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# Backtracking search algorithm with specular reflection learning for global optimization



Yiying Zhang

School of Electrical and Information Engineering, Tianjin University, Tianjin 300072, PR China

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#### ABSTRACT

Benefiting from population, randomness and simple structures, metaheuristic methods show excellent performance for solving global optimization problems. However, in some cases, in order to get promising solutions, the existing metaheuristic methods usually need to be modified. This work reports a new technique, called specular reflection learning, for improving the optimization performance of metaheuristic methods. Specular reflection learning is motivated by specular reflection phenomenon in physics. Note that, there is a close relationship between opposition-based learning and specular reflection learning. Opposition-based learning can be seen as a special case of specular reflection learning. In order to investigate the effectiveness of specular reflection learning, specular reflection learning is employed to improve backtracking search algorithm (BSA). The performance of the proposed backtracking search algorithm with specular reflection learning is evaluated by 88 test functions extracted from the well-known CEC 2013, CEC 2014 and CEC 2017 test suites, and two constrained engineering design problems. Experimental results confirm that specular reflection learning is a more effective technique for improving BSA compared with opposition-based learning, which establishes the foundation for the applications of specular reflection learning on other metaheuristics. In addition, the source code of this work can be found from https://www.mathworks.com/matlabcentral/fileexchange/ 79030-bsa\_srl.

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## 1. Introduction

In the past twenty years, metaheuristic methods have been successfully used to solve a lot of optimization problems of various fields. Tan et al. [1] used enhanced particle swarm optimization for intelligent skin cancer detection. Elaziz et al. [2] presented a hybrid algorithm based on moth search algorithm and differential evolution for task scheduling in cloud computing. Zhou et al. [3] introduced symbiotic organism search algorithm for automatic data clustering. Shen et al. [4] adopted fruit fly optimization for medical data classification. Chen et al. [5] proposed enhanced bacterial foraging optimization for training kernel extreme learning machine. Feng et al. [6] reported a modified sine cosine algorithm for multiple hydropower reservoirs operation. The superiority of metaheuristic methods for optimization problems mainly comes from the following advantages:

• Population. For one metaheuristic method, its population consists of some individuals. The position of each individual dividual moves frequently based on some defined rules to

denotes a potential solution to the optimization problem. During the optimization process, the position of each insearch better solutions. Thus, metaheuristic methods also can be seen as population-based optimization methods. Note that, the individuals of the population can perform exploration or exploitation for the given search space simultaneously, which can significantly increase the chance of the population to find better solutions.

- Randomness. Randomness of metaheuristic methods is mainly shown in the following two aspects. On one hand, the individuals of the population are randomly initialized, which can weaken the relationship between initialization population and the obtained optimal solution. On the other hand, metaheuristic methods usually define some simple rules to simulate natural phenomena. Here, it should be pointed out that natural phenomena are often accompanied by randomness. Thus, in order to more accurately describe natural phenomena, random numbers, such as random numbers with uniform distribution, are usually introduced to the defined rules, which can further weaken the relationship the initialization population and the obtained optimal solution.
- Simplicity. Metaheuristic methods do not rely on complex math principles and only use some simple rules to simulate natural phenomena. In addition, metaheuristics usually have simple structures. Thus, metaheuristic methods are usually

E-mail address: zhangyiying@tju.edu.cn.

easy to implement and have great potential to be used for different types of optimization problems.

A major driver for promoting the development of metaheuristic methods is "No-Free-Lunch" (NFL) theorem [7]. According to NFL theorem, it is not possible for one algorithm to beat other algorithms for all optimization problems [8,9]. The main reason of supporting NFL theorem is that each optimization problem usually has its features, such as the number of variables, the constrained conditions and the characteristic of objective function. Thus, with more and more optimization problems with different features found in the real world, it is very essential for researchers to do more efforts for developing metaheuristic methods. At present, the development of metaheuristic methods has two branches, i.e. developing metaheuristic methods by new inspired sources and modifying the reported metaheuristic methods by some improved techniques.

Backtracking search algorithm (BSA) is a recently reported metaheuristic method [10]. BSA has the following features: (1) it only has a single control parameter called mix rate; (2) it has a very simple structure and is easy to implement; (3) the search direction of BSA is guided by the differential vectors between historical population and current population. Given these features, BSA has been used to solve various types of optimization problems, such as modeling beach realignment [11], parameter identification of photovoltaic models [12], short-term electricity price forecasting [13], and cast heat treatment charge plan problem [14]. However, the strategy of updating population in BSA highly depends on the differential vectors between historical population and current population, which cannot take advantage of population information. With the increasing of iterations, the differences between historical population and current population become small gradually, which will weaken the exploration of BSA. Thus, once BSA gets trapped in a local minimum, it is very difficult for BSA to escape from it.

As an improved technique for metaheuristic methods, opposition-based learning has been widely used for many metaheuristic methods, such as opposition-based differential evolution [15], grasshopper optimization algorithm based on opposition-based learning [16], crow search algorithm based on opposition-based learning [17], opposition-based sine cosine approach with local search [18], opposition-based gray-wolf optimization algorithm [19], dynamic opposite learning enhanced teaching-learning-based optimization [20], opposition-based emperor penguin optimization [21] and opposition-based moth flame optimization [22]. The basic idea of opposition-based learning can be described as follows. The opposite solution of one solution may be closer to the optimal solution. Thus it is possible that a fitter solution can be selected by simultaneously checking one solution and its opposite solution to accelerate convergence speed of metaheuristics [15].

Motivated by opposition-based learning and specular reflection phenomena in physics, this work presents a new variant of BSA, called backtracking search algorithm with specular reflection learning (BSA\_SRL), for global optimization. In the proposed method, a new technique for improving metaheuristic methods is introduced, which can be called specular reflection learning inspired by specular reflection phenomena. According to opposition-based learning, there is a one-to-one correspondence between one solution and its opposite solution. However, for specular reflection learning, there is a corresponding relationship between one solution and one neighborhood of its opposite solution. That is, a fitter solution can be selected by simultaneously checking one solution and another solution from this neighborhood. Obviously, opposition-based learning can be seen as a special case of specular reflection learning. In addition, like

opposition-based learning, when used to metaheuristic methods, specular reflection learning is also as a mutation operator with jumping rate. The main contributions of this work can be summarized as follows.

- (1) Specular reflection learning is presented for improving metaheuristic methods.
- (2) A novel variant of BSA, called BSA\_SRL, is proposed for global optimization.
- (3) The performance of BSA\_SRL is tested by CEC 2013, CEC 2014 and CEC 2017 test suites.
- (4) BSA\_SRL is employed for solving constrained engineering optimization problems.

The rest of this paper is organized as follows. Section 2 introduces two basic components, i.e. backtracking search algorithm and opposition-based learning. Section 3 shows the built specular reflection learning model and the proposed BSA\_SRL. The performance of BSA\_SRL for unconstrained numerical optimization problems including CEC 2013, CEC 2014 and CEC 2017, is checked in Section 4. Section 5 gives the experimental results of BSA\_SRL for engineering design problems. In Section 6, managerial insights are presented. Lastly, conclusion and future directions are made in Section 7.

### 2. Basic knowledge

## 2.1. Opposition-based learning

Opposition-based learning is based on opposite number and opposite point [15].

• Opposite number. Assume that the range of a real number x is from  $V_L$  to  $V_U$ , i.e.  $x \in [V_L, V_U]$ . The opposite number  $\breve{x}$  of x can be defined by

$$\breve{x} = V_{\mathsf{L}} + V_{\mathsf{U}} - x \tag{1}$$

• Opposite point. Assume that P is a point in D-dimensional space, i.e.  $P = (x_1, x_2, \dots, x_D)$ , where  $x_i$  is a real number and  $x_i \in [V_{L,i}, V_{U,i}]$ ,  $\forall i = \{1, 2, \dots, D\}$ . The opposite point  $P = (\breve{x}_1, \breve{x}_2, \dots, \breve{x}_D)$  of the point P can be defined by

$$\chi_i = V_{I,i} + V_{II,i} - \chi_i, i = 1, 2, \dots, D$$
(2)

As mentioned previously, for one metaheuristic method, each individual of its population denotes a candidate solution. In fact, each individual also can be seen a point in D-dimensional space. Here, D means the number of variables of an optimization problem. Based on the concept of opposite point, opposition-based learning used to the metaheuristic method can be described as follows. Assume that  $f(\cdot)$  is objective function of a minimum optimization problem, which is used for measuring the quality of a candidate solution. When opposition-based learning is performed, the point P and its opposite point P are evaluated at the same time. If  $f(P) \leq f(P)$  is met, P is a better candidate solution than P and P will be replaced with P. In addition, as a mutation operator, opposition-based learning is controlled by jumping rate  $J_{\Gamma}J_{\Gamma}$  is often set to 0.3 [15,23].

#### 2.2. Backtracking search algorithm

As shown in Fig. 1, backtracking search algorithm has a very simple structure, which has five components, i.e. initialization, selection-I, mutation, crossover and selection-II.



Fig. 1. The framework of backtracking search algorithm.

• Initialization. This phase is to initialize population  $X = \{x_1, x_2, \dots, x_N\}$  and historical population  $X_{\text{old}} = \{x_{\text{old},1}, x_{\text{old},2}, \dots, x_{\text{old},N}\}$ , where N is population size.X can be initialized by

$$x_{i,j} = l_j + (u_j - l_j) \times \xi, i = 1, 2, \dots, N, j = 1, 2, \dots, D$$
 (3)

where  $x_{i,j}$  is the value of the jth variable of  $\mathbf{x}_i = (x_{i,1}, x_{i,2}, \ldots, x_{i,D})$ ,  $l_j$  is the lower limit of the jth variable of  $\mathbf{x}_i$ ,  $u_j$  is the upper limit of the jth variable of  $\mathbf{x}_i$  and  $\xi$  is a random number between 0 and 1. In addition,  $\mathbf{X}_{\text{old}}$  is initialized by the same method with  $\mathbf{X}$ .

 Selection-I.X<sub>old</sub> plays a very important role, which is used to guide the search direction of X. This phase is to update X<sub>old</sub>, which can be expressed as

$$\boldsymbol{X}_{\text{old}} = \begin{cases} \boldsymbol{X}, \, \rho_1 > \rho_2 \\ \boldsymbol{X}_{\text{old}}, \, \text{otherwise} \end{cases} \tag{4}$$

where  $\rho_1$  and  $\rho_2$  are two random numbers between 0 and 1 with uniform distribution. In order to enhance the randomness,  $X_{\rm old}$  is further processed by

$$X_{\text{old}} = \text{permuting}(X_{\text{old}})$$
 (5)

where permuting is a random shuffling function that is used to randomly sort for the individuals of  $X_{\text{old}}$  [10].

• Mutation. Mutation operator is to produce a basic solution  $\psi_i = (\psi_{i,1}, \psi_{i,2}, \dots, \psi_{i,D})$  for updating  $\mathbf{x}_i$ , which can be represented as

$$\psi_i = \mathbf{x}_i + F \times (\mathbf{x}_{\text{old},i} - \mathbf{x}_i) \tag{6}$$

where F is called scale factor that is set to 3m in the basic backtracking search algorithm [10]. In addition, m is random number with standard normal distribution.

• Crossover. Crossover operator is to generate the final solution  $\chi_i = (\chi_{i,1}, \chi_{i,2}, \dots, \chi_{i,D})$ , which is performed by a binary integer-valued matrix  $\mathbf{E} = \{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_N\}$  of size  $N \times D$  and can be denoted by

$$\chi_{i,j} = \begin{cases} x_{i,j}, & \text{if } e_{i,j} = 1\\ \psi_{i,j}, & \text{if } e_{i,j} = 0 \end{cases}, i = 1, 2, \dots, N, j = 1, 2, \dots, D \quad (7)$$

where  $\mathbf{e}_i = (e_{i,1}, e_{i,2}, \dots, e_{i,D})$  is the integer-valued vector of the *i*th individual. In addition,  $\mathbf{E}$  is controlled by a parameter called mix rate  $M_{\text{rate}}$  that is set to 1 according to the authors of backtracking search algorithm [10]. The detailed way to generate  $\mathbf{E}$  can be found the basic backtracking search algorithm [10].

