

# Physics of the temperature coefficients of solar cells



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## ABSTRACT

Physics ruling the temperature sensitivity of photovoltaic (PV) cells is discussed. Dependences with temperature of the fundamental losses for single junction solar cells are examined and fundamental temperature coefficients (TCs) are calculated. Impacts on TCs of the incident spectrum and of variations of the bandgap with temperature are highlighted. It is shown that the unusual behavior of the bandgaps of perovskite semiconductor compounds such as  $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$  and  $\text{CsSnI}_3$  will ultimately, in the radiative limit, give PV cells made of these materials peculiar temperature sensitivities. The different losses limiting the efficiency of present commercial cells are depicted on a p–n junction diagram. This representation provides valuable information on the energy transfer mechanisms within PV cells. In particular, it is shown that an important fraction of the heat generation occurs at the junction. A review of the loss mechanisms driving the temperature coefficients of the different cell parameters (open circuit voltage  $V_{oc}$ , short circuit current density  $J_{sc}$ , fill factor  $FF$ ) is proposed. The temperature sensitivity of open circuit voltage is connected to the balance between generation and recombination of carriers and its variation with temperature. A general expression that relates the temperature sensitivity of  $V_{oc}$  to the External Radiative Efficiency (ERE) of a solar cell is provided. Comparisons with experimental data are discussed. The impacts of bandgap temperature dependence and incident spectrum on the temperature sensitivity of short circuit current are demonstrated. Finally, it is argued that if the fill factor temperature sensitivity is ideally closely related to the open circuit voltage temperature sensitivity of the cell, it depends for some cells strongly on technological issues linked to carrier transport such as contact resistances.

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## 1. Introduction

It has long been observed that temperature affects negatively the performances of photovoltaic (PV) devices [1,2]. This is an important issue for the PV industry because the efficiency of PV modules is lower under real operating conditions than under Standard Test Conditions (STC) and because it increases the difficulty in predicting PV energy production.

Several articles have investigated the theoretical temperature dependences of solar cell output parameters [1–9]. The analyses provided important information on the general trends of the temperature behavior of solar cells explaining for example the small temperature sensitivity of cells with large bandgaps. However, the use of one or several semi-empirical parameters to calculate the diode current restricted the generality of the conclusions and in some cases led to systematic errors in modeling as demonstrated elsewhere [10].

Identifying the different mechanisms driving the temperature sensitivity of solar cells, Green derived some general equations for temperature coefficients from internal device physics [10]. Siefer and Bett [11] made theoretical calculations illustrating that temperature coefficients are function of the dominant recombination processes. Recently, a group from NREL observed experimentally that metastable changes due to light exposure modify the temperature dependence of the fill factor of CIGS thin film cells [12]. Their analysis illustrates the depth of the correlation between device physics and temperature coefficients.

This paper investigates the physics that governs the temperature behavior of solar cells. First, building on the work of Hirst and Ekins-Daukes [13], the temperature dependences of the “fundamental” losses in photovoltaic conversion are discussed. Then, the analysis is extended to additional losses such as non-radiative recombinations in order to explain the physics behind the temperature coefficients of real devices. Finally, the different mechanisms driving the temperature sensitivity of open circuit voltage ( $V_{oc}$ ), short circuit current ( $J_{sc}$ ) and fill factor ( $FF$ ) are discussed.

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## 2. Fundamental losses in photovoltaic conversion

### 2.1. Detailed balance principle and thermodynamics

Fundamentally, photovoltaic devices are energy converters that turn thermal energy from the sun into electrical energy. This means that a solar cell, like any heat engine, is ultimately limited by the Carnot efficiency [14,15]. However, even ideal PV devices differ from Carnot engines because the energy exchanged is radiative and because the energy emitted by the devices is considered a loss in PV conversion since the hot reservoir is the Sun. Moreover, typical PV cells absorb solar photons from a small solid angle while they emit in a much broader solid angle. Additionally, for typical single junction cells, there is an important loss due to the spectral mismatch between the incident radiation and the absorption in the cell that generates electrical carriers.

Hirst and Ekins-Daukes derived from the detailed balance principle [16] an approximate relation between a PV cell bandgap ( $E_g$ ) and its voltage at maximum power point ( $V_{MPP}$ ) [13]:

$$qV_{MPP} \approx E_g \left( 1 - \frac{T_c}{T_s} \right) - kT_c \ln \left( \frac{\Omega_{emit}}{\Omega_{abs}} \right) \quad (1)$$

$q$ ,  $k$ ,  $T_c$  and  $T_s$ ,  $\Omega_{abs}$  and  $\Omega_{emit}$  are respectively the electron charge, Boltzmann's constant, the cell and sun temperatures, the absorption and emission solid angles. Interestingly, this equation displays classical thermodynamic terms. The first term on the right hand side contains the Carnot efficiency which expresses the necessity of evacuating the incoming entropy. The second term on the right hand side is the voltage loss related to the entropy generated due to the solid angle mismatch between absorption and emission.

The current density at maximum power point  $J_{MPP}$  is given by

$$J_{MPP} = q(n_{abs} - n_{emit}(V_{MPP})) \quad (2)$$

where  $n_{abs}$  and  $n_{emit}$  are the photon absorption and emission rates given by the generalized Planck's equation [17]:

$$n_{abs}(E_g, T_s, \Omega_{abs}) = \frac{2 \Omega_{abs}}{c^2 h^3} \int_{E_g}^{\infty} \frac{E^2}{e^{\frac{E}{kT_s}} - 1} dE \quad (3)$$

$$n_{emit}(E_g, V, T_c, \Omega_{emit}) = \frac{2 \Omega_{emit}}{c^2 h^3} \int_{E_g}^{\infty} \frac{E^2}{e^{\frac{E - qV}{kT_c}} - 1} dE \quad (4)$$

where  $c$  and  $h$  are the speed of light in vacuum and Planck's constant, respectively. Perfect charge transport is assumed so the free enthalpy of the photogenerated electron-hole pairs – namely the chemical potential  $\mu$  of the electron-hole system [18] – is equal to  $qV$  where  $V$  is the voltage across the cell terminals.

