Exercise 4: ORGB 672

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1 Setup

##

crossing

```
library(tidygraph)
## Warning: package 'tidygraph' was built under R version 4.3.3
##
## Attaching package: 'tidygraph'
## The following object is masked from 'package:stats':
##
##
       filter
library(tidyverse)
## -- Attaching core tidyverse packages ----- tidyverse 2.0.0 --
## v dplyr
              1.1.2
                        v readr
                                    2.1.4
## v forcats 1.0.0
                                    1.5.0
                        v stringr
## v ggplot2 3.4.3
                        v tibble
                                    3.2.1
## v lubridate 1.9.2
                        v tidyr
                                    1.3.0
## v purrr
              1.0.2
## -- Conflicts ----- tidyverse_conflicts() --
## x dplyr::filter() masks tidygraph::filter(), stats::filter()
## x dplyr::lag()
                    masks stats::lag()
## i Use the conflicted package (<a href="http://conflicted.r-lib.org/">http://conflicted.r-lib.org/</a>) to force all conflicts to become error
library(igraph)
## Warning: package 'igraph' was built under R version 4.3.3
##
## Attaching package: 'igraph'
## The following objects are masked from 'package:lubridate':
##
       %--%, union
##
##
## The following objects are masked from 'package:dplyr':
##
##
       as_data_frame, groups, union
##
## The following objects are masked from 'package:purrr':
##
       compose, simplify
##
##
## The following object is masked from 'package:tidyr':
##
```

```
##
## The following object is masked from 'package:tibble':
##
##
       as_data_frame
##
## The following object is masked from 'package:tidygraph':
##
##
       groups
##
## The following objects are masked from 'package:stats':
##
##
       decompose, spectrum
##
## The following object is masked from 'package:base':
##
##
       union
library(ggplot2)
library(vroom)
##
## Attaching package: 'vroom'
##
## The following objects are masked from 'package:readr':
##
##
       as.col_spec, col_character, col_date, col_datetime, col_double,
##
       col_factor, col_guess, col_integer, col_logical, col_number,
##
       col_skip, col_time, cols, cols_condense, cols_only, date_names,
##
       date_names_lang, date_names_langs, default_locale, fwf_cols,
##
       fwf_empty, fwf_positions, fwf_widths, locale, output_column,
##
       problems, spec
library(arrow)
## Warning: package 'arrow' was built under R version 4.3.3
##
## Attaching package: 'arrow'
## The following object is masked from 'package:lubridate':
##
##
       duration
## The following object is masked from 'package:utils':
##
##
       timestamp
library(scales)
##
## Attaching package: 'scales'
```

```
##
## The following object is masked from 'package:vroom':
##
##
       col_factor
##
## The following object is masked from 'package:purrr':
##
##
       discard
##
## The following object is masked from 'package:readr':
##
       col_factor
library(purrr)
library(broom)
library(ggraph)
library(ggtext)
library(ggrepel)
library(ggforce)
library(ggthemes)
library(patchwork)
library(qualpalr)
## Warning: package 'qualpalr' was built under R version 4.3.3
library(gender)
## Warning: package 'gender' was built under R version 4.3.3
library(wru)
## Warning: package 'wru' was built under R version 4.3.3
##
## Please cite as:
## Khanna K, Bertelsen B, Olivella S, Rosenman E, Rossell Hayes A, Imai K
## (2024). _wru: Who are You? Bayesian Prediction of Racial Category Using
## Surname, First Name, Middle Name, and Geolocation_. R package version
## 3.0.1, <a href="https://CRAN.R-project.org/package=wru">.
## Note that wru 2.0.0 uses 2020 census data by default.
## Use the argument 'year = "2010"', to replicate analyses produced with earlier package versions.
library(skimr)
##
## Attaching package: 'skimr'
## The following object is masked from 'package:tidygraph':
##
##
       focus
```

```
library(lubridate)
# setwd("./Exercise 4")
```