• Selection-II. This phase is to select the fitter solution between  $\chi_i$  and  $x_i$  into the next generation population, which can be computed by

$$\mathbf{x}_{i} = \begin{cases} \mathbf{\chi}_{i}, & \text{if } f(\mathbf{\chi}_{i}) \leq f(\mathbf{x}_{i}) \\ \mathbf{x}_{i}, & \text{otherwise} \end{cases}$$
 (8)

#### 3. The proposed method

This section is to present the proposed method, which first shows the built specular reflection learning model and then gives the implementation of the proposed BSA\_SRL.

#### 3.1. The built specular reflection learning model

Fig. 2 presents the schematic diagram of the built specular reflection learning model. As shown in Fig. 2(a), specular reflection is a very common physical phenomenon: the light that reflects off objects with a shiny surface. In Fig. 2(a), Ao and oB are incident light and reflect light, respectively. The angle  $\alpha$  between Ao and normal is called angle of incidence. The angle  $\beta$  between oB and normal is called angle of reflection. According to the law of reflection,  $\alpha$  is equal to  $\beta$ .

Fig. 2(b) shows the built specular reflection learning model. In Fig. 2(b),  $o=(x_0,0)$  is the midpoint of  $[V_L,V_U]$  and x=(a,0) is a random variable within  $[V_L,V_U]$ . Thus,  $\breve{x}=(2x_0-a,0)$  can be denoted as the opposite number of x obtained by Eq. (1). In the proposed specular reflection learning, assume the obtained opposite number of x is  $\breve{x}=(b,0)$ . From Fig. 2(b), the following formula can be met,

$$\tan(\alpha) = \frac{x_0 - a}{A_0} \tag{9}$$

$$\tan(\beta) = \frac{b - x_0}{B_0} \tag{10}$$

As mentioned previously,  $\alpha$  is equal to  $\beta$ . Thus, the relationship between Eqs. (9) and (10) can be represented by

$$\frac{x_0 - a}{A_0} = \frac{b - x_0}{B_0} \tag{11}$$

Based on Eq. (11), b can be computed by

$$b = \frac{B_0 (x_0 - a)}{A_0} + x_0 \tag{12}$$

Assume  $B_0 = \lambda A_0$ , where  $\lambda(\lambda > 0)$  is a real number. Thus, Eq. (12) can be rewritten as

$$b = \lambda (x_0 - a) + x_0$$

$$= (\lambda + 1) x_0 - \lambda a$$

$$= (0.5\lambda + 0.5) \times 2x_0 - \lambda a$$

$$= (0.5\lambda + 0.5) \times (V_L + V_U) - \lambda a$$
(13)

From Eq. (13), when  $\lambda$  is set to different value, the value of b can be computed by

$$b = \begin{cases} b_1, 0 < \lambda < 1 \\ 2x_0 - a, \lambda = 1 \\ b_2, \lambda > 1 \end{cases}$$
 (14)

where  $b_1$  and  $b_2$  meet  $b_1 \in (x_0, 2x_0 - a)$  and  $b_2 \in (2x_0 - a, V_0]$ , respectively. As can be seen from Eq. (14), when  $\lambda$  is set to 1, which means specular reflection learning will be opposition-based learning. That is, opposition-based learning is a special case of specular reflection learning. In order to follow the basic idea of opposition-based learning, the possible position of the opposite number b obtained by specular reflection learning should be a small neighborhood of  $2x_0 - a$ . In the designed specular reflection learning,  $\lambda$  can be computed by

$$\lambda = \begin{cases} 1 + \varphi R_0, & \text{if } \kappa_1 > \kappa_2 \\ 1 - \varphi R_0, & \text{otherwise} \end{cases}$$
 (15)

where  $\kappa_1$  and  $\kappa_2$  are two random numbers between 0 and 1 with uniform distribution,  $R_0$  can be called neighborhood radius, and



Fig. 2. The schematic diagram of specular reflection learning.

 $\varphi$  can be called elastic factor. In addition,  $\varphi$  is set to [0, 1], which is to obtain all possible values within the neighborhood radius  $R_0$ . From Eq. (15), if  $\kappa_1 > \kappa_2$  is met, the position of the opposite number *b* will appear at the right neighborhood of  $2x_0 - a$ ; otherwise,  $\breve{x}$  will appear at the left neighborhood of  $2x_0 - a$ . Here, note that, if  $R_0$  is equal to 1, the maximum value and the minimum value of b are  $3x_0 - 2a$  and  $x_0$ , respectively. Note that  $3x_0 - 2a$  and  $x_0$  are symmetrical about  $2x_0 - a$  that is the opposite number of x obtained by opposition-based learning. From viewpoint of symmetry, when  $R_0$  is more than 1, the obtained maximum value of b is larger than  $2x_0 - a$ ; the obtained minimum value of b is smaller than  $x_0$ . In other words, when  $R_0$  is more than 1, the number x and its opposite number b may be appear the same side of the midpoint  $x_0$ . Obviously, this is contrary to the basic idea of opposition-based learning. Thus, in order to follow the basic idea of opposition-based learning,  $R_0$  is set to [0, 1].

#### 3.2. The proposed BSA\_SRL

Based on the built specular reflection learning model, opposite number in Eq. (1) can be rewritten as

$$\breve{\chi} = (0.5\lambda + 0.5) \times (V_{L} + V_{U}) - \lambda \chi \tag{16}$$

In addition, opposite point in Eq. (2) also can be rewritten as

$$\breve{x}_i = (0.5\lambda + 0.5) \times (V_{L,i} + V_{U,i}) - \lambda x_i, i = 1, 2, \dots, D$$
(17)

Based on Eqs. (16)–(17), when specular reflection learning is used for improving the performance of metaheuristics, the opposite solution  $P = (x_1, x_2, ..., x_D)$  of the solution  $P = (x_1, x_2, ..., x_D)$  obtained by specular reflection can be denoted as

$$\breve{x}_i = (0.5\lambda + 0.5) \times (U_{max,i} + L_{min,i}) - \lambda x_i, i = 1, 2, \dots, D$$
(18)

where  $\boldsymbol{U}_{\max} = \left(U_{max,1}, U_{max,2}, \dots, U_{max,D}\right)$  and  $\boldsymbol{L}_{\min} = \left(L_{min,1}, L_{min,2}, \dots, L_{min,D}\right)$  are the upper limits and lower limits of the current population, respectively.

Like opposition-based learning, specular reflection learning is also as a mutation operator used for improving metaheuristic methods by jumping rate  $J_r$ . In addition, some elements produced by Eq. (18) may be out of boundary, which is handled by

$$\overline{\mathbf{x}}_{i} = \begin{cases} l_{i}, & \text{if } \overline{\mathbf{x}}_{i} < l_{i} \\ u_{i}, & \text{if } \overline{\mathbf{x}}_{i} > u_{i} \end{cases}$$
(19)

Fig. 3 presents the pseudocode of the proposed BSA\_SRL. From Fig. 3, BSA\_SRL has a very simple structure and is easy to work.

## 4. BSA\_SRL for unconstrained numerical optimization

The proposed specular reflection learning is closely related with opposition-based learning. In addition, opposition-based learning can be as a special case of specular reflection learning. Thus, it is very essential for comparing the performance of opposition-based learning and specular reflection learning used for improving metaheuristic methods. In this section, the designed experiments focus on investigating the performance

differences among BSA, BSA\_SRL and backtracking search algorithm with opposition-based learning (BSA\_OBL) for various types of unconstrained optimization problems. The unconstrained numerical optimization problems are extracted from three wellknown test suites, i.e. CEC 2013 [24], CEC2014 [25,26] and CEC 2017 [27,28]. CEC 2013 test suite includes five unimodal functions, 15 simple multimodal functions, and eight composition functions. CEC 2014 test suite consists of three unimodal functions, 13 simple multimodal functions, six hybrid functions and eight composition functions. There are three unimodal functions, seven simple multimodal functions, 10 hybrid functions and 10 composition functions in CEC 2017 test suite. Compared with unimodal functions, multimodal functions are more complex. Thus, the used test problems are very suitable for checking the ability of BSA, BSA\_SRL and BSA\_OBL for solving complex problems. The definitions of CEC 2013, CEC 2014 and CEC 2017 test suites have been listed in Appendix.

In order to make a fair comparison, for BSA, BSA\_OBL and BSA\_SRL, population size and the number of independent runs were set to 50 and 50 for each test problems, respectively. In addition, the maximum number of function evaluations was seen as the terminal condition, which was set to 150,000. Besides, jumping rate  $J_{\rm r}$  for BSA\_OBL and BSA\_SRL was set to 0.3 [15]. In addition, when  $\lambda$  is set to 1 (see line 19 in Fig. 3), Fig. 3 can be seen as the pseudocode of BSA\_OBL algorithm. Thus, the pseudocode of BSA\_OBL is not given again. Besides, during the search process, the obtained solution may be out of boundary, which is processed by

$$x_{i,j} = \begin{cases} l_j, & \text{if } x_{i,j} < l_j \\ u_j, & \text{if } x_{i,j} > u_j \end{cases}$$
 (20)

The experimental results obtained by BSA, BSA\_OBL and BSA\_SRL for CEC 2013, CEC 2014 and CEC 2017 test suites are shown in Table 1, Table 3 and Table 5, respectively. In addition, in the three tables, the optimal solutions were marked in bold type; "Mean" and "Std" stand for mean value and standard variance of the best solutions from 50 independent runs, respectively. Besides, in order to test the significant difference of the solutions achieved by the applied algorithms, Wilcoxon signed ranks test [29] with a significant level  $\alpha = 0.05$  is executed for the mean solutions of 50 independent runs obtained by BSA, BSA\_OBL and BSA\_SRL. The results of Wilcoxon signed ranks test are presented in Table 2, Tables 4 and 6. In Table 2, Tables 4 and 6, "R+" means the sum of ranks for the test function in which BSA\_SRL outperforms the compared algorithm, and "R-" is the sum of ranks for the opposite [29]; "+", "=" and "-" indicate that BSA\_SRL is superior, equal and inferior to the compared algorithm, respectively.

## 4.1. Experimental results for CEC 2013

Fig. 4 shows the comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2013 test suite. According to Fig. 4, BSA\_SRL can offer better solutions than BSA and BSA\_OBL on more than

#### BSA SRL Algorithm

**Input:** Initialize mix\_rate  $M_{\rm rate}$ , jumping rate  $J_{\rm r}$ , population size N, the number of variables D, the objective function  $f(\Box)$ , the current number of function evaluations  $T_{\rm c}$  and the maximum number of function evaluations  $T_{\rm max}$ 

```
01: Begin
02: Initialize population X and population X_{old} by Eq. (3) // Initialization population
03: Calculate the fitness value of each solution and select the optimal solution x_{Rest} // Population evaluation
    Update the current number of function evaluations T_c by T_c = T_c + N
05.
      Repeat // Main loop
06:
         Perform Selection-I operator to update historical population X<sub>old</sub> by Eqs. (4-5) // Selection-I operator
07:
         Calculate scale factor F and update the matrix E // Update parameters of backtracking search algorithm
08:
         For each individual i \in N do
09:
             Perform mutation operator to obtain \psi_i by Eq. (6) // Mutation operator
10:
             Perform crossover operator to obtain \chi_i by Eq. (7) // Crossover operator
11:
             Perform Selection-II operator to obtain x_i by Eq. (8) // Selection-II operator
12:
             Update the current number of function evaluations T_c by T_c = T_c + 1
13:
         End for
14:
         Generate a random number \eta between 0 and 1
15:
         If \eta < J_{\perp} // Condition for performing specular reflection learning
16:
           Calculate the upper limits U_{\max} and lower limits L_{\min} of X // Start (specular reflection learning)
17:
           For each individual i \in N do
18:
               Generate neighborhood radius R_0 and elastic factor \varphi
19:
               Generate \lambda by Eq. (15)
20:
               Obtain the opposite solution of x_i by Eq. (18)
               Check the boundary for the opposite solution of x, by Eq. (19)
21:
22:
               Calculate the fitness value of the opposite solution of x_1
23:
               Update the current number of function evaluations T_c by T_c = T_c + 1
24:
           End for
25:
           Select the first N best individuals from population X = \{x_1, x_2, \dots, x_N\} and P = \{p_1, p_2, \dots, p_N\} as the next
            generation population X /\!/ End (specular reflection learning)
26:
         End if
         Update the optimal solution x_{\text{Best}}
27:
28:
     Until (T_C > T_{\text{max}}) // Terminal condition
```

Fig. 3. The pseudocode of BSA\_SRL algorithm.

sixty percent of test functions with 10-dimensional and 30-dimensional.

