

# An OpenISS Framework Specialization for Deep Learning-based Person Re-identification

Master of Science Thesis Defense

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# Background

Due to our previous work [10] named ISSv2, we were able to create artistic performance on the stage including real-time motion capture, projection mapping with one Kinect v1 camera.



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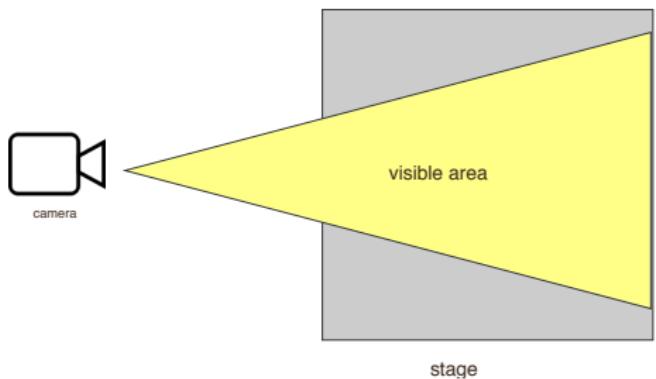
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# Problems

When we have more chances to do our performance, we encounter the following problems:

- ▶ **PM1:** The stage is too large to cover by a single camera.
- ▶ **PM2:** Various advanced cameras come to market and we would like to make our work to be compatible with them.



- ▶ Kinect v1
- ▶ Kinect v2
- ▶ Realsense D435
- ▶ ... ...

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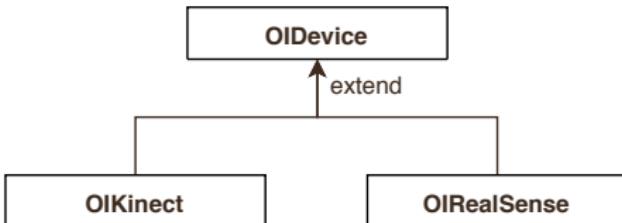
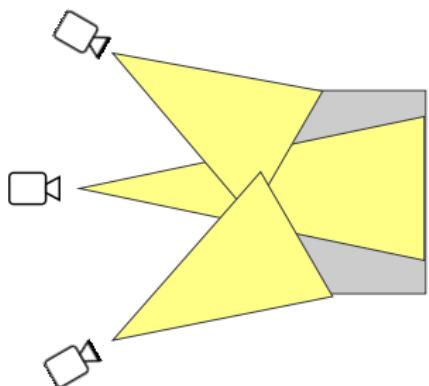
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# Possible Solution

Possible solution may be:

- ▶ For **PM1**, use more cameras to cover the stage.
- ▶ For **PM2**, abstract a set of common APIs for various kinds of devices.



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To sum up, we would like to design and implement a system that with the following functionalities or features:

- ▶ device abstraction
- ▶ person re-identification
- ▶ real-time response
- ▶ accuracy
- ▶ extensibility
- ▶ usability

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# Contributions

## 1. Design and implement a framework solution in general.

detection | recognition | tracking

## 2. Design and implement a device module for depth cameras encapsulation.

Kinect v1 | Kinect v2 | RealSense D435

## 3. Design and implement the tracker, detector and recognizer specialized frameworks.

NiTE2 → skeleton tracking | YOLO v3 → person detection | ID&Triplet Models → person ReID

## 4. Design a pipeline module for filter linear execution.

Person ReID application | Skeleton tracking application

## 5. Build commonly used computer vision applications using our solution.

camera calibration | image alignment | green screen image

## 6. Design and implement an dataset abstraction layer for deep learning-based person ReID task.

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# Overview

Our research problem can be divided as follows:

- ▶ person re-identification
  - ▶ **person detection**
  - ▶ person tracking (omitted)
  - ▶ **person retrieval**
- ▶ device abstraction

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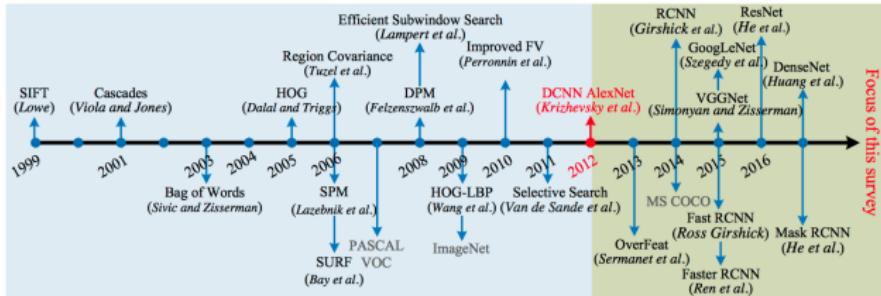
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# Object Detection and Object Retrieval



**Figure 1:** Timeline of various methods proposed for object detection [8]. The methods in blue area are the hand-crafted detector and the methods in green area are the deep learning-based approaches.

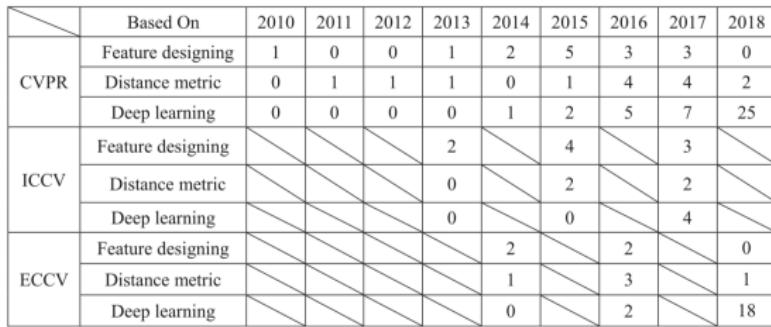


Figure 2: The number of ReID papers depending on different approaches included by the three top conferences in recent years [14].

# Object Detection

In object detection, you are given an image  $I$  and a list of classes  $C$ .

You are asked to find all the presences of objects listed in  $C$  within  $I$ .

For each detected instance, locates it using a bounding box  $B$  with a confidence score  $s$  and its class label  $c \in C$ .

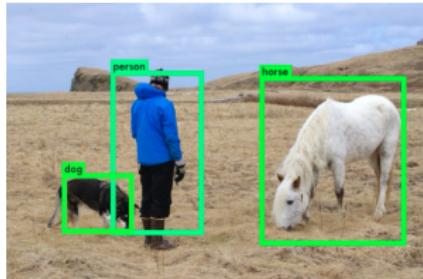


Figure 3: A sample result produced by YOLO detector.



Figure 4: A sample result produced by our solution.

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- ▶ Two-stages approaches
  - ▶ R-CNN
  - ▶ SPP-net
  - ▶ Fast R-CNN
  - ▶ Faster R-CNN
  - ▶ Mask R-CNN
- ▶ One-stage approaches
  - ▶ YOLO v1
  - ▶ YOLO v2
  - ▶ **YOLO v3**
  - ▶ SSD

## YOLO v3 Model Review

Model	Train	Test	mAP	FLOPS	FPS
SSD300	COCO trainval	test-dev	41.2	-	46
SSD500	COCO trainval	test-dev	46.5	-	19
YOLOv2 608x608	COCO trainval	test-dev	48.1	62.94 Bn	40
Tiny YOLO	COCO trainval	test-dev	23.7	5.41 Bn	244
<hr/>					
SSD321	COCO trainval	test-dev	45.4	-	16
DSSD321	COCO trainval	test-dev	46.1	-	12
R-FCN	COCO trainval	test-dev	51.9	-	12
SSD513	COCO trainval	test-dev	50.4	-	8
DSSD513	COCO trainval	test-dev	53.3	-	6
FPN FRCN	COCO trainval	test-dev	59.1	-	6
Retinanet-50-500	COCO trainval	test-dev	50.9	-	14
Retinanet-101-500	COCO trainval	test-dev	53.1	-	11
Retinanet-101-800	COCO trainval	test-dev	57.5	-	5
YOLOv3-320	COCO trainval	test-dev	51.5	38.97 Bn	45
YOLOv3-416	COCO trainval	test-dev	55.3	65.86 Bn	35
YOLOv3-608	COCO trainval	test-dev	57.9	140.69 Bn	20

**Figure 5:** Performance on the COCO dataset.

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# Object Retrieval

In object retrieval, you are given a query image  $p$  and a set of gallery images  $G$ . Your task is to find the most likely image  $g \in G$  for which both  $p$  and  $g$  represent the same instance but captured by different cameras.

