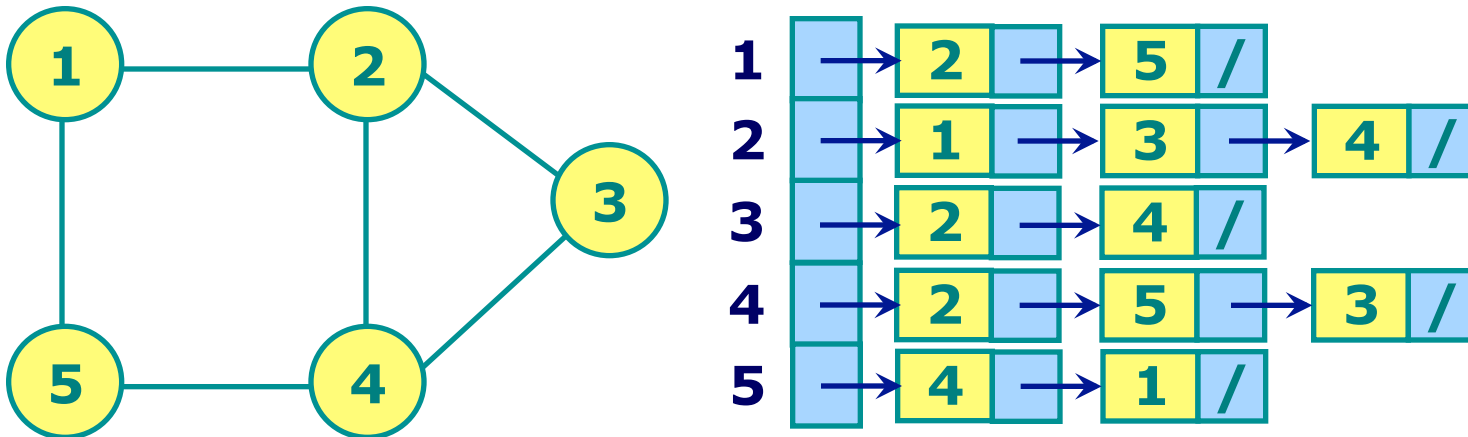


# Data Structures and Algorithm

Xiaoqing Zheng  
zhengxq@fudan.edu.cn



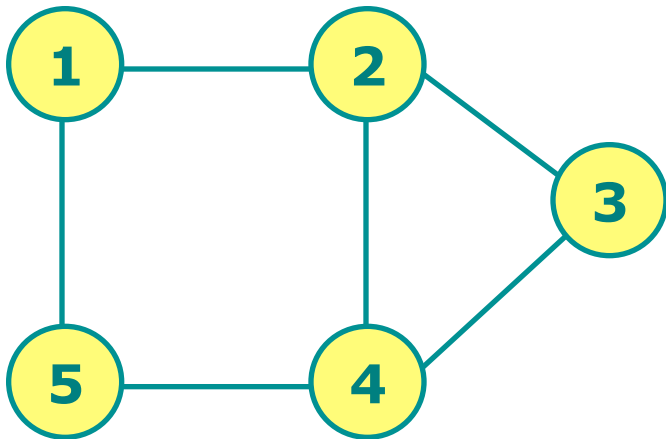
# Representations of undirected graph



*Adjacency-list representation of graph*

$$G = (V, E)$$

# Representations of undirected graph

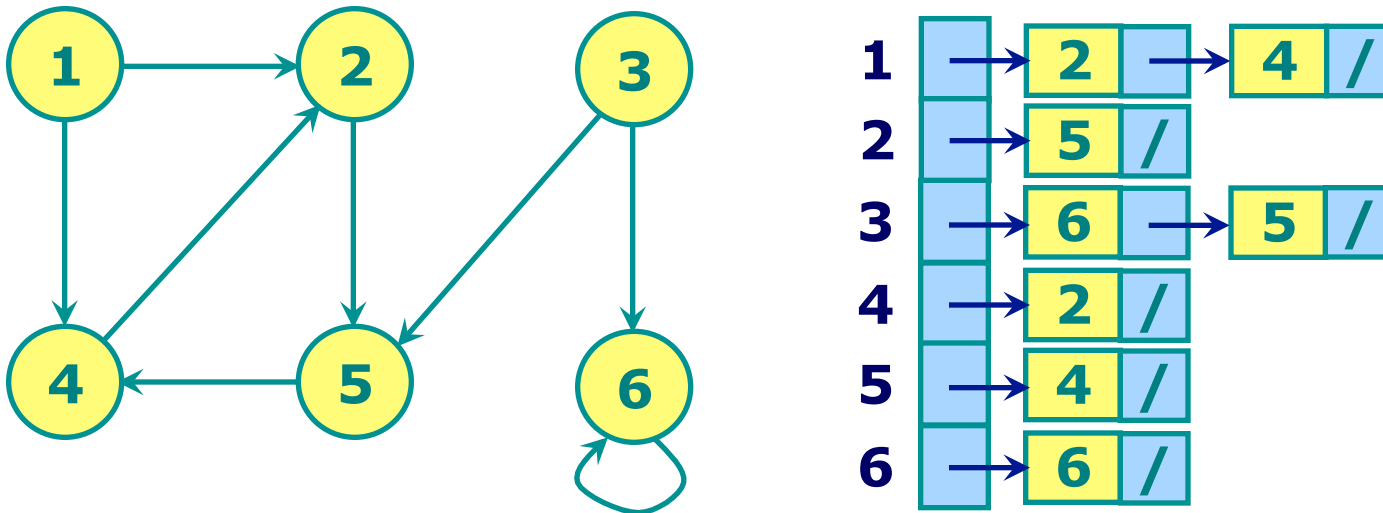


	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	0
3	0	1	0	1	0
4	0	1	1	0	1
5	1	0	0	1	0

*Adjacency-matrix representation of graph*

$$G = (V, E)$$

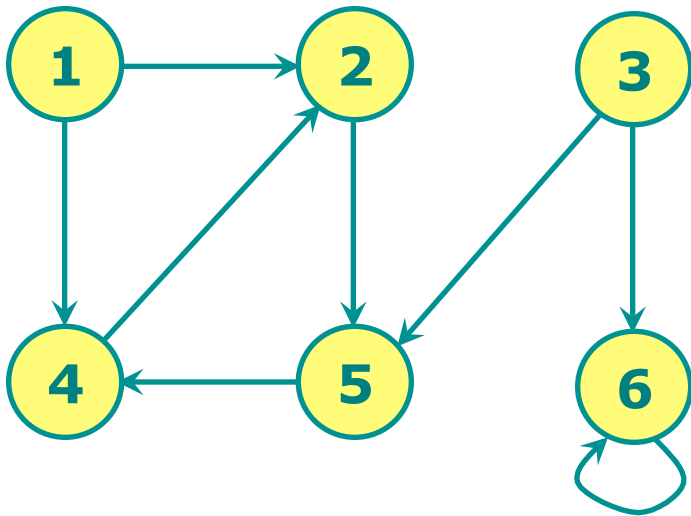
# Representations of directed graph



*Adjacency-list representation of graph*

$$G = (V, E)$$

# Representations of directed graph



	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

*Adjacency-matrix representation of graph*

$$G = (V, E)$$

# Graphs

---

**Definition.** A *directed graph (digraph)*  $G = (V, E)$  is an ordered pair consisting of

- a set  $V$  of *vertices* (singular: *vertex*),
- a set  $E \subseteq V \times V$  of *edges*.

In an *undirected graph*  $G = (V, E)$ , the edge set  $E$  consists of *unordered* pairs of vertices.

In either case, we have  $|E| = O(V^2)$ . Moreover, if  $G$  is connected, then  $|E| \geq |V| - 1$ .

# Representations of graph

---

## Adjacency-list representation

An **adjacency list** of a vertex  $v \in V$  is the list  $Adj[v]$  of vertices adjacent to  $v$ .

- For undirected graphs,  $|Adj[v]| = degree(v)$ .
- For directed graphs,  $|Adj[v]| = out-degree(v)$ .

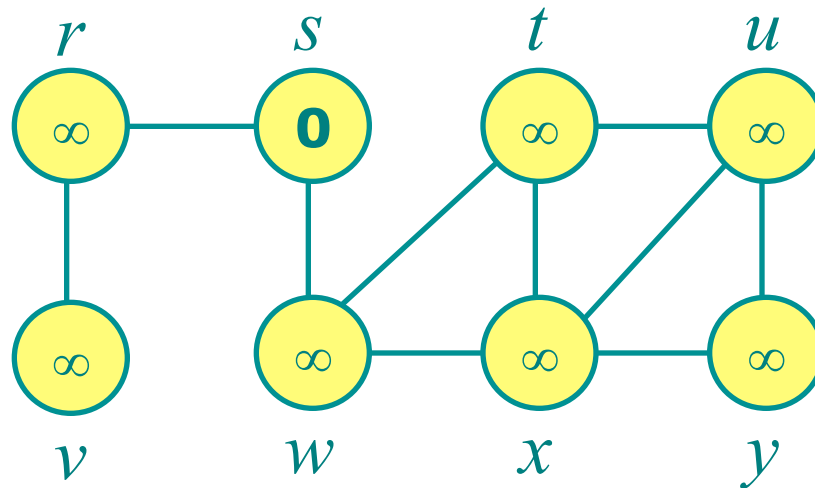
## Adjacency-matrix representation

The **adjacency matrix** of a graph  $G = (V, E)$ , where  $V = \{1, 2, \dots, n\}$ , is the matrix  $A[1 \dots n, 1 \dots n]$  given by

$$A[i, j] = \begin{cases} 1 & \text{if } (i, j) \in E, \\ 0 & \text{if } (i, j) \notin E. \end{cases}$$

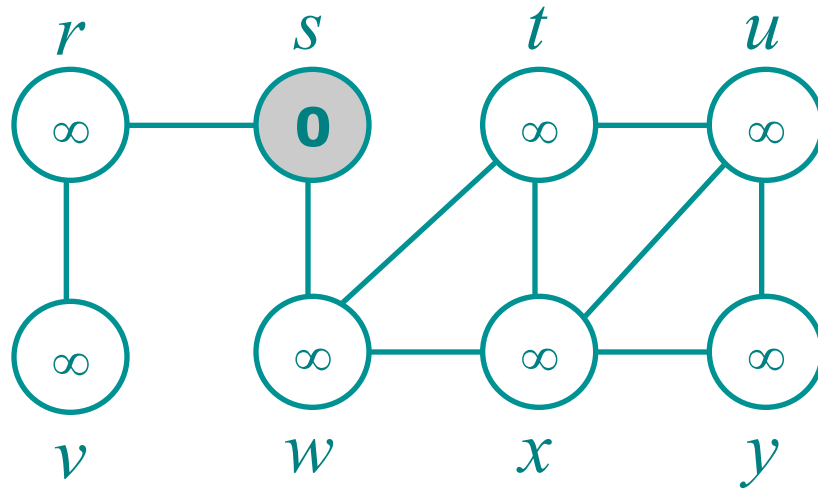
# Breadth-first search

Given a graph  $G = (V, E)$  and a distinguished *source* vertex  $s$ , breadth-first search systematically explores the edges of  $G$  to "discover" every vertex that is reachable from  $s$ .





# Breadth-first search example



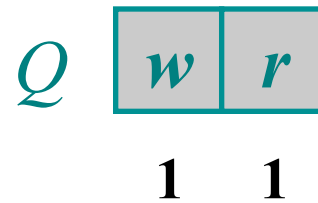
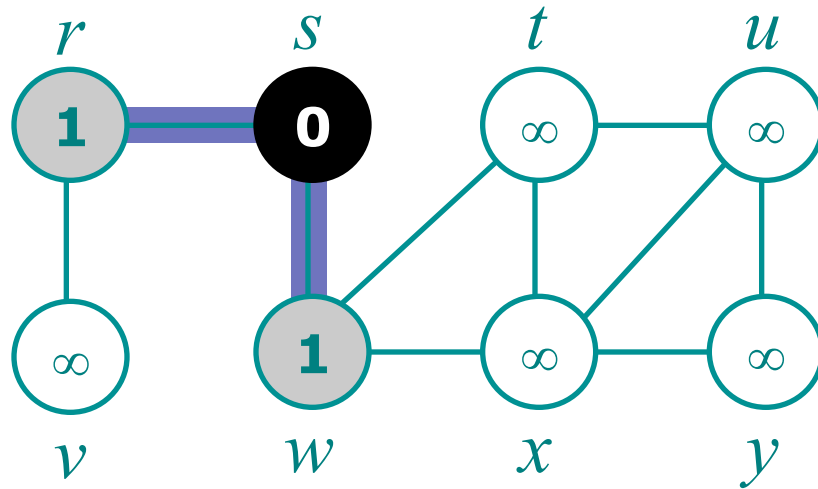
$Q$



$0$

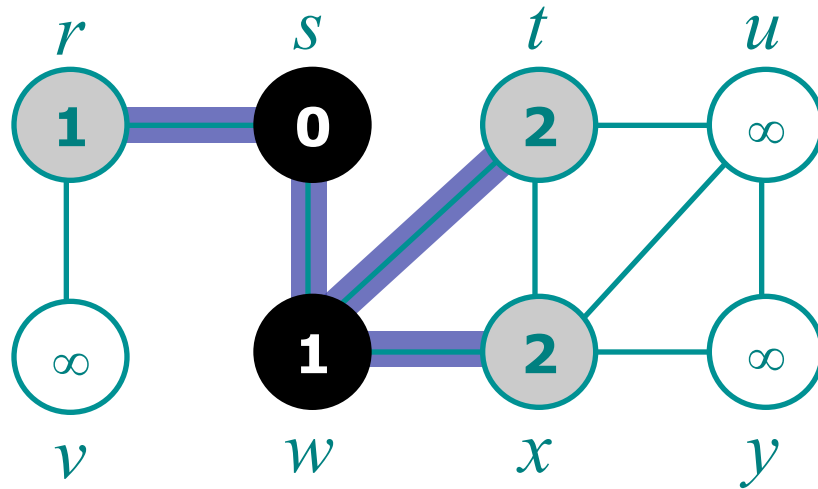
*Gray vertices*

# Breadth-first search example



*Gray vertices*

# Breadth-first search example



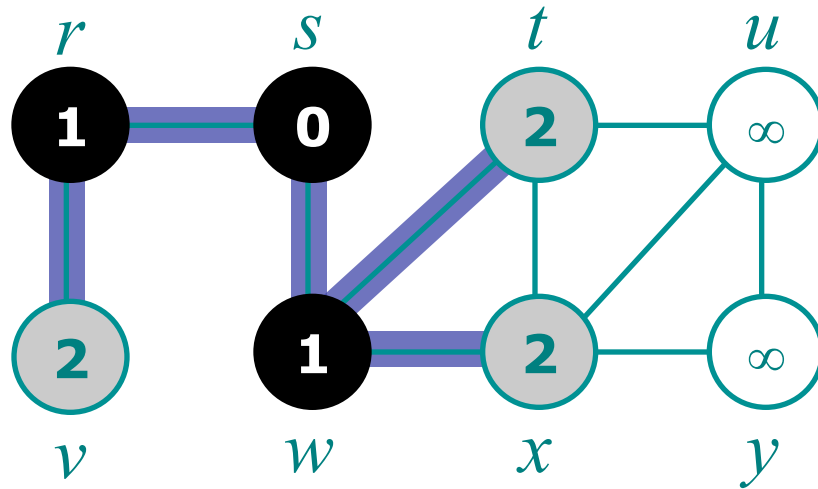
$Q$

$r$	$t$	$x$
-----	-----	-----

1    2    2

*Gray vertices*

# Breadth-first search example



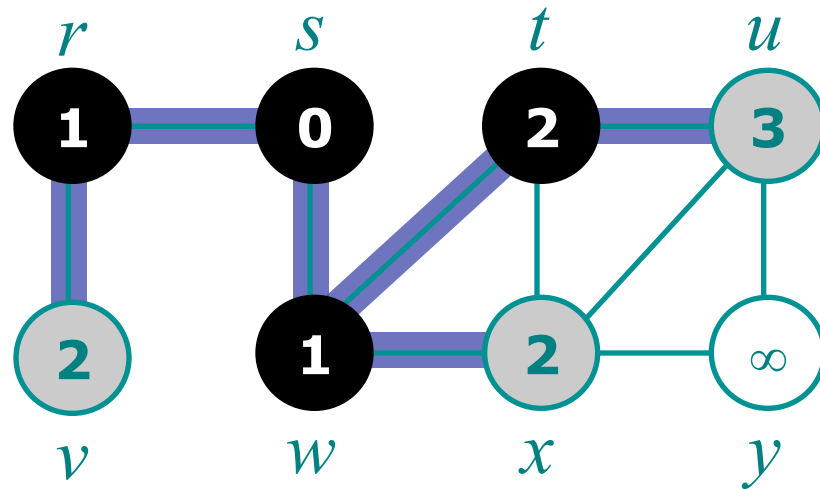
$Q$

$t$	$x$	$v$
-----	-----	-----

2    2    2

*Gray vertices*

# Breadth-first search example



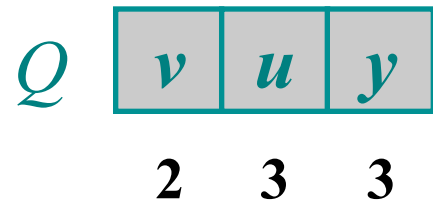
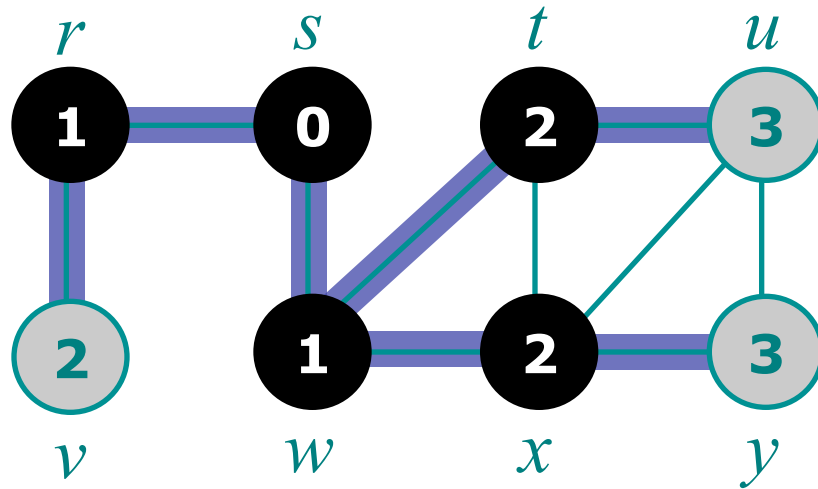
$Q$

$x$	$v$	$u$
2	2	3

*Gray vertices*

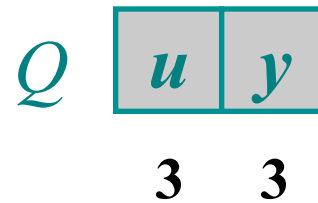
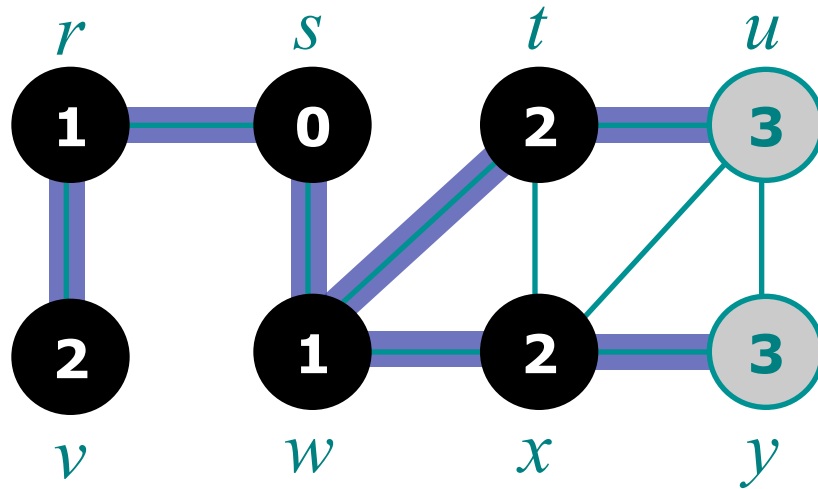
*Why not  $x$ ?*

# Breadth-first search example



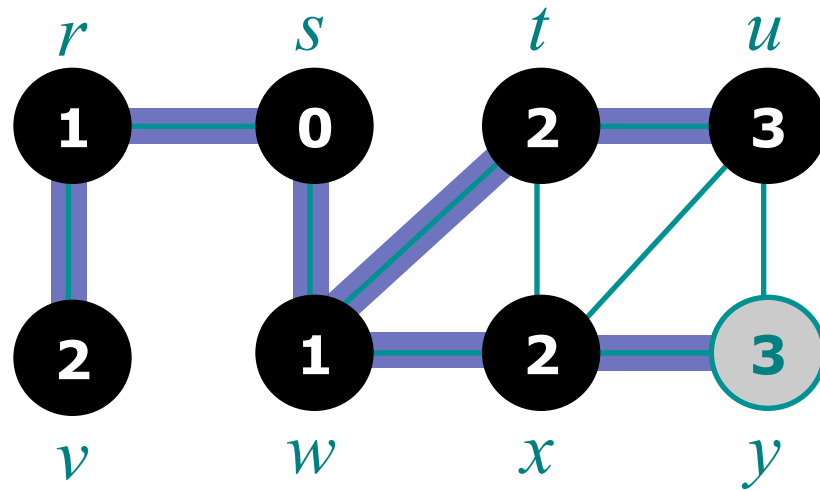
*Gray vertices*

# Breadth-first search example



*Gray vertices*

# Breadth-first search example



$Q$   $y$

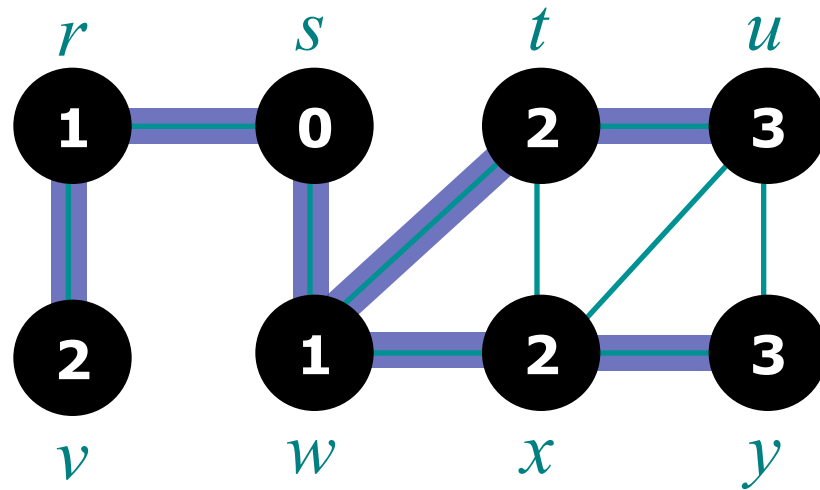
3

*Gray vertices*



# Breadth-first search example

---



$Q = \emptyset$

*Gray vertices*

# Breadth-first search algorithm

---

**BFS**( $G, s$ )

1. **for** each vertex  $u \in V[G] - \{s\}$
2.     **do**  $color[u] \leftarrow \text{WHITE}$
3.      $d[u] \leftarrow \infty$
4.      $\pi[u] \leftarrow \text{NIL}$
5.  $color[s] \leftarrow \text{GRAY}$
6.  $d[s] \leftarrow 0$
7.  $\pi[s] \leftarrow \text{NIL}$
8.  $Q \leftarrow \emptyset$
9. **ENQUEUE**( $Q, s$ )

# Breadth-first search algorithm

---

**BFS**( $G, s$ )

```
10. while  $Q \neq \emptyset$ 
11.   do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12.     for each  $v \in \text{Adj}[u]$ 
13.       do if  $\text{color}[v] = \text{WHITE}$ 
14.         then  $\text{color}[v] \leftarrow \text{GRAY}$ 
15.            $d[v] \leftarrow d[u] + 1$ 
16.            $\pi[v] \leftarrow u$ 
17.            $\text{ENQUEUE}(Q, v)$ 
18.    $\text{color}[u] \leftarrow \text{BLACK}$ 
```

$O(E)$  times       $O(V)$  times

*Running time is  $O(V + E)$*

# Shortest paths

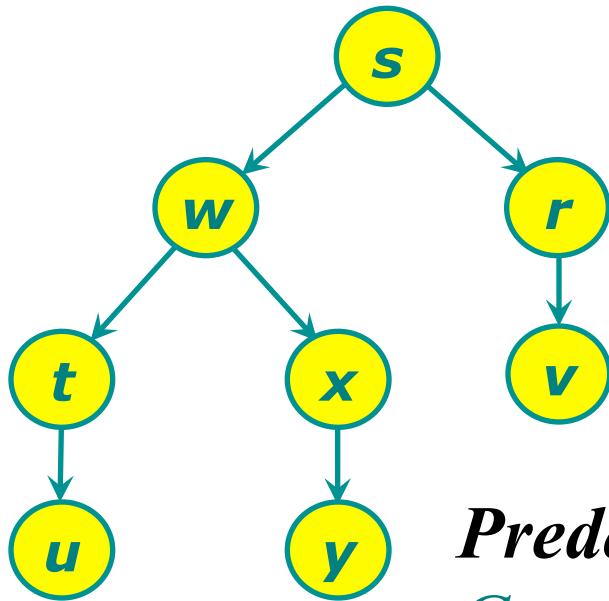
---

**PRINT-PATH**( $G, s, v$ )

1. **if**  $v = s$
2.     **then** print  $s$
3.     **else if**  $\pi[v] = \text{NIL}$
4.         **then** print "no path from"  $s$  "to"  $v$  "exists."
5.         **else** PRINT-PATH( $G, s, \pi[v]$  )
6.         print  $v$

# Predecessor subgraph of BFS

---



***Predecessor subgraph*** of BFS is

$G_\pi = (V_\pi, E_\pi)$ , where

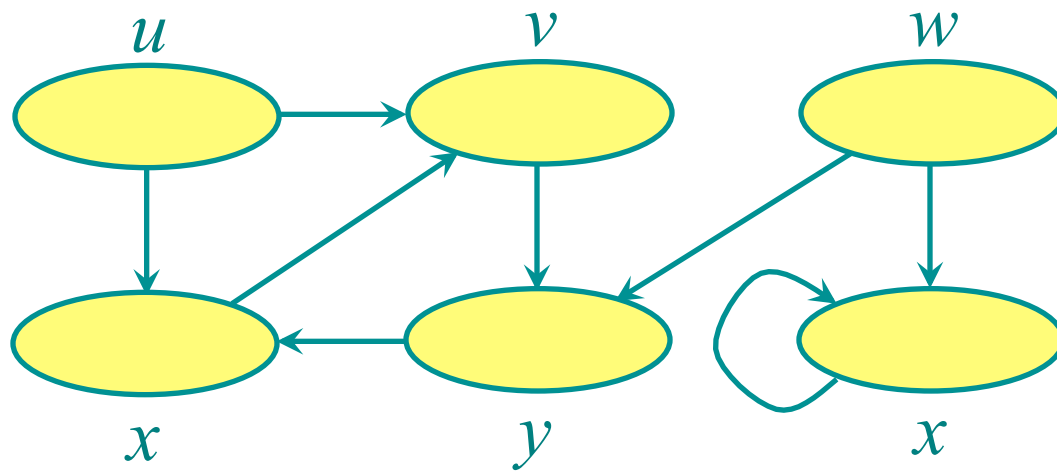
$V_\pi = \{ v \in V : \pi[v] \neq \text{NIL} \} \cup \{s\}$  and

$E_\pi = \{ (\pi[v], v) : v \in V_\pi - \{s\} \}$ .

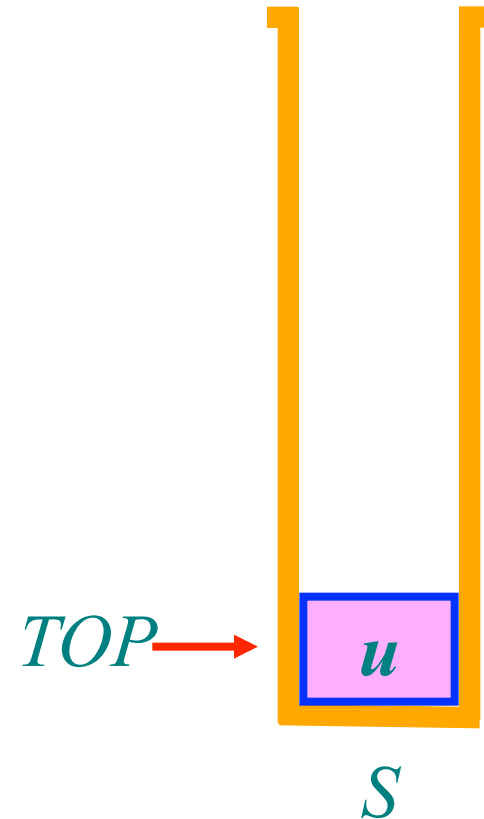
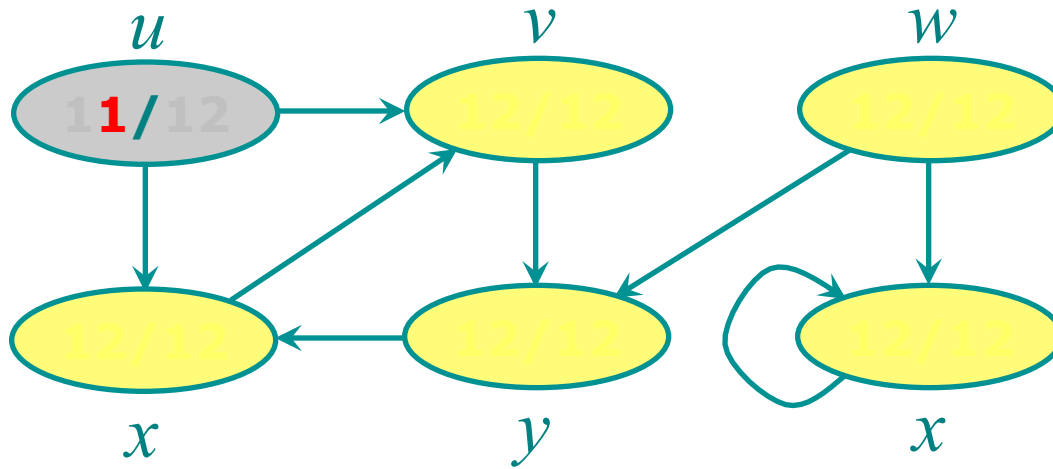
# Depth-first search

---

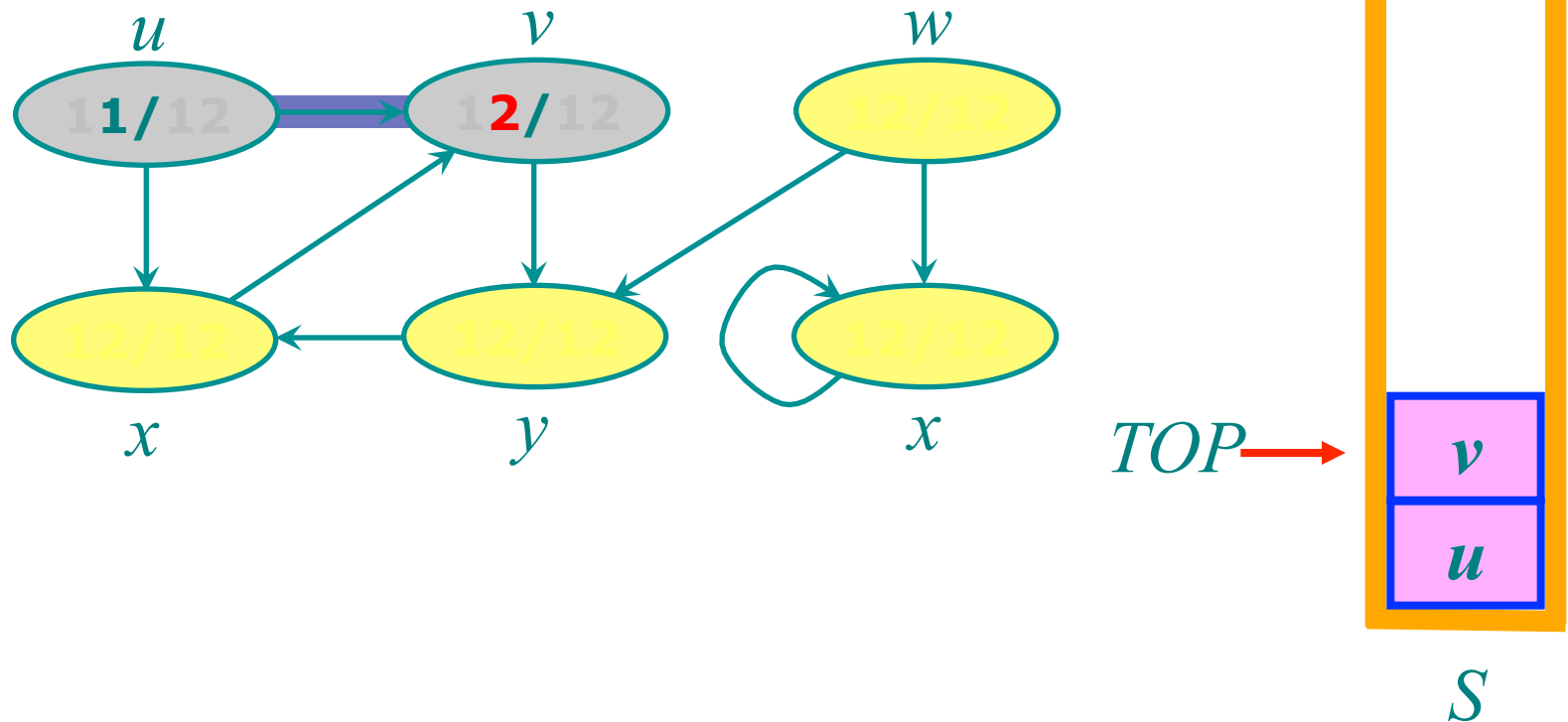
Given a graph  $G = (V, E)$ , depth-first search is to search deeper in the graph whenever possible. Edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges leaving it.



# Depth-first search example

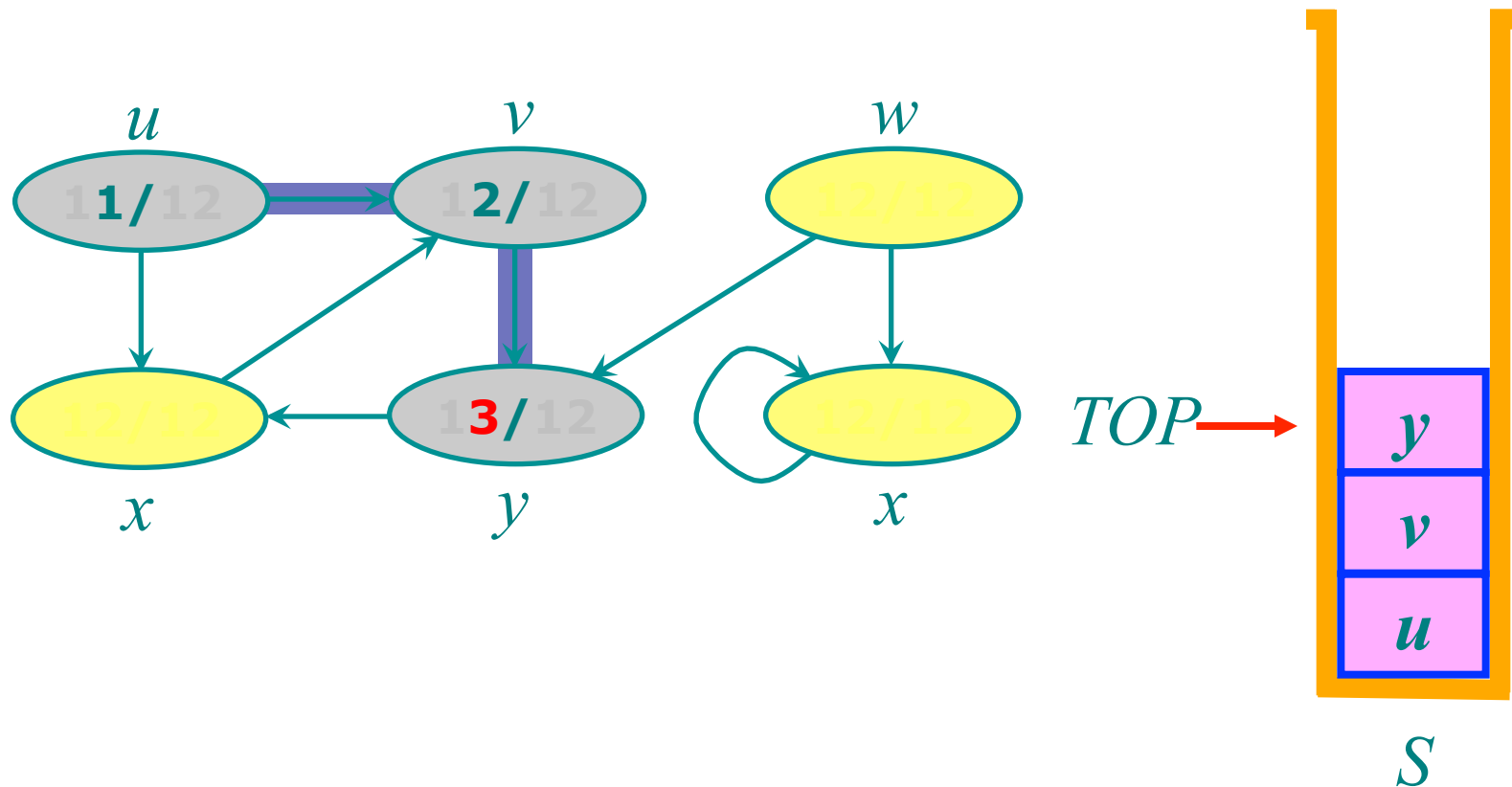


# Depth-first search example

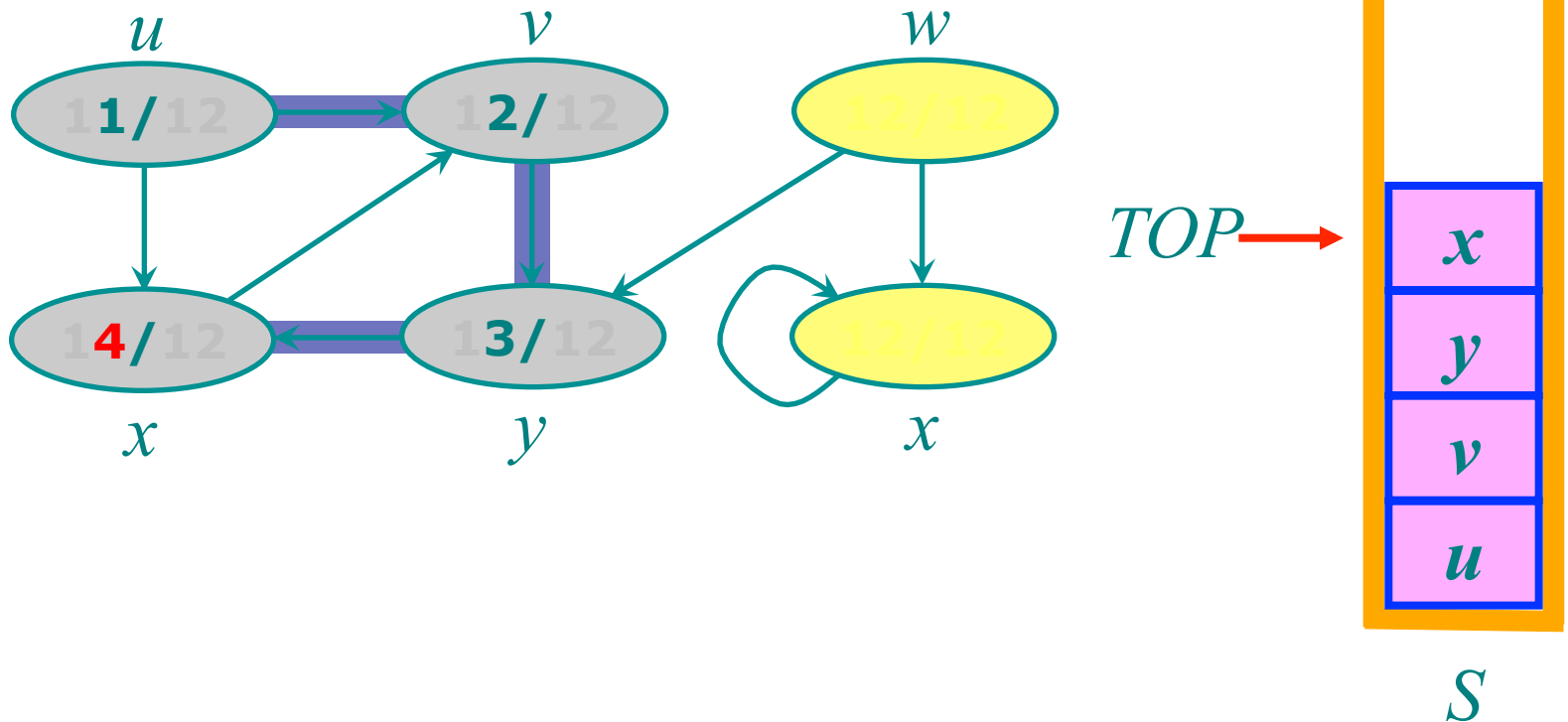




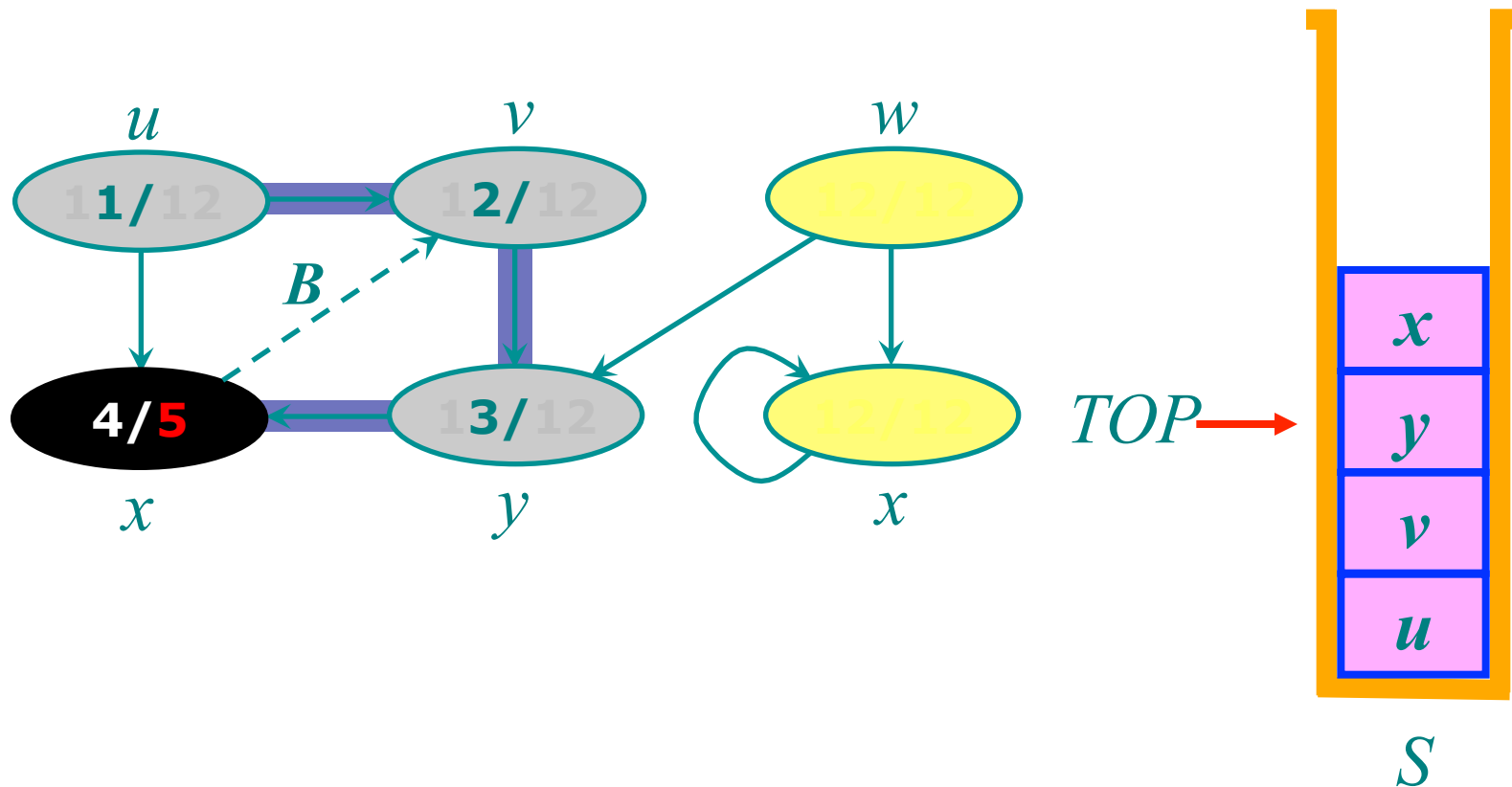
# Depth-first search example



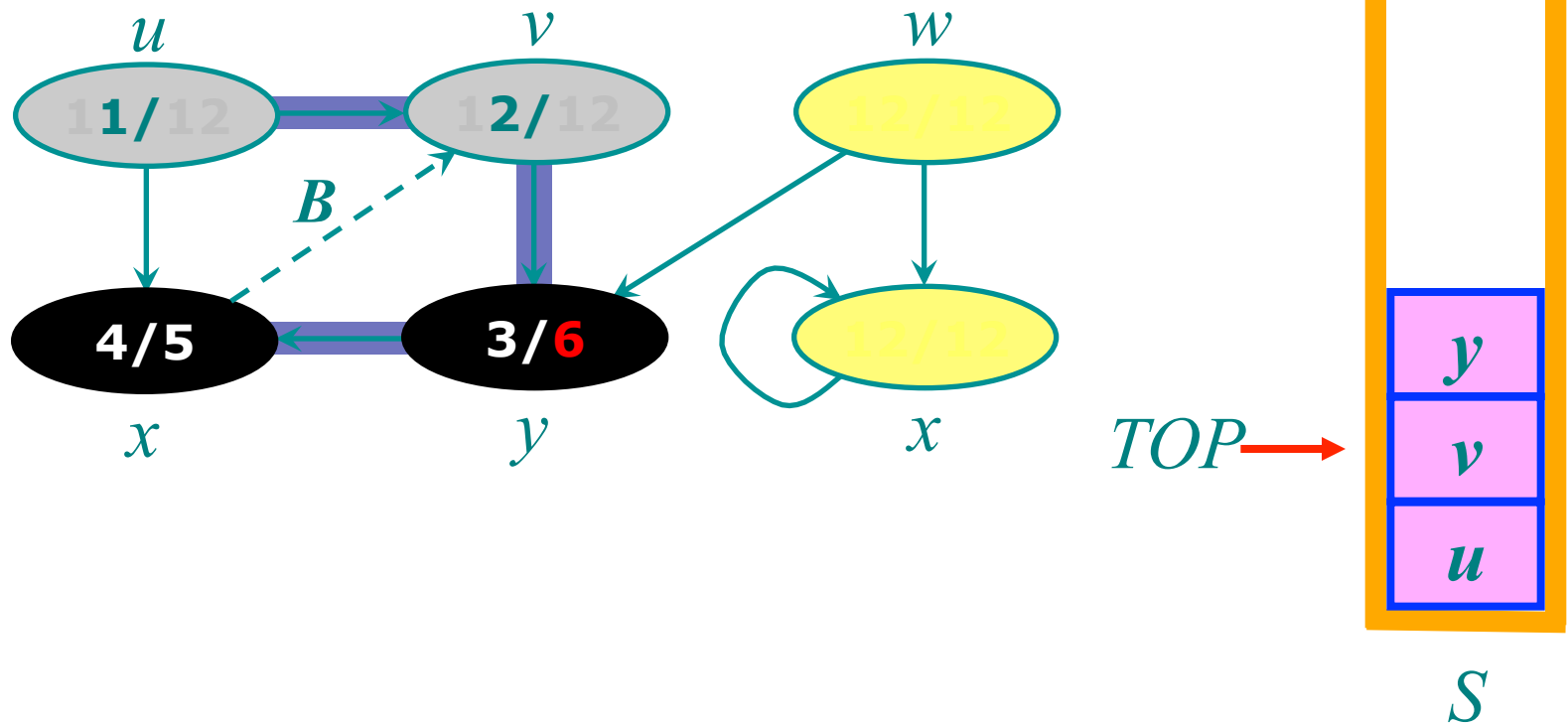
# Depth-first search example



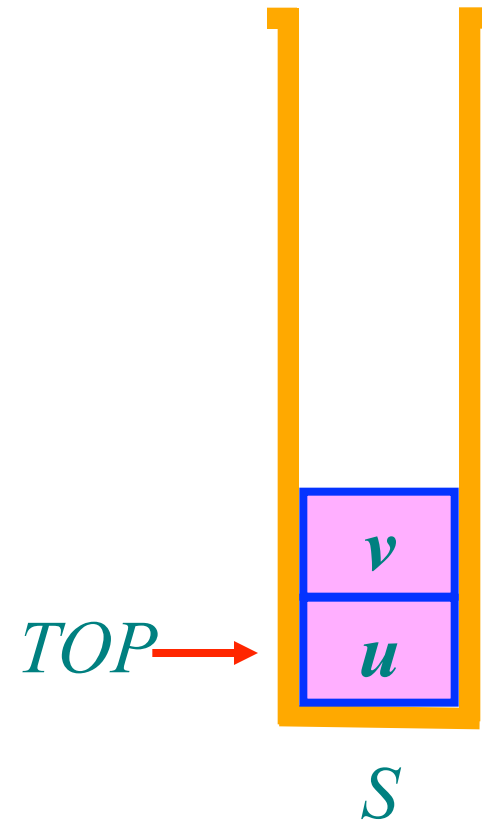
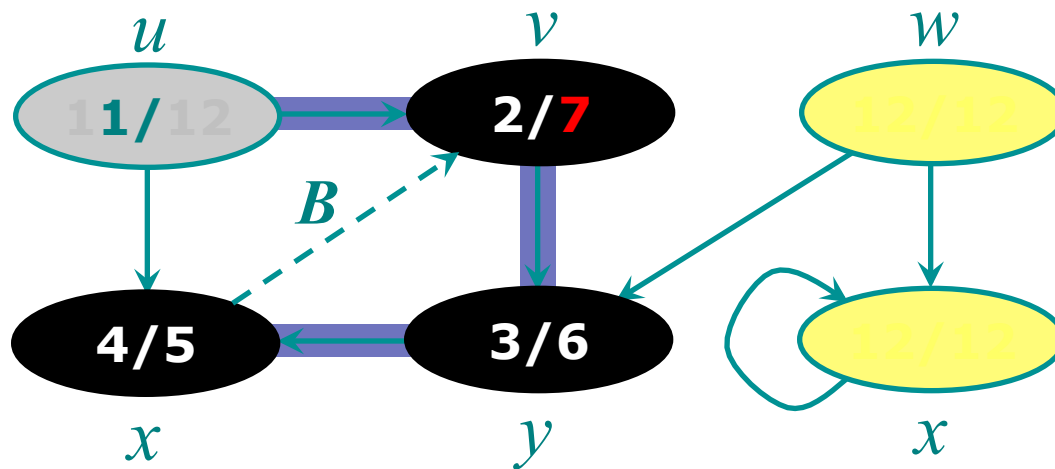
# Depth-first search example