**Output:** The optimal solution  $x_{\text{Best}}$ 

From Table 1, for test functions with 10-dimensional, BSA\_SRL can outperform BSA and BSA\_OBL on 17 (i.e. F3, F6, F7, F9, F12, F13, F15, F16, F17, F18, F20, F22, F23, F24, F25, F26 and F27) and 18 (i.e. F2, F3, F4, F6, F7, F11, F13, F14, F15, F16, F17, F18, F20, F22, F23, F25, F26 and 27) test functions, respectively. In addition, BSA\_SRL can get the same solutions with BSA and BSA\_OBL on 5 (i.e. F1, F5, F8, F10 and F11) and 5 (i.e. F1, F5, F8, F9 and F10) functions, respectively. However, BSA and BSA\_OBL only can beat BSA\_SRL on 6 (i.e. F2, F4, F11, F14, F19 and F28) and 5 (i.e. F12, F19, F21, F24 and F28) functions, respectively.

As can be seen from Table 1, for test functions with 30-dimensional, BSA, BSA\_OBL and BSA\_SRL can offer the same solutions on F1, F5 and F8. In addition, BSA and BSA\_OBL are superior to BSA\_SRL on 6 (i.e. F12, F13, F14, F18, F21 and F22) and 7 (i.e. F3, F12, F13, F14, F21, F22 and F24) functions, respectively. But BSA and BSA\_OBL cannot compete with BSA\_SRL on 19 (i.e. F2, F3, F4, F6, F7, F9, F10, F11, F15, F16, F17, F19, F20, F23, F24, F25, F26, F27 and F28) and 18 (i.e. F2, F4, F6, F7, F9, F10, F11, F15, F16, F17, F18, F19, F20, F23, F25, F26, F27 and 28) functions, respectively.

By observing the statistical results of Wilcoxon signed ranks test displayed in Table 2, BSA\_SRL is superior to BSA on 12 functions with 10-dimensional (i.e. F3, F6, F7, F8, F9, F13, F15, F16, F17, F18, F20 and F27) and 16 functions with 30-dimensional (i.e. F1, F2, F3, F5, F6, F8, F9, F10, F11, F15, F16, F17, F19, F20, F23 and F28). BSA\_SRL can achieve better solutions than BSA\_OBL on eight functions with 10-dimensional (i.e. F3, F6, F7, F8, F15, F16, F18 and F27) and 11 functions with 30-dimensional (i.e. F2, F5, F7, F8, F10, F15, F19, F20, F23, F25 and F28). However, BSA only can beat BSA\_SRL on four functions with 10-dimensional (i.e. F2, F4, F10 and F14) and three functions with 30-dimensional (i.e. F13, F14 and F22). In addition, BSA\_OBL only outperforms BSA\_SRL on F28 with 10-dimensional and has no advantages over BSA\_SRL on any functions with 30-dimensional.

#### 4.2. Experimental results for CEC 2014

The comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2014 test suite are presented in Fig. 5. From Fig. 5, BSA\_SRL outperforms BSA and BSA\_OBL on more than forty percent of test functions with 10-dimensional and 30-dimensional.

As presented in Table 3, for test functions with 10-dimensional, BSA\_SRL can give better solutions than BSA and BSA\_OBL on 13 (i.e. F29, F32, F33, F34, F35, F36, F38, F45, F52, F53, F55, F56

Table 1
Experimental results obtained by BSA, BSA\_OBL and BSA\_SRL for CEC 2013 test suite.

No.	Indicator	10-dimensional			30-dimensional		
		BSA	BSA_OBL	BSA_SRL	BSA	BSA_OBL	BSA_SRL
F1	Mean Std	-1.400E+03 0.000E+00	-1.400E+03 0.000E+00	-1.400E+03 0.000E+00	<b>−1.400E+03</b> 1.455E−09	-1.400E+03 2.698E-13	<b>−1.400E+03</b> 3.264E−13
F2	Mean Std	<b>3.858E+04</b> 6.110E+04	5.538E+04 <b>5.501E+04</b>	5.257E+04 5.736E+04	4.239E+06 2.352E+06	3.579E+06 2.126E+06	1.377E+06 9.054E+05
F3	Mean Std	3.329E+08 2.354E+09	3.851E+08 2.500E+09	1.502E+04 9.117E+04	1.872E+09 1.107E+10	9.371E+07 1.126E+08	1.153E+09 6.246E+09
F4	Mean Std	7.969E+02 6.228E+02	1.758E+03 1.340E+03	1.488E+03 1.503E+03	2.255E+04 6.201E+03	2.362E+04 6.508E+03	2.157E+04 5.617E+03
F5	Mean Std	<b>−1.000E+03</b> 3.248E−14	-1.000E+03 2.297E-14	<b>−1.000E+03</b> 4.297E−14	<b>−1.000E+03</b> 6.119E−06	<b>−1.000E+03</b> 3.414E−03	-1.000E+03 3.867E-13
F6	Mean Std	-8.931E+02 <b>4.498E</b> + <b>00</b>	-8.942E+02 4.759E+00	<b>−8.949E+02</b> 4.949E+00	−8.327E+02 <b>1.839E</b> + <b>01</b>	-8.595E+02 2.715E+01	<b>−8.673E+02</b> 2.821E+01
F7	Mean Std	-7.904E+02 1.420E+01	-7.923E+02 1.763E+01	-7.977E+02 5.570E+00	-7.117E+02 4.278E+01	-7.133E+02 3.871E+01	-7.304E+02 2.311E+01
F8	Mean Std	-6.796E+02 $6.390E-02$	<b>−6.796E+02</b> 7.654E−02	<b>−6.796E+02</b> 8.324E−02	<b>−6.790E+02</b> 7.150E−02	<b>−6.790E+02</b> 8.048E−02	-6.790E+02 $6.545E-02$
F9	Mean Std	-5.953E+02 1.687E+00	<b>−5.974E+02</b> 1.462E+00	-5.974E+02 1.143E+00	-5.693E+02 4.445E+00	−5.711E+02 <b>3.961E</b> + <b>00</b>	<b>−5.720E+02</b> 4.585E+00
F10	Mean Std	-4.999E+02 $4.496E-02$	<b>−4.999E+02</b> 7.266E−02	<b>−4.999E+02</b> 4.587E−02	-4.980E+02 8.366E-01	-4.997E+02 1.282E-01	-4.999E+02 5.899E-02
F11	Mean Std	-3.999E+02 $4.025E-01$	-3.997E+02 5.966E-01	-3.998E+02 4.197E-01	−3.756E+02 <b>8.312E</b> + <b>00</b>	-3.782E+02 1.032E+01	- <b>3.794E</b> + <b>02</b> 9.949E+00
F12	Mean Std	-2.929E+02 3.034E+00	<b>−2.932E+02</b> 3.167E+00	-2.931E+02 <b>2.645E</b> + <b>00</b>	-1.888E+02 2.705E+01	-1.832E+02 3.456E+01	-1.782E+02 3.373E+01
F13	Mean Std	-1.845E+02 6.787E+00	-1.879E+02 6.294E+00	-1.885E+02 6.172E+00	<b>−2.180E+01</b> 2.097E+01	−1.196E+01 <b>2.076E</b> + <b>01</b>	1.049E+00 2.587E+01
F14	Mean Std	-8.536E+01 $9.605E+00$	-5.091E+01 2.984E+01	-6.757E+01 2.617E+01	1.886E+03 3.033E+02	2.310E+03 4.333E+02	2.362E+03 3.518E+02
F15	Mean Std	1.085E+03 3.466E+02	1.065E+03 2.580E+02	6.027E+02 1.819E+02	6.104E+03 <b>7.785E</b> + <b>02</b>	5.230E+03 9.208E+02	<b>4.617E+03</b> 8.496E+02
F16	Mean Std	2.012E+02 5.134E-01	2.011E+02 5.904E-01	2.007E+02 3.808E-01	2.024E+02 7.677E-01	2.018E+02 7.519E-01	2.016E+02 7.422E-01
F17	Mean Std	3.117E+02 7.138E-01	3.112E+02 1.064E+00	3.109E+02 3.631E-01	3.893E+02 1.137E+01	3.876E+02 <b>1.037E</b> + <b>01</b>	<b>3.841E+02</b> 1.128E+01
F18	Mean Std	4.244E+02 <b>3.230E</b> + <b>00</b>	4.228E+02 3.953E+00	<b>4.201E+02</b> 3.332E+00	5.906E+02 2.851E+01	5.913E+02 3.759E+01	5.907E+02 3.213E+01
F19	Mean Std	<b>5.005E+02</b> 1.185E-01	<b>5.005E+02</b> 1.475E-01	5.006E+02 <b>1.084E-01</b>	5.055E+02 1.781E+00	5.052E+02 <b>1.462E</b> + <b>00</b>	<b>5.045E+02</b> 1.519E+00
F20	Mean Std	6.034E+02 2.789E-01	6.033E+02 <b>2.401E-01</b>	<b>6.032E+02</b> 3.756E-01	6.130E+02 <b>4.475E-01</b>	6.130E+02 4.481E-01	<b>6.125E+02</b> 6.126E-01
F21	Mean Std	1.000E+03 3.248E-14	<b>9.880E+02</b> 5.938E+01	1.000E+03 <b>1.624E-14</b>	1.014E+03 4.338E+01	1.023E+03 6.967E+01	1.040E+03 8.698E+01
F22	Mean Std	9.935E+02 5.937E+01	9.827E+02 5.575E+01	9.750E+02 3.730E+01	2.763E+03 3.571E+02	3.536E+03 7.052E+02	3.648E+03 6.676E+02
F23	Mean Std	2.003E+03 2.954E+02	2.021E+03 2.988E+02	1.957E+03 2.187E+02	7.340E+03 1.081E+03	6.832E+03 9.012E+02	6.264E+03 8.639E+02
F24	Mean Std	1.216E+03 <b>7.953E</b> + <b>00</b>	<b>1.204E+03</b> 3.135E+01	1.205E+03 3.000E+01	1.285E+03 1.262E+01	<b>1.283E+03</b> 1.517E+01	1.284E+03 <b>1.215E</b> + <b>01</b>
F25	Mean Std	1.319E+03 <b>2.556E</b> + <b>00</b>	1.319E+03 4.393E+00	<b>1.317E+03</b> 1.386E+01	1.401E+03 <b>1.311E</b> + <b>01</b>	1.402E+03 1.418E+01	<b>1.397E</b> + <b>03</b> 1.374E+01
F26	Mean Std	1.393E+03 <b>1.910E</b> + <b>01</b>	1.388E+03 2.898E+01	<b>1.377E+03</b> 3.911E+01	1.457E+03 <b>8.381E</b> + <b>01</b>	1.463E+03 8.658E+01	<b>1.455E+03</b> 8.445E+01
F27	Mean Std	1.844E+03 <b>3.325E+01</b>	1.815E+03 6.803E+01	<b>1.680E+03</b> 9.442E+01	2.440E+03 1.322E+02	2.405E+03 1.276E+02	2.391E+03 1.013E+02
F28	Mean Std	1.676E+03 <b>6.001E</b> + <b>01</b>	<b>1.644E+03</b> 9.071E+01	1.680E+03 6.061E+01	1.696E+03 <b>2.828E</b> + <b>01</b>	1.718E+03 1.566E+02	<b>1.692E+03</b> 3.959E+01

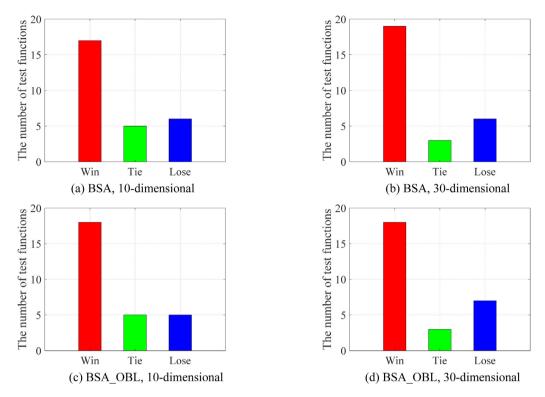


Fig. 4. The comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2013 test suite. "Win", "Tie" and "Lose" means the number of test functions that BSA\_SRL is superior, equal and inferior to the compared algorithms, respectively.

