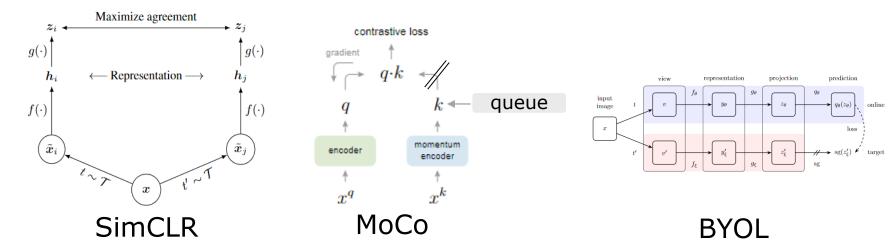
## **Photogrammetry & Robotics Lab**

Machine Learning for Robotics and Computer Vision

**Beyond CNNs** 

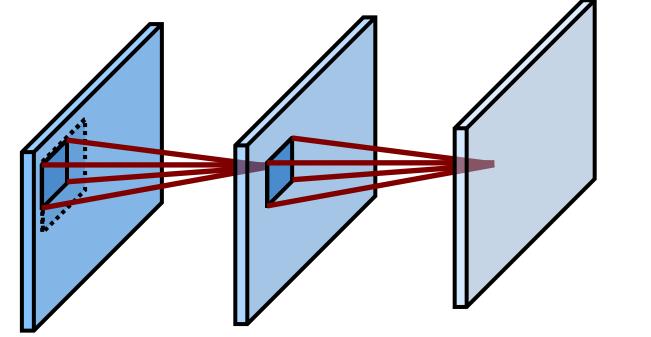
**Jens Behley** 

### **Last Lecture**



- Labeling large amounts of data is expensive
- Discussed two paradigms to overcome lack of data:
  - Supervised pretraining on large existing datasets and finetuning of last layers on target dataset
  - Self-supervised pretraining on target dataset
- Discussed different state-of-the-art strategies:
   SimCLR, MoCo, and BYOL

### **Convolution Neural Networks**

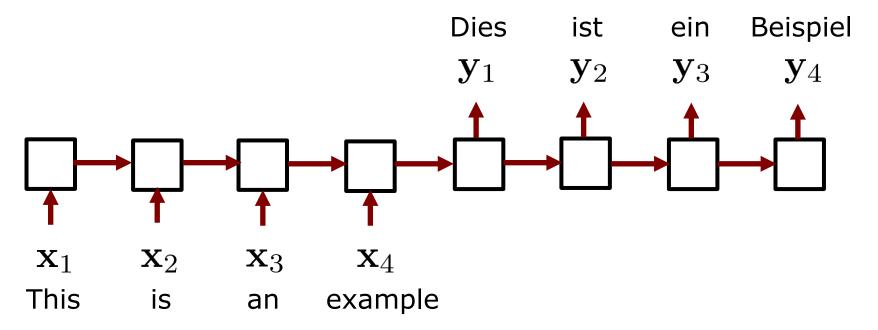


- Until now: Convolutions as main building block
- Inductive bias → spatial neighborhood of pixels and translation equivariance
- Deep architectures enable to have large receptive fields (long range dependencies)
- Are convolutions the only way to solve vision tasks?

#### **Transformer in NLP**

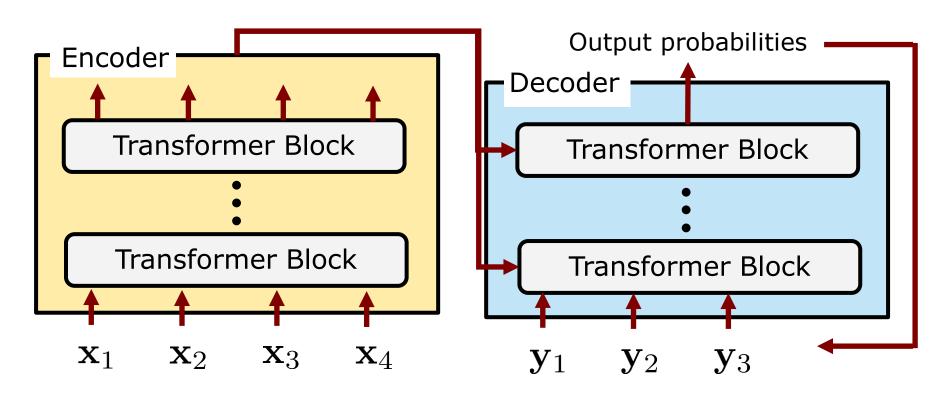
- Since 2017, Transformer are the method of choice for Natural Language Processing (NLP) tasks
- Transformer architecture radically changed the way NLP is performed
- Very recently, Transformer were applied to a range of vision tasks with state-of-the-art performance
- Important: No convolutions involved!

