



# CS 540 Introduction to Artificial Intelligence

## **Games I**

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**April 1, 2021**

# Announcements

- **Homeworks:**
  - None!
- **Midterm:** grading nearly done.

- **Class roadmap:**

Thursday, April 1	Games I
Tuesday, April 6	Games II
Thursday, April 8	Search I
Tuesday, April 13	Search II

Artificial Intelligence

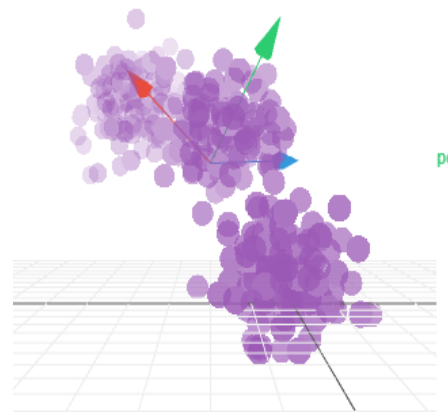
# Outline

- Introduction to game theory
  - Properties of games, mathematical formulation
- Simultaneous Games
  - Normal form, strategies, dominance, Nash equilibrium
- Sequential Games
  - Game trees, minimax, search approaches

# So Far in The Course

We looked at techniques:

- **Unsupervised:** See data, do something with it. Unstructured.
- **Supervised:** Train a model to make predictions. More structure.
  - Training: as taking actions to get a reward
- **Games:** Much more structure.



Victor Powell



indoor

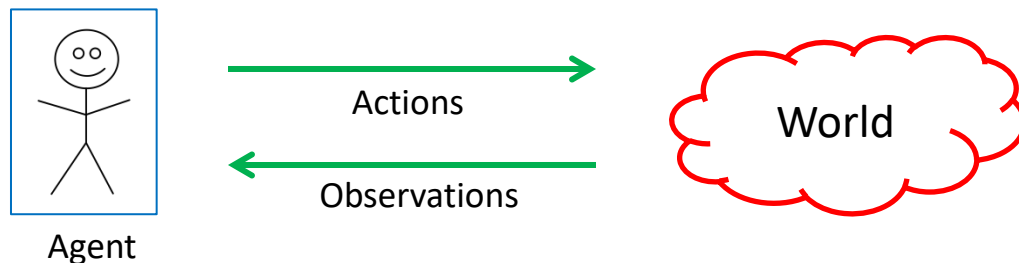


outdoor



# More General Model

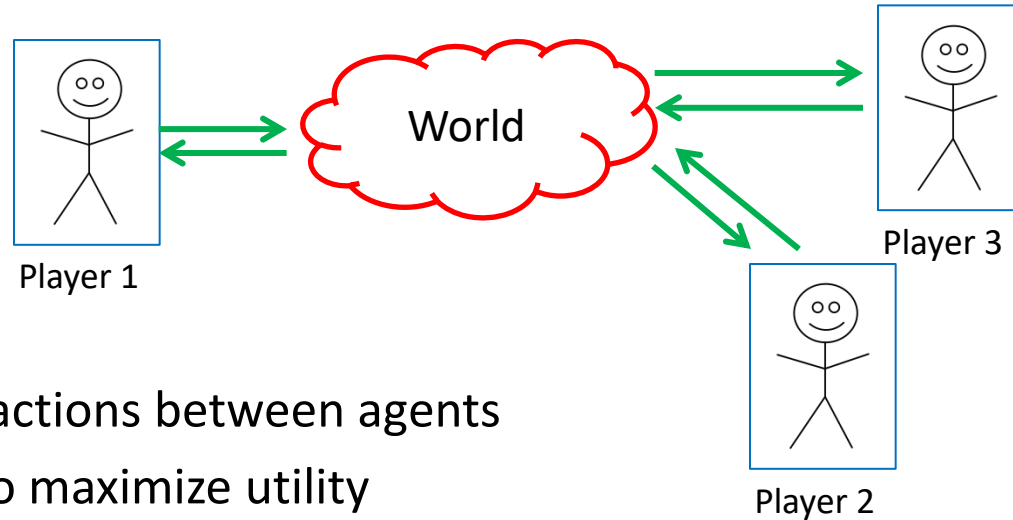
Suppose we have an **agent** **interacting** with the **world**



- Agent receives a reward based on state of the world
  - **Goal**: maximize reward / utility (\$\$\$)
  - Note: now **data** consists of actions & observations
  - Setup for decision theory, reinforcement learning, planning

# Games: Multiple Agents

## Games setup: multiple agents



- Now: interactions between agents
- Still want to maximize utility
- **Strategic** decision making.

# Modeling Games: Properties

Let's work through **properties** of games

- **Number** of agents/players
- State & action spaces: **discrete** or **continuous**
- **Finite** or **infinite**
- **Deterministic** or **random**
- **Sum**: zero or positive or negative
- **Sequential** or **simultaneous**



Wiki

# Property 1: **Number** of players

Pretty clear idea: 1 or more players

- Usually interested in  $\geq 2$  players
- Typically a finite number of players





## Property 2: **Discrete** or **Continuous**

Let's work through **properties** of games

- Recall the **world**. It is in a particular state, from a set of states
- Similarly, the actions the player takes are from an action space
- How big are these spaces? Finite, countable, uncountable?



## Property 3: **Finite** or **Infinite**

Let's work through **properties** of games

- Most real-world games **finite**
- Lots of single-turn games; end immediately
  - Ex: rock/paper/scissors
- Other games' rules (state & action spaces) enforce termination
  - Ex: chess under FIDE rules ends in at most 8848 moves
- **Infinite example:** pick integers. First player to play a 5 loses



## Property 4: **Deterministic** or **Random**

Let's work through **properties** of games

- Is there **chance** in the game?
- Note: randomness enters in different ways



# Property 5: Sums

Let's work through **properties** of games

- **Sum**: zero or positive or negative
- Zero sum: for one player to win, the other has to lose
  - No “value” created

		Blue		
Red		A	B	C
	1	30 -30	-10 10	20 -20
	2	-10 10	20 -20	-20 20

- Can have other types of games: positive sum, negative sum.
  - Example: prisoner's dilemma

# Property 6: **Sequential** or **Simultaneous**

Let's work through **properties** of games

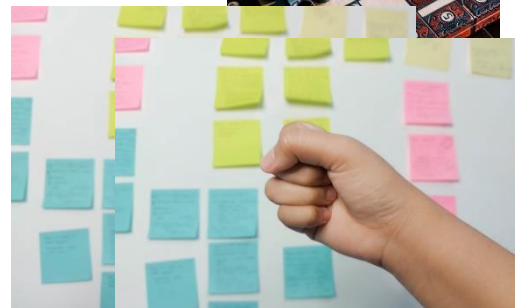
- **Sequential** or **simultaneous**
- Simultaneous: all players take action at the same time
- Sequential: take turns
- Simultaneous: players do not have information of others' moves. Ex: **RPS**
- Sequential: may or may not have **perfect information** (knowledge of all moves so far)



# Examples

Let's apply this to examples:

1. Chess: **2-player**, **discrete**, **finite**,  
**deterministic**, **zero-sum**, sequential  
(perfect information)
2. RPS: **2-player**, **discrete**, **finite**,  
**deterministic**, **zero-sum**, simultaneous
3. Mario Kart: **4-player**, **continuous**, **infinite**  
(?), **random**, **zero-sum**, simultaneous



# Another Example: Prisoner's Dilemma

**Famous** example from the '50s.

Two prisoners A & B. Can choose to betray the other or not.