2 Code

2.1 Loading and viewing the data

```
patent_data <- read_parquet("app_data_sample.parquet")
edge_data <- vroom("edges_sample.csv", delim = ",")

## Rows: 32906 Columns: 4

## -- Column specification -------

## Delimiter: ","

## chr (1): application_number

## dbl (2): ego_examiner_id, alter_examiner_id

## date (1): advice_date

##

## i Use 'spec()' to retrieve the full column specification for this data.

## i Specify the column types or set 'show_col_types = FALSE' to quiet this message.</pre>
```

2.1.1 Dropping applications with pending status

```
patent_data <- patent_data %>%
filter(disposal_type != "PEND")
```

```
patent_data
```

```
## # A tibble: 1,688,717 x 16
##
      application_number filing_date examiner_name_last examiner_name_first
##
      <chr>>
                        <date>
                                    <chr>
                                                       <chr>
## 1 08284457
                        2000-01-26 HOWARD
                                                       JACQUELINE
## 2 08413193
                        2000-10-11 YILDIRIM
                                                       BEKIR
## 3 08531853
                        2000-05-17 HAMILTON
                                                       CYNTHIA
## 4 08637752
                        2001-07-20 MOSHER
                                                       MARY
## 5 08682726
                        2000-04-10 BARR
                                                       MICHAEL
## 6 08687412
                        2000-04-28 GRAY
                                                       LINDA
## 7 08765941
                        2000-06-23 FORD
                                                       VANESSA
## 8 08776818
                        2000-02-04 STRZELECKA
                                                       TERESA
## 9 08809677
                        2002-02-20 KIM
                                                       SUN
## 10 08836939
                        2000-06-13 WOOD
                                                       ELIZABETH
## # i 1,688,707 more rows
## # i 12 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
      examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
      patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
      disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
      tc <dbl>
## #
```

2.2 Get gender and race for examiners

Using the library gender, extract the gender from a list of distinct examiner_name_first values. This will give us the gender of each first name according to the library, which we can then join to our original data

```
examiner_names <- patent_data %>%
  distinct(examiner_name_first)
examiner_names_gender <- examiner_names %>%
  do(results = gender(.$examiner_name_first, method = "ssa")) %>%
  unnest(cols = c(results), keep_empty = TRUE) %>%
    examiner_name_first = name,
   gender,
    proportion_female
examiner_names_gender <- examiner_names_gender %>%
  select(examiner_name_first, gender)
patent_data <- patent_data %>%
  left_join(
    examiner_names_gender,
   by = "examiner_name_first"
  )
patent_data %>% head(10)
```

```
## # A tibble: 10 x 17
##
      application_number filing_date examiner_name_last examiner_name_first
##
      <chr>
                         <dat.e>
                                     <chr>
                                                         <chr>
##
   1 08284457
                         2000-01-26 HOWARD
                                                        JACQUELINE
  2 08413193
##
                         2000-10-11 YILDIRIM
                                                        BEKIR
##
  3 08531853
                         2000-05-17 HAMILTON
                                                        CYNTHIA
  4 08637752
                         2001-07-20 MOSHER
                                                        MARY
##
## 5 08682726
                         2000-04-10 BARR
                                                        MICHAEL
## 6 08687412
                         2000-04-28 GRAY
                                                        LINDA
  7 08765941
                         2000-06-23 FORD
                                                        VANESSA
##
##
  8 08776818
                         2000-02-04
                                    STRZELECKA
                                                        TERESA
##
   9 08809677
                         2002-02-20
                                     KIM
                                                        SUN
## 10 08836939
                         2000-06-13 WOOD
                                                        ELIZABETH
## # i 13 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
       examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
## #
## #
       patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
       disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
## #
       tc <dbl>, gender <chr>
```

Using the library wru, extract race from a list of distinct examiner_name_first values. This will give us the race probabilities of each last name according to the library, which we can then take a maximum on and join the results to our original data.