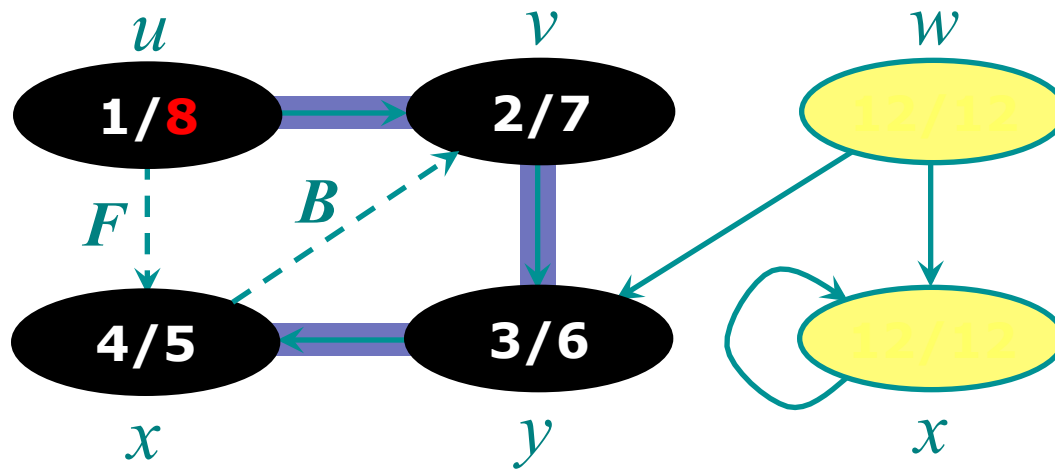
# Depth-first search example



# Depth-first search example

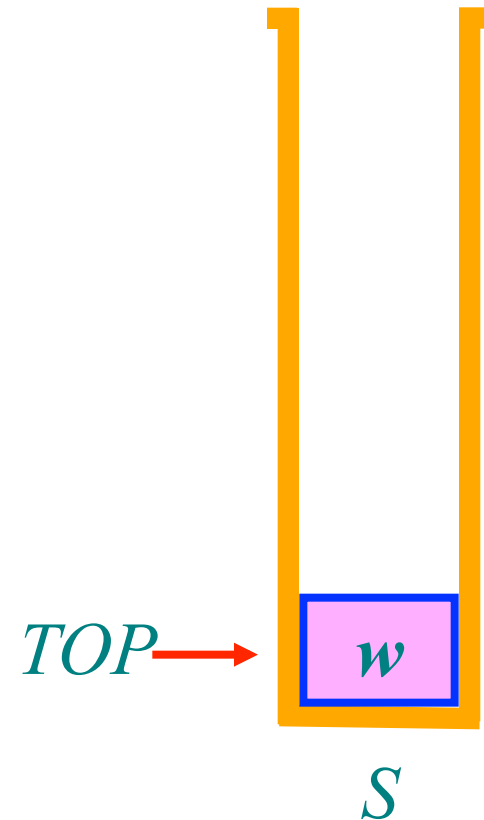
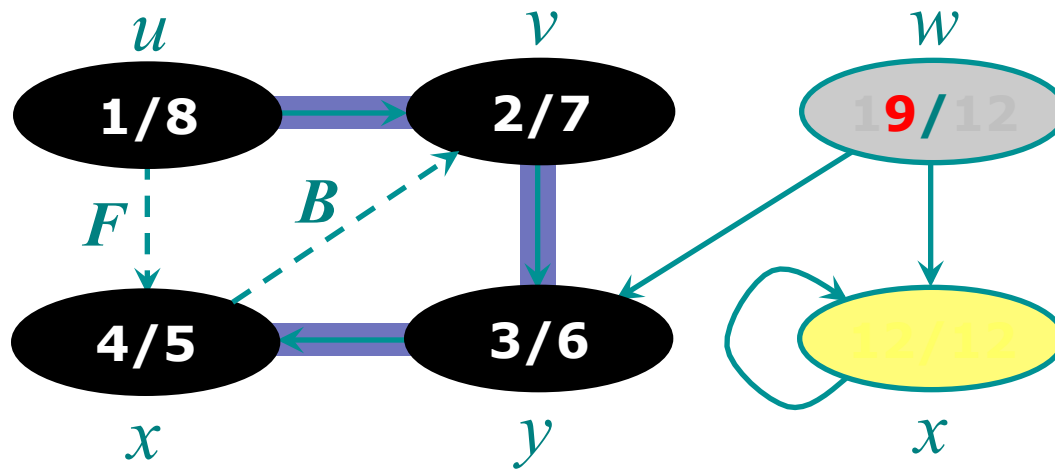


# Depth-first search example

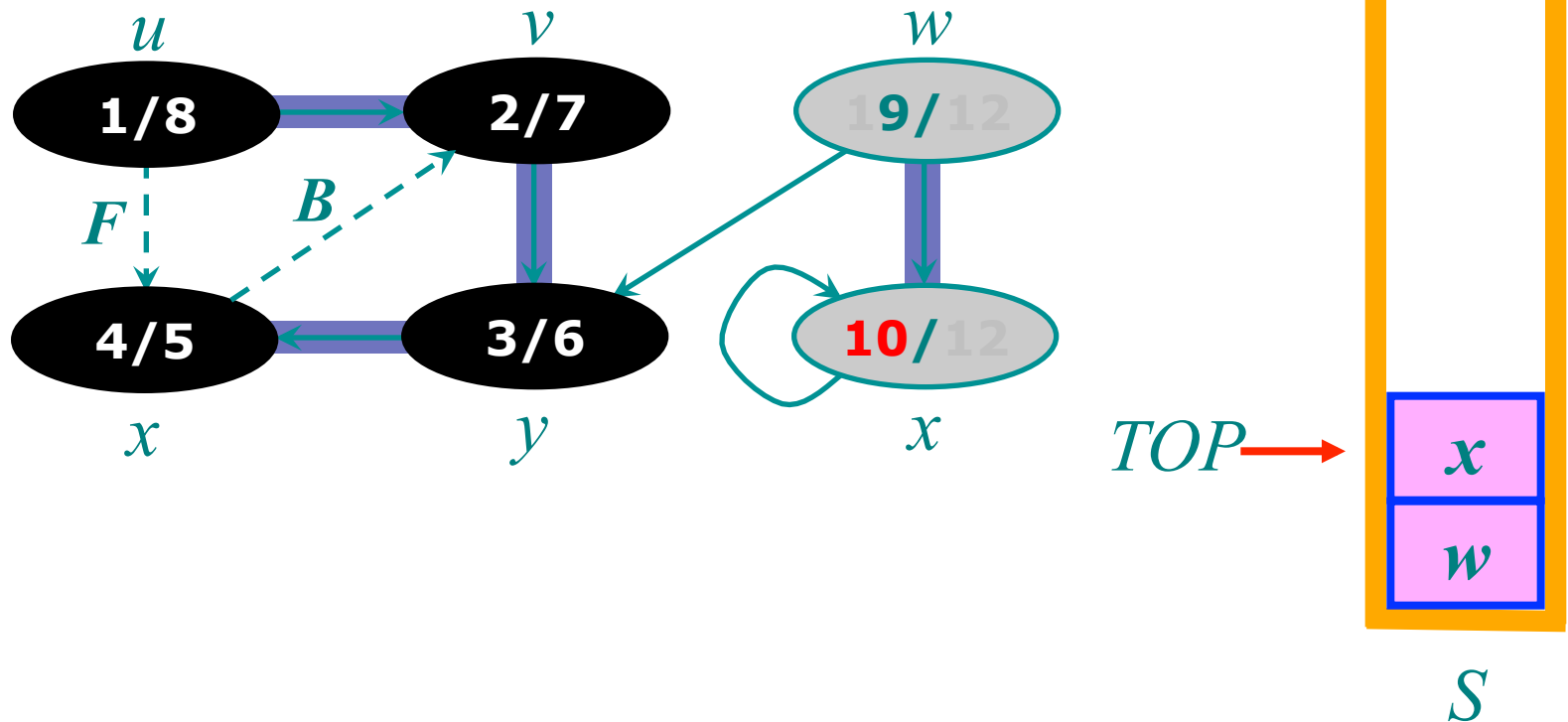


$TOP \rightarrow S$

# Depth-first search example

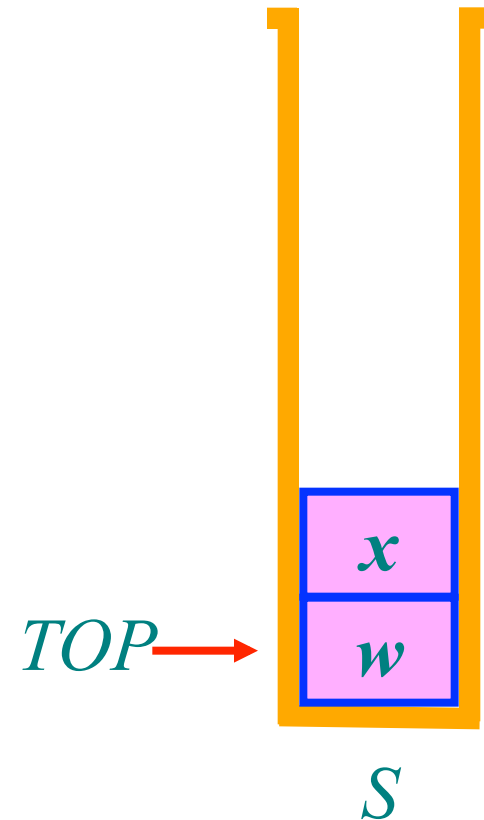
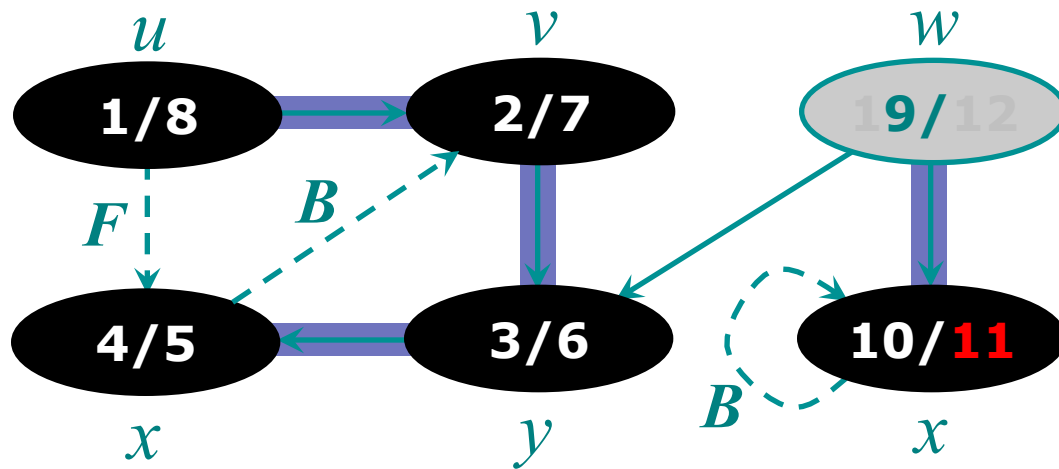


# Depth-first search example

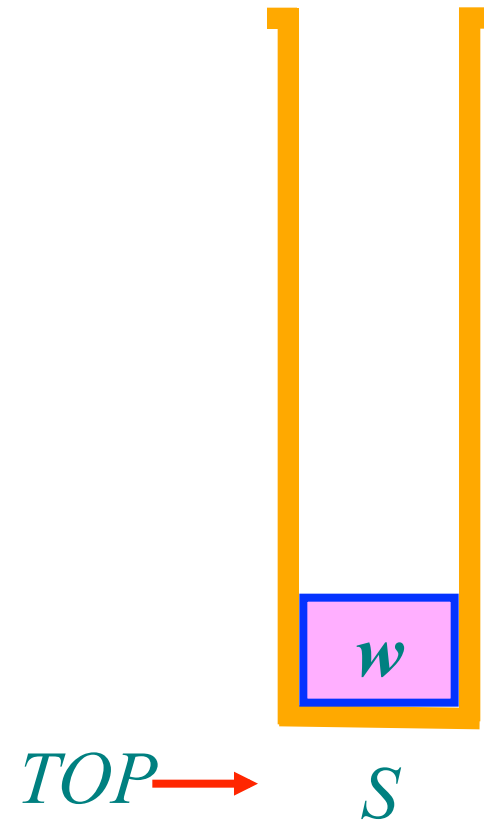
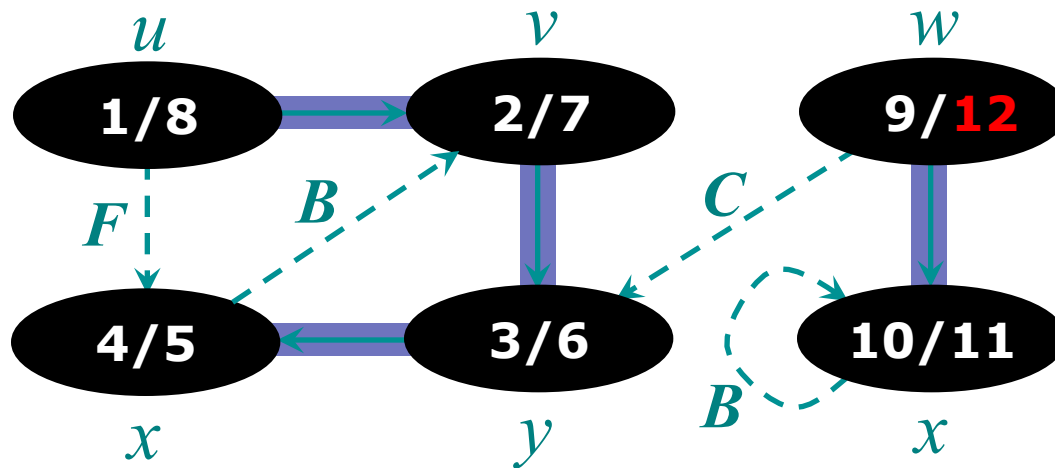




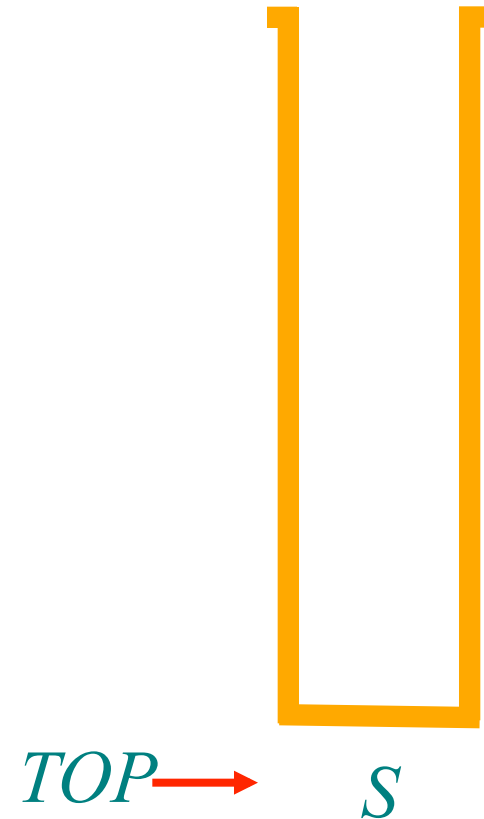
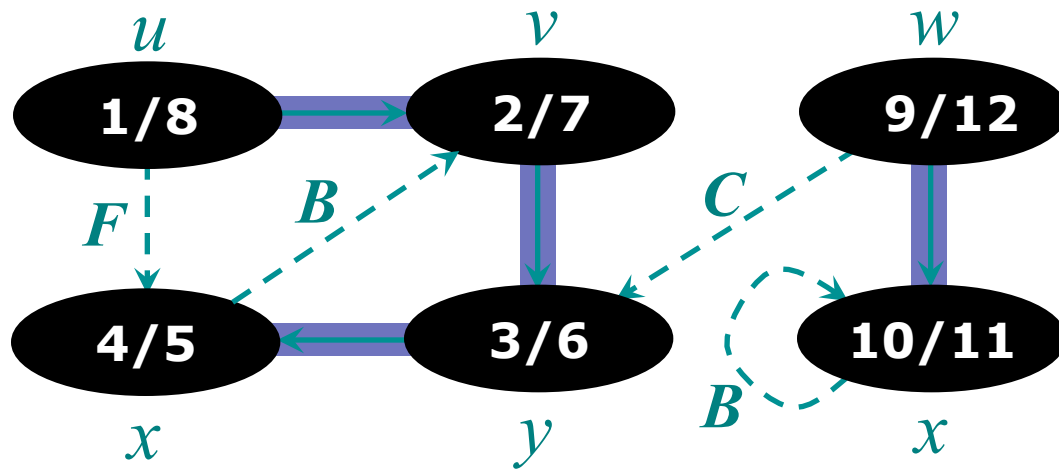
# Depth-first search example



# Depth-first search example



# Depth-first search example



# Depth-first search algorithm

---

**DFS( $G$ )**

1. **for** each vertex  $u \in V[G]$
  2.     **do**  $color[u] \leftarrow \text{WHITE}$
  3.      $\pi[u] \leftarrow \text{NIL}$
  4.  $time \leftarrow 0$
  5. **for** each vertex  $u \in V[G]$
  6.     **do if**  $color[u] = \text{WHITE}$
  7.     **then** DFS-VISIT( $u$ )
- }  $O(V)$  times

# Depth-first search algorithm

---

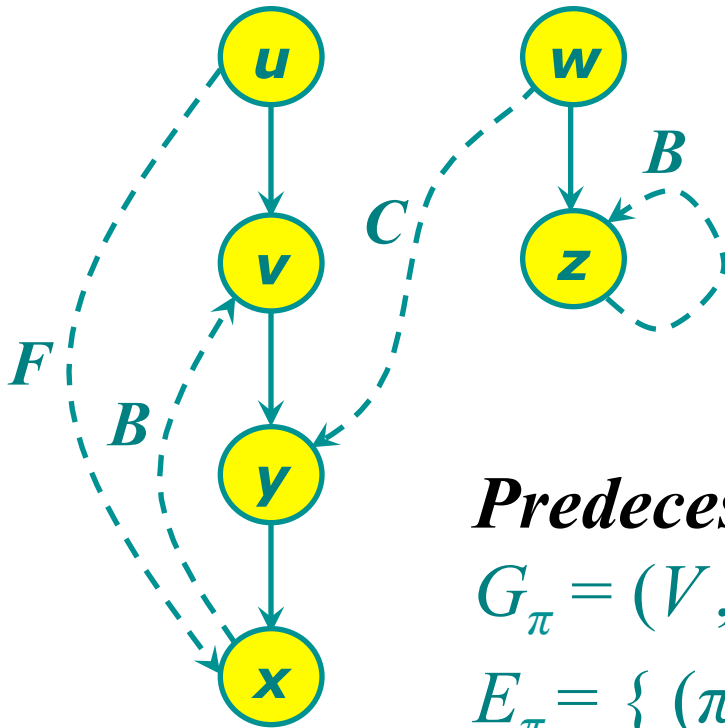
## **DFS-VISIT( $u$ )**

1.  $color[u] \leftarrow \text{GRAY}$
  2.  $time \leftarrow time + 1$
  3.  $d[u] \leftarrow time$
  4. **for** each vertex  $v \in Adj[u]$
  5.     **do if**  $color[v] = \text{WHITE}$
  6.         **then**  $\pi[v] \leftarrow u$
  7.         DFS-VISIT( $v$ )
  8.  $color[u] \leftarrow \text{BLACK}$
  9.  $f[u] \leftarrow time \leftarrow time + 1$
- }  $O(E)$   
times

*Running time is  $O(V + E)$*

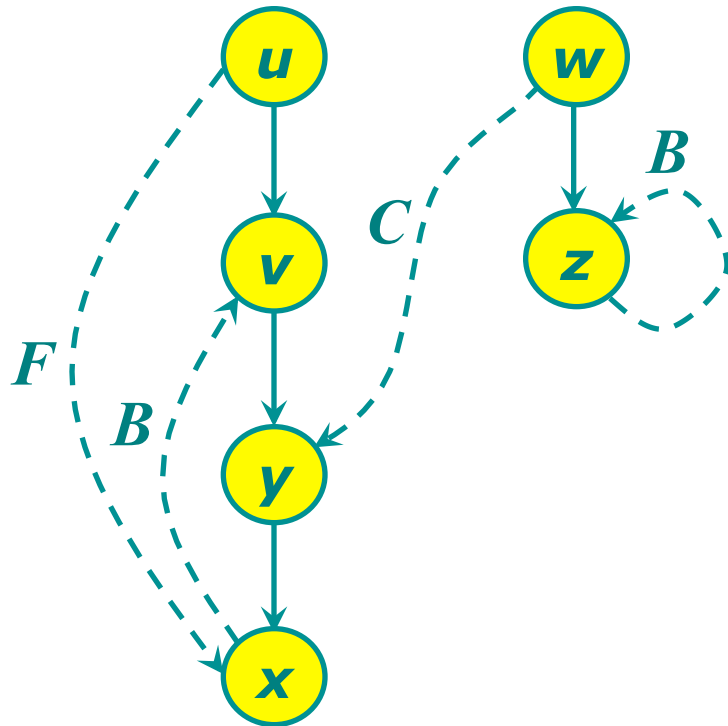
# Predecessor subgraph of DFS

---



***Predecessor subgraph*** of DFS is  
 $G_\pi = (V, E_\pi)$ , where  
 $E_\pi = \{ (\pi[v], v) : v \in V \text{ and } \pi[v] \neq \text{NIL} \}.$

# Predecessor subgraph of DFS

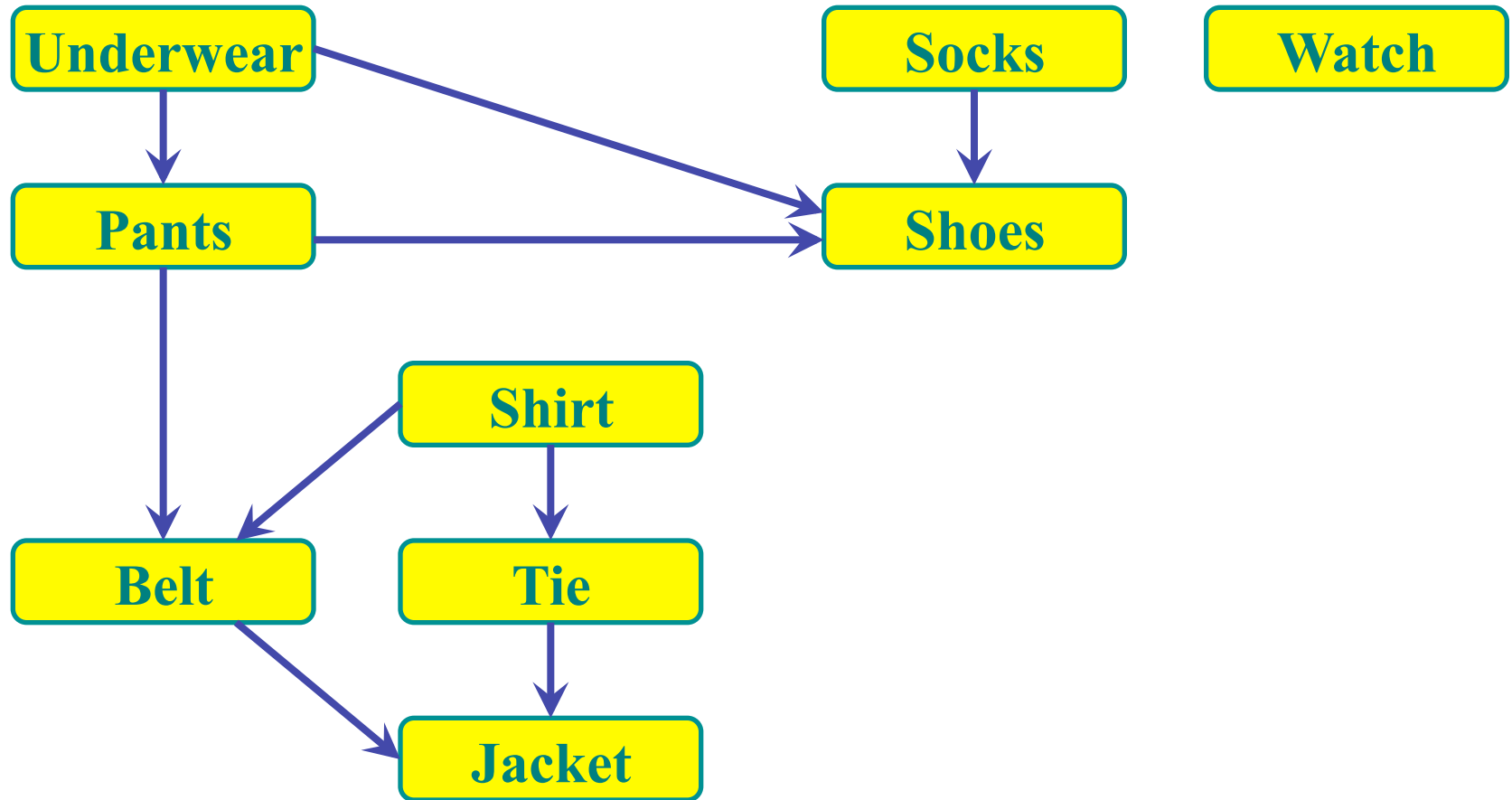


Each edge  $(u, v)$  can be classified by the color of the vertex  $v$  that is reached when the edge is first explored:

- **WHITE** indicates a tree edge;
- **GRAY** indicates a back edge;
- **BLACK** indicates a forward (if  $d[u] < d[v]$ ) or cross edge (if  $d[u] > d[v]$ ).

# Precedence among events

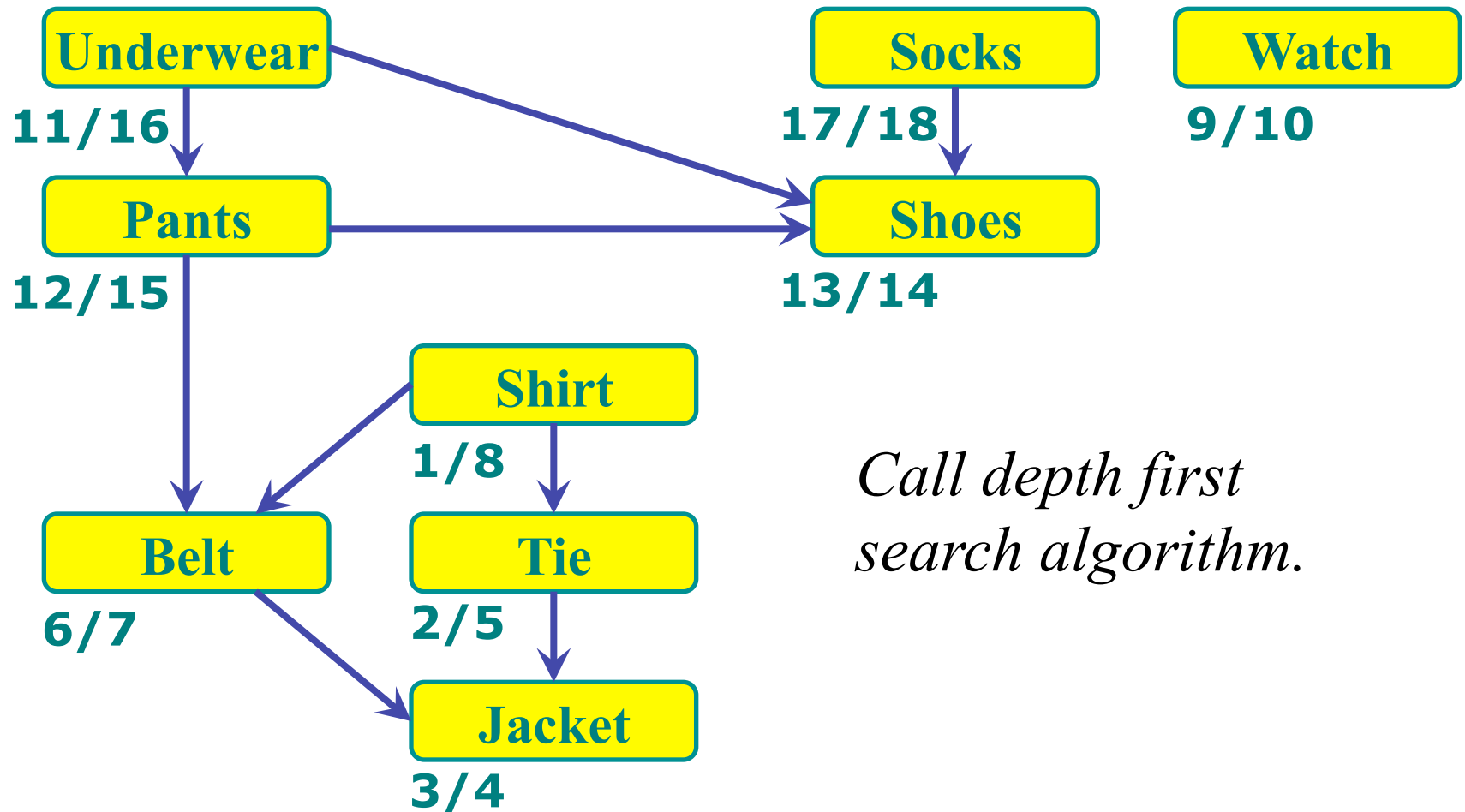
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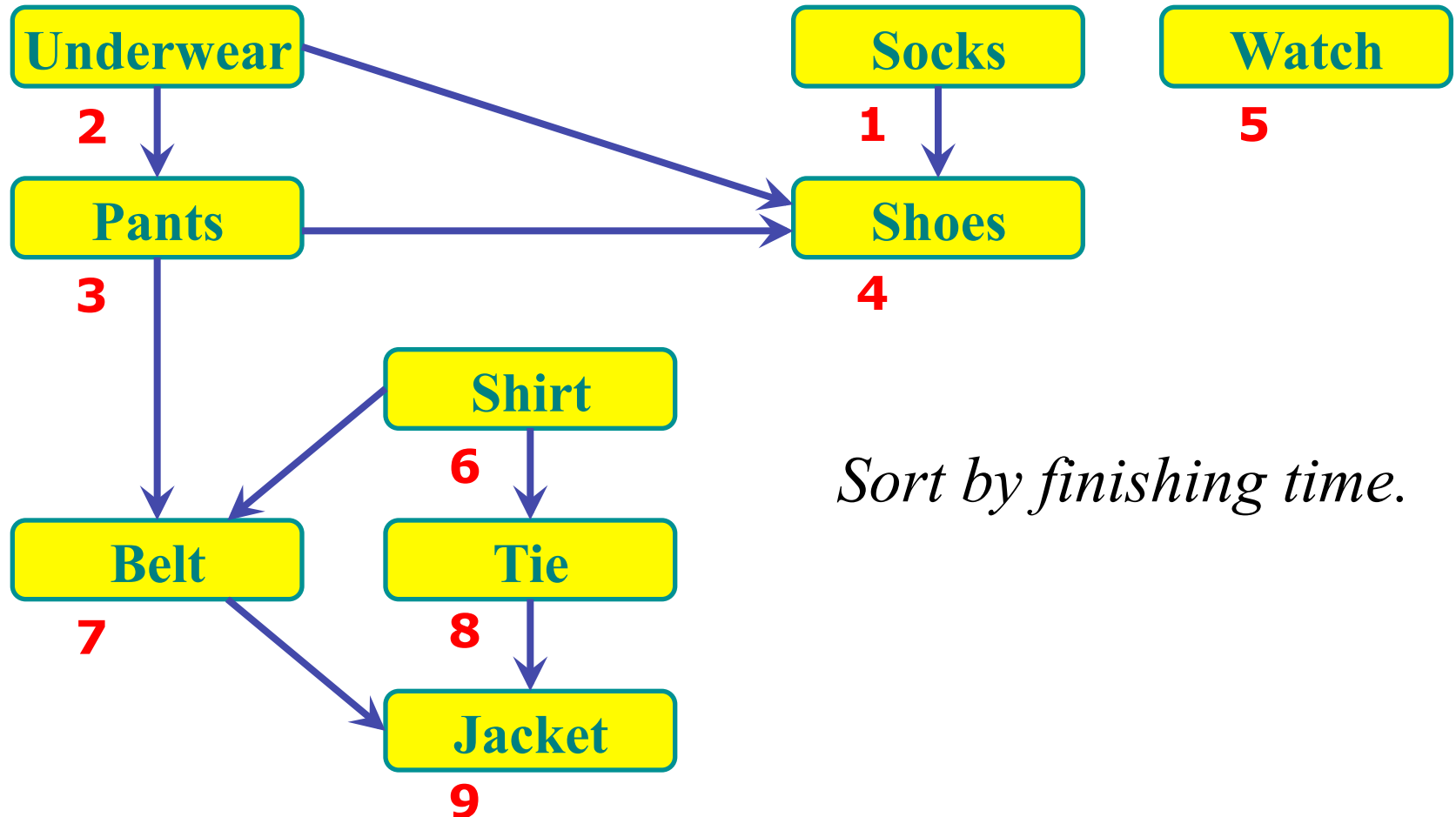
# Precedence among events

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# Precedence among events

---



```
graph TD; ALL_COURSES[ALL COURSES] --> Thesis[Thesis]; ALL_COURSES --> Internship[Internship]; Thesis --> Computer_Architecture[Computer Architecture]; Computer_Architecture --> Calculus[Calculus]; Calculus --> Probability_and_Statistics[Probability and Statistics]; Probability_and_Statistics --> Discrete_Mathematics[Discrete Mathematics]; Discrete_Mathematics --> Intelligent_Systems[Intelligent Systems]; Internship --> Web_Application[Web Application]; Java_or_Cplusplus[Java or C++] --> Object_Oriented_Programming[Object Oriented Programming]; Java_or_Cplusplus --> Data_Structure_and_Algorithm[Data Structure and Algorithm]; Object_Oriented_Programming --> Web_Application; Object_Oriented_Programming --> Software_Engineering[Software Engineering]; Database --> Web_Application; Database --> Software_Engineering; Computer_Systems[Computer Systems] --> Software_Engineering; Computer_Systems --> Computer_Network[Computer Network]; Computer_Network --> Intelligent_Systems; Software_Engineering --> Project_Management[Project Management]; Software_Engineering --> Intelligent_Systems; Data_Structure_and_Algorithm --> Intelligent_Systems;
```

# Topological sort

---

## **TOPOLOGICAL-SORT( $G$ )**

1. call DFS( $G$ ) to compute finishing times  $f[v]$  for each vertex  $v$ .
2. as each vertex is finished, insert it onto the front of a linked list.
3. **return** the linked list of vertices.

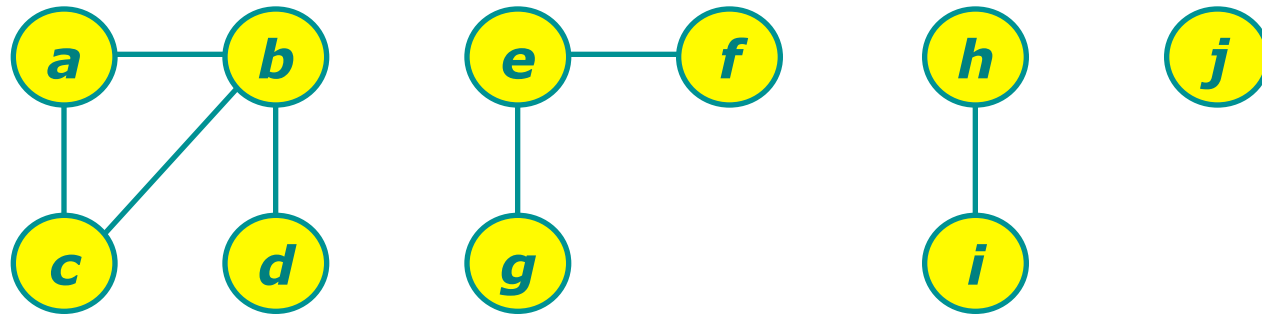
# Topological sort

---

A *topological sort* of a directed acyclic graph or "*dag*"  $G = (V, E)$  is a linear ordering of all its vertices such that if  $G$  contains an edge  $(u, v)$ , then  $u$  appears before  $v$  in the ordering.

- Topological sort of a graph can be viewed as an *linear ordering* of its vertices.
- Topological sorting is *different* from the usual kind of "sorting" studied before.
- If the graph is *not acyclic*, then no linear ordering is possible.

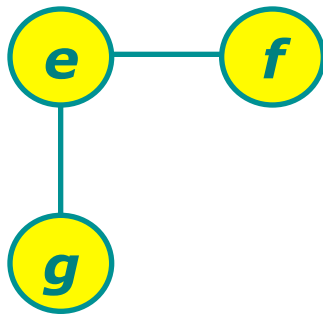
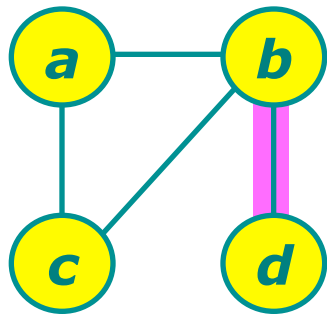
# Disjoint sets



*Connected  
components*

Edge processed	Collection of disjoint sets									
initial sets	{ <i>a</i> }	{ <i>b</i> }	{ <i>c</i> }	{ <i>d</i> }	{ <i>e</i> }	{ <i>f</i> }	{ <i>g</i> }	{ <i>h</i> }	{ <i>i</i> }	{ <i>j</i> }

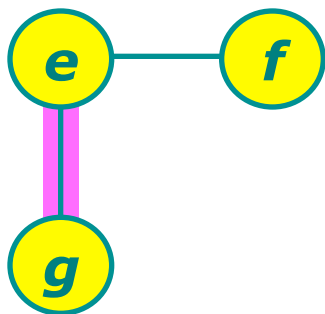
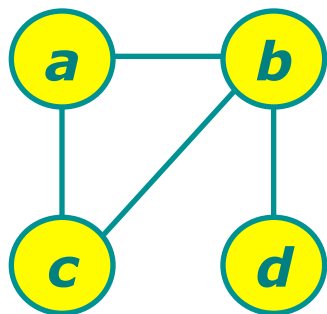
# Disjoint sets



*Connected components*

Edge processed	Collection of disjoint sets									
initial sets	$\{a\}$	$\{b\}$	$\{c\}$	$\{d\}$	$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$
$(b, d)$	$\{a\}$	$\{b, d\}$	$\{c\}$		$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$

# Disjoint sets

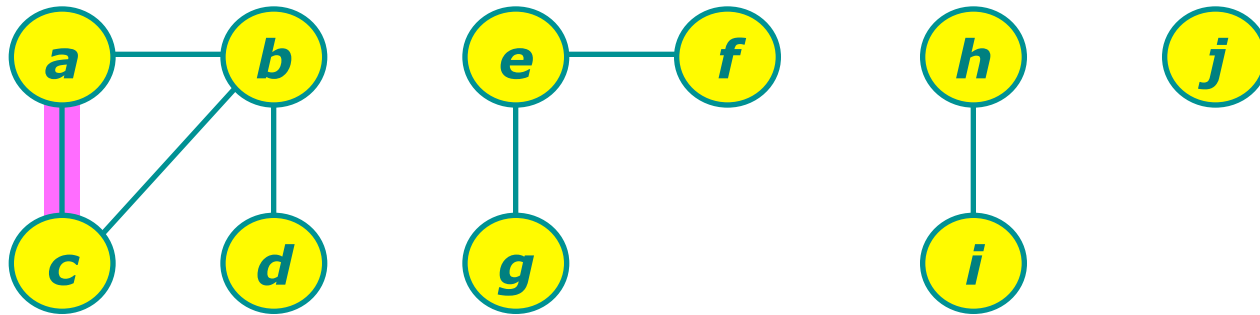


*Connected components*

Edge processed	Collection of disjoint sets									
initial sets	{a}	{b}	{c}	{d}	{e}	{f}	{g}	{h}	{i}	{j}
(b, d)	{a}	{b, d}	{c}		{e}	{f}	{g}	{h}	{i}	{j}
(e, g)	{a}	{b, d}	{c}		{e, g}	{f}		{h}	{i}	{j}



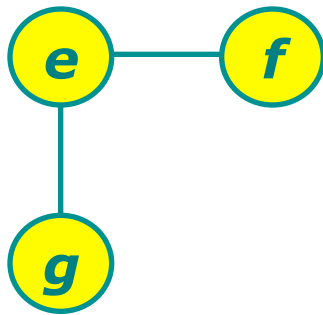
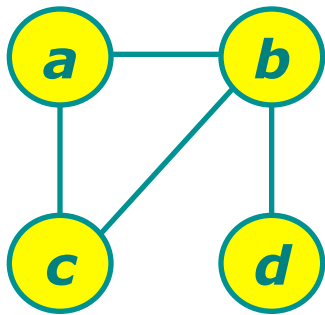
# Disjoint sets



*Connected components*

Edge processed	Collection of disjoint sets									
initial sets	$\{a\}$	$\{b\}$	$\{c\}$	$\{d\}$	$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$
$(b, d)$	$\{a\}$	$\{b, d\}$	$\{c\}$		$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$
$(e, g)$	$\{a\}$	$\{b, d\}$	$\{c\}$		$\{e, g\}$	$\{f\}$		$\{h\}$	$\{i\}$	$\{j\}$
$(a, c)$	$\{a, c\}$	$\{b, d\}$			$\{e, g\}$	$\{f\}$		$\{h\}$	$\{i\}$	$\{j\}$

# Disjoint sets



*Connected components*

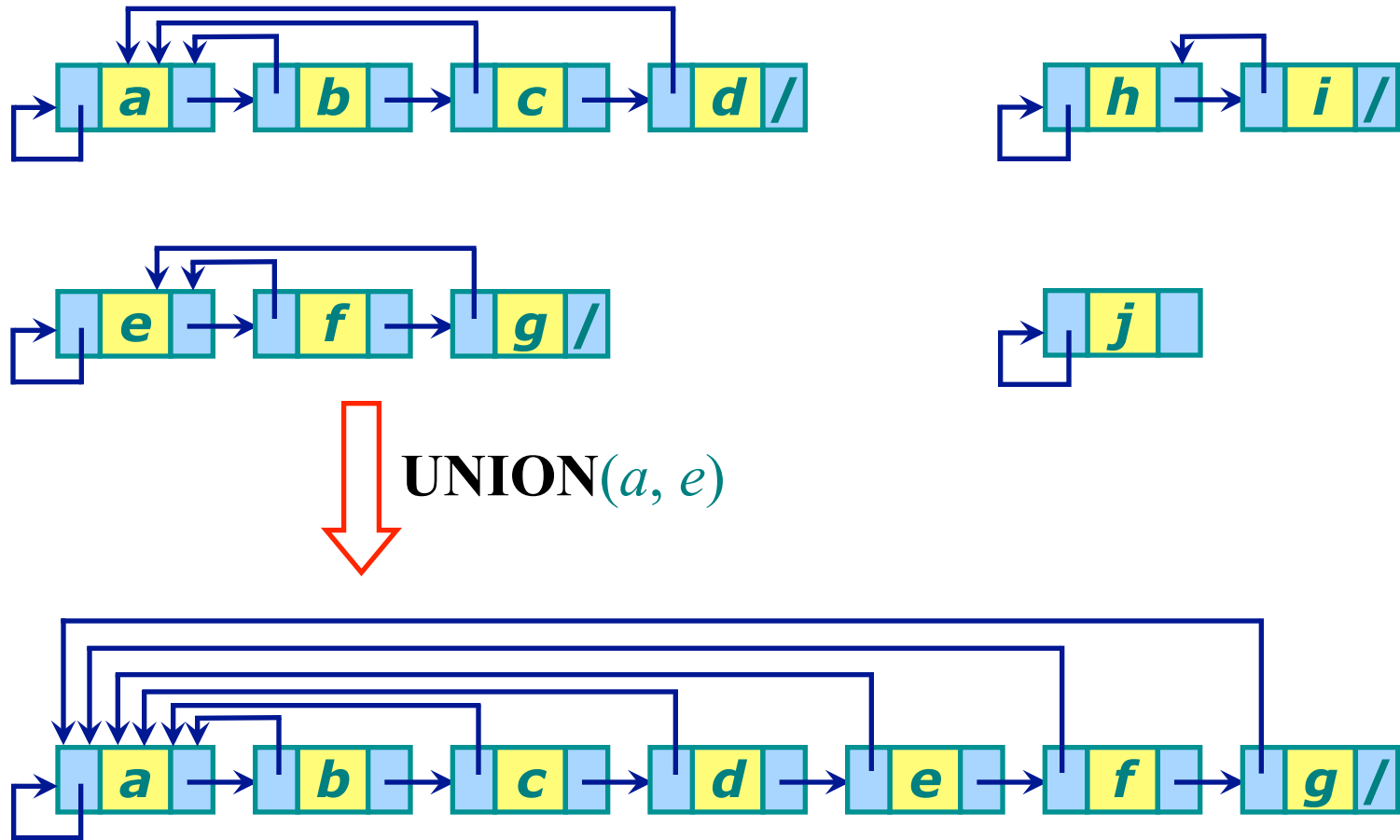
Edge processed	Collection of disjoint sets									
initial sets	{a}	{b}	{c}	{d}	{e}	{f}	{g}	{h}	{i}	{j}
(b, d)	{a}	{b, d}	{c}		{e}	{f}	{g}	{h}	{i}	{j}
(e, g)	{a}	{b, d}	{c}		{e, g}	{f}		{h}	{i}	{j}
(a, c)	{a, c}	{b, d}			{e, g}	{f}		{h}	{i}	{j}
(h, i)	{a, c}	{b, d}			{e, g}	{f}		{h, i}		{j}
(a, b)	{a, b, c, d}				{e, g}	{f}		{h, i}		{j}
(e, f)	{a, b, c, d}				{e, f, g}			{h, i}		{j}
(b, c)	{a, b, c, d}				{e, f, g}			{h, i}		{j}

# Disjoint set operations

---

- **MAKE-SET**( $x$ ) creates a new set whose only member is  $x$ .
- **UNION**( $x, y$ ) unites the dynamic sets that contain  $x$  and  $y$ , say  $S_x$  and  $S_y$ , into a new set that is the union of these two sets. The two sets are assumed to be disjoint prior to the operation.
- **FIND-SET**( $x$ ) returns a pointer to the representative of the unique set containing  $x$ .

# Linked list representation of disjoint sets



# Analysis of linked list representation

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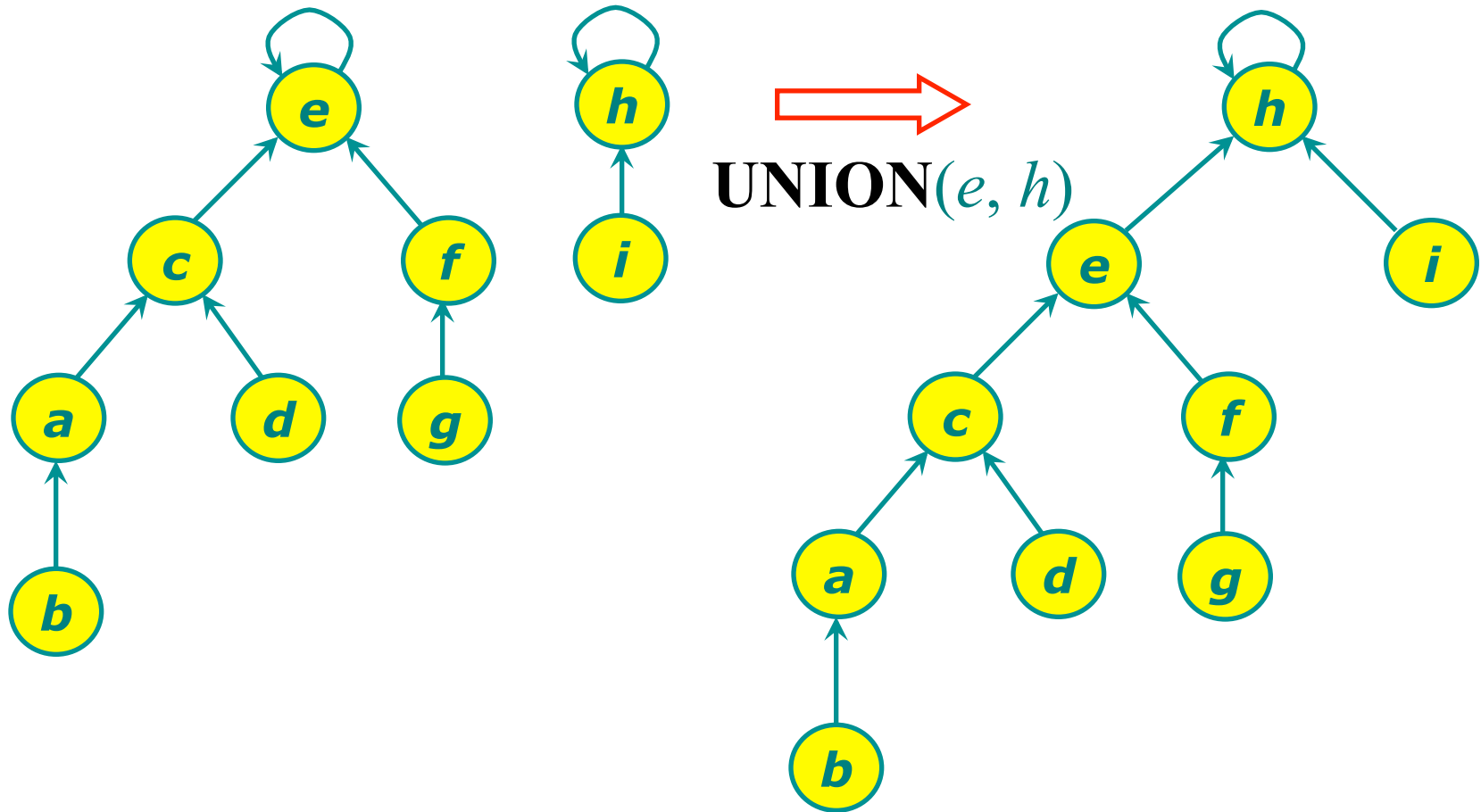
Linked list representation of the **UNION** operation requires an average of  $\Phi(n)$  time per call because we may be appending a longer list onto a shorter list.

*Weighted-union heuristic:* Suppose that each list also includes the length of the list and that we always append the smaller list onto the longer.

- A sequence of  $m$  **MAKE-SET**, **UNION**, and **FIND-SET** operations,  $n$  of which are **MAKE-SET** operations, takes  $O(m + n \lg n)$  time.