- A and B both betray, each of them serves two years in prison
- One betrays, the other doesn't: betrayer free, other three years
- Both do not betray: one year each

Properties: 2-player, discrete, finite,  
deterministic, zero-sum, simultaneous



# Why Do These Properties Matter?

Categorize games in different groups

- Can focus on understanding/analyzing/“solving” particular groups
- **Abstract** away details and see common patterns
- Understand how to produce a “good” overall outcome





# How Does it Connect To Learning?

Obviously, learn how to play effectively

Also: suppose the players don't know something

- Ex: the reward / utility function is not known
- Common for real-world situations
  - How do we choose actions?
- Model the reward function and **learn it**
  - Try out actions and observe the rewards



# Simultaneous Games

Simpler setting, easier to analyze

- Can express reward with a simple diagram
- Ex: for prisoner's dilemma

		Player 2	
		<i>Stay silent</i>	<i>Betray</i>
Player 1	<i>Stay silent</i>	-1, -1	-3, 0
	<i>Betray</i>	0, -3	-2, -2

# Normal Form

Mathematical description of simult. games. Has:

- $n$  players  $\{1, 2, \dots, n\}$
- Player  $i$  strategy  $a_i$  from  $A_i$ . **All:**  $a = (a_1, a_2, \dots, a_n)$
- Player  $i$  gets rewards  $u_i(a)$  for any outcome
  - **Note:** reward depends on other players!
- Setting: all of these spaces, rewards are **known**

# Example of Normal Form

## Prisoner's Dilemma

Player 1	Player 2	
	<i>Stay silent</i>	<i>Betray</i>
<i>Stay silent</i>	-1, -1	-3, 0
<i>Betray</i>	0, -3	-2, -2

- 2 players: yields 2x2 matrix
- Strategies: {Stay silent, betray} (i.e, binary)
- Rewards: {0,-1,-2,-3}

# Dominant Strategies

Let's analyze such games. Some strategies are better

- Dominant strategy: if  $a_i$  better than  $a_i'$  *regardless* of what other players do,  $a_i$  is **dominant**
- I.e.,

$$u_i(a_i, a_{-i}) \geq u_i(a_i', a_{-i}) \forall a_i' \neq a_i \text{ and } \forall a_{-i}$$



All of the other entries  
of  $a$  excluding  $i$

- Doesn't always exist!

# Dominant Strategies Example

## Back to Prisoner's Dilemma

- Examine all the entries: betray dominates
- Check:

Player 1	Player 2	
	<i>Stay silent</i>	<i>Betray</i>
<i>Stay silent</i>	-1, -1	-3, 0
<i>Betray</i>	0, -3	-2, -2

- Note: normal form helps **locate** dominant/dominated strategies.

# Equilibrium

$a^*$  is an equilibrium if all the players do not have an incentive to **unilaterally deviate**

$$u_i(a_i^*, a_{-i}^*) \geq u_i(a_i, a_{-i}^*) \quad \forall a_i \in A_i$$

- All players dominant strategies  $\Rightarrow$  equilibrium
- Converse doesn't hold (don't need dominant strategies to get an equilibrium)

# Pure and Mixed Strategies

So far, all our strategies are deterministic: “**pure**”

- Take a particular action, no randomness

Can also randomize actions: “**mixed**”

- Assign probabilities  $x_i$  to each action

$$x_i(a_i), \text{ where } \sum_{a_i \in A_i} x_i(a_i) = 1, x_i(a_i) \geq 0$$

- Note: have to now consider **expected rewards**



# Nash Equilibrium

Consider the mixed strategy  $x^* = (x_1^*, \dots, x_n^*)$

- This is a **Nash equilibrium** if

$$u_i(x_i^*, x_{-i}^*) \geq u_i(x_i, x_{-i}^*) \quad \forall x_i \in \Delta_{A_i}, \forall i \in \{1, \dots, n\}$$



Better than doing  
anything else,  
“**best response**”



Space of  
probability  
distributions

- Intuition: nobody can **increase expected reward** by changing only their own strategy. A type of solution!

# Properties of Nash Equilibrium

Major result: (Nash '51)

- Every finite game has at least one Nash equilibrium
  - But not necessarily **pure** (i.e., deterministic strategy)
- Could be more than one!
- Searching for Nash equilibria: computationally **hard**!

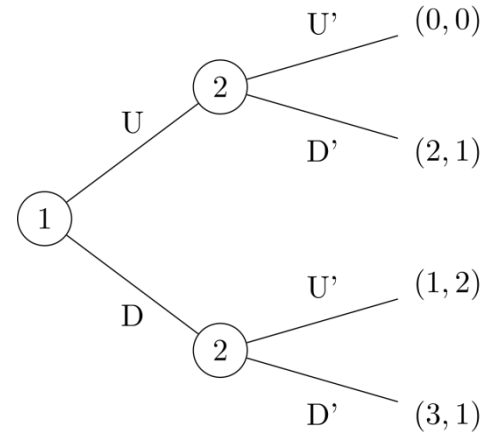
Example: rock/paper/scissors has  
( $1/3, 1/3, 1/3$ ) as a mixed strategy NE.



# Sequential Games

More complex games with multiple moves

- Instead of normal form, **extensive form**
- Represent with a **tree**
- Perform search over the tree
- Can still look for Nash equilibrium
  - Or, other criteria like **minimax**



# II-Nim: Example Sequential Game

2 piles of sticks, each with 2 sticks.

- Each player takes one or more sticks from pile
- Take last stick: lose

(ii, ii)

- Two players: **Max** and **Min**
- If **Max** wins, the score is **+1**; otherwise **-1**
- **Min**'s score is  $-\text{Max's}$
- Use **Max**'s as the score of the game

# Game Trajectory

(ii, ii)

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**Max** takes one stick from one pile

(i, ii)

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**Max** takes one stick from one pile

(i, ii)

**Min** takes two sticks from the other pile

(i, -)

# Game Trajectory

(ii, ii)

**Max** takes one stick from one pile

(i, ii)

**Min** takes two sticks from the other pile

(i, -)

**Max** takes the last stick

(-, -)

**Max** gets score **-1**



# Game tree for II-Nim

Two players:  
**Max** and **Min**

(ii ii) **Max**

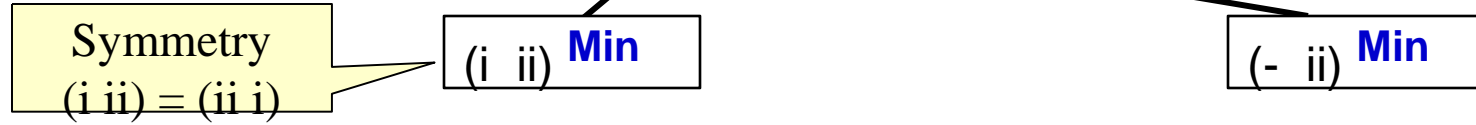
who is to move  
at this state

Convention: score is w.r.t. the first  
player Max. Min's score =  $- \text{Max}$