This process involves using the predict_race function from the wru package, which estimates the race/ethnicity of a name based on U.S. Census data. It's important to note that this method provides

an estimate based on statistical models and should be used with an understanding of its limitations and potential biases.

```
examiner_surnames <- patent_data %>%
  select(surname = examiner_name_last) %>%
  distinct()
examiner_race <- predict_race(</pre>
  voter.file = examiner_surnames,
  surname.only = TRUE
) %>% as_tibble()
## Predicting race for 2020
## Warning: Unknown or uninitialised column: 'state'.
## Proceeding with last name predictions...
## i All local files already up-to-date!
## 686 (18.3%) individuals' last names were not matched.
examiner_race <- examiner_race %>%
  mutate(max_race_p = pmax(
   pred.asi,
   pred.bla,
   pred.his,
   pred.oth,
   pred.whi
  )) %>%
  mutate(race = case_when(
   max_race_p == pred.asi ~ "Asian",
   max_race_p == pred.bla ~ "black",
   max_race_p == pred.his ~ "Hispanic",
   max_race_p == pred.oth ~ "other",
   max_race_p == pred.whi ~ "white",
   TRUE ~ NA_character_
  )) %>%
  select(surname, race)
patent_data <- patent_data %>%
  left_join(examiner_race, by = c("examiner_name_last" = "surname"))
patent_data %>% head(10)
## # A tibble: 10 x 18
##
     application_number filing_date examiner_name_last examiner_name_first
##
      <chr>>
                         <date>
                                     <chr>
                                                         <chr>
## 1 08284457
                         2000-01-26 HOWARD
                                                         JACQUELINE
## 2 08413193
                        2000-10-11 YILDIRIM
                                                        BEKIR
## 3 08531853
                        2000-05-17 HAMILTON
                                                        CYNTHIA
## 4 08637752
                         2001-07-20 MOSHER
                                                        MARY
```

```
5 08682726
                         2000-04-10 BARR
                                                         MICHAEL
                         2000-04-28
                                     GR.AY
##
   6 08687412
                                                         I.TNDA
   7 08765941
                         2000-06-23 FORD
                                                         VANESSA
   8 08776818
                         2000-02-04 STRZELECKA
                                                         TERESA
##
   9 08809677
                         2002-02-20
                                     KTM
                                                         SUN
                         2000-06-13 WOOD
                                                         ELIZABETH
## 10 08836939
## # i 14 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
## #
       examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
## #
       patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
       disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
## #
       tc <dbl>, gender <chr>, race <chr>
```

2.3 Calculate application processing time

To estimate the time spent by on each application, we compare the filing date and application status dates for each application. We then calculate the difference between these two dates as the processing time.

```
##
   1 08284457
                         2000-01-26 HOWARD
                                                         JACQUELINE
##
   2 08413193
                         2000-10-11
                                     YILDIRIM
                                                         BEKIR
##
   3 08531853
                         2000-05-17 HAMILTON
                                                         CYNTHIA
##
   4 08637752
                         2001-07-20 MOSHER
                                                         MARY
##
   5 08682726
                         2000-04-10
                                     BARR
                                                         MICHAEL
##
   6 08687412
                         2000-04-28
                                     GRAY
                                                         LINDA
##
   7 08765941
                         2000-06-23
                                    FORD
                                                         VANESSA
##
   8 08776818
                         2000-02-04
                                     STRZELECKA
                                                         TERESA
##
   9 08809677
                         2002-02-20
                                     KIM
                                                         SUN
## 10 08836939
                         2000-06-13 WOOD
                                                         ELIZABETH
## # i 15 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
       examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
## #
## #
       patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
       disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
       tc <dbl>, gender <chr>, race <chr>, app_proc_time <dbl>
```

```
rm(examiner_race)
rm(examiner_surnames)
rm(examiner_names)
gc()
```

```
## used (Mb) gc trigger (Mb) max used (Mb)
## Ncells 4517154 241.3 7957130 425.0 5985061 319.7
## Vcells 47179312 360.0 142816488 1089.7 142598735 1088.0
```

