

# Problem Set 1, Answers

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May 12, 2020

**Problem 1.** A language  $L$  is in  $\Sigma_2^P$  iff there is a polynomial time TM  $M$  such that:

$$x \in L \iff \exists u_1 \forall u_2 M(x, u_1, u_2) \quad (1)$$

where the  $u_i$  are polynomial size and we treat  $M$  as returning true or false. This is equivalent to:

$$x \in L \iff \exists u_1 \neg(\exists u_2 (\neg M(x, u_1, u_2))) \quad (2)$$

The answer to  $\exists u_2 (\neg M(x, u_1, u_2))$  can be found in a single call to an **NP** oracle, so  $\Sigma_2^P \in \mathbf{NP}^{\mathbf{NP}}$ .

The other direction is similar. For any language  $L$  in  $\mathbf{NP}^{\mathbf{NP}}$ , there is a Turing machine  $M$ , where  $M$  has access to an **NP** oracle, such that:

$$x \in L \iff \exists v_1 M(x, v_1) \quad (3)$$

There are different equivalent formats for the oracle. It is convenient to think of the input as being a *SAT* problem, and the output is either a solution or an indication that there is no solution.

To write this as a  $\Sigma_2^P$  language we need to convert the oracle calls to a regular Turing machine under a  $\forall$  quantifier. So, let  $v_2$  represent the oracle outputs, and  $v_3$  represent a string of bits long enough to hold all assignments to the *SAT* problems where the oracle reported no solution.  $M$  makes polynomially many calls to the oracle, so the length of  $v_2$  and  $v_3$  can be polynomially bounded.

Then, we can represent  $L$  as:

$$x \in L \iff \exists v_1 v_2 \forall v_3 M'(x, v_1, v_2, v_3) \quad (4)$$

Where  $M'$  works like:

- It performs the same operations as  $M$ , except when there is an oracle call
- When the oracle gives a solution,  $M'$  validates the solution
- When the oracle reports no solution,  $M'$  uses bits from  $v_3$  to check that the particular inputs do not represent a solution

Since this is quantified over all  $v_3$ , the quantifiers do the job of the *NP* oracle, and this is an equivalent representation for  $L$ . Thus  $\mathbf{NP}^{\mathbf{NP}} \in \Sigma_2^P$ .