**Max** wants the largest score  
**Min** wants the smallest score

# Game tree for II-Nim

Two players:  
**Max** and **Min**

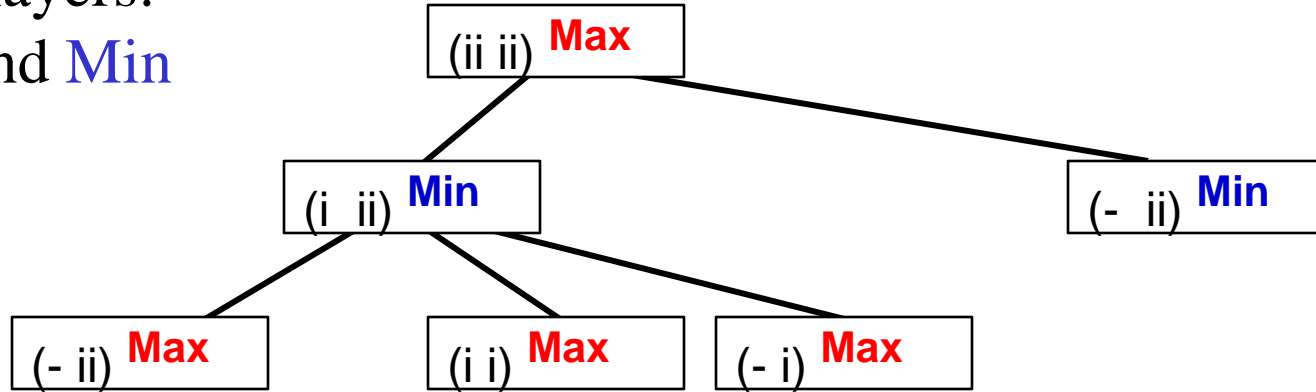


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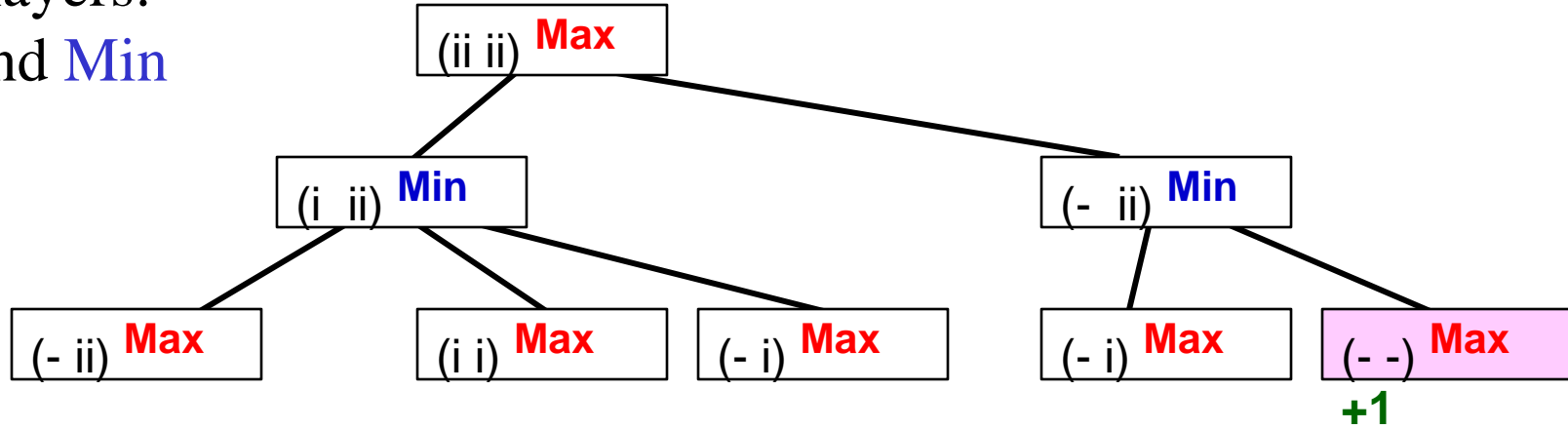