2.4 Viewing the cleaned dataset

```
patent_data
```

```
## # A tibble: 1,688,717 x 19
##
      application_number filing_date examiner_name_last examiner_name_first
##
      <chr>
                         <date>
                                     <chr>>
                                                        <chr>
   1 08284457
                         2000-01-26 HOWARD
                                                        JACQUELINE
##
  2 08413193
                         2000-10-11 YILDIRIM
                                                        BEKIR
##
##
   3 08531853
                         2000-05-17 HAMILTON
                                                        CYNTHIA
## 4 08637752
                         2001-07-20 MOSHER
                                                        MARY
## 5 08682726
                         2000-04-10 BARR
                                                        MICHAEL
## 6 08687412
                         2000-04-28 GRAY
                                                        LINDA
## 7 08765941
                         2000-06-23 FORD
                                                        VANESSA
## 8 08776818
                         2000-02-04 STRZELECKA
                                                        TERESA
## 9 08809677
                         2002-02-20 KIM
                                                        SUN
                         2000-06-13 WOOD
                                                        ELIZABETH
## 10 08836939
## # i 1,688,707 more rows
## # i 15 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
       examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
## #
       patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
       disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
## #
      tc <dbl>, gender <chr>, race <chr>, app_proc_time <dbl>
```

2.5 Creating a network

To create a network of examiners, we first need to alter the edge and node datasets to match the format expected by the tidygraph package. We then create a graph object using the tbl_graph function and add node data to it. We then calculate the degree, betweenness, and closeness centrality measures for each node and visualize the network using ggraph.

```
edge_data <- edge_data %>%
  mutate(
    from = as.character(ego_examiner_id),
    to = as.character(alter_examiner_id)
) %>%
  drop_na()
```

```
patent_data <- patent_data %>%
  relocate(examiner_id, .before = application_number) %>%
  mutate(examiner_id = as.character(examiner_id)) %>%
  drop_na(examiner_id) %>%
  rename(name = examiner_id)
```

```
## 3 63213 08531853
                               2000-05-17 HAMILTON
                                                              CYNTHIA
##
  4 73788 08637752
                               2001-07-20 MOSHER
                                                              MARY
## 5 77294 08682726
                               2000-04-10 BARR
                                                              MICHAEL
## 6 68606 08687412
                               2000-04-28 GRAY
                                                              LINDA
   7 97543 08765941
                               2000-06-23 FORD
                                                              VANESSA
## 8 98714 08776818
                                                              TERESA
                              2000-02-04 STRZELECKA
## 9 65530 08809677
                               2002-02-20 KIM
                                                              SUN
## 10 77112 08836939
                               2000-06-13 WOOD
                                                              ELIZABETH
## # i 1,684,953 more rows
## # i 14 more variables: examiner_name_middle <chr>, examiner_art_unit <dbl>,
      uspc_class <chr>, uspc_subclass <chr>, patent_number <chr>,
       patent_issue_date <date>, abandon_date <date>, disposal_type <chr>,
## #
## #
      appl_status_code <dbl>, appl_status_date <chr>, tc <dbl>, gender <chr>,
      race <chr>, app_proc_time <dbl>
## #
```