**Table 2**Results produced by Wilcoxon signed ranks test for CFC 2013 test suite

No.	10-dim	ensional							30-dim	ensional						
	BSA_SR	L vs. BSA			BSA_SR	L vs. BSA_	OBL		BSA_SF	RL vs. BSA	١		BSA_SR	L vs. BSA_	OBL	
	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S
F1	637.5	637.5	1.00E+0	=	637.5	637.5	1.00E+0	=	1275	0	7.56E-10	+	637	638	1.00E+0	=
F2	418	857	3.41E - 2	-	700	575	5.46E - 1	=	1248	27	3.78E-9	+	1208	67	3.65E - 8	+
F3	952	323	2.40E - 3	+	1026	249	1.77E-4	+	935	340	4.08E - 3	+	700	575	5.46E - 1	=
F4	426	849	4.12E-2	-	763	512	2.26E - 1	=	691	584	6.06E - 1	=	798	477	1.21E - 1	=
F5	637.5	637.5	1.00E + 0	=	637.5	637.5	1.00E + 0	=	1275	0	7.56E - 10	+	826.5	448.5	5.59E - 6	+
F6	1039	236	1.06E-4	+	755	520	3.58E-2	+	1184	91	1.32E-7	+	824	451	7.18E-2	=
F7	983	292	8.52E - 4	+	897	378	1.22E-2	+	827	448	6.74E-2	=	895	380	1.29E-2	+
F8	936.5	338.5	4.84E - 4	+	853	422	3.21E-2	+	1046	229	8.03E-5	+	995	280	5.58E - 4	+
F9	1165	110	3.54E - 7	+	621	654	8.73E - 1	=	918	357	6.77E - 3	+	757	518	2.49E - 1	=
F10	417	858	3.33E-2	-	543	732	3.62E - 1	=	1275	0	7.56E - 10	+	1226	49	1.34E-8	+
F11	635	640	9.65E - 1	=	651.5	623.5	2.75E - 1	=	912	363	8.05E - 3	+	716	559	4.49E - 1	=
F12	706	569	5.08E - 1	=	589	686	6.40E - 1	=	510	765	2.18E-1	=	587	688	6.26E - 1	=
F13	963	312	1.68E - 3	+	687	588	6.33E - 1	=	382	893	1.36E-2	-	497	778	1.75E - 1	=
F14	256	1019	2.31E-4	-	836	439	5.53E-2	=	95	1180	1.63E-7	-	538	737	3.37E - 1	=
F15	1202	73	5.06E-8	+	1274	1	8.03E - 10	+	1217	58	2.22E-8	+	1020	255	2.22E-4	+
F16	1152	123	6.81E - 7	+	1053	222	6.05E - 5	+	1101	174	7.67E-6	+	755	520	2.57E - 1	=
F17	1195	80	7.38E-8	+	752	523	2.69E - 1	=	866	409	2.74E-2	+	817	458	8.31E-2	=
F18	1172	103	2.47E - 7	+	949	326	2.64E - 3	+	607	668	7.68E - 1	=	632	643	9.58E - 1	=
F19	547	728	3.82E - 1	=	555	720	4.26E - 1	=	961	314	1.79E-3	+	863	412	2.95E - 2	+
F20	981	294	9.13E - 4	+	750	525	2.77E - 1	=	1041	234	9.82E - 5	+	1009	266	3.36E-4	+
F21	637.5	637.5	1.00E + 0	=	636	639	5.00E - 1	=	618	657	8.51E-1	=	692.5	582.5	5.60E - 1	=
F22	730	545	3.72E - 1	=	680	595	6.82E - 1	=	89	1186	1.19E-7	-	537	738	3.32E - 1	=
F23	715	560	4.54E - 1	=	770	505	2.01E - 1	=	1058	217	4.92E-5	+	913	362	7.83E - 3	+
F24	831	444	6.18E - 2	=	655	620	8.66E - 1	=	648	627	9.19E - 1	=	598	677	7.03E-1	=
F25	800	475	1.17E - 1	=	762	513	2.29E-1	=	749	526	2.82E - 1	=	844	431	4.62E - 2	+
F26	739	536	3.27E - 1	=	757	518	2.49E - 1	=	715	560	4.54E - 1	=	774	501	1.88E - 1	=
F27	1259	16	1.98E-9	+	1242	33	5.37E-9	+	808	467	9.98E - 2	=	716	559	4.49E - 1	=
F28	638.5	636.5	9.44E - 1	=	606	669	2.25E-2	-	1227	48	1.27E-8	+	1228	47	1.19E-8	+
+/= /-	12/12/-	4			8/19/1				16/9/3	3			11/17/	0		

and F57) and 13 (i.e. F29, F32, F34, F36, F37, F38, F39, F52, F53, F55, F56, F57 and F58) test functions, respectively. In addition, BSA\_SRL, BSA and BSA\_OBL can share the same solutions on 12 (i.e. F30, F31, F37, F40, F41, F42, F43, F44, F47, F48, F51 and F54) and 13 (i.e. F30, F31, F33, F35, F40, F41, F42, F43, F44, F47, F50,

F51 and F54) functions, respectively. Note that, BSA and BSA\_OBL only are superior to BSA\_SRL on 5 (i.e. F39, F46, F49, F50 and F58) and 4 (i.e. F45, F46, F48 and F49) functions, respectively.

Based on Table 3, for test functions with 30-dimensional, BSA and BSA\_OBL only can beat BSA\_SRL on four (i.e. F38, F45, F48

Table 3

Experimental results obtained by BSA\_BSA\_OBL and BSA\_SRL for CFC 2014 test suite

No.	Indicator	10-dimensiona	1		30-dimensiona	1	
		BSA	BSA_OBL	BSA_SRL	BSA	BSA_OBL	BSA_SRL
F29	Mean Std	1.654E+02 1.317E+02	1.299E+02 1.219E+02	1.022E+02 4.704E+00	1.690E+06 1.027E+06	1.894E+06 1.154E+06	4.478E+ 3.414E+
F30	Mean Std	<b>2.000E+02</b> 2.503E-14	<b>2.000E+02</b> 1.573E-14	2.000E+02 1.347E-14	8.475E+03 5.784E+03	9.813E+03 9.085E+03	2.000E+ 2.287E-
F31	Mean Std	3.000E+02 8.120E-15	<b>3.000E+02</b> 3.046E-13	<b>3.000E+02</b> 5.006E-14	6.914E+02 7.083E+02	5.659E+02 7.838E+02	3.172E+ 2.941E+
F32	Mean Std	4.229E+02 1.607E+01	4.248E+02 <b>1.546E</b> + <b>01</b>	<b>4.219E+02</b> 1.664E+01	4.984E+02 <b>2.843E</b> + <b>01</b>	4.809E+02 3.646E+01	<b>4.512E</b> +4.125E+
33	Mean Std	5.202E+02 6.562E-02	5.201E+02 4.697E-02	5.201E+02 4.504E-02	5.207E+02 9.641E-02	<b>5.205E+02</b> 1.309E-01	5.205E+ 1.268E-
34	Mean Std	6.019E+02 1.868E+00	6.019E+02 2.003E+00	6.004E+02 1.060E+00	6.201E+02 7.600E+00	6.153E+02 6.909E+00	6.109E+ 4.567E+
35	Mean Std	<b>7.003E+02</b> 2.209E+00	<b>7.000E</b> + <b>02</b> 2.423E-02	7.000E+02 1.849E-02	7.000E+02 1.273E-02	<b>7.000E</b> + <b>02</b> 1.625E-02	<b>7.000E</b> + 2.474E-
36	Mean Std	8.002E+02 4.654E-01	8.004E+02 7.564E-01	8.001E+02 2.727E-01	8.187E+02 8.283E+00	8.184E+02 6.955E+00	8.157E+ 5.969E+
37	Mean Std	9.042E+02 1.577E+00	9.050E+02 1.982E+00	<b>9.042E+02</b> 1.784E+00	9.727E+02 1.752E+01	9.666E+02 1.573E+01	9.683E+ 1.850E+
38	Mean Std	1.104E+03 8.062E+01	1.057E+03 4.171E+01	1.052E+03 1.348E+01	<b>2.275E+03</b> 4.402E+02	2.353E+03 <b>3.584E</b> + <b>02</b>	2.376E+ 4.227E+
39	Mean Std	<b>1.422E+03</b> 1.819E+02	1.521E+03 2.189E+02	1.473E+03 <b>1.651E</b> + <b>02</b>	6.036E+03 1.177E+03	4.275E+03 6.855E+02	4.982E+ 8.971E+
40	Mean Std	1.200E+03 8.727E-02	<b>1.200E+03</b> 1.025E-01	<b>1.200E+03</b> 1.214E-01	<b>1.201E+03</b> 3.728E-01	<b>1.201E+03</b> 3.737E-01	1.201E+ 3.049E-
41	Mean Std	1.300E+03 3.471E-02	<b>1.300E+03</b> 6.582E-02	<b>1.300E+03</b> 4.664E-02	1.300E+03 5.983E-02	<b>1.300E+03</b> 7.224E-02	<b>1.300E</b> - 8.112E-
42	Mean Std	1.400E+03 5.180E-02	<b>1.400E+03</b> 6.821E-02	<b>1.400E+03</b> 6.378E-02	1.400E+03 3.967E-02	<b>1.400E+03</b> 5.535E-02	<b>1.400E</b> - 5.204E-
43	Mean Std	1.501E+03 1.791E-01	1.501E+03 1.845E-01	1.501E+03 1.881E-01	1.510E+03 2.965E+00	1.509E+03 2.657E+00	1.509E- 2.824E-
44	Mean Std	<b>1.603E+03</b> 2.680E-01	1.603E+03 2.659E-01	<b>1.603E+03</b> 2.805E-01	<b>1.612E+03</b> 5.071E-01	<b>1.612E+03</b> 3.594E-01	1.612E- 3.085E-
45	Mean Std	1.724E+03 3.106E+01	1.719E+03 1.132E+01	1.722E+03 1.549E+01	3.943E+04 3.060E+04	6.574E+04 6.107E+04	5.696E- 5.923E-
46	Mean Std	<b>1.801E+03</b> 7.530E-01	1.801E+03 6.857E-01	1.802E+03 1.619E+00	7.434E+03 7.501E+03	4.406E+03 3.778E+03	6.015E- 5.478E-
47	Mean Std	<b>1.901E+03</b> 9.388E-01	<b>1.901E+03</b> 3.485E-01	1.901E+03 3.334E-01	1.908E+03 8.193E+00	1.912E+03 1.782E+01	1.906E- 1.439E-
48	Mean Std	2.001E+03 6.126E-01	2.000E+03 4.906E-01	2.001E+03 5.290E-01	<b>2.575E+03</b> 7.239E+02	2.863E+03 1.140E+03	2.643E+ <b>6.436E</b> -
49	Mean Std	2.101E+03 2.393E+00	2.101E+03 2.433E+00	2.102E+03 <b>3.392E</b> + <b>00</b>	1.239E+04 1.066E+04	1.332E+04 1.531E+04	1.046E- 8.215E-
50	Mean Std	2.203E+03 5.571E+00	2.204E+03 6.656E+00	2.204E+03 5.901E+00	<b>2.407E+03</b> 1.183E+02	2.472E+03 1.236E+02	2.465E⊣ <b>1.069E</b> -
51	Mean Std	<b>2.629E+03</b> 9.636E-13	2.629E+03 9.526E-13	2.629E+03 9.526E-13	<b>2.615E+03</b> 1.949E-06	<b>2.615E+03</b> 1.017E-06	2.615E- 2.550E-
52	Mean Std	2.512E+03 <b>2.300E</b> + <b>00</b>	2.513E+03 3.015E+00	<b>2.511E+03</b> 3.307E+00	2.626E+03 <b>2.621E</b> + <b>00</b>	2.625E+03 3.152E+00	<b>2.613E</b> - 1.004E-
53	Mean Std	2.656E+03 3.594E+01	2.641E+03 2.591E+01	2.628E+03 2.263E+01	2.710E+03 3.177E+00	2.704E+03 4.591E+00	2.702E- 3.110E-
54	Mean Std	<b>2.700E+03</b> 3.983E-02	<b>2.700E+03</b> 5.321E-02	2.700E+03 3.930E-02	2.701E+03 1.226E+00	2.701E+03 6.954E-01	2.700E+ 2.464E-
55	Mean Std	2.960E+03 <b>1.534E</b> + <b>02</b>	2.977E+03 1.747E+02	<b>2.871E+03</b> 1.862E+02	3.405E+03 3.403E+02	3.283E+03 2.683E+02	3.181E⊣ 1.999E⊣
56	Mean Std	3.217E+03 <b>2.623E</b> + <b>01</b>	3.214E+03 2.631E+01	<b>3.180E+03</b> 4.017E+01	4.044E+03 <b>3.069E</b> + <b>02</b>	4.032E+03 3.587E+02	<b>3.917E</b> - 3.318E+
57	Mean Std	7.235E+04 3.413E+05	3.726E+04 2.401E+05	3.173E+03 7.334E+01	7.676E+06 1.641E+06	8.027E+06 <b>1.172E</b> + <b>06</b>	<b>5.541E</b> -4.039E+
58	Mean Std	3.524E+03 1.240E+02	3.638E+03 5.199E+02	3.535E+03 1.390E+02	7.471E+04 7.727E+04	5.685E+04 6.339E+04	2.423E- 3.561E-

