (-0.595 eV vs -0.118 eV for SnI_2 2DMSO), leading to the solvation structure of SnI_2 shifting from clustered coordination to monodisperse adducts (figure 2(b)). This transition promotes the uniformity of nucleation sites and extends the crystal growth process [52].

Various antisolvents have also been developed and applied in fabricating dense and uniform perovskite films. Commonly used antisolvents include diethyl ether (DE), toluene (TU), and chlorobenzene (CB), as they are effective in quickly extracting precursor solvents and obtaining high-quality Sn-based perovskite films [54]. A large number of pinholes were found in the perovskite films treated with DE. The perovskite films prepared using TU as an antisolvent exhibit a larger average grain size compared to those prepared with DE and CB; however, they also have a significant number of pinholes [43]. CB is the most commonly used antisolvent in the fabrication of Sn-based perovskite films, contributing to the formation of uniform and compact layers. The specific reason for this is that chlorobenzene has a high boiling point of 131 °C, which slows down the evaporation rate during the thermal annealing process, thereby extending the time for crystal growth. The presence of the solvent also provides sufficient

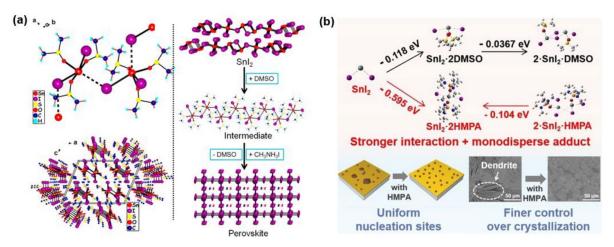


Figure 2. (a) Crystal structure of $SnI_2 \cdot 3DMSO$, an intermediate compound in the $CH_3NH_3SnI_3$ film fabrication process. Reprinted with permission from [41]. Copyright (2015) American Chemical Society. (b) Schematic diagram of the effect of hexamethylphosphoramide (HMPA) on dispersion of edge-sharing SnI_2 nanocrystals and regulation of crystallization kinetics. Top: SnI_2 clusters-induced uneven nucleation and rapid crystallization lead to poor-oriented film. Bottom: monodisperse SnI_2 -HMPA adduct-induced uniform nucleation and a slow crystallization process in balance with it result in high-oriented film. [52] John Wiley & Sons. [Copyright © 2023 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim].

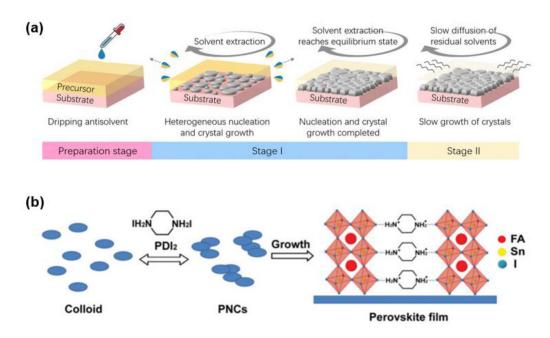


Figure 3. (a) Schematic diagram of Stage I and Stage II defined in time-driven steady-state absorption spectra. Reproduced from [23] with permission from the Royal Society of Chemistry. (b) Schematic illustration of the crystallization process of FASnI₃ perovskite films based on PNCs. [53]. John Wiley & Sons. [Copyright © 2020 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim].

flowability to the film, enabling adjacent nuclei to coalesce into larger grains [42]. However, chlorobenzene is a toxic substance, and long-term exposure may cause serious health effects. Zhang *et al* substituted the traditional toxic CB with a green antisolvent, diethyl carbonate (DEC), which retards the kinetics of solvent-antisolvent interaction (figure 3(a)). The resulting Sn-based perovskite film exhibits a gradient distribution of p-type self-doping from the top surface to the bottom surface, with reduced p-type self-doping at the top surface. The gradient in the energy band structure of the perovskite film improves the interfacial energy level alignment

and generates an additional built-in electric field. This field provides a stronger driving force for charge separation, promotes charge transport, and effectively alleviates the accumulation of reverse charges at both interfaces [23]. The perovskite precursor could be regarded as a colloidal dispersion rather than a conventional solution [55]. Meng *et al* manipulated the chemical properties of FASnI₃ colloids by adding piperazine dihydriodide (PDAI₂). This facilitated the formation of stable pre-nucleation clusters (PNCs) as intermediate phases, thereby reducing the nucleation barrier of perovskites and yielding highly oriented Sn-based perovskite crystals (figure 3(b)) [53].

