

# LECTURE -3

## LOGICAL CLOCK SYNCHRONIZATION

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# EVENT STRUCTURES

- A process can be viewed as consisting of a sequence of events, where an event is an **atomic** transition of the local state which happens in **no time**
- Process Actions can be modeled using the 3 types of events
  - Send
  - Receive
  - Internal (change of state)

# CAUSAL RELATIONS

- **Distributed application results in a set of distributed events**
  - Induces a partial order  $\rightarrow$  causal precedence relation
- **Knowledge of this causal precedence relation is useful in reasoning about and analyzing the properties of distributed computations**
  - Liveness and fairness in mutual exclusion
  - Consistency in replicated databases
  - Distributed debugging, Checkpointing

# AN EVENT FRAMEWORK FOR LOGICAL CLOCKS

- **Events are related**

- Events occurring at a particular process are totally ordered by their local sequence of occurrence.
- Each receive event has a corresponding send event
- Future can not influence the past (**causality relation**)
- Event structures represent distributed computation (in an abstract way)
- An event structure is a pair  $(E, <)$ , where  $E$  is a set of events and  $<$  is a irreflexive partial order on  $E$ , called the causality relation

# EVENT ORDERING

- **Lamport defined the “happens before” ( $<$ ) relation**
  - If  $a$  and  $b$  are events in the same process, and  $a$  occurs before  $b$ , then  $a < b$ .
  - If  $a$  is the event of a message being sent by one process and  $b$  is the event of the message being received by another process, then  $a < b$ .
  - If  $X < Y$  and  $Y < Z$  then  $X < Z$ .

***If  $a < b$  then  $\text{time}(a) < \text{time}(b)$***

# CAUSAL ORDERING

- **“Happens Before” also called causal ordering**
- **Possible to draw a causality relation between TWO events if**
  - They happen in the same process
  - There is a chain of messages between them
- **“Happens Before” notion is not straightforward in distributed systems**
  - No guarantees of synchronized clocks
  - Communication latency

# LOGICAL CLOCKS

- Used to determine causality in distributed systems
- Time is represented by non-negative integers
- A logical Clock **C** is some abstract mechanism which assigns to any event  $e \in E$  the value **C(e)** of some time domain **T** such that certain conditions are met

$C: E \rightarrow T :: T$  is a partially ordered set

Such that,  $e < e' \Rightarrow C(e) < C(e')$  holds

- **Consequences of the clock condition [Morgan 85]:**
  - Rule 1:** If an event  $e$  occurs before event  $e'$  at some single process, then event  $e$  is assigned a logical time earlier than the logical time assigned to event  $e'$
  - Rule 2 :** For any message sent from one process to another, the logical time of the send event is always earlier than the logical time of the receive event

# IMPLEMENTING LOGICAL CLOCKS

- **Requires**
  - Data structures local to every process to represent logical time and
  - a protocol to update the data structures to ensure the consistency condition.
- **Each process  $P_i$  maintains data structures that allow it the following two capabilities:**
  - A local logical clock, denoted by  $LC_i$ , that helps process  $P_i$  measure its own progress.
  - A logical global clock, denoted by  $GC_i$ , that is a representation of process  $P_i$ 's local view of the logical global time. Typically,  $LC_i$  is a part of  $GC_i$



# IMPLEMENTING LOGICAL CLOCKS

## (CONTD..)

**The protocol ensures that a process's logical clock, and thus its view of the global time, is managed consistently.**

The protocol consists of the following two rules:

**R1:** This rule governs how the local logical clock is updated by a process when it executes an event.

**R2:** This rule governs how a process updates its global logical clock to update its view of the global time and global progress.

## Types of Logical Clocks

- **Systems of logical clocks differ in their representation of logical time and also in the protocol to update the logical clocks.**
- **Three types of logical clocks**

Scalar

- Vector

- Matrix

# HAPPENED BEFORE RELATION ( $\rightarrow$ )

- ❑ Happened Before Relation ( $\rightarrow$ ) captures causal dependencies among various events in distributed systems.
- ❑ Internal Events / Message Events.
  - ❑ In a same process,  $A \rightarrow B$  indicate event A happened before Event B. ( A and B are internal events )
  - ❑ If A is event of sending a message M from process P to process Q, and B is event of receipt of message M at process Q then  $A \rightarrow B$ .
  - ❑ Happened Before Relation ( $\rightarrow$ ) is transitive ;  
 $A \rightarrow B \ \& \ B \rightarrow C \implies A \rightarrow C$
  - ❑ Causally Related Events : Event A causally affects event B if  $A \rightarrow B$ .
  - ❑ Concurrent Events : Two distinct events are said to be concurrent (  $A \parallel B$  ) iff,  
 $A \not\rightarrow B \ \& \ B \not\rightarrow A$ .

# SCALAR LOGICAL CLOCKS - LAMPORT

- Proposed by Leslie Lamport in 1978 as an attempt to totally order events in a distributed system.
- Time domain is the set of non-negative integers.
- The logical local clock of a process  $P_i$  and its local view of the global time are squashed into one integer variable  $C_i$ .
- Monotonically increasing counter
  - No relation with real clock
- Each process keeps its own logical clock used to timestamp events

# CONDITIONS TO BE SATISFIED BY SYSTEM OF LOGICAL CLOCKS

- For any two distinct events **A** & **B** :  
If  $A \rightarrow B$  then  $C(A) < C(B)$

Happened Before Relation ( $\rightarrow$ ) can be realized by using logical clocks if following conditions are satisfied :