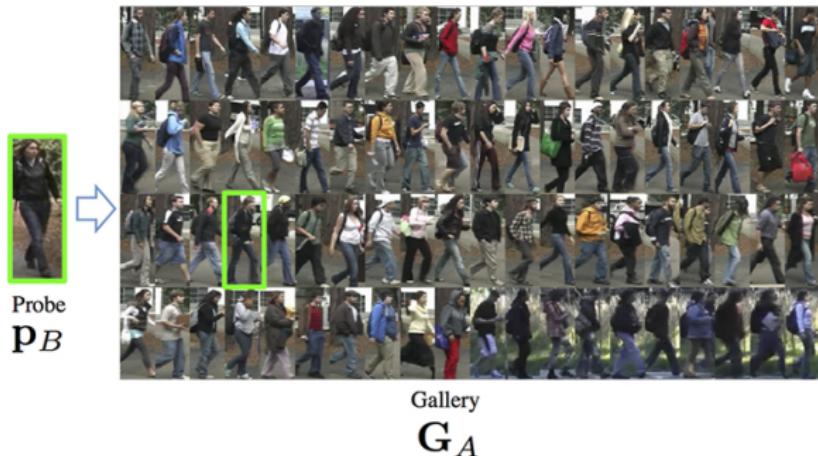


Figure 6: An example of person retrieval process <sup>1</sup>.

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<sup>1</sup>Image is from <https://www.micc.unifi.it/projects/person-re-identification/> 15/57

# Existing Solutions for Person Retrieval

- ▶ **Identification model**
- ▶ Verification model
- ▶ **Distance metric-based model**
- ▶ Parts-based model
- ▶ Others

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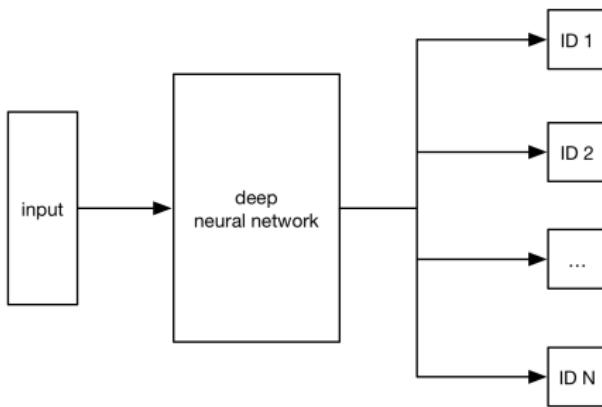
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## Identification Model Review

ID model: the retrieval problem  $\rightarrow$  classification problem.

- ▶ For each input, try to tag it with a label.
  - ▶ The training is guided by a cross-entropy loss function.
  - ▶ The network is actually served as a feature extractor.



# Triplet Model Review

- ▶ triplet unit
    - ▶ anchor
    - ▶ positive
    - ▶ negative
  - ▶ distance function
    - ▶ euclidean distance
    - ▶ cosine similarity
  - ▶ loss
    - ▶ batch-all loss
    - ▶ batch-hard loss

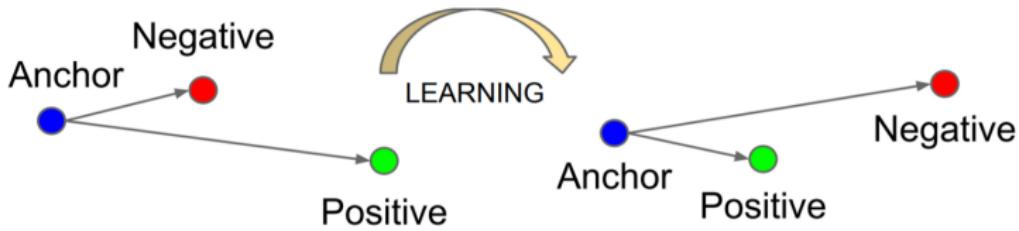


Figure 7: The learning objective of the triplet model [13].

# Available Software

For device abstraction:

- ▶ Freenect and Freenect2
- ▶ RealSense SDK
- ▶ OpenNI2 and NiTE2
- ▶ .....

For image processing and visualization:

- ▶ OpenCV
- ▶ OpenGL
- ▶ .....

For deep learning development:

- ▶ TensorFlow + Keras
- ▶ Pytorch
- ▶ .....

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# Why Framework Solution ?

Features of a software framework perfectly fit to the needs of our solution so we decide to choose the framework solution.

What is a software framework [11]:

- ▶ frozen spot
- ▶ hot spot

Key features of a framework [2]:

- ▶ inversion of control
- ▶ non-modifiable framework code
- ▶ extensibility

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## Design Overview

Our framework named OpenISS consists of two parts:

- ▶ core framework
  - ▶ specialized framework

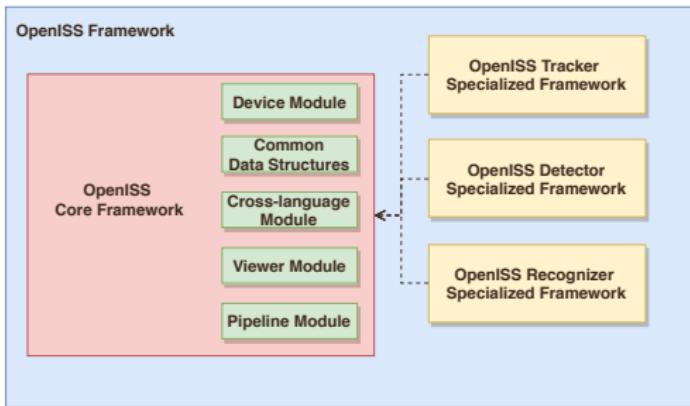


Figure 8: OpenISS framework architecture.

# Design of Device Module

- ▶ abstract common APIs, create an abstract class
- ▶ factory design pattern

OIDevice
+ virtual ~OIDevice() = default + virtual *rawDevice() : void = 0 + virtual init() : void = 0 + virtual open() : void = 0 + virtual close() : void = 0 + virtual enableColor() : bool = 0 + virtual enableDepth() : bool = 0 + virtual enableRegistered() : bool = 0 + virtual enable() : bool = 0 + virtual getIntrinsic(StreamType streamType) : Intrinsic = 0 + virtual getExtrinsic(StreamType from, StreamType to) : Extrinsic = 0 + virtual getDepthScale() : float = 0 + virtual readFrame(StreamType frameType) : OIFrame * = 0

OIDevFactory
+ create(string) : OIDevice &

Figure 9: Design of device module within OpenISS core framework.

