

# **Assignment 1**

## **Face Recognition**

Pattern Recognition

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## 1. Load Datasets :

Drive authorisation

```
[ ] from google.colab import drive
    drive.mount('/content/drive')

[ ] path = "/content/drive/MyDrive/ORL/"
    imgs = loadImages(path)
```

ORL datasets were divided into 40 folders “Subject” each containing 10 images, hence the 2 “for” loops, which are saved in LoadedImages Matrix.

```
[ ] def loadImages(path):
    foldersList = listdir(path)
    loadedImages = []
    for folder in foldersList :
        imagesList = listdir(path+folder)
        for image in imagesList:
            img = PImage.open(path +folder+'/'+ image)
            loadedImages.append(img)
    return loadedImages
```

## 2. Generate Data Matrix and Label Vector :

Reserving DataMatrix with size ( $40*10= 400$ ,  $70*80= 5600$ ). Incrementing “j” every 10 images as it determines the label matrix from 1:40

```
[ ] dataMatrix = np.arange(400*5600).reshape(400,5600)
    j=0
    label = []
    for i in range(0,400) :
        if(i%10 == 0):
            j+=1
        dataMatrix[i] = np.array(imgs[i]).flatten()
        label.append(j)
```

### 3. Split Dataset:

Dividing the dataset equally ( 200 -> training and 200 -> testing ). Assigning the even images ( $i\%2==0$ ) to be used for testing and adding new labels according.

```
[ ] trainSet=np.arange(200*5600).reshape(200,5600)
    testSet=np.arange(200*5600).reshape(200,5600)
    trainLabel=[]
    testLabel=[]
    j,k=0,0
    for i in range(0,400):
        if(i%2==0):
            testSet[j]=dataMatrix[i]
            testLabel.append(label[i])
            j+=1
        else:
            trainSet[k]=dataMatrix[i]
            trainLabel.append(label[i])
            k+=1
```

### 4. PCA:

a. Working on the training dataset hence  $n = 200$

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#### ALGORITHM 7.1. Principal Component Analysis

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**PCA ( $\mathbf{D}, \alpha$ ):**

- 1  $\mu = \frac{1}{n} \sum_{i=1}^n \mathbf{x}_i$  // compute mean
- 2  $\mathbf{Z} = \mathbf{D} - \mathbf{1} \cdot \mu^T$  // center the data
- 3  $\Sigma = \frac{1}{n} (\mathbf{Z}^T \mathbf{Z})$  // compute covariance matrix
- 4  $(\lambda_1, \lambda_2, \dots, \lambda_d) = \text{eigenvalues}(\Sigma)$  // compute eigenvalues
- 5  $\mathbf{U} = (\mathbf{u}_1 \ \mathbf{u}_2 \ \dots \ \mathbf{u}_d) = \text{eigenvectors}(\Sigma)$  // compute eigenvectors
- 6  $f(r) = \frac{\sum_{i=1}^r \lambda_i}{\sum_{i=1}^d \lambda_i}$ , for all  $r = 1, 2, \dots, d$  // fraction of total variance
- 7 Choose smallest  $r$  so that  $f(r) \geq \alpha$  // choose dimensionality
- 8  $\mathbf{U}_r = (\mathbf{u}_1 \ \mathbf{u}_2 \ \dots \ \mathbf{u}_r)$  // reduced basis
- 9  $\mathbf{A} = \{\mathbf{a}_i \mid \mathbf{a}_i = \mathbf{U}_r^T \mathbf{x}_i, \text{ for } i = 1, \dots, n\}$  // reduced dimensionality data

---

Computing  $\mu \rightarrow$  trainMean,  $\mathbf{Z} \rightarrow$  centeredTrainMatrix,  $\Sigma \rightarrow$  covMatrix

```
[ ] trainMean=np.mean(trainSet,axis=0)
    centeredTrainMatrix=trainSet-trainMean
    covMatrix = (1/200)*np.dot(np.transpose(centeredTrainMatrix), centeredTrainMatrix)
```