This next chunk of code will create the overall USPTO graph from the provided edge list.

```
graph <- tbl_graph(
  edges = (edge_data %>% relocate(from, to)),
  directed = TRUE
)

graph <- graph %>%
  activate(nodes) %>%
  inner_join(
    (patent_data %>% distinct(name, .keep_all = TRUE)),
    by = "name"
)
```

```
## # A tbl_graph: 2489 nodes and 17720 edges
## #
## # A directed multigraph with 127 components
## # Node Data: 2,489 x 19 (active)
##
     name application_number filing_date examiner_name_last examiner_name_first
##
      <chr> <chr>
                               <date>
                                           <chr>
                                                              <chr>
##
  1 84356 09402488
                               2000-02-16
                                           STEADMAN
                                                              DAVID
## 2 66266 09509710
                               2000-06-15 BRUMBACK
                                                              BRENDA
   3 63519 09463947
                               2000-02-04
                                          WEBER
                                                              JON
                                                              KATHLEEN
## 4 98531 09423418
                               2000-06-22 BRAGDON
## 5 92953 09445135
                               2000-03-13 RAMAN
                                                              USHA
## 6 93865 10481715
                               2004-06-01 WONG
                                                              JOSEPH
   7 91818 09424167
                               2000-05-30 PILLAI
                                                              NAMITHA
## 8 66805 09486723
                               2000-05-18 PICH
                                                              PONNOREAY
## 9 70919 09703038
                               2000-10-31 SAM
                                                              PHIRIN
## 10 72253 09242244
                               2000-02-29 WOITACH
                                                              JOSEPH
## # i 2,479 more rows
## # i 14 more variables: examiner name middle <chr>, examiner art unit <dbl>,
      uspc_class <chr>, uspc_subclass <chr>, patent_number <chr>,
## #
       patent_issue_date <date>, abandon_date <date>, disposal_type <chr>,
## #
      appl_status_code <dbl>, appl_status_date <chr>, tc <dbl>, gender <chr>,
```

```
race <chr>, app_proc_time <dbl>
## #
## # Edge Data: 17,720 x 6
##
              to application_number advice_date ego_examiner_id alter_examiner_id
##
     <int> <int> <chr>
                                     <date>
                                                            <dbl>
## 1
               2 09402488
                                     2008-11-17
                                                            84356
                                                                               66266
         1
## 2
               3 09402488
                                     2008-11-17
                                                            84356
                                                                               63519
## 3
         1
               4 09402488
                                     2008-11-17
                                                            84356
                                                                               98531
## # i 17,717 more rows
```

2.6 Calculating the centrality measures

For each examiner in the network, calculate the different centrality measures: degree, betweenness, and closeness.

```
node_data <- graph %>%
  activate(nodes) %>%
  mutate(
    degree = centrality_degree(),
    betweenness = centrality_betweenness(),
    closeness = centrality_closeness()
) %>%
  arrange(-degree) %>%
  as_tibble() %>%
  mutate(tc = as.factor(tc))
node_data
```

```
## # A tibble: 2,489 x 22
##
     name application_number filing_date examiner_name_last examiner_name_first
##
      <chr> <chr>
                               <date>
                                           <chr>
                                                              <chr>>
   1 83670 09856864
##
                               2001-07-05
                                           LEE
                                                              JAE
   2 97910 09486362
                               2000-02-28
                                           COUNTS
                                                              GARY
##
  3 73920 10373614
                               2003-02-25 HOBBS
                                                              LISA
  4 67226 09483069
                               2000-01-14 ZHEN
                                                              LI
## 5 80730 10345713
                               2003-01-16
                                           JOY
                                                              DAVID
   6 75615 09943424
                               2001-08-30
                                           DECKER
                                                              CASSANDRA
## 7 62152 10486872
                               2004-08-12 SIDDIQUEE
                                                              MUHAMMAD
  8 69098 10491238
                               2004-11-15 VASISTH
                                                              VISHAL
## 9 67690 09504184
                               2000-02-15 MCINTOSH III
                                                              TRAVISS
                               2004-07-02 TRAN
## 10 74061 10480716
                                                              THINH
## # i 2,479 more rows
## # i 17 more variables: examiner_name_middle <chr>, examiner_art_unit <dbl>,
       uspc class <chr>, uspc subclass <chr>, patent number <chr>,
## #
      patent_issue_date <date>, abandon_date <date>, disposal_type <chr>,
## #
       appl_status_code <dbl>, appl_status_date <chr>, tc <fct>, gender <chr>,
## #
      race <chr>, app_proc_time <dbl>, degree <dbl>, betweenness <dbl>,
      closeness <dbl>
## #
```

2.7 Running regression models

We will now run a series of regression models to explore the relationship between the centrality measures and the application processing time.