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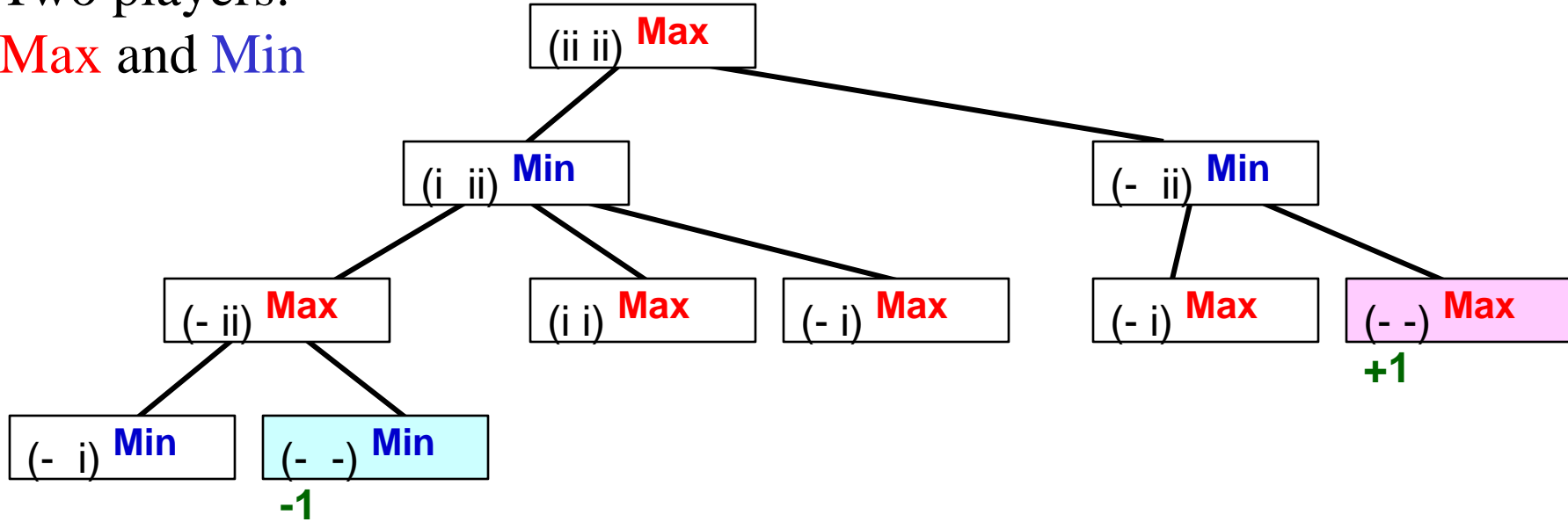
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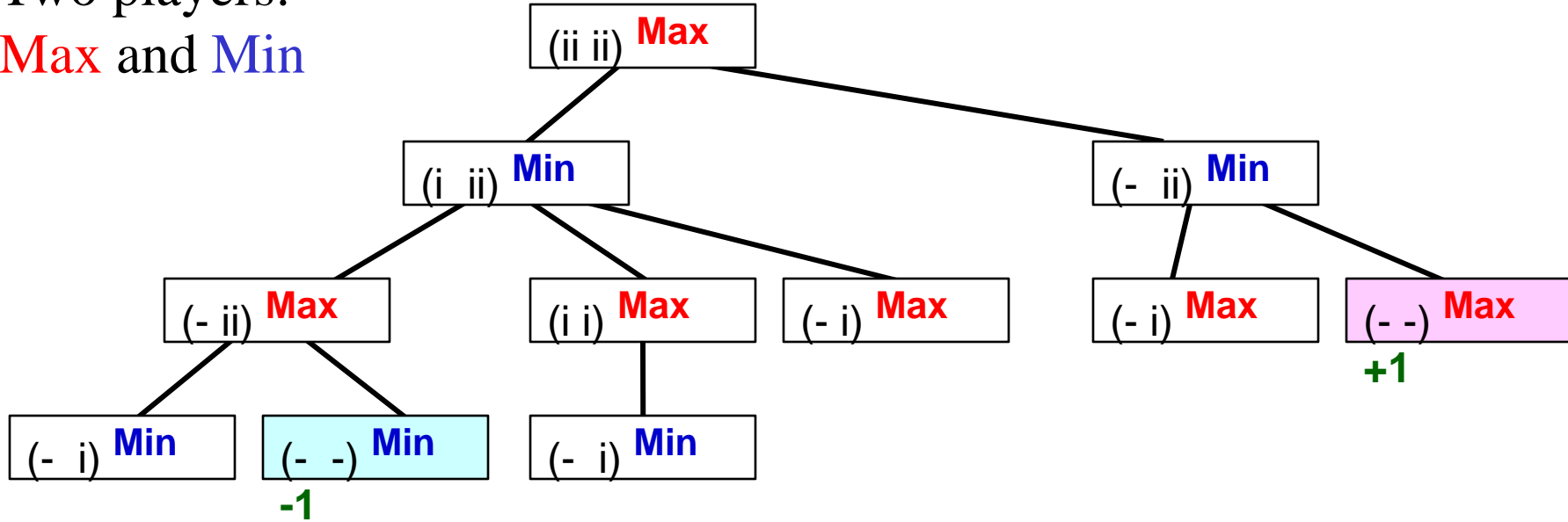
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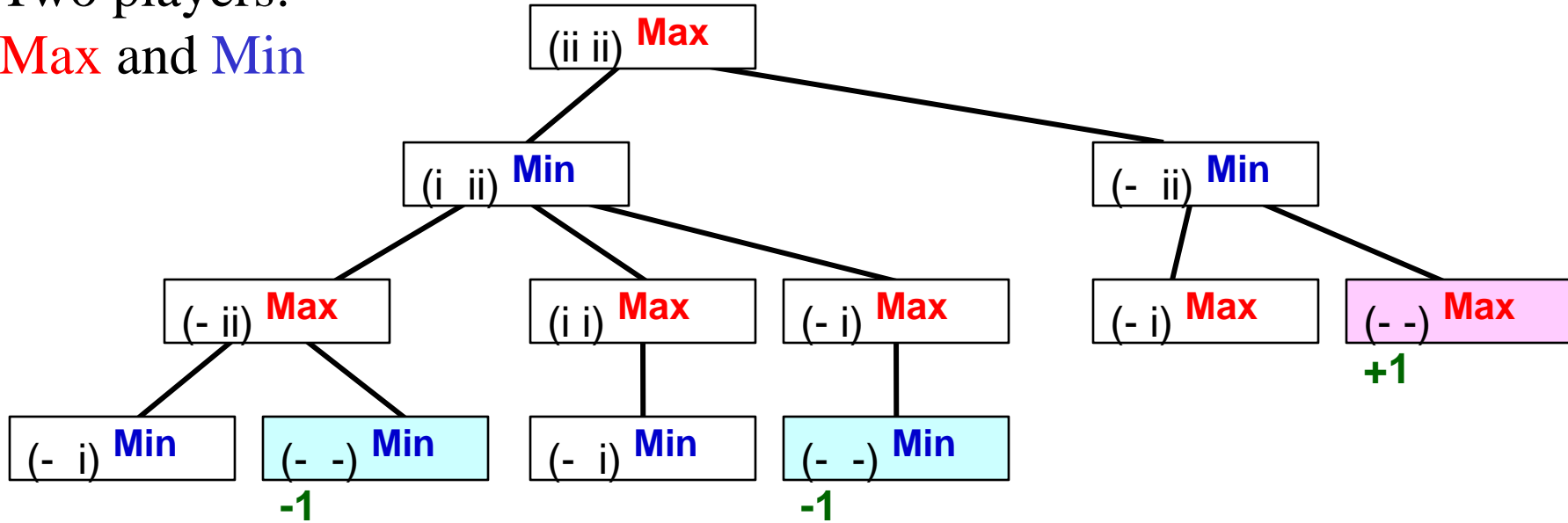
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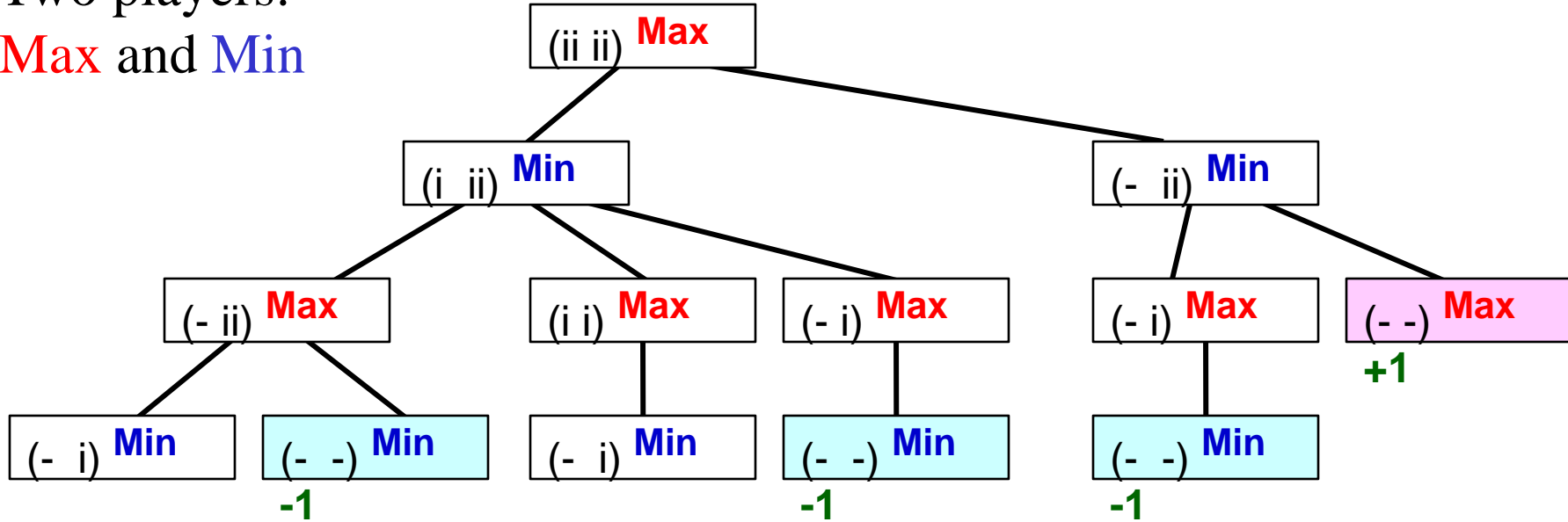
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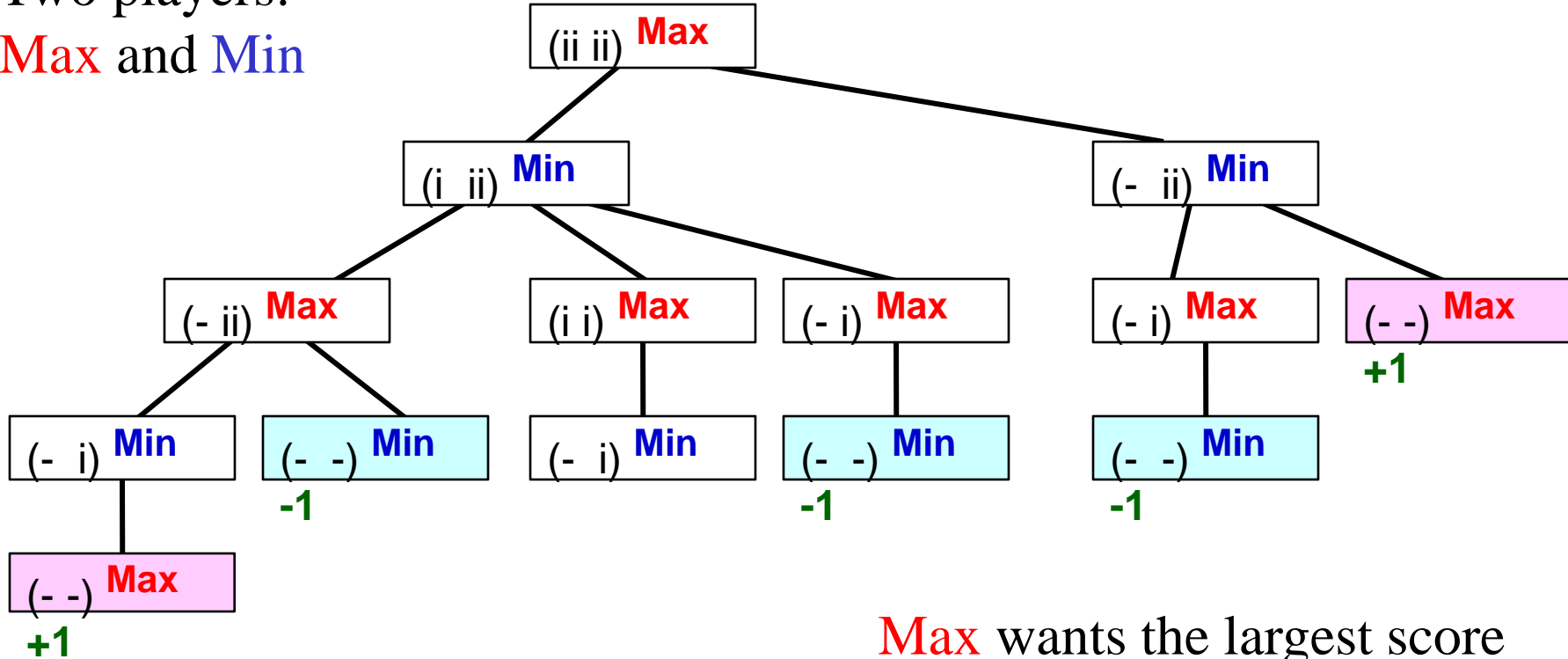


