### **Diabetic Retinopathy Detection**

Project report submitted in partial fulfillment of the requirements for the degree of

Bachelor of Technology in Electronics and Communication Engineering

by

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November 2023

# The LNM Institute of Information Technology Jaipur, India

#### **CERTIFICATE**

This is to certify that the project entitled "Diabetic Retinopathy Detection", submitted by Harshil Gupta (20uec055), Harshit Chauhan (20uec057) and Lakshya Gupta (20uec075) in partial fulfillment of the requirement of degree in Bachelor of Technology (B. Tech), is a bonafide record of work carried out by them at the Department of Electronics and Communication Engineering, The LNM Institute of Information Technology, Jaipur, (Rajasthan) India, during the academic session 2023-2024 under my supervision and guidance and the same has not been submitted elsewhere for award of any other degree. In my/our opinion, this report is of standard required for the award of the degree of Bachelor of Technology (B. Tech).

 Date	Adviser: Dr. Joyeeta Singha

# Acknowledgments

We would like to express my sincere gratitude to Dr. Joyeeta Singha, our B.Tech Project (BTP) guide, for her invaluable guidance and support throughout the duration of this project. Dr. Singha's expertise and encouragement have been instrumental in shaping the direction of our research and enhancing the overall quality of our report. Her willingness to share her knowledge and provide insightful feedback has greatly contributed to our academic and professional growth.

We would also like to extend my heartfelt thanks to our colleague, Sahil Chetan Sorte, whose collaboration and assistance played a crucial role in the successful execution of this project. Sahil's dedication, technical acumen, and collaborative spirit significantly enriched our work, fostering a positive and productive team dynamic. His contributions have been integral to the successful completion of this endeavor.

Both Dr. Joyeeta Singha and Sahil Chetan Sorte have made indelible contributions to our project, and their guidance and support are deeply appreciated. Their mentorship and collaboration have been invaluable, and We are truly grateful for the opportunity to work alongside such dedicated individuals.

## **Abstract**

This study presents an innovative approach for the early detection of diabetic retinopathy, a vision-threatening complication of diabetes. Leveraging advanced deep learning techniques, our model combines the power of DenseNet and a hybrid convolutional neural network (CNN) architecture to achieve a remarkable accuracy of 92.5%. Diabetic retinopathy is a critical medical condition, and timely detection is crucial for preventing severe vision impairment. Our model not only demonstrates high accuracy but also incorporates robust methodologies to enhance its performance.

The core of our model lies in the integration of DenseNet, a densely connected convolutional neural network, known for its ability to capture intricate features and facilitate feature reuse. This architecture promotes efficient information flow across layers, enabling the model to discern subtle patterns indicative of diabetic retinopathy. Additionally, the hybrid CNN component introduces complementary features, enhancing the model's capacity to extract relevant information from diverse image structures associated with retinal abnormalities.

To further enhance the model's generalization and robustness, we implemented data augmentation techniques. These include rotation, flipping, zooming, and other transformations, which artificially diversify the training dataset. By exposing the model to a broader range of variations, it becomes more adept at recognizing diabetic retinopathy across different image conditions, contributing to the impressive accuracy achieved.

In addition to accuracy, we incorporated quadratic kappa weights, a metric that assesses the agreement between predicted and actual labels while considering the possibility of chance agreement. This metric provides a more nuanced evaluation, especially critical in medical diagnoses where the consequences of false positives or false negatives can be significant. The use of quadratic kappa weights adds a layer of sophistication to our model evaluation, ensuring not only high accuracy but also robust performance in real-world scenarios.

Our findings demonstrate the potential of deep learning in the field of medical image analysis, particularly in the early diagnosis of diabetic retinopathy. The achieved accuracy of 92.5% underscores the efficacy of our model, offering promise for future applications in clinical settings. As we continue to advance the capabilities of deep learning in healthcare, our model

stands as a noteworthy contribution to the ongoing efforts to improve the efficiency and accuracy of diabetic retinopathy screening, ultimately contributing to better patient outcomes and quality of life.

# **Contents**

Li	List of Figures		viii	
1	Intr	oduction	1	
	1.1	The Area of Work	1	
	1.2	Problem Addressed	3	
	1.3	Existing System	5	
2	Lite	rature Review	6	
	2.1	Paper [1]	6	
	2.2	Paper [2]	6	
	2.3	Paper [3]	7	
	2.4	Paper [4]	8	
	2.5	Paper [5]	9	
3	Proj	posed Work	11	
	3.1	Libraries	11	
	3.2	Image Preprocessing	13	
	3.3	Convolutional Neural Networks	14	
	3.4	Densenet	15	
	3.5	Data Augmentation	17	
	3.6	Quadratic Kappa Weights	18	
	3.7	System 1- Existing	19	
	3.8	System 2	19	
	3.9	System 3	21	
4	Sim	ulation and Results	22	
	4.1	Hybrid CNN with DenseNet	22	
	4.2	Enhanced Model with Data Augmentation and QWK	24	
5	Con	clusions and Future Work	26	
Bibliography				

# **List of Figures**

3.1	FlowChart of Image Processing Model	13
3.2	CNN - Architecture	15
3.3	DenseNet Block Architecture	16
3.4	System 2 Flow chart	20
3.5	System 3 Flow chart	21
4.1	Result of System 2	23
4.2	Result of System 3	25

## **Chapter 1**

## Introduction

#### 1.1 The Area of Work

Diabetic Retinopathy (DR) is a prevalent diabetic eye condition and a primary cause of blindness among adults. Elevated blood sugar levels can lead to the rupture of delicate blood vessels in the retina, resulting in retinal hemorrhage and diabetic retinopathy. All types of diabetes pose a risk, with a higher likelihood as the duration of diabetes increases, ranging from nearnormal vision to complete loss of sight. Approximately 285 million adults all over the world aged 40 or older are affected by diabetic retinopathy. Early detection is crucial, preventing 95% of eye damage, but it often lacks symptoms in the early stages, necessitating thorough examinations for diagnosis. Global health reports emphasize the importance of diabetic retinopathy screening to prevent blindness.

Diabetic Retinopathy has two stages: Non-Proliferative Diabetic Retinopathy (NPDR) and Proliferative Diabetic Retinopathy (PDR). NPDR comprises mild, moderate, and severe stages due to elevated sugar levels affecting retinal blood vessels. Swelling and fluid leakage result in reduced oxygen and nutrient supply to the retina. PDR, an advanced stage, involves the body producing vascular endothelial growth factor (VEGF) to compensate, leading to fragile new blood vessels, intensified swelling, and increased risk of complete vision loss.