**[C1]** : For any two events **A** & **B** occurred in a process  $P_i$  then :

$$C_i[A] < C_i[B]$$

where  $C_i$  is local clock at  $P_i$

**[C1]** : If **A** is event of sending a message **M** from process  $P_i$  to process  $P_j$ , and **B** is event of receipt of message **M** at process  $P_j$  then :

$$C_i[A] < C_j[B]$$

where  $C_i$  is local clock at  $P_i$  and  $C_j$  is local clock at  $P_j$

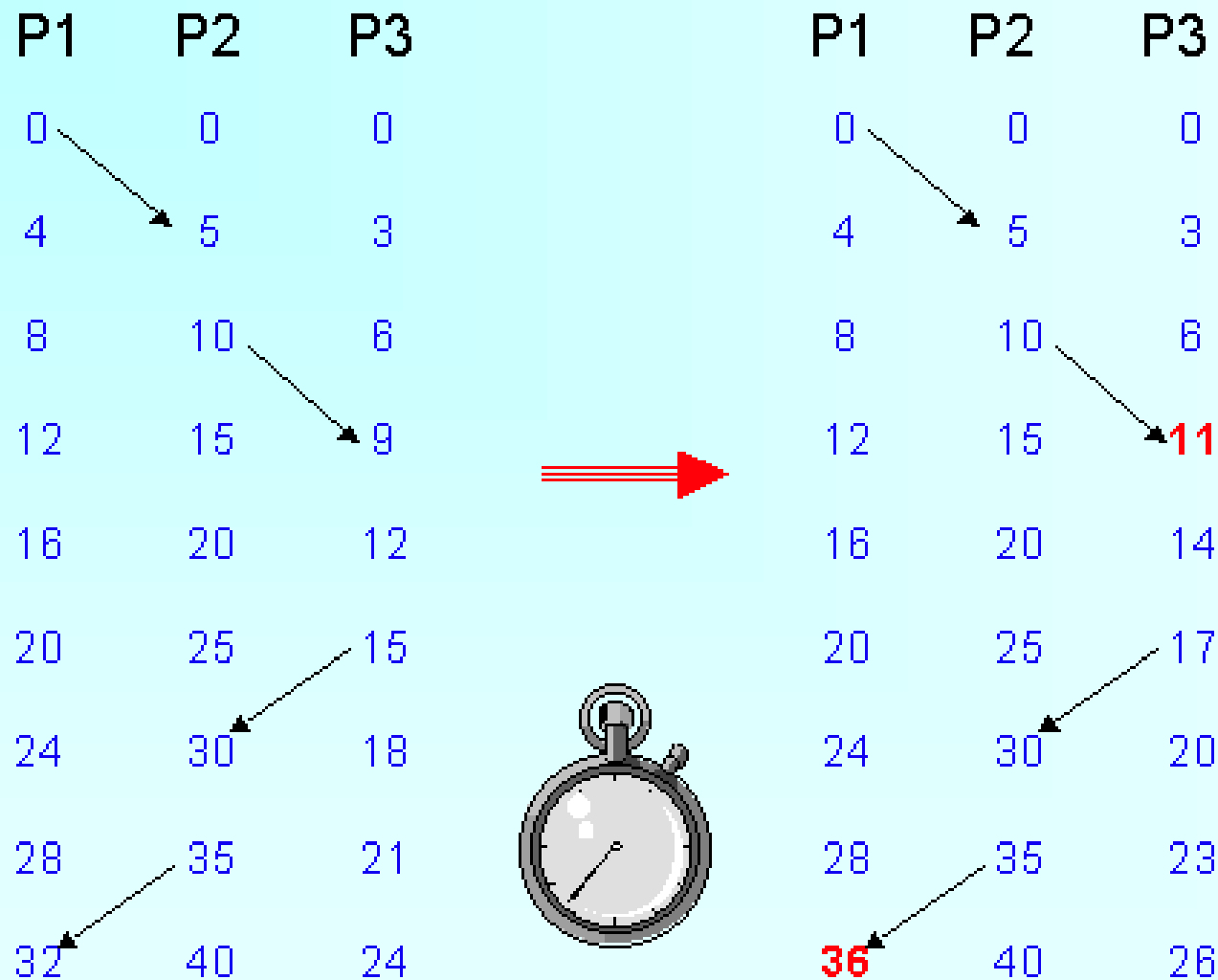
# IMPLEMENTATION RULES OF LAMPORT'S LOGICAL CLOCKS : PROTOCOL

- To guarantee the clock condition, local clocks must obey a simple protocol:
  - When executing an internal event or a send event at process  $P_i$  the clock  $C_i$  ticks
    - $C_i = C_i + d$  ( $d > 0$ )
  - When  $P_i$  sends a message  $m$  to Process  $P_j$ , it piggybacks a logical timestamp  $t$  which equals the time of the send event :  $t(m) = C_i$
  - When executing a receive event at  $P_j$  where a message with timestamp  $t$  is received, the clock is advanced