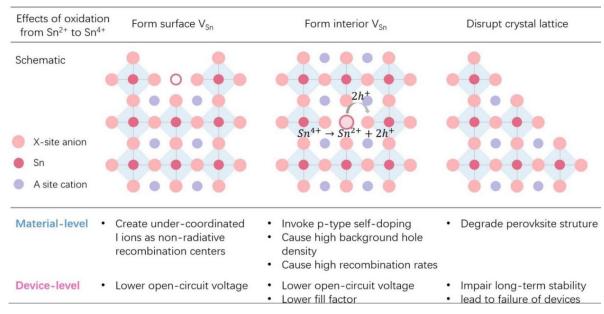


Figure 4. Effects of oxidation from Sn²⁺ to Sn⁴⁺ in Sn-based perovskites on material-level and device-level with schematic illustration. [48] John Wiley & Sons. [Copyright © 2023 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim].

2.2. High concentration of V_{Sn} defects due to the active ns^2 electrons of Sn^{2+}

Compared to Pb2+, Sn2+ is more prone to oxidation to Sn^{4+} due to its standard redox potential of $+0.15~\mathrm{V}$ for the Sn²⁺/Sn⁴⁺ couple, whereas the standard redox potential for the Pb^{2+}/Pb^{4+} couple is significantly higher at +1.67 V [49]. Furthermore, the electrons in the 6s orbitals of Pb exhibit an inert pair effect derived from the contraction of the lanthanides, an effect absent in Sn [56, 57]. The 5s² electrons of Sn²⁺ are highly active, making Sn²⁺ easily oxidized to Sn⁴⁺ [58]. This oxidation reaction generates Sn vacancies (V_{Sn}) in the perovskite lattice, leading to the formation of shallow energy level defects near the valence band with low formation energies [59]. Sn⁴⁺ at the surface primarily acts as a nonradiative recombination center, capturing electrons and holes and inhibiting their thermal escape, leading to their annihilation with opposite charge carriers [60]. This process results in severe $V_{\rm OC}$ deficits, ultimately impairing the performance of photovoltaic devices [22]. Additionally, Sn⁴⁺ within the perovskite lattice spontaneously converts back to Sn²⁺, releasing two holes into the valence band and inducing p-type selfdoping in the perovskite (figure 4) [60]. This heavy p-type selfdoping results in high intrinsic carrier concentrations (10¹⁷- 10^{19} cm^{-3}) [61]. The formation of both surface and internal $V_{\rm Sn}$ promotes lattice degradation, impairing the stability of Snbased perovskites, and ultimately leading to the degradation of Sn-based PSCs [62].

Considering that perovskites are prepared based on Lewis acid-base adducts, with SnI₂ being a highly reactive Lewis acid. Lewis base additives can act as electron donors, passivating positively charged defects such as uncoordinated