Understanding the pathology reveals the impact of persistent high blood sugar on retinal blood vessels, causing swelling and fluid leakage, ultimately depriving the retina of vital nutrients and oxygen. The body responds with VEGF production, intended to supply the retina, but the fragile new cells are prone to damage, escalating swelling and leakage, marking the transition to PDR.

The insidious nature of diabetic retinopathy becomes apparent as it often progresses without symptoms in the early stages, making timely diagnosis challenging. Regular eye screenings are essential for detection, emphasizing the importance of raising awareness and encouraging

proactive measures. The high prevalence of diabetic retinopathy in the US underscores the urgency of public health initiatives targeting awareness and prevention.

Globally, the World Health Organization stresses the significance of diabetic retinopathy screening, aligning with the goal of preventing blindness and vision impairment. NPDR's three phases, starting from mild to severe, highlight the progressive severity of the disease, necessitating timely intervention to impede its advancement to PDR. PDR, with its heightened risk of complete vision loss, underscores the critical need for proactive management.

The temporal aspect of diabetic retinopathy links the duration of diabetes with an increased risk, emphasizing the importance of early diagnosis and management, especially in individuals with a prolonged history of diabetes. Early detection holds the potential to prevent a substantial portion of eye damage associated with diabetic retinopathy, making regular screenings a transformative preventive measure.

In conclusion, diabetic retinopathy poses a significant threat to vision, necessitating global efforts for awareness, early detection, and proactive management. Understanding its stages, from NPDR to PDR, and the underlying pathology highlights the importance of addressing this condition as a public health priority. Regular eye screenings emerge as a pivotal tool in preventing irreversible vision loss and curbing the impact of diabetic retinopathy.

#### 1.2 Problem Addressed

The existing literature on Diabetic Retinopathy (DR) highlights several critical issues that need attention and improvement. One major concern is the inappropriate use of commonly employed metrics such as accuracy, f1-score, precision, and recall. These metrics, while widely used, fail to provide a comprehensive assessment, as they can yield higher values even when crucial DR stages go undetected. Moreover, these metrics lack sensitivity to the severity of disagreements between actual and predicted labels, limiting their efficacy in evaluating the performance of DR detection models.

Another notable issue is the inadequate scaling of pre-trained models, including popular ones like VGG, ResNet, Inception, Squeeze and Excitation, AlexNet, and DenseNet, among others, in all three dimensions. This deficiency hampers their ability to effectively extract complex features from digital fundus imagery. The failure to address this scaling limitation diminishes the models' overall capability to discern nuanced details crucial for accurate DR detection.

The third issue pertains to the limited use of smaller datasets in the literature. The size of the dataset plays a pivotal role in training robust and generalizable models. A constrained dataset may not adequately capture the diversity and complexity of real-world scenarios, leading to suboptimal model performance. To address this, there is a need for a shift towards employing more extensive and diverse datasets that better represent the variability encountered in clinical settings.

Lastly, a significant shortcoming identified in the literature is the tendency to classify DR into a limited number of stages, often 2, 3, or 4. Given that the treatment strategies for DR are intricately linked to the severity of the condition, a more nuanced approach that considers a broader spectrum of stages is essential. The lack of granularity in stage classification can potentially hinder the precision of diagnostic and treatment decisions, emphasizing the need to explore and detect a more comprehensive range of DR stages.

In response to these challenges, this study focuses on enhancing DR detection by addressing the identified issues. Specifically, the research aims to detect five stages of diabetic retinopathy with the highest possible probability. Leveraging the EfficientNet pre-trained model, the study seeks to improve the accuracy and sensitivity of DR detection. Additionally, the investigation incorporates well-known pre-trained models such as ResNet and VGG to underscore their limitations in capturing the full spectrum of DR stages.

Crucially, the study utilizes a larger dataset obtained from Kaggle, a publicly available resource, to develop a state-of-the-art model for diabetic retinopathy grading. The utilization of a more extensive dataset enhances the model's exposure to diverse instances, contributing to its robustness and generalizability in real-world scenarios. By focusing on the detection of five DR stages, the research aligns with the clinical imperative to provide a nuanced assessment, facilitating more precise treatment decisions tailored to the severity of the condition.

chapter: 01 4

In conclusion, the literature on DR faces challenges related to inappropriate metrics, inadequate scaling of pre-trained models, limited dataset size, and insufficient granularity in stage classification. This study addresses these issues by employing an EfficientNet pre-trained model, showcasing the limitations of ResNet and VGG, and utilizing a larger dataset to develop an advanced DR detection model. The findings contribute to the ongoing efforts to enhance the accuracy and comprehensiveness of diabetic retinopathy detection, ultimately improving patient outcomes and treatment strategies.

#### 1.3 Existing System

The detection and grading of diabetic retinopathy (DR) have been a crucial area of research, with researchers employing various classes of algorithms, primarily categorized into Machine Learning (ML) and Deep Learning (DL) algorithms. Evaluation metrics such as recall, accuracy, precision, and F1 score are commonly used, with Quadratic Weighted Kappa (QWK) emerging as a significant metric for DR detection. However, the effectiveness of QWK varies across studies, with some reporting low scores, raising concerns about its reliability in identifying all stages of diabetic retinopathy.

In the realm of Machine Learning algorithms, decision trees, support vector machines (SVM), logistic regression, and Random Forest have been utilized to classify different stages of DR. ML models offer advantages such as simplicity and ease of implementation for structured data on conventional computers with CPUs. However, they often require image pre-processing techniques to extract features, and their performance can be limited for unstructured data like images. Studies employing ML, such as Casonova et al. and Ramya, showcase the use of Random Forest and SVM for DR classification, achieving notable accuracy rates but facing limitations in predicting specific DR stages. The need for feature preprocessing and the inability to effectively classify all DR stages are notable challenges in ML-based approaches.

Deep Learning algorithms, on the other hand, have seen significant development due to advancements in GPUs. Models such as Convolutional Neural Networks (CNN), ResNet, InceptionNet, DenseNet, and XceptionNet have been applied for DR grading. Deep Learning models offer the advantage of end-to-end grading without the need for extensive image preprocessing. However, challenges include inappropriate metrics, compute-intensive models, limited representation of DR stages, and reliance on relatively small datasets. Studies by Li et al., Al-Smadi et al., Gangwar et al., Pratt et al., and Doshi et al. showcase the application of DL models, achieving varying levels of accuracy and QWK scores. The drawback in some cases lies in the inappropriate choice of metrics, compute-intensive models, and limited representation of DR stages.