and F50) and four (i.e. F37, F38, F39, F46) functions, respectively. However, BSA\_SRL outperforms BSA and BSA\_OBL on 20 (i.e. F29, F30, F31, F32, F33, F34, F36, F37, F39, F43, F46, F47, F49, F52, F53, F54, F55, F56, F57 and F58) and 18 (i.e. F29, F30, F31, F32, F34, F36, 45, F47, F48, F49, F50, F52, F53, F54, F55, F56, F57 and F58) functions, respectively. In addition, BSA\_SRL can find the same

solutions with BSA and BSA\_OBL on six (i.e. F35, F40, F41, F42, F44 and F51) and eight (F33, F35, F40, F41, F42, F43, F44 and F51) functions, respectively.

As can be seen from the statistical results of Wilcoxon signed ranks test presented in Table 4, BSA\_SRL outperforms BSA on nine functions with 10-dimensional (i.e. F29, F33, F34, F38, F42, F53,

**Table 4**Results produced by Wilcoxon signed ranks test for CEC 2014 test suite.

No.	10-dime	ensional							30-dim	ensional						
	BSA_SRI	L vs. BSA			BSA_SR	L vs. BSA_	OBL		BSA_SR	L vs. BSA			BSA_SR	Lvs. BSA_	OBL	
	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S
F29	1199	76	5.95E-8	+	865	410	2.81E-2	+	1254	21	2.66E-9	+	1263	12	1.56E-9	+
F30	638	637	1.00E + 0	=	637.5	637.5	1.00E + 0	=	1275	0	7.56E - 10	+	1275	0	7.56E - 10	+
F31	636	639	5.00E - 1	=	637.5	637.5	1.00E + 0	=	1106	169	6.11E - 6	+	1065	210	3.68E - 5	+
F32	698.5	576.5	2.32E - 1	=	705.5	569.5	1.02E - 1	=	1189	86	1.02E - 7	+	1010	265	3.23E - 4	+
F33	1087	188	1.43E - 5	+	804	471	1.08E - 1	=	1196	79	6.99E - 8	+	880	395	1.92E - 2	+
F34	1049	226	3.40E - 6	+	998.5	276.5	6.36E - 6	+	1206	69	4.07E - 8	+	956	319	2.11E - 3	+
F35	473	802	1.02E - 1	=	697	578	5.66E - 1	=	351	924	5.68E - 3	_	545	730	3.72E - 1	=
F36	647	628	3.54E - 1	=	700.5	574.5	3.67E - 3	+	824	451	7.18E - 2	=	855	420	3.58E - 2	+
F37	682.5	592.5	6.54E - 1	=	890	385	1.20E - 2	+	748	527	2.86E - 1	=	589	686	6.40E - 1	=
F38	1202	73	5.06E - 8	+	515	760	2.37E - 1	=	503	772	1.94E - 1	=	602	673	7.32E - 1	=
F39	471	804	1.08E - 1	=	729	546	3.77E - 1	=	1031	244	1.46E-4	+	272	1003	4.18E - 4	-
F40	684	591	6.54E - 1	=	496	779	1.72E - 1	=	775	500	1.84E - 1	=	405	870	2.48E - 2	-
F41	313	962	1.73E-3	_	1020	255	2.22E - 4	+	72	1203	4.79E - 8	_	223	1052	6.30E - 5	-
F42	1141	134	1.17E-6	+	1081	194	1.86E - 5	+	324	951	2.48E - 3	_	426	849	4.12E - 2	-
F43	575	700	5.46E - 1	=	772	503	1.94E - 1	=	869	406	2.54E - 2	+	681	594	6.75E - 1	=
F44	736	539	3.42E - 1	=	803	472	1.10E - 1	=	912	363	8.05E - 3	+	644	631	9.50E - 1	=
F45	555	720	4.26E - 1	=	589	686	6.40E - 1	=	535	740	3.22E - 1	=	697	578	5.66E - 1	=
F46	550	725	3.98E - 1	=	576	699	5.53E - 1	=	707	568	5.02E - 1	=	456	819	7.98E - 2	=
F47	452	823	7.33E-2	=	747	528	2.90E - 1	=	832	443	6.04E - 2	=	724	551	4.04E - 1	=
F48	539	736	3.42E - 1	=	367	908	9.02E - 3	-	495	780	1.69E - 1	=	700	575	5.46E - 1	=
F49	341	934	4.21E - 3	-	582	693	5.92E - 1	=	676	599	7.10E - 1	=	665	610	7.91E - 1	=
F50	372	903	1.04E-2	-	588	687	6.33E - 1	=	348	927	5.20E - 3	-	644	631	9.50E - 1	=
F51	637.5	637.5	1.00E + 0	=	637.5	637.5	1.00E + 0	=	1275	0	7.56E - 10	+	1275	0	7.56E - 10	+
F52	830	445	6.31E-2	=	903	372	1.04E-2	+	1222	53	1.68E-8	+	1190	85	9.64E - 8	+
F53	1085	190	1.56E-5	+	925	350	5.51E - 3	+	1266	9	1.30E-9	+	913	362	1.86E-3	+
F54	602	673	7.32E - 1	=	1012	263	3.00E - 4	+	554	721	4.20E - 1	=	562	713	4.66E - 1	=
F55	844	431	4.62E - 2	+	947	328	2.81E - 3	+	1097	178	9.18E - 6	+	976	299	1.08E - 3	+
F56	1184	91	1.32E - 7	+	1115	160	4.04E - 6	+	754	521	2.61E - 1	=	776	499	1.81E - 1	=
F57	1004	271	4.03E-4	+	988	287	7.16E-4	+	866	409	2.30E-2	+	905	370	2.53E-3	+
F58	594	681	6.75E-1	=	753	522	2.65E-1	=	1055	220	5.57E-5	+	957	318	2.04E-3	+
+/=/-	9/18/3				12/17/	1			15/11/	4			13/13/	4		

F55, F56 and F57) and 15 functions with 30-dimensional (i.e. F29, F30, F31, F32, F33, F34, F39, F43, F44, F51, F52, F53, F55, F57 and F58). BSA only can give better solutions than BSA\_SRL on three functions with 10-dimensional (i.e. F41, F49 and F50) and four functions with 30-dimensional (i.e. F35, F41, F42 and F50). In addition, BSA\_OBL cannot compete with BSA\_SRL on 12 functions with 10-dimensional (i.e. F29, F34, F36, F37, F41, F42, F52, F53, F54, F55, F56 and F57) and 13 functions with 30-dimensional (i.e. F29, F30, F31, F32, F33, F34, F36, F51, F52, F53, F55, F57 and F58). Note that, BSA\_SRL only is inferior to BSA\_OBL on F48 with 10-dimensional and four functions with 30-dimensional (i.e. F39, F40, F41 and F42).

## 4.3. Experimental results for CEC 2017

The comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2017 test suite are given in Fig. 6. According to Fig. 6, BSA\_SRL outperforms BSA and BSA\_OBL on more than sixty percent of test functions with 10-dimensional and 30-dimensional.

By observing Table 5, for test functions with 10-dimensional, BSA and BSA\_OBL can offer the same solution with BSA\_SRL on eight (i.e. F59, F60, F61, F64, F67, F72, F76 and F77) and 12 (i.e. F59, F60, F61, F64, F67, F69, F71, F72, F73, F77, F78 and F87) functions, respectively. In addition, BSA\_SRL is only inferior to BSA and BSA\_OBL on five (i.e. F69, F73, F75, F83 and F87) and four (i.e. F70, F76, F83 and F84) functions, respectively. Note that, BSA\_SRL outperforms BSA and BSA\_SRL on the rest functions.

As presented in Table 5, for test functions with 30-dimensional, BSA\_SRL cannot beat BSA on only seven functions (i.e. F60, F66, F67, F74, F76, F77 and F79) while BSA\_SRL is superior to BSA on 22 functions (i.e. F59, F61, F62, F63, F65, F68, F69, F70, F71, F72, F73, F75, F78, F80, F81, F82, F83, F84, F85, F86, F87 and F88). Although BSA\_OBL shows better performance than BSA\_SRL on 11 functions (i.e. F60, F63, F65, F67, F69, F71, F72, F74, F76, F79 and F83), BSA\_OBL cannot compete with BSA\_SRL on more than half of functions, including F59, F61, F62, F66, F68, F70, F73, F75, F77, F78, F80, F81, F82, F84, F85, F86, F87 and F88.

From the statistical results of Wilcoxon signed ranks test shown in Table 6, BSA\_SRL can give better solutions than BSA on

16 functions with 10-dimensional (i.e. F59, F60, F61, F62, F63, F65, F66, F68, F78, F79, F81, F82, F84, F85, F86 and F88) and 13 functions with 30-dimensional (i.e. F59, F60, F62, F64, F68, F69, F70, F71, F78, F80, F82, F86 and F87). However, BSA is only superior to BSA\_SRL on four functions with 10-dimensional (i.e. F69, F73, F85 and F87) and four functions with 30-dimensional (i.e. F67, F74, F76 and F77), respectively. In addition, BSA\_OBL only can win BSA\_SRL on two functions with 10-dimensional (i.e. F73 and F83) and F67 with 30-dimensional. But BSA\_SRL outperforms BSA\_OBL on seven functions with 10-dimensional (i.e. F62, F63, F65, F66, F82, F85 and F88) and 11 functions with 30-dimensional (i.e. F59, F60, F62, F64, F68, F70, F77, F80, F86, F87 and F88).

### 4.4. Discussion for the effectiveness of specular reflection learning

This section discusses the effectiveness of specular reflection learning introduced to BSA based on the experimental results shown in Sections 4.1–4.3.

As mentioned previously, opposition-based learning can be regarded as one special case of specular reflection learning. Thus, specular reflection learning also can be called generalized opposition-based learning. The only difference between opposition-based learning and specular reflection learning is the way of achieving opposite solutions. More specifically, according to Eq. (15),  $\lambda$  is a random number between 0 and 2 in specular reflection learning. In addition, if  $\lambda$  is equal to 1, specular reflection learning will turn to opposition-based learning. For a solution p, its opposite solution  $\boldsymbol{p}$  is "static" in the opposition-based learning while its opposite solution  $\boldsymbol{p}$  is "dynamic" in the specular reflection learning. Further, "static" means only one candidate solution and "dynamic" indicates more than one candidate solutions. In other words, specular reflection learning has stronger local search ability compared with opposition-based learning, which is helpful for specular reflection learning to improve population diversity. This advantage of specular reflection learning has been proven in the designed experiments. The used test functions include 11 unimodal functions and 77 multimodal functions (including simple multimodal functions, hybrid functions and composition

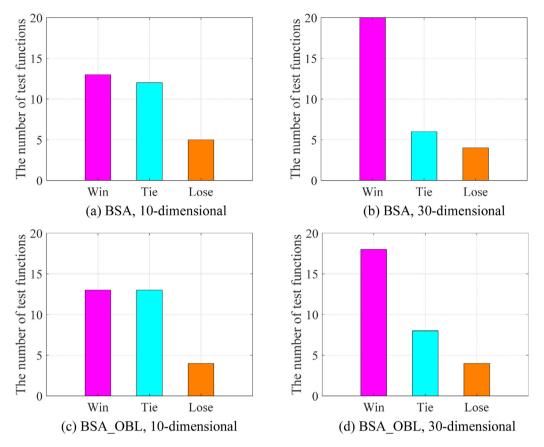


Fig. 5. The comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2014 test suite. "Win", "Tie" and "Lose" means the number of test functions that BSA\_SRL is superior, equal and inferior to the compared algorithm, respectively.

functions). According to Table 1, Table 3 and Table 5, Table 7 displays the statistical results obtained by BSA, BSA\_OBL and BSA\_SRL for all test functions. From the last column of Table 7, for each test dimension, BSA\_SRL all can offer better solutions than BSA and BSA\_OBL on more than fifty percent of test functions. That is, specular reflection learning is more effective than opposition-based learning for improving BSA.