Table 1 shows the analytical expressions, similar to that in Ref. [13], of the energy losses related to the effects mentioned previously. These loss mechanisms are depicted in Fig. 1 which shows how the energy of the incident photons is converted within an ideal p-n junction solar cell. The first two losses are related to the spectral mismatch between the broadband incident radiation and the spectrally limited cell absorption: (1) some photons have more energy than  $E_g$  and this “extra” energy is quickly lost by the excited electrons to the lattice atoms in a process called thermalization and (2) some photons have less energy than  $E_g$  and are not able to excite any electron (“below  $E_g$ ” loss). The last three losses impact the balance between absorption and emission rates; we will call them in the following “balance losses”. The emission term limits the cell current and corresponds to the energy of the emitted photons at MPP. The Carnot and angle mismatch terms represent the energy lost because of the voltage drop at the junction necessary to efficiently collect the excited charges (illustrated in Fig. 1). Physically, all the charges that go through the junction are accelerated by the electric field and gain some kinetic energy at the expense of a fraction of their potential energy. Then, they quickly relax to the potential energy of the conduction band of the other side through collisions with the lattice atoms. This heat generation process can be identified as Peltier heating [19]. This phenomenon, rarely reported in the PV literature, means that a large part of the heat generation in PV cells is located at the junction. In most PV cells, the p-n junction is located near the front of the cell. High energy photons which contribute to most of the heat generation by thermalization also happen to be absorbed near the front of the cell.

Fig. 1 illustrates that some losses limit the voltage of the cell while others limit its current. This is why angle mismatch, Carnot and emission losses are distinguished even though they stem from the same physical mechanism: radiative recombination. In fact, any recombination process has this dual impact: (1) a current loss because some excited charges don't make it to the external circuit

**Table 1**  
Fundamental losses in a single junction solar cell.

Spectral mismatch	Thermalization	$\frac{2 \Omega_{abs}}{c^2 h^3} \int_{E_g}^{\infty} \frac{E^2}{e^{\frac{E}{kT_s}} - 1} (E - E_g) dE$	(5)
	Below $E_g$	$\frac{2 \Omega_{abs}}{c^2 h^3} \int_0^{E_g} \frac{E^2}{e^{\frac{E}{kT_s}} - 1} E dE$	(6)
Absorption–emission balance	Emission	$E_g \frac{2 \Omega_{emit}}{c^2 h^3} \int_{E_g}^{\infty} \frac{E^2}{e^{\frac{E - qV_{MPP}}{kT_c}} - 1} dE$	(7)
	Angle mismatch	$kT_c \ln \left( \frac{\Omega_{emit}}{\Omega_{abs}} \right) J_{MPP}$	(8)
	Carnot	$E_g \left( \frac{T_c}{T_s} \right) J_{MPP}$	(9)

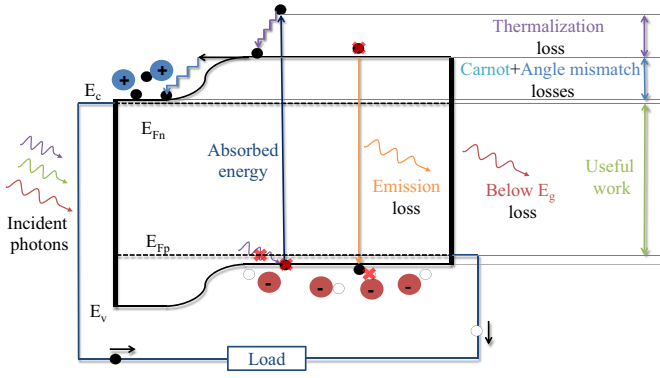


Fig. 1. Fundamental loss mechanisms illustrated on a p-n junction diagram.  $E_c$ ,  $E_v$  are the energies of the bottom edge of the conduction band and the top edge of the valence band, respectively.  $E_{Fn}$  and  $E_{Fp}$  are the quasi Fermi levels of electrons and holes, respectively.

and (2) a voltage loss because the generation–recombination balance is diminished thus reducing the voltage that can build in the cell.

Eq. (4) shows that radiative emission increases with cell temperature. This is the consequence of increased recombination rate at larger temperature due to the augmentation of the equilibrium carrier concentration. This leads to a negative temperature sensitivity of the absorption–emission rate balance that is the origin of the temperature behavior of PV cells and explains why all PV devices become less efficient as they heat up. A thermodynamical viewpoint is that maximum efficiency decreases with temperature because it requires more energy to evacuate entropy in an environment at larger temperature.

Fig. 2 shows the temperature dependence of the previously discussed “fundamental losses”. In this simplistic case, only the “balance losses” are sensitive to temperature. On the temperature range of PV device operation, their variations with temperature are approximately linear. This explains the generally observed linear behavior of the output power. For that reason, temperature sensitivities of solar cell are often described by a single value of temperature coefficient (TC). TCs are usually defined normalized at 25 °C [20] and expressed in ppm K<sup>−1</sup>:

$$\beta_G(T_c) = \frac{10^6}{G(298.15 \text{ K})} \frac{G(T_c) - G(298.15 \text{ K})}{T_c - 298.15} \quad (10)$$

where  $G$  is the parameter of interest. If the variation is linear with temperature,  $\beta_G$  is well described by a single value.

Using Boltzmann's approximation in Eq. (7) and neglecting  $2E_g kT_c + 2k^2 T_c^2$  in front of  $E_g^2$  in the integration by parts results in a linear approximation of the “Emission” loss term:

$$\text{Emission} \approx \left( E_g^3 \frac{2\Omega_{\text{emit}}}{c^2 h^3} k e^{-\frac{E_g}{kT_c}} - \ln\left(\frac{\Omega_{\text{emit}}}{\Omega_{\text{abs}}}\right) \right) T_c \quad (11)$$

Neglecting the temperature variation of  $J_{MPP}$ , Eqs. (8) and (9) indicate that the “angle mismatch” and “Carnot” losses also vary linearly with temperature. These approximations enable to find an estimate of the temperature coefficient of the maximum efficiency as a function of the balance losses at a reference temperature:

$$\beta_{\eta \max} \approx \frac{10^6}{P_{\max}(298.15 \text{ K})} \frac{-\text{balance losses}(298.15 \text{ K})}{298.15} \quad (12)$$

Because temperature coefficients are normalized, any mechanism impacting the efficiency of the cell modifies its temperature coefficient. The approximate expression in Eq. (12) emphasizes that temperature sensitivity is mainly a function of the generation–recombination balance of the cell. It was observed long ago that the temperature sensitivity of device performance improves together