To do so, first we define a function that runs a regression model for a given centrality measure and returns the summary statistics.

```
run_regression <- function(data, x, y, plot = TRUE) {</pre>
  formula <- as.formula(paste(y, "~", x))</pre>
  model <- lm(formula, data = data)</pre>
  if (plot) {
    plot_data <- ggplot(data, aes_string(x, y)) +</pre>
      geom_point() +
      geom_smooth(method = "lm", se = FALSE) +
      labs(
        title = paste("Regression of", y, "on", x),
        subtitle = paste("R-squared:", round(summary(model)$r.squared, 4)),
        y = y
      ) +
      theme_minimal() +
        plot.title = element_text(size = 16, face = "bold"),
        plot.subtitle = element_text(size = 14)
      plot_annotation(
        caption = "Source: USPTO Data | Graphic: @lakshyaag"
    ggsave(paste0(y, "_on_", x, ".png"), plot_data, width = 16, height = 9)
    print(plot_data)
  }
  # Return a tidy dataframe of the model summary
  tidy_model <- tidy(model)</pre>
  glance_model <- glance(model)</pre>
  # Add R-squared and centrality measure (extracted from x) to the tidy dataframe
  tidy_model <- tidy_model %>%
      r_squared = glance_model$r.squared,
      centrality_measure = x
 return(tidy_model)
}
```

2.7.1 Application time on degree centrality

```
run_regression(node_data, "degree", "app_proc_time")

## Warning: 'aes_string()' was deprecated in ggplot2 3.0.0.

## i Please use tidy evaluation idioms with 'aes()'.

## i See also 'vignette("ggplot2-in-packages")' for more information.
```

```
## This warning is displayed once every 8 hours.
## Call 'lifecycle::last_lifecycle_warnings()' to see where this warning was
## generated.

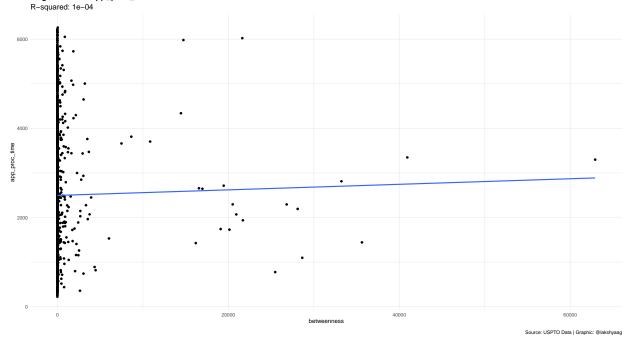
## 'geom_smooth()' using formula = 'y ~ x'
## 'geom_smooth()' using formula = 'y ~ x'
```

Regression of app_proc_time on degree R-squared: 0.0021

2.7.2 Application time on betweenness centrality

```
run_regression(node_data, "betweenness", "app_proc_time")
## 'geom_smooth()' using formula = 'y ~ x'
## 'geom_smooth()' using formula = 'y ~ x'
```

Regression of app_proc_time on betweenness



```
## # A tibble: 2 x 7
                  estimate std.error statistic p.value r_squared centrality_measure
                                                  <dbl>
##
     <chr>
                     <dbl>
                               <dbl>
                                         <dbl>
                                                            <dbl> <chr>
                                                         0.000126 betweenness
## 1 (Intercept)
                   2.50e+3
                             28.0
                                        89.1
## 2 betweenness
                   6.23e-3
                              0.0111
                                         0.560
                                                 0.575 0.000126 betweenness
```