#### NLP before 2017



- NLP was all about recurrent neural networks (RNN)
   → Long-term Short-Term Memory (LSTM)
- Sequence models with a memory
   → Problem: memory needs to capture all information from before
- Showed especially limitations for long sequences

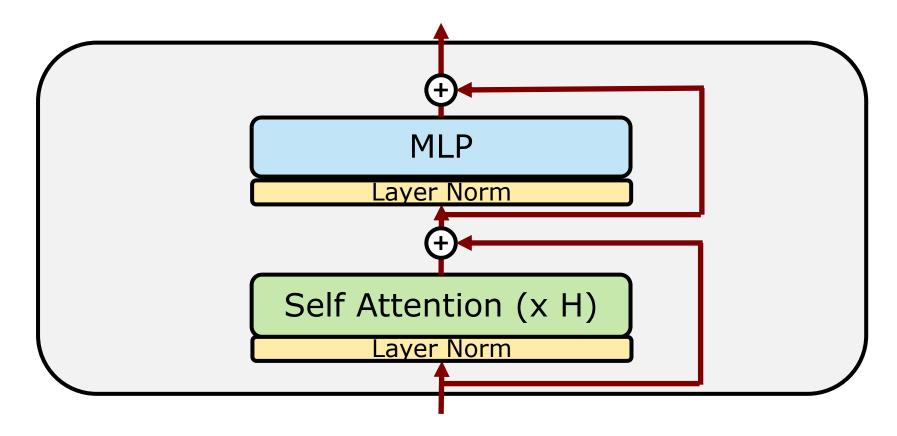
#### **Transformer for Translation**



- Now: whole sequence of tokens  $\mathbf{x} \in \mathbb{R}^D$  as input
- For machine translation: produce token at a time and use previous output tokens as input to decoder
- Details see [Vaswani, 2017]

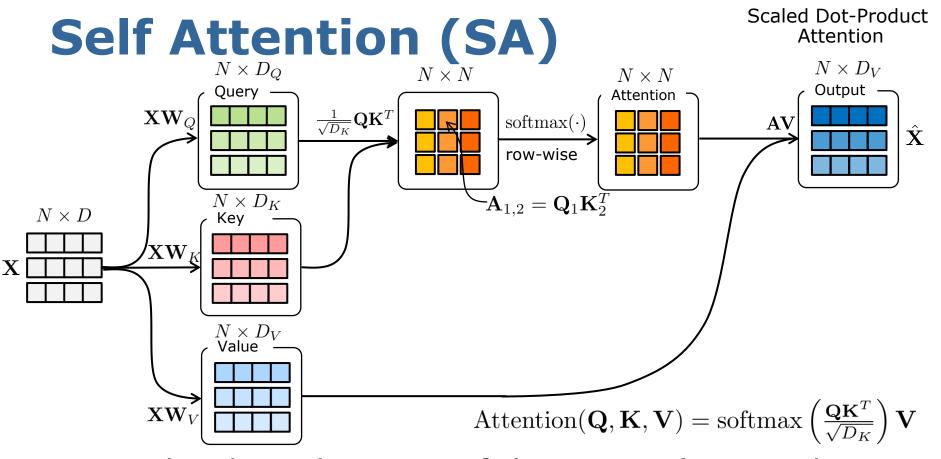
[Vaswani, 2017]

#### **Transformer Block**



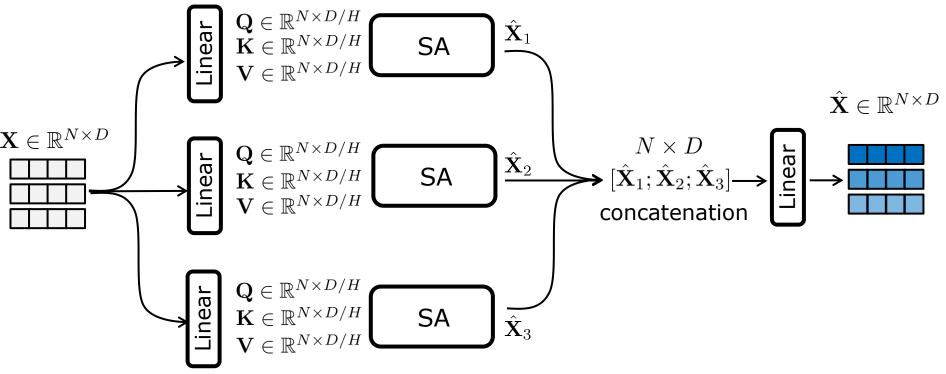
- Each block consists of attention module and fullyconnected layers with non-linearity (MLP)
- Skip-connections

[Vaswani, 2017]



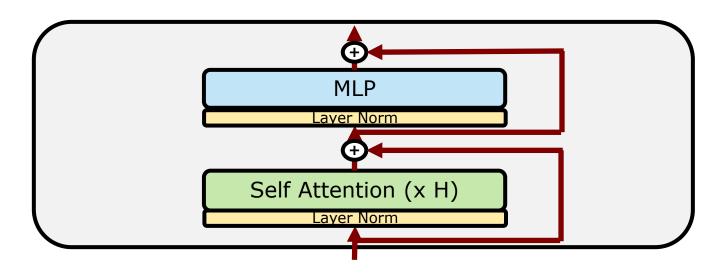
- Weighted combination of the inputs (= complete sequence!)
- Enables to adapt compute on-the-fly depending on similarity between query and key
- Projections learn similarity function [Vaswani, 2017]

### **Multi-Head Attention**



- Use multiple self attention blocks in parallel
   → multi-head attention (#heads = H)
- Use D/H as dimension of projections to keep compute independent of H
- Each SDA defines different attention pattern (similar to convolutional kernel)

# **Multi Layer Perceptron**



 Fully-connected layers are applied to each of the N feature vectors of the N feature vectors:

$$MLP(\mathbf{X}) = \max(0, \mathbf{X}\mathbf{W}_1 + \mathbf{b}_1)\mathbf{W}_2 + \mathbf{b}_1$$

$$\mathbf{W}_1 \in \mathbb{R}^{D \times D_{\mathrm{ff}}}, \mathbf{W}_2 \in \mathbb{R}^{D_{\mathrm{ff}} \times D}, b_1 \in \mathbb{R}^{D_{\mathrm{ff}}}, b_2 \in \mathbb{R}^D$$

• In the NLP Transformer:  $D=512, D_{\mathrm{ff}}=2048$  [Vaswani, 2017]

# **Positional Encoding**

- Transformer has no notion of position → order of tokens does not matter!
- Introduce constants, i.e., positional encoding to provide positional information!