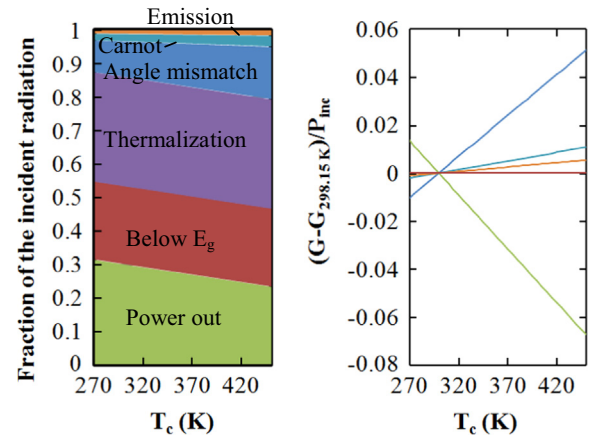


Fig. 2. Temperature dependences of the fundamental losses (Table 1) for a bandgap of 1.12 eV ( $E_g$  of c-Si at 298.15 K).

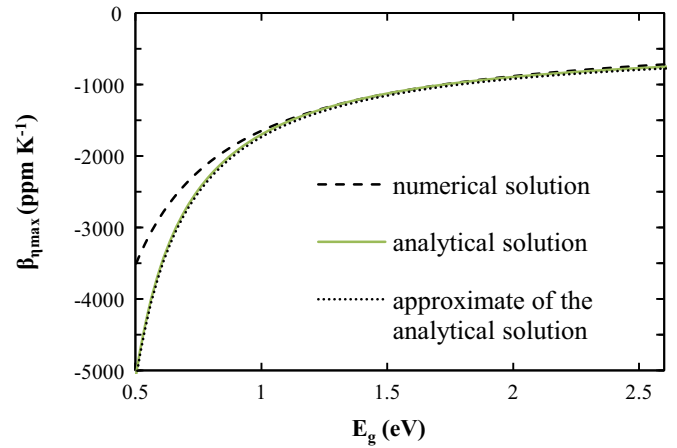


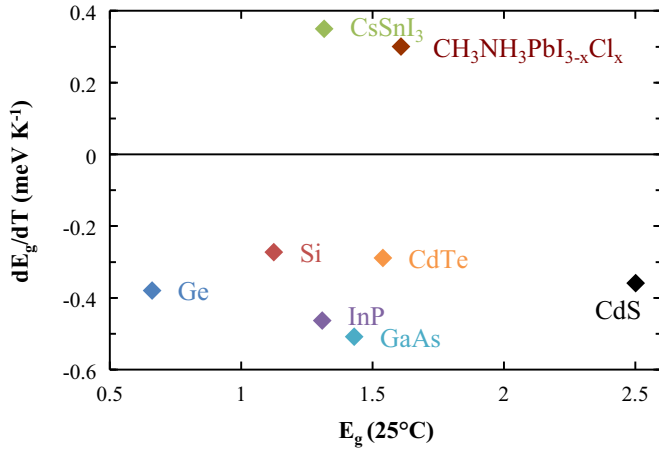
Fig. 3. Fundamental temperature coefficient of the maximum efficiency of photovoltaic cells as a function of bandgap.

with open circuit voltage [3]. This is due to the fact that the open circuit voltage of the cell is a good indicator of the generation–recombination balance. One way of improving this balance is by concentrating more light upon the cell; this is known to increase  $V_{oc}$  and reduce temperature sensitivity [8,21]. Another way of changing this balance is by modifying non-radiative recombination (NRR) rates. In Section 3, we will consider NRRs and analyze how they impact temperature coefficients.

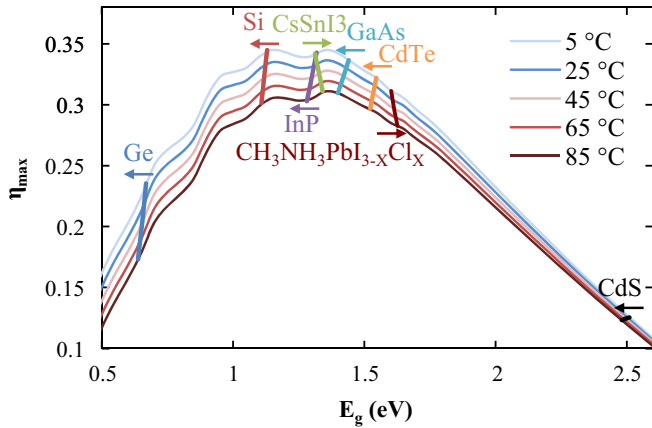
Fig. 3 shows the “fundamental temperature coefficient” of PV cells calculated with different approximations. The numerical solution corresponds to the resolution of the ideal diode equation in the Shockley–Queisser limit [16]. The analytical solution based on [13], Eqs. (1) and (2), and its approximation, Eq. (12), provide a good estimate of temperature coefficients as long as  $E_g$  is not too small. One can observe that the absolute value of the temperature coefficient is larger for smaller bandgaps. This is because temperature coefficients are normalized values that are mainly driven by the open circuit voltage (see Section 3.1 or Ref. [10]).

## 2.2. Bandgap temperature dependence and influence of the incident spectrum

All the fundamental losses in PV conversion are function of the bandgap (Table 1). Fig. 3 shows the temperature coefficient of maximum efficiency at different bandgaps with a simplistic assumption. In practice, the bandgaps of semiconductors change substantially with temperature. These variations are due to modifications of the



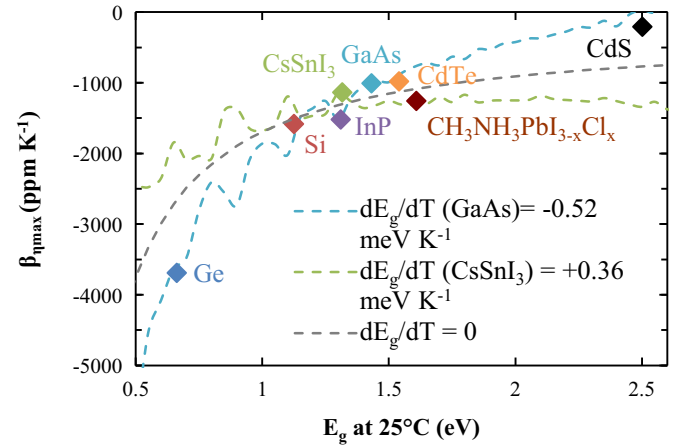
**Fig. 4.** Slope of the linear approximation of bandgap temperature dependence around 300 K.  $E_g=f(T)$  from Ref. [25], from Ref. [26] for Si, from Ref. [23] for CsSnI<sub>3</sub>, and from Ref. [24] for CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3-x</sub>Cl<sub>x</sub>.