2.7.3 Application time on closeness centrality

```
run_regression(node_data, "closeness", "app_proc_time")

## 'geom_smooth()' using formula = 'y ~ x'

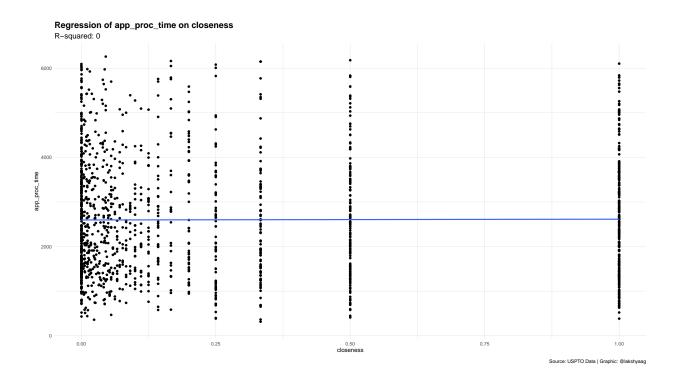
## Warning: Removed 1053 rows containing non-finite values ('stat_smooth()').

## Warning: Removed 1053 rows containing missing values ('geom_point()').

## 'geom_smooth()' using formula = 'y ~ x'

## Warning: Removed 1053 rows containing non-finite values ('stat_smooth()').

## Removed 1053 rows containing missing values ('geom_point()').
```



```
## # A tibble: 2 x 7
                 estimate std.error statistic p.value r_squared centrality_measure
##
     term
                              <dbl>
                                                           <dbl> <chr>
##
     <chr>
                    <dbl>
                                         <dbl>
                                                 <dbl>
                               44.4
                                                       0.0000226 closeness
## 1 (Intercept)
                   2596.
                                        58.5
                                                 0
                                                 0.857 0.0000226 closeness
## 2 closeness
                               96.2
                                        0.180
                     17.3
```

2.7.4 Application times on all centrality measures and gender and race

Since the above models have a very low R-squared value, we now add additional variables to the model to see if we can improve the fit, starting with gender and race.

```
## # A tibble: 3 x 2
## centrality_measure r_squared
```

2.7.5 Application time on all centrality measures, gender and race, with other variables

Now we include other important variables, namely disposal_type and tc.

```
results_df_2 <- map_dfr(</pre>
  centrality measures,
  run_regression(node_data,
   pasteO(.x, " * gender * race + disposal_type + tc"),
    "app_proc_time",
    plot = FALSE
 )
)
# Looking at the R-squared values for each model
results_df_2 %>%
  select(centrality_measure, r_squared) %>%
 distinct()
## # A tibble: 3 x 2
##
     centrality_measure
                                                        r_squared
##
                                                            <dbl>
## 1 degree * gender * race + disposal_type + tc
                                                            0.135
```

0.132

0.177

2.8 Looking at the final model closely

2 betweenness * gender * race + disposal_type + tc

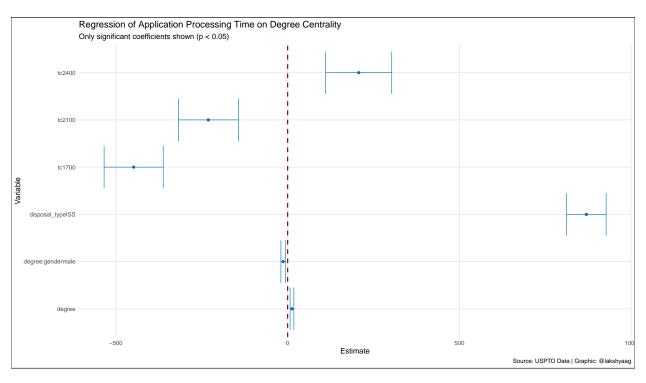
3 closeness * gender * race + disposal_type + tc

```
best model <- results df 2 %>%
 filter(str_starts(centrality_measure, "degree"))
model_coeffs <- ggplot(</pre>
 best_model %>% filter(term != "(Intercept)") %>% filter(p.value < 0.05),</pre>
  aes(
   x = term,
    y = estimate,
    ymin = estimate - std.error,
    ymax = estimate + std.error
  )
) +
  geom_point(color = "#0072B2", size = 2) +
  geom_errorbar(color = "#0072B2", fatten = 4, lwd = 0.5) +
  geom_hline(yintercept = 0, linetype = "dashed", color = "darkred", lwd = 1) +
  coord_flip() +
  labs(
    title = "Regression of Application Processing Time on Degree Centrality",
    subtitle = "Only significant coefficients shown (p < 0.05)",
```

```
x = "Variable",
y = "Estimate",
caption = "Source: USPTO Data | Graphic: @lakshyaag"
) +
theme_minimal(base_size = 14) +
theme(
   plot.background = element_rect(fill = "white"),
   panel.grid.major = element_line(color = "#e5e5e5"),
   panel.grid.minor = element_blank(),
)
```

