# **Developing West-WRF for Western United States Atmospheric River**

## Science and Impacts: Initial Implementation and Evaluation

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#### **ABSTRACT**

Precipitation from Atmospheric Rivers presents a unique forecast challenge because the critical spatial scales span a few km, i.e. local scales, to thousands of km, i.e. storm scales. A compartmentalized approach is often employed to simulate local and storm scales. We evaluate forecasts of well observed Atmospheric Rivers using a modeling system designed to simulate both the storm scales and the local scales. An unprecedented number of observations from within moderate to strong atmospheric rivers including airborne, balloon-borne, ground based and remotely sensed measurements have been gathered from the CalWater 2 intensive observing periods. These observations are used to investigate whether the West-WRF modeling system adds value to global model forecasts and to a regional model employing smaller extent than West-WRF during atmospheric river events. The value added to atmospheric river water vapor transport, static stability, onshore precipitation and standard storm-scale atmospheric fields are investigated. It is found that West-WRF skill in forecasting storm-scale features is comparable to that of its parent global model. It is also found that precipitation forecast skill is improved in the West-WRF high-resolution domain. Further direct validation is presented that investigates the relative roles of storm scale forcing and local orographic response in driving errors in forecast precipitation using an observationally derived linear model. The results are used to identify best practices in NWP model design for Atmospheric River forecasting and to suggest avenues of future model improvement.

## 1. Introduction

Atmospheric Rivers (AR) play a vital role in delivering rain and snow to western North America (Dettinger et al. 2011; Guan et al. 2010; Ralph et al. 2010a; Neiman et al. 2013). In some regions, 56 as much as 50% of annual precipitation falls on days when an AR is present (Rutz et al. 2014). 57 AR have been shown to be a key mechanism in regional recovery from drought (Dettinger 2013), and have been linked to major flooding events in western North America and elsewhere (Neiman et al. 2008, 2011; Lavers et al. 2011; Ralph et al. 2010a, 2006; Moore et al. 2012). Despite the importance of AR to water supply and flood risk, the precipitation resulting from AR remains 61 poorly forecast (Lavers et al. 2016; Wick et al. 2013; Junker et al. 2009). We will argue that 62 forecast performance remains poor in part due to an insufficient approach to simulating the large number of spatial scales important during an AR. We will introduce and evaluate the value added by a model system designed to address this scale issue. Let the parameter  $\lambda$  represent a scale horizon, the variable ds represent the largest spatial scale 66 at which an AR-related physical process is observable, and the parameter A represent the largest 67 spatial scale directly linked to AR. Following Zhu and Newell (1998) and others (Ralph et al. 2004; Bao et al. 2006; Neiman et al. 2008; Ralph et al. 2010b), we estimate A is approximately 2500 km. This scale lies between the characteristic extratropical cyclone scale and the scale characteristic 70 of polar cold fronts in their along-frontal direction. Other authors (McCabe and Dettinger 2002; Dole 2008; Newman et al. 2012; Guan et al. 2012, 2013) have described indirect impacts that larger scales (hemispheric, planetary) can have on AR and related extratropical systems, but we 73 will discard these scales in the current discussion. To illustrate how  $\lambda$  impacts practical aspects of AR forecasting, we will consider only forecasts 75 of AR created by deterministic numerical weather prediction systems (NWP). To create such a forecast, one needs to correctly predict a subset of storm scale ( $\lambda < ds < A$ ) atmospheric processes. These include AR location, extent and movement, vertical distributions of wind, water vapor and buoyancy, and storm scale patterns of rising/sinking motion. In addition, one must correctly predict the local scale ( $ds < \lambda$ ) precipitation response to the storm scale processes. These local scale responses include orographic lift, terrain blocking, surface energy exchanges, turbulence, and cloud microphysics. In practice,  $\lambda$  will be set by forecast scenarios and location(s). For AR along the US West Coast, the local scale will be defined by the extent of orographic or convective clouds, mountain ridges, and valleys. Turbulence, surface energy fluxes, cloud microphysics, etc. are defined by smaller scales and thus do not determine  $\lambda$  in this scenario and location.

Global numerical weather prediction (GNWP) models, those that can explicitly simulate the largest weather scales on Earth, cannot yet explicitly simulate most local scale responses. The challenges of predicting the local scale response to large scale weather systems (including AR) has traditionally been handled one of two ways: by parameterizing physical processes at unresolved scales (e.g. a cumulus model in GNWP), or by dynamical downscaling of a GNWP forecast using a regional weather prediction model (RNWP). In the second case, the RNWP domain has limited spatial extent and relies upon the GNWP forecast for its boundary condition, but because it has finer native resolution it is able to explicitly resolve much smaller scales without the aid of empirical parameterization.

The limited extent (X) of RNWP and their finer resolution introduces a trade-off. To create a forecast at reasonable computational expense while achieving the smallest possible scale horizon, X is often made smaller than A. Thus, some storm scale processes are no longer explicitly resolved in order that more local scale processes become explicitly resolved. The hope in making this trade-off is that the scales X < ds < A will be accurately communicated to the RNWP by means of the GNWP boundary condition. However, these boundary conditions will by nature be "non-native" to

the RNWP model and thus introduce dynamical imbalances, will evolve at timescales more course than the RNWP native time step, and will be adapted to the RNWP by means of interpolation. 102 Therefore, RNWP suffer from boundary condition errors in addition to the more general initial 103 condition, dynamics and physics errors (Cavallo et al. 2016). The impact of the trade-off on 104 forecast error is that while dynamics errors may be decreased by explicitly resolving more local scales, the limited model extent may counteract this decrease by introducing errors at storm scales 106 larger than X through the boundary condition error. Because the largest AR scales are greater 107 than the domain extent in most RNWP (A > X) and AR precipitation is heavily influenced by very small scales not explicitly resolved at the horizontal resolution (dx) of current GNWP (i.e., 109  $\lambda < dx$ ), common NWP approaches to predicting AR precipitation suffer from forecast error at either very large or very small scales.

We propose that skill in deterministic forecasts of AR may be improved by simulating the largest 112 expected scales of AR with a higher resolution and highly configurable (thus tailored to AR) RNWP model with sufficient extent and by simulating the local precipitation response in a nested RNWP at high resolution. Our RNWP system will hereafter be called "West-WRF". West-WRF 115 is based upon NCAR's open-source WRF-ARW model. It is the primary NWP tool dedicated to 116 meeting the mission and goals of the Center for Western Weather and Water Extremes (CW3E http://cw3e.ucsd.edu/). These goals are motivated by special forecast requirements posed 118 by Western US extreme weather events (see Table 1). In addition to operational forecast goals, 119 West-WRF is designed as a platform from which to evaluate the sources of forecast error and their relationship to physical processes. West-WRF is configured with 2 domains utilizing one-121 way nesting. The larger parent domain is designed to forecast the largest AR storm scales, while 122 the smaller but higher resolution nested domain explicitly resolves many local response scales. Communication of parent domain state to the local domain has been optimized by the WRF-ARW

- developers so that boundary condition errors in the nest domain are minimal (Skamarock 2008).

  We hypothesize that West-WRF forecasts will
- Forecast the large scale properties of atmospheric rivers with equal or greater skill than does

  its parent GNWP model (the reduction in error due to poorly resolved dynamics will at least

  counter the disadvantage created by boundary condition error) and
- Given equally or more skillful storm scale inputs, the local response simulated in the highresolution nested domain will incur reduced dynamic error and will more accurately reflect
  observed local precipitation response.
- The second hypothesis could be called the "right answer for the right reasons" hypothesis. It is not considered sufficient to better forecast precipitation while simultaneously displaying larger error in storm scale AR measures. Satisfying both hypothesis will establish that our proposed NWP system is one for which further reduction in boundary condition, initial condition, dynamics and physics errors can be reasonably expected to reduce precipitation error during AR.
- In the remainder of this manuscript, we will demonstrate the above hypotheses by validating 138 West-WRF forecasts of AR large scale features using airborne dropwindsondes from the CalWater 139 2 research flights. We will also validate forecasts of local response using observations of precipi-140 tation, vertical wind profiles, and vertically integrated water vapor during AR that impacted California's Russian River watershed (RRW). We will compare West-WRF's performance in standard 142 skill metrics to the performance of GNWP forecasts and small-domain RNWP forecasts. We will 143 use these comparisons to demonstrate the performance by West-WRF in forecasting large scale AR features (hypothesis 1) and the concomitant value added in precipitation forecasts (hypothesis 145 2). Furthermore, we will compare a decade-long observational estimate of storm-scale forcing and 146 local precipitation response to forecasts from West-WRF and GNWP. Using a linearized local re-

sponse function, we will investigate how best to optimize each model for orographic precipitation during an AR.