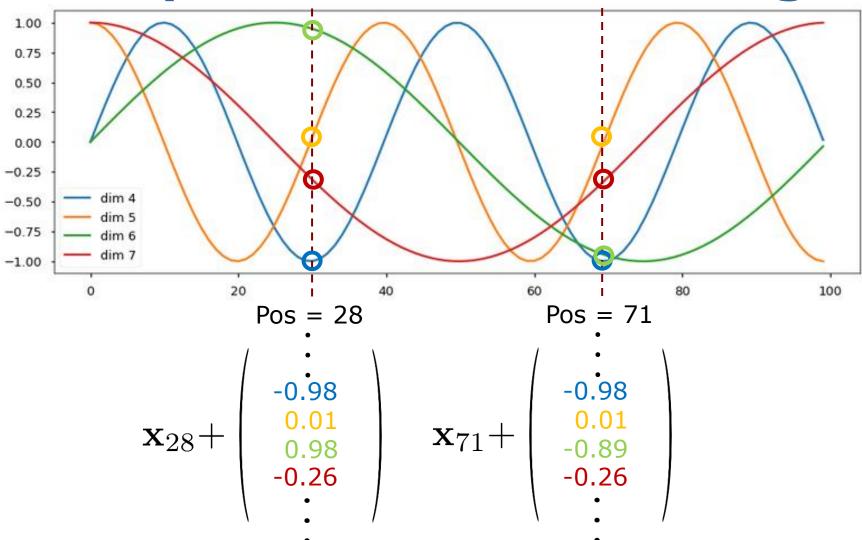
$$PE(pos, 2i) = \sin(pos/10000^{2i/D})$$

$$PE(pos, 2i + 1) = \cos(pos/10000^{2i/D})$$

Add PE to each token in the input sequence

[Vaswani, 2017] 11

# **Example: Positional Encoding**



# **Promising Results**

Model	BL	EU	Training Cost (FLOPs)		
Model	EN-DE	EN-FR	EN-DE	EN-FR	
ByteNet [18]	23.75				
Deep-Att + PosUnk [39]		39.2		$1.0 \cdot 10^{20}$	
GNMT + RL [38]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$	
ConvS2S [9]	25.16	40.46	$9.6\cdot10^{18}$	$1.5\cdot 10^{20}$	
MoE [32]	26.03	40.56	$2.0\cdot 10^{19}$	$1.2 \cdot 10^{20}$	
Deep-Att + PosUnk Ensemble [39]		40.4		$8.0 \cdot 10^{20}$	
GNMT + RL Ensemble [38]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$	
ConvS2S Ensemble [9]	26.36	41.29	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$	
Transformer (base model)	27.3	38.1	3.3 ·	10 <sup>18</sup>	
Transformer (big)	28.4	41.8	2.3 ·	$10^{19}$	

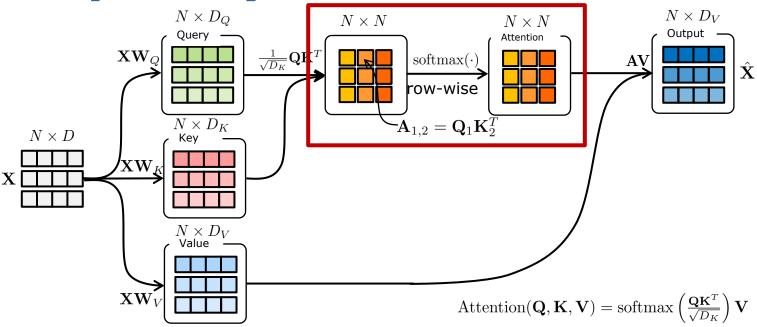
Transformer provided superior results for machine translation tasks

#### **Transformer in NLP**

- Larger Transformer models with wide range of capabilities for different NLP tasks
- Interestingly, self-supervised pretrained
   Transformer models transfer well to novel tasks!
- Bigger models got only better at providing compelling results (e.g. BERT, XLNet, GPT-3)

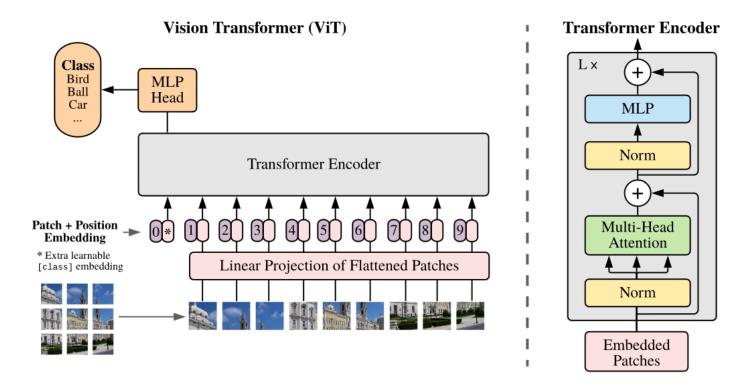
Can we use Transformer for images?