Computing  $\lambda \rightarrow \text{eigVal}$  and  $U \rightarrow \text{eigVect}$ . Then sorting them in descending order as the last few will be relatively negligible

```
[ ] eigVal,eigVect=np.linalg.eigh(covMatrix)
    idx = eigVal.argsort()[::-1]
    sortedEigVal = np.real(eigVal[idx])
    sortedEigVect = np.real(eigVect[:,idx])
```

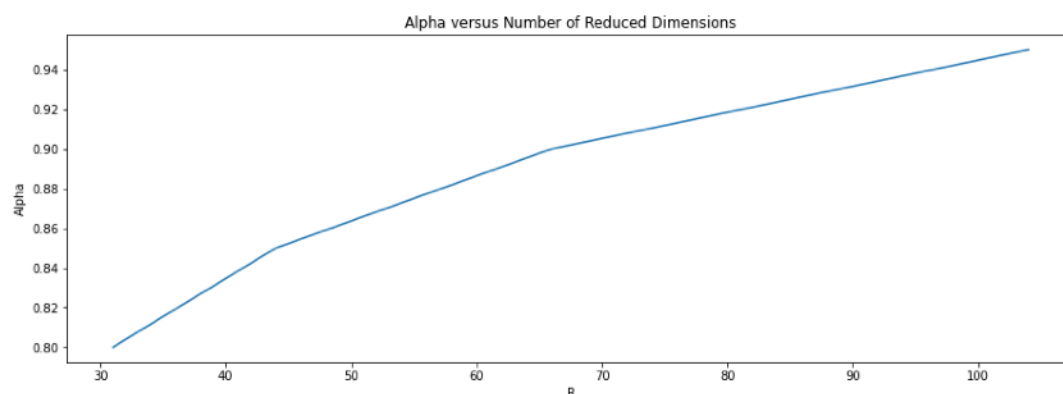
To choose the right dimensionality (R):

we have to compute  $f(r) \rightarrow \text{fractionOfTotalVariance}$  which is smaller than  $\alpha \rightarrow \text{threshold}$

```
[ ] def computeDimensionality(eigVal,threshold):
    dataVariance=np.sum(eigVal)
    fractionOfTotalVariance,R,eigValSum=0,1,0
    while(fractionOfTotalVariance<threshold):
        eigValSum+=eigVal[R-1]
        fractionOfTotalVariance=eigValSum/dataVariance
        R+=1
    return R
```

Plotting different alpha {0.8,0.85,0.9,0.95} against R

```
[ ] Alpha1dim=computeDimensionality(sortedEigVal,0.8)
    Alpha2dim=computeDimensionality(sortedEigVal,0.85)
    Alpha3dim=computeDimensionality(sortedEigVal,0.9)
    Alpha4dim=computeDimensionality(sortedEigVal,0.95)
    plt.plot([Alpha1dim,Alpha2dim,Alpha3dim,Alpha4dim], [0.8,0.85,0.9,0.95]);
    plt.title('Alpha versus Number of Reduced Dimensions');
    plt.gcf().set_size_inches(15,5);
    plt.xlabel('R');
    plt.ylabel('Alpha');
```



As alpha increases number of reduced dimensions increases

b. Project the training set, and test sets separately using the same projection matrix.

```
[ ] projMat1=sortedEigVect[:,0:Alpha1dim]
    projMat2=sortedEigVect[:,0:Alpha2dim]
    projMat3=sortedEigVect[:,0:Alpha3dim]
    projMat4=sortedEigVect[:,0:Alpha4dim]
```

```
[ ] reducedTrain1= np.dot(trainSet,projMat1)
    reducedTrain2= np.dot(trainSet,projMat2)
    reducedTrain3= np.dot(trainSet,projMat3)
    reducedTrain4= np.dot(trainSet,projMat4)
```

```
[ ] reducedTest1= np.dot(testSet,projMat1)
    reducedTest2= np.dot(testSet,projMat2)
    reducedTest3= np.dot(testSet,projMat3)
    reducedTest4= np.dot(testSet,projMat4)
```

