

## 2D Bravais lattices $\rightarrow 5$

Square  
hexagonal  
rectangular  
centered rectangular  
oblique.

## 3D Bravais lattices $\rightarrow 14$ (From 7 crystal systems)

Monoclinic  $\rightarrow 2$

triclinic  $\rightarrow 1$

orthorhombic  $\rightarrow 4$

Cubic  $\rightarrow 3$

trigonal (rhombohedral)  $\rightarrow 1$

tetragonal  $\rightarrow 2$

hexagonal  $\rightarrow 1$

## Cubic lattices

Simple Cubic  $\rightarrow$  SC

Body-centered cubic  $\rightarrow$  BCC

Face centered cubic  $\rightarrow$  FCC

Eg Li, Na, K, V, Cr, Fe, Rb, Mo, etc.  
Eg Al, Cu, Ni, Ag, Au, Pb, In

\*) Primitive cell of BCC lattice is defined by translational vector;

$$\vec{a}_1 = \frac{1}{2}a(\vec{x} + \vec{y} - \vec{z})$$

$$\vec{a}_2 = \frac{1}{2}a(-\vec{x} + \vec{y} + \vec{z})$$

$$\vec{a}_3 = \frac{1}{2}a(\vec{x} - \vec{y} + \vec{z})$$

\*) Primitive cell of FCC lattice is defined by translational vectors;

$$\vec{a}_1 = \frac{1}{2}a(\vec{x} + \vec{y})$$

$$\vec{a}_2 = \frac{1}{2}a(\vec{y} + \vec{z})$$

$$\vec{a}_3 = \frac{1}{2}a(\vec{z} + \vec{x})$$

Note  $\vec{x}, \vec{y}, \vec{z}$  are cartesian unit vectors.

angle between axes are  $60^\circ$

Note Hexagonal unit cell is related to the FCC structure.

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## LECTURE SLIDES SUMMARY

\*) A high-temperature superconductor, cooled in liquid Nitrogen, expels local magnetic fields (called the Meissner effect) and causes the magnet to levitate above it.

### Classification of solid materials

1) Crystalline (single crystal)

2) Polycrystalline

3) Amorphous

Note Small single crystals are also called: Crystallites / Grains (domains).

Example of amorphous materials include amorphous silicon, plastics and glasses.

\*) Growth (and shape) of crystals is determined by;

① temperature

② pressure

③ pH (acid or basic environment) etc

Note Real crystals defects;

(i) surface, (ii) (are never perfect)

(iii) Impurities and defects

(3) Thermal motion of atoms (lattice vibrations)

\*) Ideal crystal  $\rightarrow$  perfect periodicity

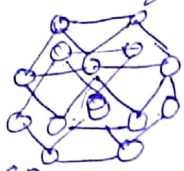
Note Basis are also called motif

\*) Bravais/crystal lattice  $\rightarrow$  lattice vector while Basis  $\rightarrow$  lattice contents

\*) There is only one lattice point in the primitive unit cells.



## ABAB Sequence



HCP  
Note: There are little spaces

## ABCAABC Sequence



FCC or FCC close spaces  
Note

\*) Both HCP and FCC structures have,

⇒ ideal packing fraction of 0.74

⇒ ideal ratio of  $c/a$  for packing is

$$\left(\frac{8}{3}\right)^{1/2} = 1.633 \quad \left(\frac{c}{a} = \left(\frac{8}{3}\right)^{1/2}\right)$$

\*) Wigner-Seitz cell

The Wigner-Seitz cell about a lattice point is the region of space that is closer to that point than to any other lattice point. Wigner-Seitz cell is a primitive cell with full symmetry of the Bravais Lattice.

It has for S.C, F.C.C, B.C.C

## Fivefold rotations and quasicrystals

\*) You can only fill space by using rotations of Unit Cells by,  $2\pi, \frac{2\pi}{2}, \frac{2\pi}{3}, \frac{2\pi}{4}$  and  $\frac{2\pi}{6}$   
i.e.  $(360^\circ, 180^\circ, 120^\circ, 90^\circ \text{ and } 60^\circ)$

$\frac{2\pi}{5}$  or  $\frac{2\pi}{7}$  do not fill space

\*) Quasicrystals:- Is a quasiperiodic non random assembly of two types of figures (- It is not a Bravais Lattice).