## 2. Data and Forecast Models

a. Atmospheric River Observatory

The National Oceanic and Atmospheric Administration's (NOAA) Hydrometeorological 152 Testbed has operated an "Atmospheric River Observatory" (ARO) at two sites, Bodega Bay and 153 Cazadero, CA, since 2006 (White et al. 2009, 2013). The Bodega Bay (BBY) site is situated on 154 the coast at sea level and is designed to monitor horizontal vapor flux, integrated water vapor and 155 horizontal winds in the Atmospheric River low-level jet region as they impinge upon the orographically productive coastal mountain ranges. The Cazadero Site is located north of Bodega Bay at 157 the top of a prominent ridge in the coastal mountain system. Cazadero drains to the South and 158 Wheatfield forks of the Gualala River. The Cazadero site reports precipitation, vertical S-Band radar reflectivity and precipitation drop size distributions during AR conditions at mountaintop. In 160 this study, we will use the couplet of sites to investigate storm scale forcing near the coastal edge 161 of the Russian River Watershed (RRW) through Bulk Upslope Flux (BUF) measured at BBY and local scale response via accumulated precipitation measured at CZC. 163

BUF is calculated following the methods of (Neiman et al. 2002, 2009) in which the controlling layer (800 m MSL to 1200 m MSL) winds are multiplied by the local Integrated Water Vapor (IWV) and projected onto the mountain orthogonal direction. Controlling layer wind is calculated from the 449 MHz wind profiler at BBY. IWV is calculated via radio occultation from the BBY GPS Trimble receiver. Rainfall accumulation at CZC is measured by a tipping bucket rain gauge

and reported to 0.1 mm precision. Hourly, quality controlled BUF and accumulated rainfall are available from the NOAA Earth System Research Laboratory via anonymous ftp server.

## b. GPS-Enabled Soundings

Airborne dropwindsondes from the CalWater 2 early start and CalWater 2015 intensive observ-172 ing periods (Ralph et al. 2016) are used extensively in our analysis as a model verification tool. Each sounding occurred during a Northeast Pacific AR transect performed by CalWater aircraft. 174 An example, with two transects used in this study is shown in figure 1. Transects were executed 175 to maintain a flight path perpendicular to the direction of troposphere integrated water vapor flux. 179 sondes from fifteen transects taken during 10 separate AR flights are used in this study (Table 177 2). We require the transect to cross the full width of the AR core. Herein, the AR core boundaries 178 are defined by the isopleth where Integrated Vapor Transport (IVT - Cordeira et al. (2013)) exceeds 500 kg m<sup>-1</sup> s<sup>-1</sup>. This threshold does not strictly follow from previous literature, but is designed 180 to capture the region of greatest horizontal vapor transport within the AR. If the transect includes 181 dropwindsondes which recorded  $IVT > 500 \text{ kg m}^{-1} \text{ s}^{-1}$  and at least one poleward and one equatorward dropwindsonde which recorded  $IVT < 500 \text{ kg m}^{-1} \text{ s}^{-1}$ , then the transect is considered 183 to have sampled the full AR core. Lastly, terminal dropwindsondes must be greater than 100 km 184 from the West-WRF 9 km boundaries to reduce the chance that imprecise advection closure impact the forecast soundings. Soundings were used to estimate 500 hPa geopotential height ( $z^{500}$ ), 186 IVT, Integrated water vapor (IWV), partial IVT in a discrete layer (dIVT) and 925 hPa equivalent 187 potential temperature ( $\theta_e^{925}$ ) for the purpose of forecast verification.

### c. GEFS Reforecasts

The National Centers for Environmental Prediction (NCEP) Global Ensemble Forecast System

(GEFS) model serves as the GNWP for the tests presented herein. We required that all forecast periods used the same deterministic model. To satisfy this requirement, we acquired control member forecasts from the National Oceanic and Atmospheric Administration (NOAA) Global Ensemble Reforecast Data Set (Hamill et al. (2013) - hereafter GFSRe). The GFSRe dataset is produced with GEFS version 9.0.1, run at approximately 40 km native resolution. GFSRe reforecasts are initialized once per day at 00 UTC and run to 192 hour lead time. GFSRe serves as the West-WRF parent model in this study.

#### 198 d. West-WRF

West-WRF is a special configuration of the WRF-ARW (Skamarock 2008) open-source numerical weather prediction system, unified and distributed by the National Center for Atmospheric 200 Research (NCAR). West-WRF is configured with two domains utilizing horizontal resolutions 201 of 9 km (hereafter West-WRF 9 km) and 3 km (hereafter West-WRF 3 km), respectively. The 202 West-WRF 9 km domain has a much larger Earth relative footprint (Fig. 2, box "a") and utilizes 203 interpolated forecasts from GFSRe as boundary conditions. Initial conditions for both West-WRF 204 domains are interpolated from the GFSRe analysis to the West-WRF 9 km and 3 km grids using the WRF Preprocessor (Wang and coauthors 2012). The West-WRF 3 km domain Earth relative 206 footprint (Fig. 2, box "c") covers most of the US state of California and portions of Western 207 Nevada and Southern Oregon. The RRW, where precipitation is verified in this study, lies near the center of the 3 km domain. Both West-WRF 9 km and West-WRF 3 km are configured with 60 209 vertical levels with compressed spacing near the 925 hPa and the 300 hPa level in a US Standard 210 Atmospheric Sounding. West-WRF 9 km (West-WRF 3 km) uses static land surface information

generated from the USGS land use database (Wang and coauthors 2012) at a resolution of 5 (2) arc-minutes.

West-WRF domains have been configured such that the parent domain simulates AR storm-scale 214 features, while the nest domain is designed to capture local scale response during heavy orographic precipitation induced by AR. Figure 2 illustrates the storm-scale informed design of West-WRF. The figure depicts the conditional probability  $P(AR_{ij}|AR_{RRW})$ , calculated using 25 years (1991 -217 2015) of NCEP/NCAR reanalysis version 1 (Kalnay et al. 1996) and the AR catalog of Gershunov 218 et al.  $(2016)^1$ . The probability reflects the chance that AR conditions, defined as reanalysis IVT > $250 \text{ kg m}^{-1} \text{ s}^{-1}$ , are present at the reanalysis grid point i, j given that an atmospheric river existed 220 in the RRW according to the Gershunov et al. catalog.  $IVT \ge 250 \text{ kg m}^{-1} \text{ s}^{-1}$  is here used as a minimum but insufficient requirement for AR presence (Rutz et al. 2014) at each reanalysis 222 gridpoint. The measure shown in Fig. 2 was used to verify that the West-WRF 9 km domain likely 223 encloses the entire AR, and thus the storm-scale forcing, for a large percentage of AR impacting 224 the RRW.

The ability of West-WRF to simulate local scale response is partially accomplished by the relatively (to current NWP systems) high horizontal and vertical resolutions of the model dynamics.

Additionally, this ability relies upon high resolution land surface and topographic information (2 arc-minute USGS data) and on sub-grid scale parameterized physics. The full list of physics options used with West-WRF 9 km, West-WRF 3 km and other WRF configurations tested in this study are reported in Table 3. For a majority of these (e.g. radiation, boundary layer, and surface layer options), the parameterized physics model was chosen according to author experience and

<sup>&</sup>lt;sup>1</sup>Gershunov A., T. M. Shulgina, F. M. Ralph, D. Lavers and J. J. Rutz, 2016: Assessing climate-scale behavior of Atmospheric Rivers affecting western North America. (*In-Preparation*)

common practice in other WRF NWP forecast efforts. We stress that the parameterized physics in
West-WRF 3 km have not been optimized for the purpose of orographic precipitation prediction.

## e. Small Extent WRF-ARW forecasts

To investigate the error induced at the storm scale by restricting the parent domain extent to less than the largest scales of the AR (X < A) we have made forecasts with a configuration of WRF-ARW that mimics West-WRF in all aspects except its parent domain size. This configuration, hereafter WRF-ARWS, uses the same parent 9 km horizontal resolution with the same lambert conformal map projection (WRF-ARWS 9 km) and the same vertical discretization. The WRF-ARWS 9 km footprint can be seen in Fig. 2 (box "b") All parameterized physics and initial / boundary conditions are likewise identical. The nest domain (WRF-ARWS 3 km) is identically configured to West-WRF 3 km.

## 244 f. West-WRF spectrally nudged forecasts

For oceanic AR cases (section 2g), we ran a third set of West-WRF forecasts. This set utilized the four-dimensional data assimilation by spectral nudging option (Miguez-Macho et al. 2004).

In these forecasts (hereafter West-WRFN 9 km), The West-WRF configuration was reproduced exactly, except that the West-WRF solution in the 9 km domain is relaxed to the GFSRe solution at scales larger than 200 km above model level 17 (approximately the free atmosphere).