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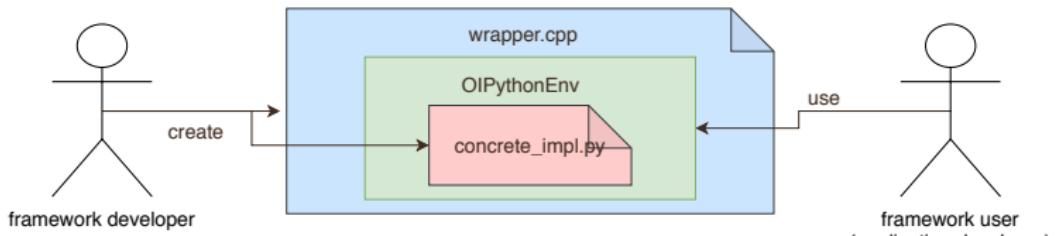
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# Design of Cross-language Module



- ▶ most of the existing solutions are written in Python
- ▶ our framework solution is written in C++

OIPythonEnv
- modules std::unordered_map<char*, PyObject*>
+ OIPythonEnv()
+ ~OIPythonEnv()
+ initPyWorkingPath(std::vector<std::string> paths) : void
+ showWorkingPath() : void
+ importPyModule(char *name) : void
+ getPyModule(char *name) : PyObject *
+ createPyInstance(char *moduleName, char *className, const char *format) : PyObject *
+ loadPyMethod(PyObject *callerName, char *funcName) : PyObject *
+ invokePyMethod(PyObject *callerName, PyObject *args) : PyObject *

Figure 10: Design of cross-language module within OpenISS core framework.

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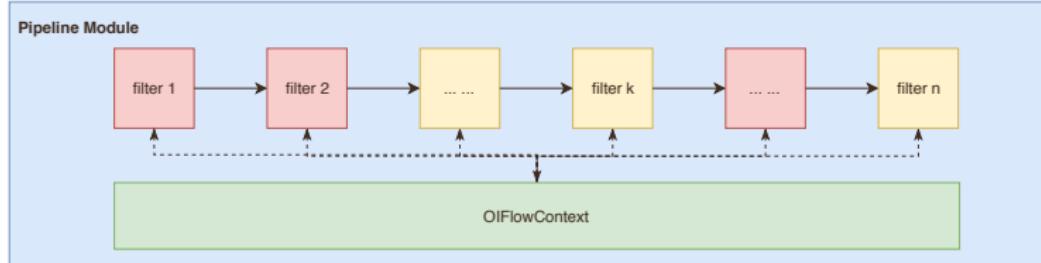
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# Design of Pipeline Module



- ▶ execution engine
- ▶ separate our functional modules as filters
- ▶ improve the reusability

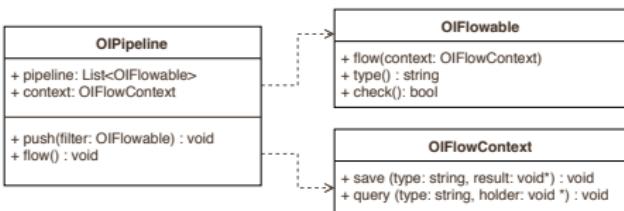


Figure 11: Design of pipeline module within OpenISS core framework.

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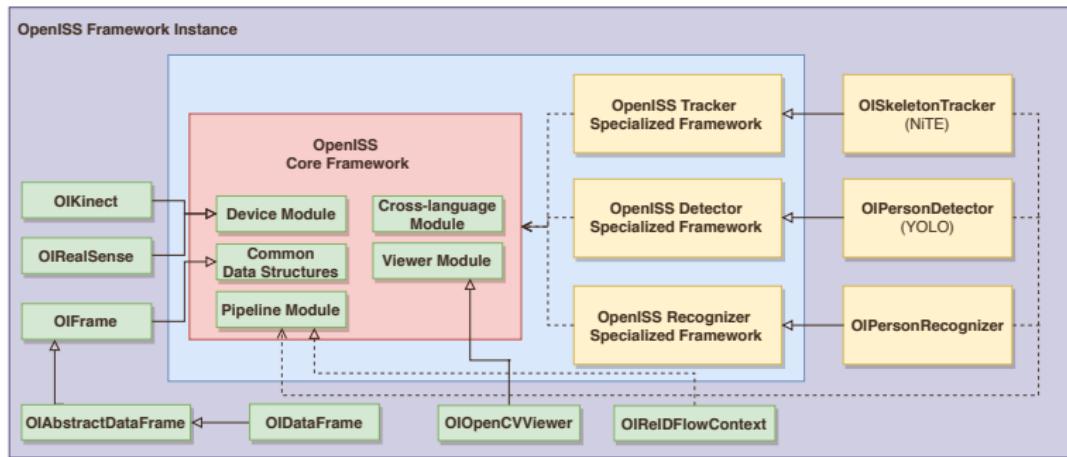


Figure 12: OpenISS framework instance architecture.

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# Device Module Instantiation

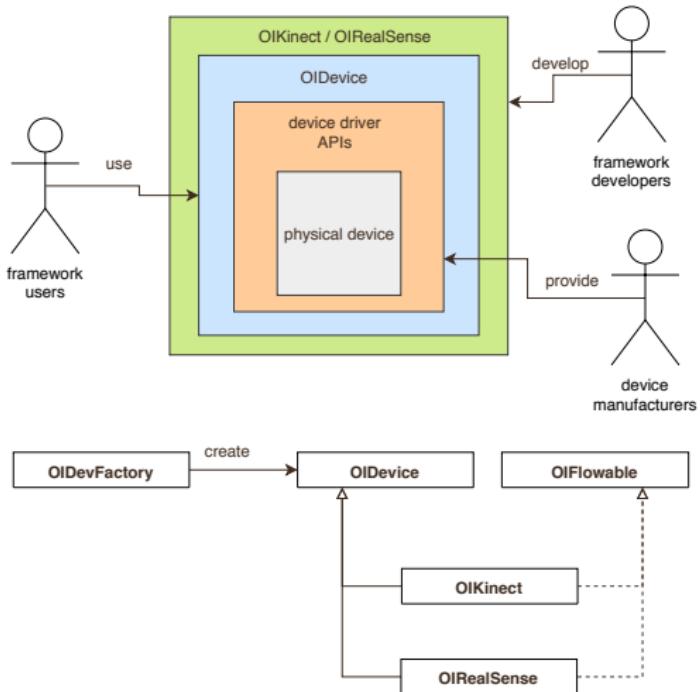


Figure 13: Instantiation of the device module in the core framework.

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# Tracker Instantiation

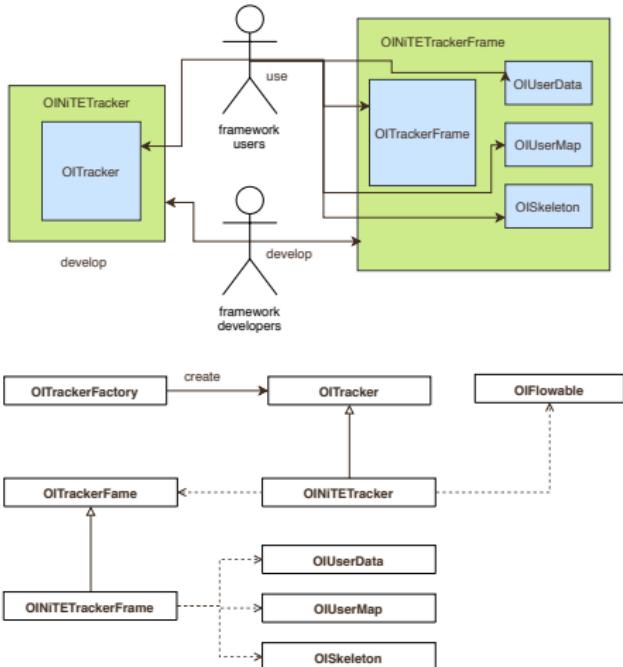


Figure 14: Instantiation of the tracker specialized framework.