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# Game tree for II-Nim

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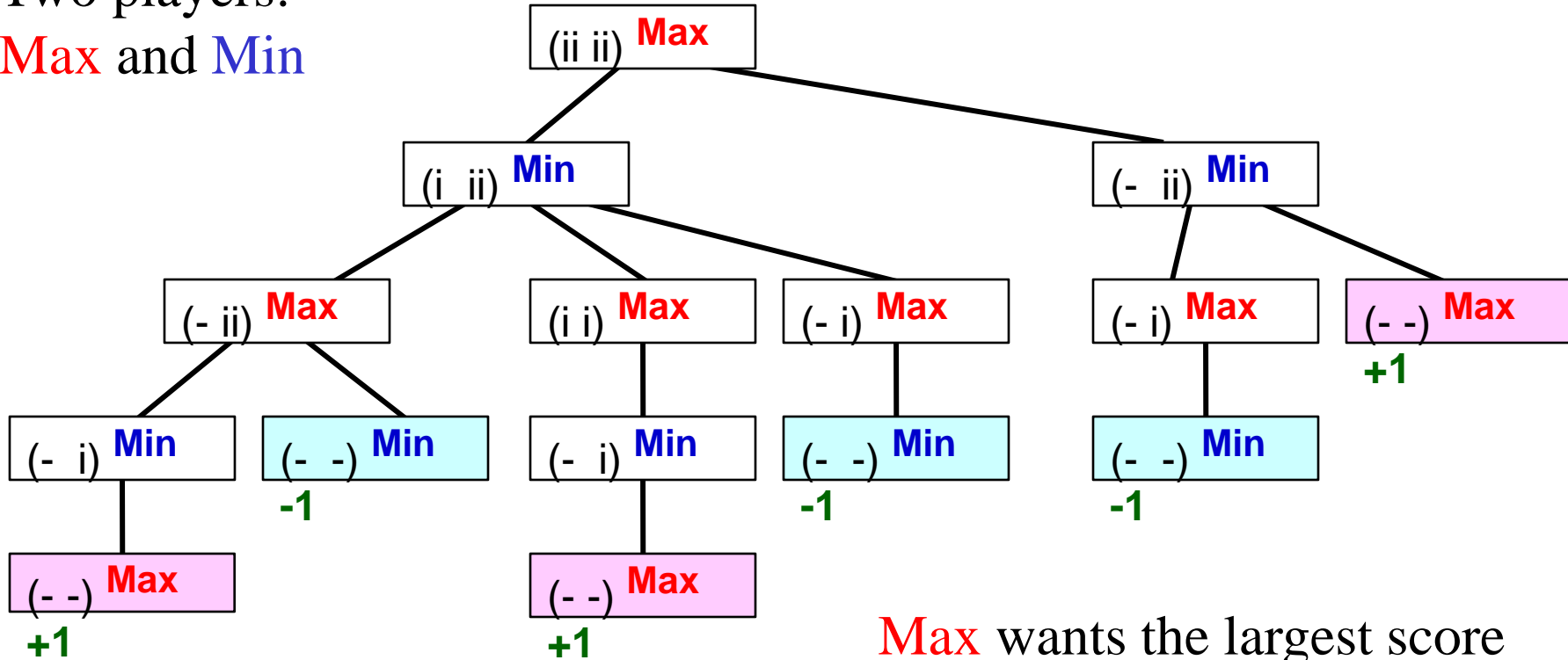