$$C_j = \max(C_j, t) + d \quad (d > 0)$$

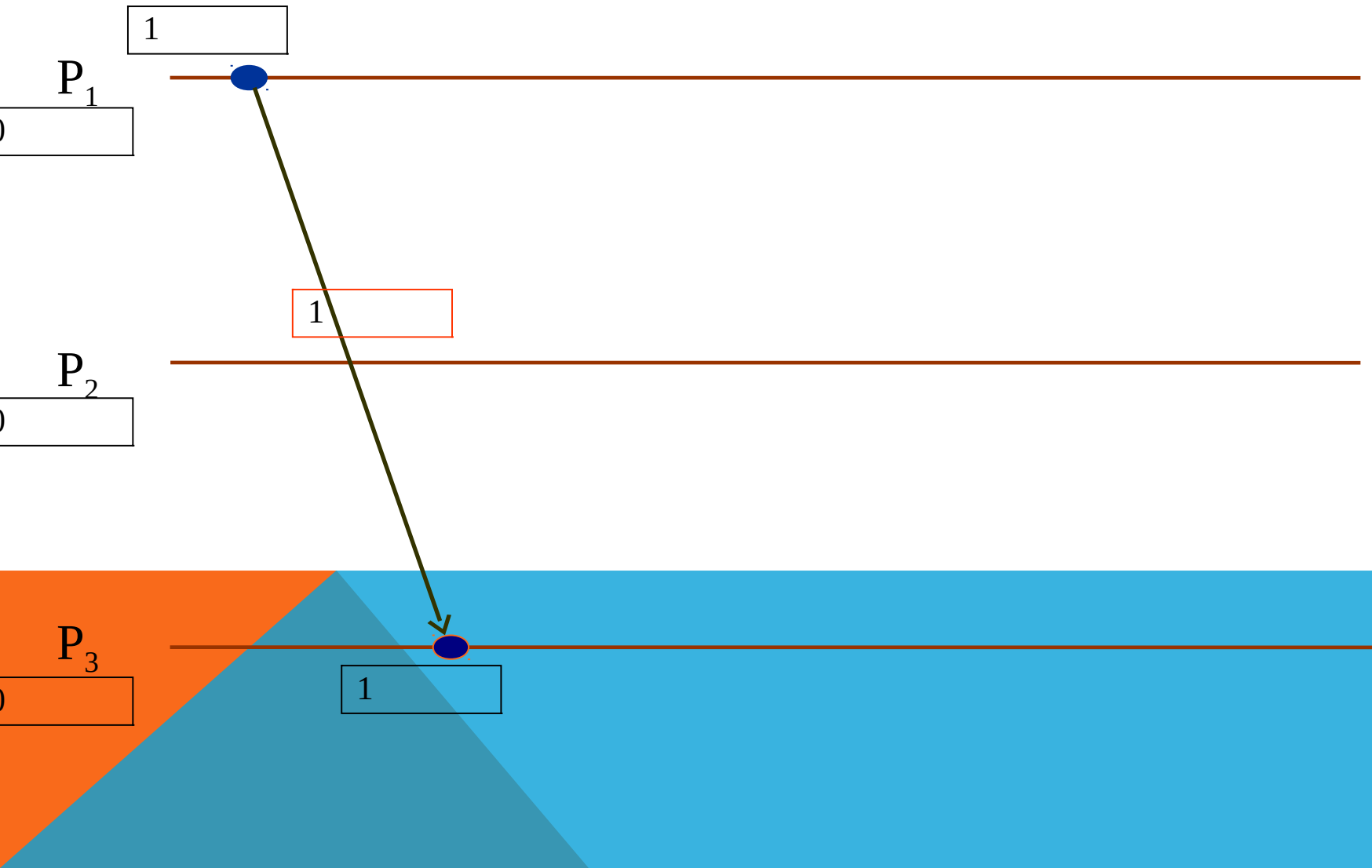
⇒ The above results in a partial ordering of events.