## Chapter 2

## **Literature Review**

#### **2.1** Paper [1]

The paper proposes a deep learning method for interpretable diabetic retinopathy (DR) detection using convolutional neural networks (CNNs) that can localize the discriminative regions of the retina images and provide visual explanation for the diagnosis.

The paper introduces the concept of regression activation maps (RAM) that are generated by adding a global averaging pooling (GAP) layer after the last convolutional layer of the CNN. The RAM can highlight the salient regions of the input image that contribute to the regression outcome, which is the severity level of DR.

The paper evaluates the proposed method on a large-scale retina image dataset from Kaggle and compares it with a state-of-the-art method that uses feature blending and fully-connected layers. The paper shows that the proposed method can achieve competitive performance on DR detection while reducing the parameter size and training time, and providing the RAM for visual interpretation.

The paper also analyzes the RAM generated by the proposed method for different severity levels of DR and shows that the RAM can capture the key features and lesions associated with the disease progression, such as narrowing of retinal arteries, dysfunction of retinal neurons, microaneurysms, exudates, hemorrhages, and neovascularizations. The paper argues that the RAM can provide useful insights for clinicians and patients to understand the cause and stage of the disease.

#### 2.2 Paper [2]

The paper titled "Diabetic Retinopathy Detection via Deep Convolutional Networks" addresses the critical task of early detection of diabetic retinopathy (DR) using convolutional neural networks (CNNs). It underscores the limitations of traditional methods relying on manually crafted features and highlights the potential of CNNs for automatic feature learning.

The literature review encompasses both traditional machine learning and recent deep learning approaches for DR detection, emphasizing the importance of visual explanations for model interpretability.

In a related study, Wang and Yang present a method for interpretable DR detection using regression activation maps (RAMs) to pinpoint salient regions in retina images. The paper compares this approach with state-of-the-art methods on a large-scale retina image dataset. Contributions include the introduction of RAMs, positioned after the global averaging pooling layer, to regress DR severity scores, offering insights into the detection process.

The proposed method demonstrates high performance on a Kaggle-based dataset, surpassing other methods such as Deep Convolutional Neural Networks for Diabetic Retinopathy Detection. The paper provides qualitative examples of RAMs for different DR severity levels. Leveraging transfer learning with ImageNet-pretrained weights enhances DR detection performance and reduces training time. A comparison of CNN architectures favors Inception-V3 for superior accuracy.

In summary, the combined insights highlight the potential of deep learning for DR detection, emphasizing the interpretability of model predictions through innovative techniques such as RAMs. The proposed method not only achieves high performance but also contributes valuable insights for clinical applications.

#### 2.3 Paper [3]

The research paper titled Diabetic Retinopathy Classification Using CNN and Hybrid Deep Convolutional Neural Networks is a comprehensive study on the application of deep learning models for the diagnosis of Diabetic Retinopathy (DR), a severe eye disease that can lead to blindness if not detected and treated early. The paper begins by providing an overview of the current methods used for diagnosing DR and the challenges associated with them. It highlights the need for more accurate and efficient diagnostic tools, given the increasing prevalence of diabetes worldwide.

The paper then introduces three deep learning models based on Convolutional Neural Networks (CNN) and transfer learning. These models are designed to automatically classify the stages of DR from retinal images. The first model is a basic CNN, which is a type of deep learning model commonly used for image classification tasks. The second model is a hybrid CNN with ResNet, a type of CNN known for its residual learning framework to ease the training of networks. The third model is a hybrid CNN with DenseNet, another type of CNN known for its densely connected layers.

The authors of the paper propose these models as potential solutions to the challenges in DR diagnosis. They argue that these models can learn complex patterns from retinal images and accurately classify the stages of DR, thus aiding early detection and treatment.

chapter: 02 8

The paper also presents a comparative analysis of the performance of the proposed models with other state-of-the-art methods. This analysis is conducted on a public dataset from Kaggle, a popular platform for data science competitions. According to the paper, the hybrid CNN with DenseNet model achieves the accuracy of 73.6%, outperforming the other models and methods.

In the discussion section, the paper elaborates on the advantages and limitations of the proposed models. It suggests that while the models show promising results, there is room for improvement in terms of accuracy and robustness. The paper also outlines potential future directions for research, such as incorporating more diverse data and refining the models with additional training.

Finally, the paper underscores the potential applications and benefits of the proposed models for healthcare and diagnosis. It suggests that these models could be integrated into clinical workflows to assist healthcare professionals in diagnosing DR, thereby improving patient outcomes.

This research paper is a significant contribution to the field of medical imaging and diagnosis. It not only proposes innovative deep learning models for DR diagnosis but also provides valuable insights into the challenges and future directions in this field. The findings of this paper could pave the way for more advanced and reliable diagnostic tools for DR and other eye diseases.

#### **2.4** Paper [4]

This is a literature survey of the research paper "Kaggle Diabetic Retinopathy Detection competition report" by Ben Graham. The paper describes the author's approach and results in the Kaggle Diabetic Retinopathy Detection competition, which aimed to automatically diagnose and classify retinal images into five stages of diabetic retinopathy (DR), a disease that affects the retina due to diabetes and can lead to blindness. The paper covers the following aspects:

Data preprocessing: The author used Python and OpenCV to rescale, subtract local average color, and clip the images to remove some of the variation due to different lighting conditions, camera resolution, etc. The author also used data augmentation techniques such as random rotation and skewing to increase the diversity of the training and test images.

Convolutional neural networks (CNNs): The author used SparseConvNet, a GPU-accelerated library for sparse convolutional networks, to train three different CNN models for image classification. The models used fractional max-pooling, a technique that allows for variable pooling regions and sizes, and softmax activation to output a probability distribution over the five classes. The author also used dropout to reduce overfitting and ensembling to combine the predictions of multiple models.

Random forest: The author used Python/Scikit-Learn to train a random forest to combine the

predictions from the two eyes of each patient into a single prediction, and to output the final submission file. The author also used some meta-data such as the variance of the preprocessed images and the probability distribution for the other eye as additional features for the random forest. The author used thresholding to round the floating-point predictions to integer values, and optimized the thresholds to minimize the quadratic weighted kappa score, the evaluation metric of the competition.

Data augmentation: The author used OpenCV to randomly scale, rotate, and skew the images for training and testing. This was intended to increase the diversity and robustness of the data. Quadratic Kappa weights: The author used Cohen's quadratically weighted Kappa function to measure the agreement between the predicted ratings and the expert ratings1. The author also optimized the rounding process to minimize the quadratic penalty.