#### 250 g. Forecast Periods

To test West-WRF, GFSRe, and WRF-ARWS skill in predicting both storm scale atmospheric river structure and local precipitation response, we simulated two groups of AR occurring between December 2014 and March 2016. The first group, hereafter called "OCN" included fifteen moder-

- ate ( $IVT \ge 500 \ kg \ m^{-1} \ s^{-1}$ ) AR in the Northeast Pacific Ocean for which GPS-enabled dropwindsondes were available during a CalWater transect (see Table 2). The OCN airborne observations represent a rich survey of the transport-normal vertical and horizontal dynamical structures of oceanic AR. We use the 15 transects to investigate the ability of West-WRF, WRF-ARWS and GFSRe to accurately simulate storm scale structures identified by previous authors as important to AR orographic precipitation (e.g. vertical distribution of vapor transport and moist static stability) at forecast lead times up to 155 hours.
- The second group of forecast periods (hereafter "LND" see table 4) was chosen to investigate

  West-WRF and GFSRe quantitative precipitation forecast (*QPF*) skill and local scale response to

  storm scale forcing during moderate AR impacting the RRW. LND AR cases were chosen using

  the criteria:
- 1. The ARO must have recorded AR Conditions following Ralph et al. (2013) for 24 or more hours.
- 2. During AR Conditions at the ARO, IVT must have exceeded 500 kg m<sup>-1</sup> s<sup>-1</sup>, or BUF must have exceeded 300 mm m s<sup>-1</sup>. This is referred to as the "moderate AR condition".
- 3. The ten strongest AR by storm-integrated *BUF* that occurred between December 1, 2014 and March 31, 2016 and met criteria 1 and 2 were grouped into the LND case list.
- Event start and end for LND cases were declared based upon hourly AR conditions at the ARO.

  Event duration varies from 24 to 54 hours, with a median length of 32 hr (Table 4). Storm-total

  precipitation accumulation (*ST QPE*) within the RRW during LND cases is estimated by the

  NCEP Stage-IV 4 km gridded product (Lin and Mitchell 2005). Stage IV contains 225 points

  within the RRW.

#### 276 3. Methods

### 277 a. The Verification Matrix Procedure

The variation of forecast accuracy (skill) with lead time  $(t_i)$  is estimated using the verification matrix procedure. To estimate the skill for a single event at n lead times, one needs n forecasts 279 that verify at the time of event  $(t_v)$ , each generated at a unique lead time. If this is to be done for 280 m events with l unique observations, one can evaluate the skill at  $t_i = 1, \dots, n$  by generating a set of at most m\*n forecasts. The most general way to accomplish this is to start n forecasts, each 282 from a unique initial time and gather those for which lead time  $t_i = t_v - t_{0i}$ . Where  $t_{0i}$  represents 283 the forecast initial time. The estimate of forecast skill at each lead time i = 1, ..., n will then be estimated from a sample of m \* l observation - forecast pairs. Our verification matrix is generated 285 by nominal lead times 24 through 168 hours with an increment of 24. Our events are either the 286 accumulation period of AR induced rainfall in the RRW, or the median time of dropwindsonde release in an OCN case AR transect. These times do not fall on 00 UTC. For this reason, we were 288 required to bin our lead times in order to generate a full verification matrix with nominal time 289 resolution of 24 hours. Table 5 lists the nominal lead times and their bin boundaries for the 7 lead 290 time and 3 lead time matrices used in this study. Verification matrices containing only 3 bins were 291 generated by combining the forecasts falling in bins 1-2, 3-4, 5-6 from the 7 lead time matrix. 292

#### 293 b. Sonde Data Processing

Each dropwindsonde is processed by vertical smoothing onto isobaric surfaces every 25 hPa from 1000 to 300 hPa. The diagnostic variables calculated include IVT, Moist Brunt Väisälä  $(N_m^2)$  frequency following Ralph et al. (2005), equivalent potential temperature ( $\theta_e$ ) as approximated in Stull (2012), and partial IVT (dIVT) as in Cordeira et al. (2013). The dropwindsondes report an

- observation approximately every 1 second, however we assign a static observation time for each sonde that corresponds to the mean time of its transect.
- Two of the fifteen total transect observing periods required unique processing. Those are transect 1 on February 7th, 2014, and transect 4 on February 11th, 2014. Both of these transects are a composite of two spatially offset flight tracks.

## 303 c. Transect Compositing Procedure

In order to derive AR-normal composite cross-sections, it was necessary to align the dynamical features within each AR transect. First, each individual dropwindsonde is linearly interpolated to a gridded AR-normal transect with resolution of 50 km. Second, a common center among the transects is defined as the dropwindsonde with maximum *IVT* and the endpoints defined by most poleward and equatorward sondes. Finally, a composite of the transects is created by taking the arithmetic mean of the interpolated transects.

## 310 d. NWP Forecast to Observation Interpolation

## 311 1) SPATIAL INTERPOLATION TO SONDES AND TEMPORAL INTERPOLATION

NWP Forecast output from West-WRF 9 km, West-WRFN 9 km, WRF ARWS and GFSRe have been spatially interpolated from their native grids to the dropwindsonde Earth-relative location using a bilinear method.

There are three sources of temporal uncertainty in our methods. First, no attempt has been made to temporally interpolate NWP output to sonde report time. Because output from each model is 3 hourly, the maximum temporal difference from observations is 90 minutes. In order to create composite dropwindsonde cross-sections, we must assume stationarity in the AR up to the longest time between transect start and end. This time is 2 hr, 27 minutes. Lastly, the GFSRe duty cycle

is 24hr, but the valid time of any given observation may occur anywhere in the diurnal cycle. The
sources of temporal uncertainty above lead primarily to random temporal imprecision in model to
observation matching, though we cannot rule out that these sources of temporal imprecision will
accumulate to non-zero residual. Because their magnitude is likely to be much larger than the time
a dropwindsonde takes to profile the atmosphere below flight level, no effort is made to account
for the sonde drift in the forecast interpolation. The location used is the mean of the individual
sonde latitude and longitude reports. We use the Brier Skill Score (*BSS*) following Winterfeldt
et al. (2011) computed from West-WRFN 9 km in part to estimate the impact of this temporal
imprecision (see section 4a).

#### 2) Atmospheric River Observatory

NWP forecast output from all models were bilinearly interpolated to both ARO locations. Model output was also linearly interpolated from the model native coordinate to the effective retrieval heights (m AGL) of the 449 MHz wind profiling radar.

#### 333 3) NCEP STAGE IV QPE

Model output was bilinearly interpolated from native grids to the locations of the NCEP Stage

IV *QPE* product. Model output was masked thereafter to exclude any points lying outside the

boundaries of the RRW. Watershed boundaries were defined by a geo-referenced shapefile created

by the US Geological Survey. Masking by the shapefile polygon was performed using NCAR

Command Language version 6.2 (http://www.ncl.ucar.edu/).

## e. Estimates of Deterministic Skill

For atmospheric state variables at storm-scale, we define the value added of each WRF 9 km forecast as the *BSS* using GFSRe as the reference forecast. For an atmospheric state variable

that is approximately Gaussian, such as z500, IVT, IWV, and  $\theta_e^{925}$ , The BSS is equivalent to measuring the fractional reduction in mean square error by the more accurate forecast (test forecast or reference).

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Qualitative and Quantitative methods are used to assess accuracy in QPF for the models consid-

ered. We compute histograms of storm-total precipitation from the NCEP Stage-IV data and from
each model forecast at varying lead times according to the verification matrix procedure. For quantitative measures, we calculate the mean, standard deviation and root mean square of the quantity QPF - QPE at all NCEP Stage-IV gridpoints and for all models and lead times as appropriate.

We also estimate the normalized error of West-WRF 9 km and GFSRe in reproducing the observed storm-total (ST) BUF and Precipitation ( $P_r$ ) relationship at the Coastal ARO (hypothesis
2). To assess which model reproduces the area occupied in the forcing-response phase space most
accurately, we define the multifactor orographic forcing-response error:

$$e_{xy} = E \left[ \frac{(x - x_o)^2}{V[x_o]} + \frac{(y - y_o)^2}{V[y_o]} \right], \tag{1}$$

where x and y are the forecast storm scale forcing ( $ST\ BUF$ ) and local response ( $ST\ P_r$ ), respectively. The subscript o refers to the observed values of the given quantity.  $E[\ ]$  and  $V[\ ]$  refer to the expectation and variance operators, respectively.

### <sub>957</sub> f. Linearization of the Forcing and Response Relationships, Reduction in Error

We also assess whether reducing error in storm scale forcing or reducing error in the simulated local response, independent of forcing, lead to larger reduction of error in the forecast ST  $P_r$ . To investigate this, we note that Ralph et al. (2013) found that the relationship between ST BUF at BBY and ST Pr at CZC can be linearly approximated with a correlation of 0.74.