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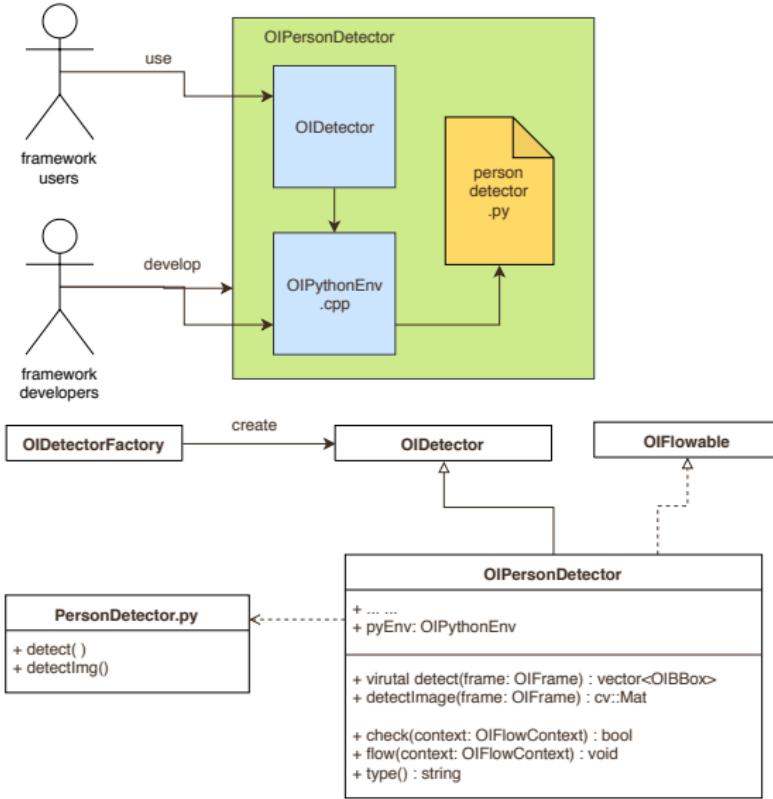
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# Detector Instantiation



**Figure 15:** Instantiation of the detector specialized framework.

# Recognizer Instantiation

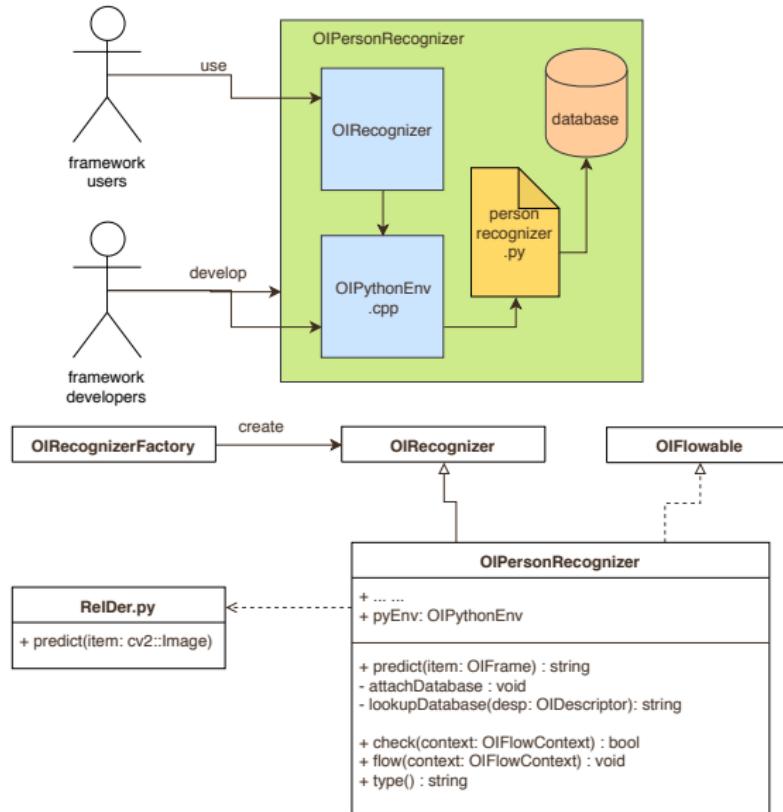


Figure 16: Instantiation of the recognizer specialized framework.

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Framework implementation aspect:

- ▶ Design and instantiate 5 core modules.
- ▶ Design and instantiate 3 specialized frameworks.

Deep learning-based model aspect:

- ▶ Re-implement the YOLO v3 model and retrain it from an object detector to person detector with existing training facilities <sup>2</sup>.
- ▶ Integrate the mAP benchmark facilities <sup>3</sup> for YOLO model.
- ▶ Re-implement the recognizer model from scratch on top of TensorFlow and Keras with reference <sup>4</sup> in Pytorch.

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<sup>2</sup><https://github.com/qzwweee/keras-yolo3>

<sup>3</sup><https://github.com/Cartucho/mAP>

<sup>4</sup><https://github.com/michuanhaohao/reid-strong-baseline>

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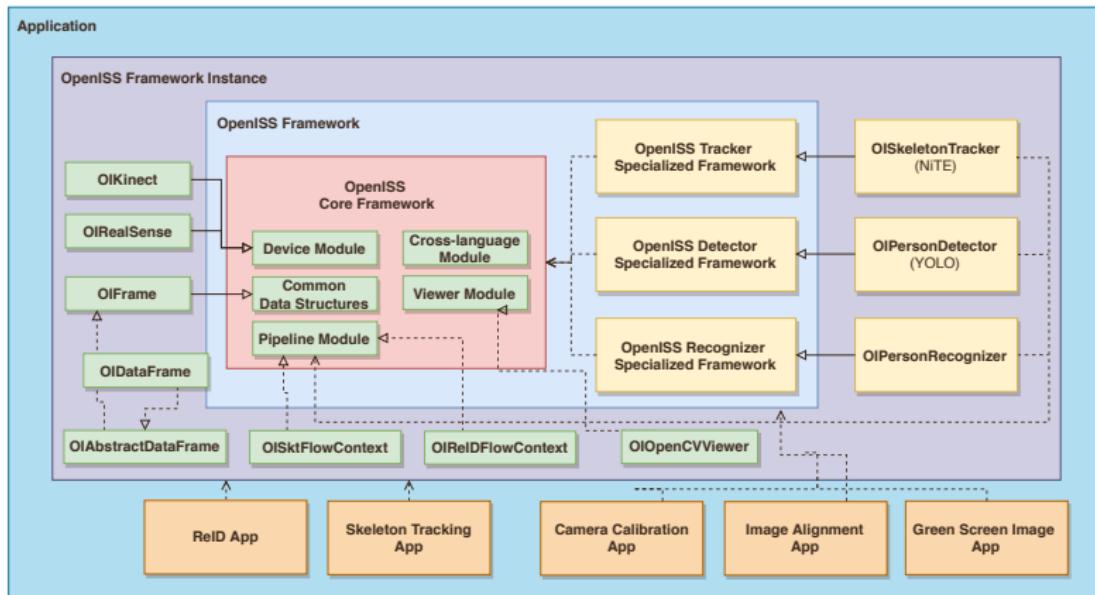
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**Figure 17:** OpenISS framework instance architecture with applications.