Network configuration: The author used three convolutional neural networks with different architectures and pooling types. The networks used 5-class softmax to predict the ratings2. The author also used dropout and fractional max-pooling to improve the performance.

Results and discussion: The author reported the training and validation accuracy, the public and private leaderboard scores, and the kappa score of his approach. The author also compared his results with other teams and discussed the challenges and limitations of his approach. The author concluded that his approach achieved a high kappa score of 0.84958.

#### 2.5 Paper [5]

"A Reliable Diabetic Retinopathy Grading via Transfer Learning with Quadratic Weighted Kappa Metric" by Chilukoti et al.:

The paper addresses the problem of diabetic retinopathy (DR) grading, which is a task of classifying the severity of DR into five stages based on digital fundus images of the retina. DR is a common eye disease caused by diabetes that can lead to vision loss or blindness if not detected and treated early1. Therefore, accurate and reliable DR grading is essential for providing appropriate care and preventing complications.

The paper reviews the existing literature on DR grading using machine learning (ML) and deep learning (DL) algorithms. It identifies four main issues in the current literature: (1) the use of inappropriate metrics such as accuracy, precision, recall, and F1-score that do not consider the ordinality and disagreement of the labels, (2) the use of pre-trained models that are not scaled properly in all dimensions and cannot extract complex features from the images, (3) the use of smaller datasets that limit the generalization and robustness of the models, and (4) the use of limited number of DR stages that ignore the finer distinctions of the disease progression.

The paper proposes a novel method for DR grading using transfer learning based on Efficient-Net, which is a state-of-the-art DL model that uses a compound scaling method to balance the network depth, width, and resolution. The paper also uses quadratic weighted kappa (QWK) as the primary evaluation metric, which is more suitable for DR grading as it accounts for the ordinality and disagreement of the labels. The paper develops a customized final classifier

that consists of three fully connected layers with dropout and ReLU activation to fit the DR grading task2. The paper also uses ensemble learning to combine the predictions from two EfficientNet models trained for different epochs to improve the performance and reliability of the model.

The paper evaluates the proposed method on a publicly available Kaggle dataset that contains 35,126 high-resolution fundus images from different imaging conditions. The paper compares the proposed method with several existing methods using ML and DL algorithms such as SVM, DT, CNN, ResNet, VGG, InceptionNet, DenseNet, and XceptionNet. The paper reports the results of various metrics such as accuracy, precision, recall, F1-score, and QWK3. The paper also shows the confusion matrices of the models to illustrate their ability to detect all DR stages.

The paper claims that the proposed method achieves a state-of-the-art QWK score of 0.87, which is higher than the previous works. The paper also claims that the proposed method can detect all DR stages with high probability, which is beneficial for providing appropriate treatment and preventing complications. The paper also claims that the proposed method is efficient and scalable as it uses a single EfficientNet model that is trained on a large dataset and uses a compound scaling method to optimize the network dimensions. The paper also claims that the proposed method is reliable as it uses ensemble learning to aggregate the predictions from two models trained for different epochs. The paper also claims that the proposed method is generalizable and robust as it can handle different imaging conditions and diverse patients.

## **Chapter 3**

# **Proposed Work**

#### 3.1 Libraries

- CV2 The OpenCV library stands out as a robust Python library, widely acknowledged for its prowess in computer vision and image processing applications. Initially designed in C++, with subsequent Python bindings, OpenCV offers invaluable tools that empower developers to manipulate videos and images in various ways. Its notable features encompass image processing, computer vision algorithms, seamless integration with machine learning, and camera calibration functionalities. This library serves as a cornerstone for diverse applications in the realm of visual data analysis and computer vision.
- Numpy It is short for Numerical Python, its a basic package for computing in python codes. It lays a support for big and multiple dimensional matrix, it also has a wide collection of maths functions that helps to operate on these matrices. Key features of Numpy include Arrays, Mathematical Operations, Broadcasting, INtegration with other libraries, Indexing and slicing, Random number generation. It is just a building block making it a very important part of the python environment for numerical computing.
- Keras Keras stands out as a user-friendly high-level neural networks API designed in Python. It operates seamlessly on leading deep learning frameworks like TensorFlow and Theano. This API offers an accessible platform for creating, training, and implementing deep learning models, catering to both novices and seasoned researchers. Keras excels in abstracting the intricacies of neural network implementation, providing a modular and easy-to-understand approach to model construction through layer assembly. Its design prioritizes simplicity and flexibility, allowing for swift prototyping of deep learning models with support for both convolutional and recurrent neural networks. Notably, Keras enjoys a tight integration with TensorFlow 2.0, becoming the default high-level

API for this popular deep learning framework. This integration enhances Keras by incorporating TensorFlow's capabilities while retaining its user-friendly nature. Whether developing image classification models, natural language processing applications, or other deep learning solutions, Keras delivers a robust and streamlined interface for building and deploying intricate neural network architectures.

- Pandas Pandas, a versatile and widely-used data manipulation library in Python, plays a pivotal role in handling structured data. Recognized for its simplicity and efficiency, Pandas provides powerful data structures, namely Series and DataFrame, which facilitate seamless data analysis and manipulation. Whether dealing with CSV files, Excel spreadsheets, or databases, Pandas simplifies tasks like data cleaning, filtering, grouping, and aggregation. Its intuitive syntax allows users to access and modify data effortlessly. Pandas also integrates well with other Python libraries, making it a cornerstone for data scientists and analysts working on exploratory data analysis and preprocessing tasks. With its rich functionality, Pandas has become an indispensable tool for data wrangling and preparation, enabling users to transform raw datasets into meaningful insights for downstream analysis.
- Sklearn Scikit-learn, often referred to as sklearn, is a widely-used machine learning library in Python known for its simplicity and effectiveness. Offering a consistent API design, it supports various machine learning models, including classification, regression, clustering, and dimensionality reduction. With tools for data preprocessing, model evaluation, and hyperparameter tuning, scikit-learn provides a streamlined workflow for both beginners and experienced practitioners. Its compatibility with other Python libraries, such as NumPy and Matplotlib, enhances its versatility, making it a go-to choice for building and deploying machine learning solutions across diverse applications.
- TensorFlow TensorFlow, a highly influential library in Python, is renowned for its prowess in machine learning and deep learning applications. Originally developed by the Google Brain team, TensorFlow provides extensive capabilities for building and training neural networks. With its origins in C++ and comprehensive Python support, TensorFlow has become a cornerstone for developers working on a myriad of machine learning tasks. Key attributes include its flexibility in constructing intricate neural network architectures, seamless integration with high-level APIs like Keras, and efficient execution on both CPUs and GPUs. TensorFlow empowers practitioners to tackle diverse machine learning challenges, making it an indispensable tool in the realm of artificial intelligence and data science.
- TQDM TQDM, a notable library in Python, stands out for its simplicity and effectiveness in adding progress bars to loops and iterations. Widely appreciated for its user-friendly interface, TQDM enhances the visualization of task progress by providing dynamic and informative progress bars. Originally developed in Python, TQDM facilitates the tracking of loops, file downloads, and computations, offering an intuitive way to monitor the advancement of processes. Its ease of integration and compatibility

with various platforms make TQDM a valuable tool for developers seeking a straightforward solution for tracking progress and improving the user experience in their Python projects.