Let  $e_y = \frac{E[(y-y_o)^2]}{V[y_o]}$  represent the normalized mean square error in the forecast local response compared to that measured by the ARO. We can also define

$$e_{y} \sim \frac{E[F(x) - y_{o}^{2}]}{V[y_{o}]} \tag{2}$$

where  $F(x) \sim f(x)$  is the linearized approximation of the local response function described by Ralph et al. (2013). We can estimate F(x) from each model and from observations by least-squares approximation. Let the quantity  $F_o(x)$  represent the "perfect response" approximation to y. It is the ST  $P_r$  that results from applying the linearized local response function derived from a least-squared fit of the observations to the forecast storm-scale forcing. Conversely,  $F(x_o)$  represents the "perfect forcing" approximation to y. The fractional reduction in error in ST  $P_r$  by perfect response approximation is then

$$\delta e_{ypr} = 1 - \frac{E[F_o(x) - y_o^2]}{V[y_o]e_y}$$
 (3)

The fractional reduction in error in storm-total precipitation by perfect forcing is

$$\delta e_{ypf} = 1 - \frac{E[F(x_o) - y_o^2]}{V[y_o]e_v}.$$
 (4)

 $F_0$  is estimated from the sample of historical observations at the ARO that reside within the phase space of ST BUF vs. ST  $P_r$  defined by the LND cases. This corresponds to a sample size of 52. F(x) is estimated for each West-WRF and GFSRe by each LND case forecast binned by the three lead time verification matrix. This procedure yielded a sample size of 20 for each West-WRF and GFSRe.

#### 4. Results

a. Deterministic Value Added in State Variables

The value added by West-WRF 9 km, West-WRFN 9km, and WRF-ARWS 9km to GFSRe for 379 the first six lead times in the verification matrix, is displayed in Fig. 3b-d. GFSRe value added to 380 GFSRe 25 year (Dec. - Mar. 1991 - 2015) climatology is displayed for the same lead times in Fig. 381 3a. Value added is estimated for  $z^{500}$ ,  $\theta_e^{925}$ , IWV, and IVT using BSS as described in section 3. Only sondes for which  $IVT > 250 \text{ kg m}^{-1} \text{ s}^{-1}$  were retained for the analysis, yielding a sample of 383 145 dropwindsondes. Upper (lower) whiskers represent maximum (minimum) BSS, upper (lower) 384 box bounds represent upper (lower) quartile BSS and box center line represents median BSS. For the majority of  $t_i < 108$  hr, GFSRe forecasts of these state variables are very skillful, re-386 ducing mean square error by 50% to 95% compared to climatology. Forecasts of  $\theta_e^{925}$  have the 387 highest skill distribution and remain very high even for very long lead times, suggesting both that  $\theta_e^{\,925}$  is very different than climatology during AR but also that GFSRe captures the departure 389 well at the scales observable by the soundings. IWV and IVT display the least skill and most 390 skill degradation at longer lead times, though most forecasts of IWV retain a greater than 50% 391 error reduction. Figure 3b shows the value added by West-WRFN 9km. It is included for an es-392 timate of the range of errors relative to the GFSRe forecast introduced by spatial and temporal 393 representativeness and dropwindsonde spatial drift. From Fig. 3c it is apparent that West-WRF 9 km adds significant value to GFSRe at the storm 395 scale between 36 hr  $\leq t_i \leq$  131 hr in oceanic AR conditions. Note that value is lost by West-WRF 396 9 km for early (12 hr  $\leq t_i \leq$  35 hr) and late ( $t_i >$  132 hr) lead times for a majority of sondes and 397 variables.

WRF-ARWS (Fig. 3d) suffers from lost value relative to GFSRe for all variables and lead times 399 with the exception of *IVT* and  $\theta_e^{925}$  for 60 hr  $\leq t_i \leq 131$  hr and *IWV* for 84 hr  $\leq t_i \leq 107$  hr. 400 WRF-ARWS loses value compared to GFSRe in forecasts of  $z^{500}$  with more than 75% certainty 401 (interquartile range of BSS lies entirely below zero) for all lead times examined. In some of 402 the early lead times, the range of value lost in forecasts of  $z^{500}$  is dramatically low, suggesting 403 that WRF-ARWS leads to a near 90% increase in mean square error over GFSRe. The fact that 404 these large errors in mid-tropospheric geopotential manifest early and reduce with lead time argue 405 that the role of non-native analysis and boundary condition shock (Rodwell and Palmer 2007) is particularly dramatic in the smaller domain of WRF-ARWS. 407

Figures 4a and 4b show the value added by West-WRF 9 km and WRF-ARWS 9 km to West-408 WRFN 9 km, respectively. These may be interpreted as the relative improvement in forecast accuracy that arise from the feedback from the higher resolution dynamics to the larger scales. 410 As in Fig. 3d, WRF-ARWS 9 km suffers from significant value lost in most fields and at most 411 lead times, with early lead times penalized more than late lead times. West-WRF 9 km, on the other hand, displays value added over West-WRFN 9 km for a smaller range of lead times than 413 found for value added over GFSRe. The value added is primarily for  $t_i \le 83$  hr and appears 414 to be most significant in IWV and  $z^{500}$ . Interestingly, West-WRF 9 km does not ever develop 415 consistent and significant (lower quartile BSS > 0) value added over West-WRFN 9 km at any 416 point in the verification matrix when forecasting IVT. We interpret this to mean that forecasts 417 of IVT interrogated at the scales of the dropwindsondes do not benefit from the high-resolution dynamics in West-WRF 9 km.

## b. Vertical Structures of AR Static Stability

Ralph et al. (2005) demonstrated that AR core environments are unique in that the low-level jet region, which exists approximately below 3 km MSL and contains the maximum horizontal 422 flux of water vapor, is also nearly saturated and approximately moist-neutral. Thus, relatively 423 small vertical displacements ( $\sim 10^2$  m) in the lowest 3 km of the AR core encounter minimal 424 buoyant restoring force and nearly immediately produce condensate. Many authors (Neiman et al. 425 2002; Smith et al. 2010; Valenzuela and Kingsmill 2015) have argued that because it is coincident 426 with prodigious flux of water vapor, near-saturation, and moist neutral buoyancy that the LLJ 427 is responsible for the very efficient precipitation by AR even when encountering relatively short 428 mountain ranges (e.g. those surrounding the RRW). 429

We investigated the accuracy of GFSRe, West-WRF 9 km and WRF-ARWS to reproduce ob-430 served profiles of moist static stability from the sample of dropwindsondes for which  $IVT \ge$  $250kg \ m^{-1} \ s^{-1}$ . This analysis is shown in Fig. 5a-c in the form of vertically binned interquartile 432 range of the square of  $N_m^2$  (s<sup>-2</sup>) at significant pressure levels for the retained soundings. As found 433 in Ralph et al. (2005),  $N_m^2$  varies about 1 or 2 s<sup>-2</sup> near the Ocean's surface and increases very slightly toward 700 hPa. The measurements we report here appear to be slightly higher than those 435 found by Ralph et al. (2005), which we attribute to different sonde selection criteria and potentially 436 different storm-relative environments. The model forecasts are in general biased toward slightly more stable than observations at very low levels (p > 950 hPa) at all lead times. With the exception 438 of an outlier near 950 hPa in the WRF-ARWS forecasts, all models additionally seem to capture 439 the observed median stability very well at  $t_i \leq 59$  hr. As lead times increase, model variability increases to more than that of observations, and all models develop a stable bias between 800 hPa 441  $hPa at <math>t_i \ge 108$  hr. This may be indicative of the models relaxing from an AR sounding to one more typical of the mean NE Pacific wintertime boundary layer top. We will discuss in section 4c the tendency for all models considered to exclude the AR from the mean forecast at these lead times.