c. Finding the K-Nearest Neighbour (K = 1 as it's required the simplest )

```
[ ] def knn(trainingSet,trainingLabel,testSet,testLabel,k):
    knn = KNeighborsClassifier(n_neighbors=k)
    knn.fit(trainingSet,trainingLabel)
    predict=knn.predict(testSet)
    accuracy = metrics.accuracy_score(testLabel,predict)
    return accuracy
```

```
[ ] knn(reducedTrain1,trainLabel,reducedTest1,testLabel,1)

0.895
```

```
[ ] knn(reducedTrain2,trainLabel,reducedTest2,testLabel,1)

0.905
```

```
[ ] knn(reducedTrain3,trainLabel,reducedTest3,testLabel,1)

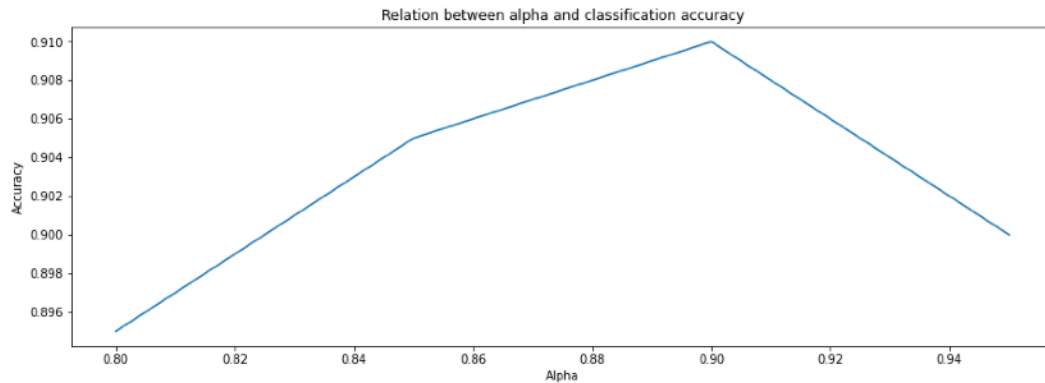
0.91
```

```
[ ] knn(reducedTrain4,trainLabel,reducedTest4,testLabel,1)

0.9
```

d. Report Accuracy for every value of alpha separately

```
[ ] plt.plot([0.8,0.85,0.9,0.95],[0.895,0.905,0.91,0.9]);
plt.title('Relation between alpha and classification accuracy');
plt.gcf().set_size_inches(15,5);
plt.xlabel('Alpha');
plt.ylabel('Accuracy');
```



e. Can you find a relation between alpha and classification accuracy?  
as alpha increases, classification accuracy increases until it reaches 0.91 then it start decreasing

## 5. LDA

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### ALGORITHM 20.1. Linear Discriminant Analysis

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```
LINEARDISCRIMINANT ( $\mathbf{D} = \{(\mathbf{x}_i, y_i)\}_{i=1}^n$ ):
1  $\mathbf{D}_i \leftarrow \{\mathbf{x}_j \mid y_j = c_i, j = 1, \dots, n\}, i = 1, 2$  // class-specific subsets
2  $\mu_i \leftarrow \text{mean}(\mathbf{D}_i), i = 1, 2$  // class means
3  $\mathbf{B} \leftarrow (\mu_1 - \mu_2)(\mu_1 - \mu_2)^T$  // between-class scatter matrix
4  $\mathbf{Z}_i \leftarrow \mathbf{D}_i - \mathbf{1}_{n_i} \mu_i^T, i = 1, 2$  // center class matrices
5  $\mathbf{S}_i \leftarrow \mathbf{Z}_i^T \mathbf{Z}_i, i = 1, 2$  // class scatter matrices
6  $\mathbf{S} \leftarrow \mathbf{S}_1 + \mathbf{S}_2$  // within-class scatter matrix
7  $\lambda_1, \mathbf{w} \leftarrow \text{eigen}(\mathbf{S}^{-1} \mathbf{B})$  // compute dominant eigenvector
```

---

a) i) compute mean for each class  $\mu_i \rightarrow$  classesMeans

```
[ ] classesList=[]
    for i in range(0,201):
        if(i%5==0 and i!=0):
            classesList.append(trainSet[i-5:i,:])
```

```
[ ] classesMeans = np.mean(classesList, axis=1)
```

a) ii) Replace B-> betweenClassScatterMatrix with  $S_b$

where  $n_k = 5$ ,  $\mu_k \rightarrow$  overallSampleMean,  $\mu \rightarrow$  classesMeans