**Fig. 5.** Shockley-Queisser limit and maximum theoretical efficiencies of several semiconductors at different temperatures.

band energies caused by electron–phonon interactions and by thermal expansion of the lattice [22]. Most semiconductor bandgaps decrease almost linearly in the temperature range of operation of PV cells [23]. However, there is no general relation between bandgap and temperature dependence of bandgap as shown in Fig. 4. There are some exceptions where bandgap actually increases with temperature and of particular interest for photovoltaics are the perovskite semiconductor compounds CsSnI<sub>3</sub> and CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3-x</sub>Cl<sub>x</sub> [23,24]. It is likely that related perovskite compounds also have such unusual bandgap temperature dependences.

These bandgap temperature dependences impact directly the temperature coefficients and also create an influence of the incident spectrum on TCs as can be observed in Figs. 5 and 6. Fig. 5 shows the “Shockley–Queisser efficiency limit” calculated with the latest AM1.5 spectrum [27] at different temperatures. The maximum efficiencies of different semiconductors are plotted using their bandgap temperature dependences. Fig. 6 shows the TCs of these materials together with extrapolations of  $TC=f(E_g)$  assuming different values of  $dE_g/dT$ . The green and blue extrapolated curves show opposite fluctuations because they assume bandgaps variations with temperature of opposite signs. These variations due to the incident spectrum are analyzed in more details in Section 3.2. Interestingly, while their bandgaps at room temperature are similar, CsSnI<sub>3</sub> will ultimately, i.e. in the radiative limit, be less temperature sensitive than InP because of the unusual behavior of its bandgap. On the other hand one can see that PV cells made of



**Fig. 6.** Temperature coefficients of several semiconductors and extrapolations using different values of  $dE_g/dT$ . (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3-x</sub>Cl<sub>x</sub> will, in the radiative limit, suffer more severely from heat than cells made of other semiconductors with similar bandgaps. Indeed, it appears that the impact of  $dE_g/dT$  on TC is function of  $d\eta/dE_g$  and thus depends on  $E_g$  and the incident spectrum. It is of particular importance for semiconductors whose bandgaps are away from the optimum such as top and bottom cells of multijunction PV cells. Some works [22,28] indicate that the bandgap temperature dependence of certain quantum dots change with their sizes. This indicates a potential way of tuning  $dE_g/dT$  to optimize the TC of future PV cells.

One should note that this analysis in the radiative limit (where radiative recombination dominates non-radiative recombination processes such as Auger or Shockley Read Hall) does not give the theoretical minimum of temperature coefficients. Among the additional losses, some may decrease with temperature (series resistance for example). This can lead to temperature coefficients of lower magnitudes as sometimes observed for newly-developed technologies [10]. However, temperature coefficients are expected to converge toward these values as technologies improve towards the radiative limit. To be more accurate in determining ultimate values, one should account for Auger recombination which is also an intrinsic limiting mechanism. Using the state-of-the-art parameters [29] and considering carefully their temperature dependences, we derived the temperature coefficient of the limiting efficiency of crystalline silicon solar cells. The result is  $-2380 \text{ ppm K}^{-1}$  which is significantly larger than the value in the radiative limit ( $-1582 \text{ ppm K}^{-1}$ ). As discussed above this does not correspond to a minimum, but, as the devices improve, the temperature sensitivities of crystalline silicon solar cells are expected to converge towards this value.

### 3. Additional losses in real devices

Present commercial photovoltaic cells have efficiencies considerably lower than the Shockley–Queisser limit defined by the fundamental losses described previously. In this section, the additional losses limiting real device performances are introduced and their impacts on temperature coefficients are analyzed.

Fig. 7 shows the band diagram of a realistic solar cell operating at its Maximum Power Point (MPP). The most important losses stem from the non-radiative recombinations (NRR). The different NRR processes (Shockley Read Hall, Auger, surface) are illustrated. Other losses include reflection at the front of the cell, electrical shunts, imperfect contacts and finite mobilities of the carriers. Transmission losses (i.e. photons with sufficient energy but that are not absorbed) are not



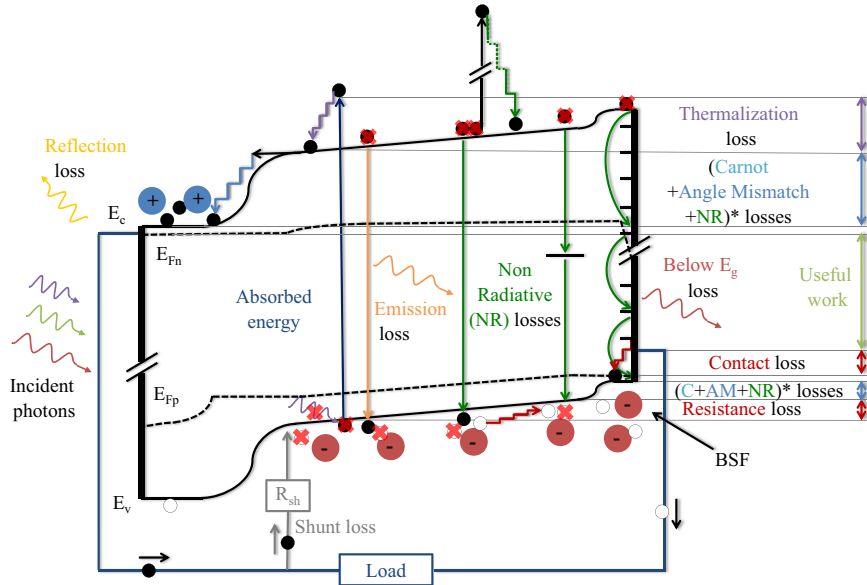


Fig. 7. Conversion loss mechanisms of a PV cell illustrated on a p–n junction diagram.

depicted here but can reduce the efficiency of thin PV cells with insufficient light trapping. The representation of Fig. 7 is interesting in that it shows where and how the different energy losses happen. For example, the “resistance loss” corresponds to the kinetic energy lost by the charges to the semiconductor atoms during collisions along their paths.

