



# Facial Expression Recognition

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# Table of Contents

01

Introduction

02

Methodology

03

Implementation

04

Demo & Conclude



# 01. Introduction



# Problem Description

FER stands for “Facial Expression  
Recognition”.  
Or “Facial Emotion Recognition”

FER is a computer vision task aimed at identifying and categorizing emotional expressions(emotions) depicted on a human face.

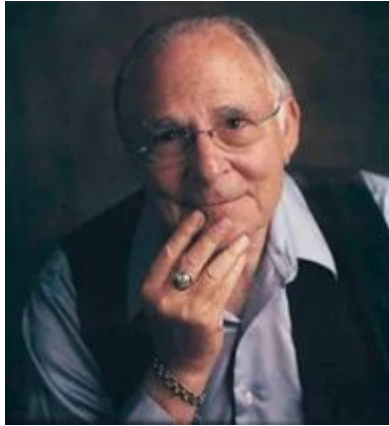
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# Emotion

What is emotion?

There are many emotions but according to Paul Ekman.

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Paul Ekman

Paul Ekman theorized that some basic human emotions (happiness/enjoyment, sadness, anger, fear, surprise, disgust and contempt) are innate and shared by everyone, and that they are accompanied across cultures by universal facial expressions.

Paul Ekman's theory

# Motivation

Why do we need to FER?

- Healthcare
  - Education
  - Crime detection
  - Business
-

# Visualize

Input



Output

Surprise

- Digital image of face (eyes, nose, mouth)
- Labeled training set (labeled face image)

- String represent emotion



# Challenges

Things that make this problem hard

- Illumination
  - Low resolution ?
  - Duplicate emotion
  - Occlusion
-

## 02. Methodology

# Feature Extraction

Turn image to vector

- Many Feature Extraction methods:
  - HOG
  - SIFT
  - ...



$$\begin{bmatrix} a \\ b \\ \vdots \\ c \end{bmatrix}$$

Turn “Vector” to Vector

# Classification Model

Turn vec into prediction

- Many Classification models:
  - Logistic regression
  - Naive Bayes
  - Decision Tree
  - Random Forest
  - ...

$$\begin{bmatrix} a \\ b \\ \vdots \\ c \end{bmatrix}$$



Disgust

Turn vec into prediction

# Pipeline

Input (Image)