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# Game tree for II-Nim

# Two players:

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# Minimax Value

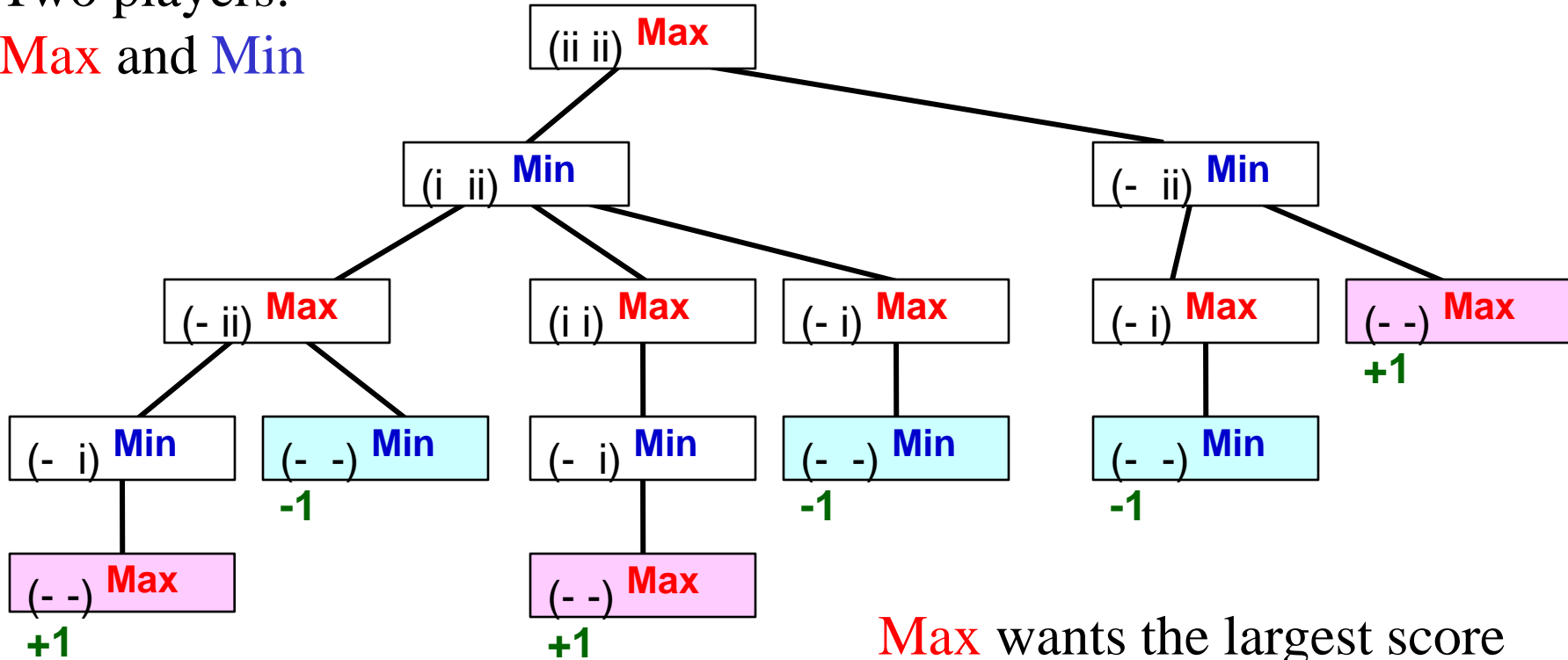
Also called **game-theoretic value**.

- Score of terminal node if both players play optimally.
- Computed bottom up; basically search
- Let's see this for example game