# Lamport Logical Clock



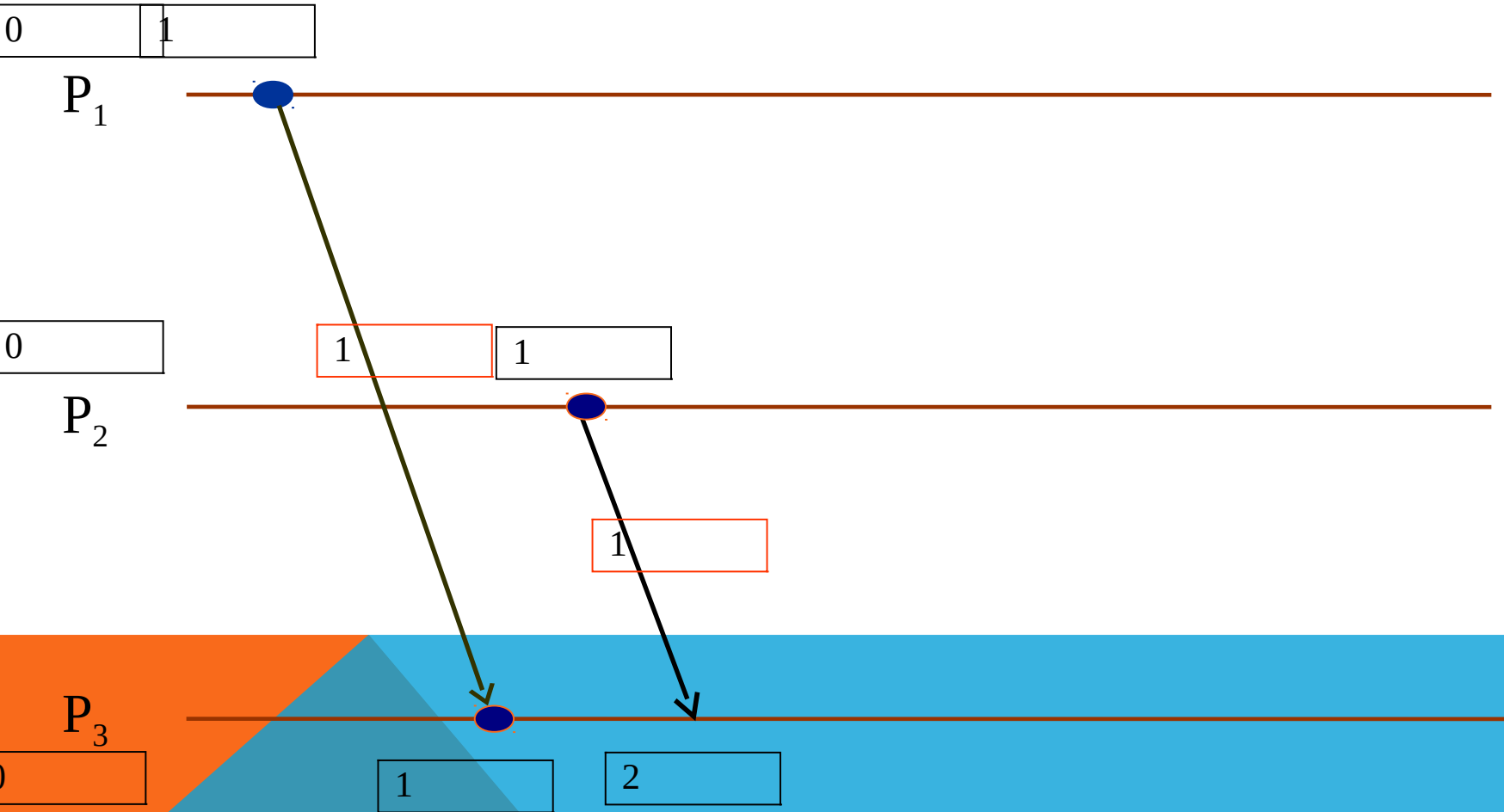
# LAMPORT'S CLOCKS

## UNICAST SYSTEM



# LAMPORT'S CLOCKS