## 446 c. Vertical Structures of AR Core Horizontal Vapor Transport

Figure 6a displays the composite AR cross-sections of dIVT from the OCN case AR core tran-447 sects. The methodology for constructing each cross-section is discussed in section 3c. The center 448 panel in Fig. 6 displays the observed composite. In this panel, there is a strong local maximum 449 in water vapor flux near 900 hPa located at the analyzed AR core center. This water vapor flux maximum is located just below a weak composite low-level jet (isotach composite, not shown). 451 Equivalent potential temperature isotherms in Fig. 6 show the composite AR core straddling a 452 baroclinic zone with temperatures decreasing poleward of the core center. This composite structure is consistent with AR cross-sections reported in Cordeira et al. (2013) and Ralph et al. (2016). 454 The remaining panels in Fig. 6 display the mean dIVT error (forecast minus observed) com-455 posite in West-WRF and GFSRe for the 3 lead time verification matrix. Overlaid in each is the composite forecast  $\theta_e$ . It is apparent that these errors in water vapor flux are spatially heteroge-457 neous and that errors are more likely to be positive above 700 hPa and more likely to be negative 458 below 700 hPa and near the mean LLJ position for both models. Composites were made for each individual variable contributing to water vapor flux (wind speed and water vapor mixing ratio -460 not shown). It was found that the errors in each are spatially correlated with each other and with 461 dIVT. The upper levels of each model composite are too moist and wind speeds are too fast, while the low-level jet region in each model composite is too dry and wind speeds are too slow. Sev-463 eral authors (Thorpe and Clough 1991; Dudhia 1993; Lafore et al. 1994; Wakimoto and Murphey 464 2008) have observed a sub-geostrophic polar jet in conjunction with a super-geostrophic low-level jet in mid-latitude cyclones containing strong cold fronts. The results reported here may therefore be consistent with the model simulations inaccurately maintaining geostrophy. The upper troposphere positive bias in dIVT is significant at the p < 0.05 at all lead times. Lower tropospheric negative biases do not become significant at this level until the later lead times. Additionally, negative biases in the mean low-level jet position, a feature found to be critically important to driving heavy orographic precipitation (Browning et al. 1974; Bader and Roach 1977; Neiman et al. 2002; Smith et al. 2010), are quite similar in spatial extent and magnitude for both models.

Figure 7 displays the mean dIVT error (forecast minus observed) composite in WRF-ARWS 473 and GFSRe for the 3 lead time verification matrix. In contrast to the West-WRF composite cross-474 sections in dIVT, low biases in dIVT grow large enough to reach the level of significance at the p < 0.05 level for  $t_i > 60$  hr. The mean dIVT error at these lead times reaches -20 kg m<sup>-2</sup> s<sup>-1</sup> 476 (leftmost panel, middle row of Fig. 7), compared to -12 kg m<sup>-2</sup> s<sup>-1</sup> for both GFSRe and West-477 WRF. Mean errors at the longest lead times for WRF-ARWS reach -26 kg m<sup>-2</sup> s<sup>-1</sup>. This value is 478 both significant according to t-test and is much greater than the corresponding mean error in West-WRF and GFSRe. Lastly, WRF-ARWS appears to lose the cross-AR baroclinicity and moist AR 480 core in the composite transect  $\theta_e$  that is maintained in GFSRe and West-WRF. 481

## 482 d. Errors in AR Landfall Timing

The forecast errors considered thus far include the convolution of errors in magnitude and in location or timing (phase error). Figure 8 displays error (forecast - observed) in the timing of AR condition start at the ARO during LND cases for West-WRF 3 km and GFSRe The most notable features in Fig. 8 are the wider range of timing error calculated from the 10 LND case West-WRF 3 km AR condition onsets and the slight delay bias in the West-WRF 3 km forecasts. GFSRe in general exhibits AR onset closer to that observed with a smaller range of error. Onset bias remains

near 3 hr +/- 6 hr (median +/- upper/lower quartiles) after observed in GFSRe for  $t_i \le 59$  hr, while 489 for the same lead times, the West-WRF 3 km forecasts contained a 9 hr +/- 15 hr bias in AR 490 condition onset. For 60 hr  $\leq t_i \leq$  107 hr, median onset error is similar between the two models 491 considered, but while GFSRe forecasts are closer to being equally distributed around early or late 492 onset (with slight bias toward late), the West-WRF 3 km forecasts develop more than a 75% chance of forecasting late AR arrival. For later lead times, there is little difference to report between the 494 two sets of forecasts. Proposing a mechanism for consistently late arrival of AR conditions is 495 beyond the scope of this work, we present this analysis here merely for additional insight into the factors contributing to errors in forecasts of the storm scale forcing during LND cases. We will 497 examine errors in ST Pr in the entire watershed and at the ARO next. 498

## 499 e. Deterministic QPF Skill During Landfalling AR

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Value histograms for West-WRF 3 km and GFSRe STQPF at NCEP Stage-IV grid points within 500 the Russian River Watershed (RRW) for the 3 bin verification matrix are shown in Fig. 9. Figure 9 501 also displays the LND case ST QPE histogram. ST QPE from the cases investigated had median and upper (lower) quartile value of 61 and 97 (41) mm. For short lead times (Fig. 9a), the West-503 WRF 3 km QPF histogram most closely resembles the QPE histogram. The inset tables in Fig. 9 504 display mean QPF - QPE (bias, labelled "AVG"), the standard deviation of QPF - QPE ("STD") and the root mean-square ("RMS") QPF - QPE for both West-WRF 3 km and GFSRe. Both West-506 WRF 3 km and GFSRe produce low-biased STQPF across lead times. For  $t_i \le 59$  hr, West-WRF 507 3 km accumulation bias is 5% greater in magnitude relative to median QPE than for GFSRe. For other lead times, bias becomes similar. 509

smaller relative to median QPE). For  $t_i \le 108$  hr, West-WRF 3 km RMS is 5-7% less than GFSRe

For  $t_i \leq 108$  hr, West-WRF 3 km produces a smaller range in accumulation error (STD is 10%)

as a fraction of median QPE, while for  $t_i > 108$  hr, GFSRe displays an advantage that is similar 512 in magnitude. West-WRF 3 km produces total precipitation greater than 140 mm (near the upper 513 10% value for QPE) with non-zero frequency. GFSRe, however does not produce these upper 10% 514 values. This last advantage West-WRF displays is likely related to higher output spatial resolution. 515 Analysis from Fig. 9 does not definitively answer whether West-WRF 3 km or GFSRe QPF is 516 more accurate for RRW landfalling AR. Neither West-WRF 3 km nor GFSRe distinguished itself 517 in a large or consistent manner over the lead times considered. Thus far, we have seen that each 518 West-WRF and GFSRe systems display minor strengths relative to each other in AR state, structure RRW composite QPF, but on balance perform with similar accuracy. However, we cannot 520 simply assume that the QPF performance result follows from the storm scale accuracy result. To 521 adequately investigate the second hypothesis posed in the introduction, we must investigate each 522 model's ability to accurately reproduce observed local precipitation response during AR. 523

### f. Relationships Between Storm Scale Forcing and Local Scale Response

To do so, we turn to the 10 - year record of bulk upslope vapor flux and mountaintop precipitation 525 collected at the ARO. Figure 10a shows the ARO historical (Jan 2006 - Mar 2016) ST BUF - ST P<sub>r</sub> 526 relationship. For context, the least-square fit of ST P<sub>r</sub> to ST BUF and the correlation coefficient 527 and  $e_v$  (ratio of mean-square error to variance) that result from this fit are also displayed. Figure 10b shows the observed, GFSRe simulated and West-WRF 3 km simulated ST BUF – ST  $P_r$ 529 relationship for 60 hr  $\leq t_i \leq$  107 hr.  $e_{xy}$  (see section 3 for details) for West-WRF 3 km and GFSRe 530 are displayed in the Fig 10b inset table.  $e_{xy}$  is also listed for the 3 lead-time verification matrix in Table 6. Note that West-WRF reduces  $e_{xy}$  by 37% to 80% compared to GFSRe. The reader 532 can qualitatively assess the accuracy of each model in representing the forcing-response phase 533 space by visually estimating the overlap between the GFSRe and West-WRF symbols and the space occupied by the observational symbols in Fig. 10b. Fig. 10c-d show only cases for which West-WRF produces lower  $e_{xy}$  and those for which GFSRe performs better or there is a near-tie, respectively. Table 6 additionally presents  $e_y$ , the error in storm-total precipitation for both models normalized to the observed variance. West-WRF 3 km also outperforms GFSRe by 18% to 69% in this metric.

From Fig. 10a and Table 6 we conclude that West-WRF better predicts the combined stormscale forcing and watershed response for the ARO. We are also interested in asking the questions
of both models: "Do errors in ST  $P_r$  during AR arise primarily due to errors in storm scale forcing
or in the local response?" and "which model may benefit from small adjustments to accuracy in
either storm scale forcing or the response to forcing?" To investigate this, we present  $\delta e_{ypf}$  and  $\delta e_{ypr}$  (see section 3e) in Table 6.

The design of the  $\delta e_y$  metrics leads to an issue interpreting positive values. The interpretation might be that substituting observed forcing (linearized response) into  $e_{ypf(r)}$  increases error compared to the NWP modeled  $e_y$  because the error in the NWP model in predicting the storm-total precipitation is less than the error of the linear fit to the observations. The interpretation might also be that the model response function is either different enough than the observed or so non-linear that more accurate forcing produces less accurate response. To interpret the  $\delta e_y$  metrics, we must also compare the model's linearized response relationship (slope - also reported in Table 6) to the observed.