 Sn^{2+} [13]. Tai et al introduced both hydroquinone sulfonic acid (KHOSA) and SnCl₂ into FASnI₃ perovskite films (figure 5(a)). The interaction between the sulfonic acid ($-SO_3$) group and Sn²⁺ ions resulted in the in-situ encapsulation of perovskite particles by the SnCl₂ additive complexation layer, significantly enhancing the oxidative stability of the perovskite film [63]. Lin et al introduced 8-hydroxyquinoline (8-HQ) into FASnI₃ films, where the N and O bidentate atoms can simultaneously coordinate with Sn²⁺, forming a more stable complex (figure 5(b)) [64]. Lewis basic molecules typically contain one or more electron-donating functional groups that interact with Sn²⁺, inhibiting its oxidation. To enhance the interaction between Lewis basic additives and Sn²⁺, additives with multiple electron-donating functional groups can be selected. Liu et al incorporated melamine into Sn-based perovskites, where multiple functional groups such as -C=N and -NH₂ (figure 5(c)) can passivate Sn²⁺ through Lewis acidbase adduction and hydrogen bonding [65]. Ma et al incorporated α -Tocopherol into the FASnI₃ perovskite [39]. It forms van der Waals and hydrogen bond interactions with the formamidine ion (FA⁺) and the [SnI₆]⁴⁻ octahedron at the perovskite terminals. By passivating with α -Tocopherol, both surface and interior oxidation of the perovskite are significantly suppressed, as α -Tocopherol firmly embeds itself on the perovskite surface (figure 5(d)).

Even industrially synthesized SnI₂ inevitably contains Sn⁴⁺ impurities. Zeng *et al* proposed a convenient solution method for purifying SnI₂ in Sn-based PSCs, which involves using toluene as a solvent to dissolve the undesired SnI₄, while retaining the SnI₂ source [67]. Gu *et al* added Sn powder to the precursor solution and obtained a high-purity FASnI₃ precursor solution after 5 h of heating and stirring [68]. Nakamura

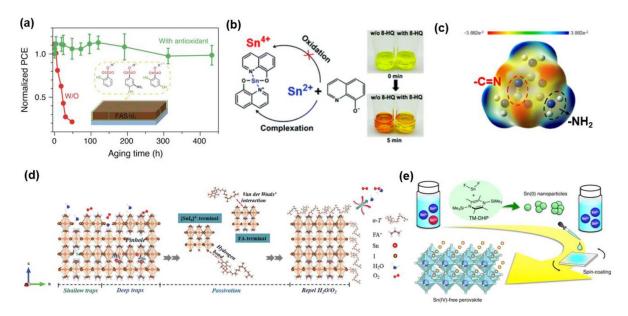


Figure 5. (a) Sn-based PSCs with significantly improved stability to oxidation were prepared by introducing hydroxybenzene sulfonic acid or a salt thereof as an antioxidant additive into the perovskite precursor solution. [63] John Wiley & Sons. [Copyright © 2019 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. (b) Schematic diagram of the molecular structure of the 8-HQ bidentate ligand and the complexation with Sn^{2+} . Reproduced from [64] with permission from the Royal Society of Chemistry. (c) The molecular electrostatic potential of melamine. The -C=N functional group is circled by a red dashed line, and the $-NH_2$ functional group is circled by a black dashed line. Reprinted from [65], Copyright (2023), with permission from Elsevier. (d) Schematic illustration of defect profile in pristine Sn perovskite film with a variety of Sn or I-related defects, and corresponding passivation strategy caused by hydrogen bonding and van der Waals interactions between perovskite and α-Tocopherol. [39] John Wiley & Sons. [Copyright © 2024 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. (e) Schematic illustration of the Sn⁴⁺ scavenging method. Reproduced from [66]. CC BY 4.0.

et al observed that the derivative of dihydropyridine (TM-DHP) could react with SnF_2 already present in the precursor solution to produce Sn nanoparticles (figure 5(e)). These Sn nanoparticles reduce Sn^{4+} to Sn^{2+} through a comproportionation reaction ($Sn(s) + Sn^{4+} \rightarrow 2Sn^{2+}$), thereby eliminating Sn^{4+} impurities from $FA_{0.75}MA_{0.25}SnI_3$ perovskite [66]. Hydrazine-based additives have also been shown to effectively reduce excess Sn^{4+} in perovskite films. In 2016, Song et al established a vapor atmosphere of hydrazine (N_2H_4) to mitigate the formation of Sn^{4+} during the spin-coating process of perovskite films [69]. Wang et al introduced phenylhydrazine hydrochloride (PHCl, PHCl-Br) into FASnI_3 perovskite films. With its reducing hydrazine group and hydrophobic phenyl group, PH^+ can diminish existing Sn^{4+} and prevent further oxidation of FASnI_3 [70, 71].