Note	Rock salt	Perovskite (e.g. $\text{BaTiO}_3$ )	Diamond ( $\text{Si}, \text{Ge}$ ) Zincblende ( $\text{GaAs}$ )
Bravais Lattices	FCC	Cubic	FCC
position of atom in basis	$O_h$		$T_d$

## Elements of Symmetry

\*) Translation Apart from periodicity (i.e. translation) each lattice have symmetry properties ~~shown~~ listed below.

1) Inversion Center

2) Reflection (mirror) plane

3) Rotation axes. only 2-, 3-, 4-, and 6-fold rotations are compatible with translation invariance

4) Rotation-Inversion axes.

Note: Ceramic (multicrystalline) polycrystalline

(i) atomic order vary among domains or grains but not in polycrystalline material.

(ii) Amorphous Silicon <sup>can be</sup> used in Solar cells and thin film transistors.

$$\boxed{\text{Crystal structure} = \text{Crystal Lattice} + \text{Basis}}$$

Note: \*) Crystal:- Is a solid with periodic structure.

\*) Crystal lattice:- Is periodic array of points. (lattice means point)

\*) Crystalline solid:- They are solids in which component arrangement have long range order.

Unit cell  $\left\{ \begin{array}{l} \rightarrow \text{Primitive unit cell (minimum volume)} \\ \rightarrow \text{Conventional unit cell} \end{array} \right.$   
for 2-D

## Closed-packed structures

Arrangement of spheres can either be; ABAB or ABCA or any combination of the two

\*) Hexagonal closed-packed (HCP) structure

\*) Stacking spheres in a ABABAB configuration

⇒ Atom positions:  $000, \frac{2}{3}, \frac{1}{3}, \frac{1}{2}$

⇒ No. of nearest neighbors is 12

⇒ Ideal ratio of  $c/a$  for this packing is  $\left(\frac{8}{3}\right)^{1/2} = 1.633$   
 $\frac{c}{a} = \left(\frac{8}{3}\right)^{1/2}$

(This value is almost similar to Golden Ratio assumed for the design of the pyramid in Egypt)

\*) FCC (Called Cubic closed-packed structure - CCP)

⇒ Stacking arrangement of ABCABCABC



## Types of bonding

ionic bonding, Van der Waals bonding, Metallic bonding, covalent bonding, Hydrogen bonding.

Note Repulsive interactions between atoms is primarily due to electrostatic repulsion of overlapping charge distributions and Pauli principle.

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## Lecture 3

### X-ray Diffraction

- X-rays are EM waves
- X-rays are scattered by electronic shells (not nuclei) of atoms in a solid.
- X-rays serve as a bulk probe

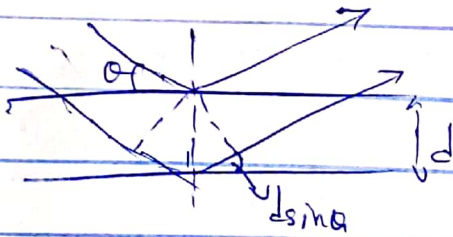
### The Bragg Law

Conditions for peak intensity of scattered radiation:

- specular reflection in one plane
- constructive interference of reflected rays.

path difference between two x-rays:

$$2d \sin \theta = m\lambda$$



Diffraction Grating → Is the tool of choice for separating the colors in incident light.

Meaning of  $d$  for 2D →  $2d \sin \theta = m\lambda$

Meaning of  $d$  for 3D

$$2d \sin \theta = m\lambda$$

Miller index (hkl): (111)

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$$

$$d_{111} = \frac{a}{\sqrt{3}} \approx 3.134 \text{ for Si with } a = 5.43 \text{ \AA}$$

## Point Symmetry

There are 32 crystal classes (point groups). Combining with translational symmetries, one obtains 230 space groups.

Note 1) Crystal directions:  $[h, k, l]$

2) Crystal planes:  $(hkl)$

Miller Indices → represent a set of parallel planes.

Note Crystal structures are obtained from diffraction experiments (in which particles diffract from planes of atoms).