As in Fig. 1, we observe in Fig. 7 that mechanisms reducing the generation–recombination balance result in a voltage loss, which occurs at the interfaces with the selective membranes that force the photogenerated charges in opposite directions. In this example, it occurs at the p–n junction and also slightly in the region of the back surface field (BSF). For other configurations it could be different (e.g. pin structure consists in two separate junctions). Reflection, transmission and shunts, similarly to every current losses, also add to this voltage drop but their contribution is usually negligible so it is not depicted in Figs. 7 and 8.

In Fig. 8, the IV curve of the cell is depicted together with the different losses at the MPP. By identifying the voltage and current losses, it is possible to understand the shape of the current–voltage characteristic. Note that the reflection loss in standard c-Si PV devices is much lower because their front surface is usually texturized (often with random pyramids). For the sake of illustration, it is the photon flux density absorbed by a planar cell (calculated with OPALv1.3 [30]) that is plotted.

Since the PV cell parameters ( $V_{oc}$ ,  $I_{sc}$ ,  $FF$ ) usually vary approximately linearly with temperature, it is possible to separate the temperature sensitivity of the device performance into the sum of their temperature coefficients:

$$P_{max}(T_c) = V_{oc}(T_c) J_{sc}(T_c) FF(T_c)$$

$$\beta_{P_{max}} = \beta_{V_{oc}} + \beta_{J_{sc}} + \beta_{FF} \quad (13)$$

where  $P_{max}$  is the maximum power by unit area. This is particularly interesting because these different TCs depend on different loss mechanisms.

### 3.1. Open circuit voltage temperature sensitivity

The temperature sensitivity of open circuit voltage is of particular importance since it accounts for 80–90% of the overall temperature sensitivity for reasonably good solar cells [10].

The open circuit voltage of a solar cell corresponds to the state where the total rate of photogeneration equals that of recombination

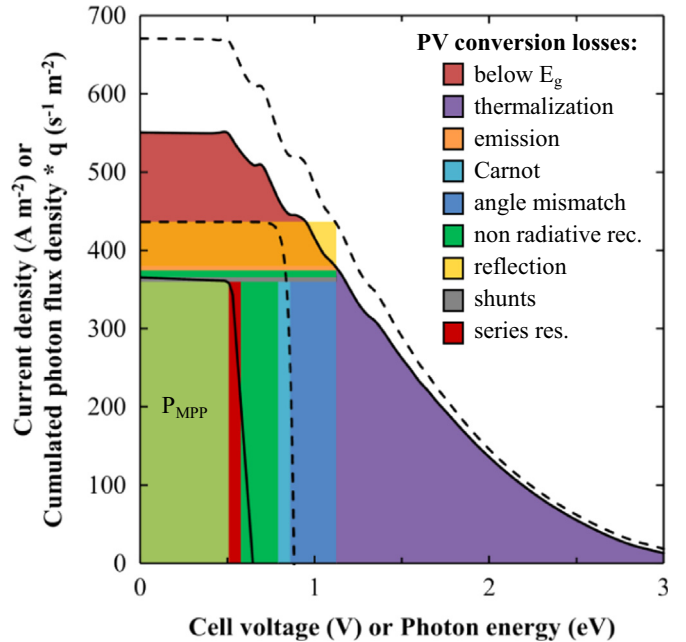


Fig. 8. Conversion loss mechanisms of a c-Si cell at MPP. Cumulated photon flux density of the AM1.5 spectrum (dashed line), of the transmitted fraction of this radiation perpendicularly incident through a planar c-Si surface (solid line). Ideal IV characteristic (dashed line) and IV characteristic of a c-Si PV cell with a planar surface (solid line). Note that this kind of graph was first introduced by Hirst and Ekin Daukes in the radiative limit [13]. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

so that no current circulates through the circuit. Its relative change with temperature,  $\beta_{V_{oc}}$ , is thus an indication of the temperature dependence of the generation–recombination balance. The photogeneration rate is a function of incident spectrum, concentration, reflection, transmission and parasitic absorption. The recombination rate depends on the importance of the different recombination processes (radiative, Shockley Read Hall, Auger, surface, shunts).

Recognizing that every recombination mechanism is a function of the product of the local hole and electron concentrations  $np$ , Green derived a general expression for the temperature sensitivity of  $V_{oc}$  (Eq. (13) in Ref. [10]). This formula, derived from the basis of internal device physics, is always valid but requires the detailed

knowledge of the recombination mechanisms in the cell of interest. On the other hand, Braun et al. proposed an approximate formulation for  $dV_{oc}/dT$  in the radiative limit for concentrator solar cells (Eq. (7) in Ref. [21]). We show in the following that it can be written more simply in a form similar to the general expression derived by Green and propose an extension, Eq. (18) with Eqs. (15) and (19), that goes beyond the radiative limit by using the concept of External Radiative Efficiency (ERE). The ERE of a PV cell is similar to the External Quantum Efficiency (EQE) of a Light-Emitting Diode (LED). It is defined in Ref. [31] as “the fraction of the total dark current recombination in the device that results in radiative emission from the device”. The output current and the open circuit voltage of the cell can thus be written as

$$J = XJ_{sc,1sun} - \frac{1}{ERE} J_{0,rad} e^{\frac{qV}{kT_c}} \quad (14)$$

$$V_{oc} = \frac{kT_c}{q} \ln \left( \frac{XJ_{sc,1sun}}{(1/ERE_{oc})J_{0,rad}} \right) = V_{oc,1sun} + \frac{kT_c}{q} (\ln(ERE_{oc}) + \ln(X)) \quad (15)$$

Transport resistances are neglected,  $ERE_{oc}$  is the ERE at open circuit,  $X$  is the concentration factor and  $J_{0,rad}$  is the dark current density in the radiative limit. Using the same approximations as for Eq. (11), we get

$$J_{0,rad} \approx q \frac{2\Omega_{emit}}{c^2 h^3} kT_c E_g^2 e^{-\frac{E_g}{kT_c}} \quad (16)$$