During the preparation of perovskite films, solid-state additives may undergo phase separation, resulting in decreased crystallinity of the perovskite and the formation of charge recombination centers, thereby impeding charge transport. In contrast, liquid acid additives not only stabilize Sn²⁺, but also do not induce phase separation. An acidic atmosphere has been proved to inhibit the spontaneous oxidation of Sn²⁺ [72, 73]. Meng *et al* utilized liquid formic acid (LFA) as a 50% solvent. Upon annealing, it completely evaporates without residue, ultimately yielding FASnI₃ perovskite thin films with low electron trap density and high crystallinity [74]. Su *et al* discovered that the addition of acetic acid (HAc) into the precursor solution could lower the supersaturation concentration,

forming prenucleation clusters while delaying crystallization and inhibiting the oxidation of Sn^{2+} [75].

2.3. Interfacial non-radiative recombination

Within the perovskite or interfacial transport layers of PSCs, band tails or energy disorder can create electronic states that lead to non-radiative recombination [72]. To mitigate carrier recombination, interfacial passivation through post-treatment has proven effective. This commonly involves covering the surface of three-dimensional perovskite with a two-dimensional perovskite layer that acts both as a passivation layer, reducing surface cation and anion defects through hydrogen or ionic bonding, and as a hydrophobic encapsulation layer. This dual function minimizes non-radiative recombination and enhances device efficiency, while also protecting the underlying three-dimensional structure and improving device stability [76].

Wei *et al* introduced phenethylamine (PEA) ligands into the anti-solvent to prepare mixed Sn-Pb perovskite films (figure 6(a)), where perovskite particles were anchored by ultra-thin two-dimensional perovskite to overcome efficiency-stability decay [73]. Subsequently, Liao *et al* dissolved phenethylammonium bromide (PEABr) in isopropanol (IPA) and spin-coated it onto FASnI₃ films, forming a low-dimensional layer on the surface to passivate surface defects of the perovskite films (figure 6(b)) [77]. Wu *et al* treated annealed FASnI₃ films with 4-(trifluoromethyl)benzylammonium

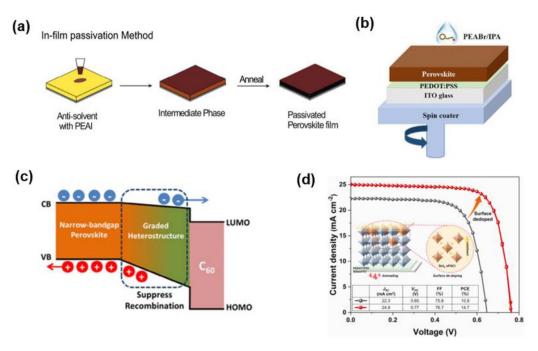


Figure 6. (a) Schematic diagram of processing method to achieve in-film passivation. [73] John Wiley & Sons. [Copyright © 2020 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. (b) Schematic illustration of PEABr treatment. [77] John Wiley & Sons. [Copyright © 2019 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. (c) Diagram of energy level alignment at the perovskite/C₆₀ interface. [78] John Wiley & Sons. [Copyright © 2020 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim]. (d) A chemo-thermal dedoping process is therefore introduced to reduce the Sn(IV) self-dopants on the film surface. Reprinted from [19], Copyright (2022), with permission from Elsevier.