Image Feature Extraction

HOG Feature  
Extraction

Feature Extraction

Model

Classifier

Emotion



# 03. Implementation





# Dataset

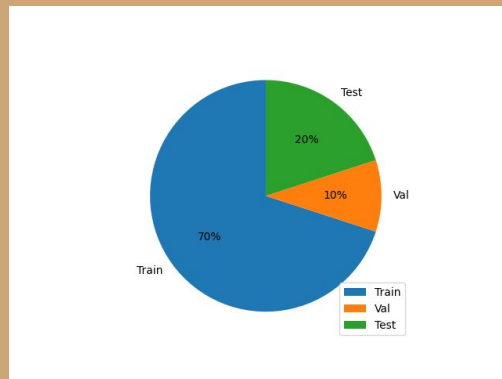
FER-2013

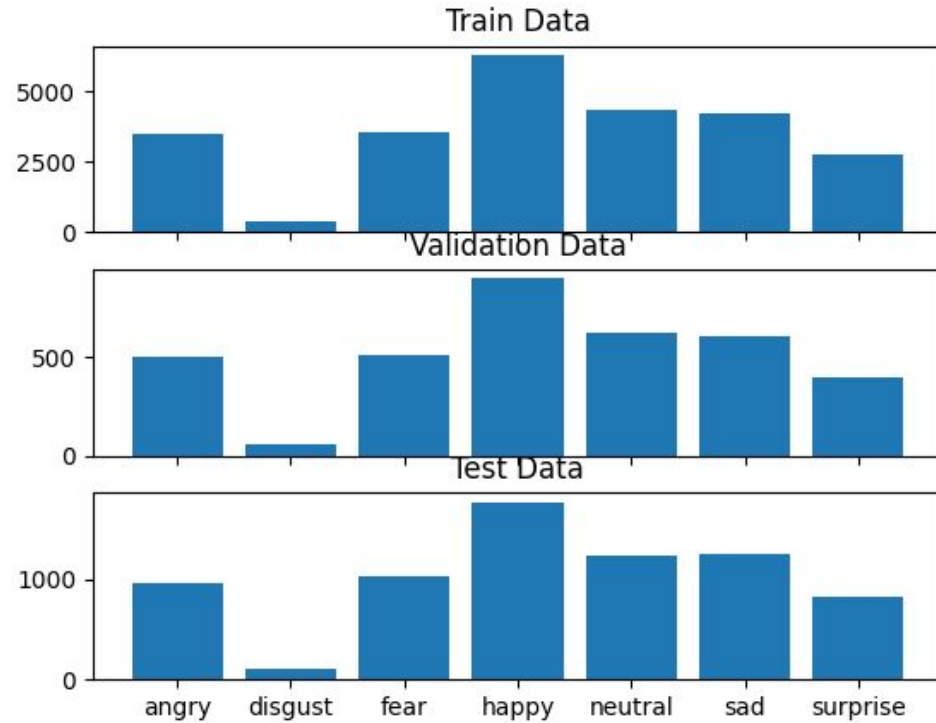
- The data consists of 48x48 pixel grayscale images of faces.
- The dataset consists 7 files corresponding to 7 emotions (sadness, happiness, fear, anger, surprise, disgust, neutral).
- Training set: 28,709 images (80%)
- Test set: 7,178 images (20%)
- [Link](#)

# Dataset in practice

When we use it

- Split training set of dataset into 2 sets:
  - Training data 25,121 (70%)
  - Validation data 3,588 (10%)
- Test set 7,178 (20%)
- Normalize image feature





Distribution of emotion in each dataset

# Metric

Accuracy is equal to f1-score(micro)

- The proportion of true predict to all predicts.

$$Accuracy = \frac{\text{Correct prediction}}{\text{Total cases}} * 100\%$$

$$Accuracy = \frac{(TP + TN)}{(TP + TN + FP + FN)} * 100\%$$

# Results

We have try somes

We have try some combination with different settings:

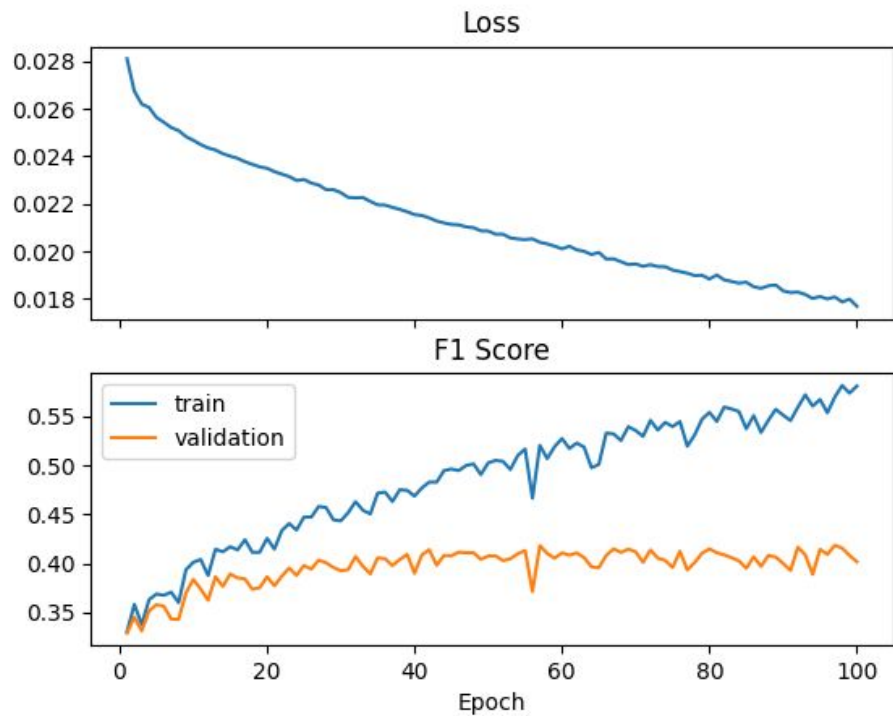
- Feature Extraction:
  - Vanilla image
  - HOG
  - Keypoint mask (harris)
- Classification Model:
  - KNN
  - SVM
  - MLP