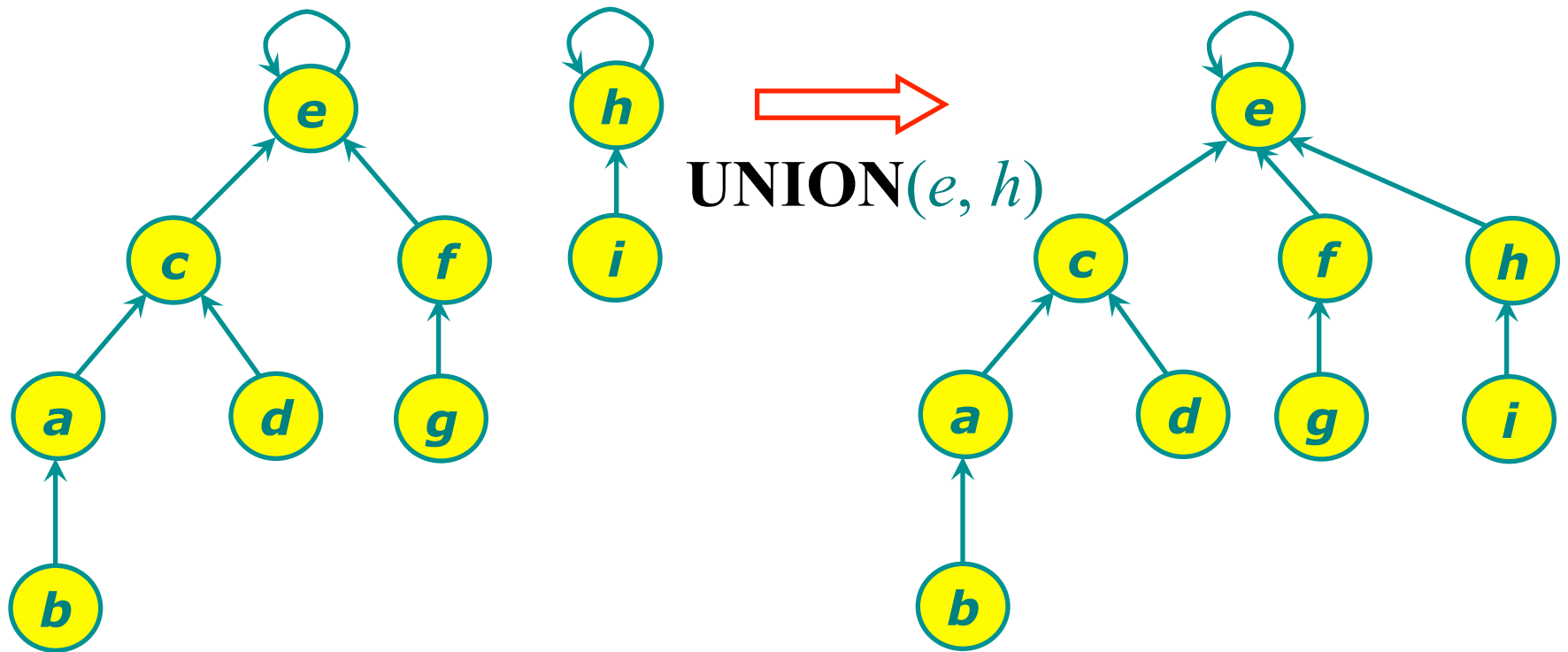
# Disjoint set forests

---

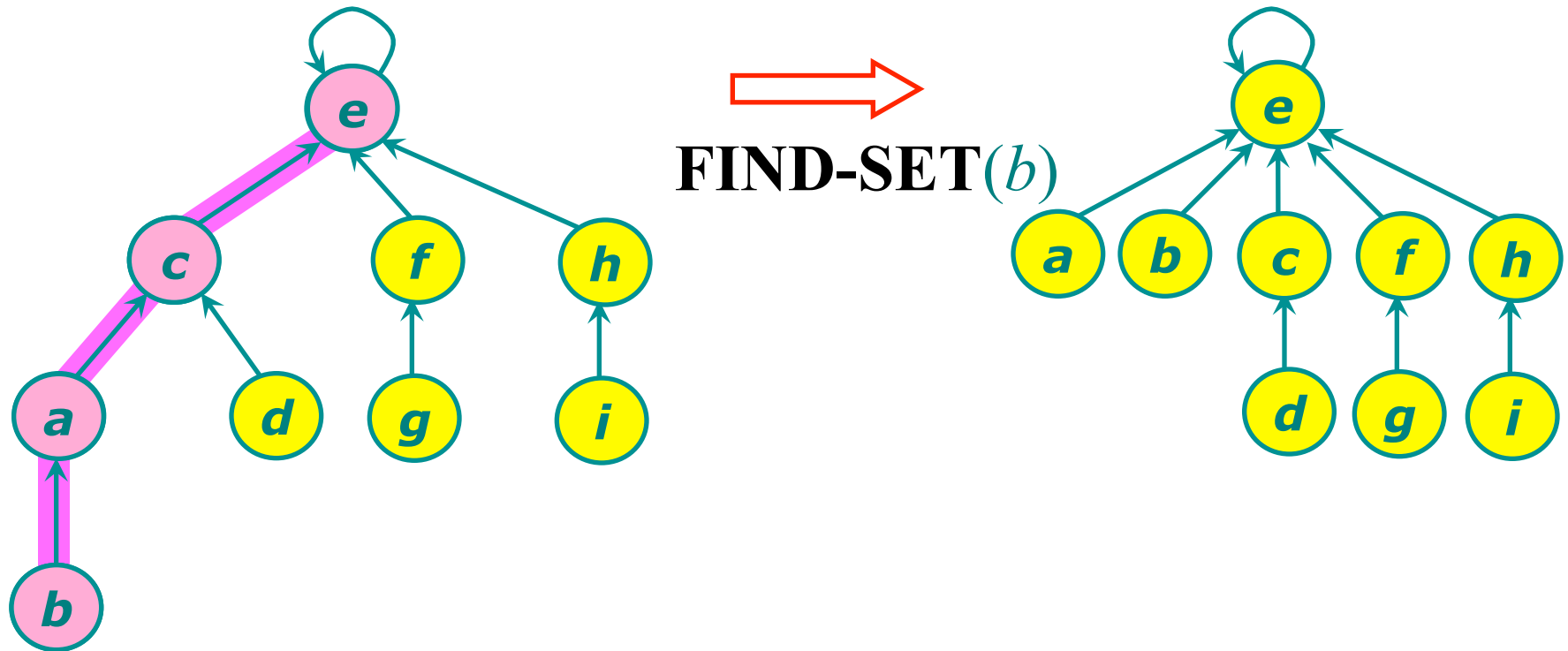


# Union by rank

---



# Path compression





# Disjoint set forests

---

## **MAKE-SET**( $x$ )

1.  $p[x] \leftarrow x$
2.  $rank[x] \leftarrow 0$

## **FIND-SET**( $x$ )

1. **if**  $x \neq p[x]$
2.     **then**  $p[x] \leftarrow \text{FIND-SET}(p[x])$
3. **return**  $p[x]$

# Disjoint set forests

---

**UNION**( $x, y$ )

1. **LINK**(**FIND-SET**( $x$ ), **FIND-SET**( $y$ ))

**LINK**( $x, y$ )

1. **if**  $rank[x] > rank[y]$
2.     **then**  $p[y] \leftarrow x$
3.     **else**  $p[x] \leftarrow y$
4.         **if**  $rank[x] = rank[y]$
5.             **then**  $rank[y] \leftarrow rank[y] + 1$

# Union by rank and path compression

---

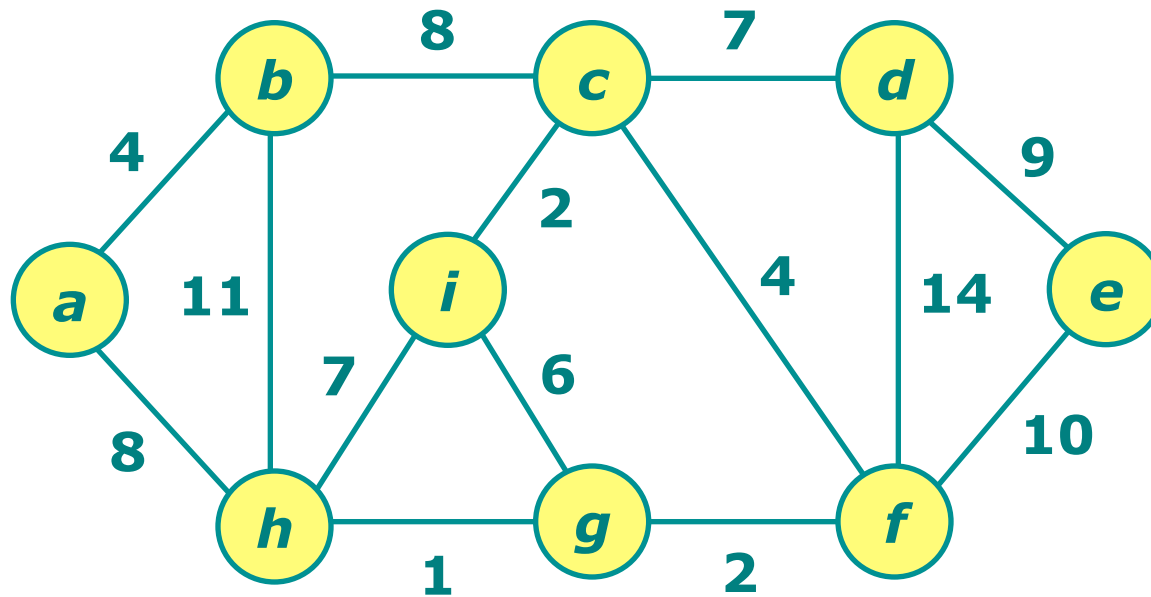
When we use both *union by rank* and *path compression*, the worst-case running time is  $O(m \alpha(n))$ , where  $\alpha(n)$  is a very *slowly* growing function.

$$\alpha(n) \begin{cases} 0 & \text{for } 0 \leq n \leq 2, \\ 1 & \text{for } n = 3, \\ 2 & \text{for } 4 \leq n \leq 7, \\ 3 & \text{for } 8 \leq n \leq 2047, \\ 4 & \text{for } 2047 \leq n \leq A_4(1) \gg 10^{80}. \end{cases}$$

$$A_k(j) \begin{cases} j + 1 & \text{if } k = 0, \\ A_{k-1}^{(j+1)}(j) & \text{if } k \geq 1. \end{cases}$$

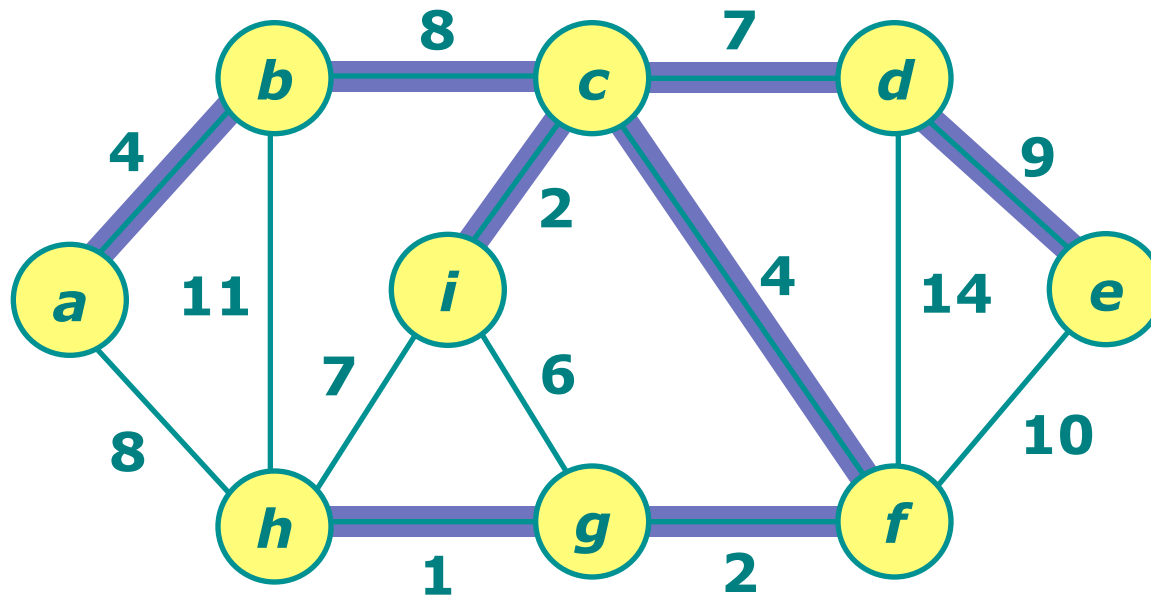
# Minimum spanning tree

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# Minimum spanning tree

---



**Total weight = 1 + 2 + 2 + 4 + 4 + 7 + 8 + 9 = 37**

# Minimum spanning tree

---

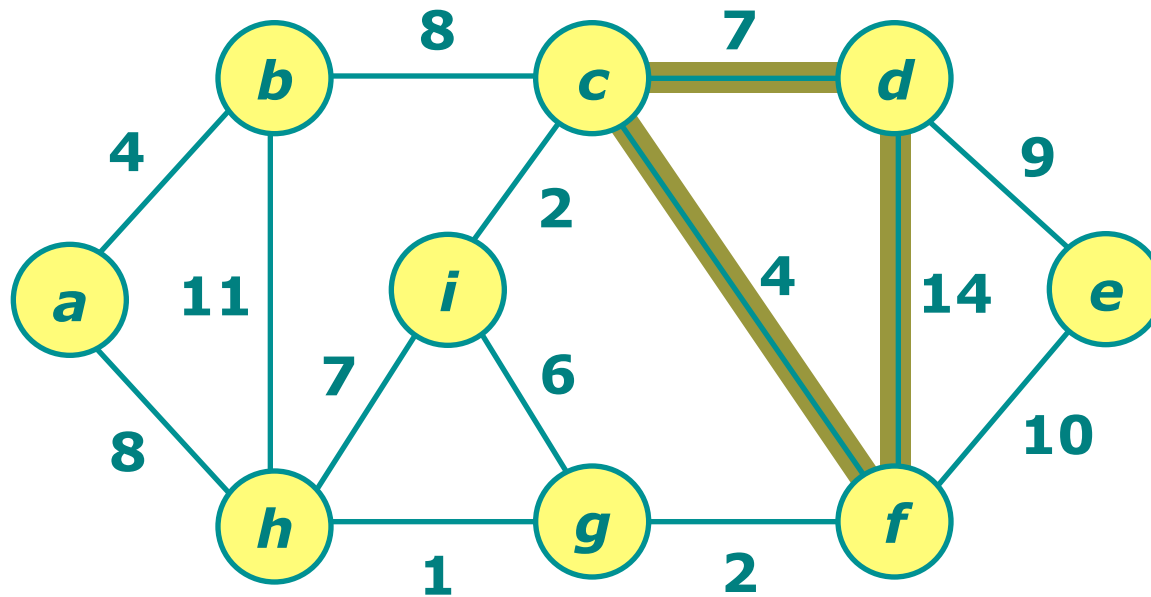
**Input:** A *connected, undirected graph*  $G = (V, E)$  with weight function  $w: E \rightarrow \mathbb{R}$ .

**Output:** A *spanning tree*  $T$  — a tree that connects all vertices — of minimum weight:

$$w(T) = \sum_{(u,v) \in T} w(u,v)$$

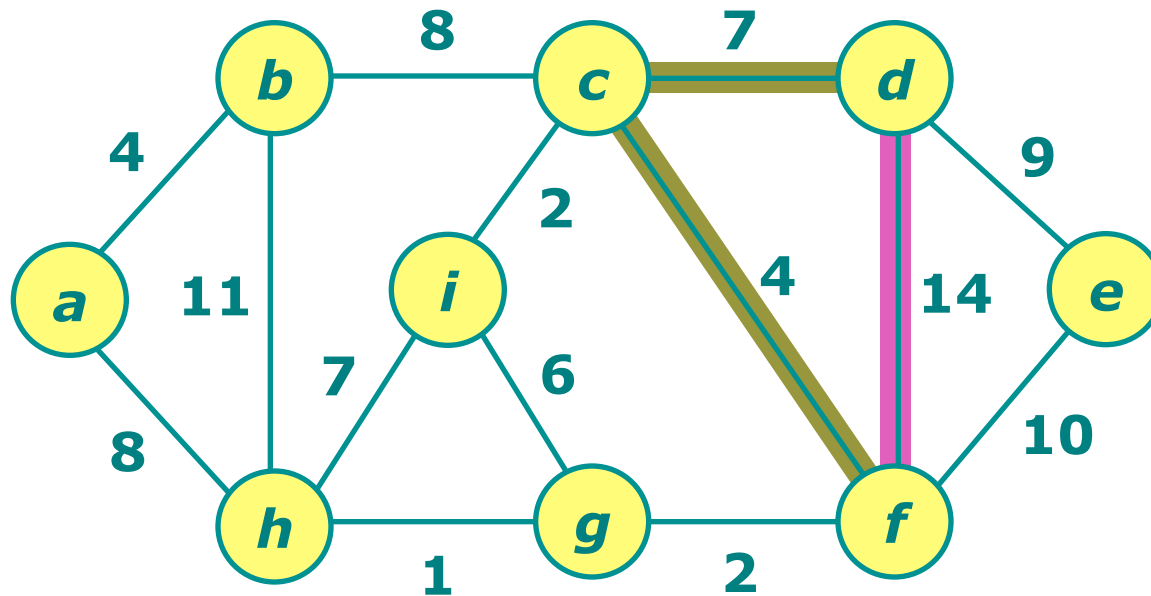
# Destroy cycles

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# Destroy cycles

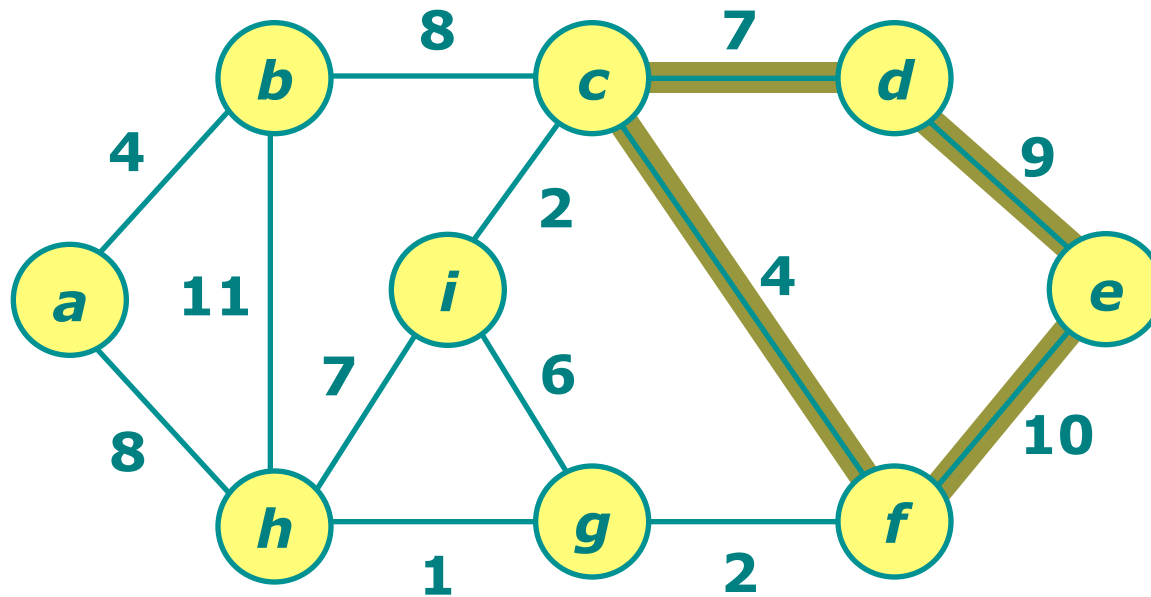
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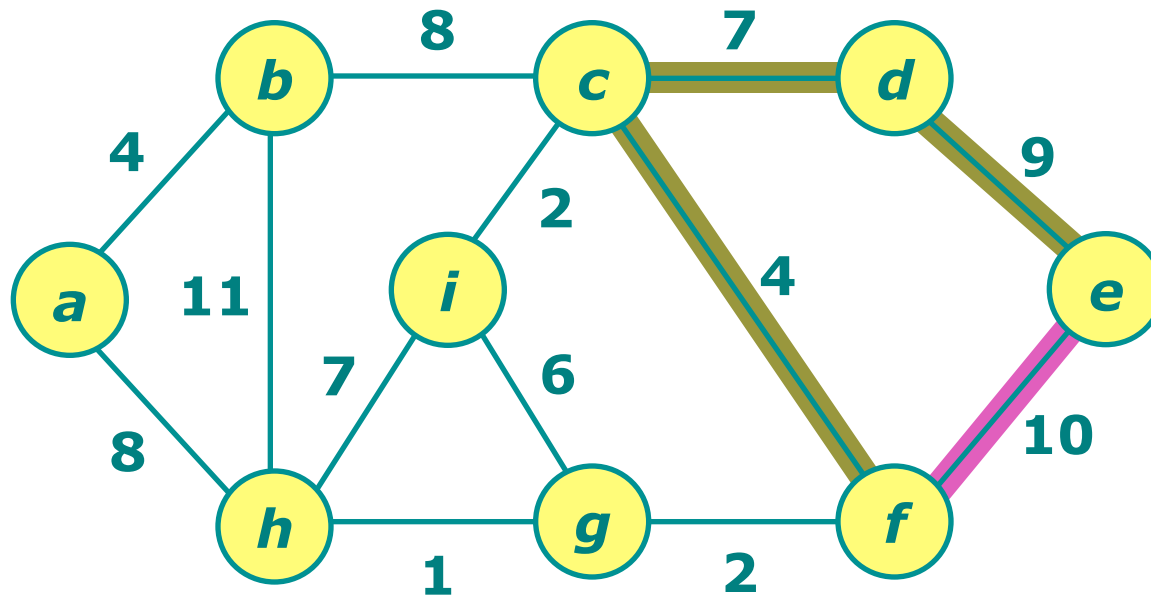
# Destroy cycles

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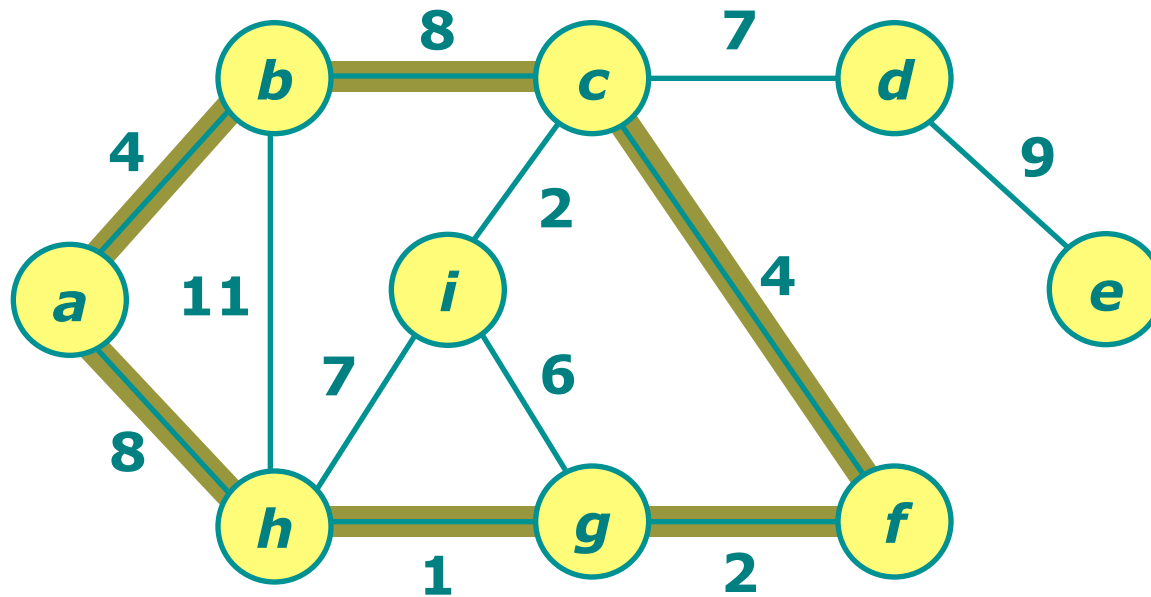
# Destroy cycles

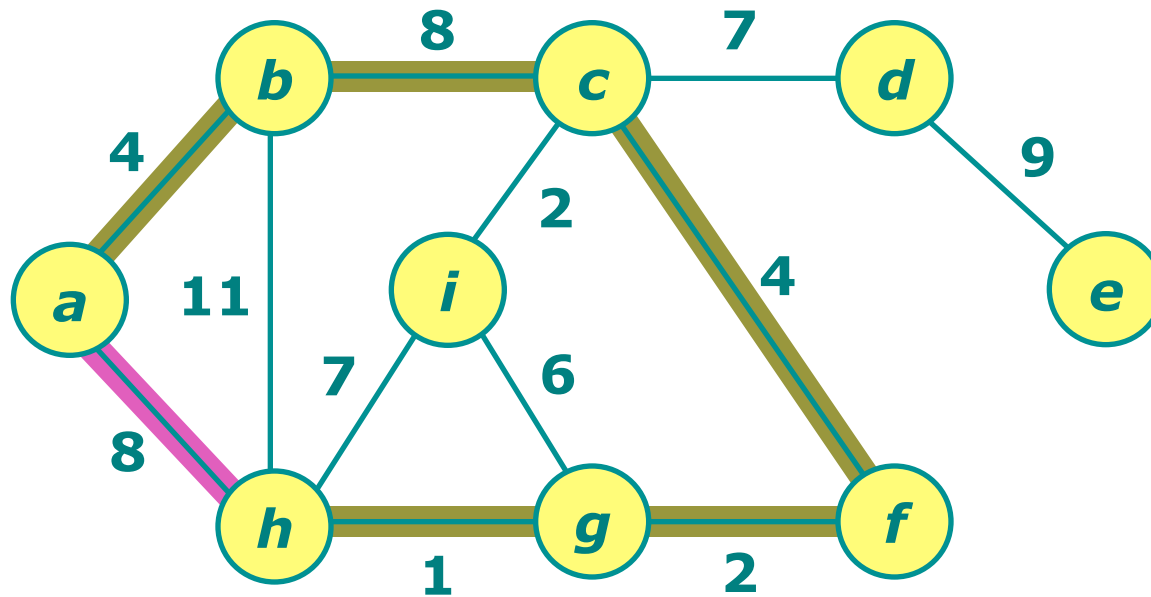
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# Destroy cycles

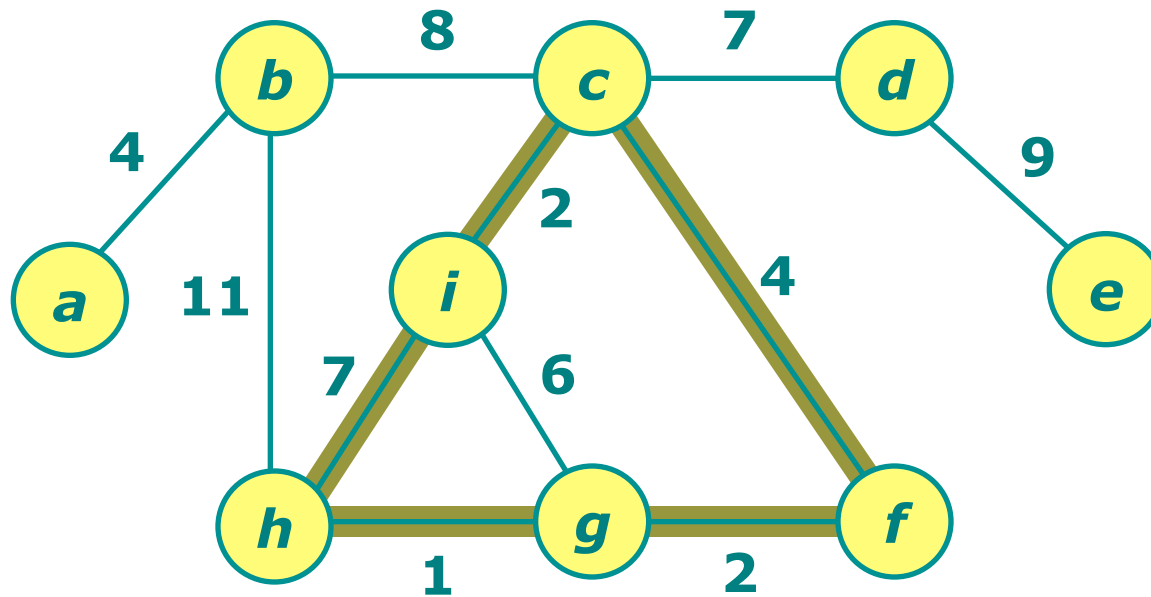
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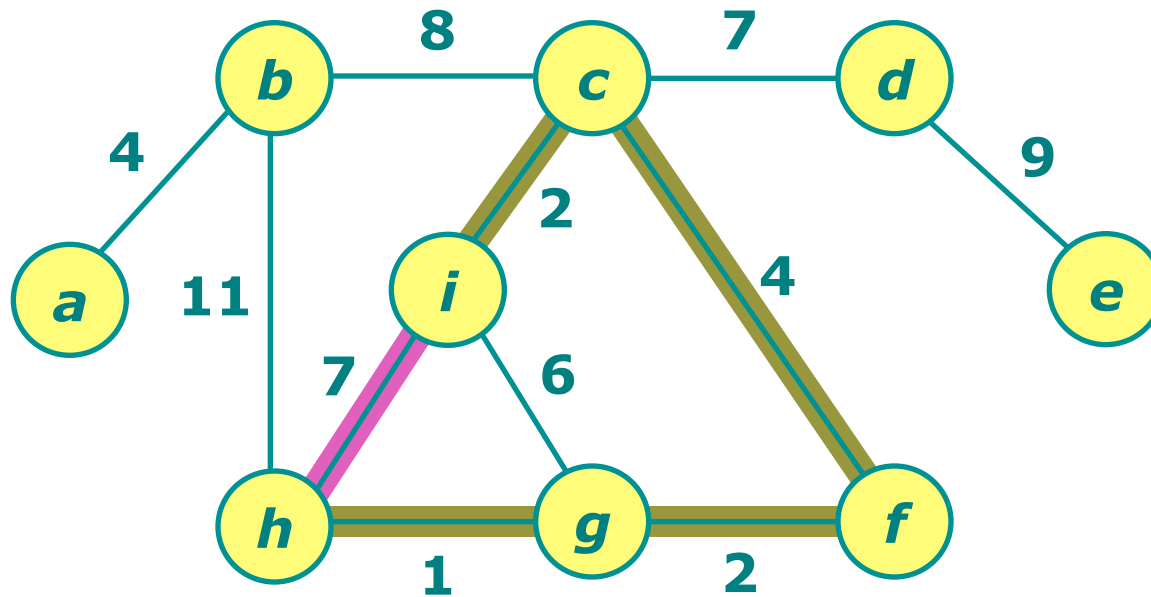
# Destroy cycles

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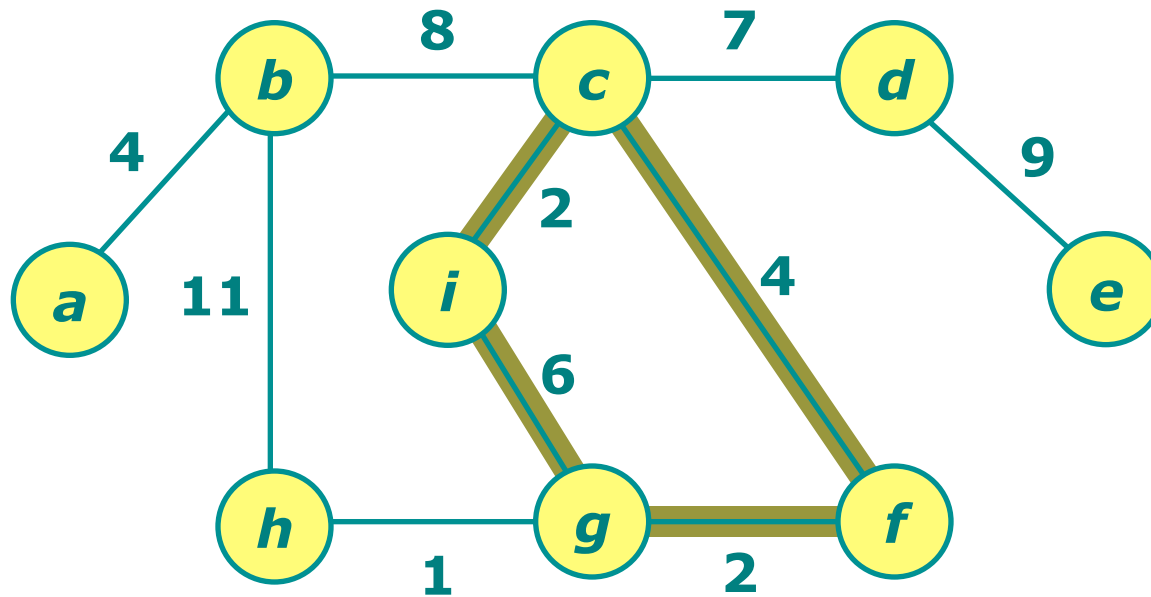
# Destroy cycles

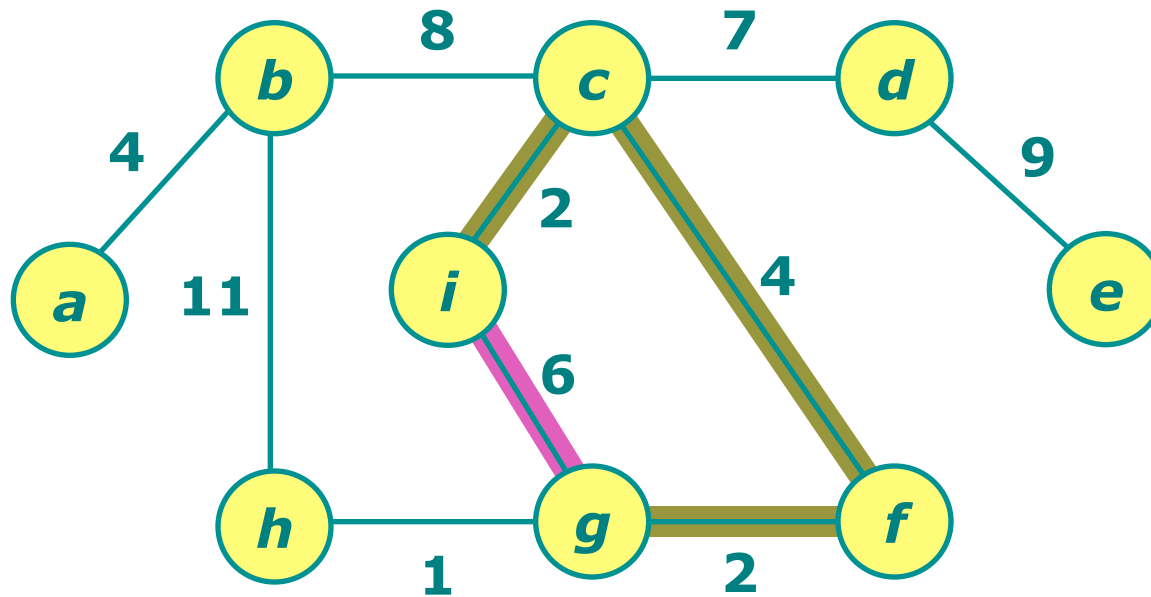
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# Destroy cycles

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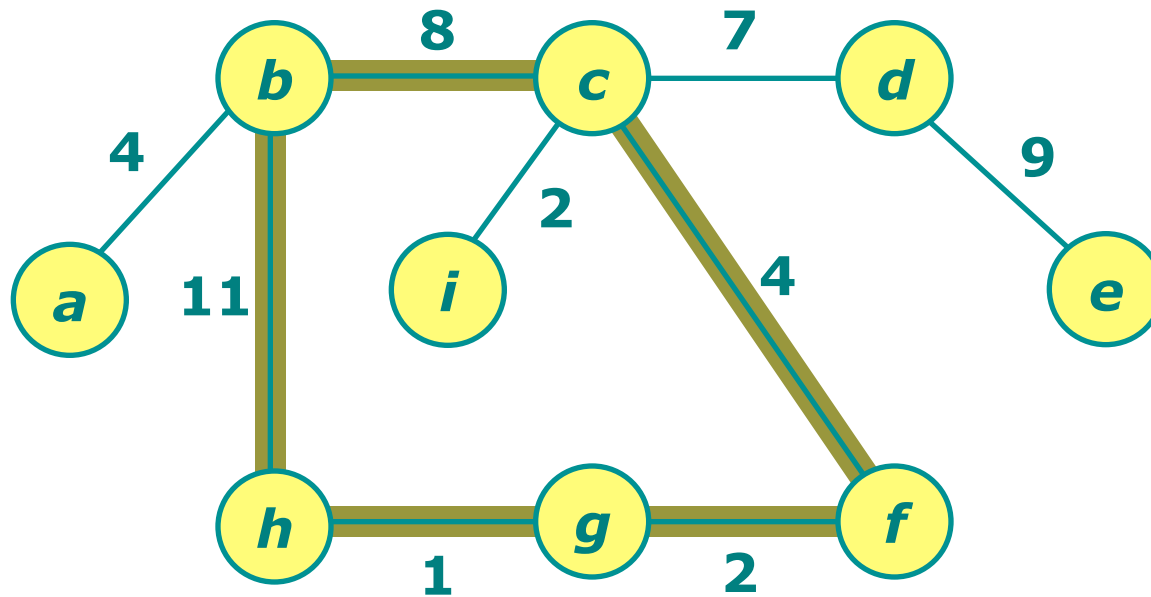






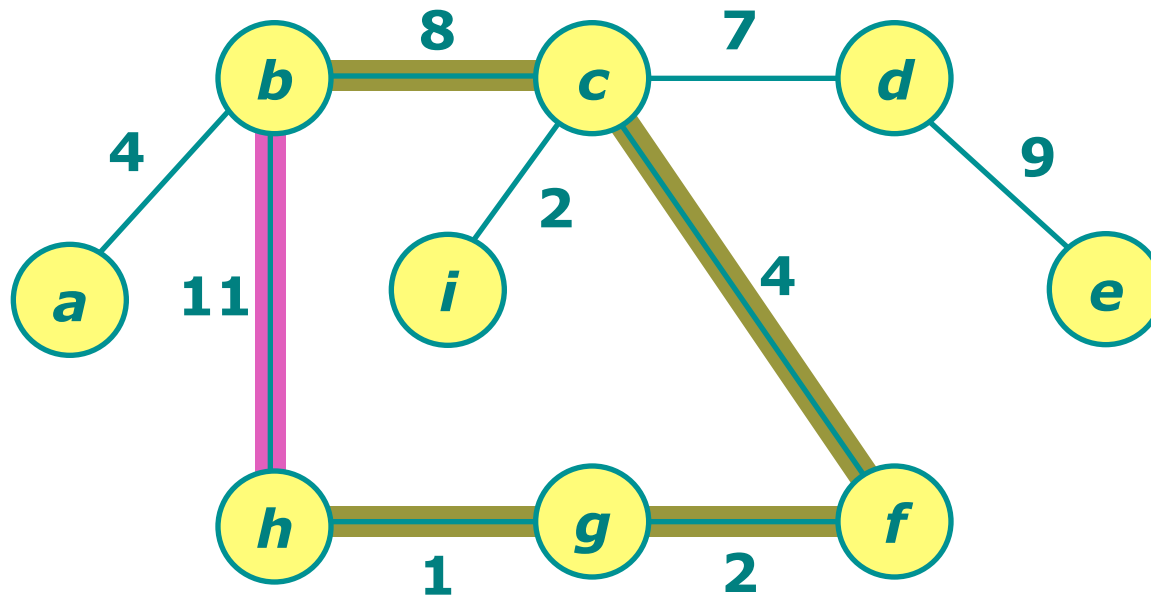
# Destroy cycles

---



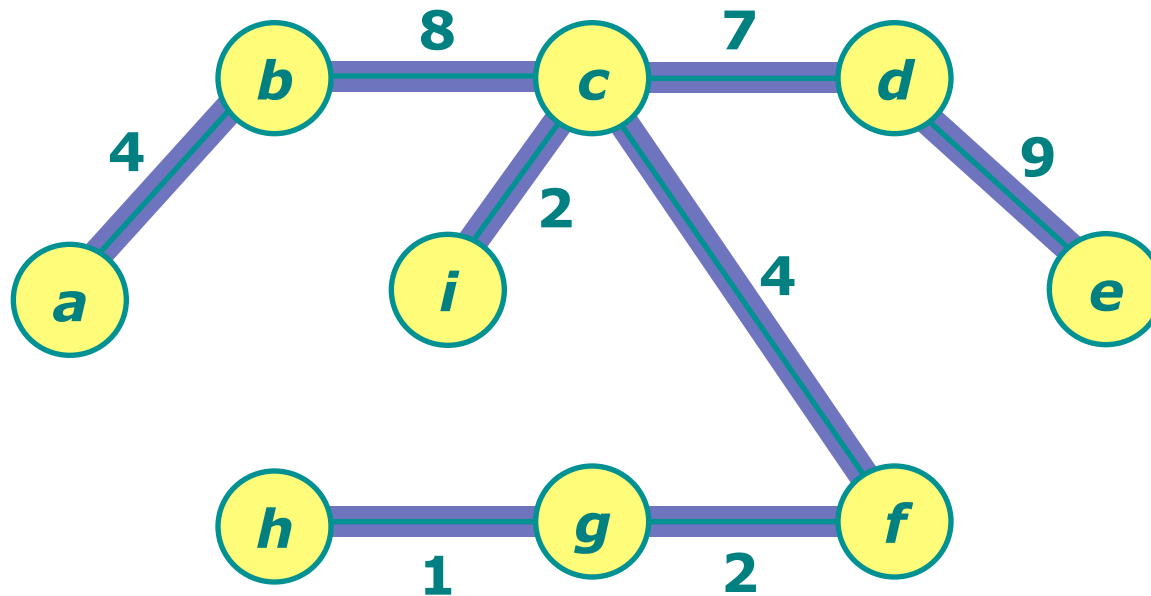
# Destroy cycles

---



# Destroy cycles

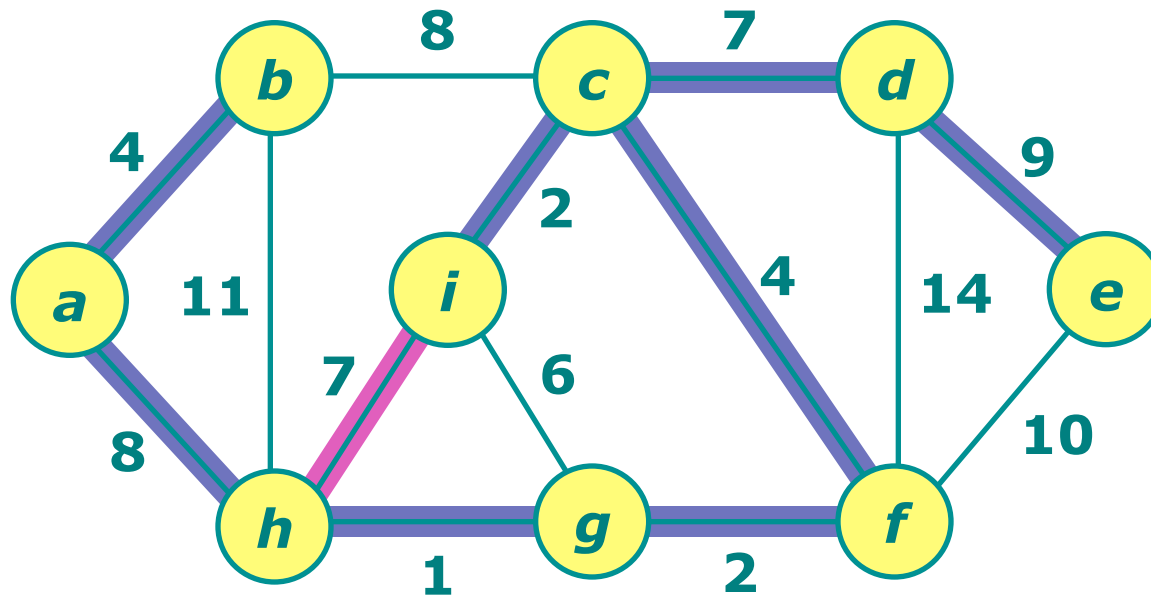
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**Total weight = 1 + 2 + 2 + 4 + 4 + 7 + 8 + 9 = 37**

# Avoid cycles

---



**Total weight =  $1 + 2 + 2 + 4 + 4 + 7 + 8 + 9 = 37$**

# Kruskal's algorithm

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**MST-KRUSKAL**( $G, w$ )

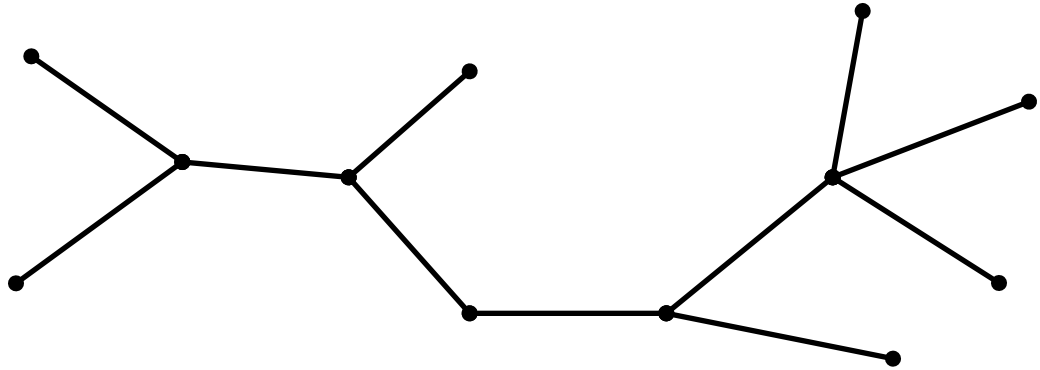
1.  $A \leftarrow \emptyset$
2. **for** each vertex  $v \in V[G]$
3.     **do** MAKE-SET( $v$ )
4. sort the edges of  $E$  into nondecreasing order by weight  $w$ .
5. **for** each edge  $(u, v) \in E$ , taken in nondecreasing order by weight.
6.     **do if** FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7.         **then**  $A \leftarrow A \cup \{(u, v)\}$
8.         UNION( $u, v$ )
9. **return**  $A$

*Running time is  $O(E \lg E)$*

# Optimal substructure

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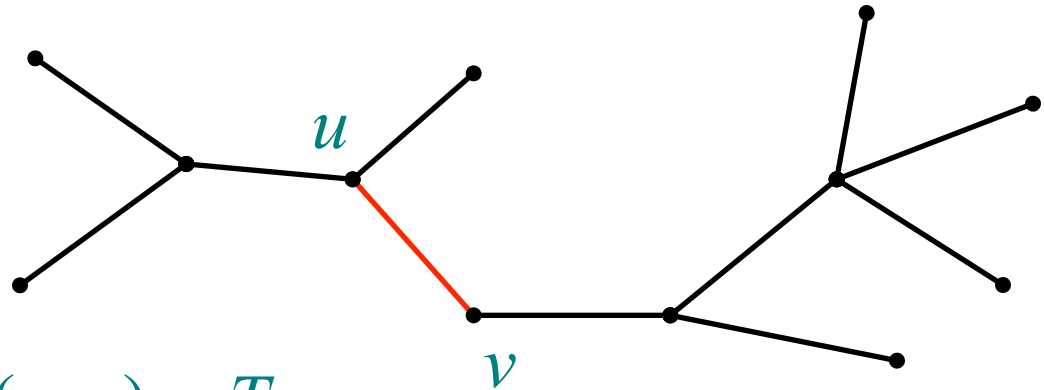
Minimum  
spanning tree  $T$   
of  $G = (V, E)$ .



# Optimal substructure

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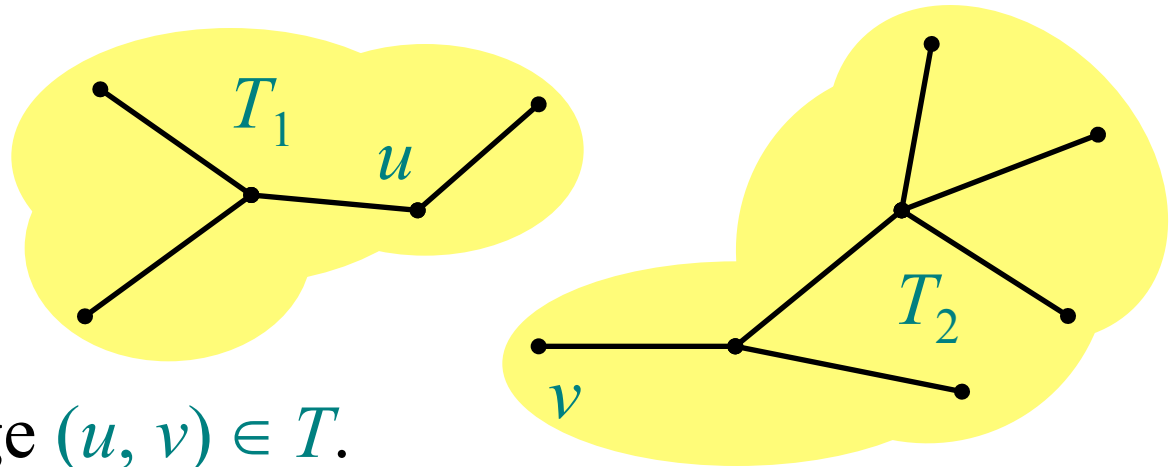
Minimum  
spanning tree  $T$   
of  $G = (V, E)$ .



Remove any edge  $(u, v) \in T$ .

# Optimal substructure

Minimum  
spanning tree  $T$   
of  $G = (V, E)$ .



Remove any edge  $(u, v) \in T$ .

Then,  $T$  is partitioned into two subtrees  $T_1$  and  $T_2$ .

**Theorem.** The subtree  $T_1$  is an MST of  $G_1 = (V_1, E_1)$ ,  
the subgraph of  $G$  induced by the vertices of  $T_1$ :

$V_1 = \text{vertices of } T_1,$

$E_1 = \{ (x, y) \in E : x, y \in V_1 \}.$

Similarly for  $T_2$ .



# Proof of optimal substructure

---

**Proof.** Cut and paste:

$$w(T) = w(u, v) + w(T_1) + w(T_2).$$

If  $T_1'$  were a lower-weight spanning tree than  $T_1$  for  $G_1$ , then  $T' = \{(u, v)\} \cup T_1' \cup T_2$  would be a lower-weight spanning tree than  $T$  for  $G$ . □

- Do we also have overlapping subproblems? **Yes!**
- Great, then dynamic programming may work! **Yes!**

But minimum spanning tree exhibits another powerful property which leads to an even more efficient algorithm.

# Hallmark for "greedy" algorithms

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## ***Greedy-choice property***

*A locally optimal choice  
is globally optimal.*

**Theorem.** Let  $T$  be the minimum spanning tree of  $G = (V, E)$ , and let  $A \subseteq V$ . Suppose that  $(u, v) \in E$  is the least-weight edge connecting  $A$  to  $V - A$ . Then,  $(u, v) \in T$ .