```
[ ] overallSampleMean = trainSet.mean(axis=0)
```

```
[ ] betweenClassScatterMatrix = 5 * np.dot(np.transpose(classesMeans - overallSampleMean), (classesMeans - overallSampleMean))
```

a) iii) S matrix -> withinClassScatterMatrix

```
[ ] withinClassScatterMatrix = np.zeros((5600,5600))
  for i in range(0,40):
    withinClassScatterMatrix += np.dot(np.transpose(classesList[i] - classesMeans[i]), (classesList[i] - classesMeans[i]))
```

a) iv) Using 39 dominant eigenvector

calculate  $s^{-1}$  then compute dot product with  $S_b$

Sort the eigVectLDA and take the greatest 39 dimensions

```
[ ] withinClassScatterMatrixInv = np.linalg.pinv(withinClassScatterMatrix)
```

```
[ ] sinverse_b = np.dot(withinClassScatterMatrixInv , betweenClassScatterMatrix)
```

```
[ ] eigValLDA,eigVectLDA = np.linalg.eig(sinverse_b)
```

```
[ ] idx = eigValLDA.argsort()[-39:][::-1]
  sortedEigVectLDA = np.real(eigVectLDA[:,idx])
```

b) Project the training set, and test sets separately using the same projection matrix U.

```
[ ] reducedTrainLDA = np.dot(trainSet,sortedEigVectLDA)
  reducedTestLDA = np.dot(testSet,sortedEigVectLDA)
```

c) Use a simple classifier (first Nearest Neighbour to determine the class labels).

d) Report Accuracy for the Multi-class LDA on the face recognition dataset.

```
[ ] knn(reducedTrainLDA,trainLabel,reducedTestLDA,testLabel,1)
```

0.865

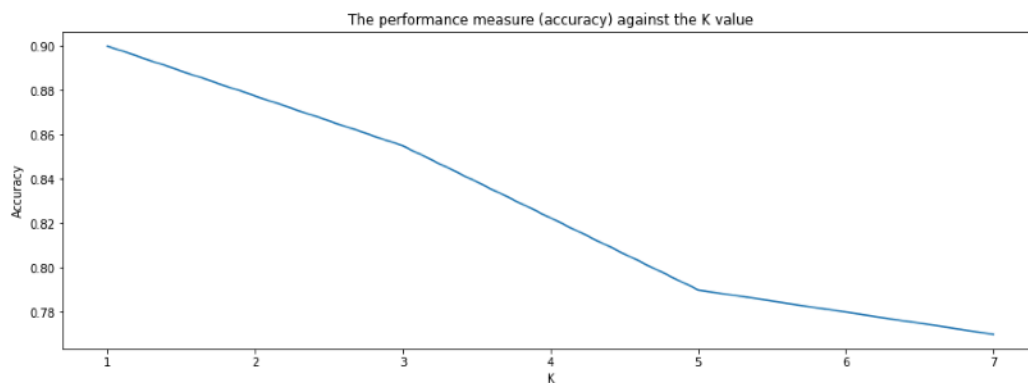
e) Compare the results to PCA results.

PCA is slightly higher than LDA yet still in the same range

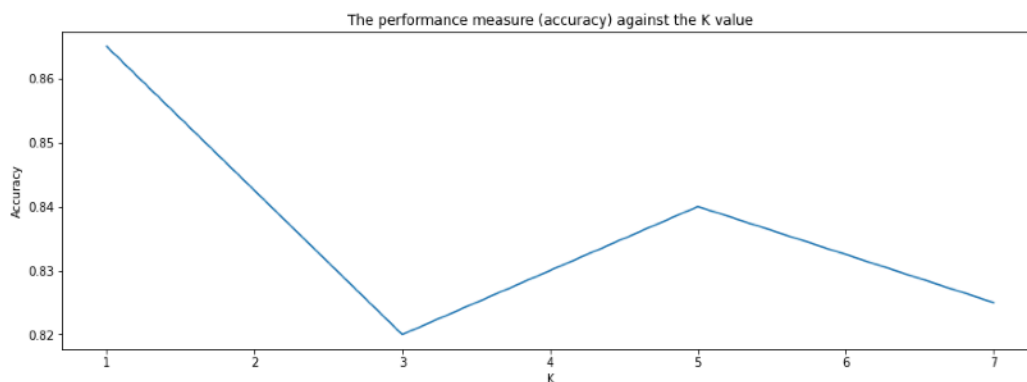
## 6. Classifier Tuning:

Finding series of k-nearest neighbour [1,3,5,7] instead of the first-nearest neighbour in PCA and LDA