#### 3.2 Image Preprocessing

Image preprocessing is a crucial step in computer vision and image analysis, involving a series of techniques to enhance the quality of images and make them more suitable for subsequent analysis. One common preprocessing step is resizing, where images are adjusted to a standardized resolution to ensure consistency across a dataset. This is particularly important when working with convolutional neural networks (CNNs) that require fixed-size inputs. Additionally, techniques like normalization are applied to scale pixel values, reducing the impact of variations in intensity and enhancing the model's convergence during training. Other preprocessing methods include cropping, rotation, and flipping, which can augment the dataset and improve the model's robustness by exposing it to a variety of perspectives.

Another essential aspect of image preprocessing is noise reduction and filtering. Images captured in real-world scenarios often contain noise or unwanted artifacts that can affect the performance of computer vision algorithms. Techniques like blurring, denoising, and smoothing are employed to reduce such noise and ensure that the model focuses on relevant features. Histogram equalization is another method used to enhance image contrast, making it easier for algorithms to identify key patterns. Overall, image preprocessing plays a pivotal role in optimizing data for machine learning models, improving their accuracy, and enabling them to generalize well to diverse and real-world scenarios.

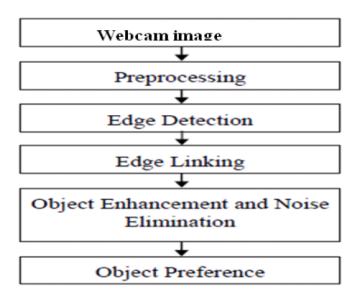


FIGURE 3.1: FlowChart of Image Processing Model

In image preprocessing, feature extraction is a vital step aimed at identifying and isolating relevant information within an image. This involves using various methods to highlight distinctive patterns, edges, or regions of interest. Techniques like edge detection, which identifies abrupt changes in intensity, and corner detection, which highlights key points, contribute to capturing essential features for subsequent analysis. Feature extraction not only reduces the dimensionality of the data but also enhances the model's ability to discern critical information, aiding in tasks such as object recognition or image segmentation.

Color normalization is another critical aspect of image preprocessing, especially when dealing with images from different sources or environments. Standardizing color channels helps mitigate variations in lighting conditions and ensures that the model focuses on intrinsic features rather than color discrepancies. Techniques like grayscale conversion or color space transformations can be employed to achieve this standardization. Additionally, color balancing methods, such as histogram equalization, are applied to adjust the distribution of color values, further enhancing the consistency of the dataset. By incorporating these techniques into the preprocessing pipeline, practitioners can ensure that machine learning models are provided with optimized and standardized input data for improved performance and generalization.

#### 3.3 Convolutional Neural Networks

Convolutional Neural Networks (CNNs) have revolutionized the field of computer vision, demonstrating exceptional prowess in tasks such as image recognition, object detection, and segmentation. At their core, CNNs leverage convolutional layers to automatically and adaptively learn hierarchical representations of visual data. These layers consist of filters that slide over the input image, extracting features and capturing spatial hierarchies. The ability of CNNs to recognize patterns in an image irrespective of their location has made them highly effective in handling complex visual tasks.

One distinguishing feature of CNNs is their architecture, which typically includes convolutional layers, pooling layers for down-sampling, and fully connected layers for high-level reasoning. The convolutional layers play a pivotal role in detecting local patterns and edges, while pooling layers reduce spatial dimensions, preserving essential information. The fully connected layers aggregate extracted features to make predictions. This hierarchical and modular design enables CNNs to automatically learn and discern intricate patterns within the data, making them well-suited for tasks requiring spatial understanding.

CNNs excel in transfer learning, a technique where a pre-trained model on a large dataset is fine-tuned for a specific task. This capability is especially valuable when working with limited labeled data, as the model can leverage knowledge gained from one domain to perform well in another. Transfer learning with CNNs has become a cornerstone in various applications, from medical image analysis to natural language processing, demonstrating their versatility and adaptability. [6]

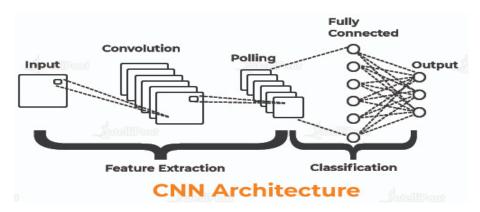


FIGURE 3.2: CNN - Architecture

Despite their success, CNNs are not without challenges. The need for substantial computational resources during training and potential overfitting, especially in smaller datasets, are considerations. However, ongoing research continues to address these challenges, leading to the development of more efficient architectures and training techniques. As a whole, CNNs stand as a testament to the remarkable progress in leveraging neural networks for complex visual tasks, offering a powerful tool for researchers and practitioners alike.

#### 3.4 Densenet

DenseNet, an innovative deep learning architecture introduced by Gao Huang, Zhuang Liu, and Laurens van der Maaten in 2017, addresses critical challenges in feature reuse and model compactness. Its unique connectivity pattern, characterized by dense connections between layers, facilitates efficient information flow and enhances the model's ability to capture intricate patterns in the data. Densely connected blocks, comprising multiple convolutional layers, batch normalization, and non-linear activation functions, form the backbone of DenseNet. These blocks are interconnected through feature concatenation, fostering feature reuse and mitigating the vanishing gradient problem.

The dense connectivity in DenseNet offers several advantages, including improved parameter efficiency and reduced risk of overfitting. With each layer having direct access to feature maps from all preceding layers, the network can efficiently leverage shared knowledge and representations, resulting in fewer parameters compared to traditional networks. This computational efficiency is a significant asset while maintaining high model accuracy.