**Complexity of Self Attention** 



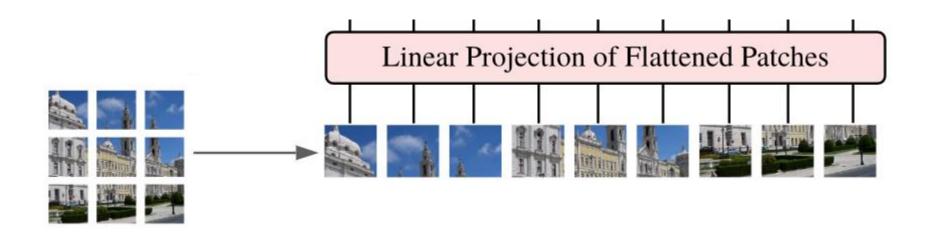
- Attention weights are a  $N \times N$  matrix (e.g.,  $O(N^2)$ )
- Just taking an image as sequence of HW elements would result in N = 50,176 tokens (for 224x224 image)!
- Different way to employ Transformer for images?

#### **Vision Transformer**



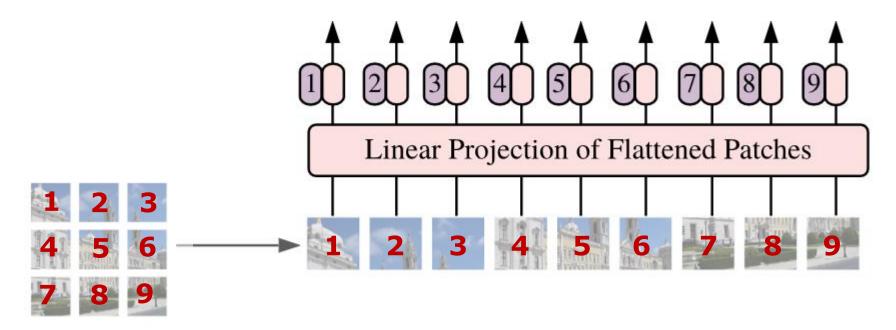
- Motivated by the success of Transformer in NLP, many works tried to use ideas for vision tasks
- Vision Transformer (ViT) achiev state-of-the-art results with minimal adjustments to the encoder

#### **Patches instead of Pixels**



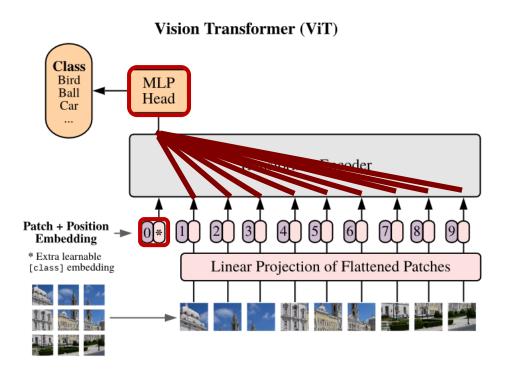
- Split image in patches of size  $16 \times 16$
- Treat each image patch as  $3 \cdot 16 \cdot 16$  vector and project to D = 768/1024/1280

# **Positional Encoding**



Use 1D linear index as position with standard positional encoding

#### **Class Token**



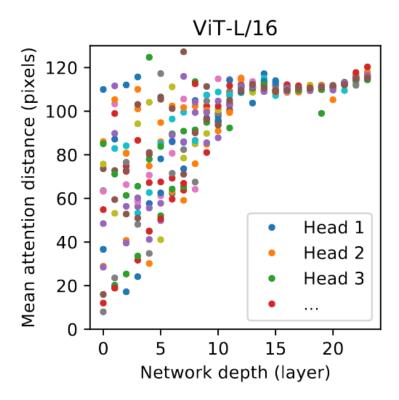
- Use special class token [CLS] as "aggregator" to gather information for classification
- Fully-connected layer (MLP) maps feature to classes

# Pretraining with large datasets

	Ours-JFT (ViT-H/14)	Ours-JFT (ViT-L/16)	Ours-I21k (ViT-L/16)	BiT-L (ResNet152x4)	Noisy Student (EfficientNet-L2)
ImageNet	$88.55 \pm 0.04$	$87.76 \pm 0.03$	$85.30 \pm 0.02$	$87.54 \pm 0.02$	88.4/88.5*
ImageNet ReaL	$90.72 \pm 0.05$	$90.54 \pm 0.03$	$88.62 \pm 0.05$	90.54	90.55
CIFAR-10	$99.50 \pm 0.06$	$99.42 \pm 0.03$	$99.15 \pm 0.03$	$99.37 \pm 0.06$	_
CIFAR-100	$94.55 \pm 0.04$	$93.90 \pm 0.05$	$93.25 \pm 0.05$	$93.51 \pm 0.08$	_
Oxford-IIIT Pets	$97.56 \pm 0.03$	$97.32 \pm 0.11$	$94.67 \pm 0.15$	$96.62 \pm 0.23$	_
Oxford Flowers-102	$99.68 \pm 0.02$	$99.74 \pm 0.00$	$99.61 \pm 0.02$	$99.63 \pm 0.03$	_
VTAB (19 tasks)	$77.63 \pm 0.23$	$76.28 \pm 0.46$	$72.72 \pm 0.21$	$76.29 \pm \textbf{1.70}$	_
TPUv3-core-days	2.5k	0.68k	0.23k	9.9k	12.3k

- Essential for achieving state-of-the-art: pretraining with large-scale dataset → JTF dataset with 300M images for supervised pre-training
- ViT-Huge with 32 Transformer layers and 632M parameters

# **Receptive field of ViT**



- Even in lower layers, attention weights cover a large range in the image
- Long-range dependencies can be exploited in early layers.