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# Settings

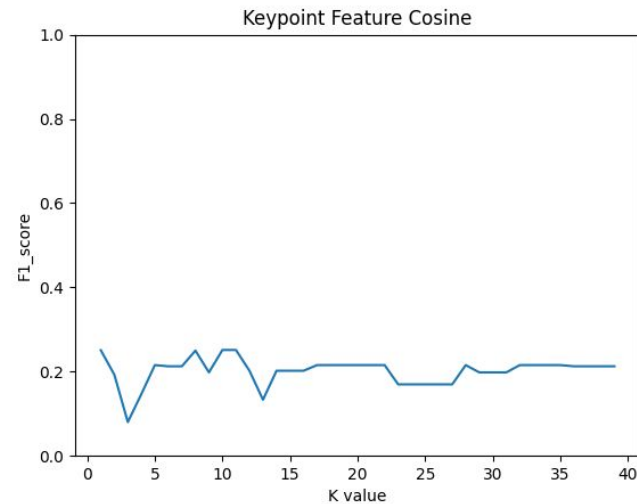
Different thing in same model

- KNN:
  - Feature
  - Number of K
    - Plot  $0 < K < 41$
  - Distance metric
- SVM
  - Feature
- MLP
  - Feature
  - Number node, layer



Turning Epoch

Turning hyperparameter



Turning K value

Model Type	Model	Feature	Setting	Layer	Accuracy
Lazy	KNN	Image	Euclidean		38.89%
			Cosine		38.86%
		HOG	Euclidean		49.59%
			Cosine		49.59%
		Keypoint	Euclidean		14.26%
			Cosine		17.29%
Linear	SVM	Image	Linear SVC		34.47%
		HOG			43.60%
		Keypoint			17.18%
Neural Network	MLP	Image	Airhmetic Mean	(2304, 1155, 7)	39.24%
		HOG		(900, 453, 7)	49.99%
		Keypoint		(2304, 1155, 7)	24.71%
		Image	Geometric Mean	(2304, 126, 7)	38.98%
		HOG		(900, 79, 7)	44.47%
		Keypoint		(2304, 126, 7)	24.71%
		Image	Jeff Heaton's	(2304, 1543, 7)	39.96%
		HOG		(900, 607, 7)	51.03%
		Keypoint		(2304, 1543, 7)	24.71%