(TFBA⁺) dissolved in chloroform [78]. The cation exchange between FASnI₃ and TFBA⁺ facilitates the construction of a heterojunction structure at the perovskite/C₆₀ interface, selectively extracting photo-generated carriers and serving as an energy barrier to suppress Sn^{2+} oxidation (figure 6(c)). Ultimately, they successfully increased the $V_{\rm OC}$ by 120 meV, achieving a PCE of 10.96% and a $V_{\rm OC}$ of 0.70 V. In another study, Nishimura et al dissolved ethylenediamine (EDA) in toluene, directly spin-coating it on perovskite films, and fabricated an inverted structure device of FTO/PEDOT: PSS/FA_{0.98}EDA_{0.01}Sn_{0.95}Ge_{0.05}I₃/C₆₀/BCP/Ag/Au. Benefiting from the passivation effect of EDA, they successfully increased the device's $V_{\rm OC}$ from 0.70 V to 0.84 V and achieved a PCE of 13.24% [17]. However, even with the use of orthogonal solvents, post-treatment techniques may still corrode the underlying perovskite layer to some extent. Zhou et al introduced a chemo-thermal dedoping process, leveraging the complexation between FACl and Sn(IV) iodide components, to remove Sn(IV) during thermal annealing (figure 6(d)) [19].

2.4. Unmatched band level alignment

Another significant factor limiting the $V_{\rm OC}$ is the unmatched energy level alignment, which leads to an imbalance in charge transport [56]. Typically, photogenerated electrons should be selectively extracted by the electron transport layer (ETL), while photogenerated holes should be blocked from crossing the perovskite/ETL interface by a large Schottky barrier. Conversely, photogenerated holes should be selectively extracted by the hole transport layer (HTL) [79]. Imperfect

charge transfer can result in carrier recombination losses at the interface. For instance, as the light intensity increases, the split of the quasi-Fermi level continues to increase, but the $V_{\rm OC}$ reaches saturation, possibly due to mismatches in charge-selective contacts [76, 80]. In Sn-based PSCs, this abnormal loss of $V_{\rm OC}$ due to mismatched energy levels is particularly severe (figure 7(a)).

In inverted Sn-based PSCs, the HTL at the bottom layer not only transports holes but also provides additional protection to the photoactive layer. Commonly used HTL materials include NiO_X [81], CuSCN [84], and poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT: PSS) [83]. Despite the stability advantages of fully inorganic materials such as NiO_X and CuSCN, they still encounter certain challenges. NiO_X contains multivalent Ni elements, surface oxygen vacancies, and uncoordinated metal ion defects [85]. Although CuSCN is a p-type semiconductor material, its hole mobility (0.1 cm² V⁻¹·s⁻¹) still lags behind the best-performing p-type semiconductors on the market. Additionally, the long-term development of CuSCN is restricted by the technology for preparing high-quality, controllable thin films [86].

PEDOT: PSS, as a conductive polymer, has been widely used in inverted Sn-based PSCs due to its excellent conductivity, good film-forming properties, and solution processability. However, given that the acidic nature of PEDOT: PSS may adversely affect the long-term stability, researchers are focused on modifying PEDOT: PSS to address this issue. Xu *et al* doped triisopropoxy vanadium oxide (C₉H₂₁O₄V) into the PEDOT: PSS solution. Following low-temperature heat

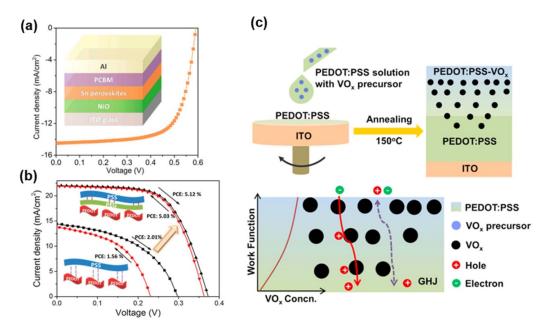


Figure 7. (a) Device architecture and current density–voltage (J–V) characteristics of the device. Reprinted with permission from [81]. Copyright (2017) American Chemical Society. (b) Schematic illustration of the charge transport dynamics and the work function of the HTL as a function of VO_x concentration in gradient heterojunction structure. Reprinted from [82], Copyright (2020), with permission from Elsevier. (c) Schematic diagram of PEG intercalating into PEDOT: PSS. Reprinted with permission from [83]. Copyright (2018) American Chemical Society.