## Data-efficient training

												top-1 a	ccuracy
Ablation on↓	Pre-training	Fine-tuning	Rand-Augment	AutoAug	Mixup	CutMix	Erasing	Stoch. Depth	Repeated Aug.	Dropout	Exp. Moving Avg.	pre-trained 224 <sup>2</sup>	fine-tuned $384^2$
none: DeiT-B	adamw	adamw	1	X	✓	1	1	✓	✓	X	X	$81.8 \pm 0.2$	$83.1{\scriptstyle~\pm 0.1}$
optimizer	SGD adamw	adamw SGD	1	X	1	1	1	1	1	X	X X	74.5 81.8	77.3 83.1
data augmentation	adamw adamw adamw adamw adamw	adamw adamw adamw adamw adamw	X X ✓ ✓	× × × ×	✓ X ✓	√ √ X X	1 1 1 1	\ \ \ \ \	\ \ \ \ \	х х х х	х х х х	79.6 81.2 78.7 80.0 75.8	80.4 81.9 79.8 80.6 76.7
regularization	adamw adamw adamw adamw adamw	adamw adamw adamw adamw adamw	\ \ \ \ \	х х х х	\ \ \ \	\ \ \ \ \	<b>X</b> ✓  ✓	✓ <b>X</b> ✓ ✓	√	× × × ×	X X X X	4.3* 3.4* 76.5 81.3 81.9	0.1 0.1 77.4 83.1 83.1

top-1 accuracy

- Essential for training with "smaller" datasets:
  - 1. Strong Data Augmentation: RandAugment, Mixup, Cutmix
  - Better Regularization: Erasing, Stochastic Depth, Repeated Augmentation
- Transformers need to see more variation

# **Training of Vision Transformer**

# How to train your ViT? Data, Augmentation, and Regularization in Vision Transformers

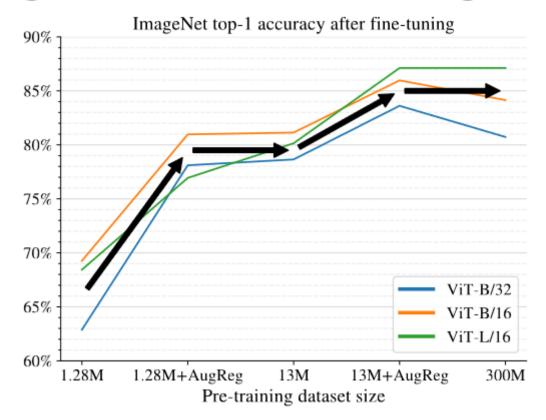
Andreas Steiner\*, Alexander Kolesnikov\*, Xiaohua Zhai\* Ross Wightman<sup>†</sup>, Jakob Uszkoreit, Lucas Beyer\*

Google Research, Brain Team; †independent researcher {andstein,akolesnikov,xzhai,usz,lbeyer}@google.com,rwightman@gmail.com

- Data Augmentation and Regularization key to achieve good performance
- Large-scale study on trade-offs between regularization, data augmentation, training data size and compute budget → over 50k experiments!

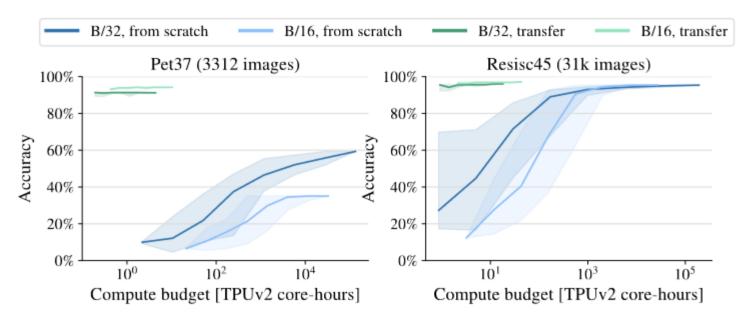
[Steiner, 2021] 23

# AugReg vs. Pre-training size



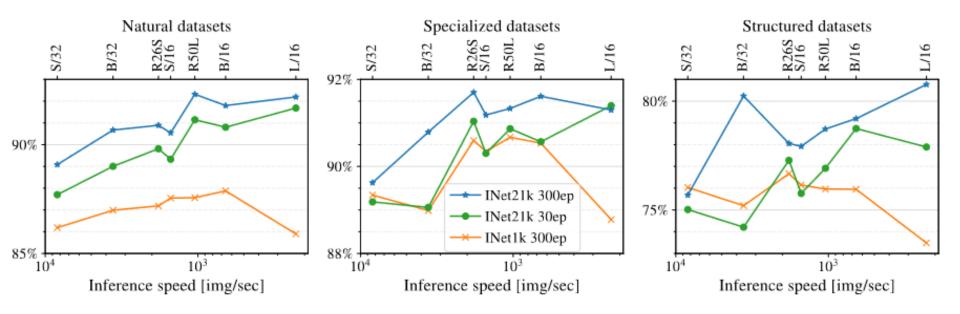
 Right amount of regularization and image augmentation leads to similar gains as increasing dataset size