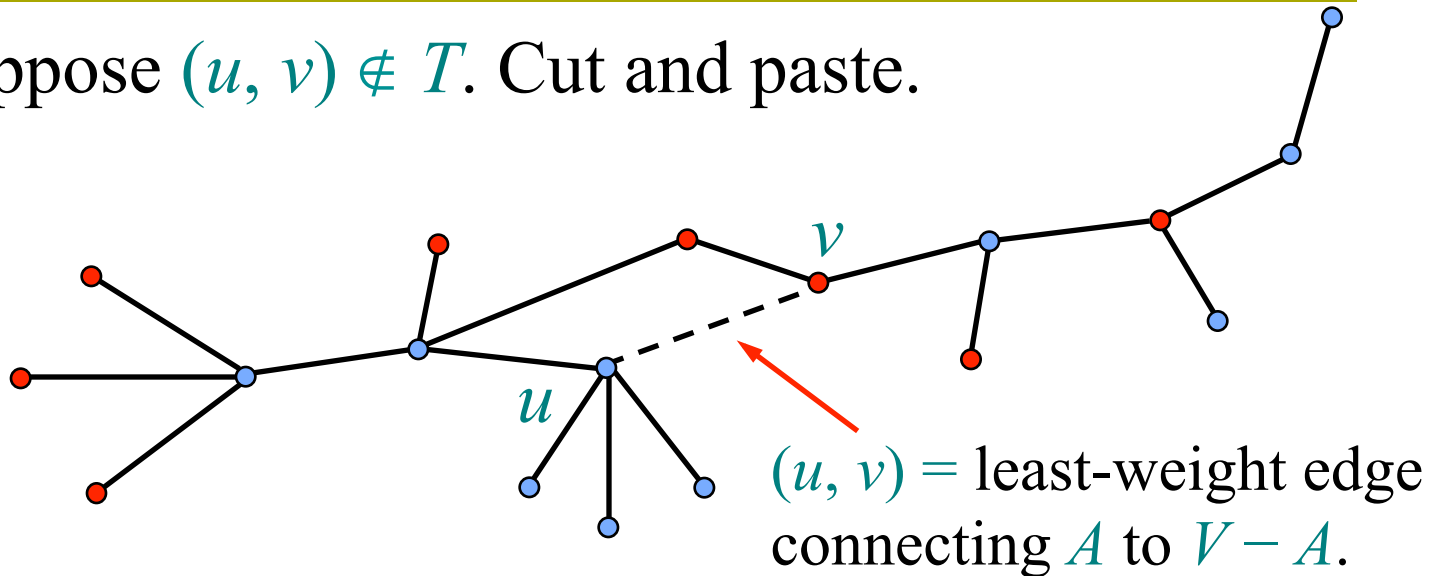
# Proof of theorem

**Proof.** Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

•  $\in A$

•  $\in T - A$



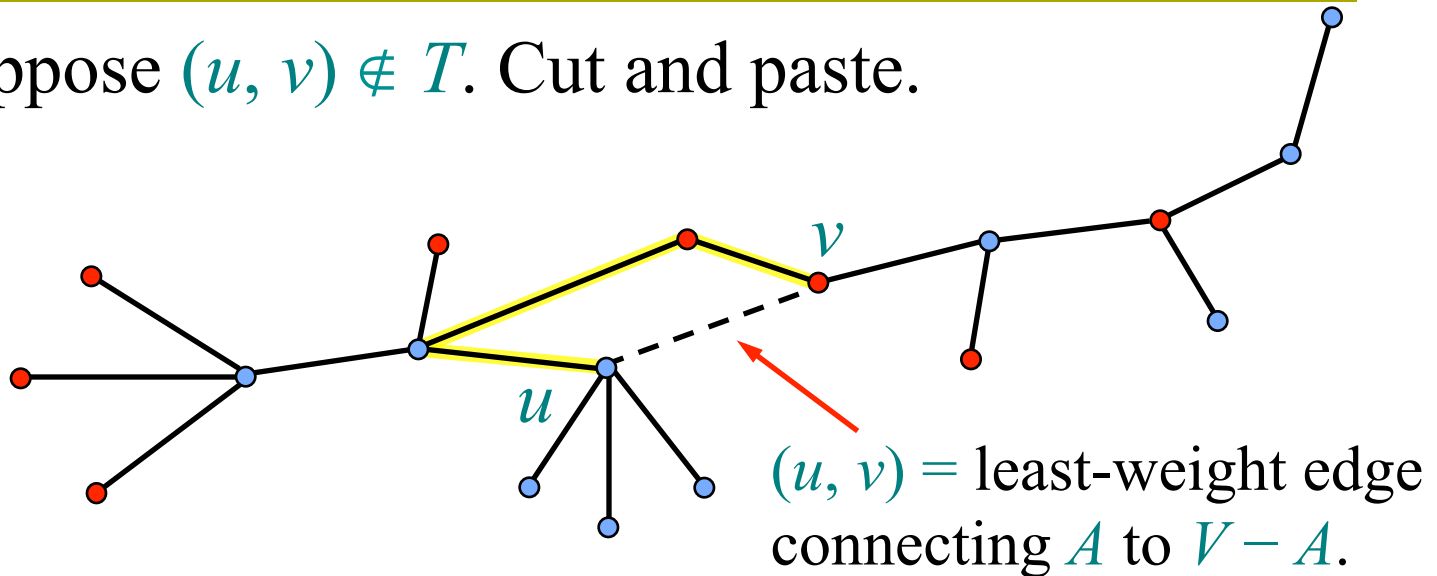
# Proof of theorem

**Proof.** Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

•  $\in A$

•  $\in T - A$



Consider the unique simple path from  $u$  to  $v$  in  $T$ .

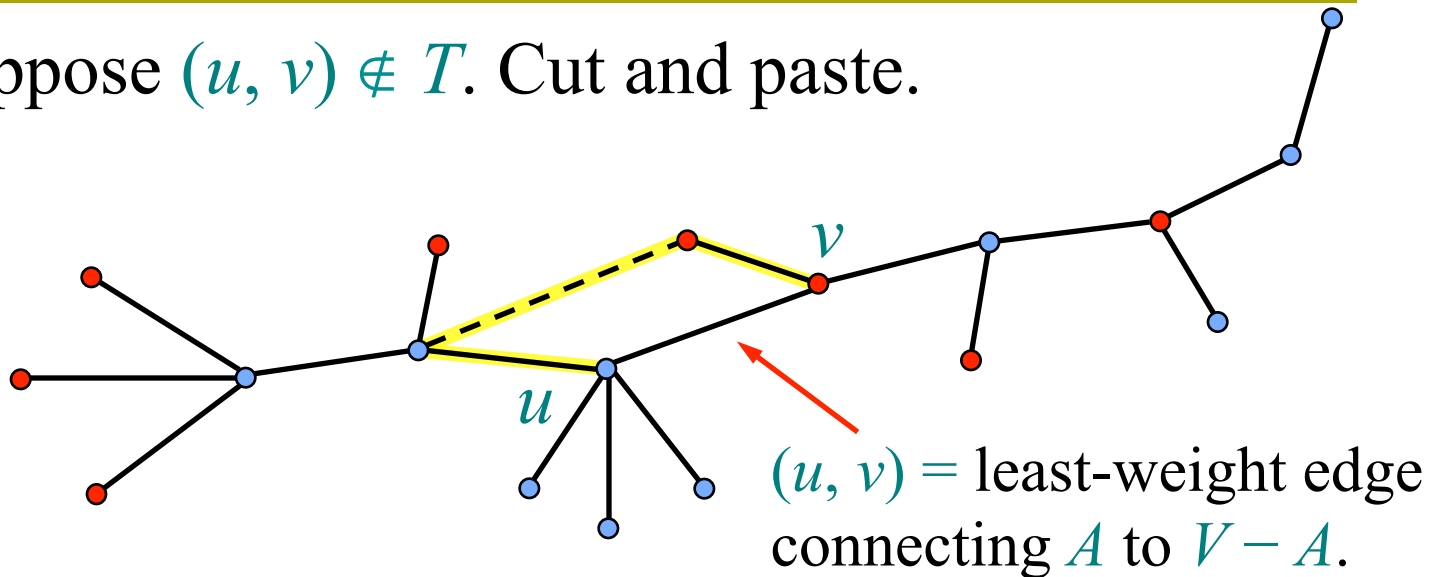
# Proof of theorem

**Proof.** Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

•  $\in A$

•  $\in T - A$



Consider the unique simple path from  $u$  to  $v$  in  $T$ .

Swap  $(u, v)$  with the first edge on this path that connects a vertex in  $A$  to a vertex in  $V - A$ .

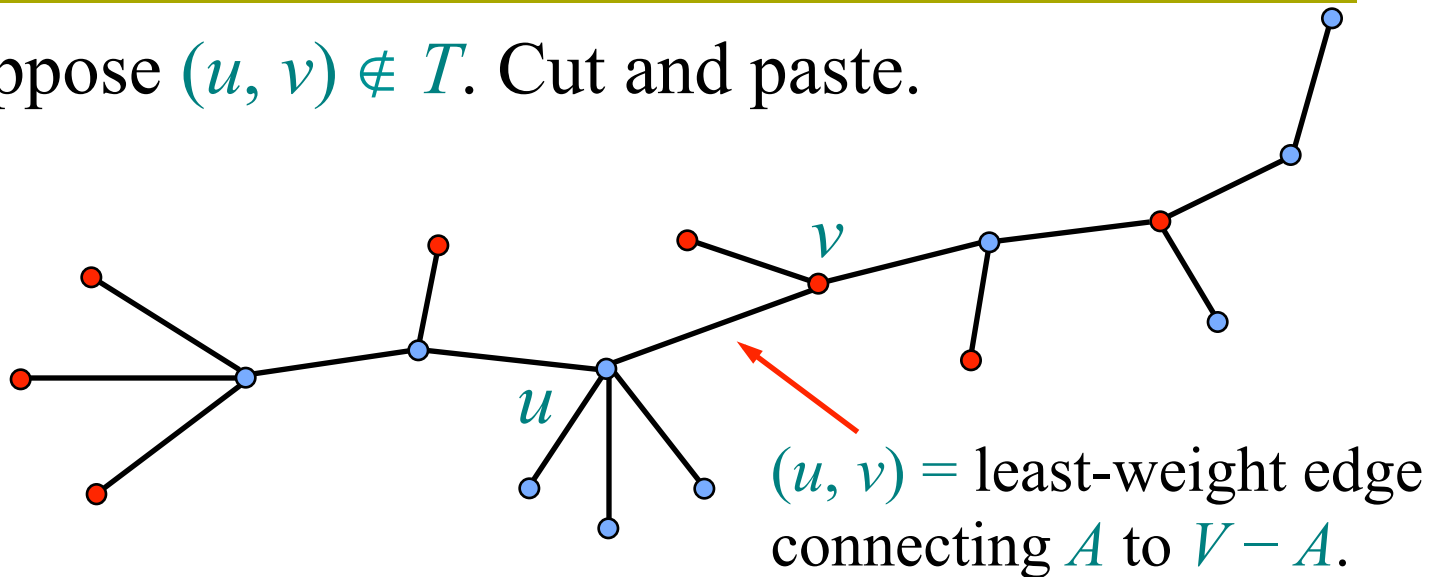
# Proof of theorem

**Proof.** Suppose  $(u, v) \notin T$ . Cut and paste.

$T$ :

•  $\in A$

•  $\in T - A$



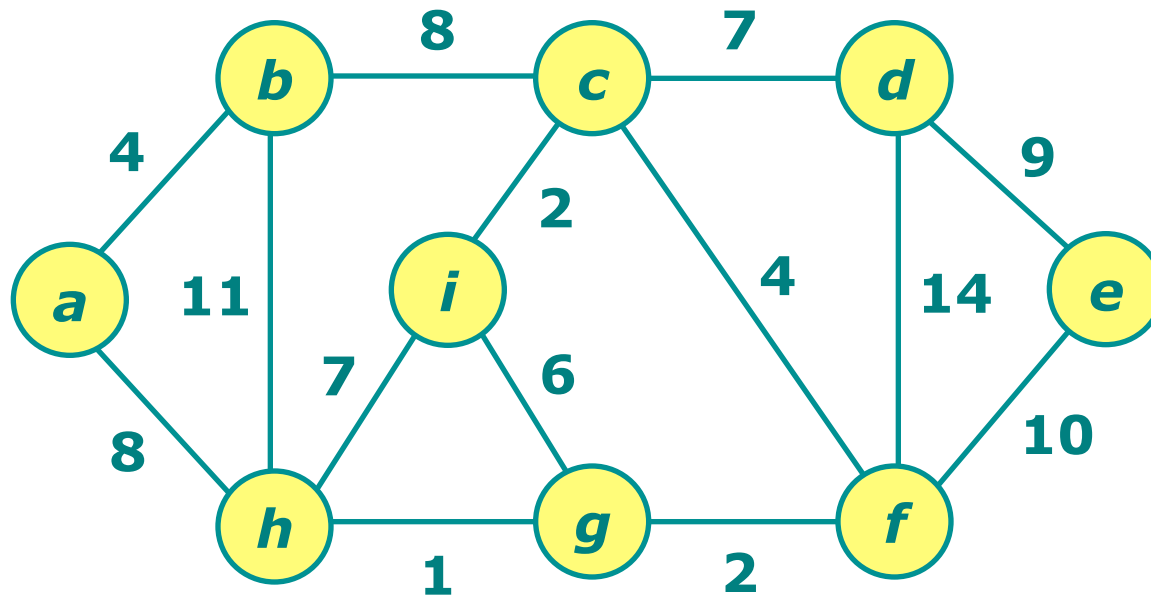
Consider the unique simple path from  $u$  to  $v$  in  $T$ .

Swap  $(u, v)$  with the first edge on this path that connects a vertex in  $A$  to a vertex in  $V - A$ .

A lighter-weight spanning tree than  $T$  results. □

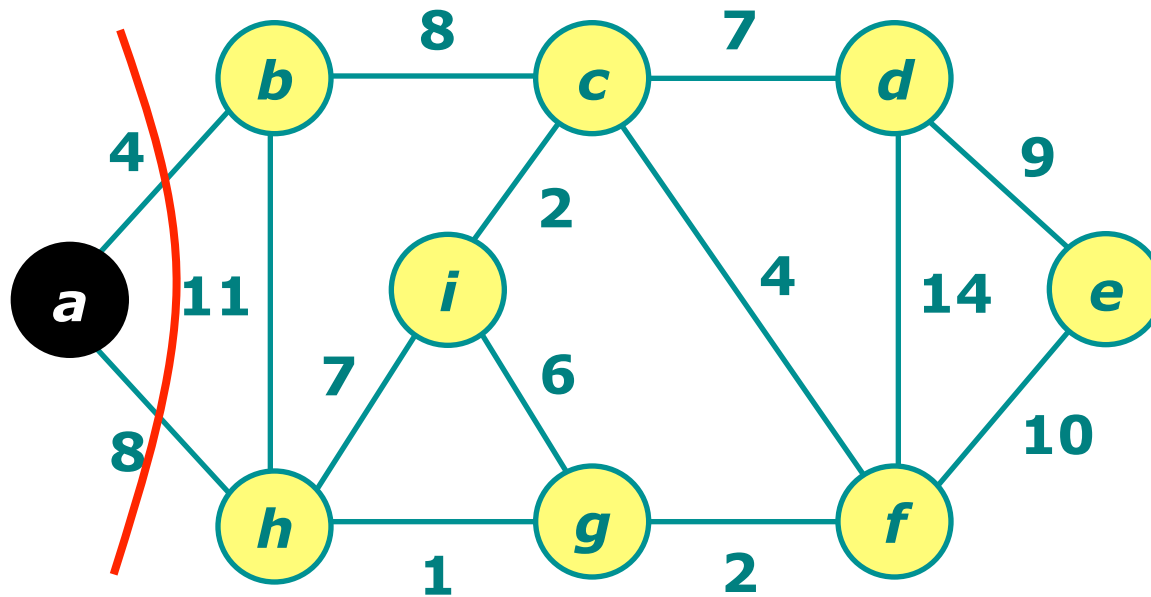
# Example of Prim's algorithm

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# Example of Prim's algorithm

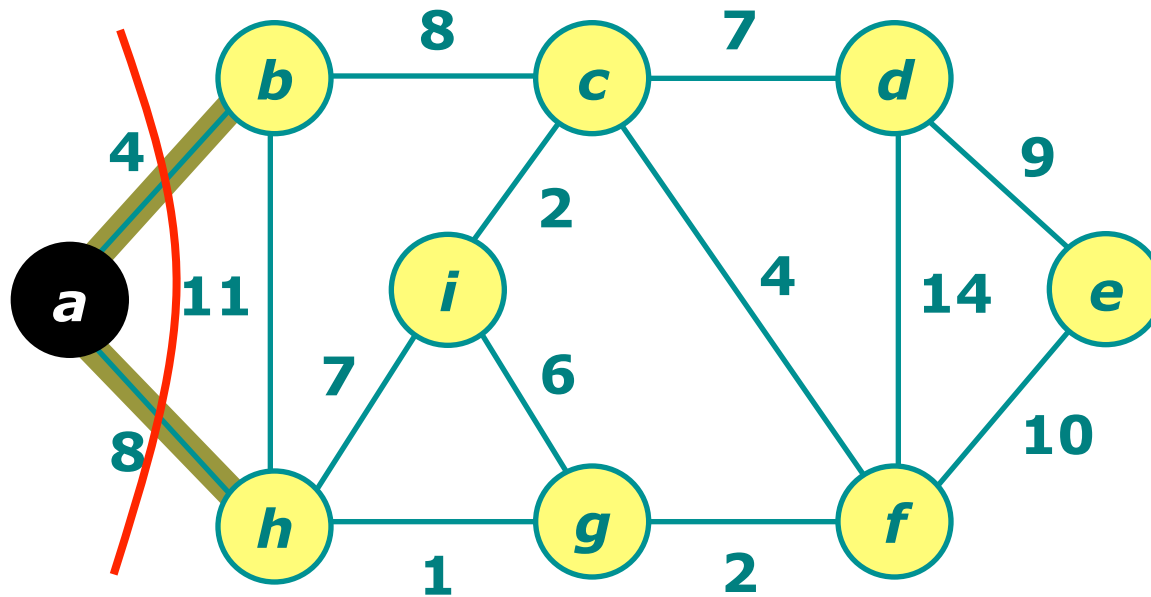
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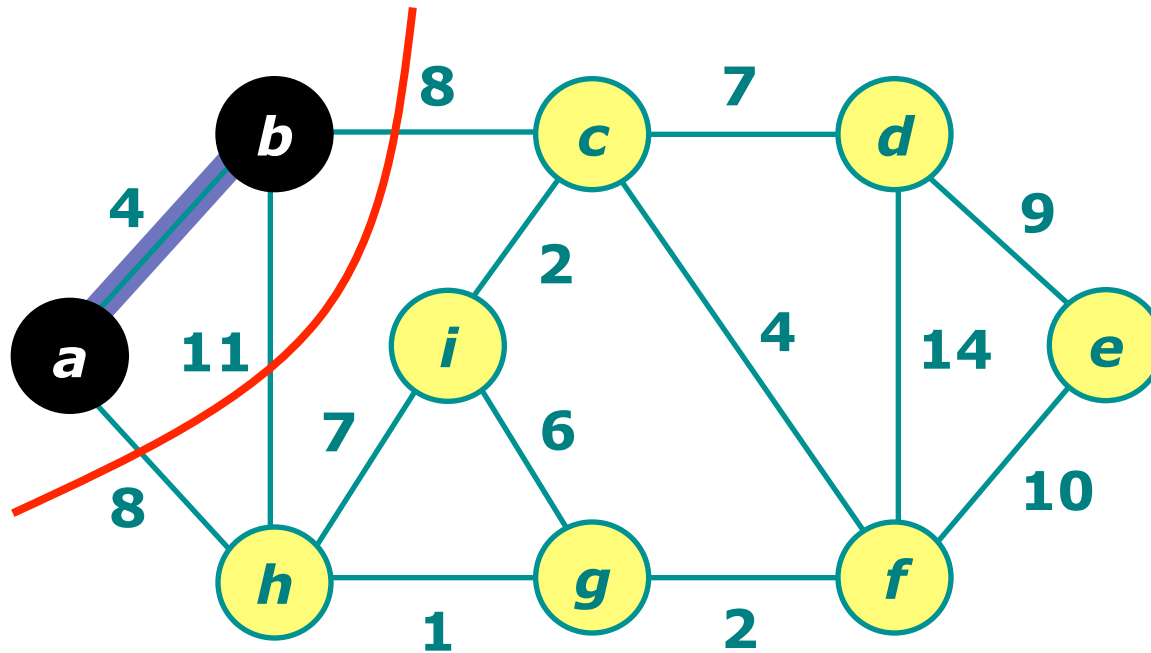
# Example of Prim's algorithm

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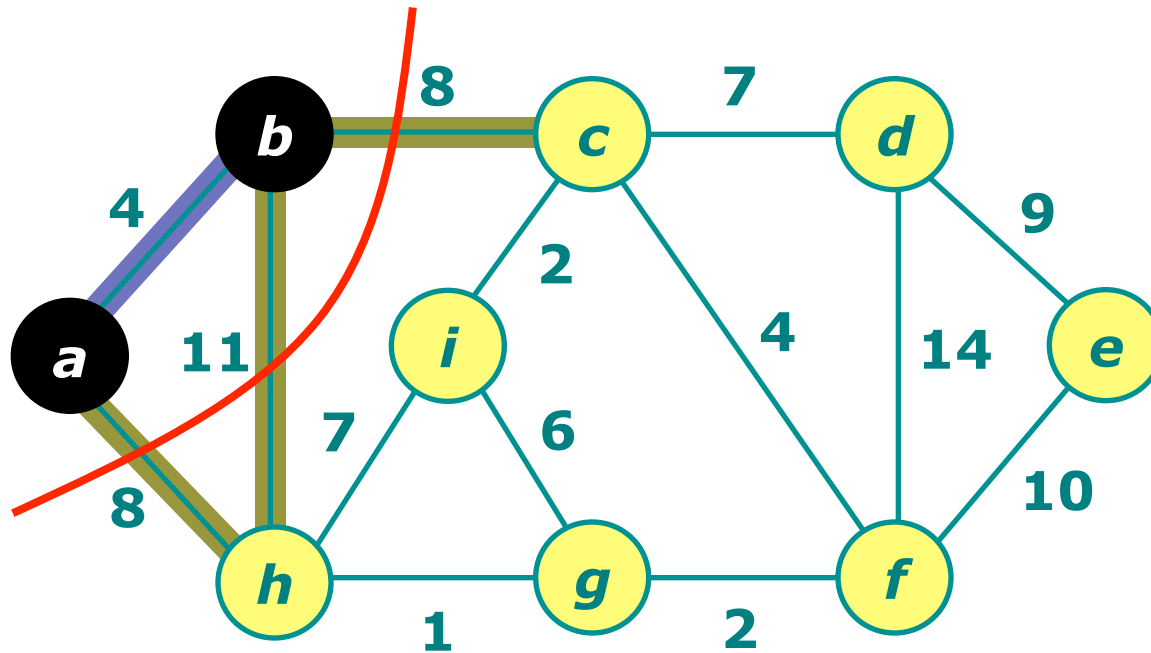
# Example of Prim's algorithm

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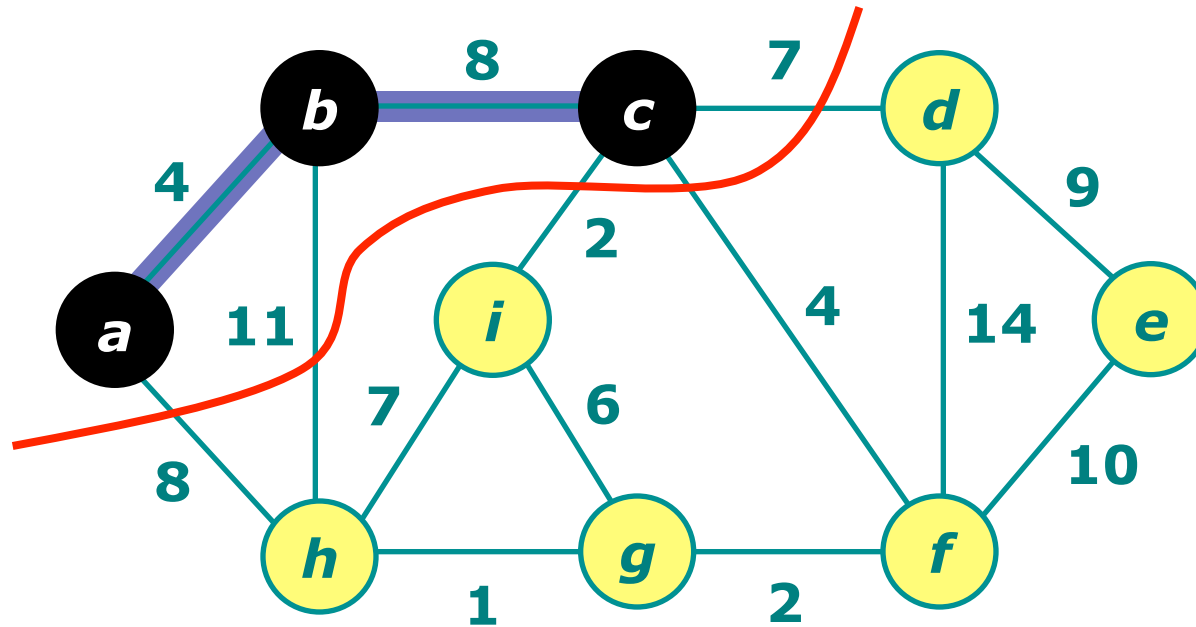
# Example of Prim's algorithm

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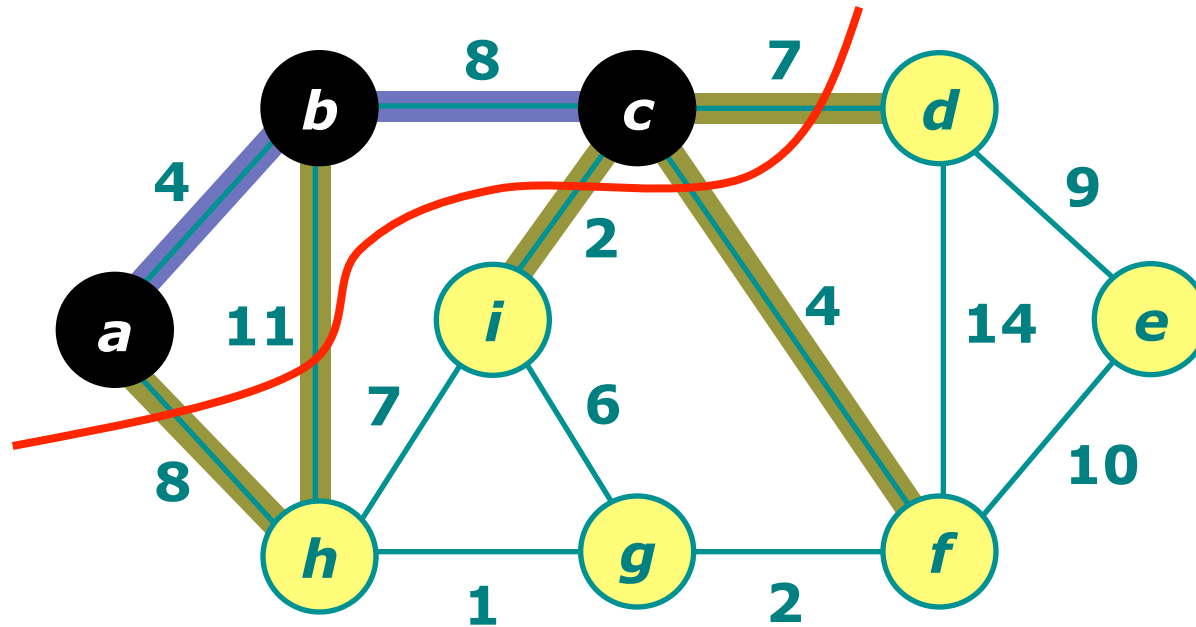
# Example of Prim's algorithm

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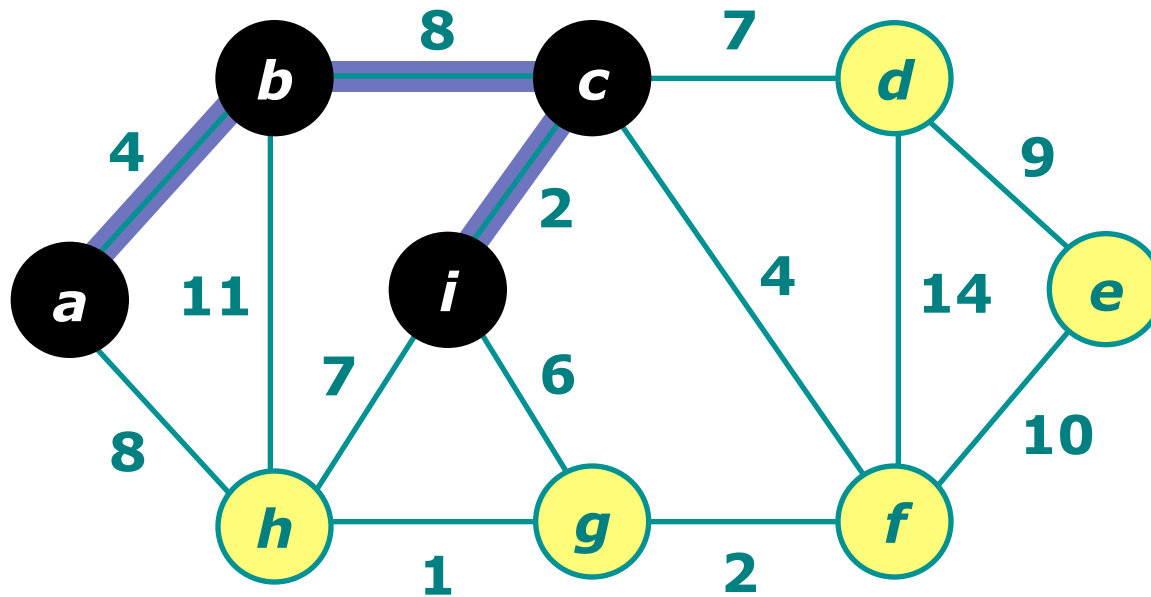
# Example of Prim's algorithm

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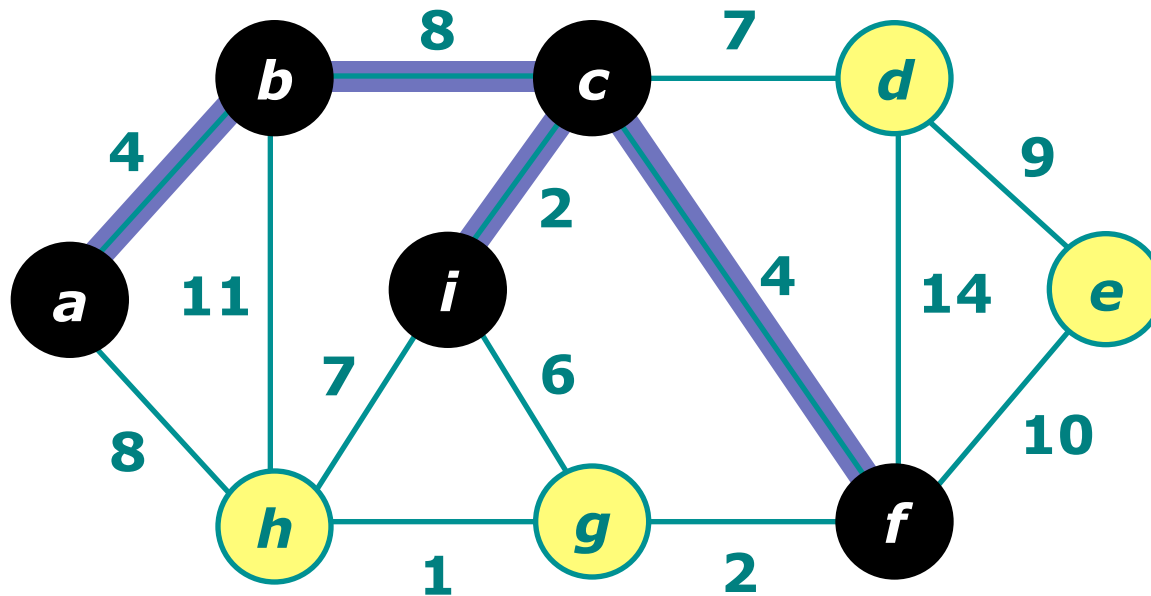
# Example of Prim's algorithm

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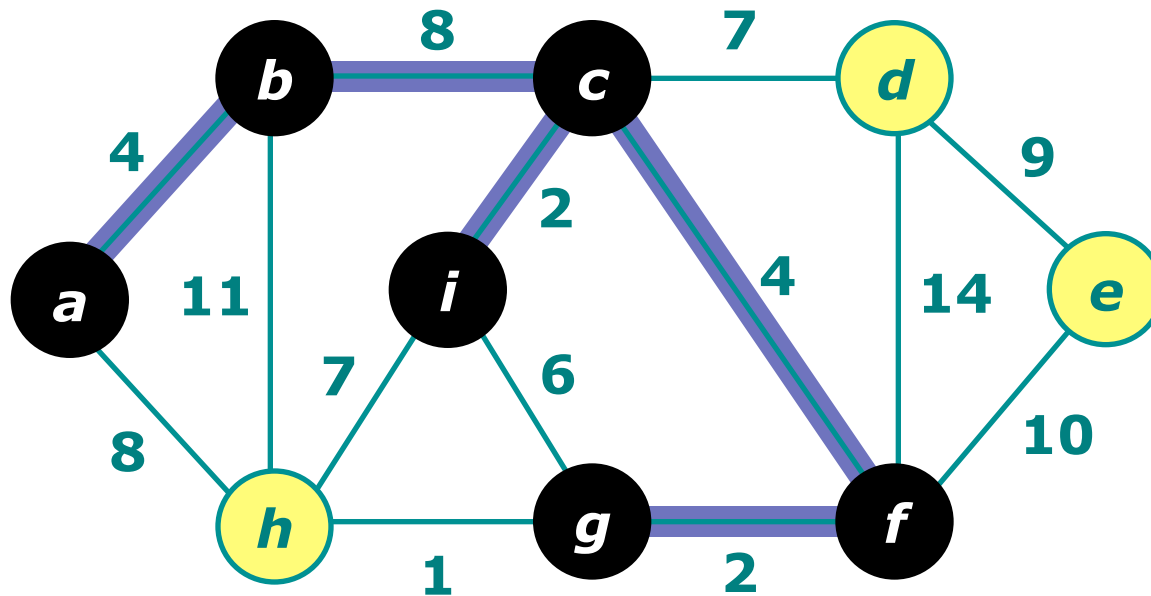
# Example of Prim's algorithm

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# Example of Prim's algorithm

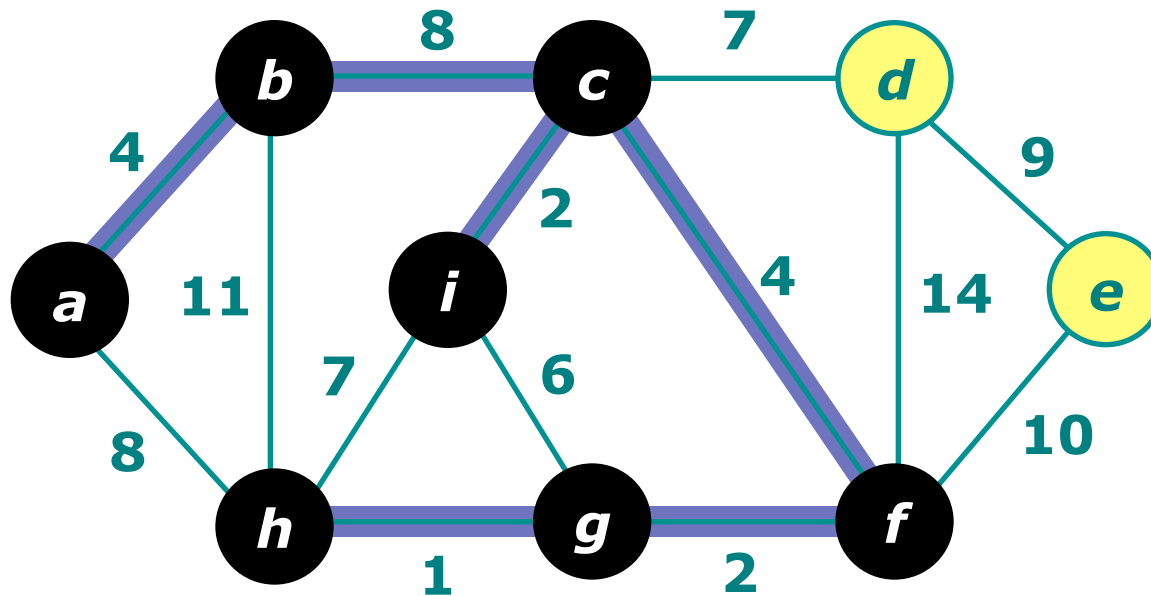
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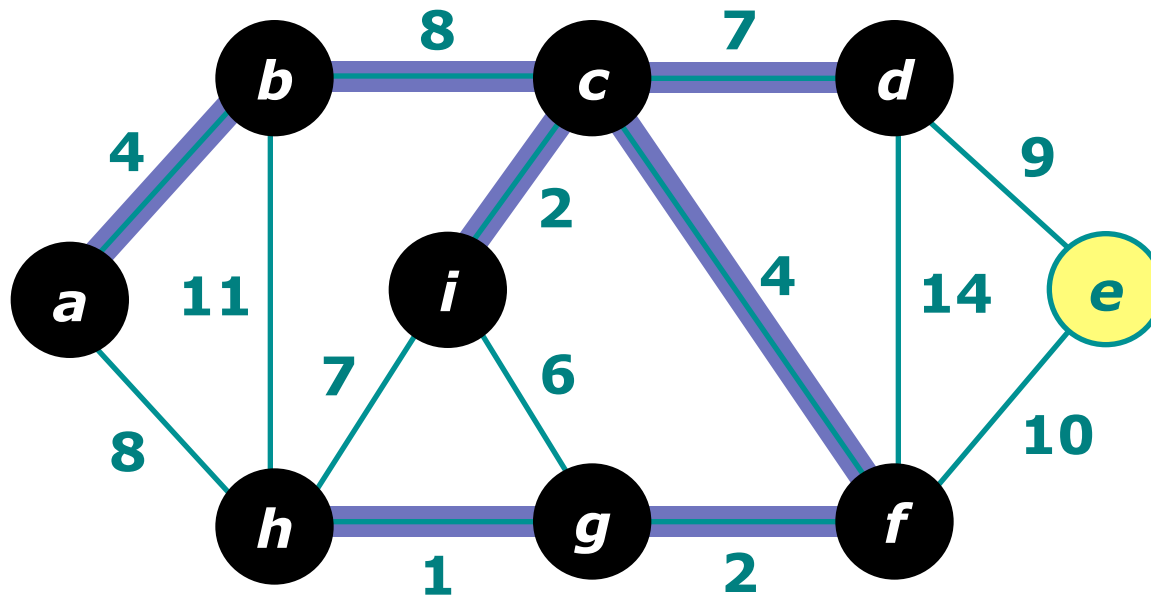
# Example of Prim's algorithm

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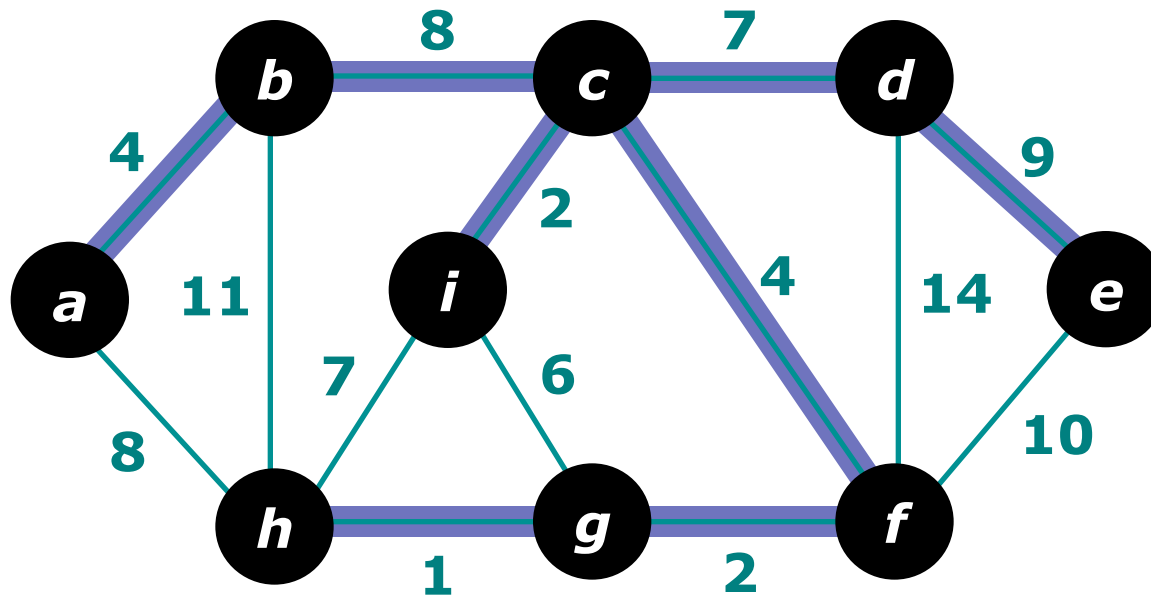
# Example of Prim's algorithm

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# Example of Prim's algorithm

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# Prim's algorithm

**IDEA:** Maintain  $V - A$  as a priority queue  $Q$ . Key each vertex in  $Q$  with the weight of the least weight edge connecting it to a vertex in  $A$ .

**MST-PRIM**( $G, w, r$ )

1. **for** each  $u \in V[G]$
2.     **do**  $key[u] \leftarrow \infty$
3.      $\pi[u] \leftarrow \text{NIL}$
4.  $key[r] \leftarrow \emptyset$
5.  $Q \leftarrow V[G]$
6. **while**  $Q \neq \emptyset$
7.     **do**  $u \leftarrow \text{EXTRACT-MIN}(Q)$
8.     **for** each  $v \in \text{Adj}[u]$
9.         **do if**  $v \in Q$  and  $w(u, v) < key[v]$
10.             **then**  $\pi[v] \leftarrow u$
11.              $key[v] \leftarrow w(u, v)$

Minimum spanning tree  $A$  for  $G$  is  
thus  $A = \{ (v, \pi[v]): v \in V - \{r\} \}$

# Analysis of Prim algorithm

**MST-PRIM**( $G, w, r$ )

```
1.  for each  $u \in V[G]$ 
2.      do  $key[u] \leftarrow \infty$ 
3.       $\pi[u] \leftarrow \text{NIL}$ 
4.   $key[r] \leftarrow \emptyset$ 
5.   $Q \leftarrow V[G]$ 
6.  while  $Q \neq \emptyset$ 
7.      do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8.      for each  $v \in \text{Adj}[u]$ 
9.      do if  $v \in Q$  and  $w(u, v) < key[v]$ 
10.     then  $\pi[v] \leftarrow u$ 
11.      $key[v] \leftarrow w(u, v)$ 
```

$\Phi(V)$  total

$|V|$  times

10. times

$\Theta(E)$  implicit DECREASE-KEY's.

$$\text{Time} = \Theta(V) \cdot \text{Time}_{\text{EXTRACT-MIN}} + \Theta(E) \cdot \text{Time}_{\text{DECREASE-KEY}}$$

# Analysis of Prim algorithm

---

$$\text{Time} = \Theta(V) \cdot \text{Time}_{\text{EXTRACT-MIN}} + \Theta(E) \cdot \text{Time}_{\text{DECREASE-KEY}}$$

$Q$	$\text{Time}_{\text{EXTRACT-MIN}}$	$\text{Time}_{\text{DECREASE-KEY}}$	Total
Array	$O(V)$	$O(1)$	$O(V^2)$
Binary heap	$O(\lg V)$	$O(\lg V)$	$O(E \lg V)$

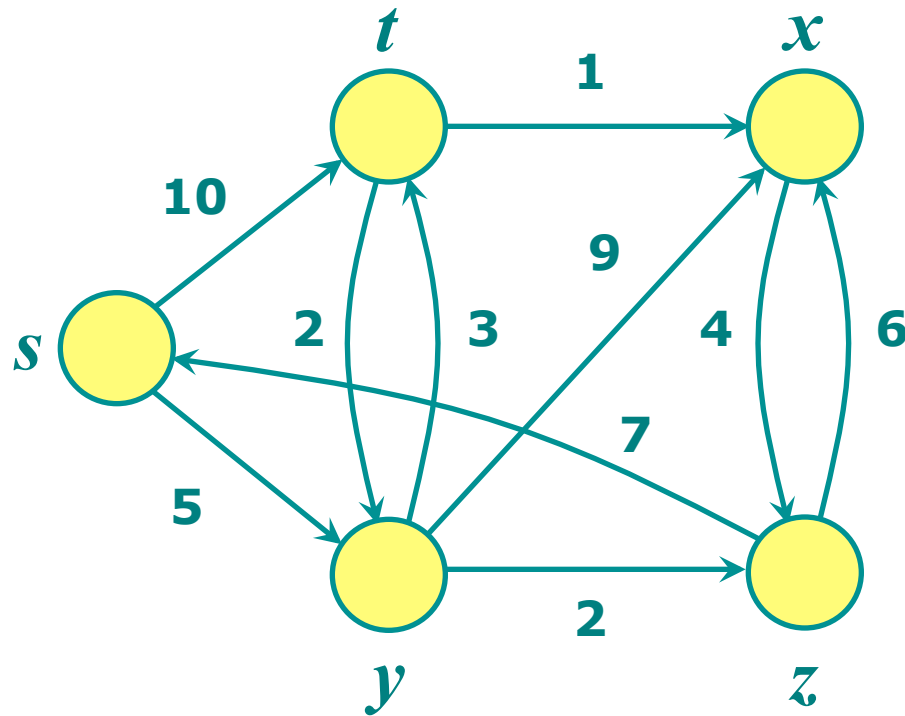
*Running time of Prim's algorithm is  $O(E \lg V)$*

# Pudong Shanghai



# Shortest paths

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# Shortest paths problem

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Consider a directed graph  $G = (V, E)$ , with weight function  $w: E \rightarrow \mathbb{R}$  mapping edges to real-valued weights. The **weight** of path  $p = v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$  is defined to be

$$w(p) = \sum_{i=1}^k w(v_{i-1}, v_i)$$

We define the shortest path weight from  $u$  to  $v$  by

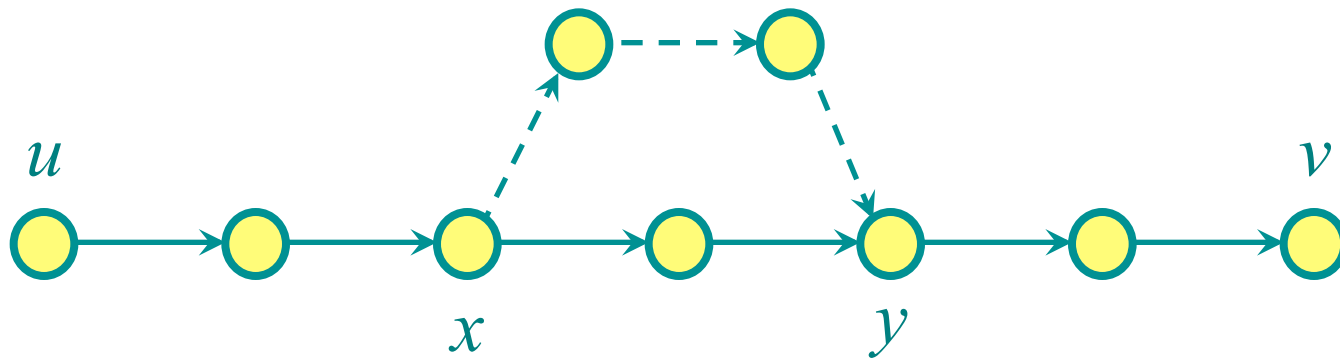
$$\delta(u, v) = \begin{cases} \min\{w(p): u \xrightarrow{p} v\} & \text{If there is a path from } u \text{ to } v, \\ \infty & \text{Otherwise.} \end{cases}$$

# Optimal substructure

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**Theorem.** A subpath of a shortest path is a shortest path.

**Proof.** Cut and paste:



# Single-source shortest paths

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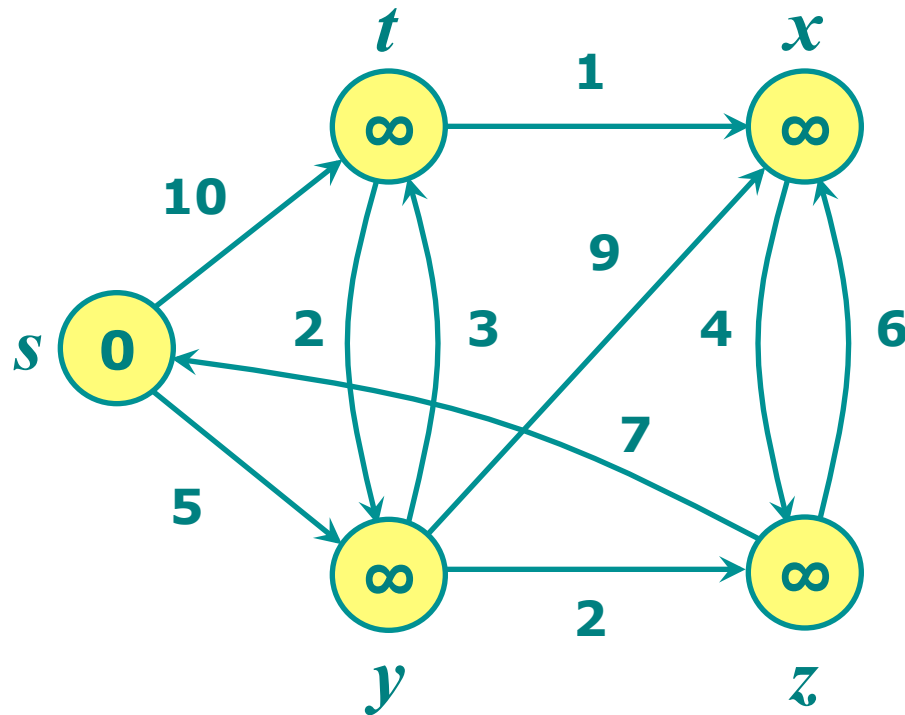
**Problem.** From a given source vertex  $s \in V$ , find the shortest-path weights  $\delta(s, v)$  for all  $v \in V$ . If all edge weights  $w(u, v)$  are *nonnegative*, all shortest-path weights must exist.

**IDEA: Greedy.**

1. Maintain a set  $S$  of vertices whose shortest path distances from  $s$  are known.
2. At each step add to  $S$  the vertex  $v \in V - S$  whose distance estimate from  $s$  is minimal.
3. Update the distance estimates of vertices adjacent to  $v$ .