- Total models: 18
  - KNN: 6
  - SVM: 3
  - MLP: 9

## Results

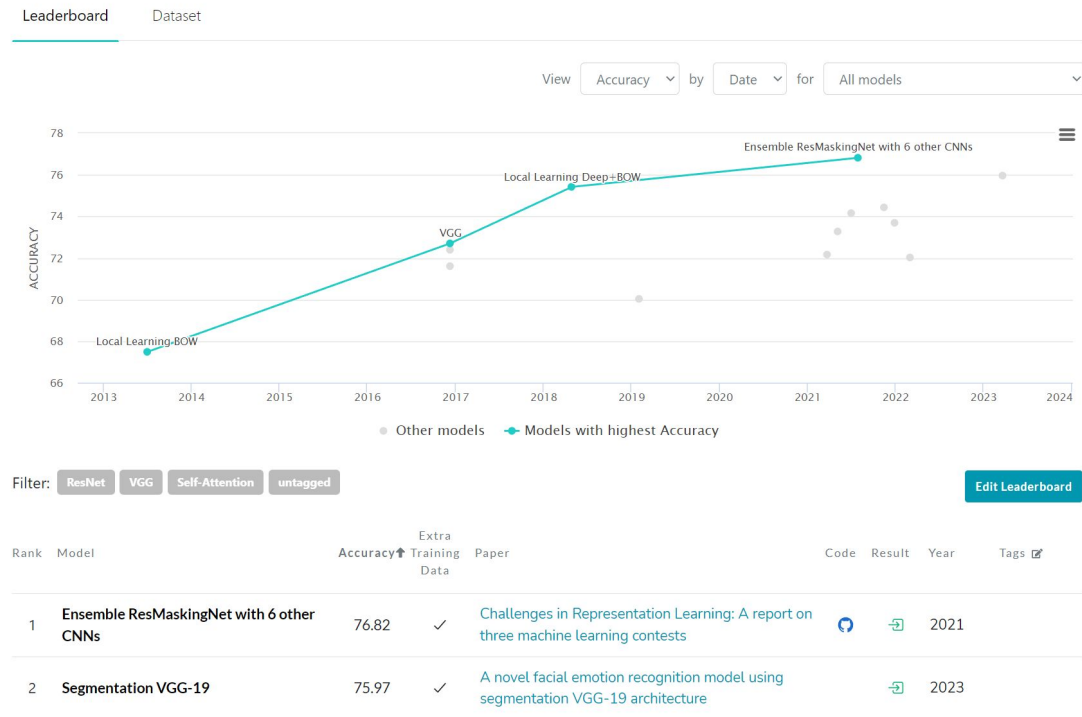


# Another approach

Better than us for sure

- **Sota** for this problem and dataset  
FER-2013 is Ensemble ResMaskingNet  
with 6 other CNNs

# Facial Expression Recognition (FER) on FER2013



[FER2013 Benchmark \(Facial Expression Recognition \(FER\)\) | Papers With Code](#)

## 04. Demo & Conclude

# Demo

Link to demo: [this](#)

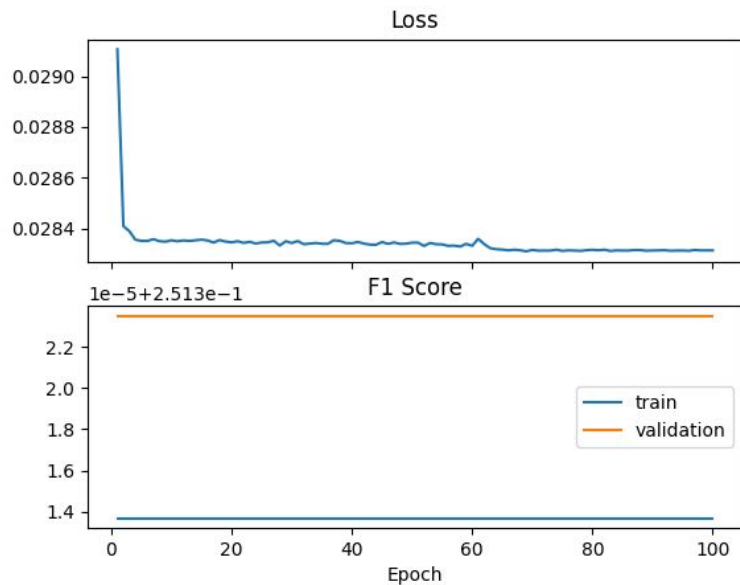
- Demo online through Google Colab

# Conclude

Some words about our experiments

- Keypoint doesn't work well.
- Feature Extraction is the heart of the algorithm.
- CNN doesn't need FE because it consist Convolution Layer to do FE.

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Evidence

Model Type	Model	Feature	Setting	Layer	Accuracy
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# Future Work

- Using conner feature instead of mask.
- Turning batch size
- Understanding why keypoint feature doesn't work well

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# Do you have any questions?

Please contact us





Thanks for your listening