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# ReID Application

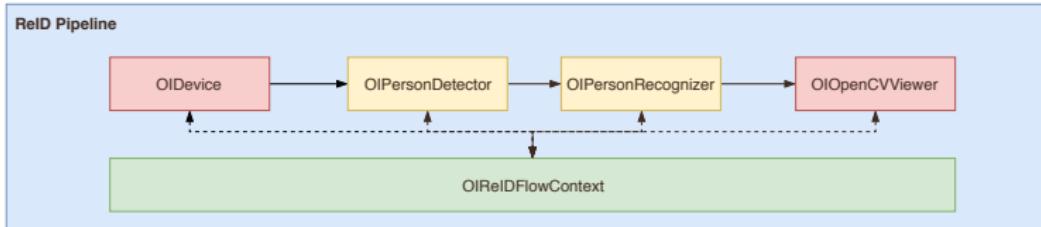


Figure 18: Skeleton tracking pipeline.

```
1 devF ← create a device factory
2 detF ← create a detector factory
3 recogF ← create a recognizer factory
4 db ← create a database for recognizer
5
6 noEcsPressed = True
7 device = devF.create("name of the device")
8 detector = detF.create("name of detector")
9 recognizer = recogF.create("name of recognizer")
10 recognizer.attachDatabase(db)
11
12 reidContext = new OIReIDFlowContext
13 reidPL = new OIPipeline(reidContext)
14 reidPL.push(dev)
15 reidPL.push(detector)
16 reidPL.push(recognizer)
17 reidPL.push(new OIOpenCVViewer)
18
19 while noEcsPressed do
20   reidPL.flow(reidContext)
21   if isEcsPressed then
22     noEcsPressed = False
```

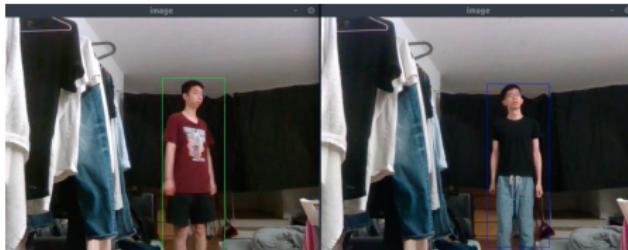


Figure 19: Person ReID application.

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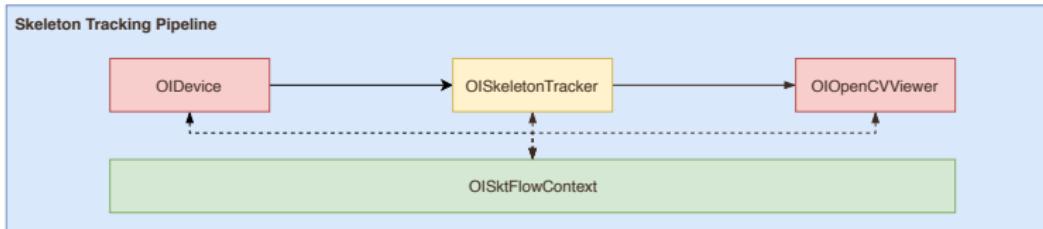
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# Skeleton Tracking Application



**Figure 20:** Skeleton tracking pipeline.

```

1 devF ← create a device factory
2 tkF ← create a tracker factory
3
4 noEcsPressed = True
5 device = devF.create("name of the device")
6 tracker = tkF.create("name of the tracker")
7
8 sktContext = new OISktFlowContext
9 sktPL = new OIPipeline(sktContext)
10 sktPL.push(dev)
11 sktPL.push(tracker)
12 sktPL.push(new OIOpenCVViewer)
13
14 while noEcsPressed do
15   | sktPL.flow(sktContext)
16   | if isEcsPressed then
17     |   | noEcsPressed = False

```

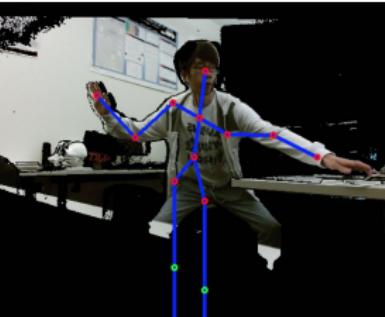


Figure 21: Skeleton tracking application.

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# Other Applications

Other applications:

- ▶ camera calibration
- ▶ image alignment
- ▶ green screen image

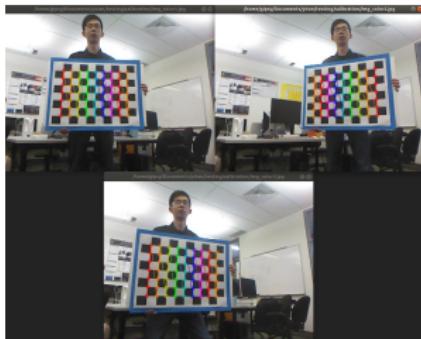


Figure 22: Camera calibration application.



Figure 23: Green screen image application.

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## ► Functional Requirements

- ▶ person re-identification
- ▶ skeleton tracking
- ▶ device abstraction

## ► Non-functional Requirements

- ▶ real-time response
  - ▶ higher than 10 FPS, human perceive them as motion [1]
  - ▶ our solution achieve 12 FPS (acceptable)
- ▶ accuracy
  - ▶ ranked 9th/32 on Market1501 dataset
  - ▶ ranked 7th/27 on DukeMTMC-reid dataset
- ▶ extensibility
  - ▶ device module
  - ▶ tracker specialized framework
- ▶ usability
  - ▶ 1 line of application code change for switching device
  - ▶ 36 lines of application code to enable person ReID feature

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# Environment Setting

Two environment settings:

- ▶ setting 1: a desktop machine
- ▶ setting 2: a powerful GPU cluster

Setting	Name	Amount	Device
1 (Desktop Machine)	Memory	1	8 GB
	Processor	1	Intel Core i5-3470 CPU @3.20GHz × 4
	Graphics	1	GeForce GTX 1070 Ti (8GB memory)
	OS	N/A	Ubuntu 18.04.1 LTS 64-bit
2 (Virya Cluster)	Memory	1	400 GB
	Processor	1	72-core CPU
	Graphics	8	Tesla V100 (32 GB memory)
	OS	N/A	Scientific Linux

Table 1: Environment hardware specification.

Software	Setting 1	Setting 2
Python	3.6.7	3.6.8
TensorFlow	1.12.0	1.13.1
Keras	2.2.4	2.2.4
Keras-application	1.0.6	1.0.7
Keras-preprocessing	1.0.5	1.0.9

Table 2: Environment software specification.

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We retrain the following two models using the same facilities and validate them using the same dataset.

- ▶ Our person detector achieves **76.08%** mAP.
- ▶ YOLO v3 object detector achieves **81.95%** mAP on person category.

But,

- ▶ person detector can train faster
- ▶ no extra step will be needed to eliminate non-person result

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dataset	subset	# pids	# images	# cameras
Market1501	train	751	12936	6
	query	750	3368	6
	gallery	751	15913	6
CUHK03-NP	train	767	7368	2
	query	700	1400	2
	gallery	700	5327	2
Duke-MTMC	train	702	16522	8
	query	702	2228	8
	gallery	1110	17661	8

Table 3: Statistic for three popular ReID datasets

Since the names for these dataset are too long:

- ▶ M → Market1501 dataset
- ▶ C → CUHK03 dataset
- ▶ D → Duke-MTMC reid dataset

# Person Recognizer Evaluation

Our solution is ranked:

- ▶ 9<sup>th</sup> place (out of 32) on M dataset

0.904 CMC | 0.769 mAP

- ▶ 7<sup>th</sup> place (out of 27) on D dataset

0.840 CMC | 0.704 mAP

Rank	Method	CMC	mAP	Year
1	Auto-ReID	95.4	94.2	2019
2	DG-Net(RK)	95.4	92.49	2019
3	Parameter-Free Spatial Attention	94.7	91.7	2018
4	MGN	95.7	86.9	2018
6	DG-Net	94.8	86.0	2019
6	OSNet	94.8	84.9	2019
7	PCB + RPP	93.8	81.6	2017
8	PCB	92.3	77.4	2017
9	GLAD*	89.9	73.9	2017
10	Incremental Learning	89.3	71.8	2018

Table 4: State of the art result on Market1501 dataset **top 10 over total 32** [4].