Note There are;

- 7 crystal systems and 14 Bravais lattices
- 32 crystal classes (point symmetry groups)

### Reciprocal lattice

$$\text{Given, } T = n_1 a_1 + n_2 a_2 + n_3 a_3$$

reciprocal lattice,  $b_1, b_2, b_3$

$$\left[ b_1 = \frac{2\pi}{V} a_2 \times a_3, b_2 = \frac{2\pi}{V} a_3 \times a_1, b_3 = \frac{2\pi}{V} a_1 \times a_2 \right]$$

Where,  $V = a_1 \cdot (a_2 \times a_3) \rightarrow \text{vol of unit cell}$

Note  $b_i \cdot a_j = \delta_{ij}$

1) reciprocal lattice to simple cubic lattice → is also cubic lattice with lattice constant  $2\pi/a$

2) reciprocal lattice to bcc lattice → is FCC also; reciprocal lattice to fcc lattice → is bcc

### Brillouin Zone

First Brillouin Zone is the Wigner-Seitz primitive cell of the reciprocal lattice

## Lecture 2

### Interatomic Forces

$$U(R) = \frac{-Nde^2}{4\pi\epsilon_0 R} + \frac{N A}{R^n}$$

attractive (Coulomb)      repulsive

Attractive electrostatic interaction between electrons and nuclei → is the force responsible for cohesion of solids

$F(R) < 0$  for  $R > R_0$  → attractive

$F(R) > 0$  for  $R < R_0$  → repulsive

$$F(R) = -\frac{\partial V(R)}{\partial R}$$



Note i) The set of reciprocal lattice vectors determines the possible scattering wave vectors for diffraction.

ii) The reciprocal lattice vector,  $G = h_1 b_1 + h_2 b_2 + h_3 b_3$  is orthogonal to the plane represented by Miller indices  $(h_1 k_1 l_1) \rightarrow (i.e. V.G = 0)$

iii) The distance (or separation) between two adjacent parallel planes of the direct lattice is,  $d = 2\pi / G$

iv) Reciprocal vector  $G(h_1 k_1 l_1)$  is normal to crystal plane  $(h_1 k_1 l_1)$  with separation distance  $d = 2\pi / G$

Note i) Only waves whose wave vector drawn from the origin terminates on a surface of the Brillouin Zone can be diffracted by the crystal.

ii) The BCC and FCC lattices are Fourier transforms of one another.

### Experimental X-ray Diffraction techniques.

i) White X-ray beam are used for measuring single crystals  $\rightarrow$  Laue Method

High angular resolution of X-ray diffraction

Normal mismatch  $\Rightarrow \left( \frac{\Delta c}{c} \right) = \frac{\sin \theta_s}{\sin \theta_c} \geq 1$

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### Lecture 4

## CRYSTAL DYNAMICS

Some properties of atomic motions can be obtained from wavefunction and energies.

### Hooke's law

$$F_{\text{spring}} = -Kx$$

### Angle between two planes

For cubic crystals of  $(h_1 k_1 l_1)$  and  $(h_2 k_2 l_2)$  then;

$$\cos \phi = \frac{h_1 h_2 + k_1 k_2 + l_1 l_2}{\sqrt{h_1^2 + k_1^2 + l_1^2} \sqrt{h_2^2 + k_2^2 + l_2^2}}$$

E.g. Calculate the angle b/w  $(111)$  and  $(200)$  planes

### Solution

$$\cos \phi = \frac{(1 \times 2) + (1 \times 0) + (1 \times 0)}{\sqrt{1^2 + 1^2 + 1^2} \times \sqrt{2^2 + 0^2 + 0^2}}$$

$$\phi \approx 54.75^\circ$$

### X-rays and X-ray tube

X-rays are produced when high speed electrons hit the metal target

### X-rays and Synchrotrons

Synchrotron radiation  $\rightarrow$  doesn't lose energy but X-ray does

Natural Synchrotron Radiation  $\rightarrow$  are observed in stars and galaxies

Synchrotron radiation  $\rightarrow$  Accelerating electron emits light

Synchrotron radiation was produced by relativistic electrons in accelerators (since 1947)

$$v \approx 0.9999999c$$

$$\theta = 4\pi \text{ rad}$$

$$\theta \approx \sqrt{1 - \frac{v^2}{c^2}} \approx 0.001 \text{ rad}$$

Note i) Synchrotron radiation from a storage ring is the most bright manmade source of white light

ii) useful for materials studies from far infrared and UV to X-rays

### Diffraction condition and reciprocal lattice

Wave vector  $k$ ;  $k = 2\pi / \lambda$

i) Assumed elastic scattering; scattered X-rays have same energy (same  $\lambda$ )  $\Rightarrow$  same  $k$ .