Warning in geom_errorbar(color = "#0072B2", fatten = 4, lwd = 0.5): Ignoring
unknown parameters: 'fatten'

model_coeffs



```
ggsave("model_coeffs.png", model_coeffs, width = 16, height = 9)
best_model
```

```
## # A tibble: 24 x 7
##
     term
                estimate std.error statistic p.value r_squared centrality_measure
##
     <chr>
                   <dbl>
                            <dbl>
                                      <dbl>
                                               <dbl>
                                                         <dbl> <chr>
## 1 (Intercep~
                            122.
                                     16.2 1.53e-55
                  1970.
                                                         0.135 degree * gender *~
## 2 degree
                    12.6
                             5.50
                                      2.29 2.21e- 2
                                                         0.135 degree * gender *~
## 3 gendermale
                   123.
                            119.
                                      1.04 3.00e- 1
                                                         0.135 degree * gender *~
## 4 raceblack
                                      1.60 1.10e- 1
                                                         0.135 degree * gender *~
                   497.
                            311.
## 5 raceHispa~
                   213.
                           398.
                                      0.536 5.92e- 1
                                                         0.135 degree * gender *~
                                                         0.135 degree * gender *~
## 6 raceother -1251.
                                     -0.884 3.77e- 1
                         1415.
```

```
7 racewhite
                     165.
                              127.
                                          1.30
                                                1.95e- 1
                                                              0.135 degree * gender *~
                     870.
                                                              0.135 degree * gender *~
                                                3.15e-49
##
    8 disposal ~
                               57.4
                                         15.1
    9 tc1700
                    -448.
                               86.0
                                         -5.21
                                                2.06e- 7
                                                              0.135 degree * gender *~
                                                              0.135 degree * gender *~
## 10 tc2100
                               87.4
                                         -2.64
                                                8.28e- 3
                    -231.
## # i 14 more rows
```

3 Interpretation of the model

The selected model fits the application processing time as a function of:

```
y = \beta_0 + \beta_1 \cdot \text{DegreeCentrality} +
 \beta_2 \cdot \text{Gender} + \beta_3 \cdot \text{Race} +
  \beta_4 \cdot \text{DisposalType} + \beta_5 \cdot \text{TechnologyCenter} +
  \beta_6 \cdot (\text{DegreeCentrality} \times \text{Gender}) +
  \beta_7 \cdot (\text{DegreeCentrality} \times \text{Race}) +
  \beta_8 \cdot (\text{Gender} \times \text{Race}) +
  \beta_9 · (DegreeCentrality × Gender × Race) + \epsilon
```

where y represents the application processing time.

The model has an R-squared value of 0.135, indicating that 13.5% of the variance in application processing time can be explained by the variables included in the model. The coefficients of the model indicate the effect of each variable on the application processing time.

The base variables for the categorical variables are:

• Gender: female Race: Asian

Disposal Type: ABN

• TC: 1600

Keeping only the significant coefficients with a p-value of less than 0.05, we can see that:

- The degree centrality coefficient of 12.6 indicates that a one-unit increase in degree centrality is associated with a 12.6 day increase in application processing time. This suggests that examiners with higher degree centrality take longer to process applications, possibly due to a higher workload or complexity of applications.
- The disposal_typeISS coefficient of 869.66 indicates that applications with a ISS disposal type take 869.66 days longer to process than applications with an ABN disposal type. This suggests that patents that get issued take significantly longer to process than those that are abandoned.
- The gendermale coefficient of 123.12 indicates that applications assigned to a male examiner take 123.12 days longer to process than applications assigned to female examiners. This suggests that there may be delays or differences in processing times based on the gender of the examiner.

3.1Implications for the USPTO

Understanding these relationships can help the USPTO identify factors influencing application processing times. If centrality significantly impacts processing time, strategies to distribute workloads more evenly or foster efficient collaboration networks could be considered. Additionally, identifying any disparities by gender / race could inform policies to ensure equitable work environments.