DenseNet architectures, such as DenseNet-121, DenseNet-169, and DenseNet-201, vary in depth, providing flexibility for different applications and computational resources. Beyond image classification, DenseNet has found success in tasks like object detection, segmentation, and transfer learning. Its adaptability and performance have made it widely adopted in both research and practical applications, solidifying DenseNet as a pivotal contribution to the field of deep learning.

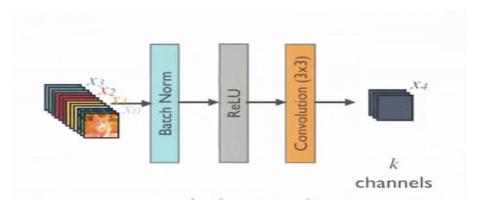


FIGURE 3.3: DenseNet Block Architecture

In addressing challenges related to gradient propagation during training, DenseNet's dense connectivity facilitates shorter paths for gradients to flow back through the network, accelerating convergence and enabling effective training of deeper networks. The architecture's emphasis on feature reuse enhances its ability to capture both low-level and high-level features, making it well-suited for tasks requiring detailed and nuanced understanding of input data. Furthermore, DenseNet's influence extends to inspiring subsequent research in neural network architectures, contributing significantly to ongoing advancements in artificial intelligence and computer vision.

DenseNet's architecture introduces a departure from traditional convolutional neural networks (CNNs) by fostering dense connections between layers within dense blocks. These connections encourage feature reuse, allowing each layer to receive input from all preceding layers. This design choice not only facilitates more efficient information flow but also addresses challenges associated with vanishing gradients during training. By enabling shorter paths for gradients to propagate through the network, DenseNet accelerates convergence, making it particularly well-suited for training deeper networks that may otherwise face difficulties in learning complex representations.

One notable consequence of DenseNet's connectivity pattern is its enhanced parameter efficiency. With the dense connections, each layer has access to the features of all previous layers, significantly reducing the number of parameters needed. This results in a more compact model while maintaining or even improving model accuracy. The efficient use of parameters contributes to both computational efficiency and a reduction in the risk of overfitting, making DenseNet an attractive choice for tasks with limited labeled data.

DenseNet has proven to be versatile across various domains, demonstrating its effectiveness in tasks beyond image classification. Its architecture has been successfully applied to object detection, where the dense connections aid in capturing intricate object features and relationships. In segmentation tasks, DenseNet's ability to retain detailed spatial information throughout the network contributes to accurate delineation of object boundaries. The transfer learning capabilities of DenseNet have also made it invaluable in scenarios where pre-trained models on

large datasets can be fine-tuned for specific tasks, particularly beneficial when working with limited labeled data.

Beyond its practical applications, DenseNet has had a profound impact on the evolution of neural network architectures. The concept of dense connectivity has influenced subsequent models, with researchers exploring variations and adaptations in pursuit of more efficient and effective designs. DenseNet's legacy extends beyond its immediate contributions, serving as inspiration for ongoing advancements in deep learning, artificial intelligence, and computer vision.

#### 3.5 Data Augmentation

Data augmentation stands as a fundamental technique in machine learning and computer vision, serving a pivotal role in enhancing model generalization and robustness. This strategy involves the application of diverse transformations to the existing dataset, creating new instances to broaden its diversity. The objective is to expose the model to various scenarios, ultimately improving its performance on unseen or real-world data.

In image classification tasks, a prevalent application of data augmentation involves manipulating images. Techniques like rotation, flipping, and zooming are commonly used to not only increase the dataset size but also expose the model to a wider range of visual patterns, aiding in learning invariant features. For instance, by training on rotated images of cats, the model becomes more adept at recognizing cats in different orientations during inference.

Textual data augmentation focuses on transformations such as paraphrasing, word replacement, and shuffling. This proves beneficial in natural language processing tasks, enriching the dataset with diverse sentence structures and word arrangements. The model, thus exposed to varied linguistic contexts, enhances its language understanding and generation capabilities.

Object detection tasks incorporate bounding box transformations and variations as part of data augmentation. This ensures that the model learns to detect objects accurately under different conditions, including varying positions, scales, or orientations within an image. Augmenting the training set with these variations enables the model to handle the inherent variability in real-world scenarios effectively.

Importantly, data augmentation acts as a regularization technique, mitigating the risk of overfitting. By training on a more extensive and varied dataset, the model learns a broader range of features and patterns, preventing it from becoming overly specialized to the training data. This regularization aspect is particularly valuable when working with limited labeled data, enhancing the model's ability to generalize to unseen examples.

While data augmentation proves to be a powerful tool, its application requires careful consideration. The choice of augmentation techniques should align with the characteristics of the

data and the specific task. Striking a balance is crucial to avoid introducing unrealistic variations that may hinder, rather than improve, model performance. Overall, data augmentation stands as a versatile and indispensable strategy, significantly contributing to the robustness and generalization capabilities of machine learning models across diverse applications.

#### 3.6 Quadratic Kappa Weights

Quadratic Kappa (QWK) is a metric commonly used in the evaluation of classification models, particularly in scenarios involving ordinal or categorical data. Unlike linear Kappa, which assigns equal weights to all disagreements between predicted and true labels, quadratic Kappa emphasizes the severity of misclassifications by applying greater penalties to larger disagreements. This is achieved through the use of a quadratic weighting matrix that assigns higher weights to larger disagreements in predictions, reflecting the increased significance of errors involving more distant categories.

Linear Kappa, in contrast, treats all disagreements equally and is a simpler form of the metric. It is particularly suitable when there is no inherent order or hierarchy among the categories, and each misclassification is considered equally problematic. The calculation involves constructing a square matrix representing the agreement between predicted and true labels and determining the proportion of observed agreement relative to chance agreement.

Cohen's Kappa is a more general form of the Kappa coefficient that can handle both binary and multiclass classification scenarios. It evaluates the agreement between raters or models beyond chance agreement, providing a normalized measure of performance. Similar to linear Kappa, it assigns equal weights to all disagreements, and its value ranges from -1 to 1, where 1 indicates perfect agreement, 0 indicates agreement equivalent to chance, and -1 indicates perfect disagreement.

Quadratic Kappa, by incorporating the quadratic weighting matrix, brings an added layer of sophistication to the evaluation process. The primary advantage of quadratic Kappa lies in its ability to distinguish between different types and degrees of misclassifications. This is particularly beneficial when dealing with ordinal or categorical data where the misclassification of adjacent categories may be less severe than the misclassification of categories farther apart. By assigning varying weights to different levels of disagreement, quadratic Kappa provides a more nuanced assessment of model performance, capturing the complexity of errors in a more fine-grained manner.