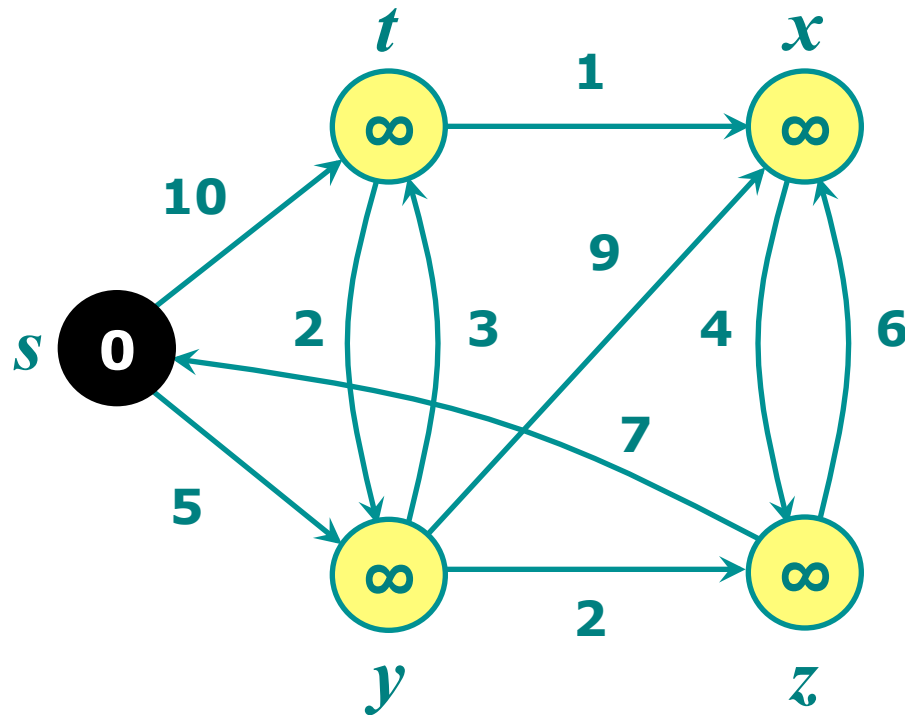
# Example of Dijkstra's algorithm

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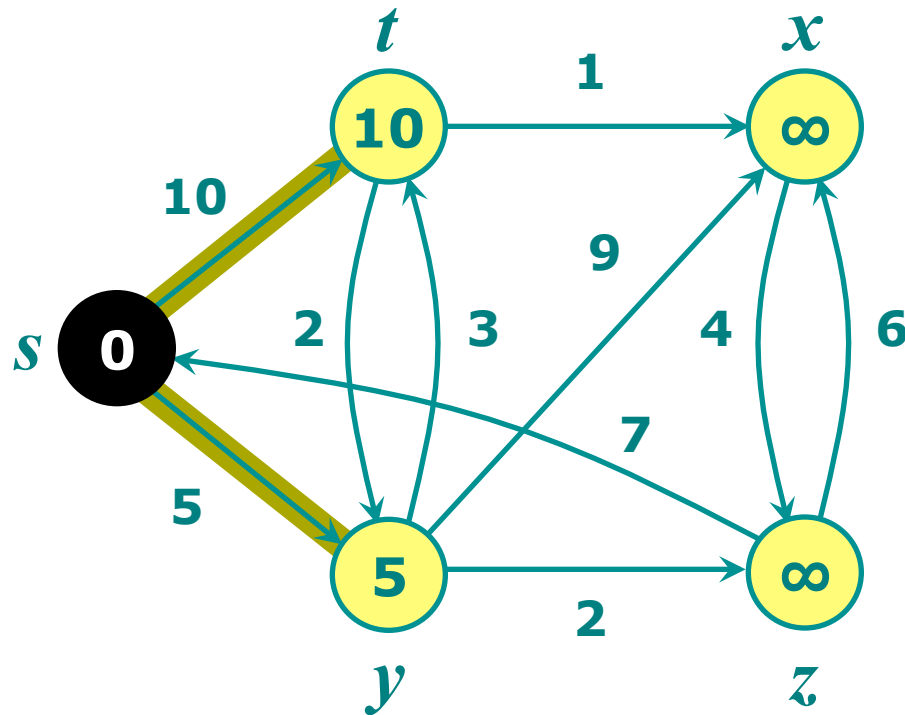
# Example of Dijkstra's algorithm

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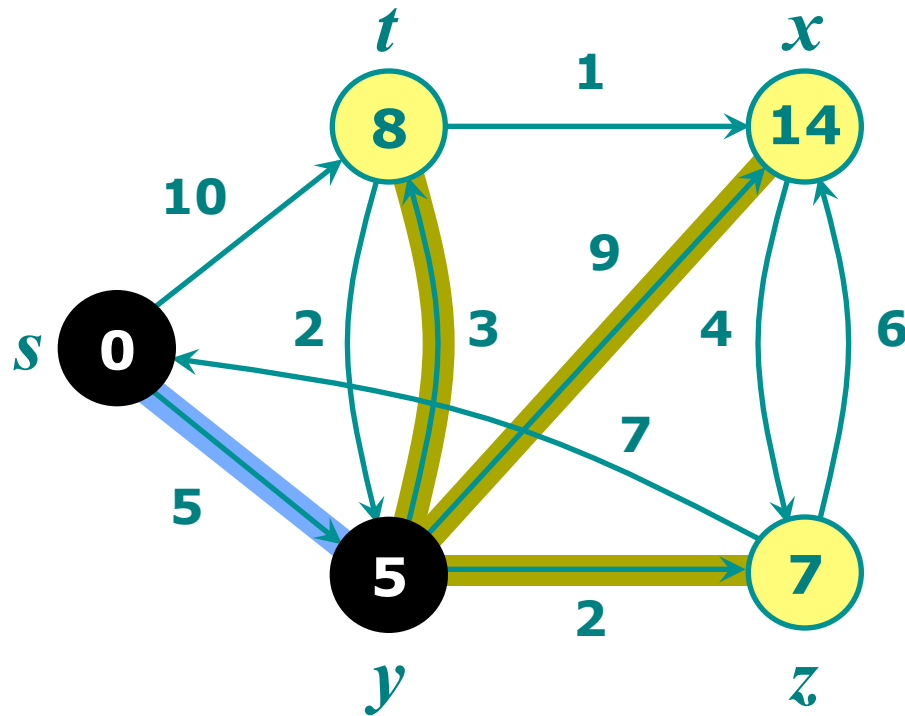
# Example of Dijkstra's algorithm

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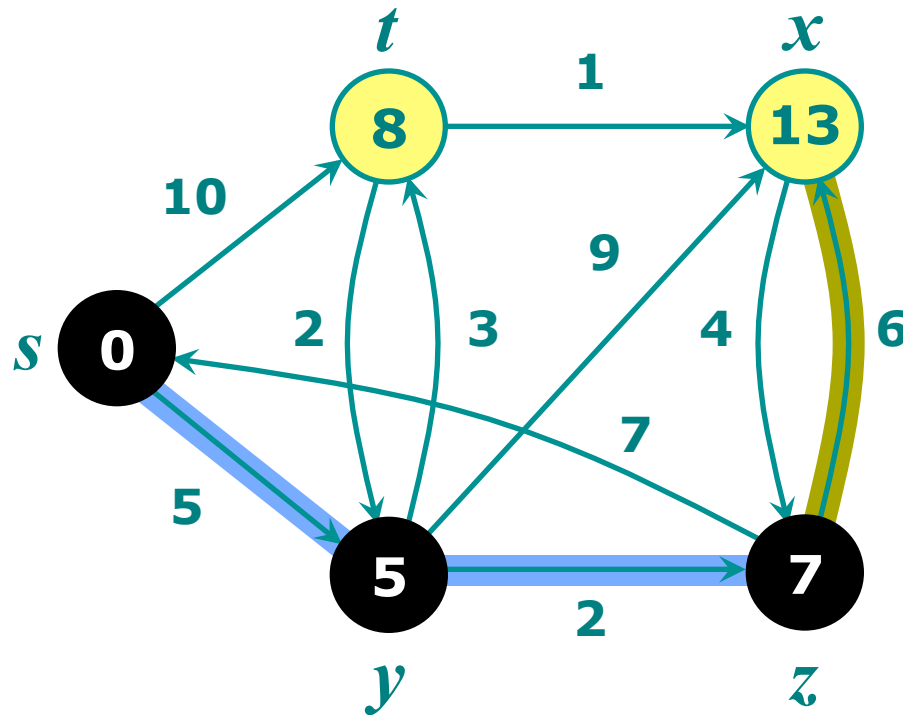
# Example of Dijkstra's algorithm

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# Example of Dijkstra's algorithm

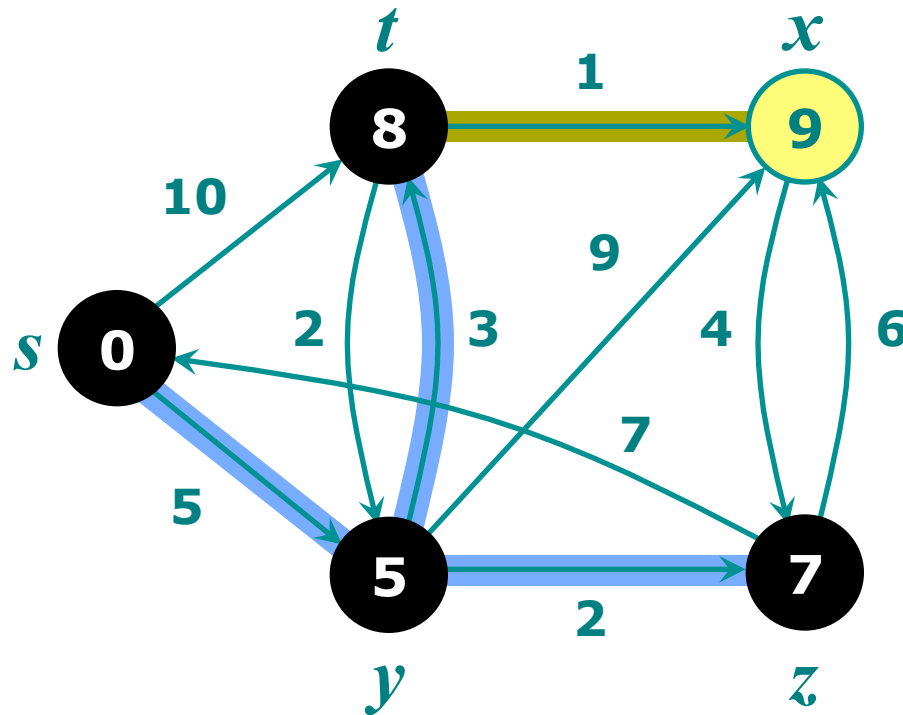
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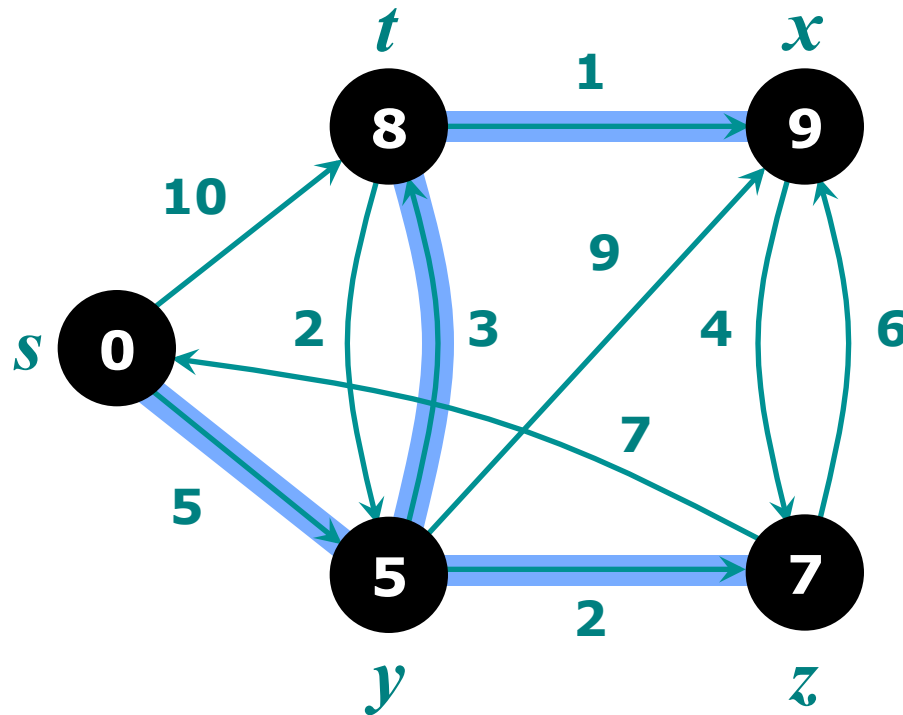
# Example of Dijkstra's algorithm

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# Example of Dijkstra's algorithm

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# Dijkstra's algorithm

---

**DIJKSTRA**( $G, w, s$ )

1. **for** each vertex  $v \in V[G]$

2.     **do**  $d[v] \leftarrow \infty$

3.      $\pi(v) \leftarrow \text{NIL}$

4.  $d[s] \leftarrow 0$

5.  $S \leftarrow \emptyset$

6.  $Q \leftarrow V[G]$

7. **while**  $Q \neq \emptyset$

8.     **do**  $u \leftarrow \text{EXTRACT-MIN}(Q)$

*Relaxation step.*

Implicit DECREASE-KEY.

9.      $S \leftarrow S \cup \{u\}$

10.    **for** each vertex  $v \in \text{Adj}[u]$

11.       **do if**  $d[v] > d[u] + w(u, v)$

12.        **then**  $d[v] \leftarrow d[u] + w(u, v)$

13.         $\pi(v) \leftarrow u$

# Correctness of Dijkstra's algorithm

---

**Lemma.** Initializing  $d[s] \leftarrow 0$  and  $d[v] \leftarrow \infty$  for all  $v \in V - \{s\}$  establishes  $d[v] \geq \delta(s, v)$  for all  $v \in V$ , and this invariant is maintained over any sequence of relaxation steps.

**Proof.** Suppose not. Let  $v$  be the first vertex for which  $d[v] < \delta(s, v)$ , and let  $u$  be the vertex that caused  $d[v]$  to change:  $d[v] = d[u] + w(u, v)$ . Then,

$$d[v] < \delta(s, v)$$

supposition

$$\leq \delta(s, u) + \delta(u, v)$$

triangle inequality

$$\leq \delta(s, u) + w(u, v)$$

sh. path  $\leq$  specific path

$$\leq d[u] + w(u, v)$$

$v$  is first violation

**Contradiction**  $\square$

# Correctness of Dijkstra's algorithm

---

**Lemma.** Let  $u$  be  $v$ 's predecessor on a shortest path from  $s$  to  $v$ . Then, if  $d[u] = \delta(s, u)$  and edge  $(u, v)$  is relaxed, we have  $d[v] = \delta(s, v)$  after the relaxation.

**Proof.** Observe that  $\delta(s, v) = \delta(s, u) + w(u, v)$ .

Suppose that  $d[v] > \delta(s, v)$  before the relaxation.

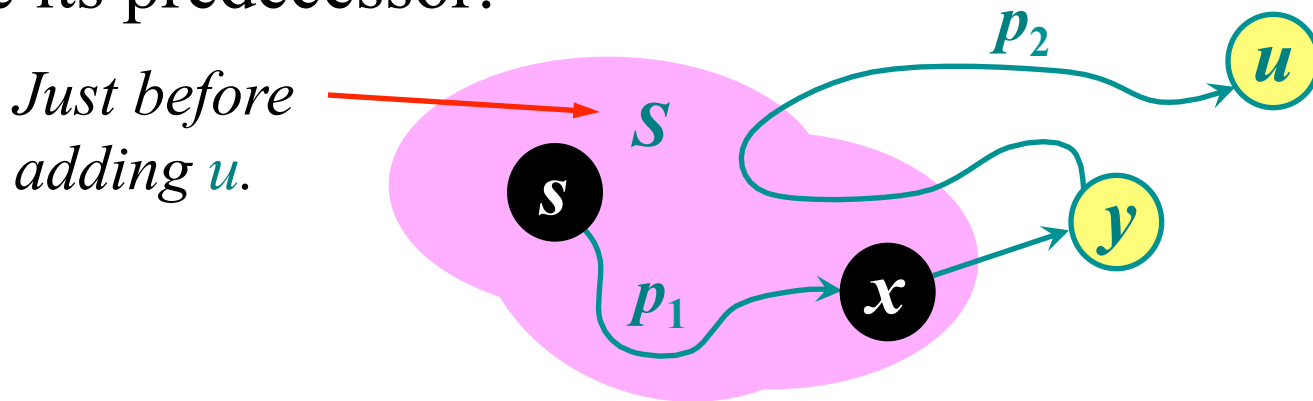
(Otherwise, we're done.) Then, the test  $d[v] > d[u] + w(u, v)$  succeeds, because  $d[v] > \delta(s, v) = \delta(s, u) + w(u, v) = d[u] + w(u, v)$ , and the algorithm sets  $d[v] = d[u] + w(u, v) = \delta(s, v)$ .

# Correctness of Dijkstra's algorithm

## Theorem.

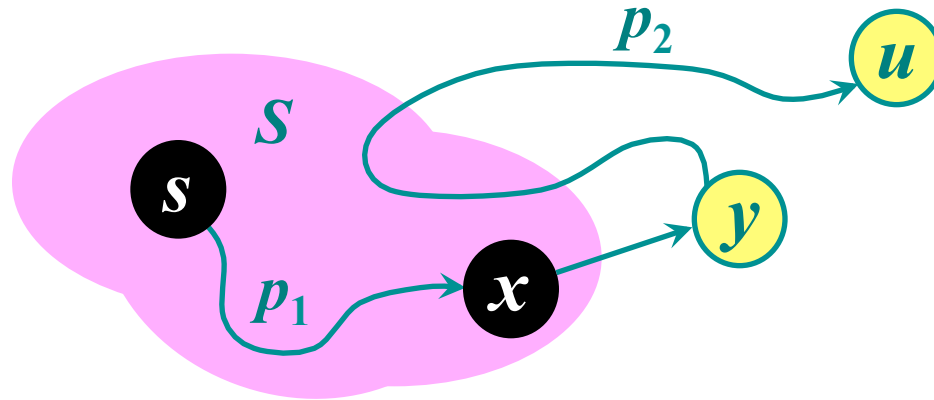
Dijkstra's algorithm terminates with  $d[v] = \delta(s, v)$  for all  $v \in V$ .

**Proof.** It suffices to show that  $d[v] = \delta(s, v)$  for every  $v \in V$  when  $v$  is added to  $S$ . Suppose  $u$  is the first vertex added to  $S$  for which  $d[u] > \delta(s, u)$ . Let  $y$  be the first vertex in  $V - S$  along a shortest path from  $s$  to  $u$ , and let  $x$  be its predecessor:



# Correctness of Dijkstra's algorithm

---



Since  $u$  is the first vertex violating the claimed invariant, we have  $d[x] = \delta(s, x)$ . When  $x$  was added to  $S$ , the edge  $(x, y)$  was relaxed, which implies that

$$d[y] = \delta(s, y) \leq \delta(s, u) < d[u].$$

But,  $d[u] \leq d[y]$  by our choice of  $u$ . **Contradiction.**  $\square$

# Analysis of Dijkstra's algorithm

**DIJKSTRA**( $G, w, s$ )

1. **for** each vertex  $v \in V[G]$

2.     **do**  $d[v] \leftarrow \infty$

3.      $\pi(v) \leftarrow \text{NIL}$

4.  $d[s] \leftarrow 0$

5.  $S \leftarrow \emptyset$

6.  $Q \leftarrow V[G]$

7. **while**  $Q \neq \emptyset$

8.     **do**  $u \leftarrow \text{EXTRACT-MIN}(Q)$

9.      $S \leftarrow S \cup \{u\}$

10.     **for** each vertex  $v \in \text{Adj}[u]$

11.     **do if**  $d[v] > d[u] + w(u, v)$

12.     **then**  $d[v] \leftarrow d[u] + w(u, v)$

13.      $\pi(v) \leftarrow u$

$$\text{Time} = \Theta(V) \cdot \text{Time}_{\text{EXTRACT-MIN}} + \Theta(E) \cdot \text{Time}_{\text{DECREASE-KEY}}$$

$|V|$   
times



# Analysis of Dijkstra's algorithm

---

$$\text{Time} = \Theta(V) \cdot \text{Time}_{\text{EXTRACT-MIN}} + \Theta(E) \cdot \text{Time}_{\text{DECREASE-KEY}}$$

$Q$	$\text{Time}_{\text{EXTRACT-MIN}}$	$\text{Time}_{\text{DECREASE-KEY}}$	Total
Array	$O(V)$	$O(1)$	$O(V^2)$
Binary heap	$O(\lg V)$	$O(\lg V)$	$O(E \lg V)$

*Running time of Dijkstra's algorithm is  $O(E \lg V)$*

# Unweighted graphs

---

Suppose that  $w(u, v) = 1$  for all  $(u, v) \in E$ .

Can Dijkstra's algorithm be improved?

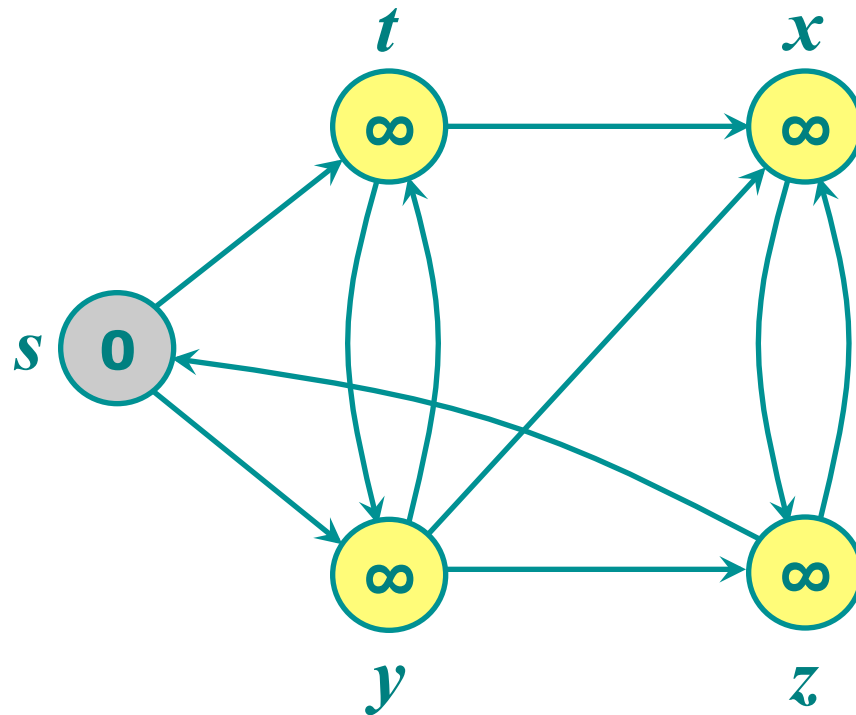
- *Breadth-first search*.
- Use a simple **FIFO** queue instead of a **priority** queue.

```
1. while  $Q \neq \emptyset$ 
2.     do  $u \leftarrow \text{DEQUEUE}(Q)$ 
3.     for each vertex  $v \in \text{Adj}[u]$ 
4.         do if  $d[v] = \infty$ 
5.             then  $d[v] \leftarrow d[u] + 1$ 
6.                 ENQUEUE( $Q, v$ )
```

*Running time is  $O(V + E)$ .*

# Example of breadth-first search

---



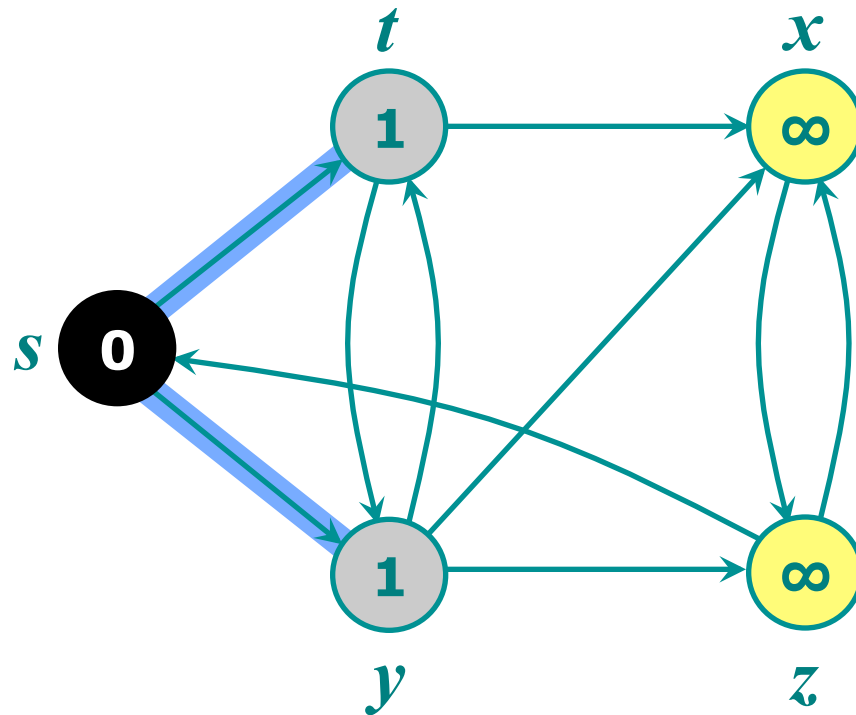
$Q$



$0$

*Gray vertices*

# Example of breadth-first search

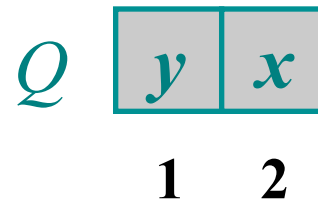
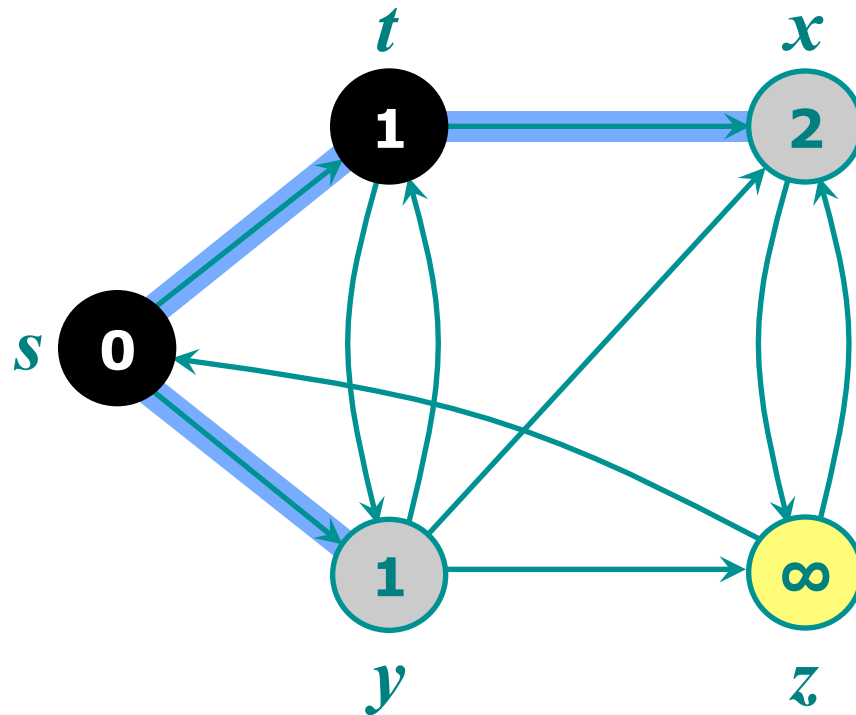


$Q$

$t$	$y$
1	1

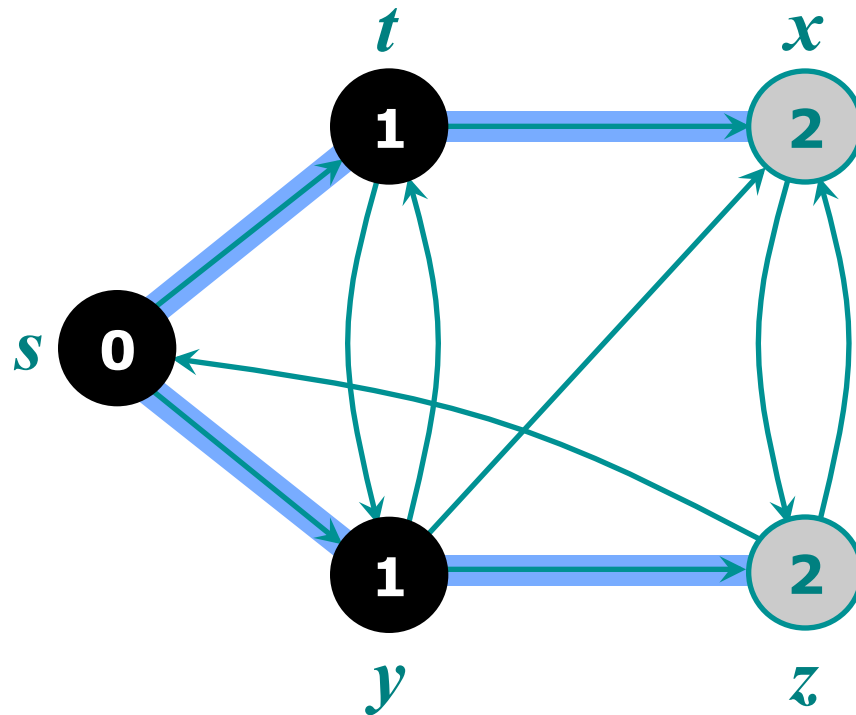
*Gray vertices*

# Example of breadth-first search



*Gray vertices*

# Example of breadth-first search

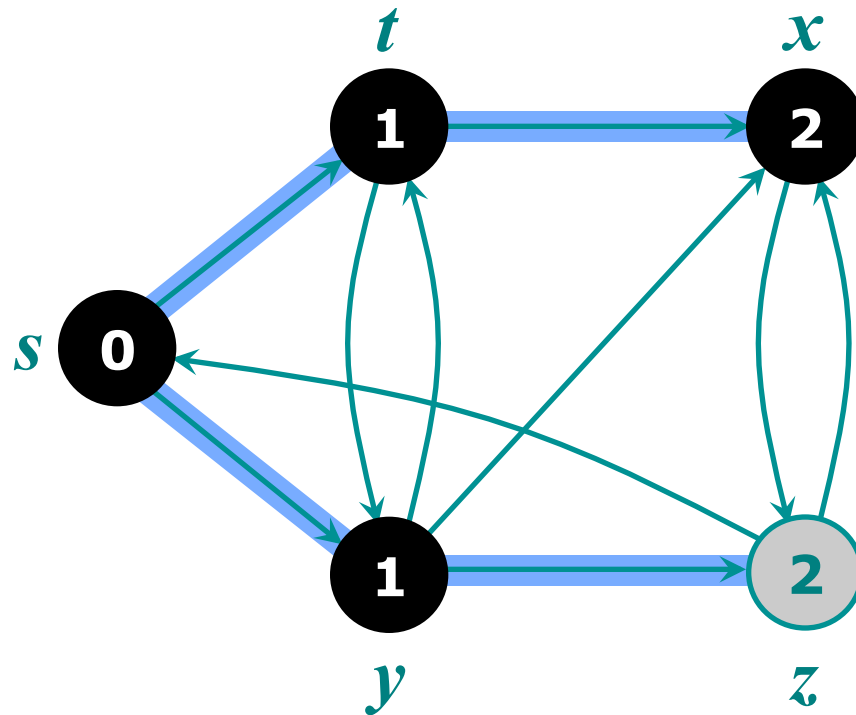


$Q$

$x$	$z$
2	2

*Gray vertices*

# Example of breadth-first search



$Q$

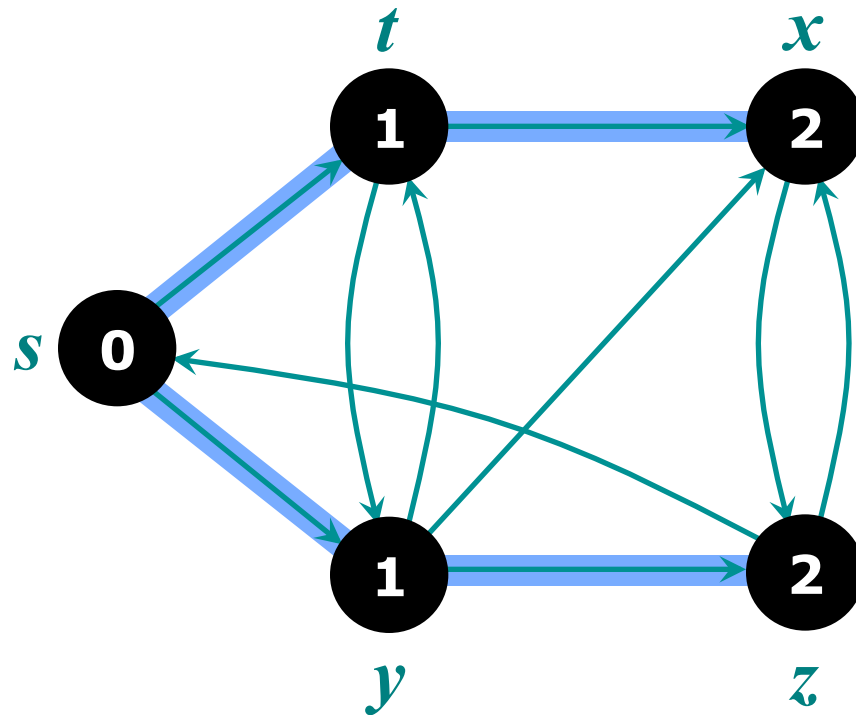


2

*Gray vertices*

# Example of breadth-first search

---



$Q = \emptyset$

*Gray vertices*



# Shortest paths in weighted dag

---

*What is dag?*

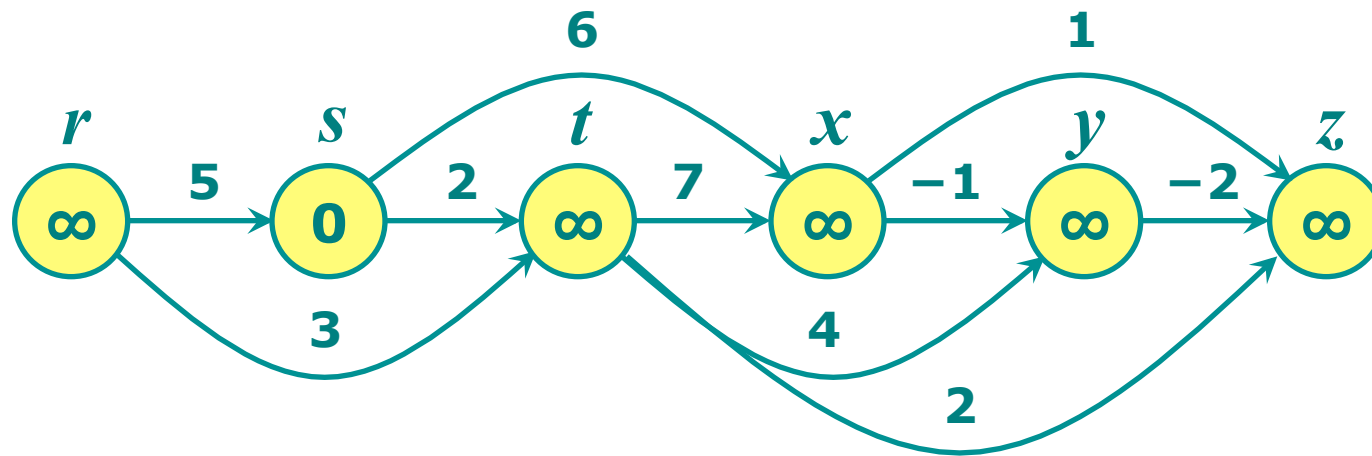
*A dag is a directed **acyclic** graph.*

**DAG-SHORTEST-PATH**( $G, w, s$ )

1. Topologically sort the vertices of  $G$
2. **for** each vertex  $v \in V[G]$
3.     **do**  $d[v] \leftarrow \infty$
4.      $\pi(v) \leftarrow \text{NIL}$
5.  $d[s] \leftarrow 0$
6. **for** each vertex  $u$ , taken in topologically sorted order
7.     **do for** each vertex  $v \in \text{Adj}[u]$
8.         **do if**  $d[v] > d[u] + w(u, v)$
9.             **then**  $d[v] \leftarrow d[u] + w(u, v)$
10.              $\pi(v) \leftarrow u$

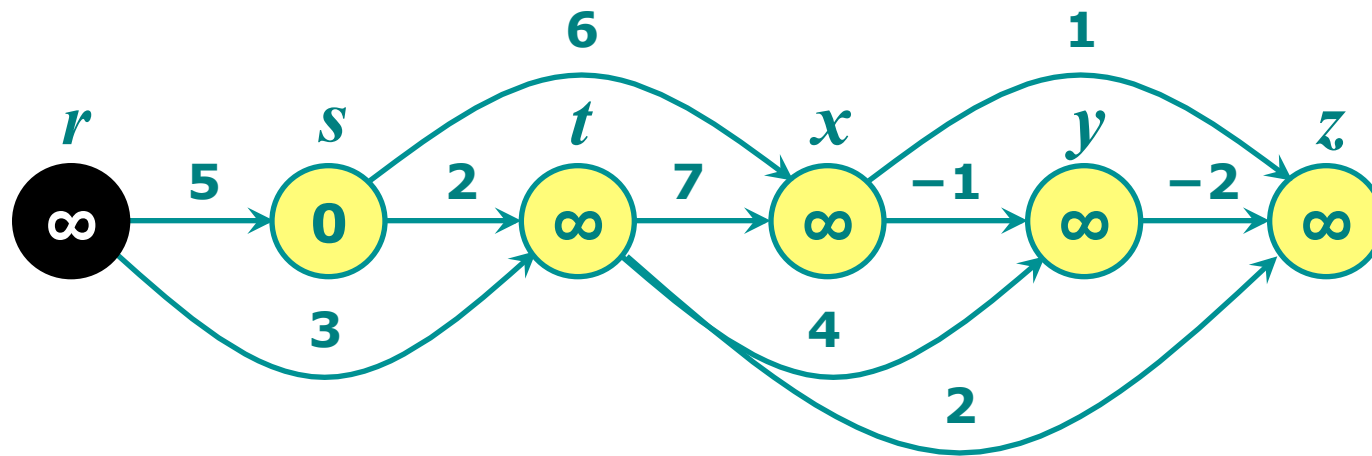
# Example of dag shortest paths

---



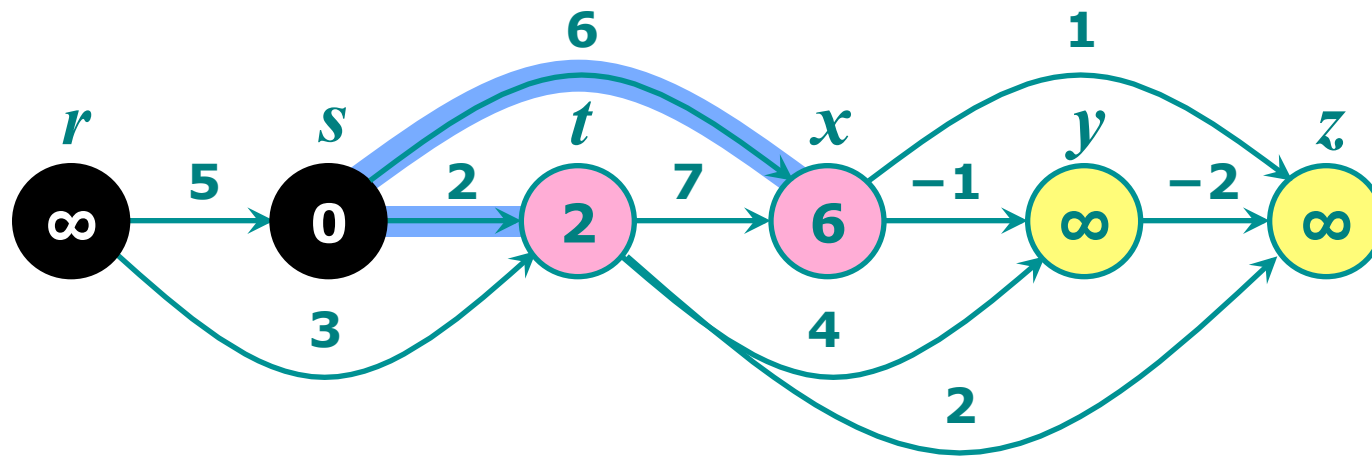
# Example of dag shortest paths

---



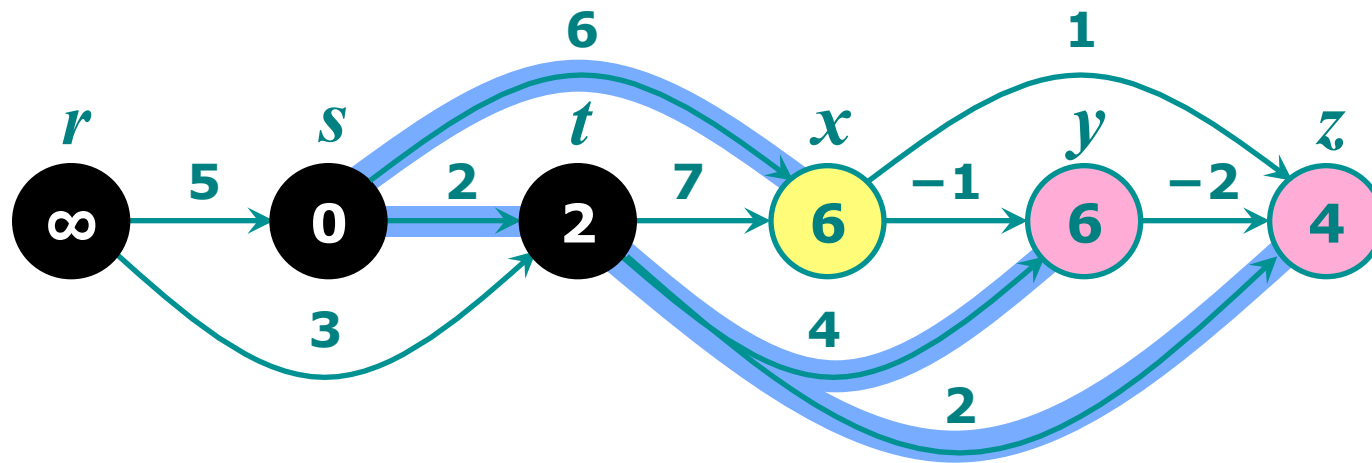
# Example of dag shortest paths

---



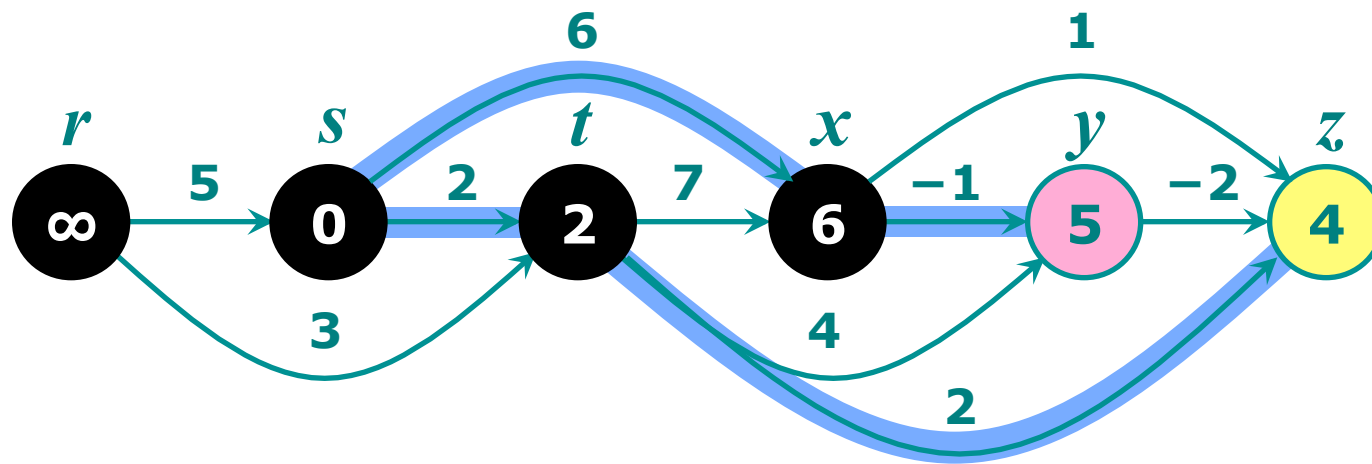
# Example of dag shortest paths

---



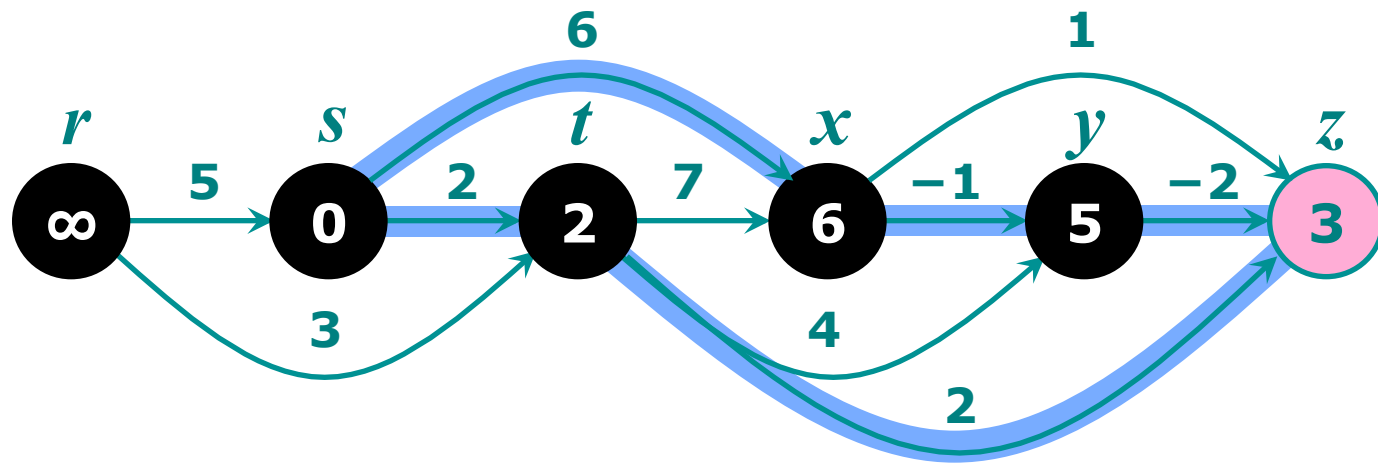
# Example of dag shortest paths

---



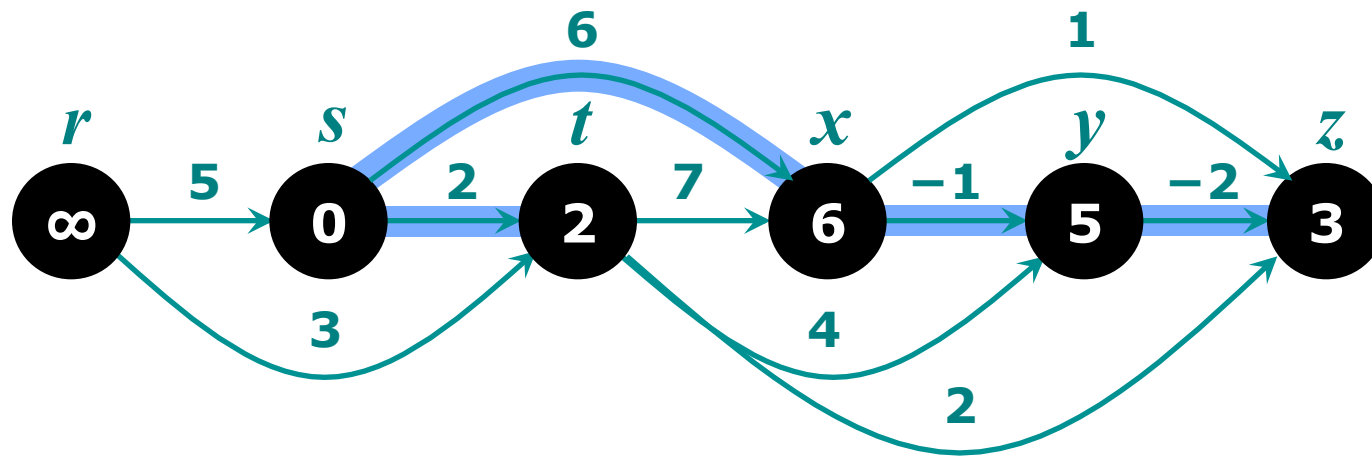
# Example of dag shortest paths

---



# Example of dag shortest paths

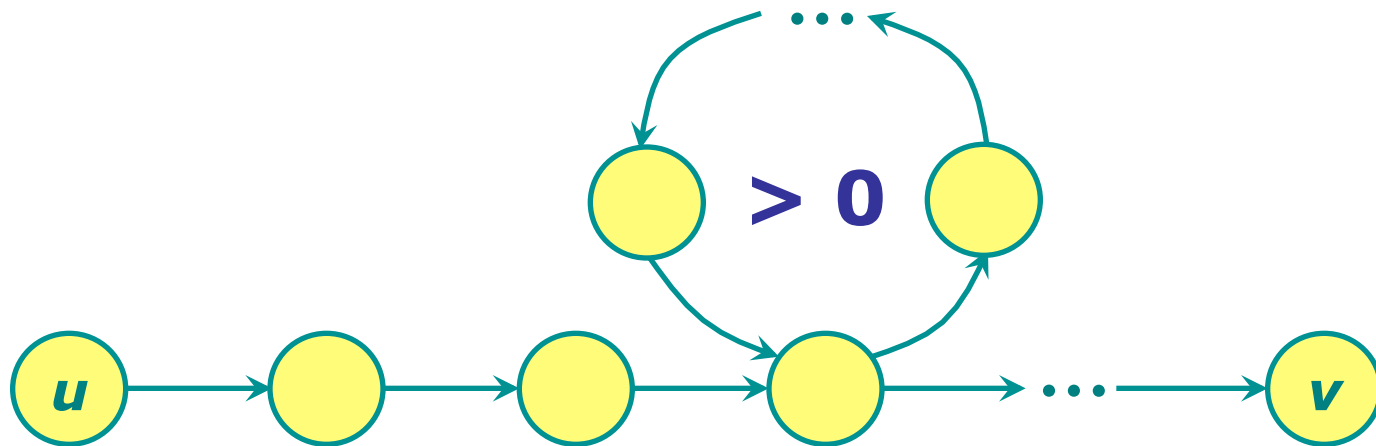
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# Positive-weight cycle

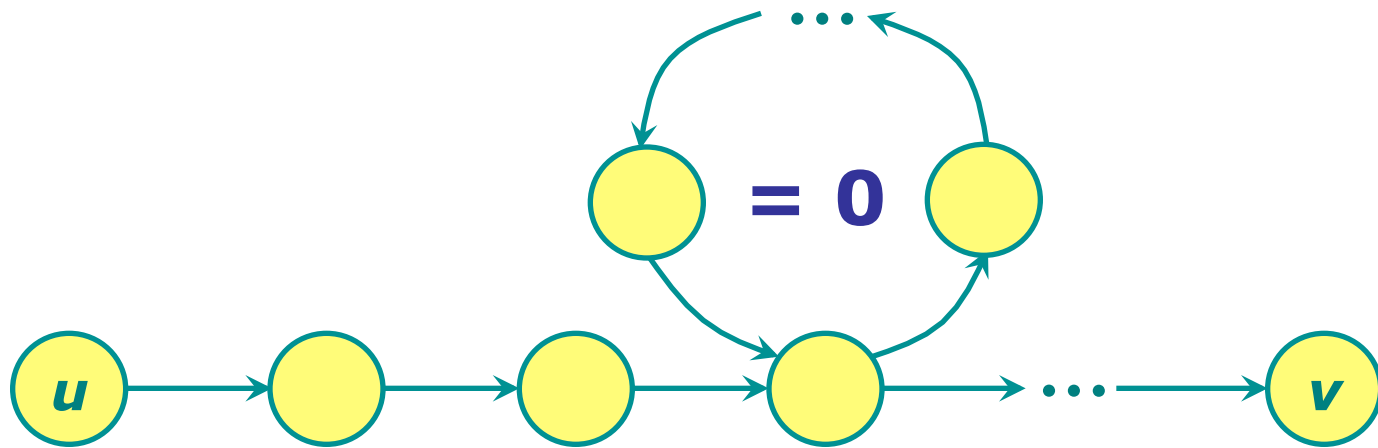
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*Shortest path cannot contain a positive-weight cycle.*

# 0-weight cycle

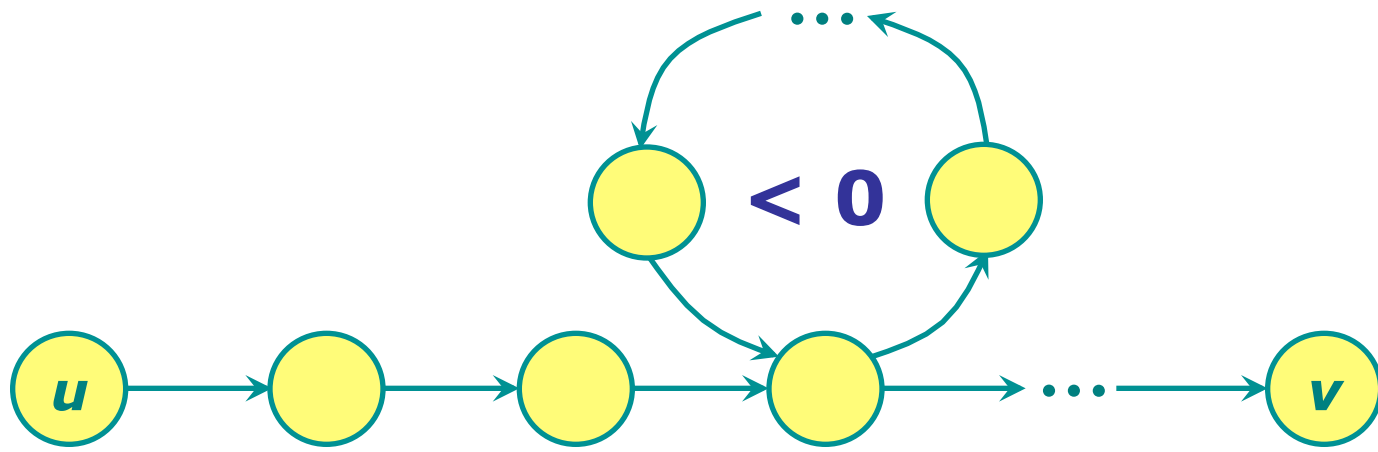
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*0-weight cycle can be removed  
from the shortest path.*

# Negative-weight cycle

---



*If a graph contains a negative-weight cycle, then some shortest paths may not exist.*

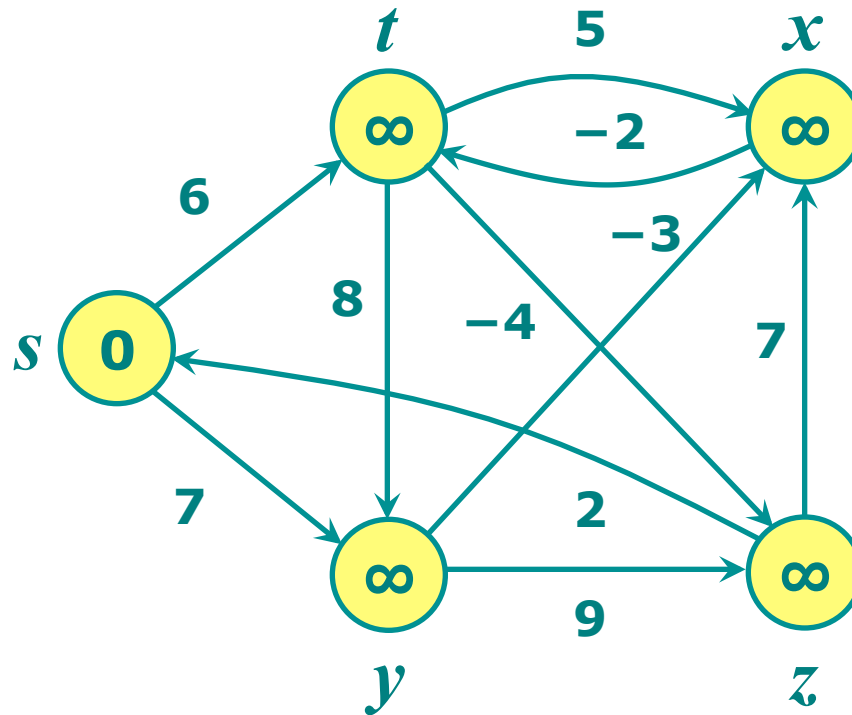
# Algorithm for negative weight cycle

---

***Bellman-Ford algorithm:*** Finds all shortest-path lengths from a source  $s \in V$  to all  $v \in V$  or determines that a negative-weight cycle exists.