treatment of the modified PEDOT: PSS film, high work function (W_F) vanadium oxide (VO_X) formed on the surface of the hole transport layer (HTL), which facilitated the formation of a gradient heterojunction (GHJ). This GHJ structure effectively lowered the energy barrier between the HTL and the perovskite layer, enhancing charge separation. Additionally, the surface of PEDOT: PSS exhibited increased hydrophobicity and reduced acidity, thereby improving the stability of the device (figure 7(b)) [82]. Liu et al discovered that the surface of PEDOT: PSS films is typically rich in PSS, and the surface dipoles result in a deeper $W_{\rm F}$ for PEDOT: PSS. After adding a small amount of polyethylene glycol (PEG) to PEDOT, the PEG forms hydrogen bonds with the SO₃H⁺ groups in PSS, reducing the Coulomb interaction between PEDOT and PSS. As excess PSS separates, a PEDOT-rich region forms on the film surface, causing the surface dipoles to disappear and thus increasing the $W_{\rm F}$ of PEDOT: PSS. This change reduces the energy barrier for carrier transfer between FASnI₃ and PEDOT: PSS, significantly enhancing the photovoltaic performance of the device (figure 7(c)) [83].

Ideal ETLs need to possess high electron mobility, favorable hole blocking properties, and uniform coverage on the surface of perovskite films. Common ETLs include fullerene (C₆₀) and its derivatives (PC₆₁BM), and Indene-C₆₀ bisadduct (ICBA) (figure 8(a)) [87]. In the early research of Sn-based PSCs, fullerene and its derivatives were widely used. Due to the weak binding energy of excitons, C₆₀ and PC₆₁BM primarily serve for charge extraction and transport. Additionally, Bathocuproine (BCP), with its deep highest occupied molecular orbital (HOMO) level, is also commonly

used in conjunction with fullerene to form a composite layer that blocks the migration of holes to the electrode.

Considering the shallow conduction band level of Sn-based perovskite, it is essential to select an ETL with a shallower energy level to enhance the $V_{\rm OC}$. PC₆₁BM exhibits a larger bandgap shift due to its deeper band position. Jiang et al pointed out that the lowest unoccupied molecular orbital (LUMO) level of ICBA (-3.74 eV) is shallower than that of PC₆₁BM (-3.91 eV), which is expected to boost the device's $V_{\rm OC}$ (figure 8(b)) [24]. By using ICBA as the ETL, researchers managed to improve the device's $V_{\rm OC}$ to 0.94 V, a significant improvement over devices employing PC₆₁BM as the ETL (0.6 V). However, it has been observed that the J_{SC} is relatively low when using ICBA, and the specific reason for this remains unclear. Additionally, the high cost of ICBA is not conducive to large-scale production. In 2023, Yang et al designed and synthesized ETLs with higher LUMO levels, single-isomer C₆₀- and C₇₀-based diethylmalonate functionalized bisadducts (C₆₀BB, and C₇₀BB), greatly improving the device performance (figure 8(c)) [88]. To effectively mitigate the $V_{\rm OC}$ deficits of the device, further development of ETL with suitable energy level is crucial to minimize energy losses and suppress carrier recombination.

Through the above discussion, it is evident that non-radiative recombination resulting from surface defects and mismatched energy alignment at interfaces significantly impacts the $V_{\rm OC}$ and PCE of Sn-based PSCs. Accordingly, we have summarized the factors contributing to $V_{\rm OC}$ deficits and the strategies employed to address them, along with corresponding examples, in table 2.

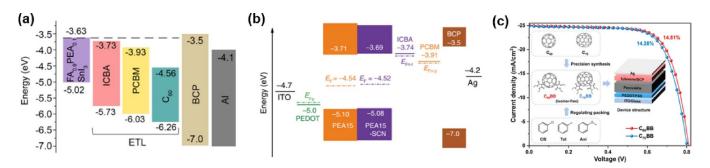


Figure 8. (a) Energy level diagram of PSCs using different ETL. Reprinted with permission from [87]. Copyright (2020) American Chemical Society. (b) Schematic illustration of energy levels. Dashed lines represent the quasi-Fermi level of ICBA (E_{Fn-I}), PCBM (E_{Fn-P}), and PEDOT (E_{Fp}). Reproduced from [24]. CC BY 4.0. (c) Synthesis route of C₇₀BB and C₇₀BB. Reprinted with permission from [88]. Copyright (2023) American Chemical Society.