# Game tree for II-Nim

Two players:  
**Max** and **Min**

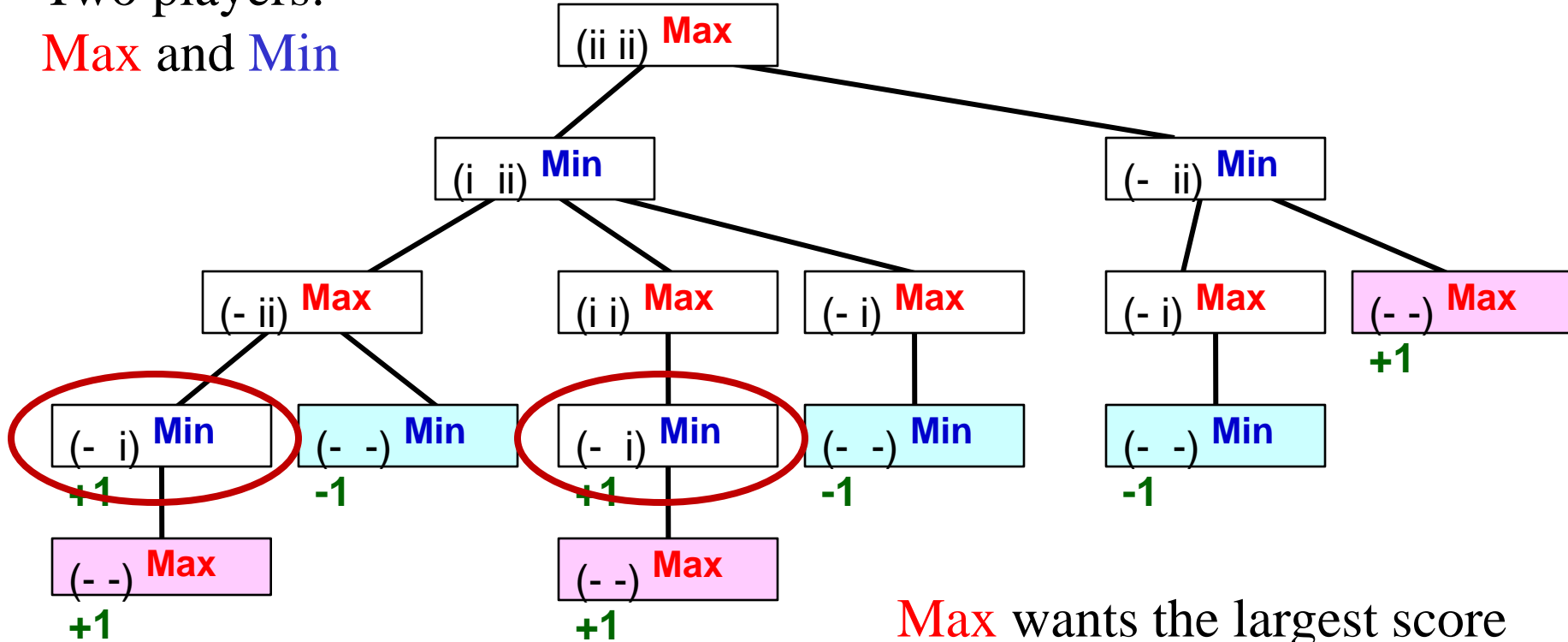


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# Game tree for II-Nim

# Two players:

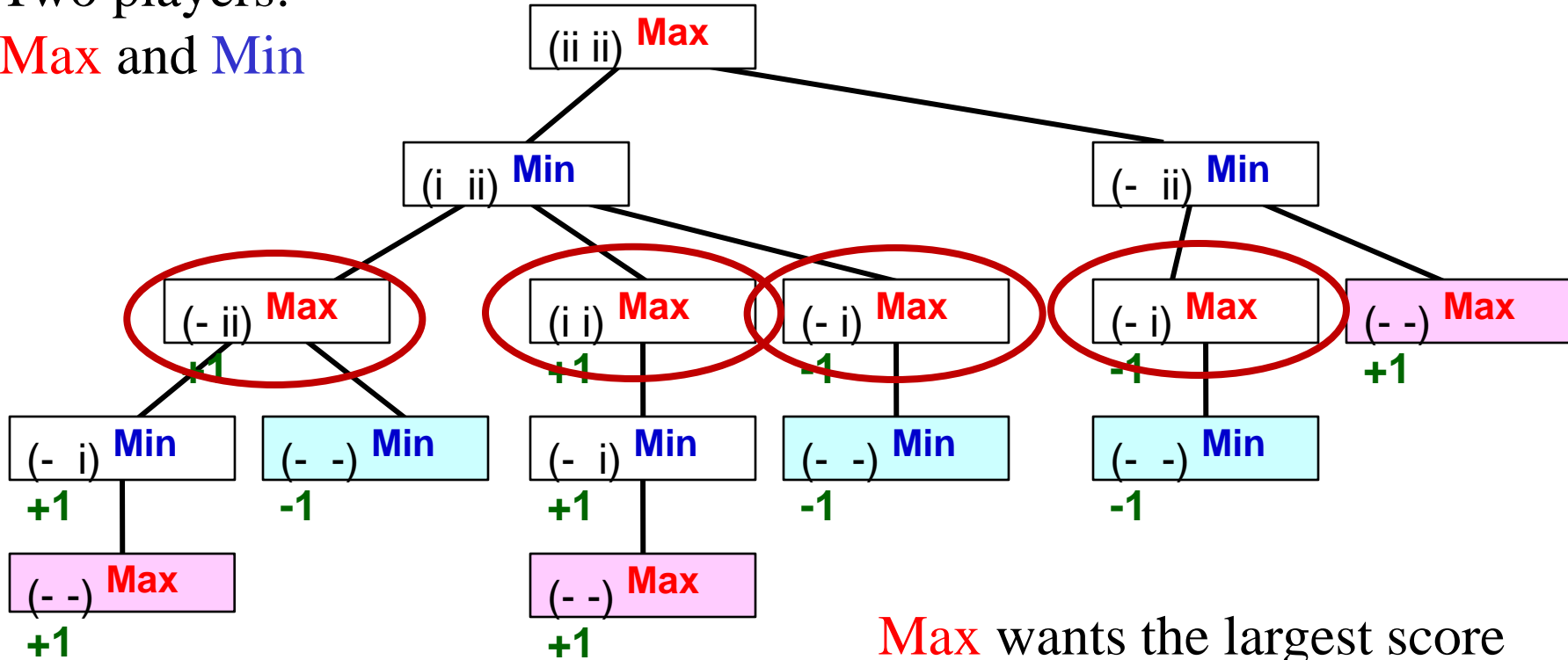
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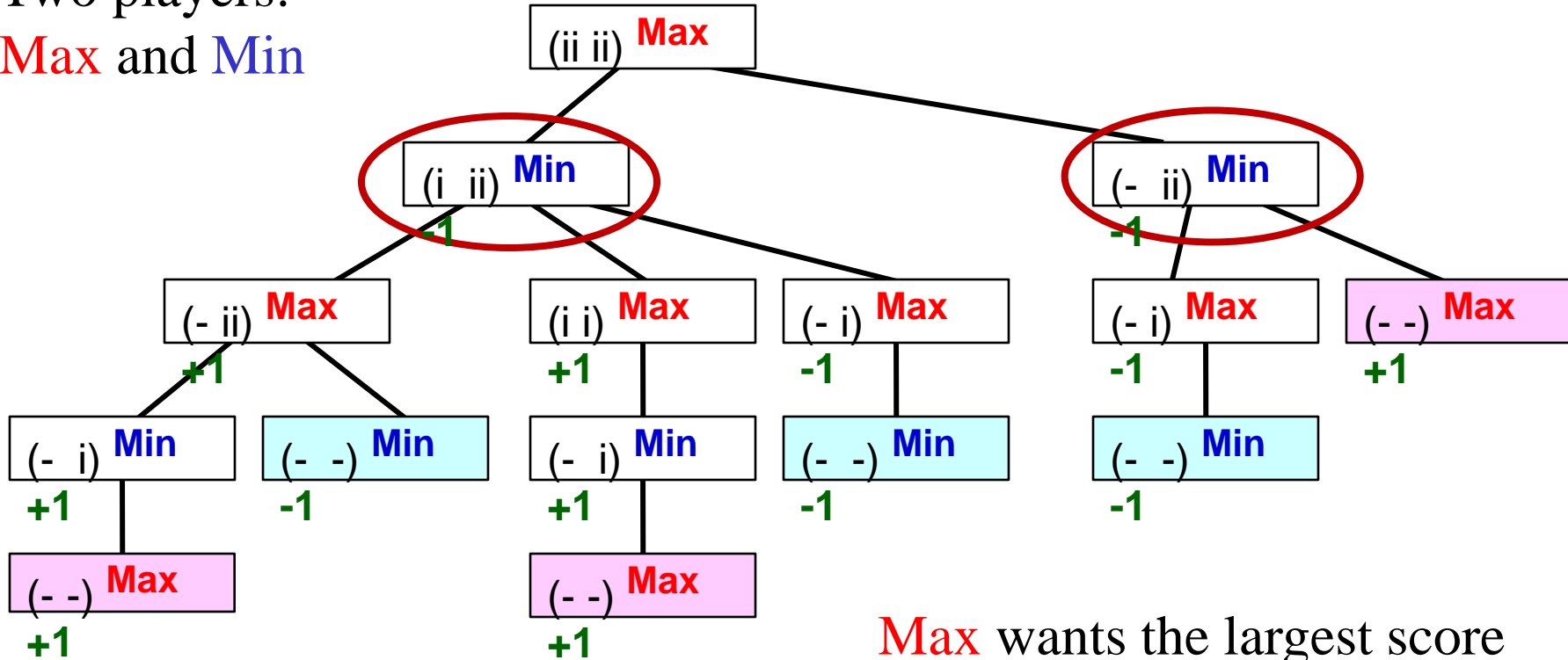
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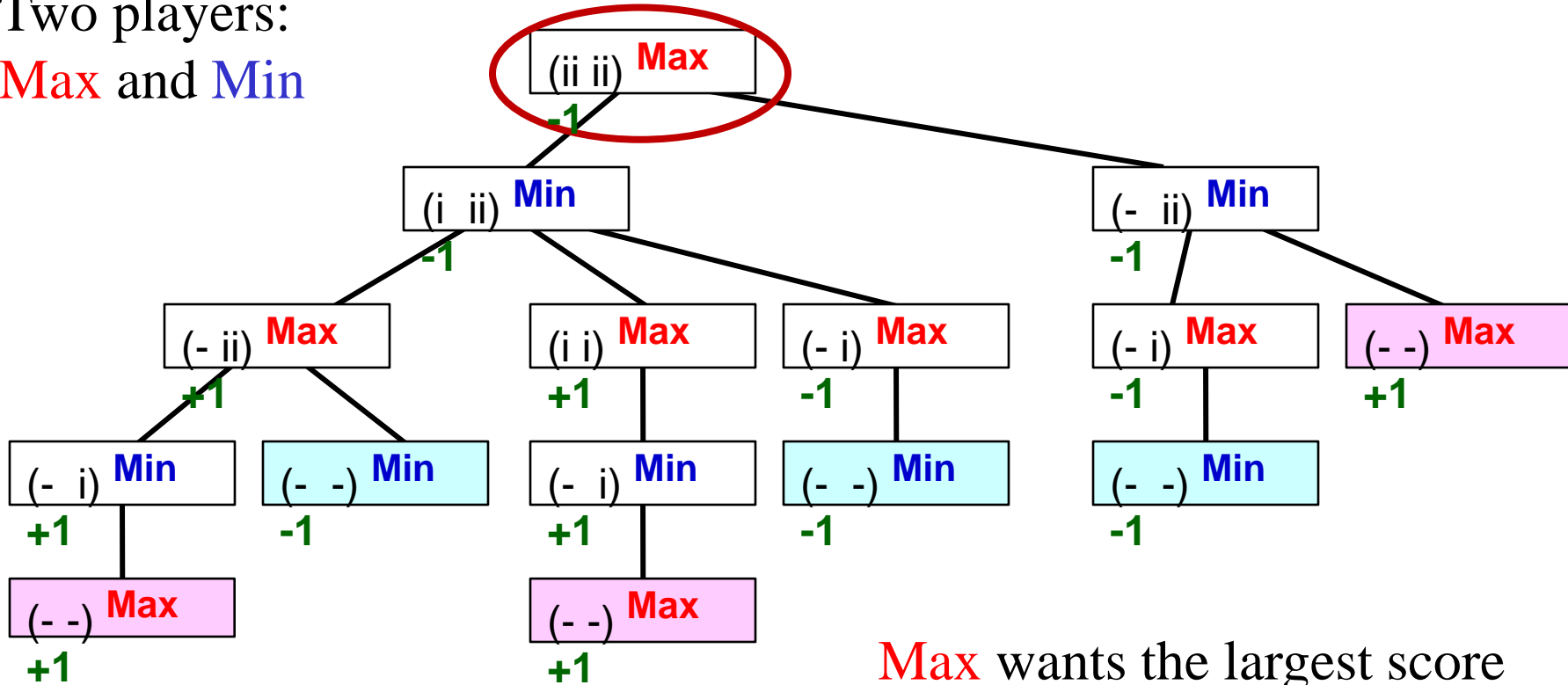
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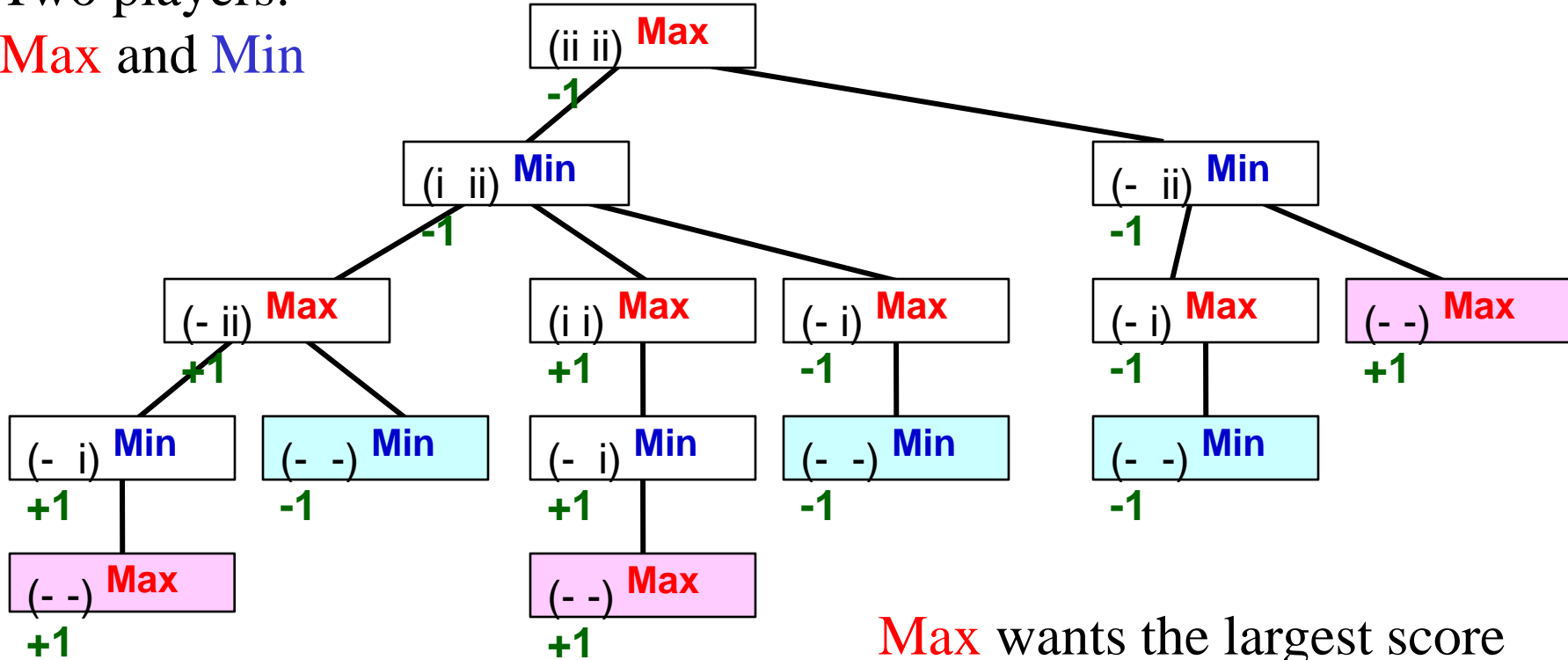