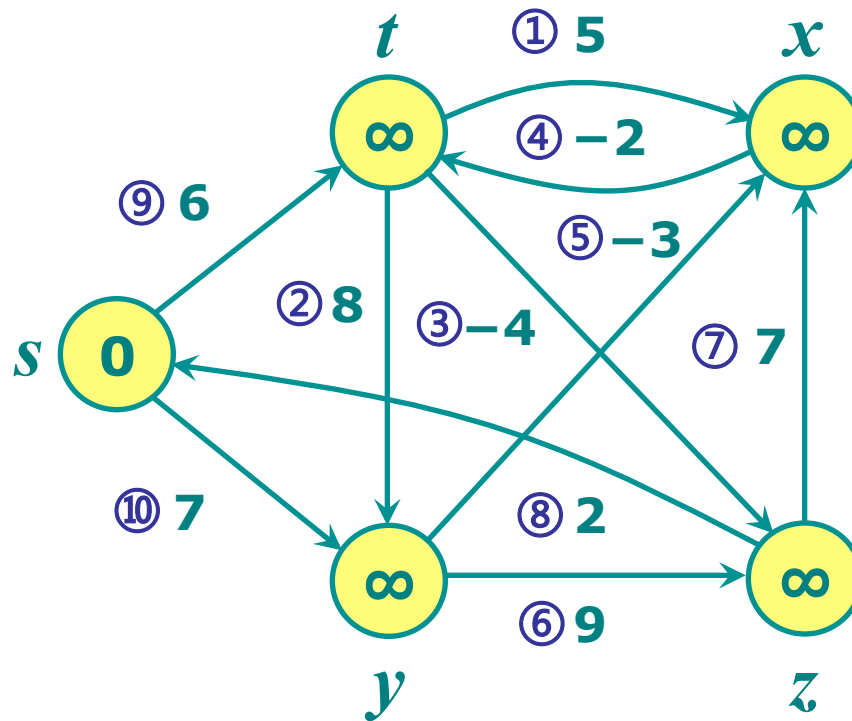
# Example of Bellman-Ford algorithm

---



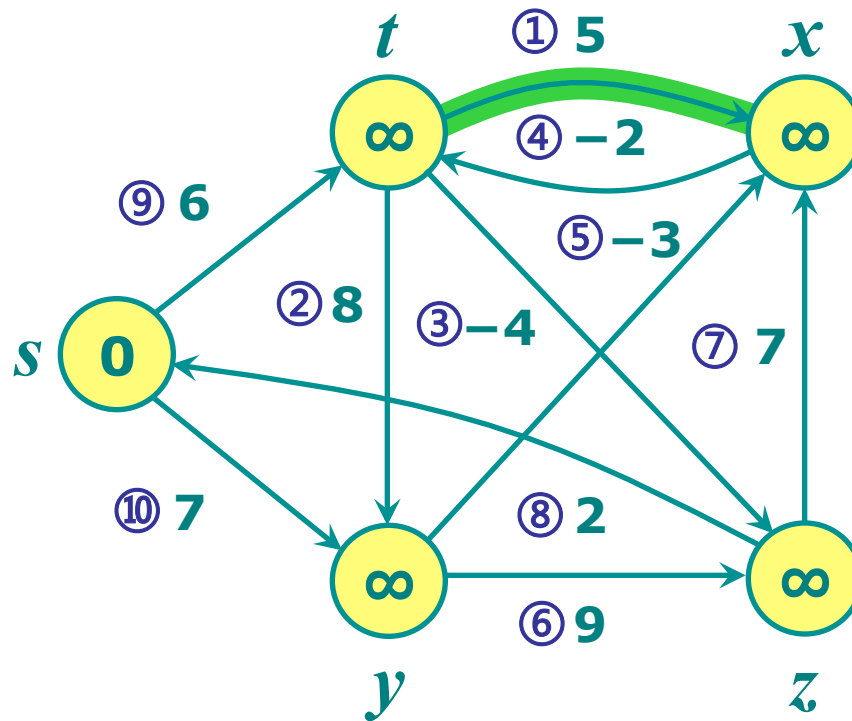
**Initialization.**

# Example of Bellman-Ford algorithm

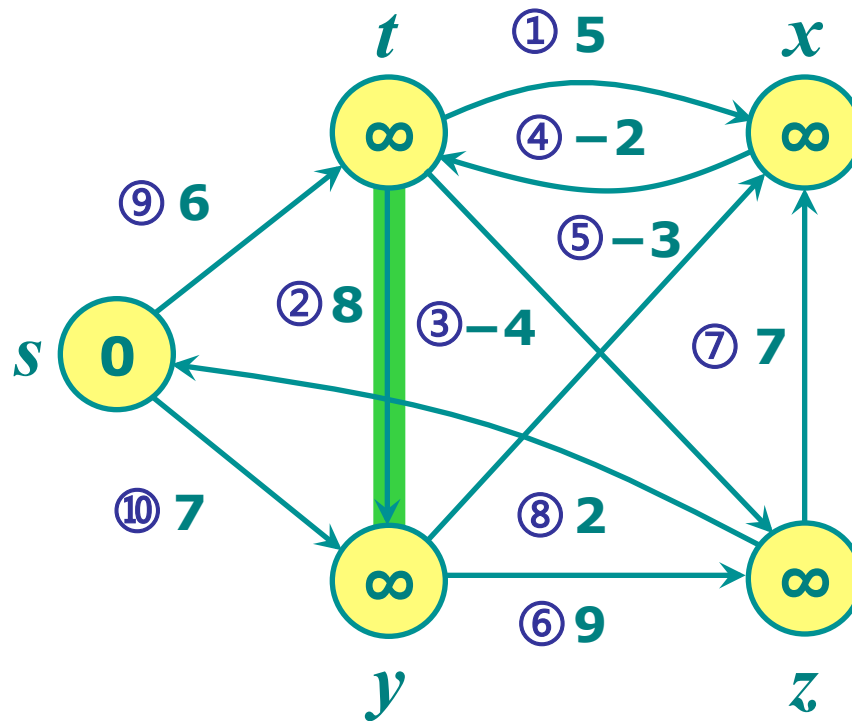


**Order of edge relaxation.**

# Example of Bellman-Ford algorithm

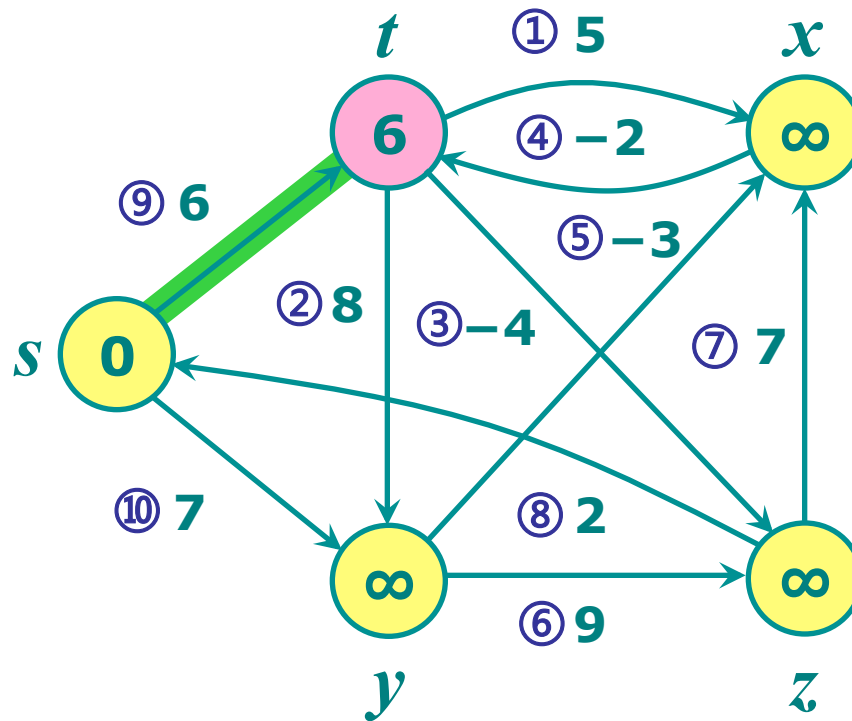


# Example of Bellman-Ford algorithm

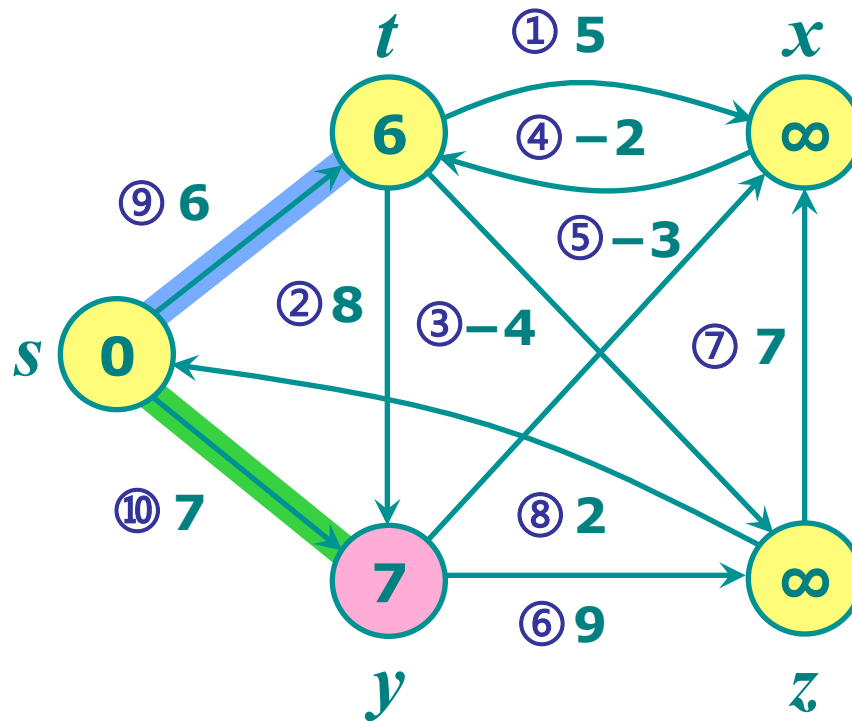




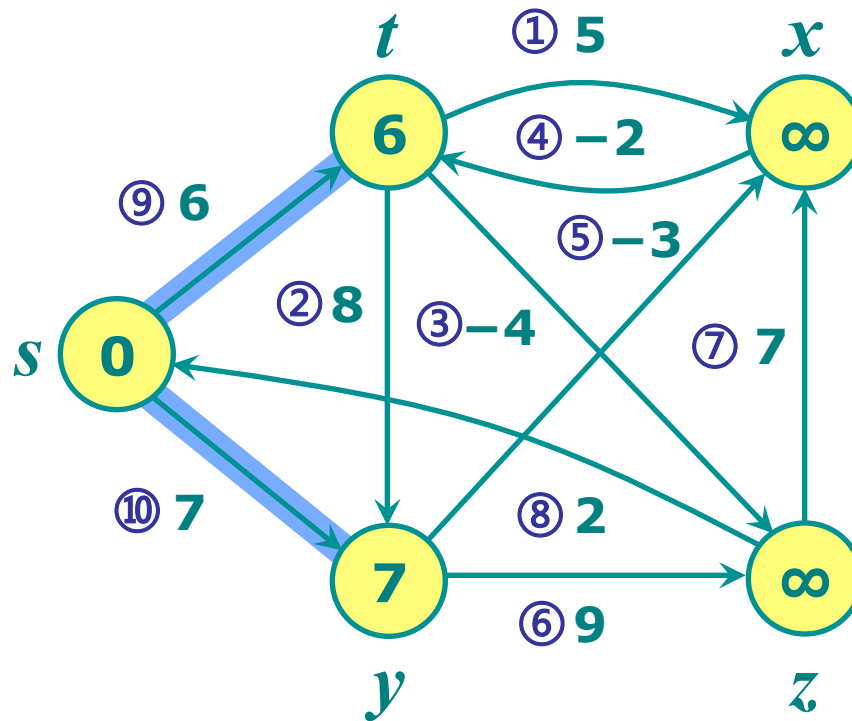
# Example of Bellman-Ford algorithm



# Example of Bellman-Ford algorithm

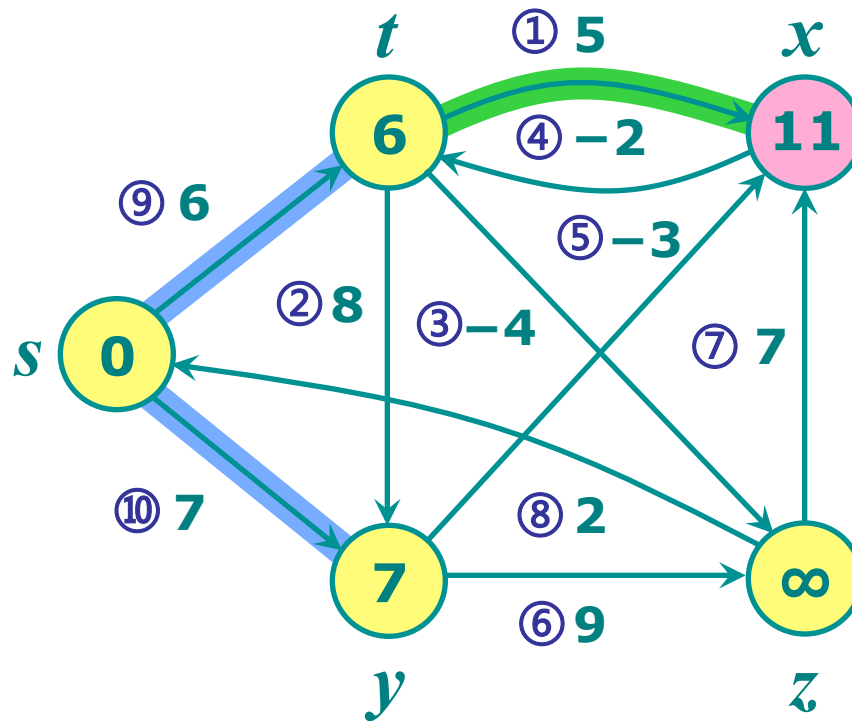


# Example of Bellman-Ford algorithm

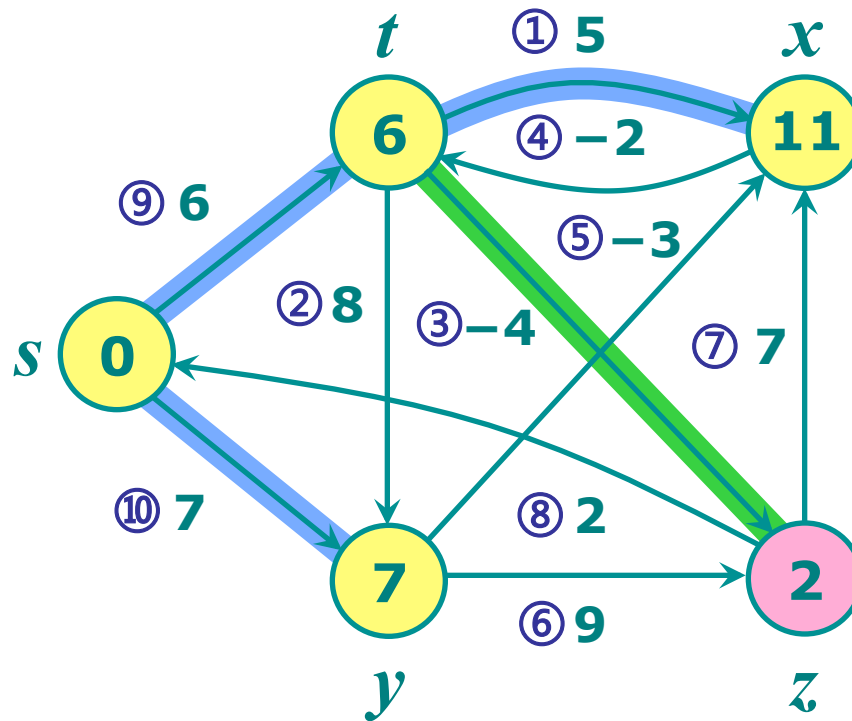


**End of pass 1.**

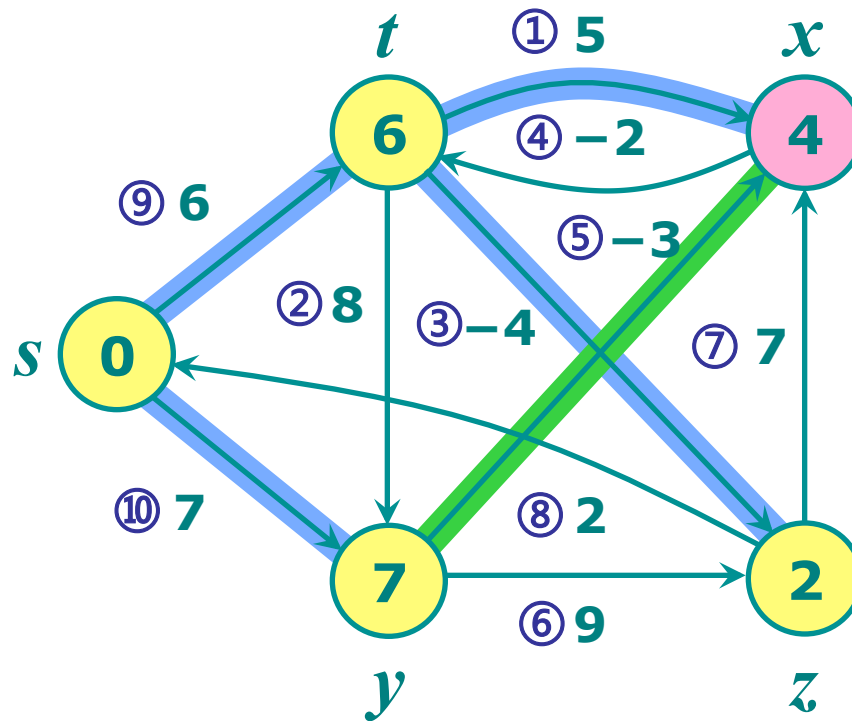
# Example of Bellman-Ford algorithm



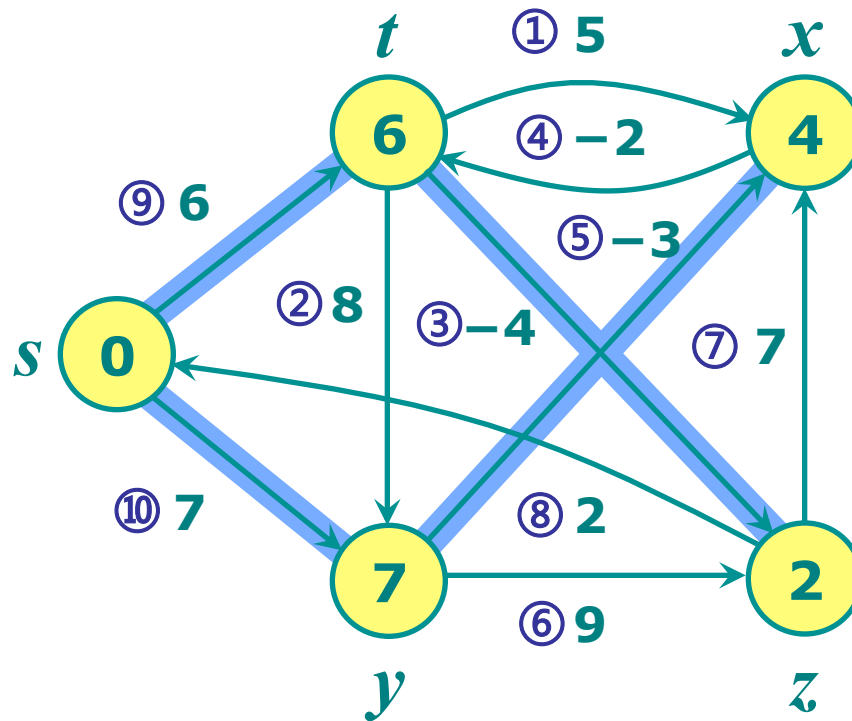
# Example of Bellman-Ford algorithm



# Example of Bellman-Ford algorithm

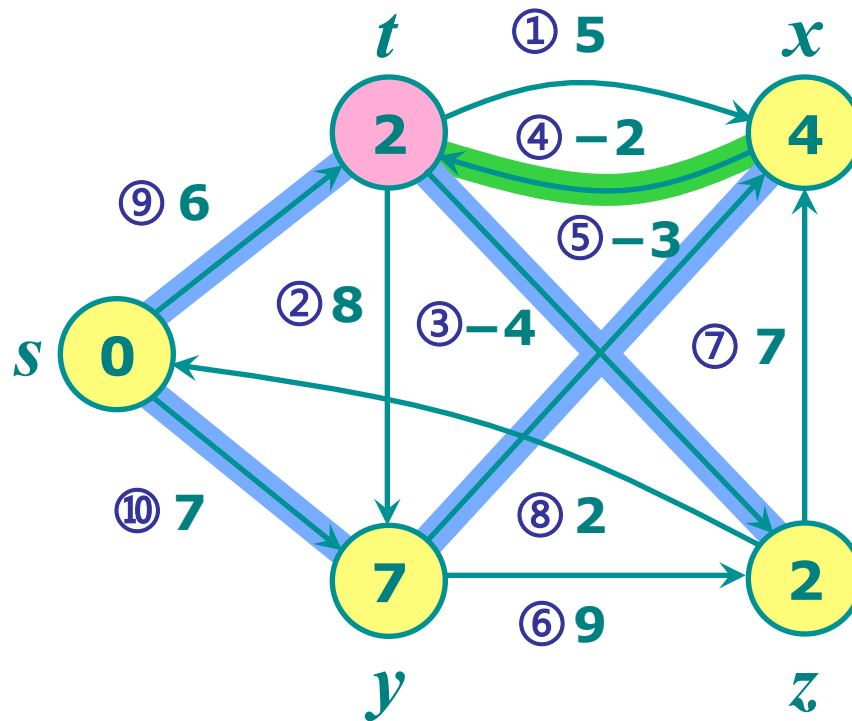


# Example of Bellman-Ford algorithm



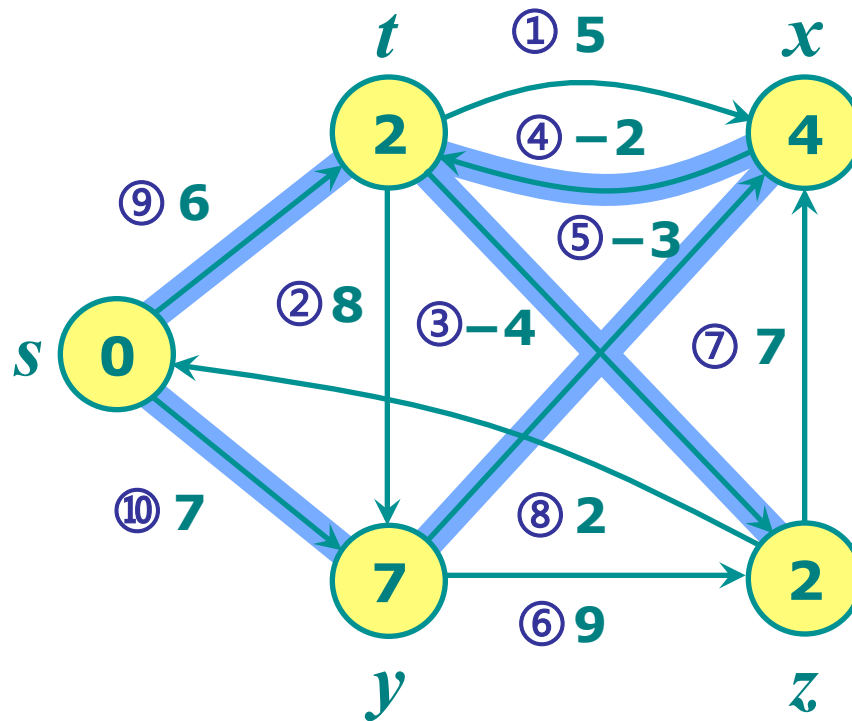
**End of pass 2.**

# Example of Bellman-Ford algorithm



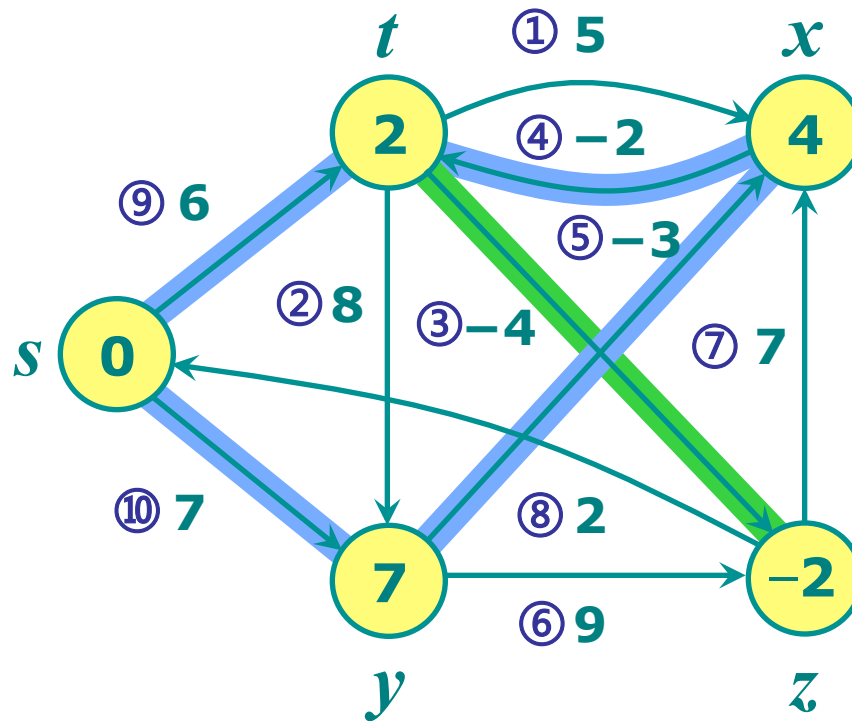


# Example of Bellman-Ford algorithm

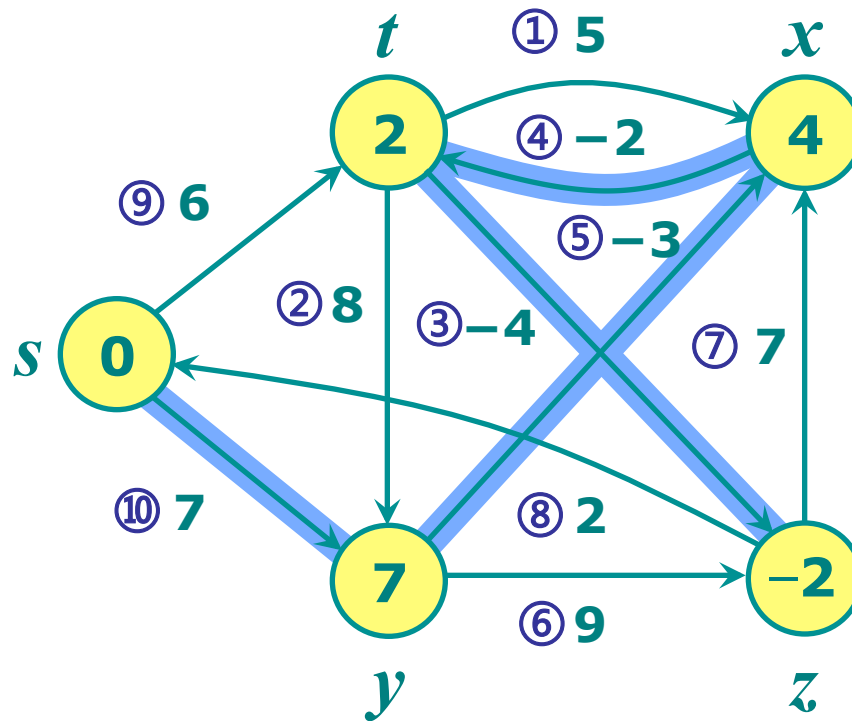


**End of pass 3.**

# Example of Bellman-Ford algorithm

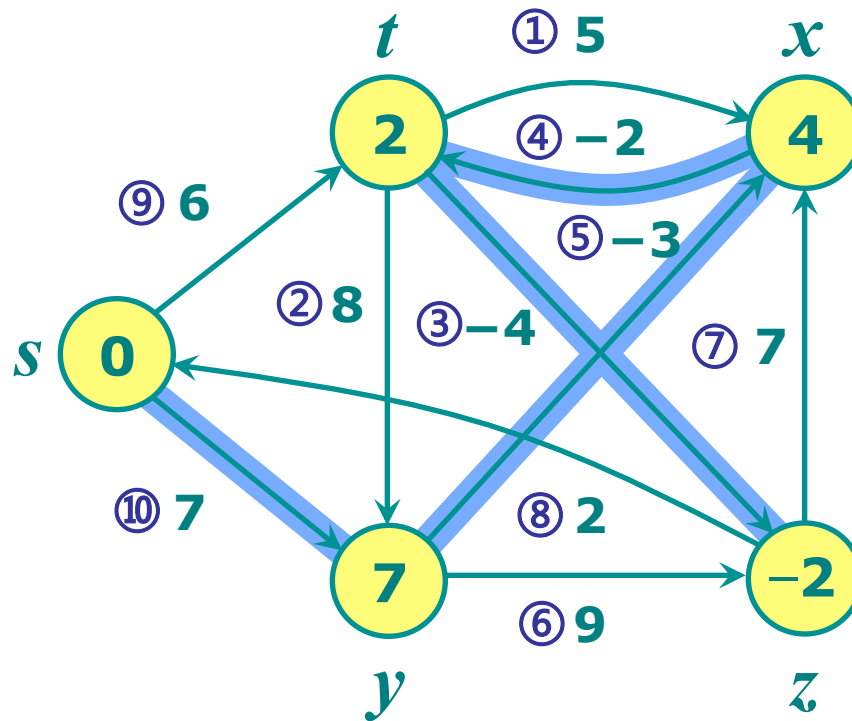


# Example of Bellman-Ford algorithm



**End of pass 4.**

# Example of Bellman-Ford algorithm



**End**

# Bellman-Ford algorithm

---

**BELLMAN-FORD**( $G, w, s$ )

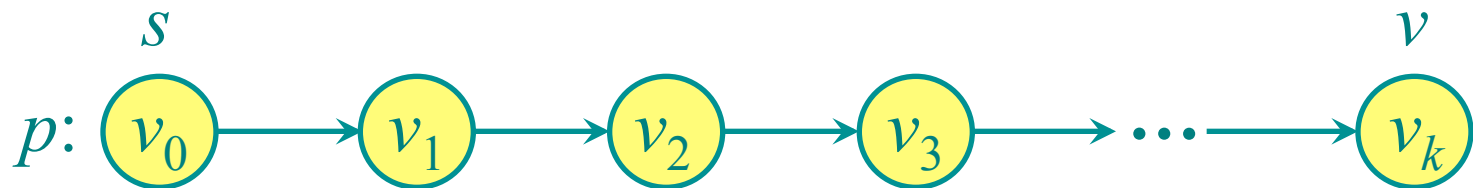
1. **for** each vertex  $v \in V[G]$
2.     **do**  $d[v] \leftarrow \infty$
3.      $\pi(v) \leftarrow \text{NIL}$
4.  $d[s] \leftarrow 0$
5. **for**  $i \leftarrow 1$  **to**  $|V[G]| - 1$
6.     **do for** each edge  $(u, v) \in E[G]$
7.         **do if**  $d[v] > d[u] + w(u, v)$
8.             **then**  $d[v] \leftarrow d[u] + w(u, v)$
9.              $\pi(v) \leftarrow u$
10. **for** each edge  $(u, v) \in E[G]$
11.     **do if**  $d[v] > d[u] + w(u, v)$
12.         **then return** FALSE
13. **return** TRUE

# Correctness of Bellman-Ford algorithm

---

**Theorem.** If  $G = (V, E)$  contains no negative weight cycles, then after the Bellman-Ford algorithm executes,  $d[v] = \delta(s, v)$  for all  $v \in V$ .

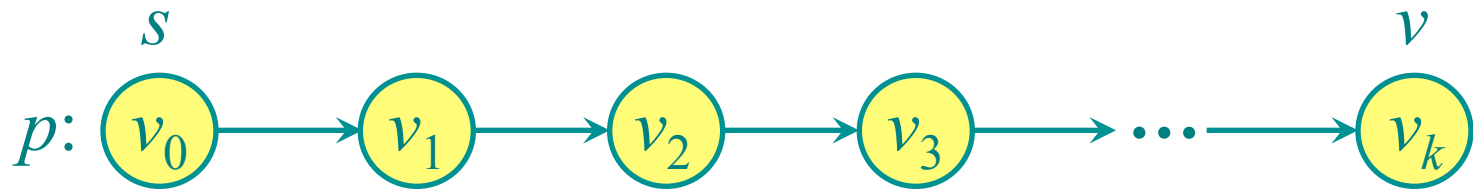
**Proof.** Let  $v \in V$  be any vertex, and consider a shortest path  $p$  from  $s$  to  $v$  with the minimum number of edges.



Since  $p$  is a shortest path, we have  
$$\delta(s, v_i) = \delta(s, v_{i-1}) + w(v_{i-1}, v_i).$$

# Correctness of Bellman-Ford algorithm

---



Initially,  $d[v_0] = 0 = \delta(s, v_0)$ ,

- After 1 pass through  $E$ , we have  $d[v_1] = \delta(s, v_1)$ .
- After 2 passes through  $E$ , we have  $d[v_2] = \delta(s, v_2)$ .
- $\vdots$
- After  $k$  passes through  $E$ , we have  $d[v_k] = \delta(s, v_k)$ .

Since  $G$  contains no negative-weight cycles,  $p$  is simple.

Longest simple path has  $\leq |V| - 1$  edge

If a value  $d[v]$  fails to converge after  $|V| - 1$  passes, there exists a negative-weight cycle in  $G$  reachable from  $s$ .  $\square$

# Correctness of Bellman-Ford algorithm

---

Conversely, suppose that graph  $G$  contains a negative-weight cycle that is reachable from the source  $s$ ; let this cycle be  $c = v_0 \rightarrow v_1 \rightarrow \dots \rightarrow v_k$ , where  $v_0 = v_k$ . Then,

$$\sum_{i=1}^k w(v_{i-1}, v_i) < 0$$

If the Bellman-Ford algorithm returns **TRUE**, then,

$d[v_i] \leq d[v_{i-1}] + w(v_{i-1}, v_i)$  for  $i = 1, 2, \dots, k$ , and

$$\begin{aligned} \sum_{i=1}^k d[v_i] &\leq \sum_{i=1}^k (d[v_{i-1}] + w(v_{i-1}, v_i)) \\ &= \sum_{i=1}^k d[v_{i-1}] + \sum_{i=1}^k w(v_{i-1}, v_i) \end{aligned}$$



# Correctness of Bellman-Ford algorithm

---

$$\sum_{i=1}^k d[v_i] \leq \sum_{i=1}^k d[v_{i-1}] + \sum_{i=1}^k w(v_{i-1}, v_i)$$

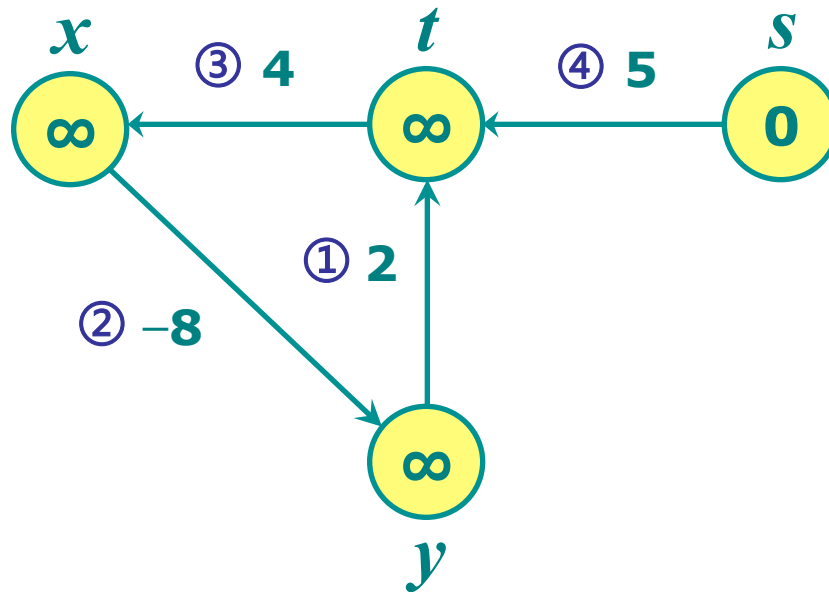
Since  $v_0 = v_k$ , and so,

$$\sum_{i=1}^k d[v_i] = \sum_{i=1}^k d[v_{i-1}], \text{ thus,}$$

$$0 \leq \sum_{i=1}^k w(v_{i-1}, v_i) \text{ contradicts with } \sum_{i=1}^k w(v_{i-1}, v_i) < 0 \quad \square$$

# Example of negative-weight cycle

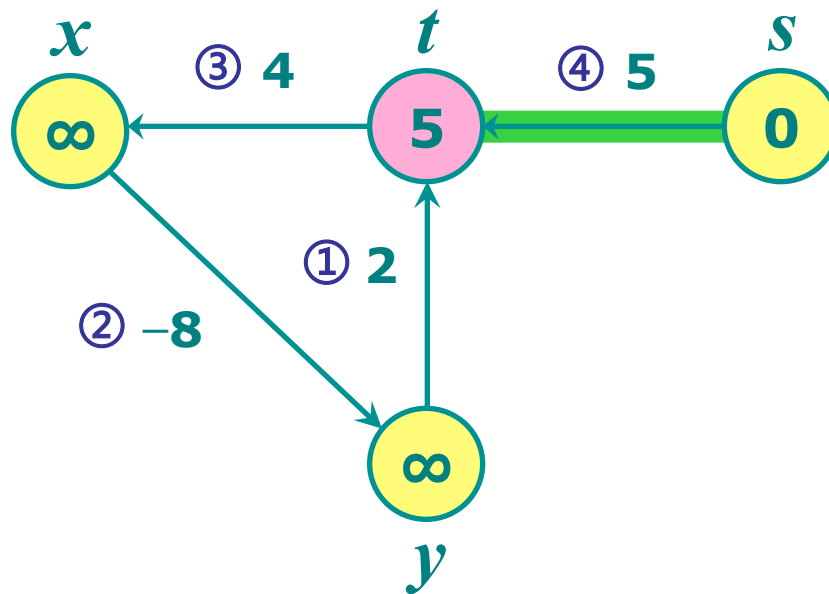
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**Initialization and order  
of edge relaxation.**

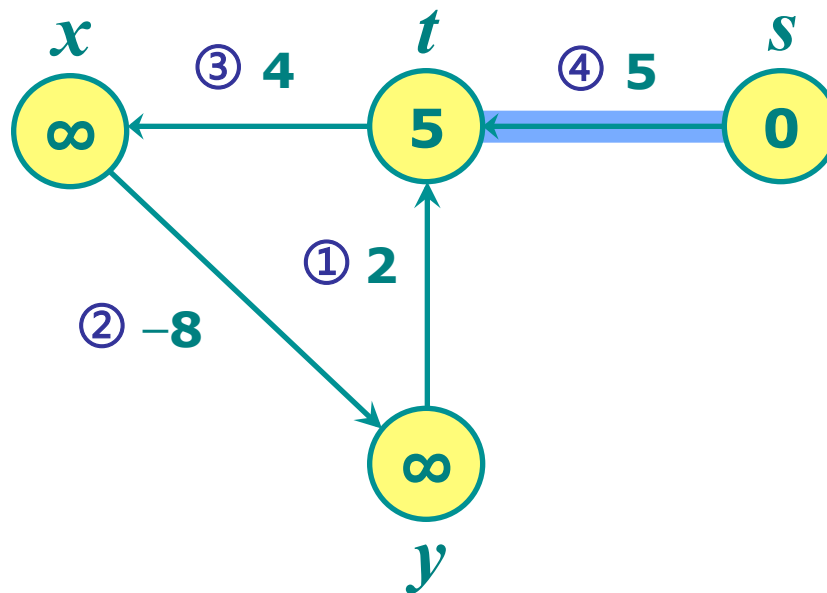
# Example of negative-weight cycle

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# Example of negative-weight cycle

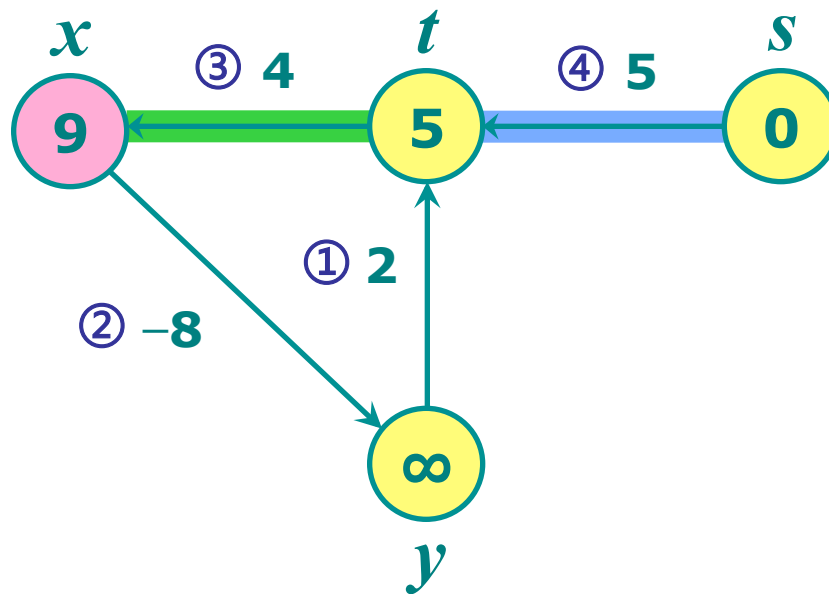
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**End of pass 1.**

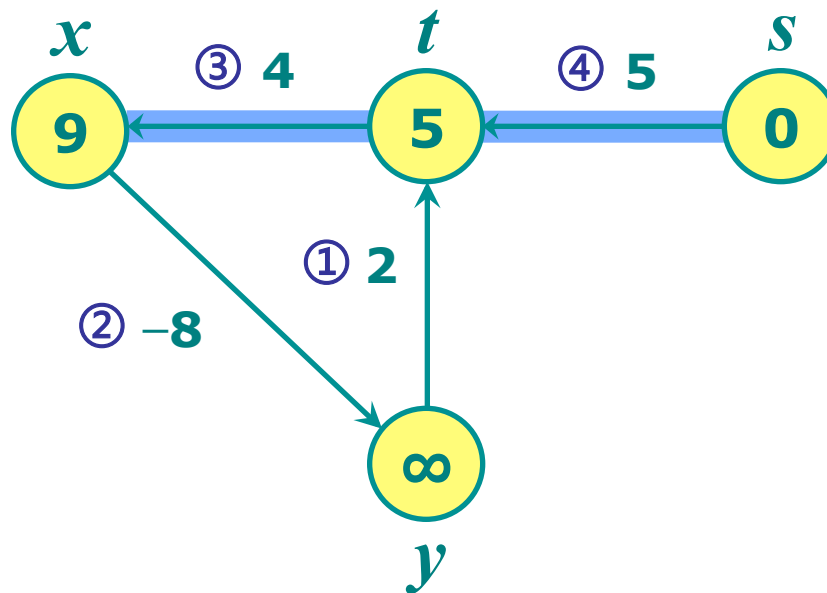
# Example of negative-weight cycle

---



# Example of negative-weight cycle

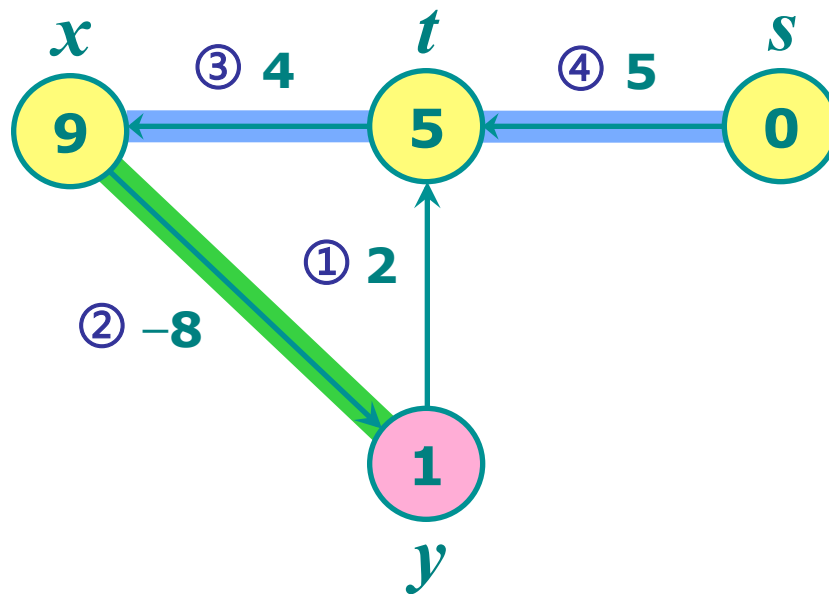
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**End of pass 2.**

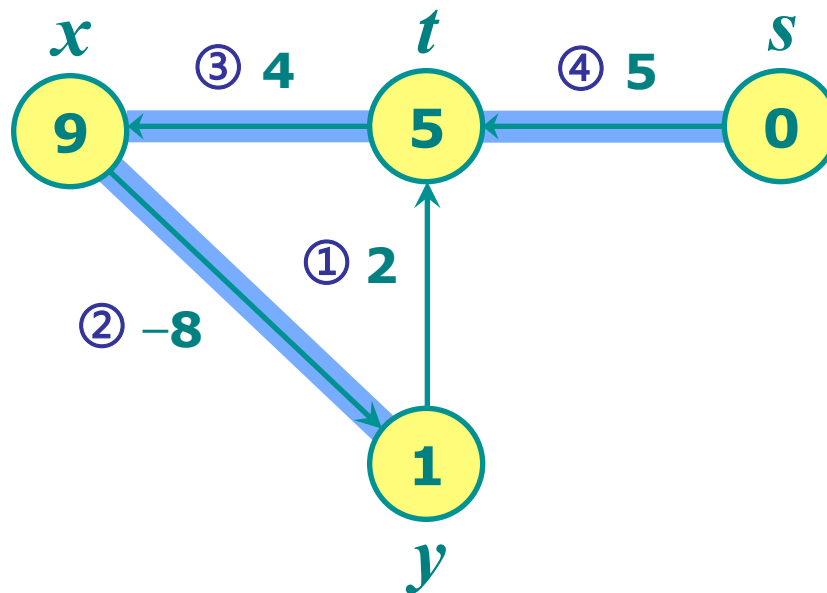
# Example of negative-weight cycle

---



# Example of negative-weight cycle

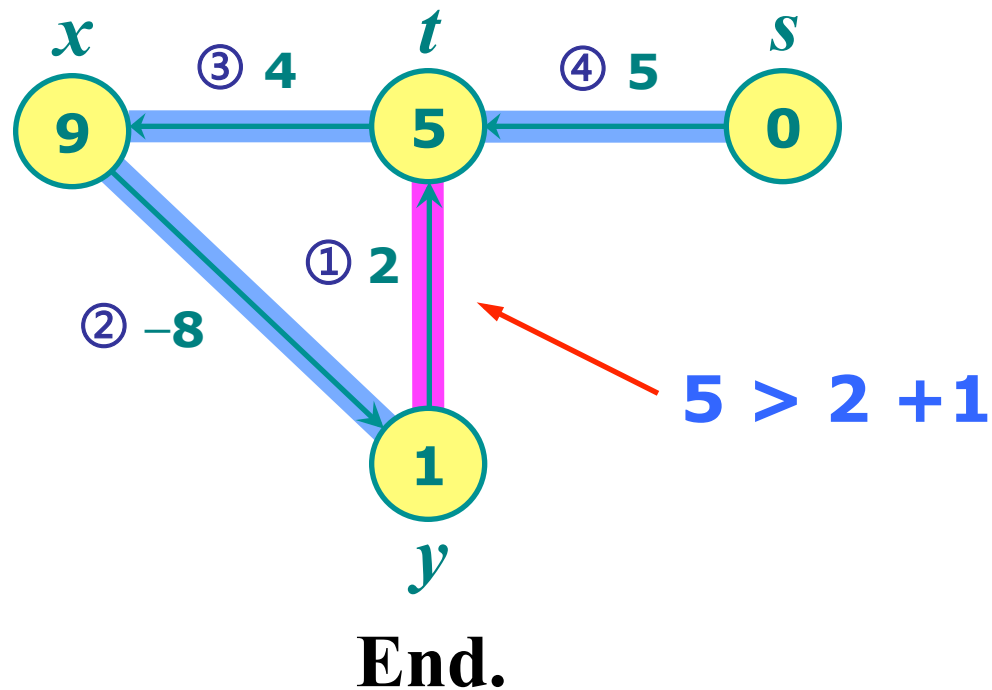
---



**End of pass 3.**



# Example of negative-weight cycle



# Single-source shortest-paths algorithms

Algorithms	Unweighted	Positive	Negative	Cycle	Time
Breadth-first search	○	×	×	○	$O(V + E)$
Dag shortest paths	○	○	○	×	$O(V + E)$
Dijkstra	○	○	×	○	$O(E \lg V)$
Bellman-Ford	○	○	○	○	$O(VE)$

# All-pairs shortest paths

---

**Input:** Digraph  $G = (V, E)$ , where  $V = \{1, 2, \dots, n\}$ , with edge-weight function  $w: E \rightarrow \mathbb{R}$ .