Condition for constructive scattering: ①  $(k' - k) \cdot T = 2\pi m$

$$k' - k = \Delta k = G \Rightarrow G \cdot T = 2\pi m$$

Laue diffraction condition

$$2d \cdot \sin \alpha = m\lambda$$

③  $2k \cdot G = G^2 \rightarrow$  another expression for diffraction condition



Dispersion relation have two branches,

- Optical Branch → Is due to the +ve sign of root (its called upper branch)
- Acoustical Branch → (or Lower branch) is due to the -ve sign of the root.

Note Dispersion relation that is periodic in  $k$  with period  $T$ ,

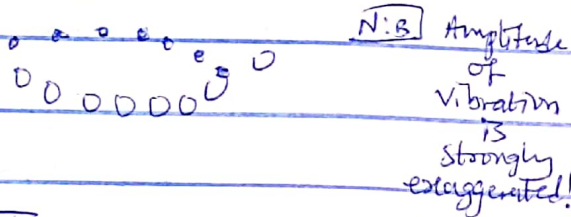
$$T = 2\pi / a \text{ (unit cell length)}$$

This is true for a chain with arbitrary number of atoms per unit cell.

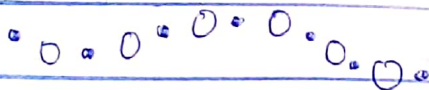
### Acoustic/Optical Branches

- Acoustic branch → give rise to long wavelength vibrations - speed of sound
- Optical branch → is a higher energy vibration.

### Transverse optical mode for diatomic chains



### Transverse acoustical mode for diatomic chain.



### Phonons → Quantia of

lattice vibration. while photons are quanta of  $E$ -m wave.

→ Thermal vibrations are thermally excited phonons

- Sound waves are acoustical phonons
- Excitations of optical branch generate optical phonons.

Note wave-particle duality holds good for phonons

### Sound Waves

- propagation is through solids
- propagation is governed by the macroscopic elastic properties of the crystal.

Note Sound waves correspond to the "low frequency, and long wavelength limit" of lattice vibrations.

At same  $f$ , three sound waves ~~can~~ be transmitted, differing in direction of propagation and velocity.

### Speed of Sound wave

$$V_L = \lambda \nu = \sqrt{\frac{C}{\rho}} \quad \begin{matrix} C = \text{elastic bulk modulus} \\ \rho = \text{Mass density} \end{matrix}$$

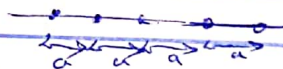
Note  $C$  is inversely related to  $\rho$

Note Solids will sustain the propagation of transverse waves, which travel more slowly than longitudinal waves.

<u>Solid</u>	<u>Structure type</u>
→ Sodium	BCC
→ Copper	FCC
→ Aluminum	FCC
→ Lead	FCC
→ Silicon	Diamond
→ Germanium	Diamond
→ NaCl	Rock salt.

Note Lattice vibrational wave in crystal could be, longitudinal, transverse or some combination of the two.

### Monatomic chain



- Simplest crystal is 1D chain
- Atoms are identical
- Atoms move in a direction parallel to the chain
- Only nearest neighbours interact (Short-range forces)

### Chain of two types of atom

- It is the simplest possible model of an ionic crystal
- $a$  is repeat distance
- $a/2$  is nearest neighbours separation distance



$$E_n = nh\nu = n\hbar\omega$$

$$T \rightarrow \text{Einsten temperature}$$

### Debye assumption

- 1) Crystals propagate elastic waves of  $\lambda$  ranging from low  $f$  (sound waves) to high corresponding to infrared absorption.
- 2) Dependent vibrational coupled vibration of oscillators.
- 3) Different  $f$  hence different  $E$ .

It resulted into

$$C_v = 3R \left( \frac{\theta_D}{T} \right)^3$$

### Thermal energy and lattice vibrations

- 1) In solids, vibration and rotation of atoms and molecules contribute to thermal energy.
  - 2) In gas, translational motion of atoms and molecules contribute to this energy.
- Note: Thermal energy can be used to activate a crystallographic or a magnetic transition.

### Heat capacity from lattice vibrations

#### Heat capacity of a metal

= Contribution from lattice vibration and conduction electrons

- 1) Heat capacity of a magnetic materials = ~~Contribution~~ lattice vibration and magnetic ordering
- 2) Heat capacity of a non-magnetic insulators = Contribution from lattice vibration only.

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## LECTURE 5

### Energy bands and Semiconductors

- 1) Conduction band is of higher energy than valence band.

- 2) Difference in energy of CB and VB is the Band Gap (or Forbidden Gap)

Sound waves  $\rightarrow$  Is the collective modes of dependently vibrating lattices which propagate through a material.