Assuming a linear variation of  $E_g$  on the temperature range of interest, i.e.  $dE_g/dT_c = \text{cste}$ , we use

$$E_g = E_{g0} + T_c \frac{dE_g}{dT_c} \quad (17)$$

By differentiating Eq. (15), the temperature dependence of  $V_{oc}$  becomes

$$\frac{dV_{oc}}{dT_c} = - \frac{\frac{E_{g0}}{q} - V_{oc} + \gamma \frac{kT_c}{q}}{T_c} \quad (18)$$

with

$$\gamma = 1 - \frac{d \ln ERE_{oc}}{d \ln T_c} + \left( 2 \frac{d \ln E_g}{d \ln T_c} - \frac{d \ln J_{sc,1sun}}{d \ln T_c} \right) \quad (19)$$

Eq. (18) predicts a temperature coefficient of the open circuit voltage approximately constant over the range of temperature typical of solar cell operation [10]. Eq. (18) is the same as in Ref. [2], but the coefficient  $\gamma$  is explicitly quantified in Eq. (19). As previously mentioned elsewhere [32],  $\gamma$  corresponds now explicitly to the temperature sensitivity of the mechanisms determining  $V_{oc}$ . The absolute value of the terms in parentheses in Eq. (19) is less than 0.5 for all the semiconductors considered here. Thus, it is  $1 - \frac{d \ln ERE_{oc}}{d \ln T_c}$  (quite similar to  $\gamma_{sc}$  in Ref. [10]) that plays a major role in the value of  $\gamma$ . The temperature dependence of the ERE depends on the recombination mechanisms within the cell so  $\gamma$  gives an information on the dominant recombination processes. To illustrate this idea, the previous analysis is applied to two different situations using the same approximations as in Ref. [11]. If the dark saturation current is dominated by recombination within the space charge region (as is often the case at low injection levels [11]) with an ideality factor close to two, the term  $\gamma$  reads  $5 - 2 \frac{d \ln J_{sc}}{d \ln T_c} \approx 5$ . If the dark saturation current is dominated by bulk and surface recombinations with an ideality factor close to unity,  $\gamma$  becomes  $3 - \frac{d \ln J_{sc}}{d \ln T_c} \approx 3$ .

We calculated  $\gamma$  from experimental values of  $\beta_{voc}$  for crystalline silicon cells [3,7,32]. The  $\gamma$  values range from 0 to 2. This demonstrates that there are other configurations than the two described above.

The most important term in  $dV_{oc}/dT_c$  and thus in  $\beta_{voc}$  is  $E_{g0}/q - V_{oc}$ . However the term  $\gamma kT_c/q$  is non-negligible in the determination of  $\beta_{voc}$ . For example, it accounts for between 0% and 10% of  $\beta_{voc}$  for the cells described in Refs. [3,7,32].

Practically,  $ERE_{oc}$  can be calculated from simple experimental measurements by using the reciprocity relation between External Quantum Efficiency and electroluminescent emission of solar cells [33]:

$$ERE_{oc} = \frac{q \frac{2\Omega_{emit}}{c^2 h^3} \int_0^\infty \frac{EQE E^2}{e^{-\frac{E-qV_{oc}}{kT_c}} - 1} dE}{J_{sc}} \quad (20)$$

where  $EQE$  is the appropriately weighted value over all angles of incident light. For textured cells, it is close to the near perpendicular value of the commonly measured EQE [31]. Eqs. (15), (18) and (19) provide a simple relation between the dominant contribution to the cell temperature sensitivity and the cell External Radiative Efficiency. Making the rough approximations of neglecting the ERE and the bandgap temperature dependences, we show in Fig. 9 approximated temperature coefficients as a function of cell bandgap for different EREs. This gives an idea of the evolution of TCs as cells improve towards the radiative limit. For illustration, crosses show experimental values of  $\beta_{voc}$  for record efficiency crystalline silicon cells over the years. Diamonds show values measured on cells made of GaAs, GaSb and Ge [34]. One should not deduce ERE values directly from this graph because the approximations used can have an important impact on  $\beta_{voc}$ . This is illustrated in Fig. 10 where  $\beta_{voc}$  is calculated with different temperature dependences of the ERE and the bandgap. One can observe that different values of  $\gamma$ , i.e. different  $dERE/dT$  due to differences in dominant recombination mechanisms, lead to significant variations of  $\beta_{voc}$ . Thus the knowledge of the ERE at 25 °C alone is not sufficient to accurately predict  $\beta_{voc}$ . In opposition to a conclusion derived elsewhere [21],  $dE_g/dT$  is found to have an important impact on  $\beta_{voc}$  because it relates  $E_g$  (25 °C) to  $E_{g0}$ . For example, assuming  $\gamma=1$ , the open circuit voltage temperature coefficients of CsSnI<sub>3</sub> and InP are respectively −583 and −1320 ppm K<sup>−1</sup> while their bandgaps at 25 °C are similar (1.316

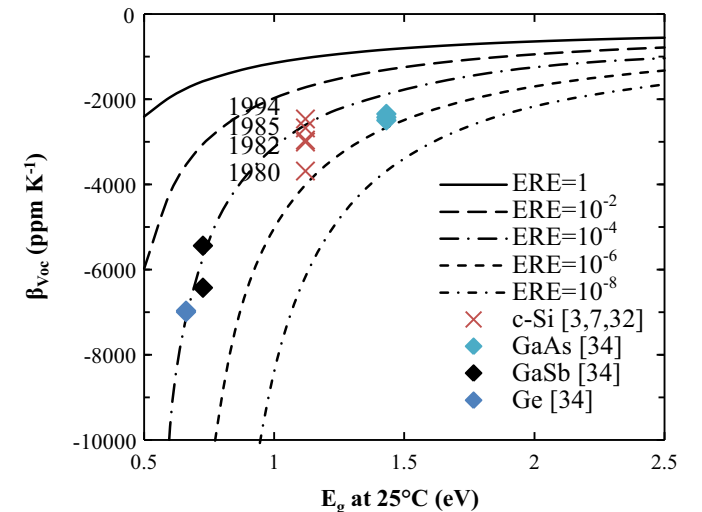
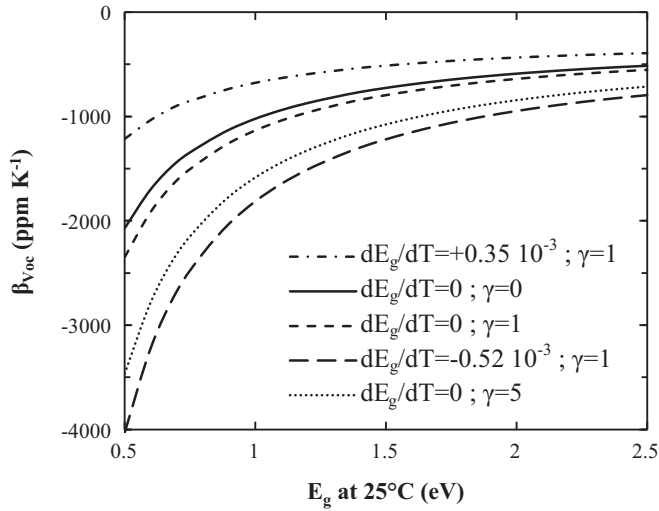