**Output:**  $n \times n$  matrix of shortest-path lengths  $\delta(i, j)$  for all  $i, j \in V$ .

## IDEA:

- Run Bellman-Ford once from each vertex.
- Time =  $O(V^2E)$ .
- Dense graph ( $n^2$  edges)  $\implies \Theta(n^4)$  time in the worst case.

# Dynamic programming

---

Consider the  $n \times n$  adjacency matrix  $A = (a_{ij})$  of the digraph, and define

$d_{ij}^{(m)}$  = weight of a shortest path from  $i$  to  $j$  that uses at most  $m$  edges.

**Claim:** We have

$$d_{ij}^{(0)} = \begin{cases} 0 & \text{if } i = j, \\ \infty & \text{if } i \neq j. \end{cases}$$

and for  $m = 1, 2, \dots, n - 1$ ,

$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}.$$

# Proof of claim

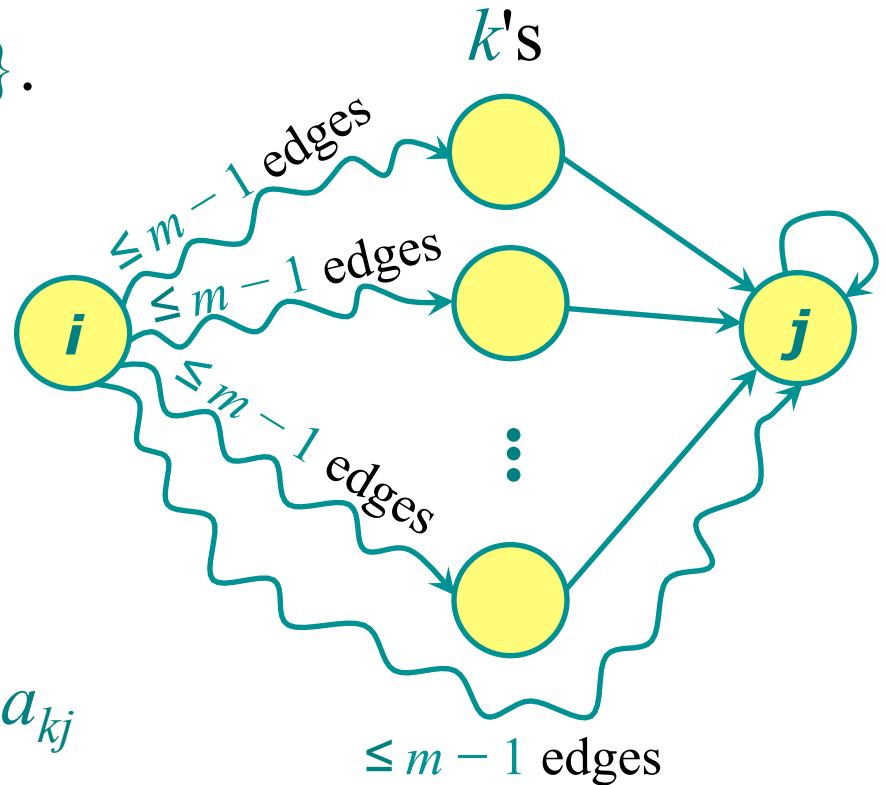
$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}.$$

**Relaxation!**

**for**  $k \leftarrow 1$  **to**  $n$

**do if**  $d_{ij} > d_{ik} + a_{kj}$

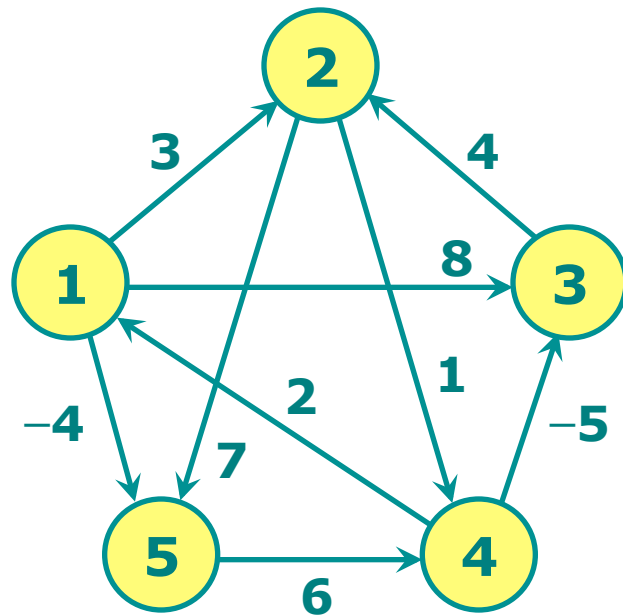
**then**  $d_{ij} \leftarrow d_{ik} + a_{kj}$



**Note:** No negative-weight cycles implies

$$\delta(i, j) = d_{ij}^{(m-1)} = d_{ij}^{(m)} = d_{ij}^{(m+1)} = \dots$$

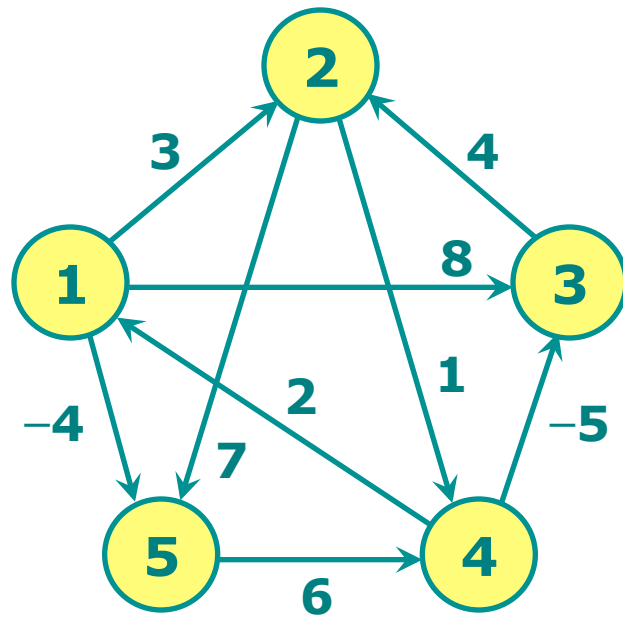
# All-pairs shortest paths example



$$D^{(1)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$$

$$\Pi^{(1)} = \begin{pmatrix} \emptyset & 1 & 1 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 2 & 2 \\ \emptyset & 3 & \emptyset & \emptyset & \emptyset \\ 4 & \emptyset & 4 & \emptyset & \emptyset \\ \emptyset & \emptyset & \emptyset & 5 & \emptyset \end{pmatrix}$$

# All-pairs shortest paths example



$$D^{(1)} = \begin{array}{c|cc|cc} & & & & & \\ \hline & 0 & 3 & 8 & \infty & -4 \\ \hline \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \\ \hline \end{array}$$

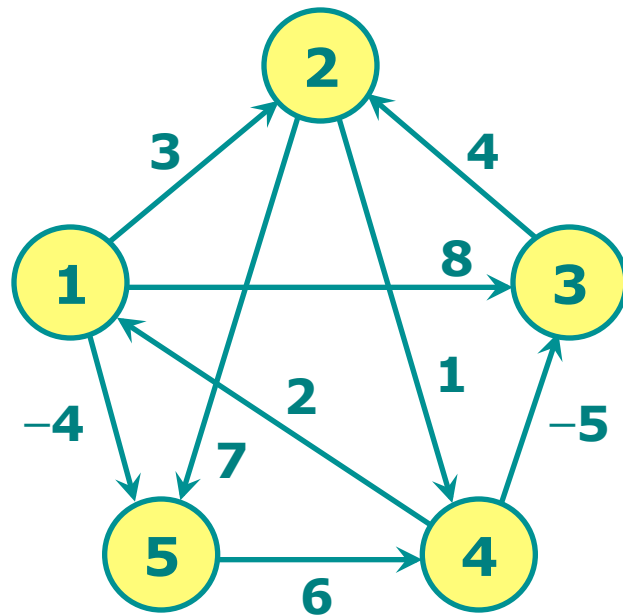
$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}$$

$$d_{14}^{(2)} = \min_k \{d_{1k}^{(1)} + a_{kj}\}.$$

$$d_{14}^{(2)} = \min \{(d_{11}^{(1)} + a_{14}), (d_{12}^{(1)} + a_{24}), (d_{13}^{(1)} + a_{34}), (d_{14}^{(1)} + a_{44}), (d_{15}^{(1)} + a_{54})\}.$$

$$d_{14}^{(2)} = \min \{(0 + \infty), (3 + 1), (8 + \infty), (\infty + 0), (-4 + 6)\} = 2$$

# All-pairs shortest paths example



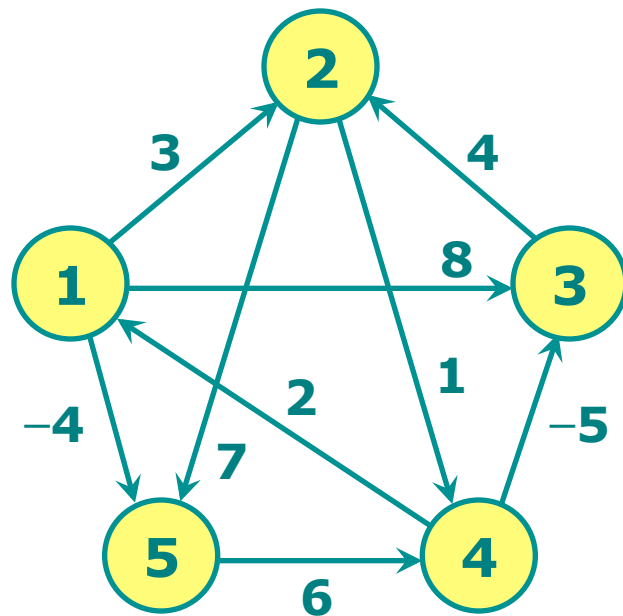
**Length 2**

$$D^{(2)} = \begin{pmatrix} 0 & 3 & 8 & 2 & -4 \\ 3 & 0 & -4 & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & \infty & 1 & 6 & 0 \end{pmatrix}$$

$$\Pi^{(2)} = \begin{pmatrix} \emptyset & 1 & 1 & 5 & 1 \\ 4 & \emptyset & 4 & 2 & 2 \\ \emptyset & 3 & \emptyset & 2 & 2 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & \emptyset & 4 & 5 & \emptyset \end{pmatrix}$$



# All-pairs shortest paths example

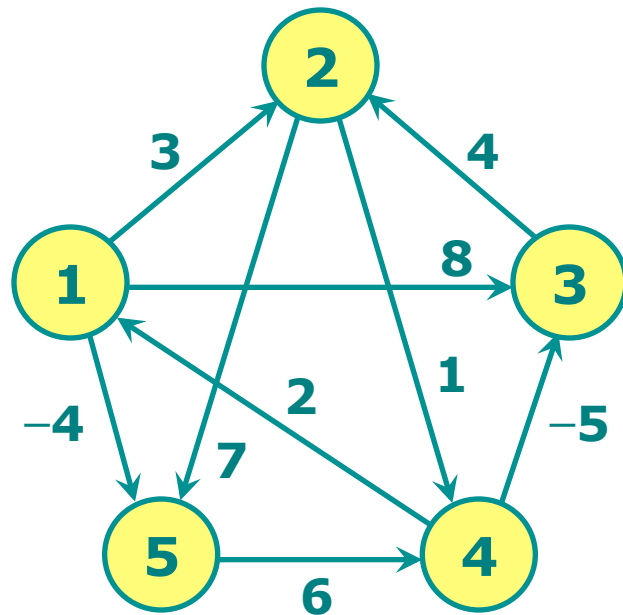


**Length 3**

$$D^{(3)} = \begin{pmatrix} 0 & 3 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$\Pi^{(3)} = \begin{pmatrix} \emptyset & 1 & 4 & 5 & 1 \\ 4 & \emptyset & 4 & 2 & 1 \\ 4 & 3 & \emptyset & 2 & 2 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 3 & 4 & 5 & \emptyset \end{pmatrix}$$

# All-pairs shortest paths example

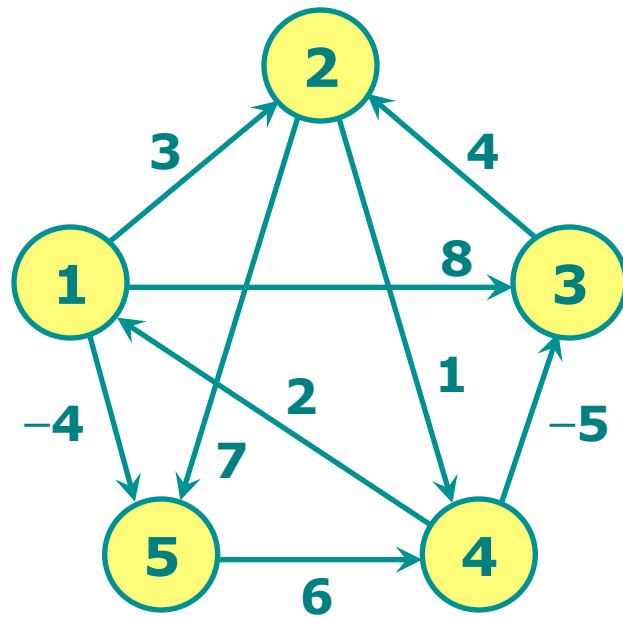


**Length 4**

$$D^{(4)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$\Pi^{(4)} = \begin{pmatrix} \emptyset & 3 & 4 & 5 & 1 \\ 4 & \emptyset & 4 & 2 & 1 \\ 4 & 3 & \emptyset & 2 & 1 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 3 & 4 & 5 & \emptyset \end{pmatrix}$$

# All-pairs shortest paths example

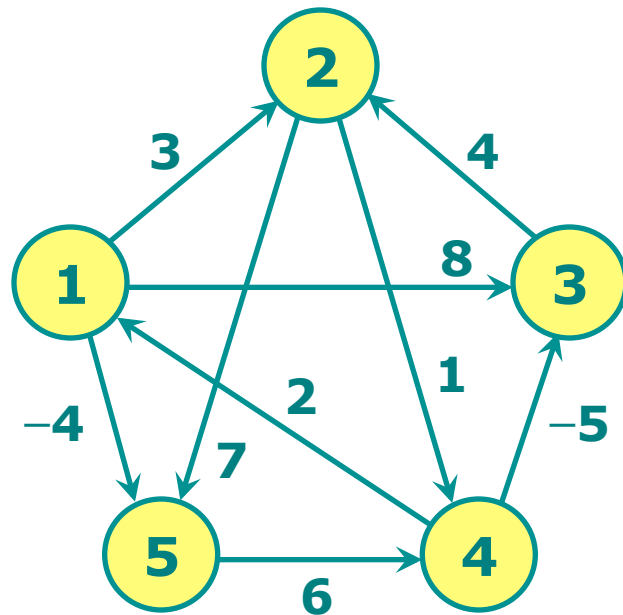


$$D^{(4)} = \begin{pmatrix} 0 & \textcircled{1} & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$\begin{aligned} \text{Path}_{12} &= 1 \rightarrow 5 \rightarrow 4 \rightarrow 3 \rightarrow 2 \\ d_{12}^{(4)} &= -4 + 6 + -5 + 4 \\ &= 1 \end{aligned}$$

$$\Pi^{(4)} = \begin{pmatrix} \emptyset & \textcircled{3} & \textcircled{4} & \textcircled{5} & \textcircled{1} \\ 4 & \emptyset & 4 & 2 & 1 \\ 4 & 3 & \emptyset & 2 & 1 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 3 & 4 & 5 & \emptyset \end{pmatrix}$$

# All-pairs shortest paths example



$$D^{(4)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & \textcircled{3} \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$Path_{35} = 3 \rightarrow 2 \rightarrow 4 \rightarrow 1 \rightarrow 5$$

$$\begin{aligned} d_{35}^{(4)} &= 4 + 1 + 2 + -4 \\ &= 3 \end{aligned}$$

$$\Pi^{(4)} =$$

$$\begin{pmatrix} \emptyset & 3 & 4 & 5 & 1 \\ 4 & \emptyset & 4 & 2 & 1 \\ \textcircled{4} & \textcircled{3} & \emptyset & \textcircled{2} & \textcircled{1} \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 3 & 4 & 5 & \emptyset \end{pmatrix}$$

# Matrix multiplication

---

All-pairs shortest paths for graph  $G = (V, E)$ .

- Dynamic programming: compute  $D^{(|V|-1)}$ .
- $d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}$ .

Observe that if we make the substitutions

$$D^{(m-1)} \rightarrow a,$$

$$D^{(0)} \rightarrow b,$$

$$D^{(m)} \rightarrow c,$$

$$\min \rightarrow +,$$

$$+ \rightarrow \cdot.$$

Problem change to compute  $C = A \cdot B$ , where  $C$ ,  $A$ , and  $B$  are  $n \times n$  matrices:

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

# Matrix multiplication

---

Consequently, we can compute

$$D^{(1)} = D^{(0)} \cdot D^{(0)}$$

$$D^{(2)} = D^{(1)} \cdot D^{(0)}$$

$$\vdots$$

$$D^{(n-1)} = D^{(n-2)} \cdot D^{(0)}$$

Yielding  $D^{(n-1)} = \delta(i, j)$ . Time =  $\Theta(n \cdot n^3) = (n^4)$ . No better than running Bellman-Ford once from each vertex.

# Powering a number

---

**Problem:** Compute  $a^n$ , where  $n \in \mathbb{N}$

**Naive algorithm:**  $\Theta(n)$ .

**Divide-and-conquer algorithm:**

$$a^n = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

$$T(n) = T(n/2) + \Theta(1) \Rightarrow T(n) = \Theta(\lg n)$$

# Improved matrix multiplication

---

Repeated squaring:  $D^{(2k)} = D^{(k)} \cdot D^{(k)}$ .

Compute  $D^{(2)}, D^{(4)}, \dots, D^{2^{\lceil \lg n - 1 \rceil}}$ .

$O(\lg n)$  squarings

**Note:**  $D^{(n-1)} = D^{(n)} = D^{(n+1)} = \dots$ .

Time =  $\Theta(n^3 \lg n)$ .

To detect *negative-weight cycles*, check the diagonal for negative values in  $O(n)$  additional time.



# Floyd-Warshall algorithm

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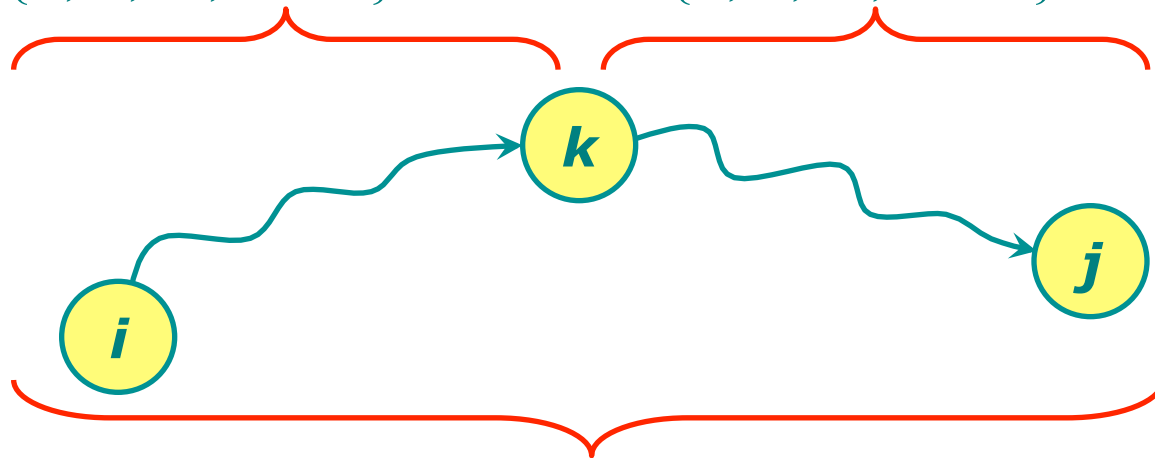
*Also dynamic programming, but faster!*

Define  $d_{ij}^{(k)}$  = weight of a shortest path from  $i$  to  $j$   
with *intermediate* vertices belonging  
to the set  $\{1, 2, \dots, k\}$ .

Thus,  $\delta(i, j) = d_{ij}^{(n)}$ . Also,  $d_{ij}^{(0)} = w_{ij}$ .

# Floyd-Warshall algorithm

all intermediate vertices in  $\{1, 2, \dots, k-1\}$       all intermediate vertices in  $\{1, 2, \dots, k-1\}$

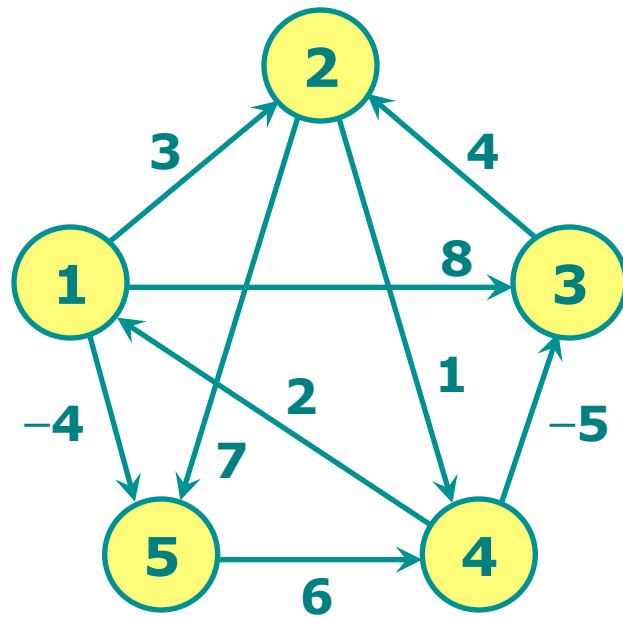


$p$ : all intermediate vertices in  $\{1, 2, \dots, k\}$

$$d_{ij}^{(k)} = \begin{cases} w_{ij} & \text{if } k = 0, \\ \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)}) & \text{if } k \geq 1. \end{cases}$$

$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}.$$

# Example of Floyd-Warshall algorithm

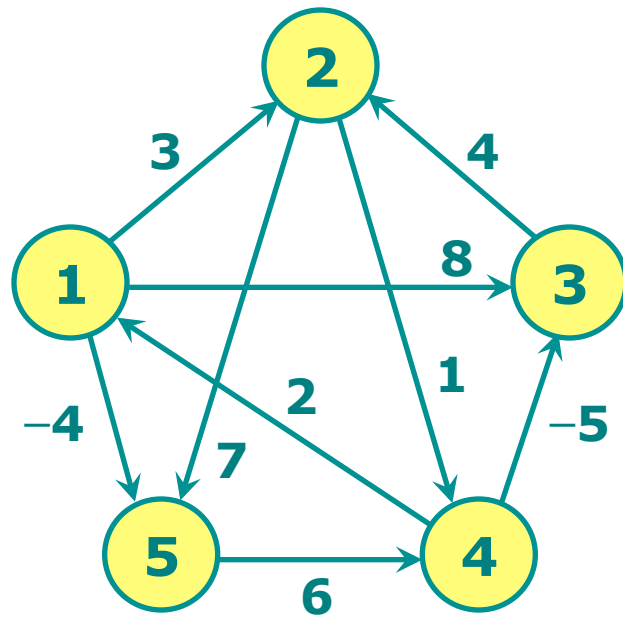


**Initialization**

$$D^{(0)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$$

$$\Pi^{(0)} = \begin{pmatrix} \emptyset & 1 & 1 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 2 & 2 \\ \emptyset & 3 & \emptyset & \emptyset & \emptyset \\ 4 & \emptyset & 4 & \emptyset & \emptyset \\ \emptyset & \emptyset & \emptyset & 5 & \emptyset \end{pmatrix}$$

# Example of Floyd-Warshall algorithm

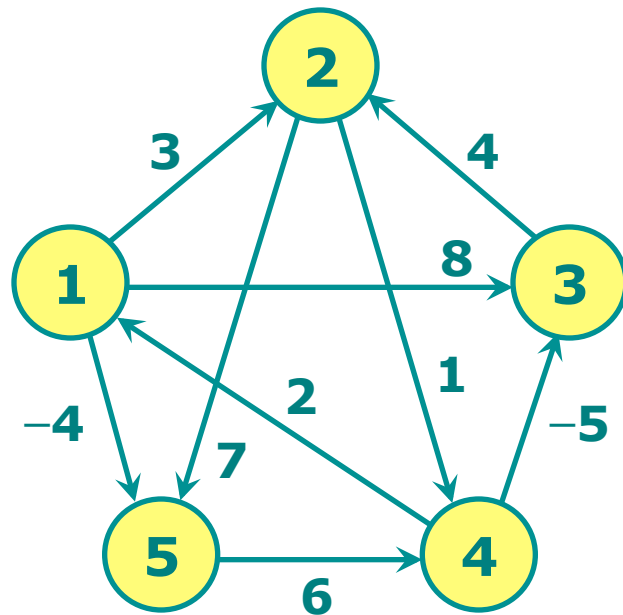


**Node 1**

$$D^{(1)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$$

$$\Pi^{(1)} = \begin{pmatrix} \emptyset & 1 & 1 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 2 & 2 \\ \emptyset & 3 & \emptyset & \emptyset & \emptyset \\ 4 & 1 & 4 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 5 & \emptyset \end{pmatrix}$$

# Example of Floyd-Warshall algorithm

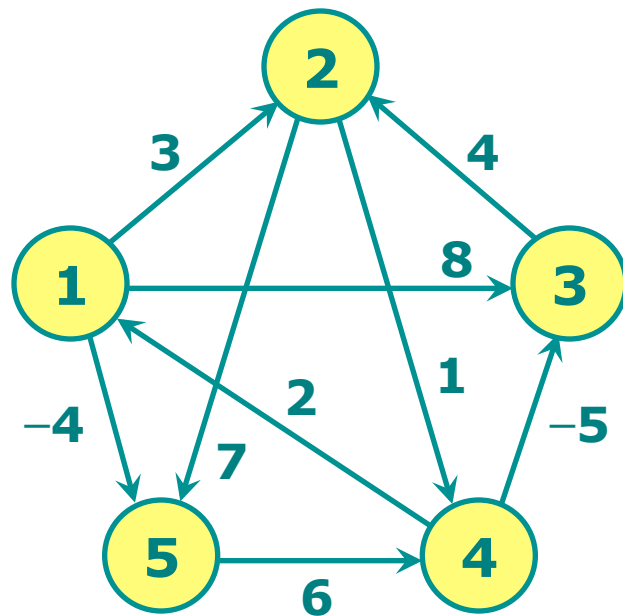


**Node 2**

$$D^{(2)} = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & \textcircled{11} \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$$

$$\Pi^{(2)} = \begin{pmatrix} \emptyset & 1 & 1 & 2 & 1 \\ \emptyset & \emptyset & \emptyset & 2 & 2 \\ \emptyset & 3 & \emptyset & 2 & \textcircled{2} \\ 4 & 1 & 4 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 5 & \emptyset \end{pmatrix}$$

# Example of Floyd-Warshall algorithm

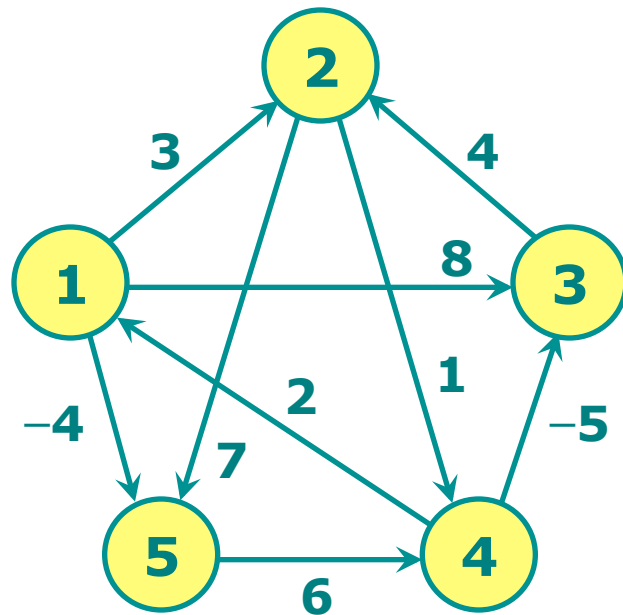


**Node 3**

$$D^{(3)} = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$$

$$\Pi^{(3)} = \begin{pmatrix} \emptyset & 1 & 1 & 2 & 1 \\ \emptyset & \emptyset & \emptyset & 2 & 2 \\ \emptyset & 3 & \emptyset & 2 & 2 \\ 4 & 3 & 4 & \emptyset & 1 \\ \emptyset & \emptyset & \emptyset & 5 & \emptyset \end{pmatrix}$$

# Example of Floyd-Warshall algorithm



**Node 4**

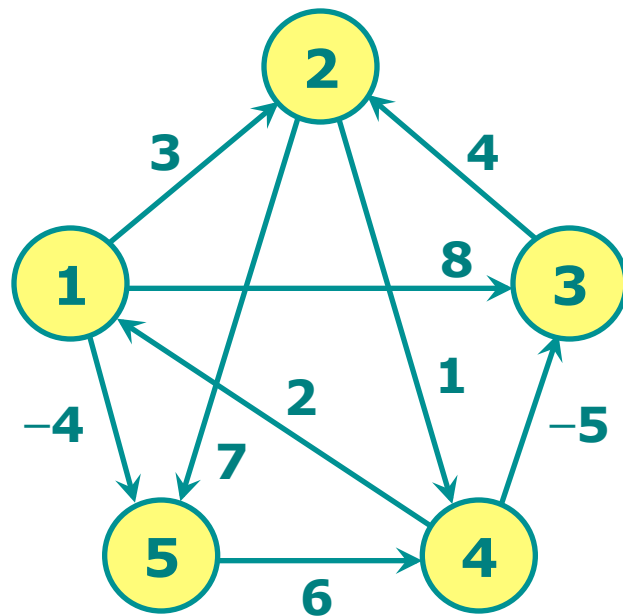
$$Path_{13} = 1 \rightarrow 2 \rightarrow 4 \rightarrow 3$$

$$d_{13}^{(4)} = 3 + 1 + -5 = -1$$

$$D^{(4)} = \begin{pmatrix} 0 & 3 & -1 & 4 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$\Pi^{(4)} = \begin{pmatrix} \emptyset & 1 & 4 & 2 & 1 \\ 4 & \emptyset & 4 & 2 & 4 \\ 4 & 3 & \emptyset & 2 & 4 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 4 & 4 & 5 & \emptyset \end{pmatrix}$$

# Example of Floyd-Warshall algorithm



**Node 5**

$$D^{(5)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

$$\Pi^{(5)} = \begin{pmatrix} \emptyset & 5 & 4 & 5 & 1 \\ 4 & \emptyset & 4 & 2 & 4 \\ 4 & 3 & \emptyset & 2 & 4 \\ 4 & 3 & 4 & \emptyset & 1 \\ 4 & 4 & 4 & 5 & \emptyset \end{pmatrix}$$



# Floyd-Warshall algorithm

---

## **FLOYD-WARSHALL**( $W$ )

1.  $n \leftarrow \text{rows}[W]$
2.  $d_0 \leftarrow W$
3. **for**  $k \leftarrow 1$  **to**  $n$
4.     **do for**  $i \leftarrow 1$  **to**  $n$
5.         **do for**  $j \leftarrow 1$  **to**  $n$
6.             **do**  $d_{ij}^{(k)} \leftarrow \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$
7.     **return**  $D^{(n)}$

*Running time is  $\Phi(n^3)$*

# Graph reweighting

---

Is it any possible to change all *negative-weight* edges to *nonnegative*?

Then, we can use *Dijkstra's algorithm* to compute the shortest path for all edges.

Graph reweighting properties.

- For all pairs of vertices  $u, v \in V$ , a path  $p$  is a shortest path from  $u$  to  $v$  using weight function  $w$  if and only if  $p$  is also a shortest path from  $u$  to  $v$  using weight function  $\hat{w}$  after reweighting.
- For all edges  $(u, v)$ , the new weight  $\hat{w}(u, v)$  is nonnegative.

# Graph reweighting

## Theorem.

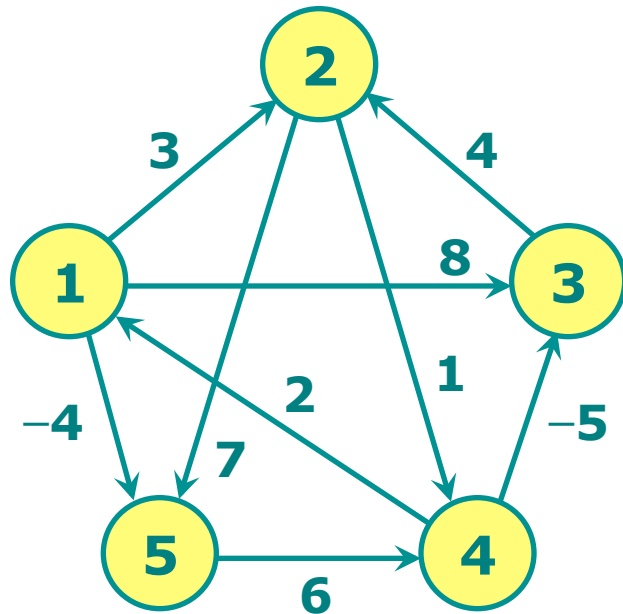
Given a function  $h: V \rightarrow \mathbb{R}$ , reweight each edge  $(u, v) \in E$  by  $\hat{w}(u, v) = w(u, v) + h(u) - h(v)$ . Then, for any two vertices, all paths between them are reweighted by the same amount.

**Proof.** Let  $p = v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$  be a path in  $G$ . We have

$$\begin{aligned}\hat{w}(p) &= \sum_{i=1}^k \hat{w}(v_{i-1}, v_i) \\ &= \sum_{i=1}^k (w(v_{i-1}, v_i) + h(v_{i-1}) - h(v_i)) \\ &= \sum_{i=1}^k w(v_{i-1}, v_i) + h(v_0) - h(v_k) \quad \text{Same amount!} \\ &= w(p) + h(v_0) - h(v_k) \quad \square\end{aligned}$$

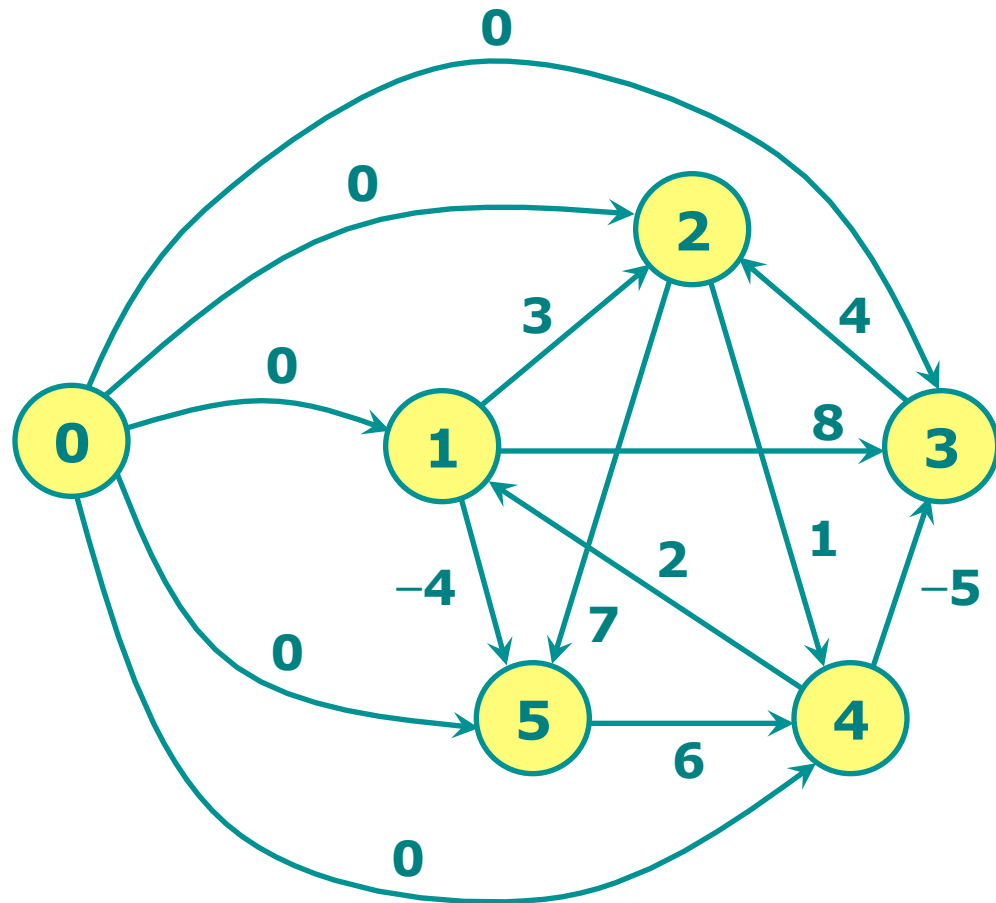
# Graph reweighting

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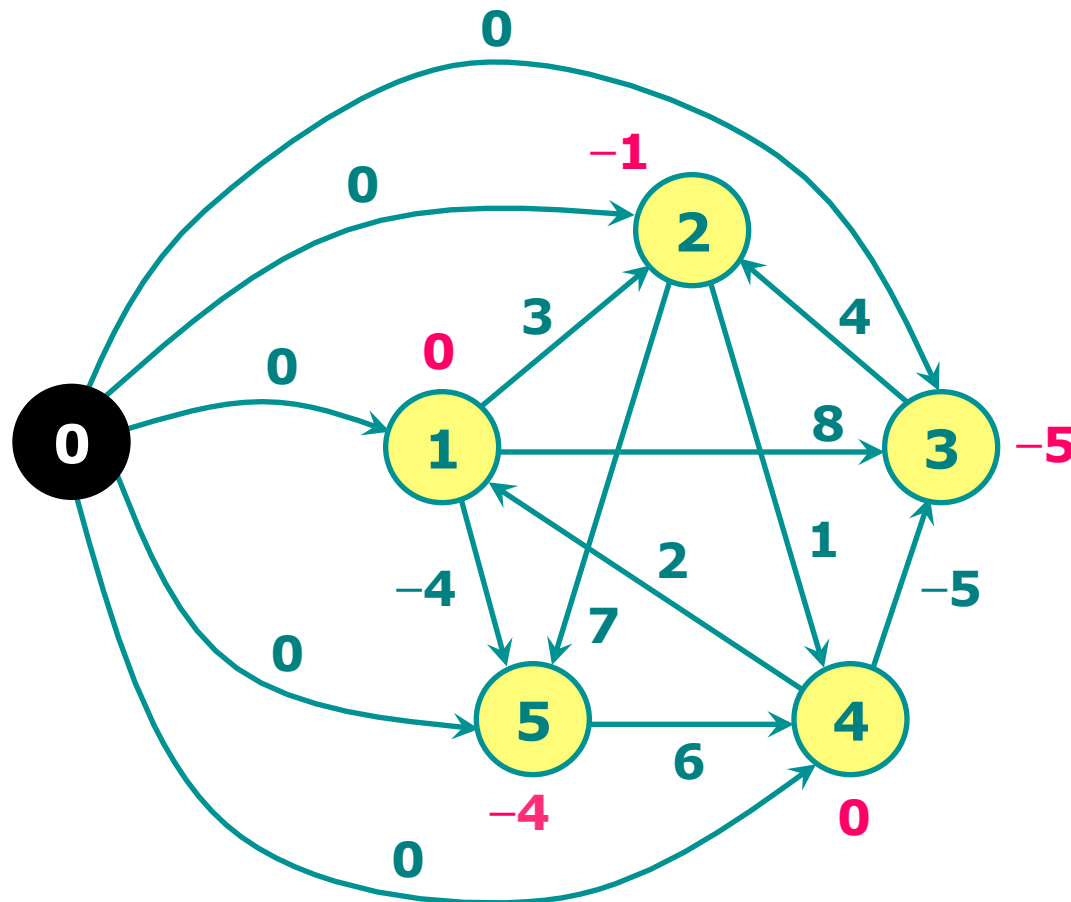
# Graph reweighting

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*Run Bellman-Ford algorithm for vertex  $v_0$*

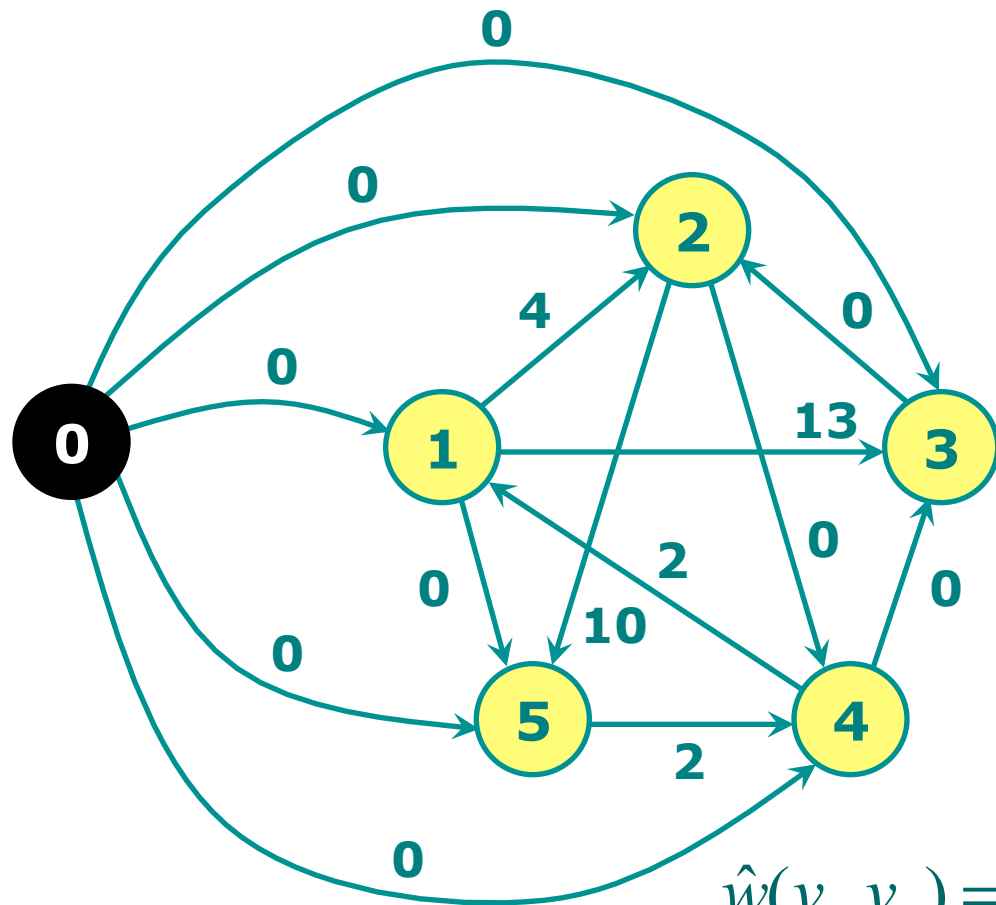
# Graph reweighting



$$h(v_k) = \delta(v_0, v_k)$$

*Run Bellman-Ford algorithm for vertex  $v_0$*

# Graph reweighting



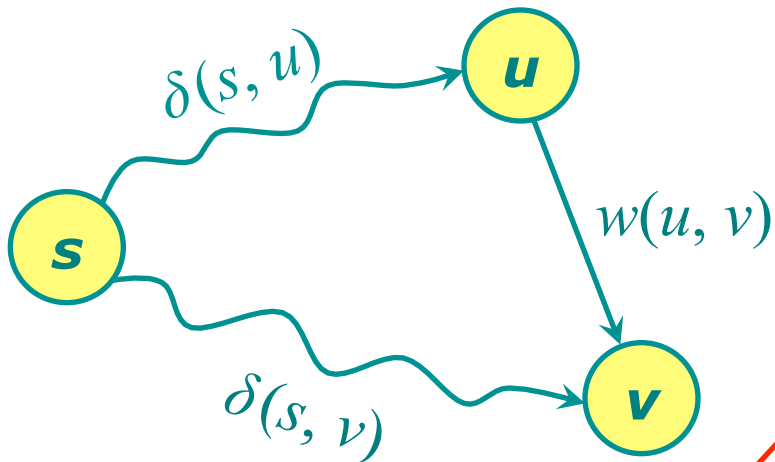
$$h(v_k) = \delta(v_0, v_k)$$

$$\hat{w}(v_i, v_j) = w(v_i, v_j) + h(v_i) - h(v_j)$$

*Run Dijkstra's algorithm for each  $v_k$*

# Graph reweighting

Why is  $\hat{w}(u, v)$  nonnegative?



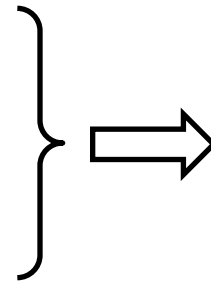
*Triangle inequality*

$$\delta(s, v) \leq \delta(s, u) + w(u, v)$$

$$w(u, v) + \delta(s, u) - \delta(s, v) \geq 0$$

$$h(v) = \delta(s, v), h(u) = \delta(s, u)$$

$$\hat{w}(u, v) = w(u, v) + h(u) - h(v) \geq 0$$





# Johnson's algorithm

---

## JOHNSON( $G$ )

1. Compute  $G'$ , where  $V[G'] = V[G] \cup \{s\}$ ,  
 $E[G'] = E[G] \cup \{(s, v) : v \in V[G]\}$ , and  
 $w(s, v) = 0$  for all  $v \in V[G]$
2. **if** BELLMAN-FORD( $G', w, s$ ) = FALSE
3.     **then** print "the input graph contains a negative-weight cycle"
4.     **else for** each vertex  $v \in V[G']$
5.         **do** set  $h(v)$  to the value of  $\delta(s, v)$
6.     **for** each edge  $(u, v) \in E[G']$
7.         **do**  $\hat{w}(u, v) \leftarrow w(u, v) + h(u) - h(v)$
8.     **for** each vertex  $u \in V[G]$
9.         **do** run DIJKSTRA( $G, \hat{w}, u$ ) to compute  $\hat{\delta}(u, v)$   
for all  $v \in V[G]$

# Johnson's algorithm

---

10.       **for** each vertex  $v \in V[G]$
11.           **do**  $d_{uv} \leftarrow \hat{\delta}(u, v) + h(v) - h(u)$
12.       **return**  $D$

# Analysis of Johnson's algorithm

---

1. Run Bellman-Ford to solve the difference constraints  $h(v) - h(u) \leq w(u, v)$ , or determine that a negative-weight cycle exists.
    - Time =  $O(VE)$ .
  2. Run Dijkstra's algorithm for each vertex  $u \in V[G]$ .
    - Time =  $O(VE \lg V)$ .
  3. For each  $(u, v) \in E[G]$ , compute  $\delta(u, v)$ .
    - Time =  $O(E)$ .
- 

Total time =  $O(VE \lg V)$ .