For negative values, The ratio  $\delta e_{ypf(r)}$  is an estimate of whether the errors in predicting stormtotal precipitation arise primarily from the storm scale forcing or from the local response. West-WRF  $\delta e_{ypf(r)}$  both become negative for  $t_i \geq 60$  hr. At these lead times, both ST BUF and ST  $P_r$  are biased low compared to observations (e.g. West-WRF markers are low and to the left of observations in Fig. 10b), but the slope of the response relationship is very near that of the observed. This suggests that if West-WRF forecast ST BUF improves, more accurate West-WRF ST  $P_r$  would result, as reflected by  $\delta e_{ypf}$  for West-WRF at  $t_i \geq 60$  hr in Table 6. West-WRF  $\delta e_{ypr}$  suggests that ST  $P_r$  could also improve by further tuning the precipitation response relationship, though not by as much. For West-WRF at  $t_i \leq 60$  hr, imposing observational ST BUF or response relationship does not improve forecast ST  $P_r$ . At these lead times  $e_y$  is less than 1.0, suggesting that West-WRF forecast error is smaller than the observed variance in precipitation and substituting the observed forcing (response) is not effective.

In contrast, GFSRe  $\delta e_{ypf}$  is non-negative and GFSRe  $e_y > 1.0$  for all  $t_i$ . This suggests that the GFSRe response relationship is not accurate enough to translate improved ST BUF into improved ST  $P_r$ . Examining Table 6 confirms that the slope of the derived linear relationship for GFSRe is not similar to the observed at any  $t_i$ . GFSRe  $\delta e_{ypr}$  suggests that accuracy in ST  $P_r$  could be improved if the local response relationship is made more accurate, though not to the same degree as for West-WRF.

#### 572 **5. Discussion**

This study is the first to investigate GNWP and RNWP skill in predicting tropospheric state during AR, AR core structure, and AR precipitation at varying spatial scales and for a comprehensive set of forecast lead times up to seven days. It was found that West-WRF is capable of adding value to its parent GNWP by means of dynamical downscaling even at storm scales for a subset of medium-range weather prediction timescales. This result suggests that assimilation of observations into the course domain at model native scales may additionally improve storm scale forecasts created by West-WRF 9 km.

It was also found that this value added is contingent upon careful construction of the most course domain so that error in boundary condition interpolation and non-native shock do not significantly

impact the solution at the storm scale. This was verified by examining value added in tropospheric state variables during AR forecast by a WRF configuration whose boundaries do not adequately contain the largest storm scales (WRF-ARWS).

Generally, West-WRF 9 km and GFSRe Forecasts of AR vertical and transport-normal structures were found to reproduce realistic vapor transport in the low-level jet region of AR at short lead times, but forecasts of LLJ water vapor flux were found to develop significant low bias by  $t_i > 108$ hr lead times. Forecasts of moist static stability in the AR generally performed very well compared to observation, especially at short lead times for all models. The GNWP considered (the Global Forecast System) is capable of producing AR realistic in structure at sufficiently short lead time. If well-constructed, WRF forecasts downscaled from the same GNWP can as well. These findings support the first hypothesis posed in the introduction.

West-WRF 3 km and GFSRe displayed similar accuracy in predicting RRW storm-total precipitation during the AR considered. As lead time increased, both models produced significant dry bias compared to the observed accumulated precipitation distribution. This finding may follow from the inability of both models to produce a strong AR LLJ at longer lead times and from AR phase errors detected at longer lead times in both models (Fig. 8). The authors note that tuning of the parameterized physics sub-models in West-WRF for the purpose of accurately predicting AR precipitation has not been done, and that *QPF* smoothed to lower resolutions (e.g. the difference in resolution between West-WRF 3 km and GFSRe) has been shown to result in higher skill scores over complex terrain (Mass et al. 2002).

When predicting storm-total precipitation at a mountaintop well known to be orographically productive (CZC), West-WRF improves upon GFSRe mean square error by as much as 69% at short lead times. It appears that this improvement occurs primarily because West-WRF better reproduces the relationship between storm-scale forcing (approximated by *ST BUF*) and local

precipitation response (storm-total rainfall at CZC). This can be seen visually in Figure 10b-d and quantitatively in Table 6. West-WRF 3 km very accurately reproduces the phase space in the  $ST\ BUF-ST\ P_r$  relationship found through observations during the AR cases studied. This finding supports the second hypothesis posed in the introduction.

It is found that improvement in West-WRF QPF can be expected through either more accurate storm-scale forcing (e.g. data assimilation) or local scale response (e.g. parameterized physics tuning). West-WRF Storm-scale forcing at the ARO was often low-biased, in agreement with the low-bias in low-level jet dIVT (Fig. 6). Thus, the consistent underprediction of RRW ST  $P_r$  (Fig. 9) is partially caused by storm-scale forcing that is too weak. This cause-and-effect relationship cannot be verified for GFSRe, since ST BUF errors from GFSRe are more randomly distributed (Fig. 10b) and since the local response relationship is not similar to that observed.

The forcing-response analysis suggests that given correct forcing and improved local response (including more accurately selected parameterized physics) RNWP systems such as West-WRF can produce more accurate precipitation, even at lead times approaching 6 days. Therefore; West-WRF may be an attractive option to produce skillful *QPF* for regions in which heavy rain events are dominated by atmospheric rivers.

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TABLE 1. Forecast Information Requirements to Meet Unique Challenges Posed by Western U.S. Extreme
Events.

Challenge	Primary Shortcoming of Current NWP	References	
AR Landfall Characteristics	Location and strength of water vapor flux	Wick et al. (2013)	
		Ralph et al. (2013)	
Extreme Precipitation Skill	Overprediction of drizzle and light rain,	Ralph et al. (2010a),	
	Underprediction of heavy accumulations	Ralph and Dettinger (2012),	
		Sukovich et al. (2014)	
Snow Level	Low precision,	White et al. (2010),	
	Biases near terrain	Neiman et al. (2014),	
		Minder and Kingsmill (2013)	

TABLE 2. OCN Cases Simulated for this Study. More Information regarding IOPs and Aircraft can be found in Ralph et al. (2016).

IOP	Aircraft	Transect Start	Transect End
CWES 1	NOAA G-IV	Feb 7, 2014 @ 2021 UTC	Feb 7, 2014 @ 2208 UTC
CWES 2	NOAA G-IV	Feb 8, 2014 @ 2050 UTC	Feb 8, 2014 @ 2146 UTC
CWES 2	NOAA G-IV	Feb 8, 2014 @ 2243 UTC	Feb 9, 2014 @ 2338 UTC
CWES 3	NOAA G-IV	Feb 11, 2014 @ 1903 UTC	Feb 11, 2014 @ 2124 UTC
CWES 4	NOAA G-IV	Feb 12, 2014 @ 1734 UTC	Feb 12, 2014 @ 1903 UTC
CWES 5	NOAA G-IV	Feb 13, 2014 @ 1833 UTC	Feb 13, 2014 @ 2058 UTC
CW2 1	NOAA G-IV	Jan 15, 2015 @ 2114 UTC	Jan 15, 2015 @ 2246 UTC
CW2 1	NOAA G-IV	Jan 15, 2015 @ 2307 UTC	Jan 16, 2015 @ 0020 UTC
CW2 2	NOAA G-IV	Jan 17, 2015 @ 2245 UTC	Jan 18, 2015 @ 0030 UTC
CW2 2	NOAA G-IV	Jan 18, 2015 @ 0130 UTC	Jan 18, 2015 @ 0307 UTC
CW2 4	NOAA G-IV	Jan 24, 2015 @ 2004 UTC	Jan 24, 2015 @ 2052 UTC
CW2 4	NOAA G-IV	Jan 24, 2015 @ 2126 UTC	Jan 24, 2015 @ 2303 UTC
CW2 6	NOAA G-IV	Feb 6, 2015 @ 2045 UTC	Feb 6, 2015 @ 2146 UTC
CW2 6	NOAA G-IV	Feb 6, 2015 @ 2159 UTC	Feb 6, 2015 @ 2259 UTC
CW2 6	NOAA G-IV	Feb 6, 2015 @ 2312 UTC	Feb 7, 2015 @ 0004 UTC

TABLE 3. Domain Attributes and Parameterized Physics Options for WRF Configurations in this Study.