Fig. 9. Temperature coefficient of open circuit voltage as a function of bandgap for several external radiative efficiencies. From Eqs. (15), (18) and (19);  $dE_g/dT$  and  $dERE/dT$  were neglected in the calculation (their importance can be seen in Fig. 10). The crosses are experimental values of  $\beta_{voc}$  for some record efficiency c-Si cells over the years. The diamonds are experimental values of  $\beta_{voc}$  for GaAs, GaSb and Ge cells.



**Fig. 10.** Temperature coefficient of open circuit voltage as a function of bandgap from Eqs. (15), (18) and (19) for  $ERE=1$  and different values of  $dE_g/dT$  and  $\gamma$ .

and 1.309 eV, respectively). Their overall TCs are not so far apart (Fig. 6) because the short circuit current TC of InP is positive while that of  $CsSnI_3$  is negative because of the unusual behavior of its bandgap.

### 3.2. Short circuit current temperature sensitivity

Since most semiconductor bandgaps decrease with temperature (Fig. 4), the short circuit current density of solar cell ( $J_{sc}$ ) generally increases with temperature.  $J_{sc}$  can be expressed as the product of an ideal current  $J_{sc,1sun}$  and a collection fraction  $f_c$  [10] and potentially a concentration factor  $X$ :

$$J_{sc} = J_{sc,1sun} X f_c \quad (21)$$

The ideal current is determined by the photon flux density (PFD) of the incident radiation, the cell bandgap and its temperature dependence:

$$J_{sc,1sun} = q \int_{E_g(T_c)}^{\infty} \text{PFD}(E) dE \quad (22)$$

As in Ref. [10], the temperature coefficient of the short circuit current can be written as

$$\beta_{J_{sc}} = \frac{1}{J_{sc}} \frac{dJ_{sc}}{dT_c} = \frac{1}{J_{sc,1sun}} \frac{dJ_{sc,1sun}}{dE_g} \frac{dE_g}{dT_c} + \frac{1}{f_c} \frac{df_c}{dT_c} \quad (23)$$

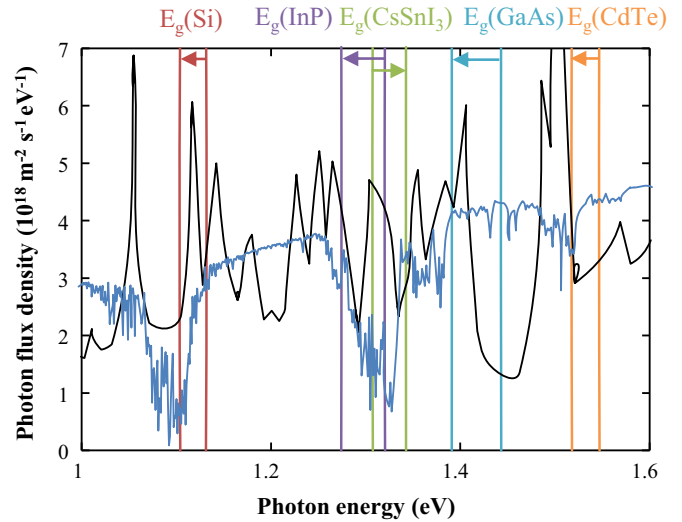
Note that the collection fraction ( $f_c$ ) depends on reflection, transmission and parasitic absorption (especially by free carriers) of the cell. It is noteworthy that its variation with temperature differs between direct and indirect gap materials because phonons play a notable role in the interband absorption of the latter. Similarly to concentration, the effect of non-ideal absorption can easily be included in Eqs. (15) and (19) through the collection fraction  $f_c$ . However in practice its impact on  $\beta_{voc}$  is negligible and thus was not included in the previous section.

Eqs. (22) and (23) indicate that  $\beta_{J_{sc}}$  depends on the incident spectral intensity at wavelengths near the bandgap. Fig. 11 shows the photon flux density of the reference AM1.5 spectrum [27] and of a solar simulator [35] together with the bandgaps of several semiconductors at 0 and 100 °C. While the average intensity of the solar simulator is close to that of the reference spectrum, the photon flux density is quite different. As previously noted elsewhere [6], this explains the scattering of  $\beta_{J_{sc}}$  values found in the literature. Even for

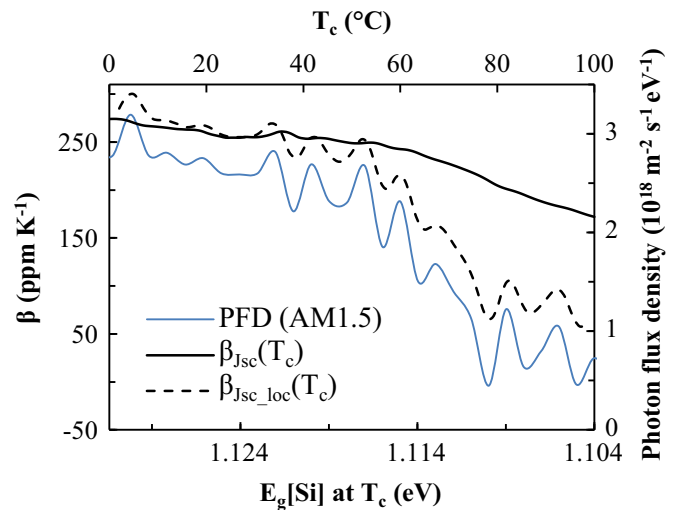
indoor measurements, the spectral intensity distributions vary because of differences between solar simulators (even of the same type). This stresses the complexity of accurately predicting  $\beta_{J_{sc}}$  under real operating conditions, where the incident spectrum changes with time.