# Transfer is the better option



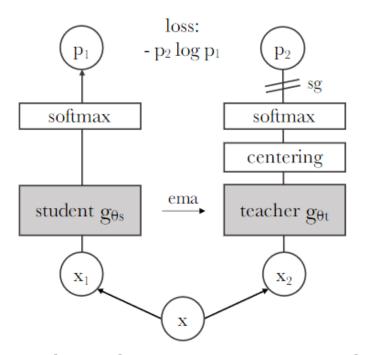
- Transfer learning leads to better performance with less compute
- Warning: For small datasets training from scratch will not result in models as good as transfer!

#### Better transfer with more data



- Pretraining on more data yields more transferable models
- Again: more variations allow to "induce" inductive biases from CNNs.

# **Self-supervision for ViT**



- Student and teacher have same architecture
- Student tries to replicate outputs of teacher of augmented views
- As in MoCo and BYOL, teacher parameters are updated via momentum

# Results of self-supervised pretraining Method Arch. Para Supervised RN50 23

- Superior performance of pre-training scheme
- Large Transformer on par or better then CNNs

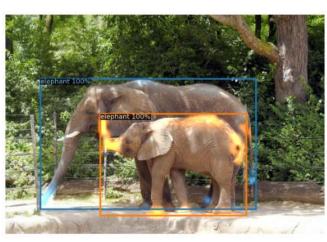
Method	Arch.	Param.	im/s	Linear	k-NN
Supervised	RN50	23	1237	79.3	79.3
SCLR [12]	RN50	23	1237	69.1	60.7
MoCov2 [15]	RN50	23	1237	71.1	61.9
InfoMin [67]	RN50	23	1237	73.0	65.3
BarlowT [81]	RN50	23	1237	73.2	66.0
OBoW [27]	RN50	23	1237	73.8	61.9
BYOL [30]	RN50	23	1237	74.4	64.8
DCv2 [10]	RN50	23	1237	75.2	67.1
SwAV [10]	RN50	23	1237	75.3	65.7
DINO	RN50	23	1237	75.3	67.5
Supervised	ViT-S	21	1007	79.8	79.8
BYOL* [30]	ViT-S	21	1007	71.4	66.6
MoCov2* [15]	ViT-S	21	1007	72.7	64.4
SwAV* [10]	ViT-S	21	1007	73.5	66.3
DINO	ViT-S	21	1007	77.0	74.5
Comparison act	ross architectures	·			
SCLR [12]	RN50w4	375	117	76.8	69.3
SwAV [10]	RN50w2	93	384	77.3	67.3
BYOL [30]	RN50w2	93	384	77.4	_
DINO	ViT-B/16	85	312	78.2	76.1
SwAV [10]	RN50w5	586	76	78.5	67.1
BYOL [30]	RN50w4	375	117	78.6	_
BYOL [30]	RN200w2	250	123	79.6	73.9
DINO	ViT-S/8	21	180	79.7	78.3
SCLRv2 [13]	RN152w3+SK	794	46	79.8	73.1
DINO	ViT-B/8	85	63	80.1	77.4

# **Emerging Properties of ViT**



- Interestingly, self-supervised training leads to class-specific features
- Visualization of attention from [CLS] token leads to unsupervised object segmentation

# **Transformer for other Vision Tasks**

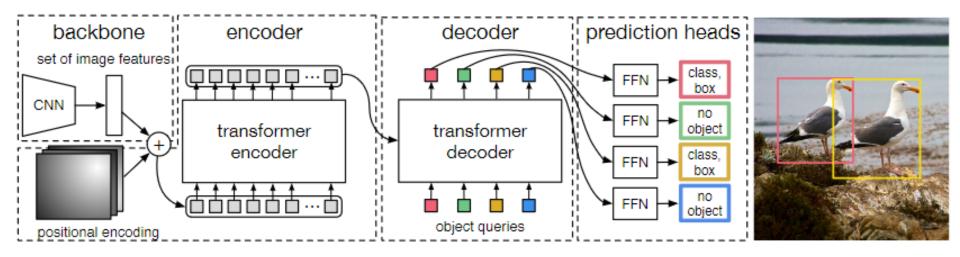






- Results on image classification motivated investigation of other vision tasks
- Here two examples: Object Detection and Semantic Segmentation

#### **Transformer for Detection**



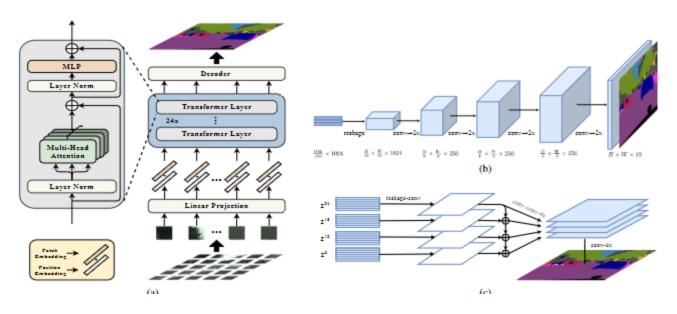
- DETR uses Transformer encoder and decoder to generate object detections
- Predictions head produce N object/no object predictions
- No non-maximum suppression needed!

