



Planning and Policy
Analysis

UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

PPA/DAG: SE
Reference #2015-07381

November 8, 2016

Mr. Lars Vilhuber
Executive Director
275 Ives Hall
Ithaca, New York 14853

Dear Mr. Vilhuber:

This responds to your Freedom of Information Act request for an extract from the Central Personnel Data File containing the data elements: Status file – Person ID, Employee Name, Duty Agency/Sub-element, Station (State, City, and County), Age Level, Length of Service Level, Occupational Series, Occupational Category, Pay Plan, Grade, Salary Level, Type of Appointment, Work Schedule, CBSA, Education Level, File Date, Agency Start Date, and Agency End Date; Dynamics file – Person ID, Nature of Action Code (Accession, Separation, and Awards), and Effective Date of Nature of Action Code; from December 1999 to March 2016.

The accompanying CD contains the following files:

- Status Data files: NDODxxxx.txt
- Dynamics Data files: NDODDxxxx.txt
- Standard Code Table: SCTFILE.txt
- Status Extract Layout: LAYOUT.txt
- Dynamics Extract Layout: LAYOUTD.txt

The files are zipped, encrypted, and password-protected using Winzip version 15.0. The password will be provided to you, separately. Please contact Stan Ezoua at sezoua@opm.gov or (202) 606-1018 to receive the password.

The extract excludes employees in Department of Defense agencies (Air Force, Army, Navy, Defense). State Department employees in the Foreign Service are excluded, as the State Department no longer provides this information. For employees in sensitive occupations and security agencies (FBI, Secret Service, DEA, ATFE), the Internal Revenue Service and the U.S. Mint; name, duty station and location (i.e., State, City, County, CBSA, Locality Pay Area, and Law Enforcement Officer Geographic Area ID) data elements are masked. The extract excludes names for all employees of the Internal Revenue Service, in light of their Registered Pseudonym Program.

Mr. Lars Vilhuber

2

Please note that our data is limited to Federal civilian employees. Executive Branch employees include all agencies except the following: Board of Governors of the Federal Reserve, Central Intelligence Agency, Defense Intelligence Agency, Foreign Service personnel at the State Department (included until March 2006), National Geospatial-Intelligence Agency, National Security Agency, Office of the Director of National Intelligence, Office of the Vice President, Postal Regulatory Commission, Tennessee Valley Authority, U.S. Postal Service, White House Office. Other exclusions include: Foreign Nationals Overseas, Public Health Service's Commissioned Officer Corps, and Non-appropriated fund employees. Legislative Branch coverage is limited to the following: Dwight D. Eisenhower Memorial Commission, Financial Crisis Inquiry Commission, Government Printing Office, Medicare Payment Advisory Commission, Ronald Reagan Centennial Commission, U.S. – China Economic and Security Review Commission, and U.S. Commission on International Religious Freedom. Judicial Branch coverage is limited to U.S. Tax Court. Therefore, your extract has the same exclusions.

Regarding the exclusion of records for Department of Defense employees, we have referred your request to the Department of Defense (address shown below) for their direct reply to you.

Office of Freedom of Information
Executive Services Directorate
ATTN: Sue Aldorfer, FOID, Suite 02F09-02
4800 Mark Center Drive
Alexandria, VA 22350

As noted above, the extract excludes Name for all employees of the Internal Revenue Service, in light of their Registered Pseudonym Program. We have referred your request for Name data to the IRS (address shown below) for a direct response to you. The FOIA contact at IRS is:

Internal Revenue Service
Headquarters Disclosure Office
Stop 211
2385 Chamblee Tucker Road
Chamblee, GA 30341

For employees in Sensitive Occupations (see Enclosure), and related to national security, Name and Location (i.e., State, City, County, CBSA, Locality Pay Area, Law Enforcement Officer Geographic Area ID) data elements are masked.

For the State Department, all employees in the Foreign Service pay plan are excluded. We have referred your request for this Department's data to the address shown below for a direct response to you.

Mr. Lars Vilhuber

3

U. S. Department of State
Bureau of Human Resources
Systems Oversight Division
2401 E Street NW, Room 800
Washington, D.C. 20522

For those instances where data elements are not released, they are being withheld under FOIA Exemption 6; personnel and medical files and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy."

Any plans to use the names to distribute material to these Federal civilian employees are subject to a General Services Administration (GSA) Federal Property Management Regulation (41 CFR 101-20.308) which states: "...Commercial soliciting and vending of all kinds, displaying or distributing commercial advertising, or collecting private debts in or on GSA-controlled property is prohibited."

Agencies are not required to deliver mail received in violation of this provision. As this is a partial denial of your FOIA request, you may appeal this response to the following OPM official: Robin E. Jacobsohn, Acting General Counsel, U.S. Office of Personnel Management, Room 7353, 1900 E Street, N.W., Washington, DC 20415. Your appeal should include copies of your (date of original request) letter and this reply letter. Your written appeal will be facilitated if your letter and envelope clearly state: "Appeal under the Freedom of Information Act."

Sincerely,



Lance L. Harris
Manager, Data Analysis Group
Office of Planning and Policy Analysis
Office of the Director

Enclosure
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