Rank	Method	CMC	mAP	Year
1	Auto-ReID	91.4	89.2	2019
2	DG-Net(RK)	90.26	88.31	2019
3	Parameter-Free Spatial Attention	89.0	85.9	2018
4	MGN	88.7	78.4	2018
6	DG-Net	86.6	74.8	2019
6	OSNet	88.6	73.5	2019
7	PCB (RPP)	83.3	69.2	2017
8	PCB (UP)	81.8	66.1	2017
9	SVDNet + Random Erasing	79.3	62.4	2017
10	Incremental Learning	80.0	60.2	2018

Table 5: State of the art result on DukeMCMCT-reid dataset **top 10 over total 27** [3].

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We have performed four comparisons for our recognizer model:

- ▶ Comparison between two different triplet loss functions.
- ▶ Comparison between two environment settings.
- ▶ In-dataset validation.
- ▶ Cross-dataset validation.

# Person Recognizer Evaluation

## Comparison between two different triplet loss functions

- ▶ batch all: all samples within a batch will contribute to the loss
- ▶ batch hard: only the hardest samples will contribute to the loss

$$L_{BA} = \sum_{i=1}^P \sum_{a=1}^K \sum_{p=1}^K \sum_{j=1}^P \sum_{\substack{n=1 \\ p \neq a \\ j \neq i}}^K [m + d_{j,a,n}^{i,a,p}]_+ \quad (1)$$

$$L_{BH} = \sum_{i=1}^P \sum_{a=1}^K [m + \max_{p=1 \dots K} D(f_\theta(x_a^i), f_\theta(x_p^i)) - \min_{\substack{n=1 \dots K \\ j \neq i}} D(f_\theta(x_a^i), f_\theta(x_n^j))]_+ \quad (2)$$

Triplet Loss	CMC (top 5)	mAP
triplet all	[0.904, 0.941, 0.952, 0.964, 0.969]	<b>0.769</b>
triplet hard	[0.878, 0.924, 0.946, 0.955, 0.963]	<b>0.715</b>

Table 6: Validation result on the model trained with Market1501 dataset guided by two different loss functions.

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Triplet Loss	Metric	Setting 1	Setting 2
triplet all	CMC (top 2)	[0.904, 0.947]	[0.904, 0.941]
	mAP	0.774	0.769
	training time (min)	<b>244</b>	<b>133</b>
triplet hard	CMC (top 2)	[0.871, 0.923]	[0.878, 0.924]
	mAP	0.705	0.709
	training time (min)	<b>237</b>	<b>134</b>

Table 7: Training result with two different settings on the same Market1501 dataset.

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## In-dataset validation

Dataset \ Metrics	CMC (top 5)	mAP
Market1501	[0.904, 0.941, 0.952, 0.964, 0.969]	0.769
CUHK03	[0.502, 0.586, 0.641, 0.683, 0.721]	0.500
DukeMTMC-reID	[0.840, 0.884, 0.904, 0.919, 0.926]	0.704

Table 8: In dataset validation of our person retrieval model guided by triplet all loss on setting 2.

## Cross-dataset validation

Metrics / Dataset	M → D	D → M
CMC	[0.272, 0.339, 0.379, 0.404, 0.420]	[0.474, 0.548, 0.587, 0.618, 0.643]
mAP	0.150	0.211

Table 9: Cross-dataset validation result between Market1501 and DukeMTMC-reID dataset on setting 2. M → D represents the model trained on Market1501 dataset and tested on DukeMTMC-reID dataset.

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- ▶ Propose a general framework solution.  
detection | recognition | tracking
- ▶ Design and implement a device module for depth cameras encapsulation.  
Kinect v1 | Kinect v2 | RealSense D435
- ▶ Design and implement five applications to demonstrate the capability of our solution.  
RelD app. | skeleton tracking app. | camera calibration, image alignment, green image apps.
- ▶ Retrain the YOLO object detection model to become a person detection model
- ▶ Re-implement a person re-identification network combined with identification model and triplet model ranked 9th and 7th respectively for two most popular datasets.

# Limitations

- ▶ Our ReID application currently requires us to prepare an image database in advance.
- ▶ Our green screen application currently requires the user to select the filtering distance.
- ▶ Our camera calibration application now can only calibrate normal cameras but not IR cameras.
- ▶ Our model likely will fail under some special environments.
  - ▶ no or only dim lighting condition for recognizer
  - ▶ tracking object which moves in a extremely high speed

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# Future work

- ▶ real-time machine learning for person tracking
- ▶ Java API wrapper
- ▶ more devices support
- ▶ integrate more detection and retrieval algorithms
- ▶ integrate with the live artistic show

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- ▶ Examining Committee: Drs. Jingqiu Yang, Nematollaah Shiri, and Aiman Hanna;
- ▶ Labmates: Yiran Shen, Jashanjot Singh, Jyotsana Gupta;
- ▶ Parents: Qin Luo and Yong Lai;
- ▶ Friends: Yixin Yao, Chen Feng, Jing Yang, Xingjian Zhang, Outong Li, Bo Li, Qinwei Luo, and Jingye Hou.
- ▶ Friends: Xuyi Huang, Zijian Kong, and Haien Long.
- ▶ The audience;

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Scalable person re-identification: A benchmark.  
In *Computer Vision, IEEE International Conference on* (2015).



# Non-maximum Suppression

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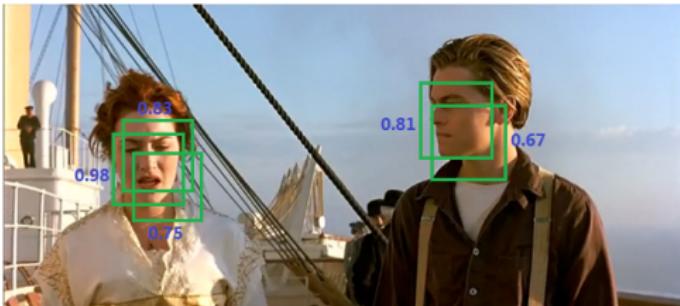


Figure 24: Before NMS

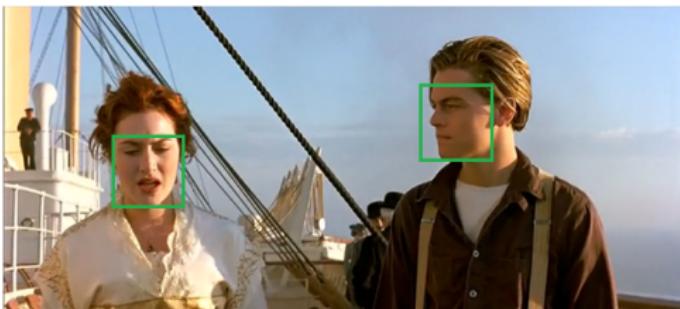


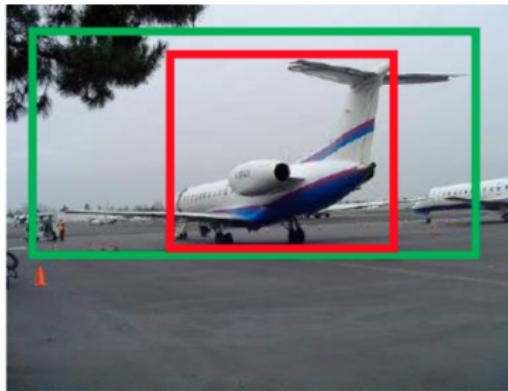
Figure 25: After NMS

# Bounding-box Regression

Appendices

Green box: the ground truth bounding box;

Red box: selective search region proposal;



Bounding-box regression is used to adjust the red box to make it as close as possible to the green one.