```
[ ] PCAScoreList = []
    for k in range(1,8,2):
        PCAScoreList.append(knn(reducedTrain4,trainLabel,reducedTest4,testLabel,k))
    plt.plot([1,3,5,7],PCAScoreList);
    plt.title('The performance measure (accuracy) against the K value');
    plt.gcf().set_size_inches(15,5);
    plt.xlabel('K');
    plt.ylabel('Accuracy');
```



```
[ ] LDAScoreList = []
    for k in range(1,8,2):
        LDAScoreList.append(knn(reducedTrainLDA,trainLabel,reducedTestLDA,testLabel,k))
    plt.plot([1,3,5,7],LDAScoreList);
    plt.title('The performance measure (accuracy) against the K value');
    plt.gcf().set_size_inches(15,5);
    plt.xlabel('K');
    plt.ylabel('Accuracy');
```



In PCA and LDA, both accuracy decrease when the number of K increase



## Compare vs Non-Face Images:

Repeated all steps from 1 to 6 . However instead of creating 40 labels there will be only two ( 1 -> faces and 2 -> non-face images). Downloading 400 non-face images called “Cifar” and changing their sizes to 70\*80 to match the ORL images as well as grey scale

```
[ ] dataMatrix = np.arange(800*5600).reshape(800,5600)
    label = []
    for i in range(0,400) :
        dataMatrix[i] = np.array(imgs[i]).flatten()
        label.append(1)
    for i in range(400,800) :
        dataMatrix[i] = np.array(cifar[i-400]).flatten()
        label.append(2)
```

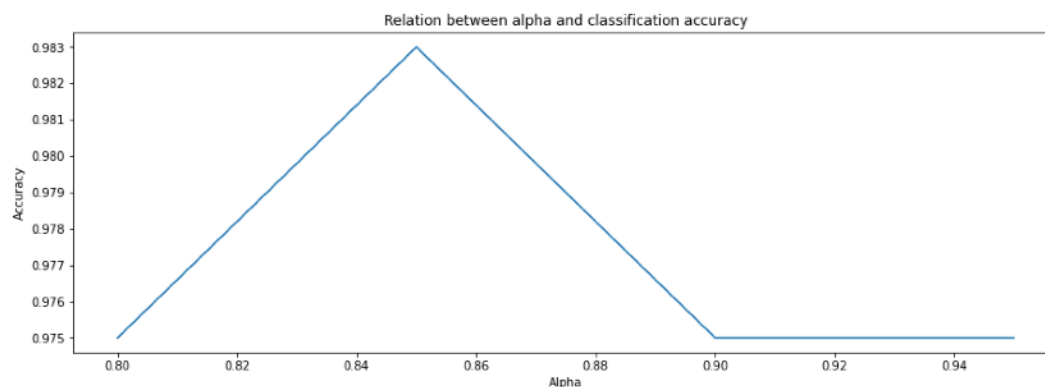
Criticise the accuracy measure for large numbers of non-faces images in the training data?

When the number of non-faces images increases, algorithm’s efficiency in detecting the non-faces images will increase, however this doesn’t change face detection.

## Bonus:

Repeated all steps from 1 to 6. The only difference, the dataset is not split equally instead 7:3 (280-> training and 120->testing)

```
[ ] plt.plot([0.8,0.85,0.9,0.95],[0.975,0.983,0.975,0.975]);
    plt.title('Relation between alpha and classification accuracy');
    plt.gcf().set_size_inches(15,5);
    plt.xlabel('Alpha');
    plt.ylabel('Accuracy');
```



```
[ ] knn(reducedTrainLDA,trainLabel,reducedTestLDA,testLabel,1)
```

0.9583333333333334

When the number of training images increased, accuracy increased in both PCA and LDA