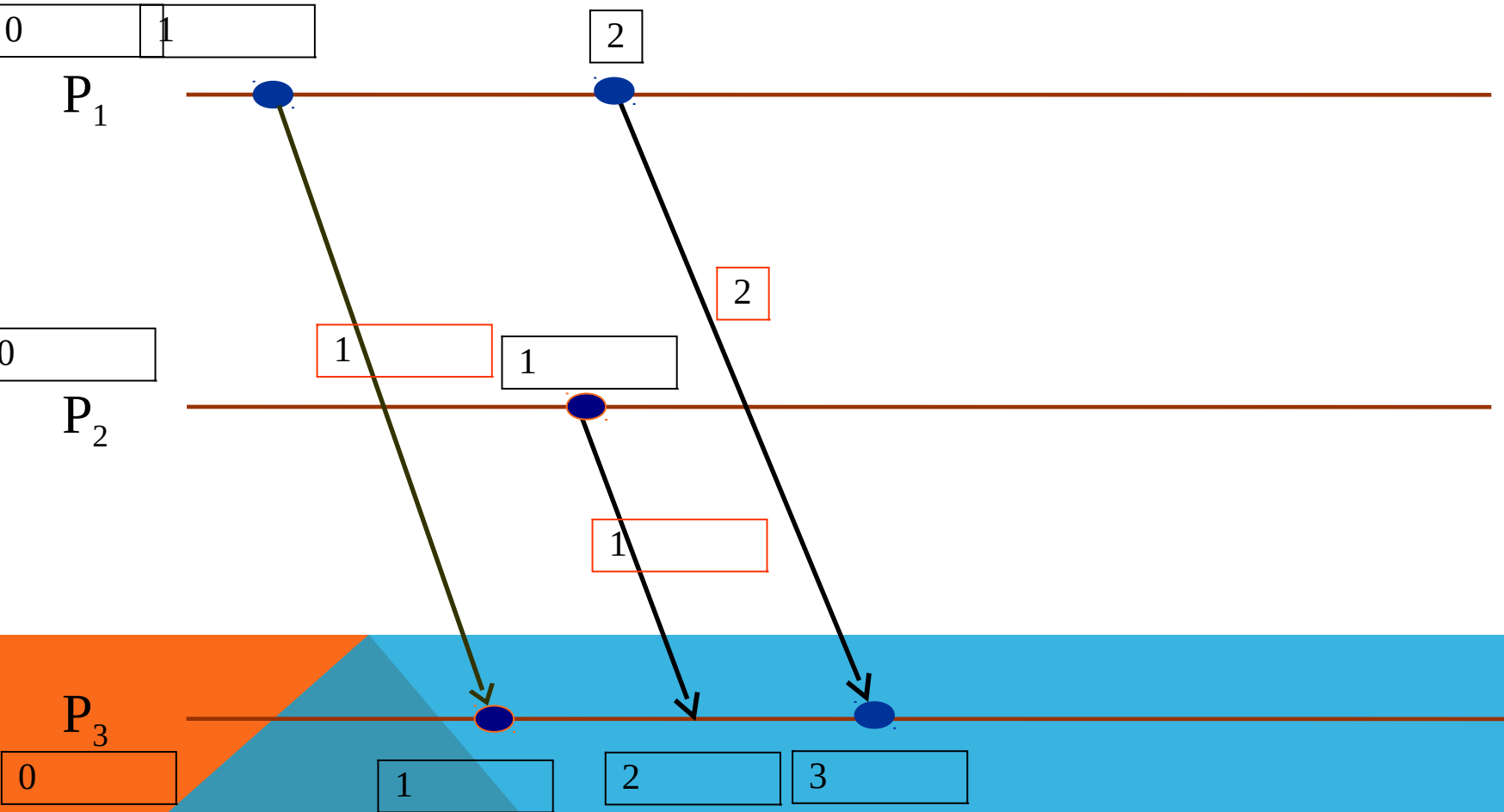
## UNICAST SYSTEM





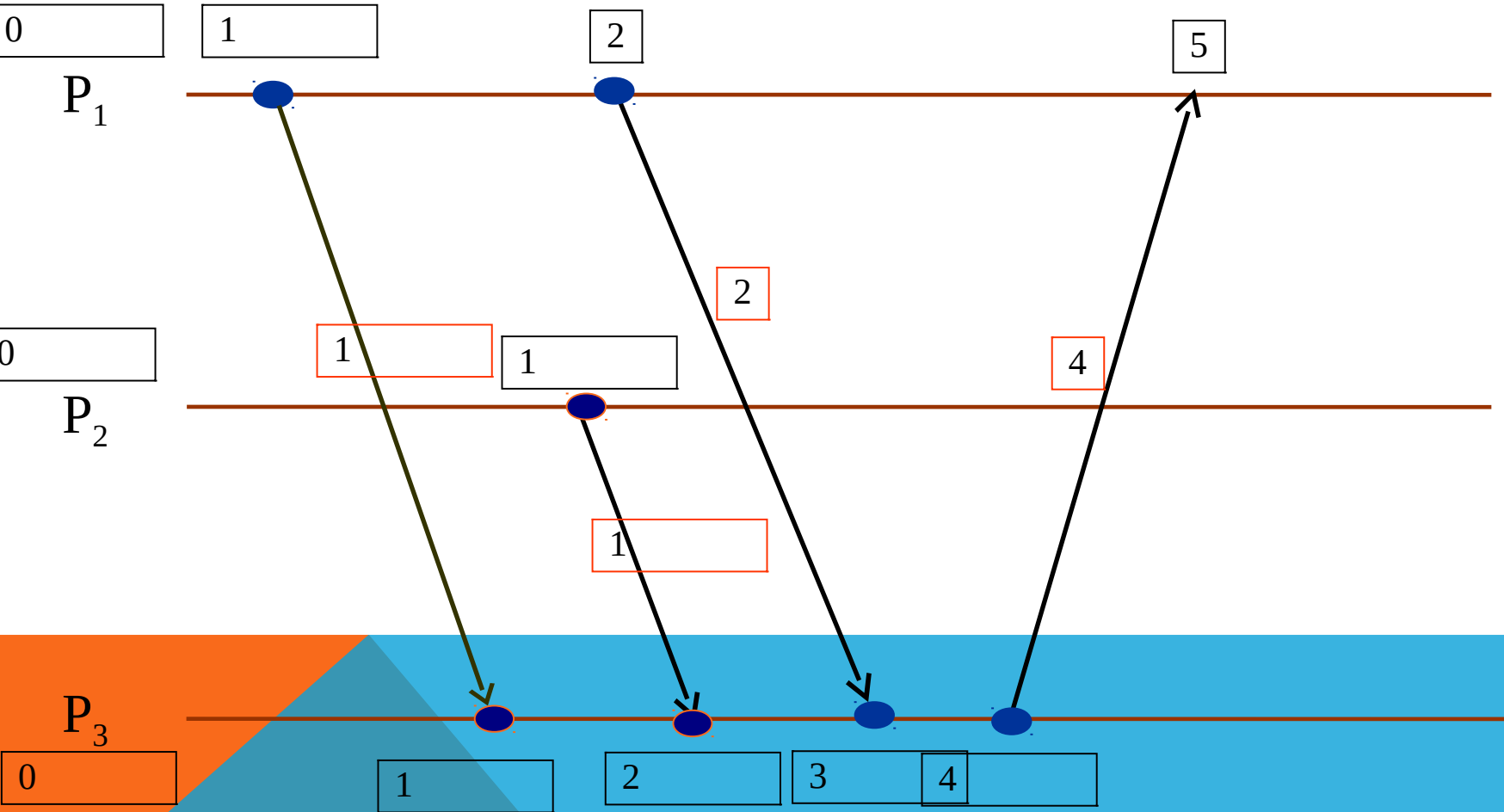
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