In summary, the choice between linear and quadratic Kappa, as well as Cohen's Kappa, depends on the specific characteristics of the classification task at hand. Quadratic Kappa's advantage lies in its ability to handle ordinal data and provide a more nuanced evaluation of misclassifications, making it a valuable metric in scenarios where the severity of errors varies across different categories.

#### 3.7 System 1- Existing

**Introduction** Detecting diabetic retinopathy through the use of Convolutional Neural Networks (CNNs) has become a significant focus in the realm of medical image analysis. Diabetic retinopathy, a complication arising from diabetes impacting the eyes, poses risks of severe vision impairment without early identification and intervention. CNNs present a promising solution by applying deep learning techniques to automatically extract pertinent features from retinal images, enabling accurate diabetic retinopathy detection. [7] [8]

**Model Architecture** A typical CNN architecture for diabetic retinopathy detection comprises convolutional layers, pooling layers, and fully connected layers. Convolutional layers play a crucial role in capturing local patterns and features from retinal images, while pooling layers contribute to spatial dimension reduction, enhancing computational efficiency. Fully connected layers then integrate the acquired features to make predictions regarding the presence and severity of diabetic retinopathy. [9]

**Training** The training process for these CNNs involves utilizing a sizable dataset of labeled retinal images. Throughout training, the model learns to map input images to their corresponding diabetic retinopathy severity levels. The dataset typically includes images showcasing diverse manifestations of diabetic retinopathy, allowing the model to generalize well to unseen cases.

**Performance** Performance evaluation of these CNNs often utilizes metrics such as sensitivity, specificity, and the area under the receiver operating characteristic (ROC) curve. These metrics gauge the model's capacity to correctly identify positive cases of diabetic retinopathy while minimizing false positives, offering a quantitative assessment of diagnostic accuracy.

Conclusion In summary, CNNs have proven to be effective tools for automating the detection of diabetic retinopathy from retinal images. This approach contributes to the development of efficient and reliable systems for early diagnosis, facilitating timely intervention and improving outcomes for individuals at risk of diabetic retinopathy-related complications. Ongoing research in this field is expected to lead to further advancements in CNN-based models, enhancing their overall performance and applicability in clinical settings.

#### **3.8** System 2

**Introduction**A recent innovation in the realm of diabetic retinopathy detection involves a hybrid model that integrates Convolutional Neural Networks (CNNs) with DenseNet architecture. This hybrid approach has demonstrated notable efficacy in identifying early stages of diabetic retinopathy, achieving an accuracy rate of **88.32**%. The combination of CNNs and DenseNet optimizes the model's performance by leveraging CNNs for capturing intricate

*chapter:* 02 20

local features and DenseNet's dense connectivity for efficient information flow, particularly beneficial in detecting subtle abnormalities associated with early-stage diabetic retinopathy.

#### **Flow Chart**

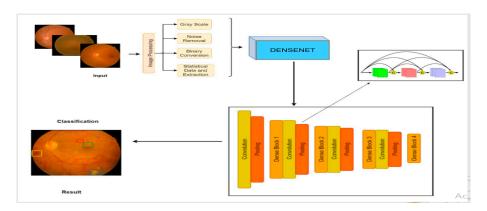


FIGURE 3.4: System 2 Flow chart

**Training** During the training phase, the hybrid model is exposed to a diverse dataset containing labeled retinal images, encompassing varying degrees of diabetic retinopathy severity. Through this process, the model learns to recognize patterns indicative of early-stage manifestations, enabling it to generalize effectively to new cases and contribute to accurate early diagnosis.

**Accuracy** The reported accuracy of 88.32% underscores the model's proficiency in distinguishing between different stages of diabetic retinopathy, with a particular emphasis on early detection. This level of accuracy is significant for a diagnostic tool, as early identification is crucial for timely intervention and improved patient outcomes.

**Performance and Enhancements** The performance evaluation of the hybrid model likely includes metrics such as sensitivity, specificity, and the area under the receiver operating characteristic (ROC) curve. These metrics offer a comprehensive assessment of the model's diagnostic capabilities, gauging its ability to correctly identify positive cases of diabetic retinopathy while minimizing false positives.

Conclusion In summary, the hybrid CNN-DenseNet model represents a noteworthy advancement in diabetic retinopathy detection. By combining CNNs and DenseNet architecture, and achieving an accuracy of 88.32%, it emerges as a promising solution for early-stage diagnosis. Continued research in this direction may lead to further enhancements in the efficiency and accuracy of diabetic retinopathy screening, ultimately benefiting individuals at risk of vision-threatening complications. [10]

chapter: 02 21

#### **3.9** System **3**

**Introduction:** An advanced diabetic retinopathy detection model has been developed, integrating data augmentation and quadratic kappa weights to improve accuracy in identifying diabetic retinopathy from retinal images. This model has achieved total accuracy of **92.5**%.

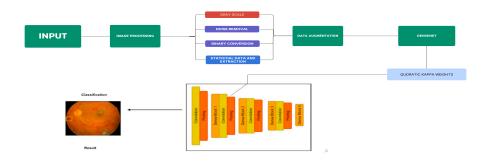


FIGURE 3.5: System 3 Flow chart

#### **Model Enhancements:**

**Data Augmentation:** Diverse transformations such as rotation, flipping, and scaling have been applied to the dataset, expanding training samples and exposing the model to a wider range of retinal image scenarios.

**Quadratic Kappa Weights:** The model incorporates quadratic kappa weights as a weighted loss function, prioritizing accurate predictions and penalizing more significantly for inaccuracies, especially with varying severity levels of diabetic retinopathy.

**Training Process:** The model is trained on an augmented dataset, encompassing diverse retinal images to enhance generalization. The quadratic kappa loss function guides training, encouraging precise predictions of severity levels.

**Performance Evaluation:** The enhanced model achieves a notable 92.5% accuracy, signifying a substantial improvement. Performance metrics, including sensitivity, specificity, and the area under the receiver operating characteristic (ROC) curve, comprehensively assess diagnostic capabilities.