# YOLO v3 Model

Appendices

- ▶ Backbone network: Darknet-53;
- ▶ Anchor: total 9, but 3 for each scale;
- ▶ Logistic vs. Softmax: support multi-label classification;
- ▶ Objectness score for each box instead of each location;

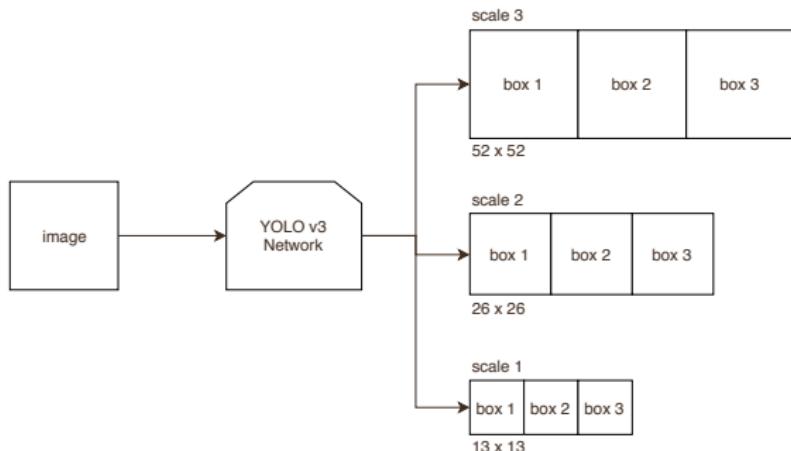


Figure 26: YOLO v3 process flow.

# Detector Instantiation

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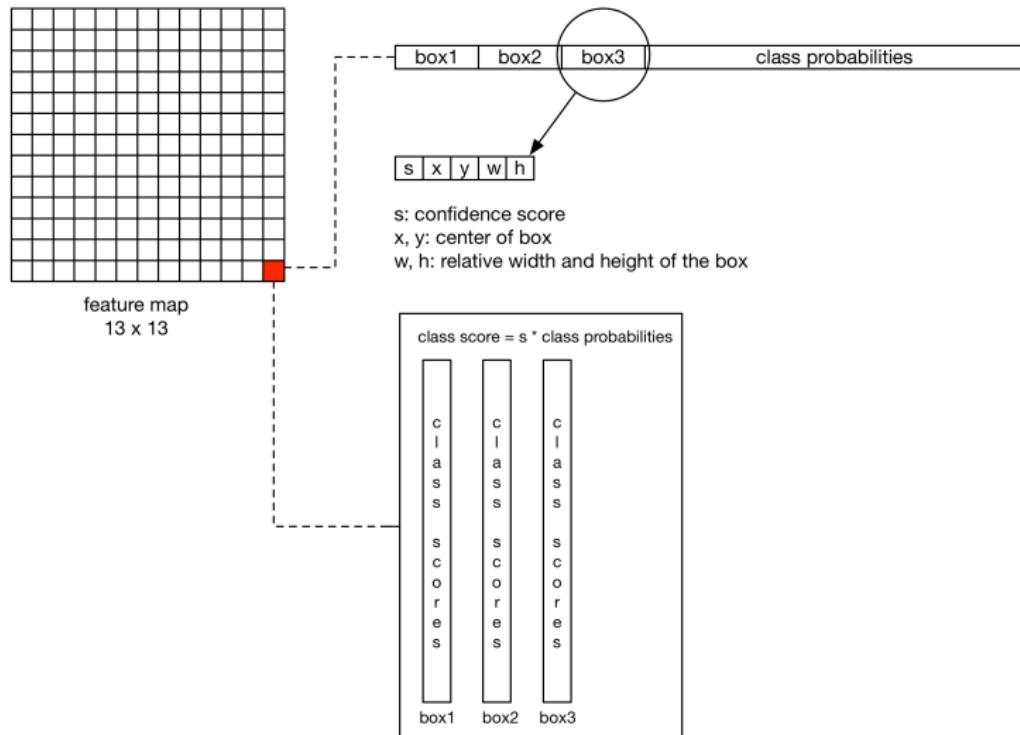


Figure 27: Calculation process of each cell in the feature map.

# Detector Instantiation

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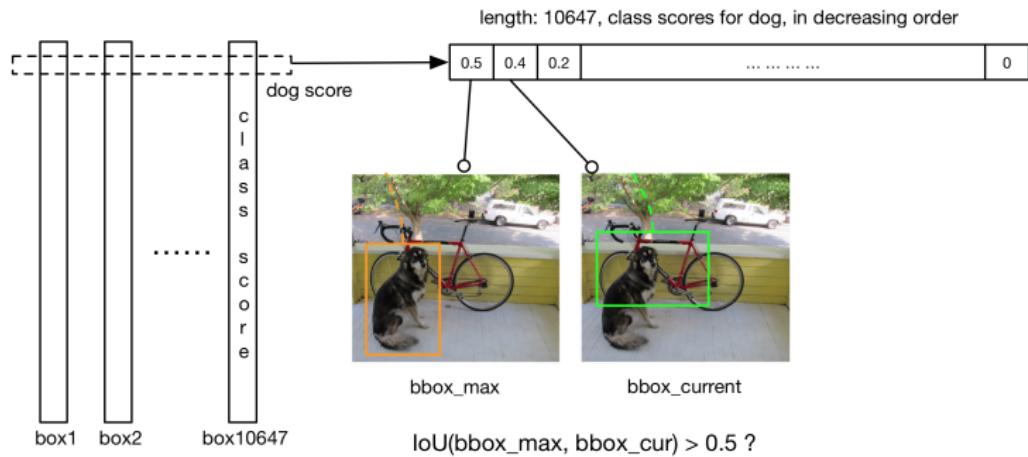
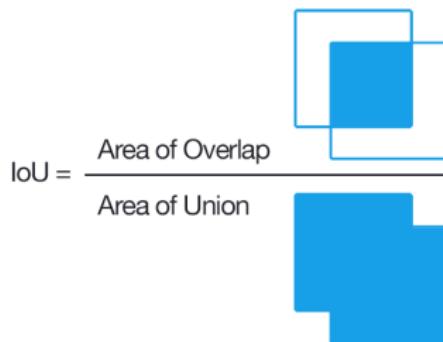


Figure 28: Non-maximum suppression process.

## Intersection over Union Overlap

Intersection over Union Overlap (IoU) is used to measure how close a given bounding box is to another box that is independent of the units used (pixels, etc).



Possible results for one detection operation:

- ▶ True positive, a correct detection where  $IOU \geq threshold$ .
- ▶ False positive, an incorrect detection where  $IOU < threshold$ .
- ▶ True negative, does not apply.
- ▶ False negative, a ground truth not detected.

## Precision and Recall

**Precision** is a fraction of relevant instances among the retrieved instances which can be used to measure how accurate is your prediction.

**Recall** is a fraction of relevant instances that have been retrieved over the total amount of relevant instances. It can be used to measure how good you find all the positives.

$$precision = \frac{\# \text{ true positive}}{\# \text{ true positive} + \# \text{ false positive}}$$

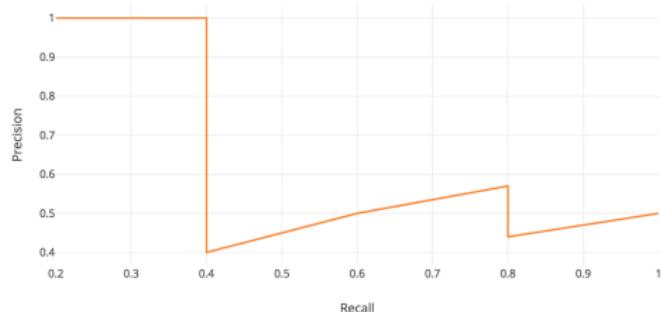
$$recall = \frac{\# \text{ true positive}}{\# \text{ true positive} + \# \text{ false negative}}$$

With precision and recall in hand, we can construct a Precision-Recall curve (P-R curve) which will be used to calculate our metric. The curve construction process can be described as the following:

1. Collect all the predictions that make for a particular class of objects. Rank them in decreasing order according to the confidence score given by the model.
2. Compare these predictions with ground truth to see if they are correct or not.
3. Calculate the precision and recall using the given formula for each prediction in the ranked list from top to bottom.