Fig. 11 shows that some semiconductor bandgaps lie near important fluctuations in the the AM1.5 photon flux density caused by the atmosphere absorption. This creates non linearity in the temperature dependence of  $J_{sc}$  as illustrated in Fig. 12 for crystalline silicon. To represent the local variations of  $J_{sc}$ , a local temperature coefficient (in ppm K<sup>-1</sup>) is defined as

$$\beta_{G\_loc}(T_c) = \frac{10^6}{G(298.15 \text{ K})} \frac{G(T_c + 1) - G(T_c - 1)}{2} \quad (24)$$



**Fig. 11.** Photon flux density of the AM1.5 reference spectrum (in blue), of a solar simulator [35] (in black). Bandgaps of different semiconductors at 0 and 100 °C; arrows show the direction of increasing temperature. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 12.** Global (solid black line) and local (dotted black line) temperature coefficients of the short circuit current density of a c-Si cell with ideal light collection ( $f_c=1$ ) and photon flux density of the AM1.5 reference spectrum (blue line). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

### 3.3. Fill factor temperature sensitivity

The fill factor ( $FF$ ) relates the maximum power that can be extracted from a cell to its open circuit voltage ( $V_{oc}$ ) and short circuit current ( $J_{sc}$ ). It indicates the minimal “cost” of extracting the photogenerated charges from the cell into the circuit and corresponds to the optimal current/voltage trade-off. This optimum depends on the generation–recombination balance (similarly to  $V_{oc}$ ) but also on the transport losses due to the current flow through the circuit at the maximum power point (MPP). This appears in the expression derived in Ref. [7]:

$$\frac{1}{FF} \frac{dFF}{dT} = (1 - 1.02 FF_0) \left( \frac{1}{V_{oc}} \frac{dV_{oc}}{dT} - \frac{1}{T} \right) - \frac{R_s}{V_{oc} I_{sc} - R_s} \left( \frac{1}{R_s} \frac{dR_s}{dT} \right) \quad (25)$$

$$FF_0 = \frac{V_{oc} - \ln(V_{oc} + 0.72)}{V_{oc} + 1} \quad (26)$$

$$V_{oc} = \frac{q}{nkT} V_{oc} \quad (27)$$

$FF_0$ ,  $R_s$ , and  $n$ , are respectively the ideal fill factor, the cell series resistance and the diode ideality factor. For good quality crystalline silicon cells,  $\beta_{FF}$  is mainly function of  $\beta_{V_{oc}}$  and can be described by a simpler expression (Eq. (25) with  $R_s=0$ ). In less-developed technologies, the fill factor temperature sensitivity is more complex. For example, in some amorphous silicon and nanocrystalline dye cells, the fill factor increases with temperature due to decreasing resistance effects or increasing “mobility-lifetime” products [10]. It is noteworthy that resistance effects are expected to increase with irradiance as the current circulating through the cell increases [36].

A concrete example of the complexity of fill factor temperature sensitivity is given in a recent paper by Deceglie et al. [12]. The temperature coefficient of the fill factor of some CIGS modules was found to be modified after light soaking. It was suggested that this is due to a light induced reduction in the conduction band offset between the buffer and the absorber. Since the charge transfer mechanisms across this barrier (tunneling or thermionic emission) are enhanced at high temperature, this metastable change could explain the observed variation of  $\beta_{FF}$ . This understanding is important because it is the final light soaked temperature coefficients that need to be known to model field performances.

## 4. Conclusion

Physics ruling the temperature sensitivity of solar cells has been presented and discussed. Dependences with temperature of the fundamental losses for single junction solar cells have been examined and fundamental temperature coefficients have been calculated. Impacts on temperature coefficients of the incident spectrum and of variations with temperature of the bandgap have been highlighted. It has been shown that the unusual behavior of the bandgaps of perovskite semiconductor compounds such as  $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$  and  $\text{CsSnI}_3$  will ultimately, in the radiative limit, give PV cells made of these materials peculiar temperature sensitivities.

The different losses limiting the efficiency of present commercial cells have been depicted on a p–n junction diagram. This representation provides valuable information on the energy transfer mechanisms within PV cells. In particular, it has been shown that an important fraction of the heat generation occurs at the junction.

A review of the loss mechanisms driving the temperature coefficients of the different cell parameters ( $V_{oc}$ ,  $J_{sc}$ ,  $FF$ ) has been proposed. The temperature sensitivity of open circuit voltage is connected to the balance between generation and recombination

of carriers and its variation with temperature. A general expression that relates the temperature sensitivity of a cell  $V_{oc}$  to the cell quality measured by its External Radiative Efficiency (ERE) has been proposed. Knowing the ERE at room temperature enables to estimate the temperature coefficient of open circuit voltage  $\beta_{V_{oc}}$ . However, the knowledge of the ERE dependence on temperature which is function of the dominant recombination mechanisms is required to predict the exact value of  $\beta_{V_{oc}}$ .

The influence of bandgap temperature dependence and incident spectrum on the temperature sensitivity of short circuit current has been demonstrated. The temperature coefficient of short circuit current also depends on the collection fraction of incident photons; this can be particularly important for indirect bandgap semiconductors whose absorptivity increases with temperature.

Ideally, the fill factor temperature sensitivity is closely related to the open circuit voltage of the cell. In practice, it also depends on technological issues linked to carrier transport such as contact resistances. As demonstrated in a recent publication [12], it is still possible to improve the understanding of fill factor sensitivity of thin film solar cells.

The investigation of the fundamental causes for the temperature sensitivity of solar cells presented in this paper could be extended to any kind of radiative energy conversion (e.g. thermophotovoltaic concepts). As the industry is naturally evolving towards design of PV modules specific to certain location/conditions [37], opportunities for specific optimizations are likely to appear. The complete understanding of temperature behavior of PV modules might be a key towards such new optimizations.

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