#### **Results of DETR**

Model	GFLOPS/FPS	#params	AP	$AP_{50}$	AP <sub>75</sub>	$\mathrm{AP}_\mathrm{S}$	$AP_{M}$	$\mathrm{AP_L}$
Faster RCNN-DC5	320/16	166M	39.0	60.5	42.3	21.4	43.5	52.5
Faster RCNN-FPN	180/26	42M	40.2	61.0	43.8	24.2	43.5	52.0
Faster RCNN-R101-FPN	246/20	60M	42.0	62.5	45.9	25.2	45.6	54.6
Faster RCNN-DC5+	320/16	166M	41.1	61.4	44.3	22.9	45.9	55.0
Faster RCNN-FPN+	180/26	42M	42.0	62.1	45.5	26.6	45.4	53.4
${\bf Faster~RCNN\text{-}R101\text{-}FPN+}$	246/20	60M	44.0	63.9	47.8	27.2	48.1	56.0
DETR	86/28	41M	42.0	62.4	44.2	20.5	45.8	61.1
DETR-DC5	187/12	41M	43.3	63.1	45.9	22.5	47.3	61.1
DETR-R101	152/20	60M	43.5	63.8	46.4	21.9	48.0	61.8
DETR-DC5-R101	253/10	60M	44.9	64.7	47.7	23.7	49.5	62.3

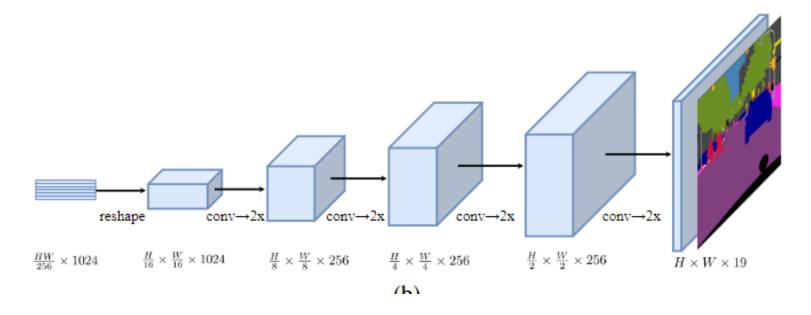
 Highly competitive results for object detection on COCO

# **Transformer for Segmentation**



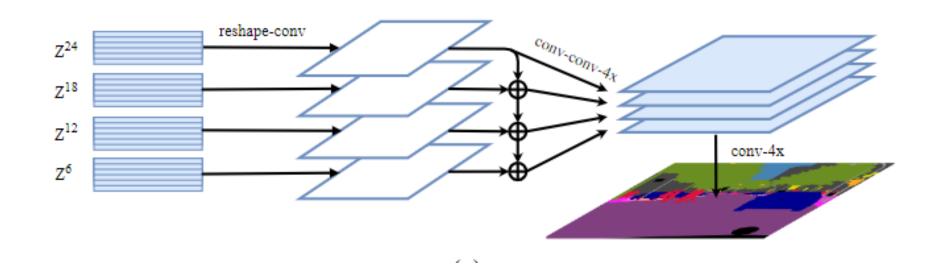
- Segmentation Transformer (SETR) uses patch-wise encoder to extract patch features
- Investigates two decoders to upsample patch features

# **Progressive Upsampling in SETR**



 Upsample 16x16 patch features to full resolution via convolutions and bilinear upsampling

# **Multi-level Feature Aggregation**



- Use patch features from different Transformer layer
- Convolutional combination of upsampled feature maps

#### **Results of SETR**

Method	Backbone	mIoU	Pixel Acc.
FCN (16, 160k, SS) [39]	ResNet-101	39.91	79.52
FCN (16, 160k, MS) [39]	ResNet-101	41.40	80.65
EncNet [54]	ResNet-101	44.65	81.69
PSPNet [59]	ResNet-269	44.94	81.69
DMNet [18]	ResNet-101	45.50	-
CCNet [25]	ResNet-101	45.22	-
Strip pooling [23]	ResNet-101	45.60	82.09
APCNet [19]	ResNet-101	45.38	-
OCNet [53]	ResNet-101	45.45	-
SETR-Naïve (16, 160k, SS)	T-Large	48.06	82.40
SETR-Naïve (16, 160k, MS)	T-Large	48.80	82.92
SETR-PUP (16, 160k, SS)	T-Large	48.58	82.90
SETR-PUP (16, 160k, MS)	T-Large	50.09	83.58
SETR-MLA (16, 160k, SS)	T-Large	48.64	82.64
SETR-MLA (16, 160k, MS)	T-Large	50.28	83.46