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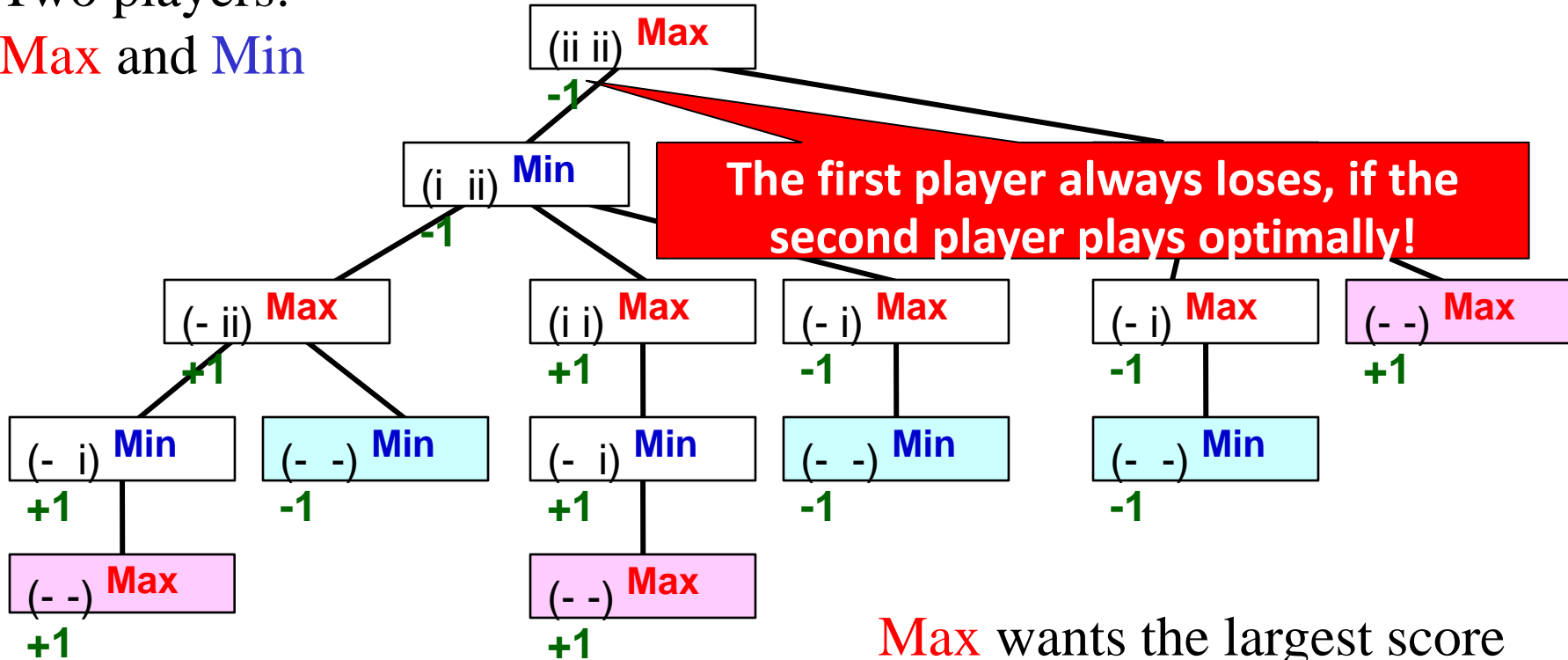
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# Game tree for II-Nim

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# Summary

- Intro to game theory
  - Characterize games by various properties
- Mathematical formulation for simultaneous games
  - Normal form, dominance, equilibria, mixed vs pure
- Sequential games
  - Game trees, game-theoretic/minimax value



**Acknowledgements:** Developed from materials by Yingyu Liang (University of Wisconsin), inspired by Haifeng Xu (UVA).