Quantum harmonic oscillators energy;

$$\Delta E = h\nu$$

Note: Evidence of vibrational energy in solid periodic solid is that; energy is quantized like discrete and called 'phonons'

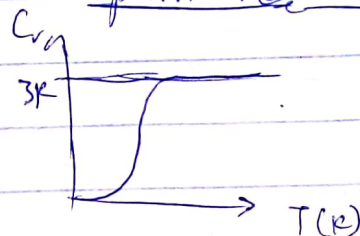
$$E_{\text{phonon}} = \frac{h\nu}{\lambda} \rightarrow \sim 10^{-10} \text{ m}$$

$$E_{\text{photon}} = \frac{hc}{\lambda} \rightarrow \sim 10^{-6} \text{ m}$$

$$p_{\text{phonon}} = \frac{h}{\lambda}$$

$$p_{\text{photon}} = \frac{h}{\lambda}$$

### Specific heat of solids



At high

$C_v \approx 3R$  at high temperature

Dulong - Petit law states that

"The specific heat of a given number of atoms of any solid is independent of temperature and is the same for all materials".

$$C = \frac{dQ}{dT} \rightarrow \text{Specific heat}$$

$$C_{\text{solid}} = C_{\text{lat}} + C_{\text{elec}} \quad (C_{\text{elec}} \text{ is relatively small})$$

Note: 1 atom vibrating in 3-D is equal to 3N atom vibrating in 1-D

$$\therefore E = 3Nk_B T$$

$$\Rightarrow C_v = \left( \frac{\partial E}{\partial T} \right)_v = 3Nk_B$$

### Failure of Einsten's theory

- 1) oscillators vibrate at same natural frequency hence same energy
- 2) Independent vibration of oscillators



## Fermi-Dirac Statistics Distribution

$$f(E) = \frac{1}{e^{(E-E_F)/k_B T} + 1}$$

Electrons are fermions

at  $T=0$ , no electrons can move above  $V.B$

at  $T>0$ , some electrons can reach the  $C.B$  and contribute to electric current.

### pn-junction diode

- They are one way device
- They are used as rectifiers (for converting a.c to d.c)

## LECTURE SLIDE 6

### Hall Effects (based on charge and voltage)

principles

for charges

"Lorentz force pressed mobile charges to one side while immobile charges are unaltered"

This creates internal electric potential called Hall voltage

$$V = \frac{I \times B}{n \times e \times t}$$

$$V = \frac{IB}{neb}$$

### Applications

- It gives information about charge carrier mobility and concentration

Insulator  $\rightarrow$  have large band gap

Semiconductor  $\rightarrow$  ~~Modulated~~ <sup>Narrow</sup> band gap

Conductor  $\rightarrow$  No band gap.

### Fermi level

For an intrinsic semiconductor, the Fermi level is almost at the middle of the band gap.

For a p-type extrinsic, ~~Semiconductor~~, the Fermi level is closer to  $V.B$

For an n-type it is closer to  $C.B$

Note Metals are good conductors of electricity due to the overlap of  $V.B$  and  $C.B$  so that  $V.B$  electrons can move through the material.

### Semiconductor

$Si \rightarrow Si$  and  $Ge$

They have almost empty conduction <sup>band</sup> and almost filled valence band with very narrow energy gap

#### Intrinsic Semiconductor

No of electrons = No of holes

n-type

#### Extrinsic S.C

i) n-type

no of electrons > no of holes

ii) p-type

no of holes > no of electrons

Note At absolute ~~zero~~ temperature (i.e.  $T=0$ ) the highest level electrons can reach is the Fermi level with energy called Fermi energy.

Note The essence of doping is to increase the conductivity of semiconductor material

Note i) n-type are formed using pentavalent elements (like Arsenic, Antimony, phosphorus etc) as ~~dopants~~ <sup>dopants</sup>. n-type <sup>dopants</sup> are called donors

ii) p-type are formed using trivalent elements (like Indium, Boron, Aluminium etc) as dopants. p-type <sup>dopants</sup> are called acceptors.

iii) Dopants form covalent bonds with the Semiconductor

It is used in,

- Hall probe
  - in cars
  - in space
  - New Discoveries etc.
  - Quantum Hall Effect
  - Spin Hall effect
  - Anomalous Hall Effect
- Hall coefficients

It is determined by measuring the Hall voltage that generates the Hall field.