# Person Detector Evaluation

## Appendices



Rank	Result	precision	recall
1	true	1.0	0.2
2	true	1.0	0.4
3	false	0.67	0.4
4	false	0.5	0.4
5	false	0.4	0.4
6	true	0.5	0.6
7	true	0.57	0.8
8	false	0.5	0.8
9	false	0.44	0.8
10	true	0.5	1.0

Figure 29: An example of P-R curve. Left is the curve itself and right is the data used to plot this curve, assuming that there is a total of five positives in the data.

# Person Detector Evaluation

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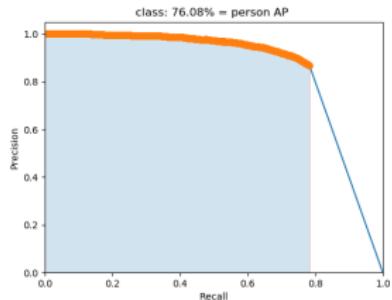


Figure 30: Person detection model's Precision-Recall curve on validation set.



Figure 31: Person detection result on validation set.

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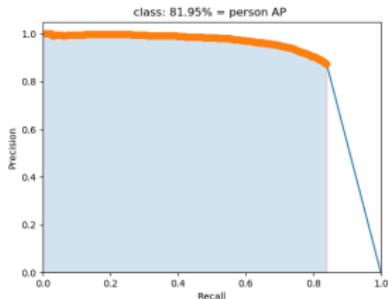


Figure 32: Object detection (with 20 classes supported)  
model's Precision-Recall curve on validation set.

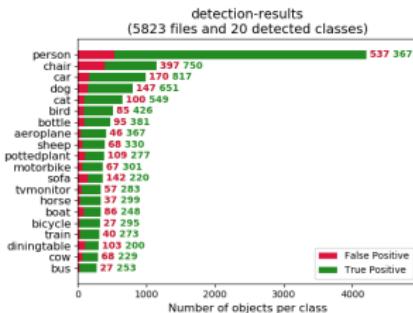
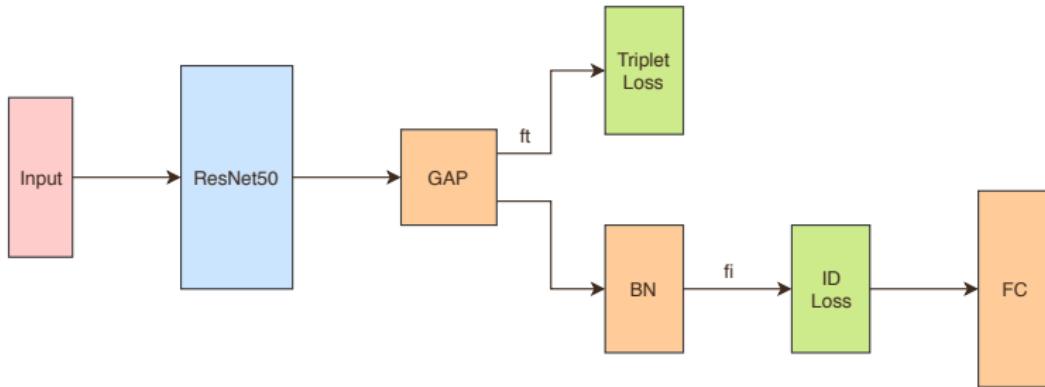


Figure 33: Object detection result on validation set.

# Recognizer Network Architecture

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**Figure 34:** Implemented recognizer network architecture. GAP: global average pooling layer, BN: batch normalization layer, FC: fully connected layer.  $f_t$ : features used to calculate triplet loss,  $f_i$ : features used for inference. The pink color represents input, blue means backbone network, orange represents a special layer and green means loss function layer.

## Recognizer Training Workflow

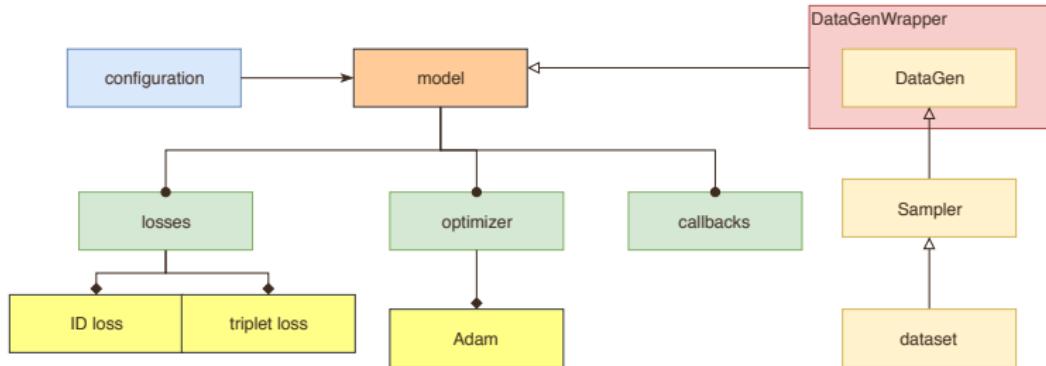


Figure 35: The workflow of the ReID training program

# Recognizer Training

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- ▶ batch size:  $16 \times 4 = 64$
- ▶ warm up learning rate strategy [5]
- ▶ triplet loss + ID loss
- ▶ Adam optimizer
- ▶ total training epochs 120 [9]

$$\text{lr}(t) = \begin{cases} 3.5 \times 10^{-5} \times \frac{t}{10} & \text{if } t \leq 10 \\ 3.5 \times 10^{-4} & \text{if } 10 < t \leq 40 \\ 3.5 \times 10^{-5} & \text{if } 40 < t \leq 70 \\ 3.5 \times 10^{-6} & \text{if } 70 < t \leq 120 \end{cases}$$

# Recognizer Training Visualization

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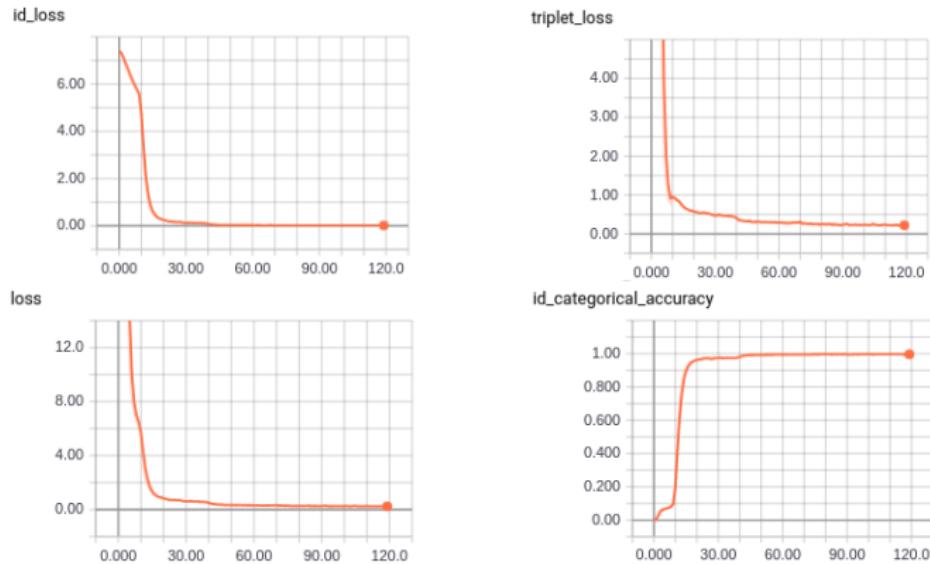


Figure 36: Training visualization diagram. Upper left: training identification loss curve. Upper right: training triplet hard loss curve. Lower left: total training loss curve. Lower right: training classification accuracy.