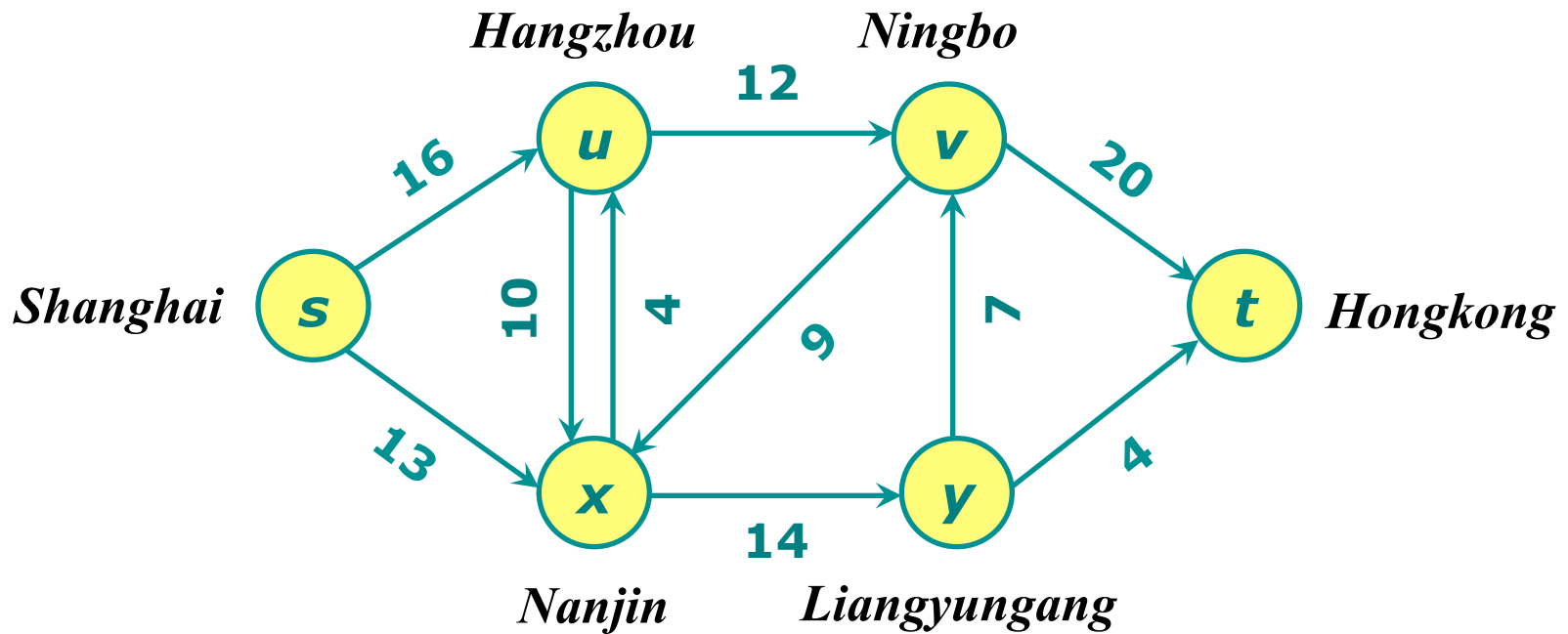
Johnson's algorithm is particularly suitable for sparse graph.

# All-pairs shortest-paths algorithms

Algorithms	Time	Data structure
Brute-force (run Bellman-Ford once from each vertex)	$O(V^2E)$	Adjacency-list or adjacency-matrix
Dynamic programming	$O(V^4)$	Adjacency-matrix only
Improved dynamic Programming	$O(V^3 \lg V)$	Adjacency-matrix only
Floyd-Warshall algorithm	$O(V^3)$	Adjacency-matrix only
Johnson algorithm	$O(VE \lg V)$	Adjacency-list or adjacency-matrix

# Flow networks

---



# Flow networks

---

## Definition.

A *flow network* is a directed graph  $G = (V, E)$  with two distinguished vertices: a *source*  $s$  and a *sink*  $t$ . Each edge  $(u, v) \in E$  has a nonnegative *capacity*  $c(u, v)$ . If  $(u, v) \notin E$ , then  $c(u, v) = 0$ .

# Flow networks

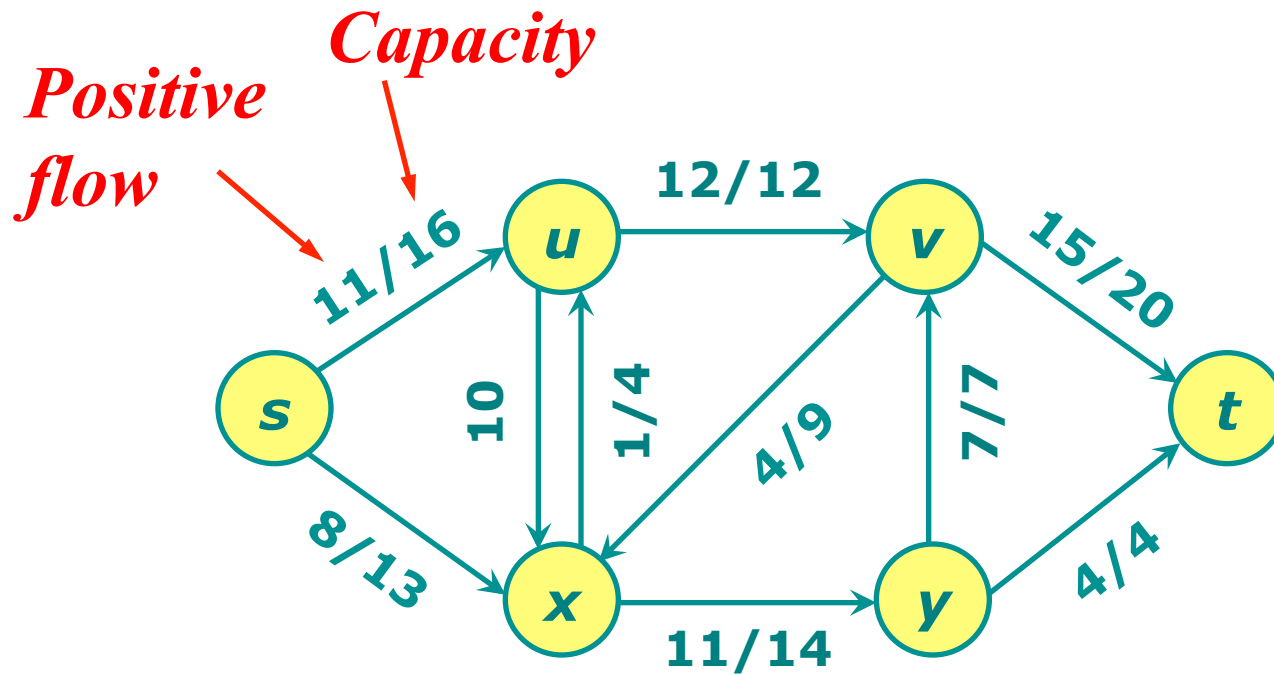
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## Definition.

A *positive flow* on  $G$  is a function  $f: V \times V \rightarrow \mathbb{R}$  satisfying the following:

- *Capacity constraint*: For all  $u, v \in V$ , we require  $0 \leq f(u, v) \leq c(u, v)$ .
- *Skew symmetry*: For all  $u, v \in V$ , we require  $f(u, v) = -f(v, u)$
- *Flow conservation*: For all  $u \in V - \{s, t\}$ , we require 
$$\sum_{v \in V} f(u, v) = 0$$

# A flow on a network



***Flow conservation:***

- Flow into  $x$  is  $8 + 4 = 12$ .
- Flow out of  $x$  is  $1 + 11 = 12$ .

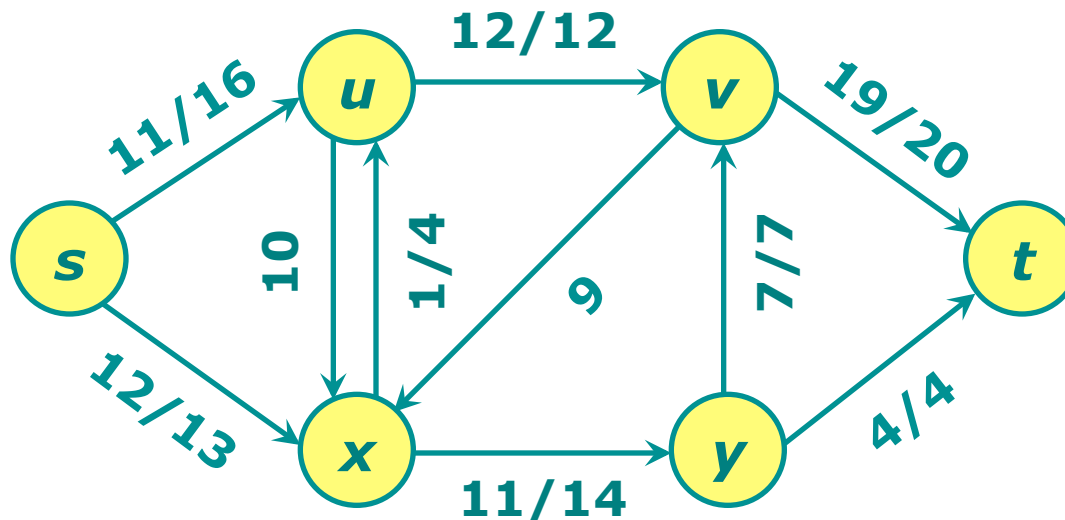
The ***value*** of this flow is  $11 + 8 = 19$ .



# Maximum-flow problem

## Maximum-flow problem.

Given a flow network  $G$ , find a flow of maximum value on  $G$ .



The *maximum flow* is 23.

# Cuts

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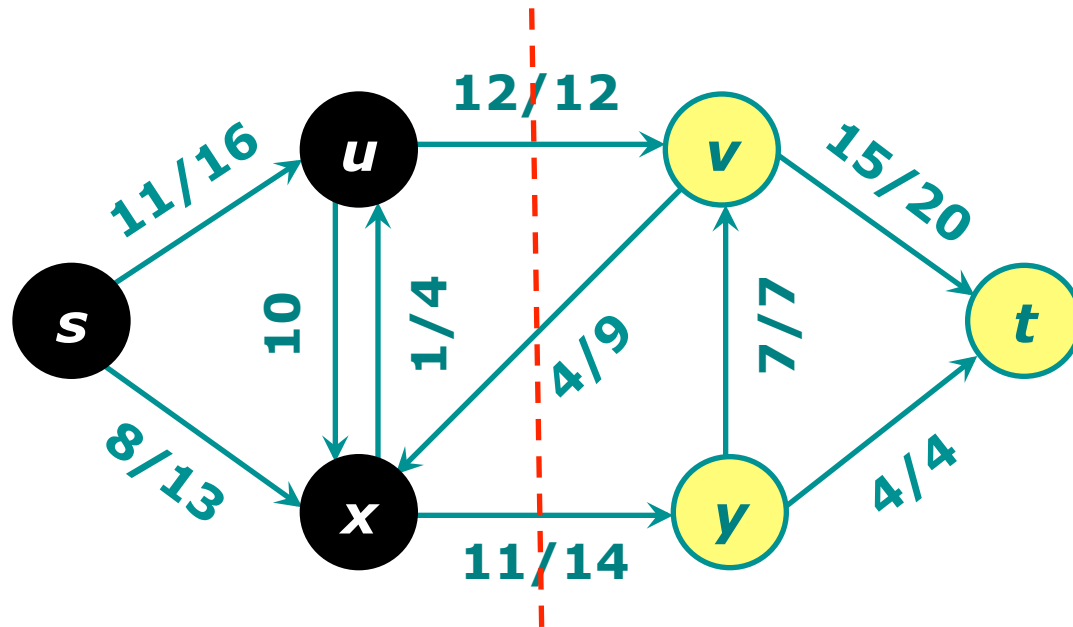
## **Definition.**

A **cut**  $(S, T)$  of a flow network  $G = (V, E)$  is a partition of  $V$  such that  $s \in S$  and  $t \in T$ . If  $f$  is a flow on  $G$ , then the **flow across the cut** is  $f(S, T)$ .

**Maximum flow** in a network is bounded by the capacity of **minimum cut** of the network.

*Why?*

# Cuts of flow networks



The net flow across this cut is

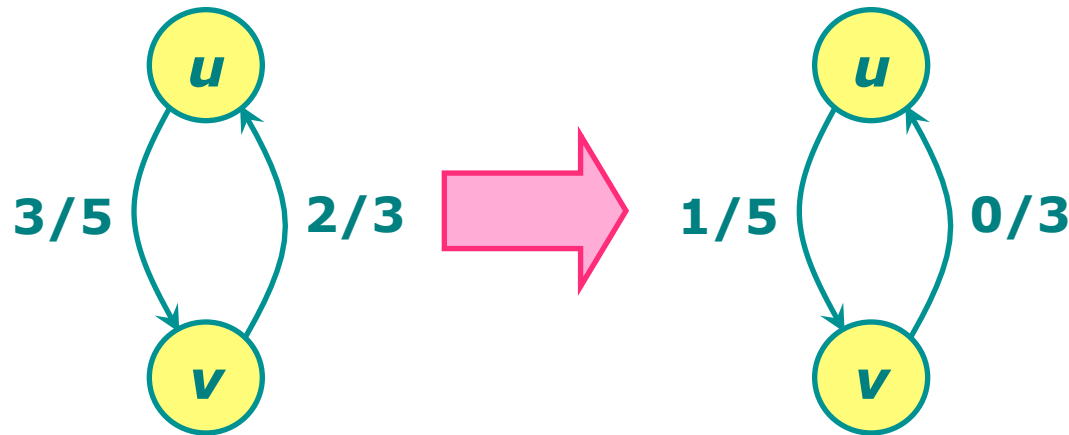
$$f(u, v) + f(x, v) + f(x, y) = 12 + (-4) + 11 = 19.$$

and its capacity is

$$c(u, v) + c(x, y) = 12 + 14 = 26.$$

# Flow cancellation

Without loss of generality, positive flow goes either from  $u$  to  $v$ , or from  $v$  to  $u$ , but not both.



*Net flow*  
from  $u$  to  $v$   
in both  
cases is 1.

The capacity constraint and flow conservation are preserved by this transformation.

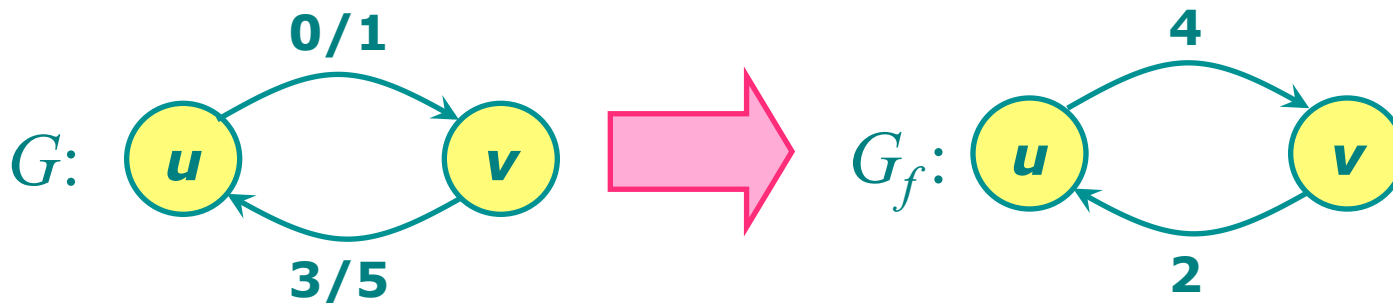
# Residual network

## Definition.

Let  $f$  be a flow on  $G = (V, E)$ . The **residual network**  $G_f(V, E_f)$  is the graph with strictly positive **residual capacities**

$$c_f(u, v) = c(u, v) - f(u, v) > 0.$$

Edges in  $E_f$  admit more flow.



# Augmenting paths

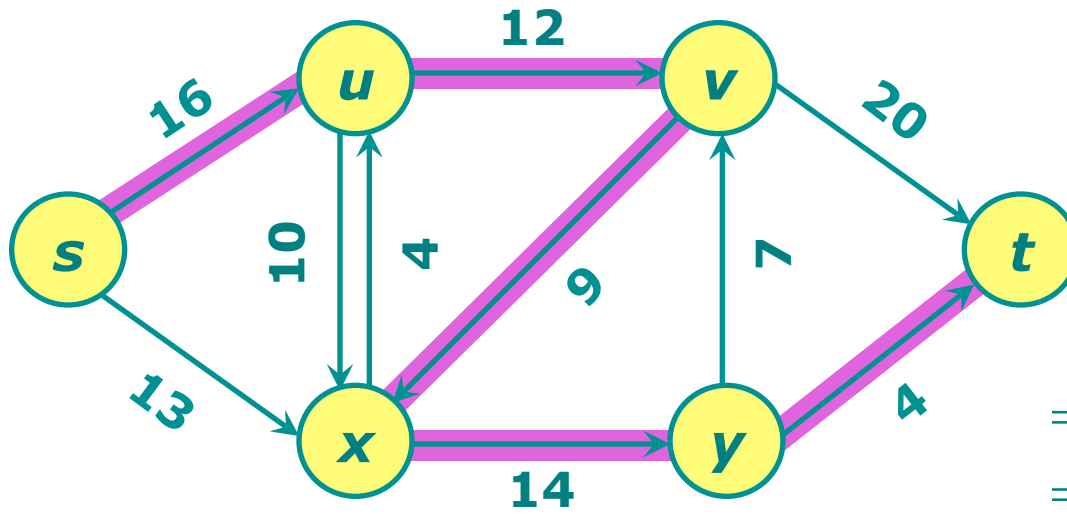
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## **Definition.**

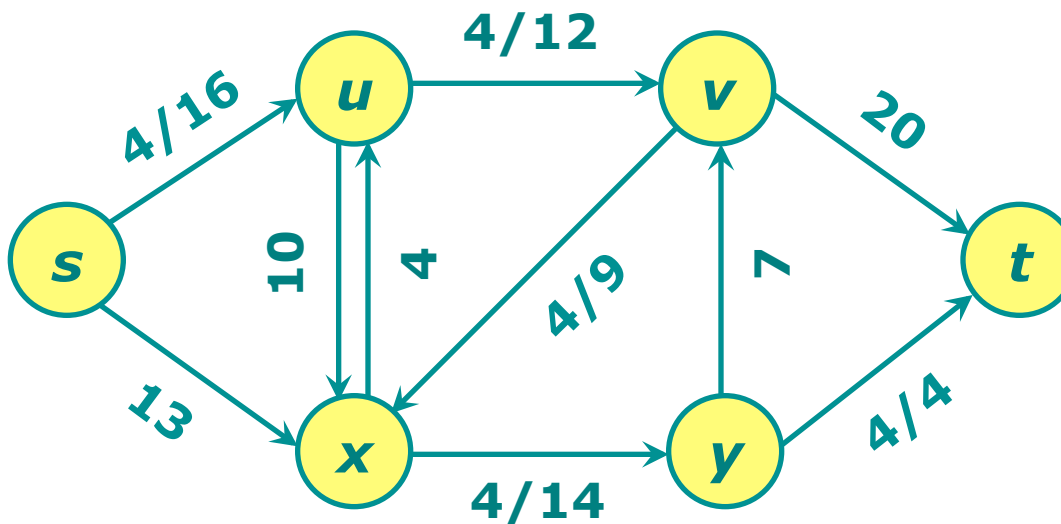
Any path from  $s$  to  $t$  in  $G_f$  is an augmenting path in  $G$  with respect to  $f$ . The flow value can be increased along an *augmenting path*  $p$  by

$$c_f(p) = \min_{(u,v \in p)} \{c_f(u,v)\}$$

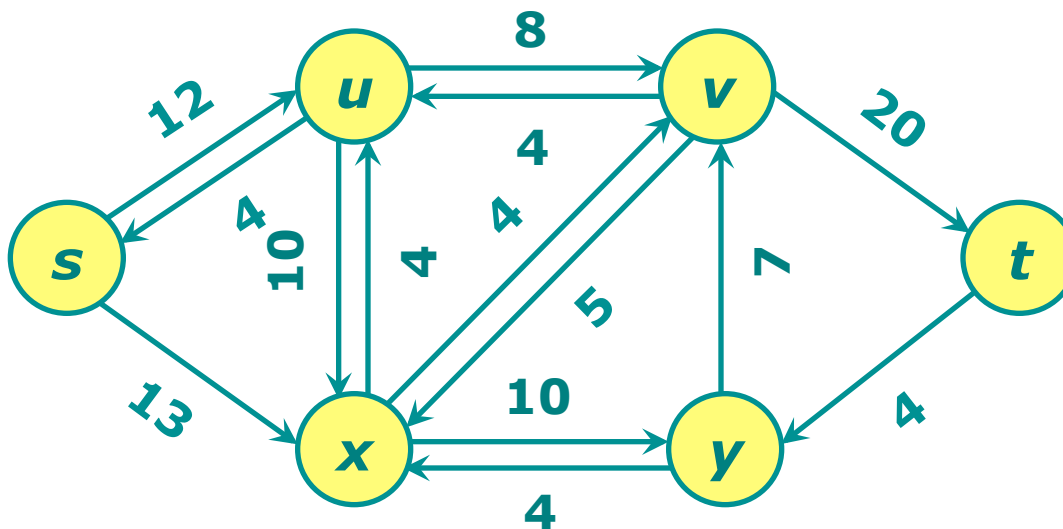
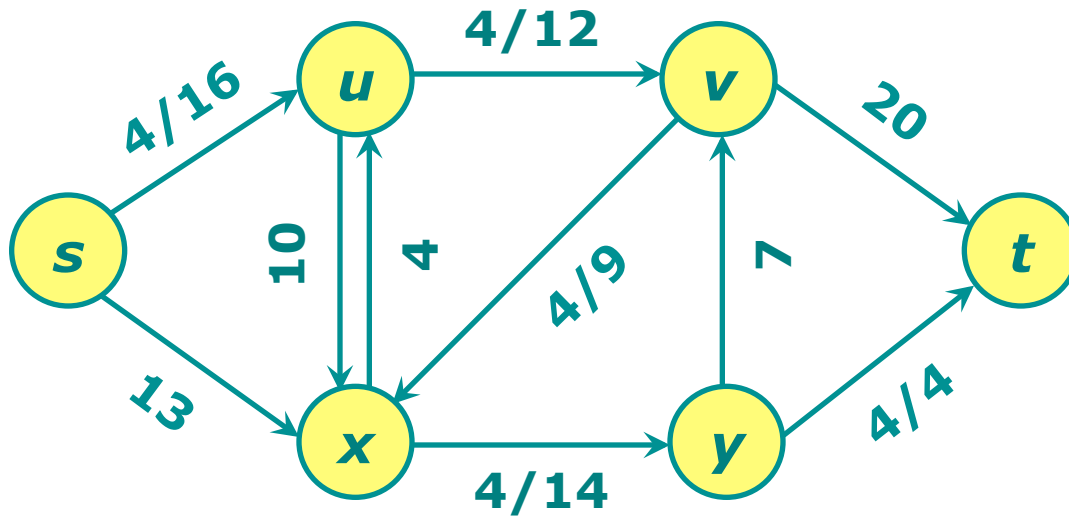
# Example of maximum-flow problem



$$\begin{aligned} c_f(p) &= \min(16, 12, 9, 14, 4) \\ &= 4 \end{aligned}$$

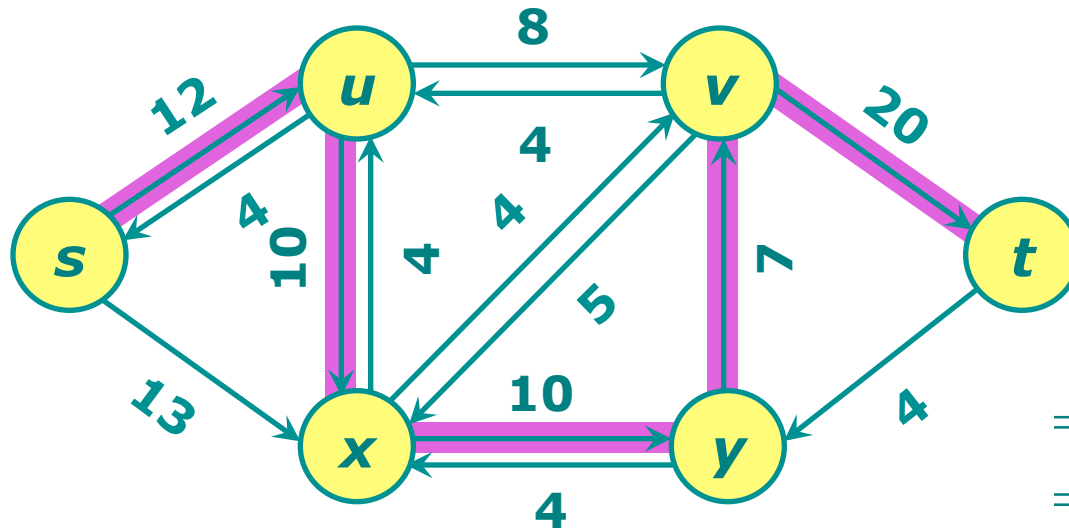


# Example of maximum-flow problem





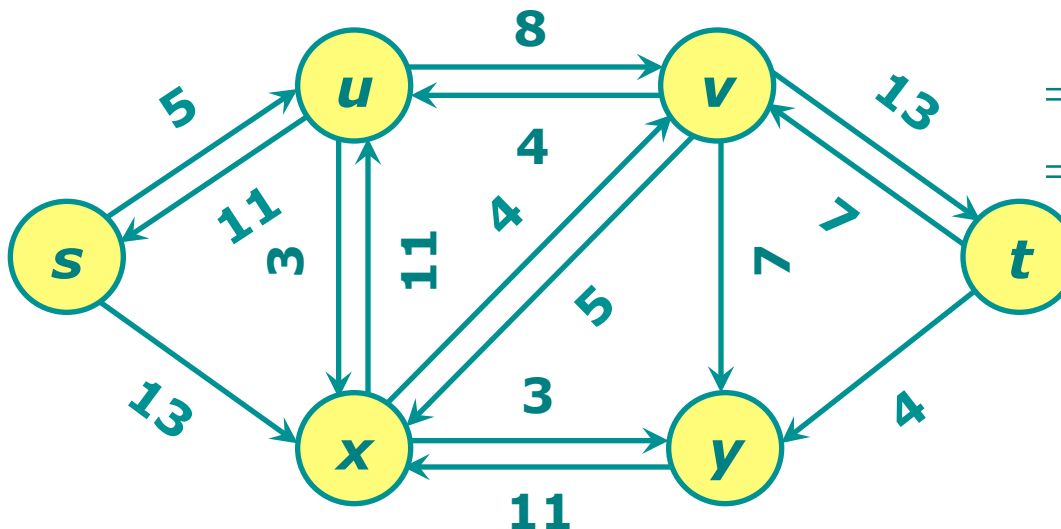
# Example of maximum-flow problem



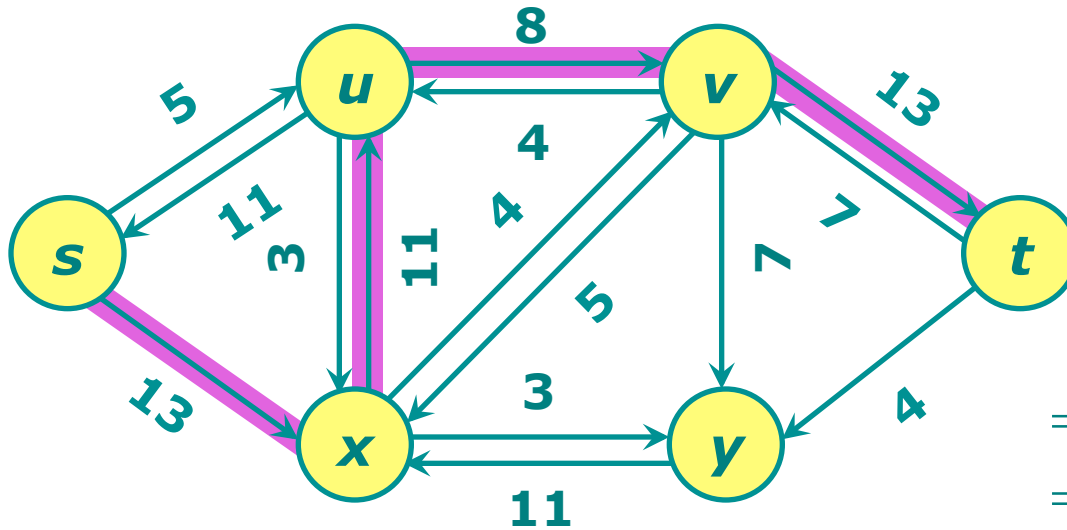
$$c_f(p) = \min(12, 10, 10, 7, 20) = 7$$

Total

$$= 4 + 7 = 11$$



# Example of maximum-flow problem

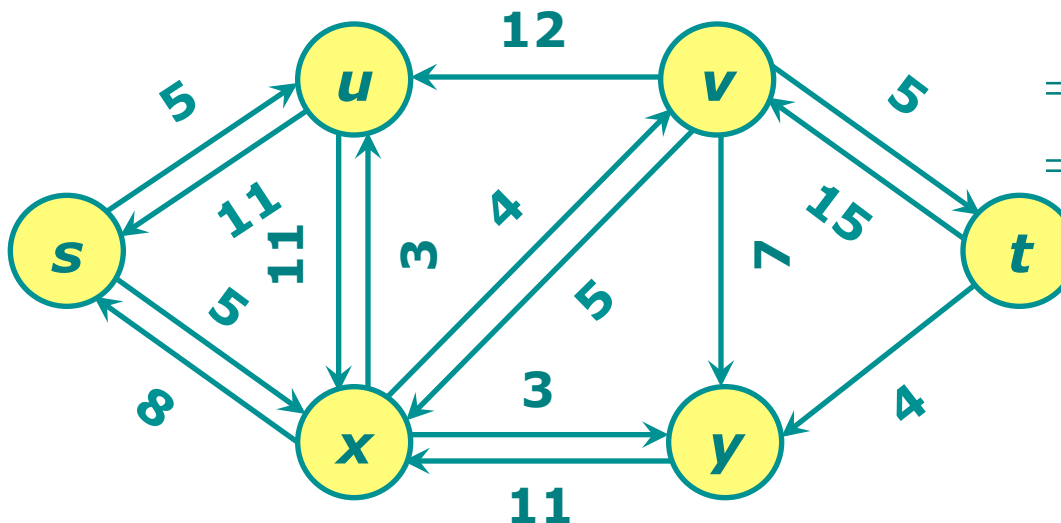


$$c_f(p) = \min(13, 11, 8, 13) = 8$$

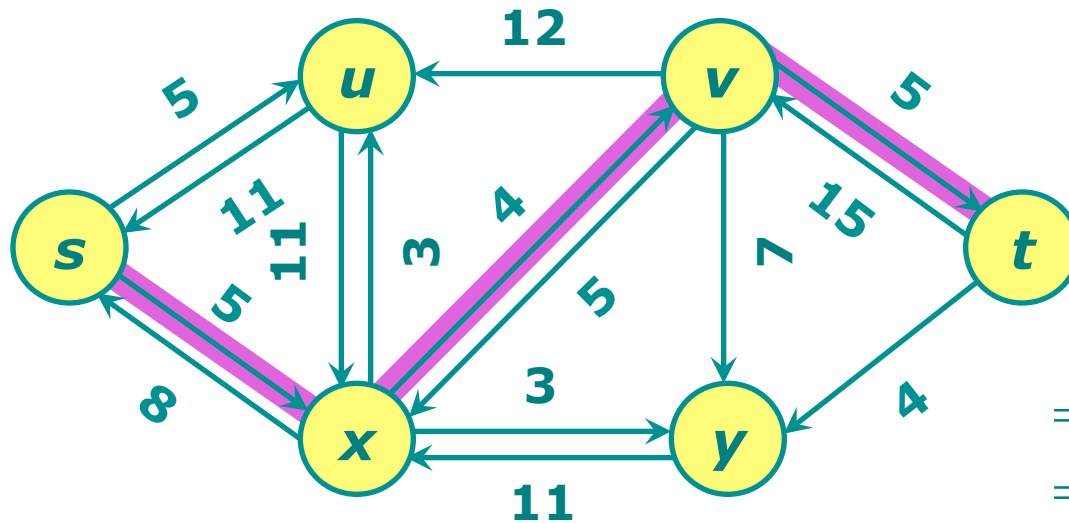
Total

$$= 4 + 7 + 8$$

$$= 19$$



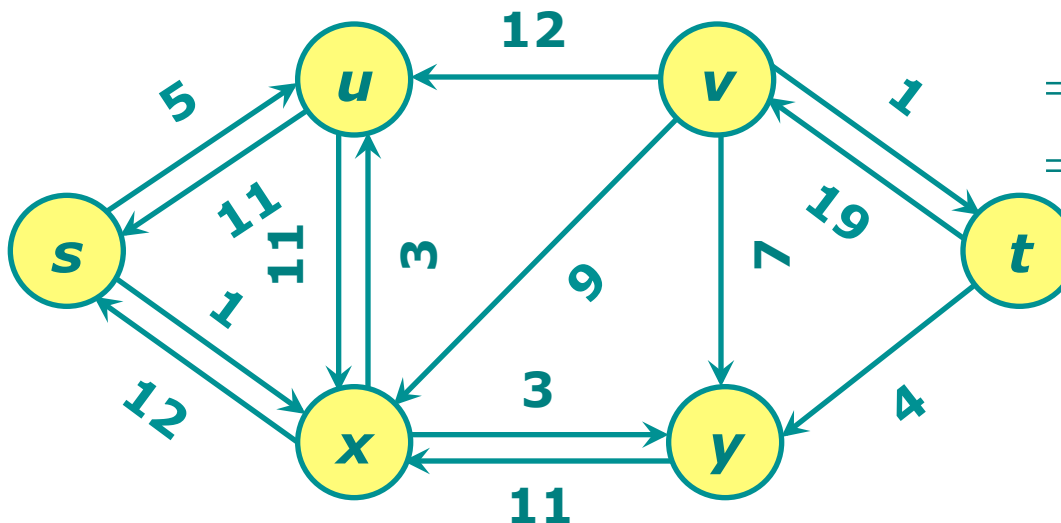
# Example of maximum-flow problem



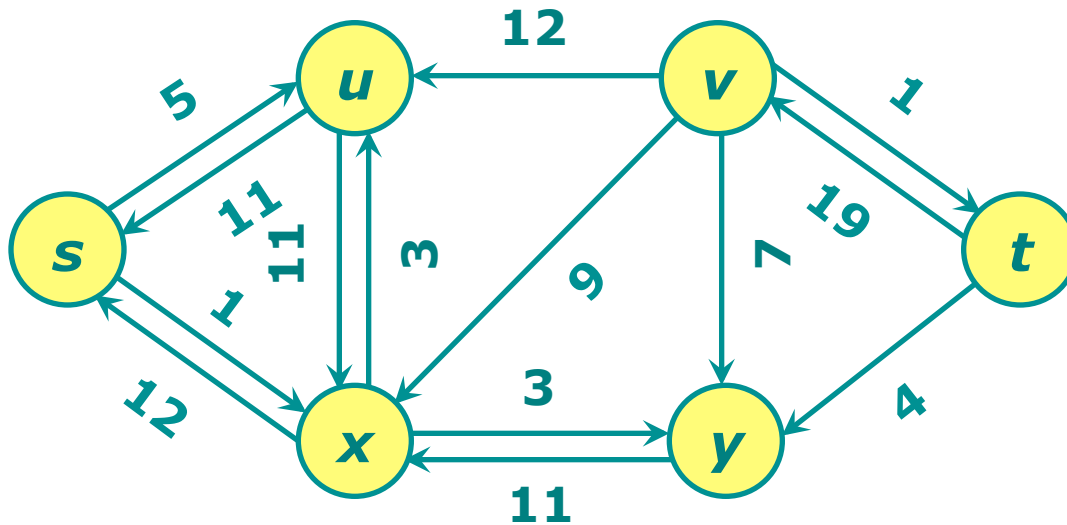
$$\begin{aligned} c_f(p) &= \min(5, 4, 5) \\ &= 4 \end{aligned}$$

Total

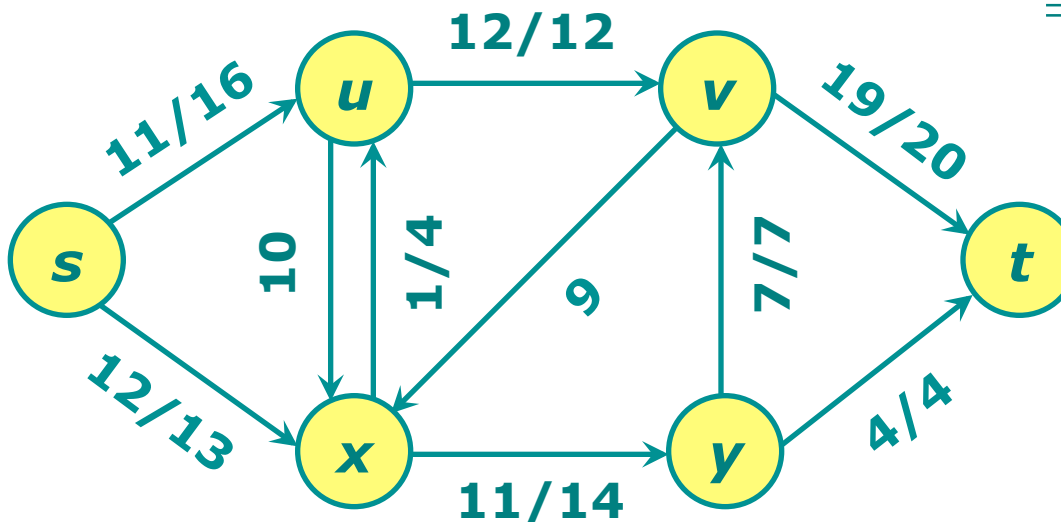
$$\begin{aligned} &= 4 + 7 + 8 + 4 \\ &= 23 \end{aligned}$$



# Example of maximum-flow problem



The maximum flow  
= 23



# Ford-Fulkerson algorithm

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**FORD-FULKERSON**( $G, s, t$ )

1. **for** each edge  $(u, v) \in E[G]$
2.     **do**  $f[u, v] \leftarrow 0$
3.      $f[v, u] \leftarrow 0$
4.     **while** there exists a path  $p$  from  $s$  to  $t$  in the residual network  $G_f$
5.         **do**  $c_f(p) \leftarrow \min\{c_f(u, v): (u, v) \text{ is in } p\}$
6.         **for** each edge  $(u, v)$  in  $p$
7.             **do**  $f[u, v] \leftarrow f[u, v] + c_f(p)$
8.              $f[v, u] \leftarrow -f[v, u]$

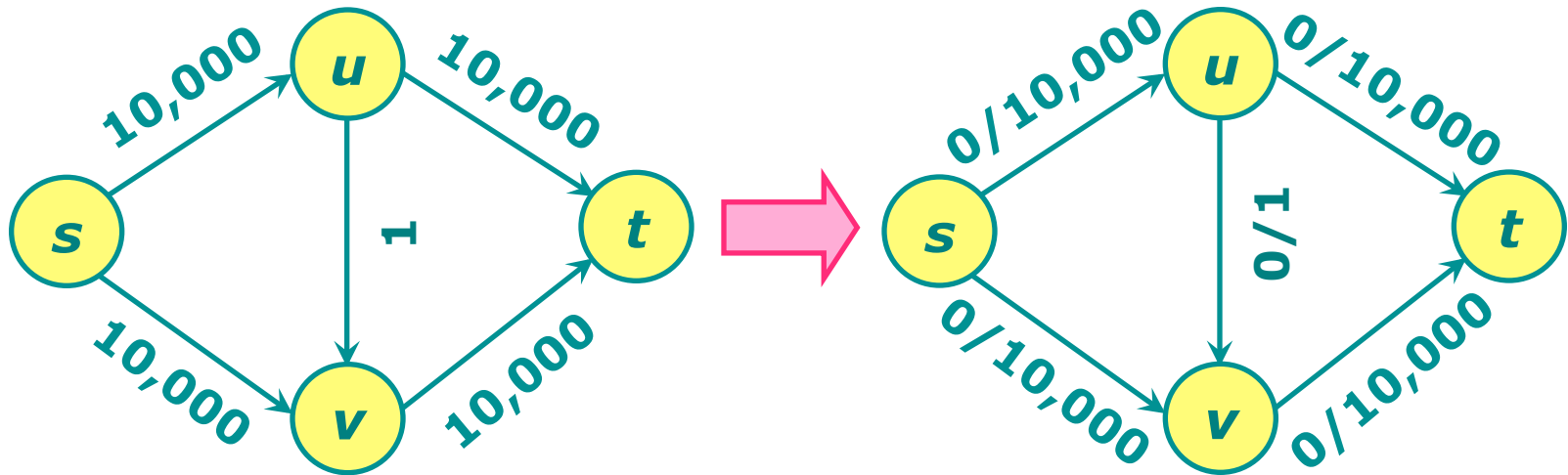
# Analysis of Ford-Fulkerson algorithm

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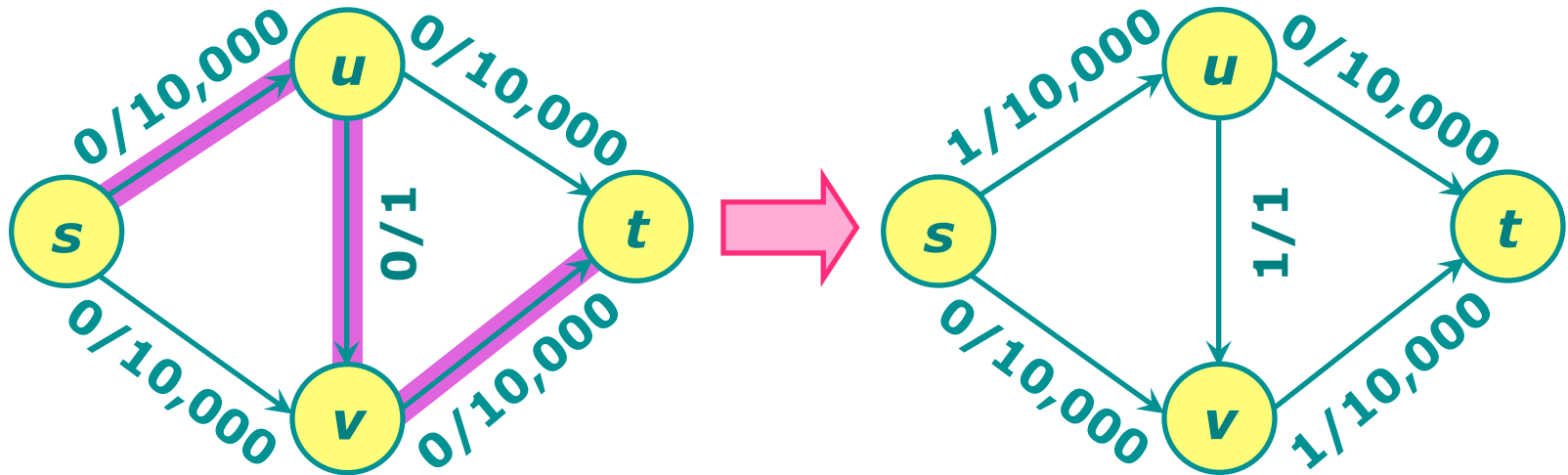
- Find a path in a residual network is  $O(V + E)$  if we use either depth-first search or breadth-first search.
- $f^*$  denote the maximum flow found by the algorithm.
- Running time of Ford-Fulkerson algorithm is  $O(E|f^*|)$ .

# Ford-Fulkerson max-flow algorithm

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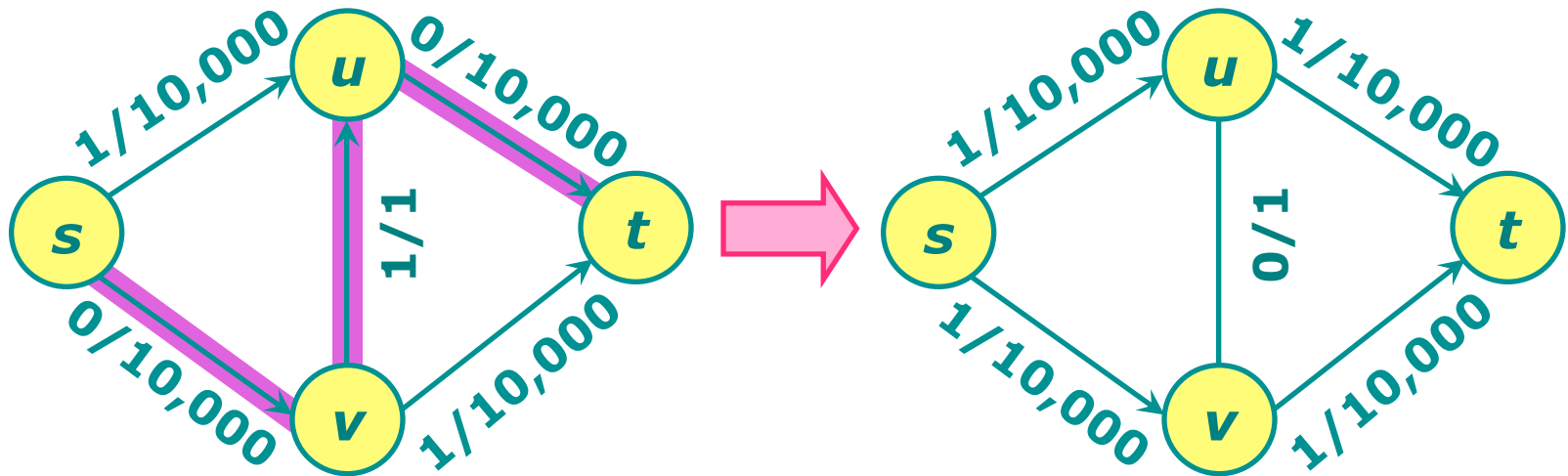
# Ford-Fulkerson max-flow algorithm





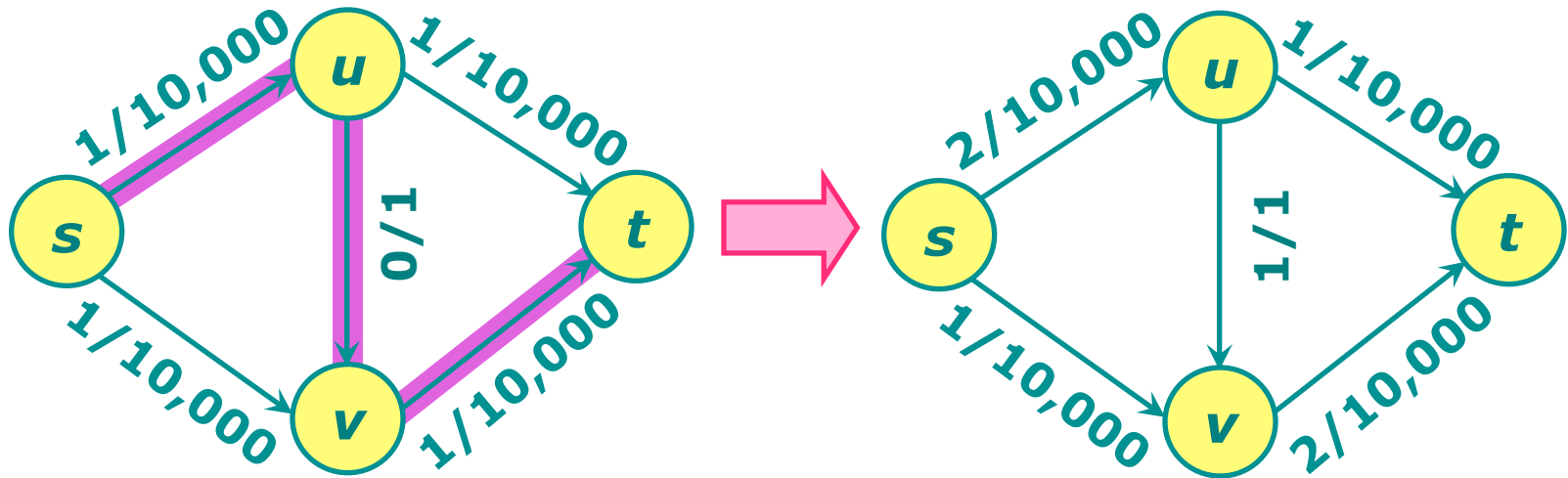
# Ford-Fulkerson max-flow algorithm

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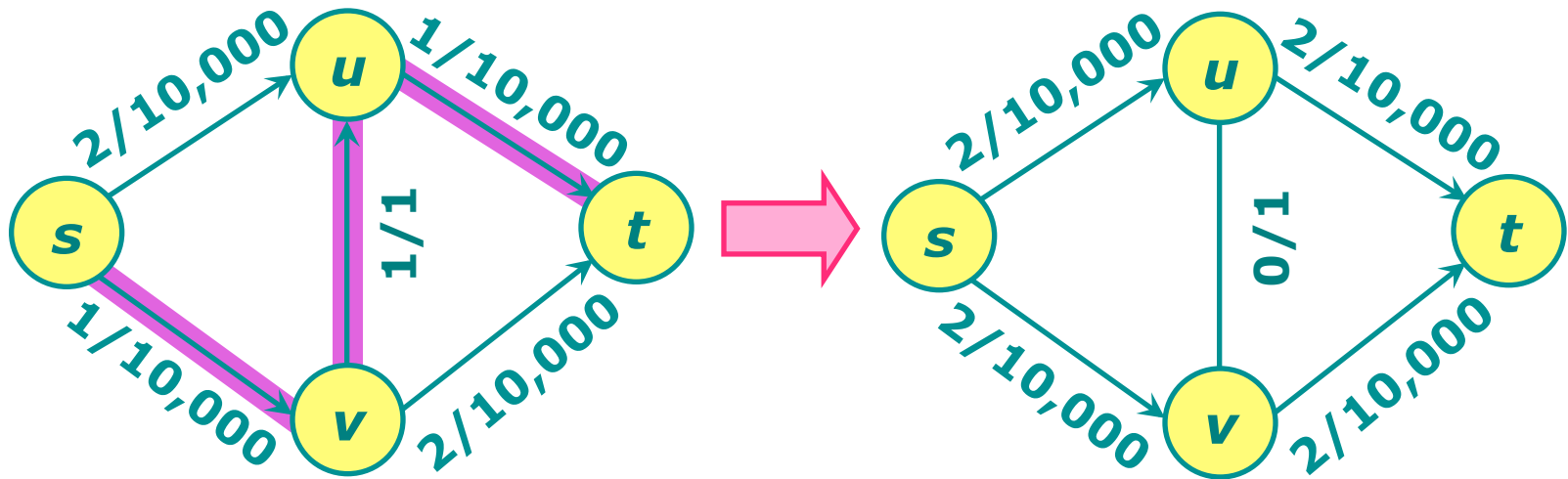
# Ford-Fulkerson max-flow algorithm

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# Ford-Fulkerson max-flow algorithm

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*Running time is 10,000.*

# Edmonds-Karp algorithm

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Edmonds and Karp noticed that many people's implementations of Ford-Fulkerson augment along a *breadth-first augmenting path*: a shortest path in  $G_f$  from  $s$  to  $t$  where each edge has weight 1. These implementations would always run relatively fast.

Since a breadth-first augmenting path can be found in  $O(V + E)$  time, their analysis, which provided the first polynomial-time bound on maximum flow, focuses on bounding the number of flow augmentations.

Edmonds-Karp algorithm's running time is  $O(VE^2)$ .

# Single-source shortest-paths algorithms

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Algorithms	Unweighted	Positive	Negative	Cycle	Time
Breadth-first search					
Dag Shortest Paths					
Dijkstra					
Bellman-Ford					

# Single-source shortest-paths algorithms

Algorithms	Unweighted	Positive	Negative	Cycle	Time
Breadth-first search	○	×	×	○	$O(V + E)$
Dag Shortest Paths	○	○	○	×	$O(V + E)$
Dijkstra	○	○	×	○	$O(E \lg V)$
Bellman-Ford	○	○	○	○	$O(VE)$

# All-pairs shortest-paths algorithms

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Algorithms	Time	Data structure
Brute-force (run Bellman-Ford once from each vertex)		
Dynamic programming		
Improved dynamic Programming		
Floyd-Warshall algorithm		
Johnson algorithm		

# All-pairs shortest-paths algorithms

Algorithms	Time	Data structure
Brute-force (run Bellman-Ford once from each vertex)	$O(V^2E)$	Adjacency-list or adjacency-matrix
Dynamic programming	$O(V^4)$	Adjacency-matrix only
Improved dynamic Programming	$O(V^3 \lg V)$	Adjacency-matrix only
Floyd-Warshall algorithm	$O(V^3)$	Adjacency-matrix only
Johnson algorithm	$O(VE \lg V)$	Adjacency-list or adjacency-matrix



*Any question?*



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