Option	Outermost Domain	Nest Domain
dx	9 km	3 km
Time Step	Adaptive. $\sim$ 45 s	Adaptive. $\sim 15 \text{ s}$
Cumulus	Grell 3D	N/A
Land Surface	Noah	Noah
Cloud Microphysics	Thomspon New	Thompson New
Planetary Boundary Layer	YSU	YSU
Surface Layer	Monin-Obukhov	Monin-Obukhov
SW Radiation	GSFC	GSFC
LW Radiation	RRTM	RRTM
Topographic Wind	No	Yes

TABLE 4. LND Cases Simulated in this Study

Case	Start at ARO	Duration	NWP Valid Start	NWP Valid End
1	Dec 10, 2014 @ 1500 UTC	32	Dec 10, 2014 @ 12 UTC	Dec 12, 2014 @ 00 UTC
2	Feb 6, 2015 @ 400 UTC	27	Feb 6, 2015 @ 00 UTC	Feb 7, 2015 @ 12 UTC
3	Feb 8, 2015 @ 900 UTC	25	Feb 8, 2015 @ 06 UTC	Feb 9, 2015 @ 12 UTC
4	Dec 9, 2015 @ 1300 UTC	26	Dec 9, 2015 @ 12 UTC	Dec 10, 2015 @ 18 UTC
5	Dec 20, 2015 @ 1400 UTC	47	Dec 20, 2015 @ 12 UTC	Dec 22, 2015 @ 18 UTC
6	Jan 17, 2016 @ 400 UTC	25	Jan 17, 2016 @ 00 UTC	Jan 18, 2016 @ 06 UTC
7	Jan 28, 2016 @ 1700 UTC	32	Jan 28, 2016 @ 18 UTC	Jan 30, 2016 @ 06 UTC
8	Mar 5, 2016 @ 2200 UTC	33	Mar 5, 2016 @ 18 UTC	Mar 7, 2016 @ 12 UTC
9	Mar 9, 2016 @ 800 UTC	42	Mar 9, 2016 @ 06 UTC	Mar 11, 2016 @ 06 UTC
10	Mar 12, 2016 @ 1500 UTC	37	Mar 12, 2016 @ 12 UTC	Mar 14, 2016 @ 06 UTC

TABLE 5. Verification Matrices Used in This Study.

Bin	1	2	3	4	5	6	7
7-bin center (hr)	24	48	72	96	120	144	168
7-bin boundaries (hr)	$12 \le t_i \le 35$	$36 \le t_i \le 59$	$60 \le t_i \le 83$	$84 \le t_i \le 107$	$108 \le t_i \le 131$	$132 \le t_i \le 155$	$156 \le t_i \le 179$
3-bin center (hr)	36	84	132	-	-	-	-
3-bin boundaries (hr)	$12 \le t_i \le 59$	$60 \le t_i \le 107$	$108 \le t_i \le 155$	-	-	-	_

TABLE 6. Measures of error for storm total storm-scale forcing and local scale response, as well as reduction of error in response by prescribing linearized ARO observed forcing and response to GFSRe and West-WRF LND case forecasts.

Lead Time (hr)		$12 \le t_i \le 59$	$60 \le t_i \le 107$	<b>108</b> $\leq t_i \leq$ <b>155</b>
Error Measure	Model			
$e_{xy}$	GFSRe	4.287	4.530	7.370
	West-WRF	0.820	2.253	4.653
$e_y$	GFSRe	1.544	2.072	2.568
	West-WRF	0.470	1.295	2.109
$\delta e_{ypf}$	GFSRe	18.1%	14.2%	0.0%
	West-WRF	10.7%	-57.6%	-78.1%
$\delta e_{ypr}$	GFSRe	18.9%	-35.0%	-19.3%
	West-WRF	20.4	-47.2%	-51.9%
Linear Slope	Model/Obs			
$(mm\ s\ cm^{-1}m^{-1})$	GFSRe	0.003	-0.003	0.005
	West-WRF	0.084	0.099	0.091
	Obs	0.094	0.094	0.094

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**Fig. 10.** a) ST  $P_r$  and ST BUF at the ARO for 171 historical rain events (dark gray dots) and the linear trend line resulting from a least-square fit (red line). The correlation coefficient ( $R^2$ ) and  $e_y$  that result from the least-square fit are provided. Also provided are one example from the LND case list of the observed (orange circle), GFSRe forecast (orange asterisk), and West-WRF forecast (orange circle and cross) position. The segments A and B represent the non-dimensional distance measured by  $e_{xy}$ . b) as in a, except shown are all LND observed (colored circles), GFSRe forecasts with 60 hr  $\leq t_i \leq 83$  hr (colored asterisk), and West-WRF forecasts with lead times 60 hr  $\leq t_i \leq 83$  hr (colored circle and cross). The inset table displays  $e_{xy}$  for each model. c) as in a, except only cases for which West-WRF outperformed GFSRe according to  $e_{xy}$  are displayed. d) as in b, except shown are cases for which GFSRe outperformed West-WRF or for which performance was nearly equivalent.

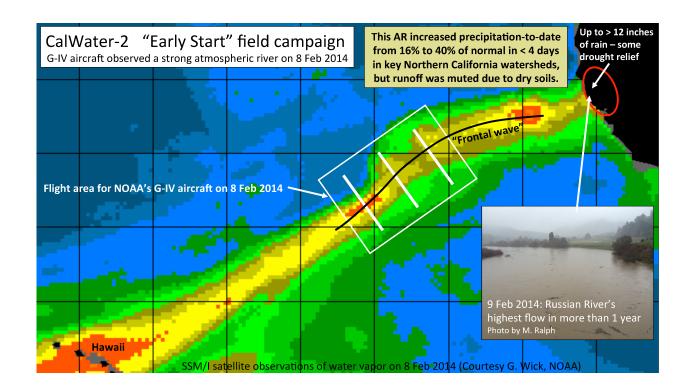


FIG. 1. Composite IWV over the Northeast Pacific Ocean on February 8, 2014 from the Special Sensor Microwave Imager (SSM/I). Overlaid are three aircraft transects from the CalWater Early Start campaign (white lines). The Westernmost transects correspond to OCN cases 2 and 3 from Table 2. Additional annotations depict the impacts of this AR and a dynamic feature named a Mesoscale Frontal Wave. These are discussed in Ralph et al. (2016). Figure adapted from Ralph et al. (2016).

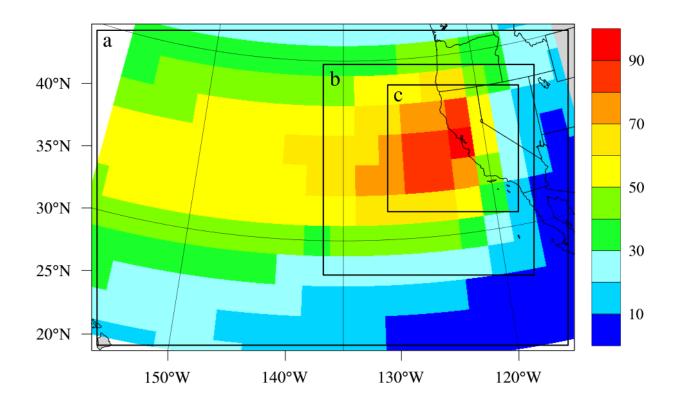


FIG. 2. Conditional probability  $P(AR_{ij}|AR_{RRW})$  (%) constructed by intersecting Gershunov et al. catalog 867 AR landfall days at the ARO with frequency of days with  $IVT \ge 250 \text{ kg m}^{-1} \text{ s}^{-1}$  from NCEP/NCAR Reanalysis version 1. West-WRF 9 km (a), WRF-ARWS 9 km (b), and West-WRF 3 km (c) domain boundaries are overlaid.

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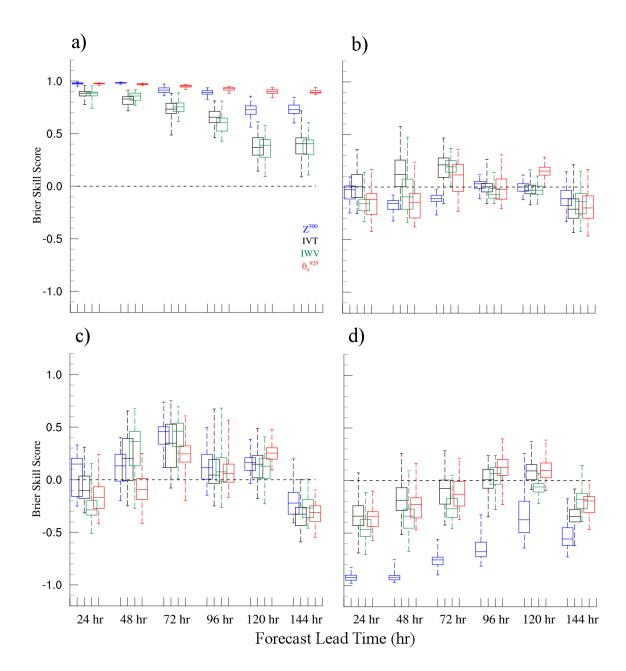


FIG. 3. Value added by GFSRe over GFSRe climatology validated against CalWater 2 dropsondes for the state variables  $z^{500}$  (blue), IVT (black), IWV (green) and  $\theta_e^{925}$  (red). b) as in a, except variable is West-WRFN 9 km forecast value added over GFSRe reference forecasts. c) as in b, except value added forecast is West-WRF 9 km. d) as in b, except value added forecast is WRF-ARWS 9 km.