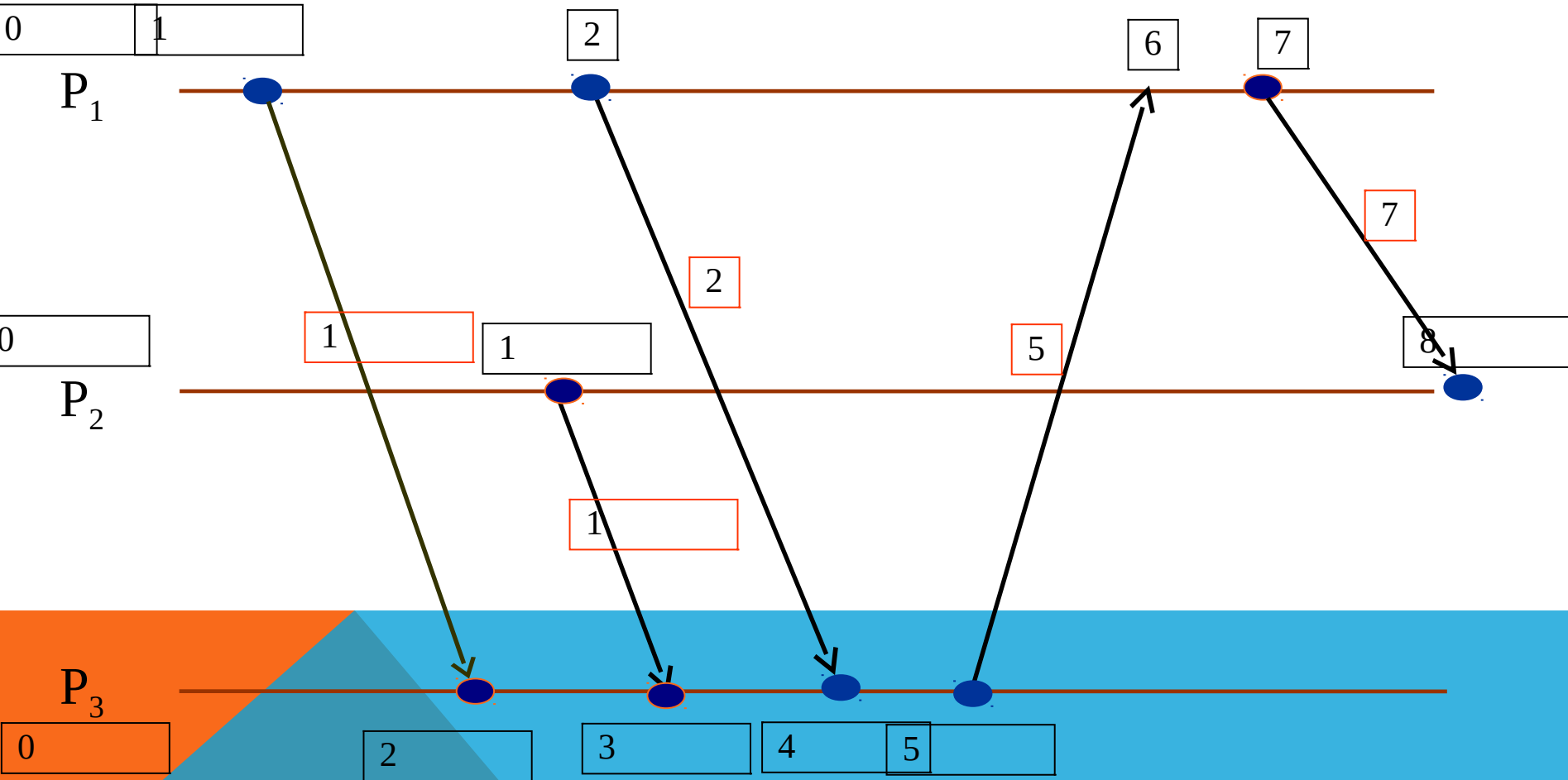
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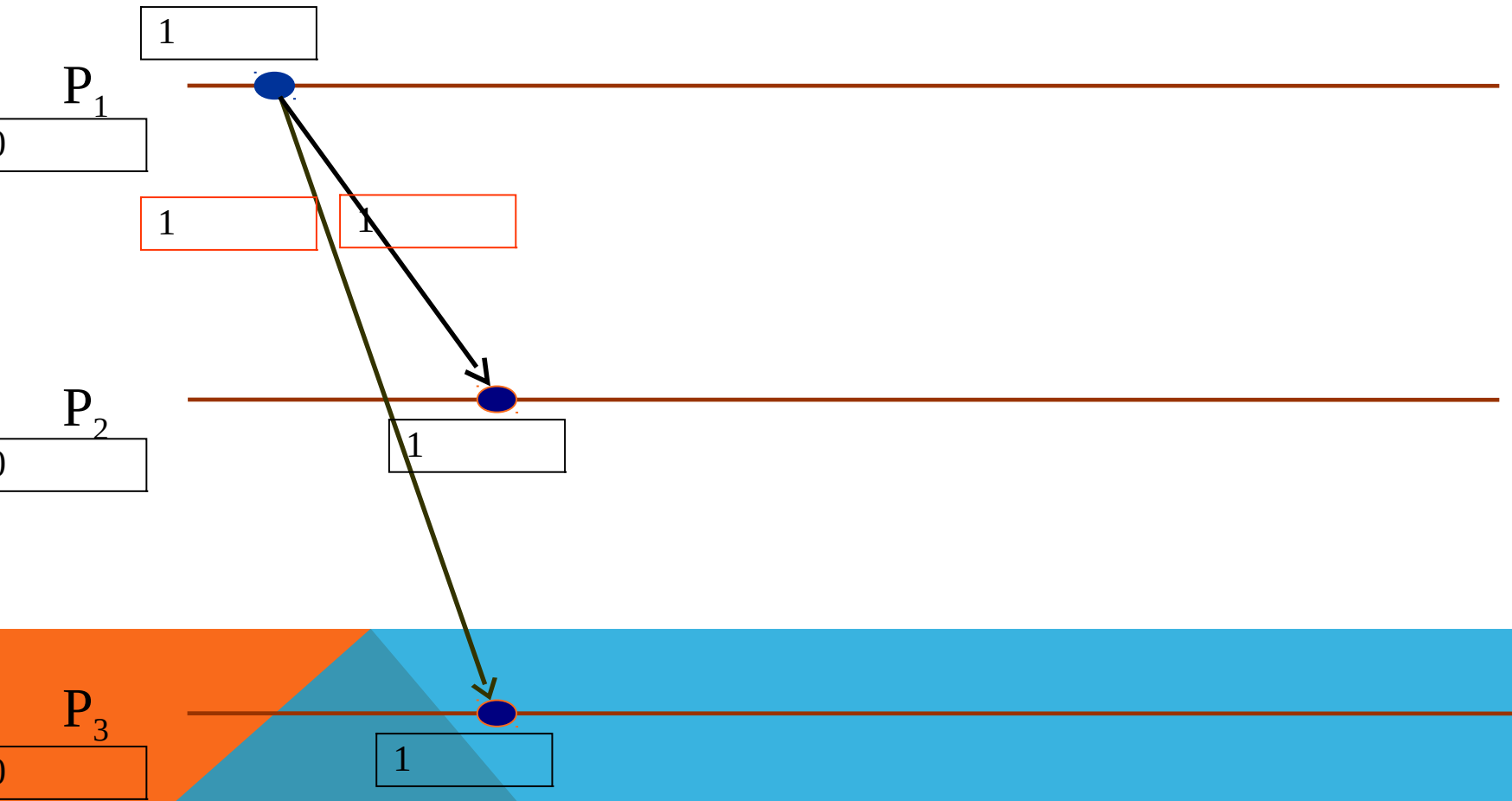
# LAMPORT'S CLOCKS