## 5. BSA SRL for real-world constrained engineering optimization

In this section, the proposed BSA\_SRL is used to solve two challenging real-world constrained engineering optimization problems including rolling element bearing design problem and pressure vessel design problem. The constraint handling method is based on the penalty function approach as done in [30]. In addition, population size and the maximum number of function evaluations were set to 50 and 50,000, respectively. 50 independent runs were executed for each test problem and the optimal results were recorded. Besides, to prove the competitiveness of BSA\_SRL, the solutions obtained by BSA\_SRL were compared with some recently reported solutions.

## 5.1. Rolling element bearing design problem

The objective of this problem is to maximize the dynamic load carting capacity of a rolling element bearing. The detailed discussion about this problem can be found in [31]. 10 design variables  $\mathbf{x} = [D_{\rm m}, D_{\rm b}, Z, f_{\rm i}, f_{\rm o}, K_{\rm Dmin}, K_{\rm Dmax}, \varepsilon, e, \zeta]$  are considered for this problem where  $D_{\rm m}$  is the pitch diameter,  $D_{\rm b}$  is the ball diameter, Z is the number of balls, fis the inner raceway curvature coefficients,  $f_0$  is the outer raceway curvature coefficients,

 $K_{\text{Dmin}}$  is the minimum ball diameter limiter,  $K_{\text{Dmax}}$  is the maximum ball diameter limiter,  $\varepsilon$  is the parameter for outer ring strength consideration, e is the parameter for mobility condition,  $\zeta$  is the bearing width limiter. Note that Z is a discrete design variable and the rest variables are continuous design variables. Nine subjected constraints are imposed based on kinematic and manufacturing considerations. This problem can be defined as

Maximum 
$$C_{\rm d} = \begin{cases} f_{\rm c} Z^{2/3} D_{\rm b}^{1.8}, & \text{if } D \le 25.4 \text{ mm} \\ 3.647 f_{\rm c} Z^{2/3} D_{\rm b}^{1.4}, & \text{if } D > 25.4 \text{ mm} \end{cases}$$
 (21)

Subject to:

$$g_1(\mathbf{x}) = \frac{\phi_0}{2\sin^{-1}(D_b/D_m)} - Z + 1 \ge 0$$
 (22)

$$g_2(\mathbf{x}) = 2D_b - K_{D \min}(D - d) \ge 0$$
 (23)

$$g_3(\mathbf{x}) = K_{\text{D max}}(D-d) - 2D_b \ge 0$$
 (24)

$$g_4(\mathbf{x}) = \zeta B_{\mathsf{W}} - D_{\mathsf{b}} \le 0 \tag{25}$$

$$g_5(\mathbf{x}) = D_{\rm m} - 0.5(D+d) \ge 0$$
 (26)

$$g_6(\mathbf{x}) = (0.5 + e)(D + d) - D_m \ge 0$$
 (27)

$$g_7(\mathbf{x}) = 0.5(D - D_{\rm m} - D_{\rm b}) - \varepsilon D_{\rm b} \ge 0$$
 (28)  
 $g_8(\mathbf{x}) = f_{\rm i} \ge 0.515$  (29)

(28)

$$g_9(\mathbf{x}) = f_0 \ge 0.515 \tag{30}$$

$$\begin{split} \gamma &= \frac{D_{b}}{D_{m}}, f_{i} = \frac{r_{i}}{D_{b}}, f_{o} = \frac{r_{o}}{D_{b}}, T = D - d - 2D_{b}, D = 160, \\ d &= 90, B_{w} = 30, D = 160, r_{i} = r_{o} = 11.033 \\ 0.5 &(D + d) \leq D_{m} \leq 0.6 &(D + d), \end{split}$$

Table 5
Experimental results obtained by BSA, BSA\_OBL and BSA\_SRL for CEC 2017 test suite.

No.	Indicator	10-dimensional			30-dimensiona		
FFO		BSA	BSA_OBL	BSA_SRL	BSA	BSA_OBL	BSA_SRL
F59	Mean Std	<b>1.000E+02</b> 2.456E-11	<b>1.000E+02</b> 3.004E-07	1.000E+02 8.370E-15	2.405E+03 <b>2.365E</b> + <b>03</b>	4.709E+03 4.991E+03	<b>1.383E+03</b> 2.951E+03
F60	Mean Std	<b>2.000E+02</b> 1.201E-08	<b>2.000E+02</b> 5.076E-08	2.000E+02 2.353E-09	7.258E+13 3.890E+14	7.383E+21 4.972E+22	1.119E+22 7.834E+22
F61	Mean Std	<b>3.000E+02</b> 1.467E-11	<b>3.000E+02</b> 1.816E-14	3.000E+02 1.989E-14	1.053E+04 <b>4.070E</b> + <b>03</b>	1.081E+04 4.949E+03	<b>1.034E+04</b> 4.511E+03
F62	Mean Std	4.004E+02 2.741E-01	4.002E+02 1.078E-01	4.000E+02 2.297E-03	4.900E+02 2.466E+01	4.897E+02 <b>2.209E</b> + <b>01</b>	<b>4.643E+02</b> 2.673E+01
F63	Mean Std	5.052E+02 2.904E+00	5.048E+02 <b>1.836E</b> + <b>00</b>	<b>5.040E</b> + <b>02</b> 2.576E+00	5.678E+02 1.755E+01	5.658E+02 1.519E+01	5.667E+02 2.049E+01
F64	Mean Std	$\substack{6.000E+02\\5.387E-14}$	<b>6.000E+02</b> 6.890E-14	<b>6.000E</b> + <b>02</b> 7.956E-14	<b>6.000E+02</b> 8.411E-02	6.000E+02 7.608E-03	<b>6.000E+02</b> 1.671E-02
F65	Mean Std	7.147E+02 1.853E+00	7.152E+02 2.039E+00	7.139E+02 1.796E+00	8.237E+02 2.341E+01	8.107E+02 1.619E+01	8.167E+02 2.356E+01
F66	Mean Std	8.048E+02 <b>1.634E+00</b>	8.049E+02 1.999E+00	<b>8.036E+02</b> 1.745E+00	8.586E+02 1.365E+01	8.633E+02 1.768E+01	8.605E+02 1.418E+01
F67	Mean Std	9.000E+02 0.000E+00	$\substack{\textbf{9.000E} + \textbf{02} \\ \textbf{0.000E} + \textbf{00}}$	<b>9.000E+02</b> 2.570E-01	9.892E+02 8.410E+01	1.000E+03 1.361E+02	1.101E+03 1.891E+02
F68	Mean Std	1.667E+03 2.692E+02	1.583E+03 2.167E+02	1.522E+03 1.517E+02	6.813E+03 9.408E+02	6.249E+03 1.203E+03	5.301E+03 8.636E+02
F69	Mean Std	<b>1.101E+03</b> 1.409E+00	1.102E+03 <b>1.224E</b> + <b>00</b>	1.102E+03 1.927E+00	1.170E+03 3.121E+01	1.151E+03 3.116E+01	1.154E+03 2.543E+01
F70	Mean Std	1.996E+03 4.286E+03	1.364E+03 9.977E+01	1.422E+03 2.438E+02	8.379E+04 8.736E+04	7.323E+04 5.317E+04	4.158E+04 2.102E+04
F71	Mean Std	1.306E+03 <b>1.900E+00</b>	<b>1.305E+03</b> 2.577E+00	<b>1.305E+03</b> 3.069E+00	2.400E+04 1.543E+04	<b>1.481E+04</b> 1.578E+04	1.505E+04 <b>1.507E</b> + <b>04</b>
F72	Mean Std	<b>1.401E+03</b> 1.132E+00	<b>1.401E+03</b> 1.105E+00	1.401E+03 8.268E-01	1.464E+03 <b>2.049E</b> + <b>01</b>	<b>1.461E+03</b> 2.375E+01	1.462E+03 2.139E+01
F73	Mean Std	1.500E+03 4.874E-01	1.501E+03 5.495E-01	1.501E+03 8.139E-01	2.102E+03 <b>9.515E</b> + <b>02</b>	2.625E+03 2.059E+03	<b>2.101E+03</b> 9.863E+02
F74	Mean Std	1.606E+03 1.568E+01	1.606E+03 1.755E+01	1.604E+03 9.311E+00	2.131E+03 1.991E+02	<b>2.182E+03</b> 2.135E+02	2.224E+03 <b>1.753E</b> + <b>02</b>
F75	Mean Std	1.707E+03 5.770E+00	1.714E+03 1.061E+01	1.710E+03 6.907E+00	1.847E+03 9.160E+01	1.830E+03 9.012E+01	1.821E+03 8.735E+01
F76	Mean Std	1.801E+03 <b>5.287E-01</b>	<b>1.800E+03</b> 5.893E-01	1.801E+03 8.905E-01	<b>1.478E+04</b> 3.139E+04	1.499E+04 1.614E+04	1.819E+04 <b>1.529E</b> + <b>04</b>
F77	Mean Std	<b>1.900E+03</b> 2.442E-01	1.900E+03 3.976E-02	<b>1.900E+03</b> 2.749E-01	2.006E+03 1.472E+02	3.836E+03 3.197E+03	2.911E+03 2.071E+03
F78	Mean Std	2.002E+03 4.064E+00	2.001E+03 1.024E+00	<b>2.001E+03</b> 1.058E+00	2.403E+03 2.756E+02	2.318E+03 <b>1.472E</b> + <b>02</b>	<b>2.261E+03</b> 1.615E+02
F79	Mean Std	2.271E+03 4.974E+01	2.205E+03 2.959E+01	2.201E+03 1.297E+00	2.347E+03 1.317E+01	2.350E+03 1.393E+01	2.351E+03 2.528E+01
F80	Mean Std	2.300E+03 <b>6.065E+00</b>	2.291E+03 2.704E+01	<b>2.289E+03</b> 2.953E+01	7.384E+03 1.481E+03	5.459E+03 2.481E+03	2.372E+03 5.082E+02
F81	Mean Std	2.615E+03 8.400E+00	2.613E+03 <b>4.788E</b> + <b>00</b>	<b>2.611E+03</b> 5.429E+00	2.714E+03 5.959E+01	2.704E+03 1.574E+01	2.698E+03 1.508E+01
F82	Mean Std	2.737E+03 <b>3.463E+01</b>	2.722E+03 6.623E+01	<b>2.701E+03</b> 8.200E+01	2.885E+03 3.252E+01	2.878E+03 2.302E+01	2.870E+03 1.415E+01
F83	Mean Std	2.920E+03 2.311E+01	2.914E+03 2.219E+01	2.928E+03 2.246E+01	2.896E+03 1.537E+01	<b>2.889E+03</b> 3.520E+00	2.893E+03 <b>1.258E</b> + <b>01</b>
F84	Mean Std	2.918E+03 2.856E+01	<b>2.895E+03</b> 4.308E+01	2.902E+03 <b>9.333E</b> + <b>00</b>	4.481E+03 3.887E+02	4.350E+03 <b>2.527E</b> + <b>02</b>	<b>4.338E+03</b> 3.857E+02
F85	Mean Std	3.091E+03 2.857E+00	3.092E+03 3.138E+00	3.090E+03 1.439E+00	3.235E+03 3.383E+01	3.232E+03 4.718E+01	3.228E+03 2.297E+01
F86	Mean Std	3.254E+03 1.242E+02	3.158E+03 <b>8.213E</b> + <b>01</b>	<b>3.132E+03</b> 8.487E+01	3.697E+03 1.118E+03	3.404E+03 7.950E+02	3.166E+03 5.373E+01
F87	Mean Std	3.139E+03 5.455E+00	3.149E+03 1.434E+01	3.149E+03 1.090E+01	4.091E+03 6.124E+02	3.909E+03 5.581E+02	3.673E+03 2.940E+02
F88	Mean Std	7.088E+05 4.532E+05	7.430E+05 2.975E+05	3.635E+03 1.893E+02	7.549E+03 2.233E+03	8.651E+03 2.725E+03	7.189E+03 1.518E+03

$$\begin{split} 0.15 \, (D-d) & \leq D_b \leq 0.45 \, (D-d) \, , \, \, 4 \leq Z \leq 50, \\ 0.515 & \leq f_i \leq 0.6, \, \, 0.515 \leq f_o \leq 0.6 \\ 0.4 & \leq K_{D\,min} \leq 0.5, \, 0.6 \leq K_{D\,max} \leq 0.7, \, 0.3 \leq e \leq 0.4, \\ 0.02 & \leq \varepsilon \leq 0.1, \, 0.6 \leq \zeta \leq 0.85 \end{split}$$

$$f_{c} = 37.91 \left[ 1 + \left\{ 1.04 \left( \frac{1 - \gamma}{1 + \gamma} \right)^{1.72} \left( \frac{f_{i} (2f_{o} - 1)}{f_{o} (2f_{i} - 1)} \right)^{0.41} \right\}^{10/3} \right]^{-0.3}$$

$$\times \left( \frac{\gamma^{0.3} (1 - \gamma)^{1.39}}{(1 + \gamma)^{1./3}} \right) \left[ \frac{2f_{i}}{2f_{i} - 1} \right]^{0.41}$$

Table 6
Results produced by Wilcoxon signed ranks test for CEC 2017 test suite.