Table 2. Summary of the reasons contributing to $V_{\rm OC}$ deficits and strategies used to mitigate them.

| No. | Reason for $V_{\rm OC}$ deficits | Strategies |
|-----|--|--|
| 1 | Uncontrolled Crystal Dynamics | (1) DMSO Complexation [41] (2) HMPA Binding [51] (3) Anti-Solvent Effects [42, 43] (4) Green Anti-Solvent [23] (5) Colloid Manipulation [53] |
| 2 | Spontaneous Oxidation of Sn ²⁺ | (1) In-Situ Encapsulation [63, 64] (2) Surface Passivation [39] (3) SnI₂ purification [66–68] (4) Reductive Atmosphere [70, 71, 74, 75] |
| 3 | Interface Non-radiative Recombination Deficits | (1) Post-treatment [73, 77, 78](2) Chemo-thermal Dedoping [19] |
| 4 | Unmatched Energy Level | (1) Modified PEDOT: PSS [82, 83](2) Usage of ICBA [24](3) Innovative ETL Synthesis [88] |

3. Conclusions and perspectives

Sn-based PSCs with narrow bandgap can theoretically achieve high $V_{\rm OC}$; however, in practice, they frequently encounter significant $V_{\rm OC}$ deficits, typically ranging from 0.4 to 0.6 V. This deficit primarily stems from the relatively high defect density in perovskite films prepared via solution processes, attributed to rapid crystallization and the inherent oxidation of $\rm Sn^{2+}$. Defects on the surface and within the film typically serve as major recombination centers, capturing carriers and thus limiting carrier transport and collection. Hence, the $V_{\rm OC}$ is significantly affected by intrinsic crystal defects within the film, external defects (such as pinholes and grain boundaries), and interface defects between the ETL and the HTL.

To alleviate voltage deficits, it is crucial to employ effective methods that suppress carrier recombination at the perovskite film surface and enhance charge extraction efficiency at the heterojunction interface. Specific approaches, including enhancing the film preparation process through solvent engineering, additive engineering, and surface passivation,

are aimed at creating highly dense, smooth, and nearly grain boundary-free perovskite films. Additionally, optimizing the device structure to minimize energy losses at the perovskite/transport layers is also crucial. By integrating these strategies, there is a significant potential to reduce $V_{\rm OC}$ deficits and thereby enhance the overall performance of Sn-based PSCs.

Future research should focus on reducing defect density to optimize both the single perovskite crystal and the interfacial transport layer. High-efficiency Sn-based PSCs primarily employ the FA/PEA mixed composition, with a device structure of ITO/PEDOT: PSS/Perovskite/ICBA/BCP/Ag. Experimental observations indicate that devices using ICBA as the ETL often exhibit lower J_{SC} , but the reasons for this phenomenon remain unclear. Enhancing the photocurrent of devices while utilizing ICBA could potentially lead to further breakthroughs in the PCEs of Sn-based PSCs. Moreover, the high cost of ICBA adds to the experimental expenses. Hence, it is crucial to develop novel ETL materials with low cost, matched energy levels, and excellent conductivity. Ultimately,

Sn-based PSCs have achieved high efficiency up to 15.7% [33], however, there still exists discrepancy in both efficiency and stability compared to Pb-based PSCs. To advance the commercialization of Sn-based PSCs, we must not only focus on improving device efficiency but also fundamentally address tin oxidation to ensure stability while pursuing high efficiency.

Data availability statement

All data that support the findings of this study are included within the article (and any supplementary files).

Acknowledgments

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