## UNICAST SYSTEM

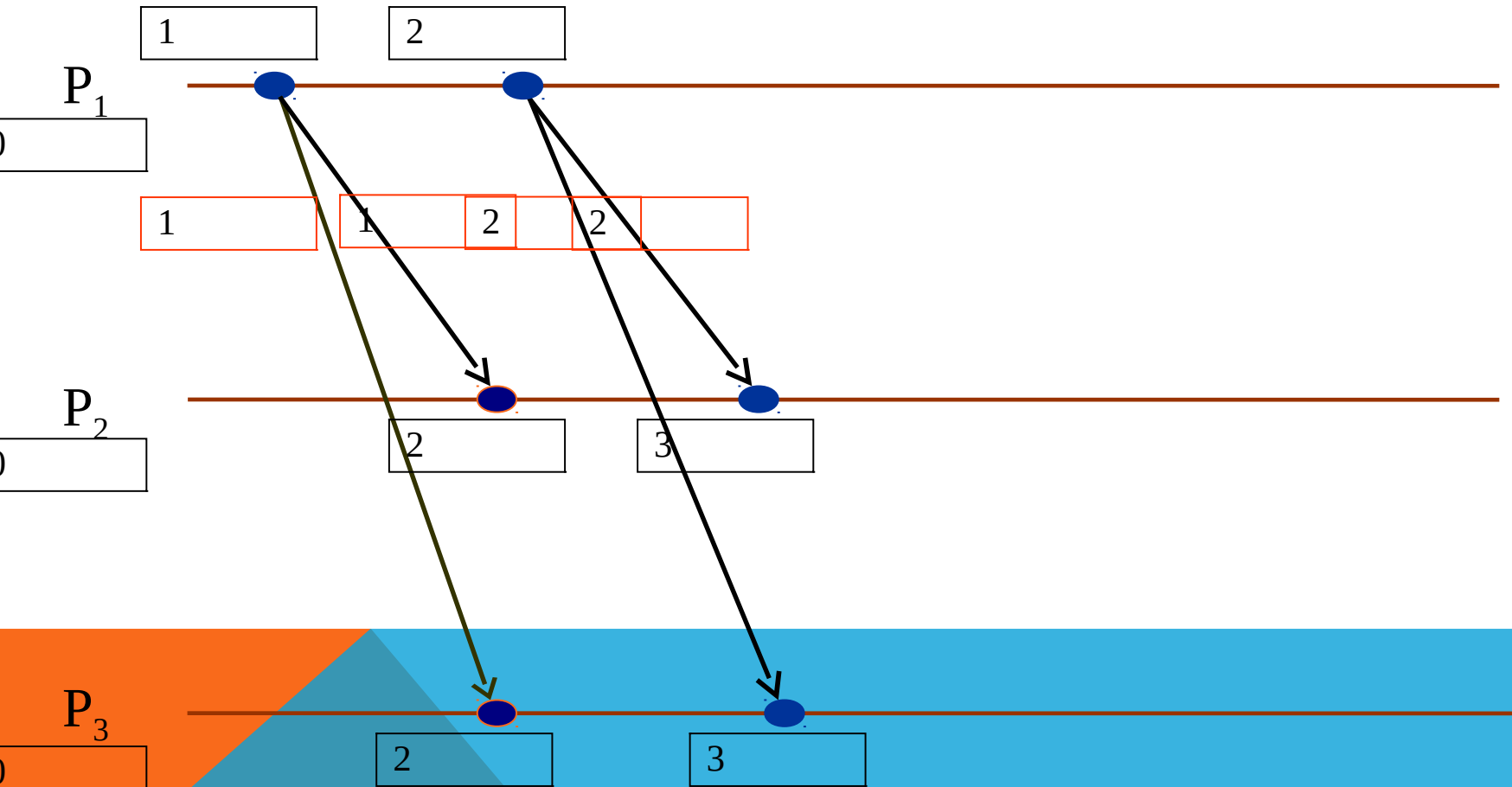


# **How Lamport's Clock work for Broadcast ?**

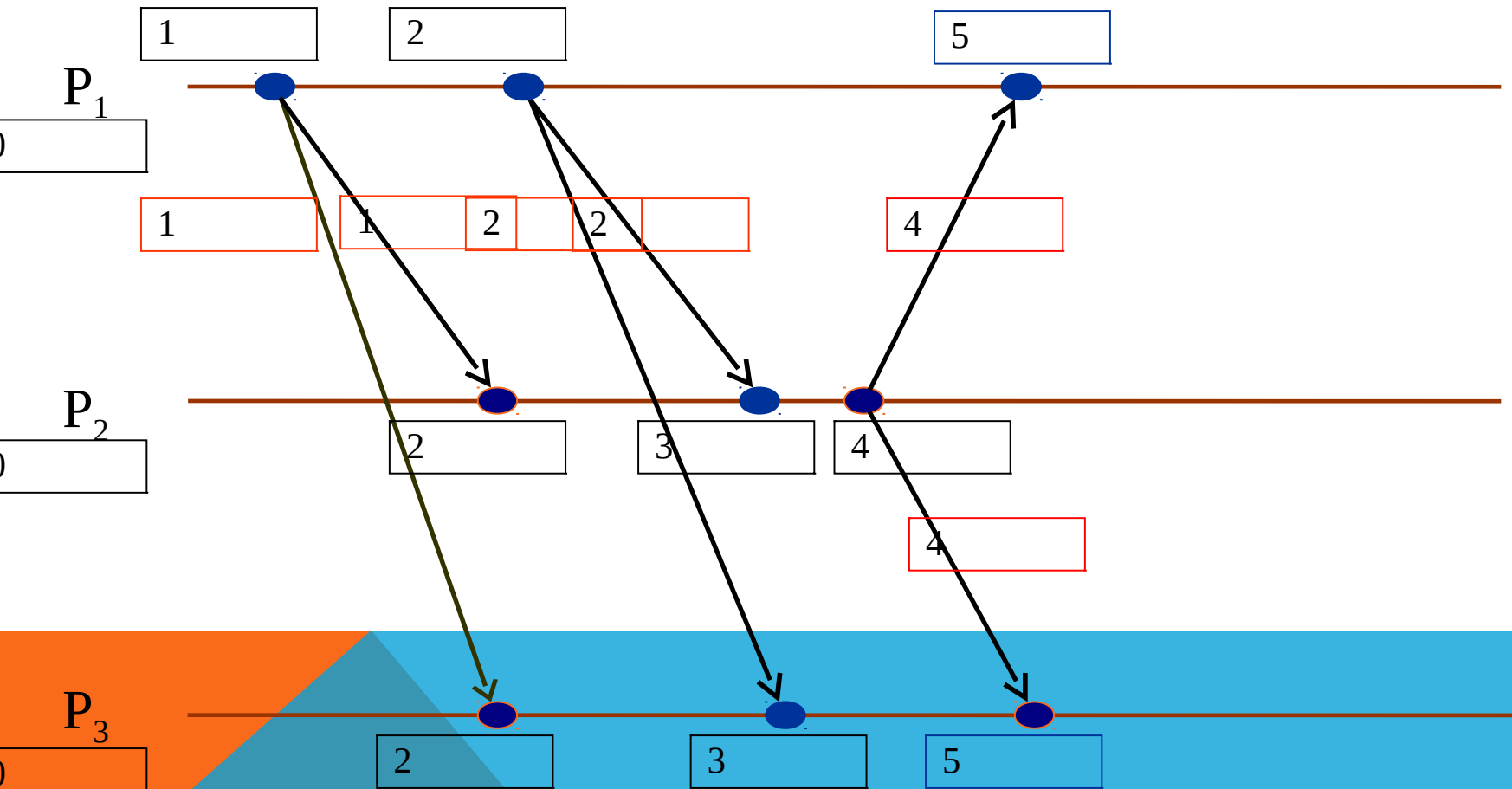
# CLOCKS TO BROADCAST SYSTEM



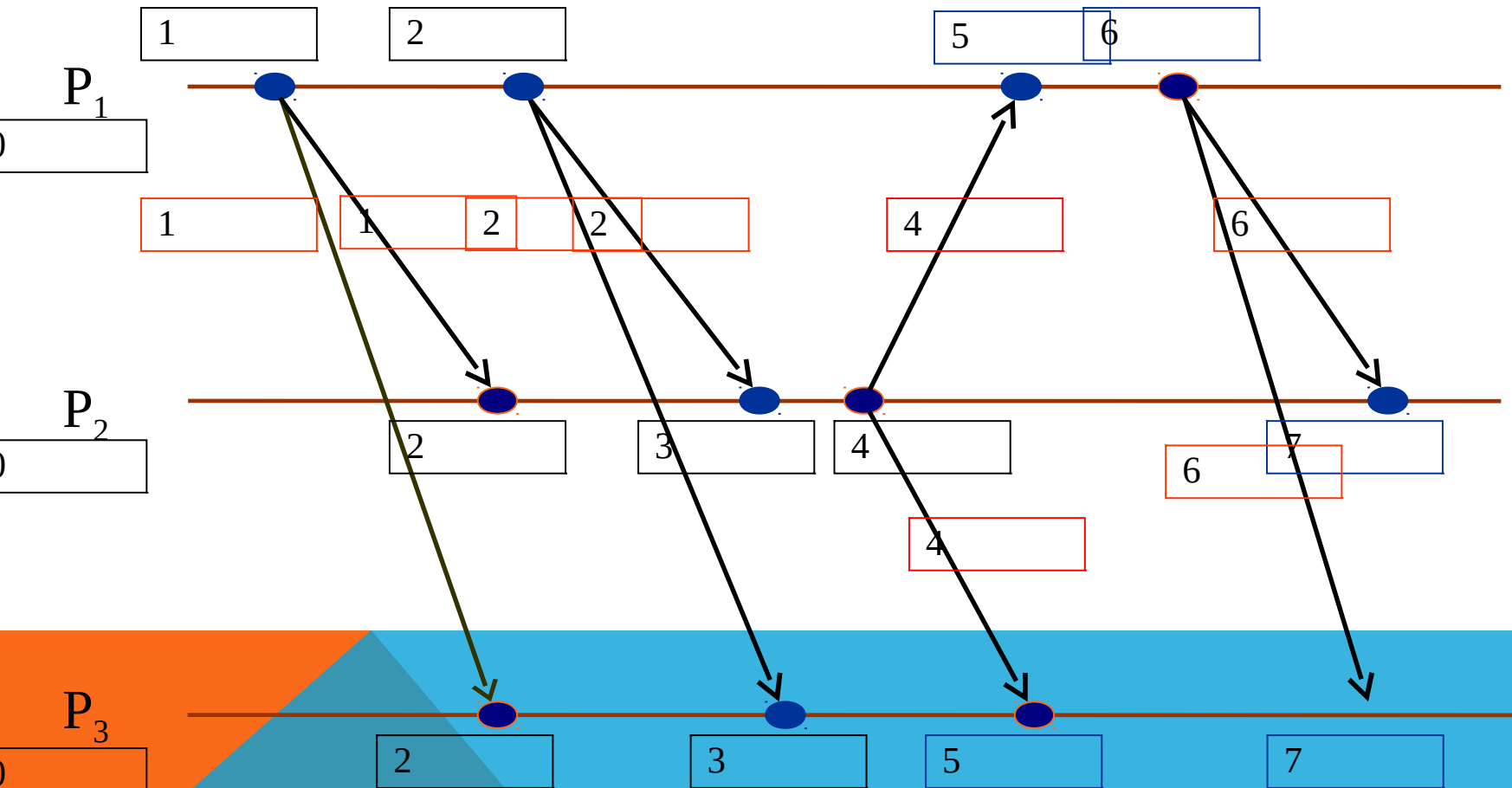
# CLOCKS TO BROADCAST SYSTEM



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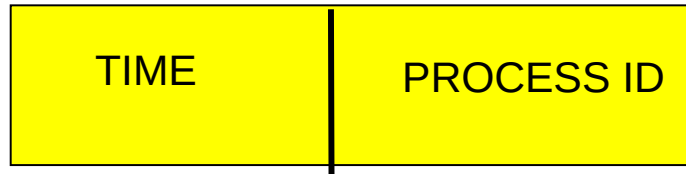
# CLOCKS TO BROADCAST SYSTEM





# OBTAINING TOTAL ORDERING

- **Extending partial order to total order**



- **Global timestamps:**
  - $(T_a, P_a)$  where  $T_a$  is the local timestamp and  $P_a$  is the process id.
    - $(T_a, P_a) < (T_b, P_b)$  iff
    - $(T_a < T_b)$  or  $(T_a = T_b \text{ and } P_a < P_b)$
  - Total order is consistent with partial order.

# PROPERTIES OF SCALAR CLOCKS

- **Event counting;**

- If the increment value  $d$  is always 1, the scalar time has the following interesting property:

***if event  $e$  has a timestamp  $h$ , then  $h-1$  represents the minimum logical duration, counted in units of events, required before producing the event  $e$ ;***

- We call it the height of the event  $e$ .
- In other words,  $h-1$  events have been produced sequentially before the event  $e$  regardless of the processes that produced these events.

# LIMITATION OF SCALAR CLOCKS

- No Strong Consistency
- The system of scalar clocks is not strongly consistent; that is, for two events  $e_i$  and  $e_j$ , ;LG

$$C(e_i) < C(e_j) \Rightarrow e_i < e_j .$$

- Reason: In scalar clocks, logical local clock and logical global clock of a process are squashed into one, resulting in the loss of causal dependency information among events at different processes.

# INDEPENDENCE

- Two events  $e, e'$  are mutually independent (i.e.  $e || e'$ ) if ;
  - $\sim(e < e') \wedge \sim(e' < e)$ 
    - Two events are independent if they have the same timestamp
    - Events which are causally independent may get the same or different timestamps
  - By looking at the timestamps of events it is not possible to assert that some event *could not* influence some other event
    - If  $C(e) < C(e')$  then  $\sim(e < e')$  *however*, it *is not possible* to decide whether  $e < e'$  or  $e || e'$
- C is an order *homomorphism* which preserves  $<$  but it does not preserve negations (i.e. obliterates a lot of structure by mapping E into a linear order)
- An *isomorphism* mapping E onto T is required

## **Assignment 2**

**Last Date of Submission : 12 Sept 2019**  
**Date of presentation : will be announced later**

END OF LECTURE 3