No.	10-dimer	nsional							30-dim	ensional						
	BSA_SRL	vs. BSA			BSA_SR	L vs. BSA_	OBL		BSA_SR	L vs. BSA			BSA_SR	L vs. BS	A_OBL	
	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S	R+	R-	P-value	S
F59	1068	207	2.35E-8	+	639	636	5.00E-1	=	1014	261	2.79E-4	+	1068	207	3.24E-5	+
F60	896	379	1.26E-2	+	687	588	6.33E - 1	=	876	399	2.13E-2	+	1049	226	7.12E - 5	+
F61	826.5	448.5	2.49E-6	+	637.5	637.5	1.00E + 0	=	674	601	7.25E - 1	=	668	607	7.68E - 1	=
F62	1274	1	8.03E - 10	+	1275	0	7.56E - 10	+	1066	209	3.53E-5	+	1103	172	7.00E - 6	+
F63	869.5	405.5	2.51E-2	+	861	414	3.10E-2	+	694	581	5.85E - 1	=	596	679	6.89E - 1	=
F64	637.5	637.5	1.00E + 0	=	637.5	637.5	1.00E + 0	=	1069	206	3.11E-5	+	935	340	4.08E - 3	+
F65	876	399	2.13E-2	+	953	322	2.32E - 3	+	773	502	1.91E-1	=	519	756	2.53E - 1	=
F66	925	350	5.51E-3	+	895	380	1.29E-2	+	550	725	3.98E - 1	=	721	554	4.20E - 1	=
F67	637	638	1.00E + 0	=	637	638	1.00E + 0	=	240	1035	1.24E-4	_	348	927	5.20E - 3	_
F68	895	380	1.29E-2	+	760	515	2.23E-1	=	1219	56	1.98E-8	+	1062	213	4.17E - 5	+
F69	392.5	882.5	1.80E-2	_	563	712	4.59E - 1	=	929	346	4.89E - 3	+	560	715	4.54E - 1	=
F70	682	593	6.68E - 1	=	540	735	3.47E - 1	=	1001	274	4.50E - 4	+	1008	267	3.48E - 4	+
F71	833	442	5.91E-2	=	695	580	5.79E - 1	=	908	367	9.02E - 3	+	630	645	9.42E - 1	=
F72	751.5	523.5	1.22E - 1	=	703	572	3.41E-1	=	645	630	9.42E - 1	=	617	658	8.43E - 1	=
F73	374	901	1.10E-2	_	401	874	2.24E-2	_	689	586	6.19E - 1	=	746	529	2.95E - 1	=
F74	667	608	7.76E - 1	=	747	528	2.90E - 1	=	394	881	1.87E-2	_	499	776	1.81E - 1	=
F75	426	849	4.12E-2	_	812	463	9.21E-2	=	798	477	1.21E - 1	=	698	577	5.59E - 1	=
F76	698	577	5.59E - 1	=	578	697	5.66E - 1	=	336	939	3.61E-3	_	517	758	2.45E - 1	=
F77	595	680	6.82E - 1	=	517	758	2.45E - 1	=	296	979	9.79E - 4	_	860	415	3.17E - 2	+
F78	892	383	1.14E-2	+	679	596	6.70E - 1	=	943	332	3.19E - 3	+	827	448	6.74E - 2	=
F79	1265	10	1.38E-9	+	629.5	645.5	7.95E - 1	=	453	822	7.49E-2	=	473	802	1.12E - 1	=
F80	533	742	3.13E-1	=	487	788	1.46E - 1	=	1273	2	8.53E - 10	+	1233	42	9.00E - 9	+
F81	892	383	1.40E - 2	+	808	467	9.98E - 2	=	833	442	5.91E-2	=	834	441	5.78E - 2	=
F82	1209	66	3.45E-8	+	1073	202	2.62E - 5	+	1009	266	3.36E-4	+	791	484	1.38E - 1	=
F83	461	814	8.84E-2	=	335	940	3.50E - 3	-	681	594	6.75E - 1	=	513	762	2.29E - 1	=
F84	709	566	1.12E-3	+	634.5	640.5	5.00E - 1	=	826	449	6.88E - 2	=	538	737	3.37E - 1	=
F85	903	372	2.73E - 3	+	1031	244	5.44E - 5	+	775	500	1.84E - 1	=	632	643	9.58E - 1	=
F86	1115.5	159.5	9.33E - 7	+	701.5	573.5	8.31E-2	=	1223	52	1.59E-8	+	1082	193	1.78E-5	+
F87	139	1136	1.49E - 6	_	623	652	8.89E - 1	=	1020	255	2.22E-4	+	887	388	1.60E - 2	+
F88	1265	10	1.38E-9	+	1272	3	9.07E - 10	+	675	600	7.17E - 1	=	927	348	5.20E - 3	+
+/=/-	16/10/4				7/21/2				13/13/	4			11/18/	1		

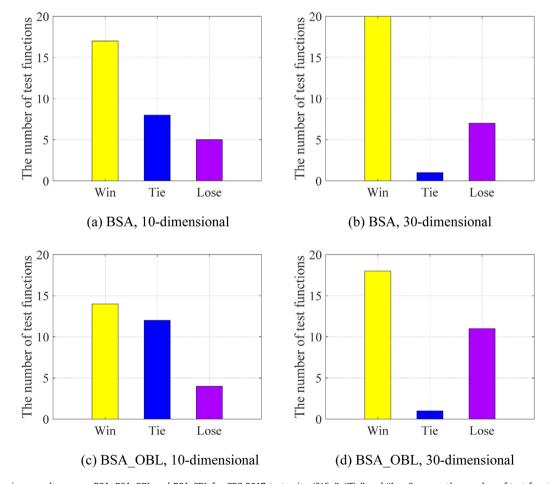


Fig. 6. The comparison results among BSA, BSA\_OBL and BSA\_SRL for CEC 2017 test suite. "Win", "Tie" and "Lose" means the number of test functions that BSA\_SRL is superior, equal and inferior to the compared algorithm, respectively.

**Table 7**The statistical results obtained by BSA, BSA\_OBL and BSA\_SRL for all test functions. "Win", "Tie" and "Lose" means the number of test functions that BSA\_SRL is superior, equal and inferior to the compared algorithms, respectively.

Case	BSA_SR	RL vs. BS/	A				BSA_SR	BSA_SRL vs. BSA_OBL					
	10-dim	ensional		30-dimensional		10-dim	ensional		30-dim	ensional			
	Win	Tie	Lose	Win	Tie	Lose	Win	Tie	Lose	Win	Tie	Lose	
CEC 2013	17	5	6	19	3	6	18	5	5	18	3	7	
CEC 2014	13	12	5	20	6	4	13	13	4	18	8	4	
CEC 2017	17	8	5	22	1	7	14	12	4	18	1	11	
Total	47	25	16	61	10	17	45	30	13	54	12	22	

**Table 8**The optimal solutions obtained by BSA\_SRL and the compared algorithms for rolling element bearing design problem.

		-		0	0	0 0 1	
Algorithm	TLBO	EPO	SOA	WCA	MBA	SHO	BSA_SRL
$D_{\rm m}$	125.7191	125	125	125.721167	125.7153	125	125.71906
$D_{\rm b}$	21.42559	21.41890	21.41892	21.423300	21.423300	21.04732	21.425588
$Z^{-}$	11	10.94113	10.94123	11.001030	11	10.93268	11.000000
$f_{i}$	0.515	0.515	0.515	0.515000	0.515	0.515	0.5150000
$f_{o}$	0.515	0.515	0.515	0.515000	0.515	0.515	0.5150000
$K_{\rm Dmin}$	0.424266	0.4	0.4	0.401514	0.488805	0.4	0.4000000
$K_{\mathrm{Dmax}}$	0.633948	0.7	0.7	0.659047	0.627829	0.7	0.7000000
ε	0.3	0.3	0.3	0.300032	0.300149	0.3	0.3000000
e	0.068858	0.02	0.02	0.040045	0.097305	0.02	0.1000000
ζ	0.799498	0.6	0.6	0.600000	0.646095	0.6	0.6261572
Opt. cost	81859.74	85067.983.	85068.052	85538.48	85535.9611	85054.032	85549.223

$$\begin{split} \phi_0 &= 2\pi - 2\cos^{-1} \\ &\times \frac{\left[ \{ (D-d)/2 - 3(T/4) \}^2 + \{ D/2 - (T/4) - D_b \}^2 - \{ d/2 + (T/4) \}^2 \right]}{2 \left\{ (D-d)/2 - 3(T/4) \right\} \left\{ D/2 - (T/4) - D_b \right\}} \end{split}$$

Teaching-learning-based optimization (TLBO) [32], emperor penguin optimizer [33], Seagull optimization algorithm (SOA) [34], water cycle algorithm (WCA) [35], mine blast algorithm (MBA) [36], and spotted hyena optimizer (SHO) [37] have been employed to solve this problem. Table 8 shows the optimal solutions obtained by BSA\_SRL and the compared algorithms. From Table 8, BSA\_SRL can get the optimal cost, i.e. 85549.223. In addition, WCA and MBA show strong competitiveness, whose solutions are very close to that of BSA\_SRL.

### 5.2. Pressure vessel design problem

This problem was proposed in [38], whose goal is to minimize the total cost consisting of material, forming, and welding of a cylindrical vessel. Four design variables  $\mathbf{x} = [T_s, T_h, R, L]$  are included in this problem where  $T_s$  is the thickness of the shell,  $T_h$  is the thickness of the head, R is the inner radius, and L is the length of the cylindrical section without considering the head. In addition, four subjected constraints need to be considered. This problem can be expressed as

Minimize 
$$f(\mathbf{x}) = 0.6224x_1x_3x_4 + 1.7781x_2x_3^2 + 3.1661x_1^2x_4 + 19.84x_1^2x_3$$
 (31)

Subject to

$$g_1(\mathbf{x}) = -x_1 + 0.0193x_3 \le 0 \tag{32}$$

$$g_2(\mathbf{x}) = -x_2 + 0.00954x_3 \le 0 \tag{33}$$

$$g_3(\mathbf{x}) = -\pi x_3^2 x_4 - \frac{4}{3}\pi x_3^3 + 1296,000 \le 0$$
 (34)

$$g_4(\mathbf{x}) = x_4 - 240 \le 0 \tag{35}$$

where 
$$0 \le x_i \le 100$$
,  $i = 1, 2$ ;  $10 \le x_i \le 200$ ,  $i = 3, 4$ .