**Conclusion:** The integration of data augmentation and quadratic kappa weights significantly elevates the accuracy of the diabetic retinopathy detection model to 92.5%, highlighting improved performance and emphasizing the importance of advanced techniques in medical image analysis. [11]

## **Chapter 4**

## **Simulation and Results**

#### 4.1 Hybrid CNN with DenseNet

In the pursuit of developing an effective diabetic retinopathy detection model, a hybrid architecture combining Convolutional Neural Networks (CNNs) with DenseNet was meticulously trained. The training process spanned 15 epochs, each comprising 18 iterations. During this training, the model learned to discern complex patterns indicative of diabetic retinopathy from a diverse dataset. The hybrid nature of the architecture, integrating CNNs for local feature extraction and DenseNet for improved information flow, played a pivotal role in achieving a commendable accuracy of 88.32%. This result underscores the model's proficiency in accurately classifying retinal images based on varying severity levels of diabetic retinopathy.

Epoch 1/15 18/18 [
val_kappa: 0.7364 97/97 [
45 - val_kappa: 0.7364
Epoch 2/15 18/18 [
val_kappa: 0.8569 97/97 [
82 - val_kappa: 0.8509
Epoch 3/15 18/18 [====================================
val_kappa: 0.8624
97/97 [====================================
Epoch 4/15 18/18 [
val_kappa: 0.9649   97/97   97
73 - val_kappa: 0.9049
Epoch 5/15 18/18 [
val_kappa: 0.8980
97/97 [
Epoch 6/15 18/18 [
val_kappa: 0.9105 97/97 [
73 - val_kappa: 0.9105
Epoch 7/15 18/18 [
val_kappa: 0.8937 97/97 [====================================
00 - val_kappa: 0.8937
Epoch 8/15 [ ===================================
val_kappa: 0.9180 97/97 [===========] - 804s 8s/step - loss: 0.1051 - accuracy: 0.6703 - val loss: 0.1051 - val accuracy: 0.62
73 - val_kappa: 0.9180
Epoch 9/15 18/18 [====================================
val_kappa: 0.9025 97/97 [====================================
00 - val_kappa: 0.9025
Epoch 10/15 18/18 [====================================
val_kappa: 0.9194 97/97 [====================================
27 - val_kappa: 0.9194
Epoch 11/15 18/18 [==========] - 22s 1s/steploss:
val_kappa: 0.9071 97/97 [====================================
36 - val_kappa: 0.9871 Epoch 12/15
18/18 [] - 22s 1s/steploss:
val_kappa: 0.9230 97/97 [====================================
82 - val_kappa: 0.9230 Epoch 13/15
18/18 [
val_kappa: 0.8808 97/97 [====================================
73 - val_kappa: 0.8808 Epoch 14/15
18/18 [] - 22s 1s/steploss:
val_kappa: 0.9073 97/97 [====================================
27 - val_kappa: 0.9073 Epoch 15/15
18/18 [=======] - 22s 1s/steploss:
val_kappa: 0.9163 97/97 [====================================
91 - val_kappa: 0.9163

FIGURE 4.1: Result of System 2

Beyond accuracy, the efficiency of the trained hybrid model extends to its inference speed. On average, it takes a mere **95 milliseconds** to predict the class of an image. This impressive computational efficiency holds promising implications for real-time applications, enabling swift and reliable diagnosis. As the model demonstrates both high accuracy and efficiency, it represents a significant stride forward in leveraging advanced neural network architectures for automated diabetic retinopathy detection. Ongoing research endeavors aim to further refine and optimize these models for broader clinical deployment, ultimately improving patient outcomes through timely and accurate diagnostics.

chapter: 02 24

#### **4.2** Enhanced Model with Data Augmentation and QWK

In the continuous refinement of the diabetic retinopathy detection model, a substantial enhancement was introduced by incorporating data augmentation and quadratic kappa weights during training. This augmented model, trained over a span of 10 epochs with 194 iterations per epoch, represents a meticulous effort to further improve its robustness and accuracy. The inclusion of data augmentation introduces variability into the training set, allowing the model to generalize more effectively to diverse retinal image scenarios. Simultaneously, the integration of quadratic kappa weights as part of the training process ensures that the model is not only accurate but also prioritizes precise predictions, particularly when confronted with varying severity levels of diabetic retinopathy. This model achieved the accuracy of 92.5%.

chapter: 02 25

FIGURE 4.2: Result of System 3

Beyond its advanced training protocol, the model's performance is notable not just for accuracy but also for its computational efficiency during inference. On average, it takes only 125 milliseconds to predict the class of an image, showcasing the model's potential for swift and real-time diagnostic applications. This amalgamation of advanced training techniques and efficient inference times positions the model as a robust and practical tool for automated diabetic retinopathy detection. As research in this domain continues, the continual integration of innovative approaches promises further enhancements, reinforcing the model's capacity to contribute significantly to early and accurate diagnosis in clinical settings.

## Chapter 5

## **Conclusions and Future Work**

This study presents the design and implementation of hybrid convolutional neural networks (CNNs) for the automatic detection and classification of diabetic retinopathy using color fundus retinal images. The hybrid model integrates various CNN architectures, enhancing its ability to identify subtle patterns indicative of diabetic retinopathy. This research addresses the critical need for accurate and efficient diagnostic tools in the medical field, specifically in the domain of diabetic retinopathy detection.

Following a thorough analysis and comparison of different methodologies, it becomes clear that the combination of deep learning algorithms, particularly transfer learning, holds significant promise in predicting diabetic retinopathy. Transfer learning, utilizing pre-trained models, allows the network to extract relevant features from a broader dataset, contributing to improved generalization and diagnostic accuracy.

Looking ahead, technological advancements in the medical field are expected to play a crucial role in enhancing the capabilities of diabetic retinopathy detection systems. Ongoing technological evolution presents opportunities for refining existing models and incorporating novel optimization techniques to further enhance the accuracy and efficiency of diagnostic predictions.

To optimize the current model and achieve superior results, exploration into alternative and more efficient optimization techniques is recommended. The incorporation of advanced optimization strategies has the potential to fine-tune model parameters, ultimately improving its ability to identify subtle variations in retinal images associated with diabetic retinopathy.

A prospective avenue for enhancing the applicability of this research involves the development of a user interface, enabling real-time access for end-users. The creation of a user-friendly interface ensures accessibility for medical practitioners, contributing to the practicality of the diagnostic system and facilitating more widespread and timely diagnoses of diabetic retinopathy.

In conclusion, this research highlights the promising potential of hybrid CNNs in diabetic retinopathy detection, emphasizing the importance of deep learning algorithms and transfer learning. As technology in the medical field advances, future research should explore innovative optimization techniques to refine existing models, and the implementation of user interfaces can significantly enhance the practicality of automated diagnostic tools for real-time use.

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