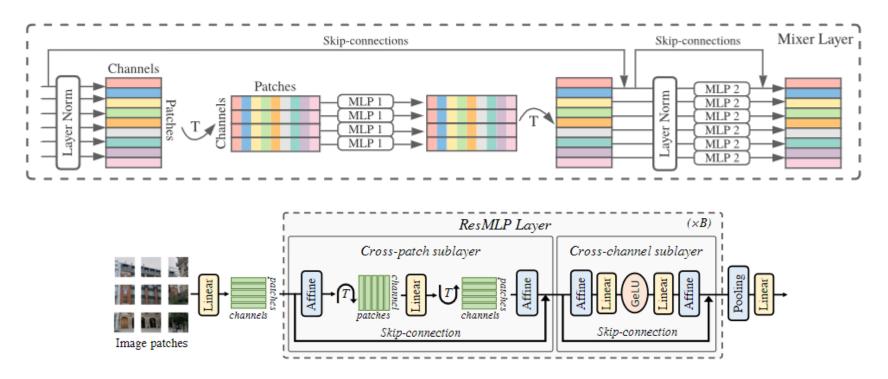
Method	Backbone	mIoU
PSPNet [59]	ResNet-101	78.40
DenseASPP [49]	DenseNet-161	80.60
BiSeNet [51]	ResNet-101	78.90
PSANet [60]	ResNet-101	80.10
DANet [17]	ResNet-101	81.50
OCNet [53]	ResNet-101	80.10
CCNet [25]	ResNet-101	81.90
Axial-DeepLab-L [47]	Axial-ResNet-L	79.50
Axial-DeepLab-XL [47]	Axial-ResNet-XL	79.90
SETR-PUP (100k)	T-Large	81.08
SETR-PUP <sup>‡</sup>	T-Large	81.64

Cityscapes

#### ADE20K

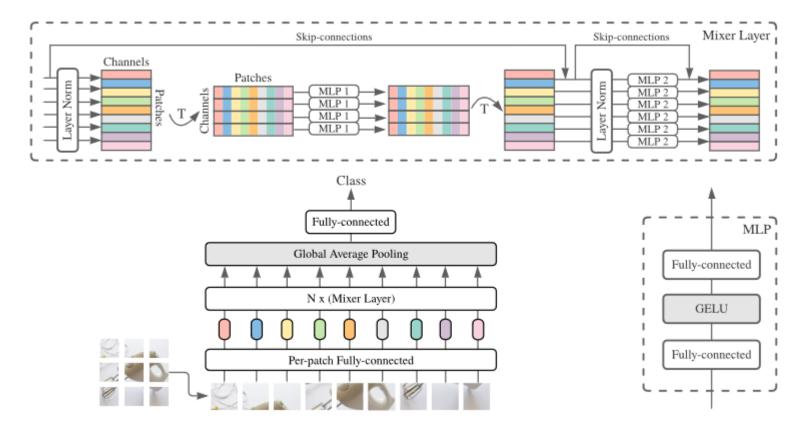
Strong results on ADE20K and Cityscapes

#### **Self Attention Needed?**



 Another line of research investigated to replace self-attention with MLPs

#### **MLP-Mixer**



- Replace self-attention with MLP on transposed feature vectors
- All operations are MLPs on image patches

#### **Results of MLP Mixer**

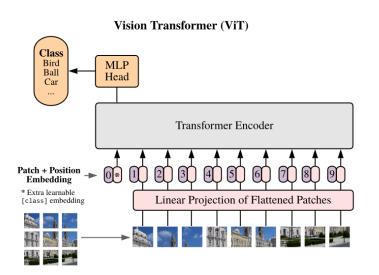
	ImNet top-1	ReaL top-1	Avg 5 top-1	VTAB-1k 19 tasks	Throughput img/sec/core	TPUv3 core-days			
Pre-trained on ImageNet-21k (public)									
HaloNet [51]	85.8	_	_	_	120	0.10k			
<ul><li>Mixer-L/16</li></ul>	84.15	87.86	93.91	74.95	105	0.41k			
<ul> <li>ViT-L/16 [14]</li> </ul>	85.30	88.62	94.39	72.72	32	0.18k			
<ul><li>BiT-R152x4 [22]</li></ul>	85.39	_	94.04	70.64	26	0.94k			
	Pre-trained on JFT-300M (proprietary)								
• NFNet-F4+ [7]	89.2	_	_	_	46	1.86k			
<ul><li>Mixer-H/14</li></ul>	87.94	90.18	95.71	75.33	40	1.01k			
<ul> <li>BiT-R152x4 [22]</li> </ul>	87.54	90.54	95.33	76.29	26	9.90k			
• ViT-H/14 [14]	88.55	90.72	95.97	77.63	15	2.30k			
Pre-trained on unlabelled or weakly labelled data (proprietary)									
• MPL [34]	90.0	91.12			_	20.48k			
ALIGN [21]	88.64	_	_	79.99	15	14.82k			

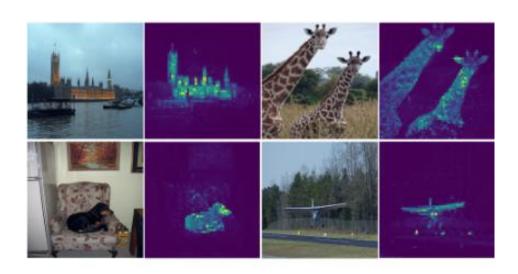
 Slightly worse results then competing Vision Transformers

#### Outlook

- Highly active research area
- Combination of CNNs (early layers) and Transformer shows promising results
- Other directions in Transformer research:
  - Deeper Transformer architectures (e.g. CaiT)
  - Reduce cost of self-attention (e.g. Perceiver)
  - Hierarchical Vision Transformer (e.g. PVT)
  - Better decoder for segmentation(e.g., SegFormer)

# Summary





- Success of Transformer in NLP motivated investigation for vision tasks
- Transformer have less inductive bias and produce promising results
- Paradigm shift for vision tasks?

# See you next year!

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