This problem has been solved by several algorithms including SHO, moth flame optimization (MFO) [39], sine cosine algorithm (SCA) [40], gray wolf optimizer (GWO) [41], multi-verse optimizer (MVO) [42], hybrid GSA-GA algorithm (GSA-GA) [43], and crow

search algorithm (CSA) [44] . Table 9 presents the obtained optimal solution by BSA\_SRL and the compared algorithms. From Table 9, BSA\_SRL can get the optimal cost, i.e. 5885.33277. In addition, SHO and GSA-GA can find solutions that are very close to the solution of BSA\_SRL.

## 6. Managerial insights

Specular reflection learning is a very simple technique for improving metaheuristic methods, which has great potential to be applied to a lot of metaheuristic methods. When specular reflection learning is used for improving one metaheuristic method, an individual with better fitness value has more chance to be selected into the next generation population. Thus specular reflection learning may not be able to find promising results for metaheuristic methods with multiple evaluation indicators, such as particle swarm optimization and neural network algorithm. For particle swarm optimization, its each individual has two evaluation indicators, i.e. the current fitness value and the historical best fitness value; for neural network algorithm, its each individual also has two evaluation indicators, i.e. the current fitness value and the weight vector. Obviously, when specular reflection learning is employed by metaheuristic methods with multiple evaluation indicators, there may be confused among multiple indicators of each individual in these methods, which is not helpful for these methods to find global optimal solutions. Note that most reported metaheuristic methods only have an evaluation indicator, which means specular reflection learning still has a great applicative value in the field of metaheuristics.

In this work, BSA\_SRL shows excellent performance on the two classical engineering design problems. However, the superiority of BSA\_SRL on more complex engineering problems needs to be confirmed. In recent years, solving optimization problems about supply chains has been a hot and challenge topic. In short, optimization problems about supply chains are to find optimal solutions with the limited resources and the constraints. Given the excellent performance of BSA\_SRL, one of our future projects is to use BSA\_SRL to solve optimization problems about supply chains, such as the total cost of three-level supply chains [45], the optimal supply chain batch-sizing policy that collectively embodies green policies and a vendor-managed inventory with consignment stock agreement [46], the selective maintenance model

**Table 9**The best solutions obtained by BSA\_SRL and the compared algorithms for pressure vessel design problem.

Algorithm	Variables				Opt. cost
	$\overline{T_s}$	$T_h$	R	L	
SHO	0.778210	0.384889	40.315040	200.0000	5885.5773
MFO	0.835241	0.409854	43.578621	152.21520	6055.6378
MVO	0.845719	0.418564	43.816270	156.38164	6011.5148
GWO	0.779035	0.384660	40.327793	199.65029	5889.3689
SCA	0.817577	0.417932	41.74939	183.57270	6137.3724
GSA-GA	0.77819652	0.3846644	40.3210580446	199.9799646	5885.38533633
CSA	0.8125	0.4375	42.0984456	176.6365958	6059.7143348
BSA_SRL	0.7781686	0.3846492	40.319618754	199.99999959	5885.33277

**Table A.1**The definition for CEC2013 test suite

No.	Type	Name	Dimension	Range	Optimum
F1	Unimodal functions	Sphere function	10/30	[-100,100]	-1400
F2		Rotated high conditioned elliptic function	10/30	[-100,100]	-1300
F3		Rotated bent cigar function	10/30	[-100,100]	-1200
F4		Rotated discus function	10/30	[-100,100]	-1100
F5		Different powers function	10/30	[-100,100]	-1000
F6	Basic multimodal functions	Rotated Rosenbrock's function	10/30	[-100,100]	-900
F7		Rotated Schaffers F7 function	10/30	[-100,100]	-800
F8		Rotated Ackley's function	10/30	[-100,100]	-700
F9		Rotated Weierstrass function	10/30	[-100,100]	-600
F10		Rotated Griewank's function	10/30	[-100,100]	-500
F11		Rastrigin's function	10/30	[-100,100]	-400
F12		Rotated Rastrigin's function	10/30	[-100,100]	-300
F13		Non-continuous rotated Rastrigin's function	10/30	[-100,100]	-200
F14		Schwefel's function	10/30	[-100,100]	-100
F15		Rotated Schwefel's function	10/30	[-100,100]	100
F16		Rotated Katsuura function	10/30	[-100,100]	200
F17		Lunacek bi_rastrigin function	10/30	[-100,100]	300
F18		Rotated lunacek bi_rastrigin function	10/30	[-100,100]	400
F19		Expanded Griewank's plus Rosenbrock's function	10/30	[-100,100]	500
F20		Expanded Scaffer's F6 function	10/30	[-100,100]	600
F21	Composition functions	Composition function 1 ( $n = 5$ , rotated)	10/30	[-100,100]	700
F22		Composition function 2 ( $n = 3$ , unrotated)	10/30	[-100,100]	800
F23		Composition function 3 ( $n = 3$ , rotated)	10/30	[-100,100]	900
F24		Composition function $4 (n = 3, rotated)$	10/30	[-100,100]	1000
F25		Composition function 5 ( $n = 3$ , rotated)	10/30	[-100,100]	1100
F26		Composition function 6 ( $n = 5$ , rotated)	10/30	[-100,100]	1200
F27		Composition function 7 ( $n = 5$ , rotated)	10/30	[-100,100]	1300
F28		Composition function 8 ( $n = 5$ , rotated)	10/30	[-100,100]	1400

with stochastic maintenance quality for multi-component systems [47], the multi-objective multi-period sustainable locationallocation supply chain network model [48], the impact of emission costs on the replenishment order sizes and the total profit of a buyer in an imperfect supply process [49], the impact of ordering cost reduction and process quality improvement on an integrated vendor-buyer inventory system with variable lead time in just-in-time manufacturing environment [50], the supply chain model consisting of a single supplier and a single retailer [51], the joint economic lot-sizing problem [52], the game theoretic model for supply chain coordination problem [53], the model of supply chain consisting of a single manufacturer, a single distributor and a single retailer [54], the bi-objective multi-product constrained and integrated economic production quantity model [55], the closed-loop supply chain model with two suppliers, one manufacturer and one retailer [56], and an economic production quantity model of the replenishment [57].

### 7. Conclusion and future directions

This paper presents a novel technique, named specular reflection learning, for improving metaheuristic methods. Specular reflection learning is inspired by specular reflection phenomenon.

The proposed specular reflection learning is closely related to opposition-based learning. Note that, opposition-based learning can be regarded as a special case of specular reflection learning. In order to examine the performance of specular reflection learning. the designed experiments focus on the performance differences of specular reflection learning and opposition-based learning used for improving backtracking search algorithm. Experimental results prove that specular reflection learning significantly outperforms opposition-based learning in improving the global search ability of backtracking search algorithm by solving CEC 2013, CEC 2014 and CEC 2017 test suites. In addition, the solutions of backtracking search algorithm with specular reflection learning are superior to the reported solutions on two real-world constrained engineering design problems. This demonstrates the effectiveness of specular reflection learning introduced to backtracking search algorithm.

Future research will focus on investigating the performance of specular reflection learning on other metaheuristic methods and evaluating the effectiveness of backtracking search algorithm with specular reflection learning on practical engineering problems about supply chains.

**Table A.2** The definition for CEC2014 test suite.

No.	Type	Name	Dimension	Range	Optimum
F29	Unimodal functions	Rotated high conditioned elliptic function	10/30	[-100,100]	100
F30		Rotated bent cigar function	10/30	[-100,100]	200
F31		Rotated discus function	10/30	[-100,100]	300
F32	Basic multimodal functions	Shifted and rotated Rosenbrock's function	10/30	[-100,100]	400
F33		Shifted and rotated Ackleys function	10/30	[-100,100]	500
F34		Shifted and rotated Weierstrass function	10/30	[-100,100]	600
F35		Shifted and rotated Griewank's function	10/30	[-100,100]	700
F36		Shifted Rastrigin's function	10/30	[-100,100]	800
F37		Six Hump Camel Back	10/30	[-100,100]	900
F38		Shifted and rotated Rastrigin's function	10/30	[-100,100]	1000
F39		Shifted and rotated Schwefel's function	10/30	[-100,100]	1100
F40		Shifted and rotated Katsuura function	10/30	[-100,100]	1200
F41		Shifted and rotated HappyCat function	10/30	[-100,100]	1300
F42		Shifted and rotated HGBat function	10/30	[-100,100]	1400
F43		Shifted and rotated Expanded Griewank's plus Rosenbrock's function	10/30	[-100,100]	1500
F44		Shifted and rotated Expanded Scaffer's F6 function	10/30	[-100,100]	1600
F45	Hybrid functions	Hybrid function 1 (m= 3)	10/30	[-100,100]	1700
F46		Hybrid function 2 (m = 3)	10/30	[-100,100]	1800
F47		Hybrid function 3 (m = 4)	10/30	[-100,100]	1900
F48		Hybrid function 4 (m = 4)	10/30	[-100,100]	2000
F49		Hybrid function 5 (m= 5)	10/30	[-100,100]	2100
F50		Hybrid function 6 (m = 5)	10/30	[-100,100]	2200
F51	Composition functions	Composition function 1 (m=5)	10/30	[-100,100]	2300
F52		Composition function 2 (m=3)	10/30	[-100,100]	2400
F53		Composition function 3 (m=3)	10/30	[-100,100]	2500
F54		Composition function 4 (m=5)	10/30	[-100,100]	2600
F55		Composition function 5 (m=5)	10/30	[-100,100]	2700
F56		Composition function 6 (m=5)	10/30	[-100,100]	2800
F57		Composition function 7 (m=3)	10/30	[-100,100]	2900
F58		Composition function 8 (m=3)	10/30	[-100,100]	3000

**Table A.3** The definition for CEC2017 test suite.

No.	Type	Name	Dimension	Range	Optimum
F59	Unimodal functions	Shifted and rotated bent cigar function	10/30	[-100,100]	100
F60		Shifted and rotated sum of different powers function	10/30	[-100,100]	200
F61		Shifted and rotated Zakharov function	10/30	[-100,100]	300
F62	Basic multimodal functions	Shifted and rotated Rosenbrock's function	10/30	[-100,100]	400
F63		Shifted and rotated Rastrigin's function	10/30	[-100,100]	500
F64		Shifted and rotated expanded Scaffer's F6 function	10/30	[-100,100]	600
F65		Shifted and rotated Lunacek bi_rastrigin function	10/30	[-100,100]	700
F66		Shifted and rotated non-continuous Rastrigin's function	10/30	[-100,100]	800
F67		Shifted and rotated levy function	10/30	[-100,100]	900
F68		Shifted and rotated Schwefel's function	10/30	[-100,100]	1000
F69	Hybrid functions	Hybrid function 1 $(N = 3)$	10/30	[-100,100]	1100
F70		Hybrid function 2 $(N = 3)$	10/30	[-100,100]	1200
F71		Hybrid function $3 (N = 3)$	10/30	[-100,100]	1300
F72		Hybrid function $4 (N = 4)$	10/30	[-100,100]	1400
F73		Hybrid function 5 $(N = 4)$	10/30	[-100,100]	1500
F74		Hybrid function 6 $(N = 4)$	10/30	[-100,100]	1600
F75		Hybrid function 7 $(N = 5)$	10/30	[-100,100]	1700
F76		Hybrid function 8 $(N = 5)$	10/30	[-100,100]	1800
F77		Hybrid function 9 $(N = 5)$	10/30	[-100,100]	1900
F78		Hybrid function 10 $(N = 6)$	10/30	[-100,100]	2000
F79	Composition functions	Composition function 1 $(N = 3)$	10/30	[-100,100]	2100
F80		Composition function 2 $(N = 3)$	10/30	[-100,100]	2200
F81		Composition function 3 $(N = 4)$	10/30	[-100,100]	2300
F82		Composition function 4 $(N = 4)$	10/30	[-100,100]	2400
F83		Composition function 5 $(N = 5)$	10/30	[-100,100]	2500
F84		Composition function 6 $(N = 5)$	10/30	[-100,100]	2600
F85		Composition function $7 (N = 6)$	10/30	[-100,100]	2700
F86		Composition function 8 $(N = 6)$	10/30	[-100,100]	2800
F87		Composition function $9 (N = 3)$	10/30	[-100,100]	2900
F88		Composition function $10 (N = 3)$	10/30	[-100,100]	3000

#### **CRediT** authorship contribution statement

**Yiying Zhang:** Conceptualization, Methodology, Software, Validation, Writing - original draft, Writing - review & editing.

## **Declaration of competing interest**

The author declares that he has no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## **Appendix**

See Tables A.1-A.3.

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