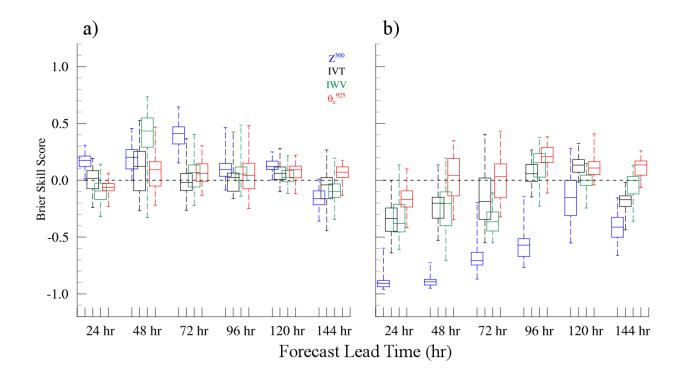


FIG. 4. a) as in Fig. 2c, except value added is calculated using West-WRFN 9 km as the reference forecast.

b) as in Fig. 2d, except value added is calculated using West-WRFN 9 km as the reference forecast.

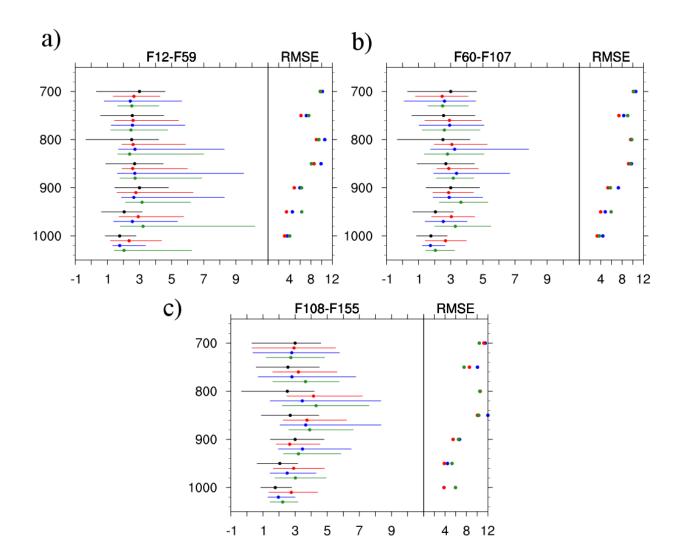


FIG. 5. a) Predicted lower tropospheric (1000 hPa to 700 hPa) profile of  $N_m^2$  (10<sup>-4</sup> s<sup>-2</sup>) for 12 hr  $\leq t_i \leq 59$ hr. Observation (black), GFSRe (red), West-WRF 9 km (blue), and WRF-ARWS 9 km (green) median value is displayed as a colored dot, while horizontal lines represent the interquartile range of values at the given pressure level. For each panel, the right split window displays the root mean-square error (10<sup>-4</sup> s<sup>-2</sup>) at the given pressure level for GFSRe (red), West-WRF 9 km (blue), and WRF-ARWS 9 km (green). b) As in a, except 60 hr  $\leq t_i \leq$ 107 hr. c) As in a, except 108 hr  $\leq t_i \leq$  155 hr.

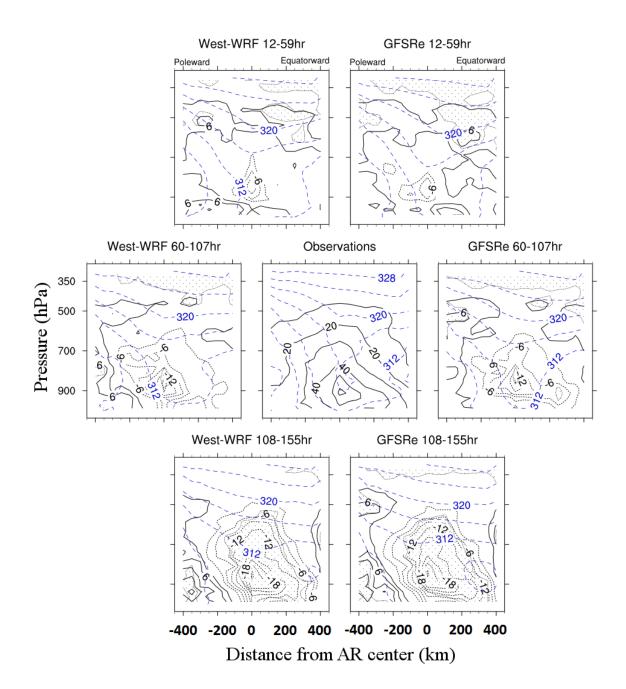


FIG. 6. top row) Fifteen case ensemble mean AR normal - vertical transect of dIVT error (model - obs) (kg m<sup>-2</sup> s<sup>-1</sup> - black solid, dashed where negative), and  $\theta_e^{925}$  (K - blue dashed) for 12 hr  $\leq t_i \leq$  59 hr. left panel displays mean error in West-WRF 9 km transects, right displays same quantity for GFSRe. Stipling indicates significance at p < 0.05 according to student's t-test. Middle row) as in a, except 60 hr  $\leq t_i \leq$  107 hr in left and right panels. Middle panel displays the observed ensemble mean dIVT (kg m<sup>-2</sup> s<sup>-1</sup> - black solid) and  $\theta_e^{925}$  (K - blue dashed). Bottom row) as in top row, except 108 hr  $\leq t_i \leq$  155 hr.

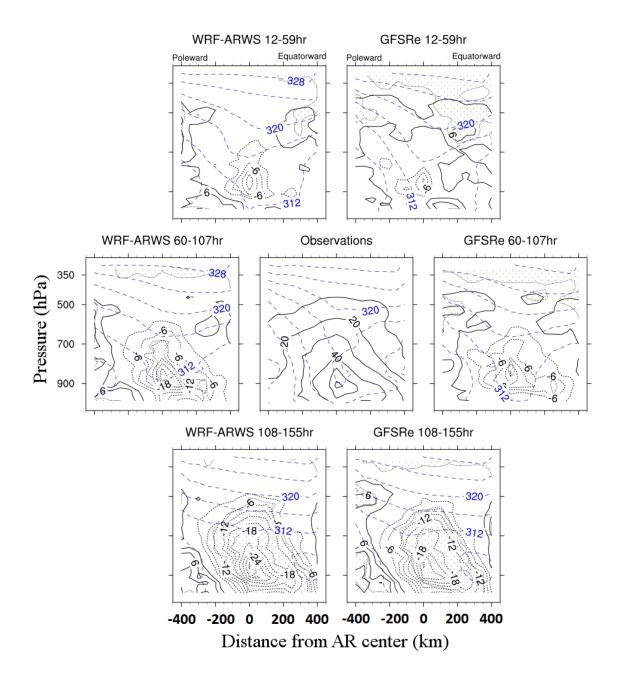


FIG. 7. As in Fig. 4, except leftmost panels on all rows display the forecast cross-sections for WRF-ARWS 9 km.

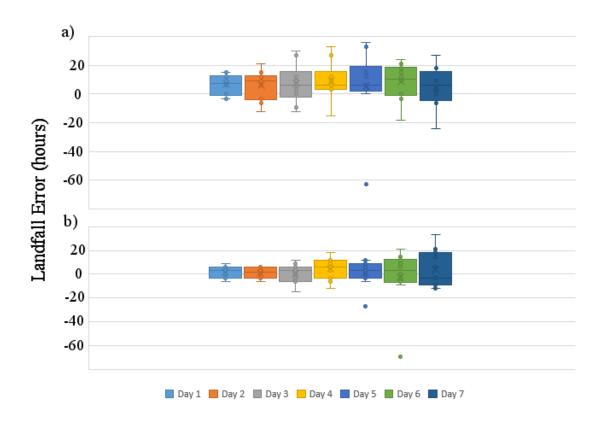


FIG. 8. Error in timing of LND case AR condition start at the ARO for a) West-WRF 3 km and b) GFSRe forecasts verifying with  $t_i \le 179$  hr.

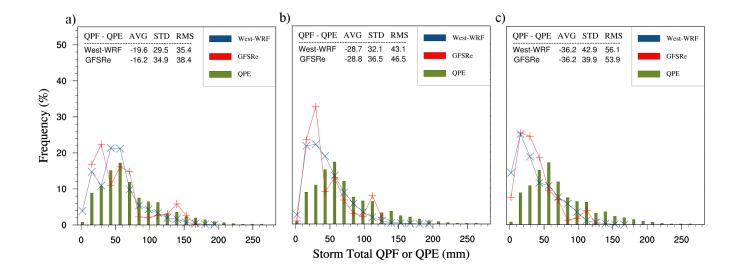


FIG. 9. a) Value histogram for RRW *ST QPE* (NCEP Stage-IV, green bars), West-WRF (blue 'x'), and GFSRe (red '+') *ST QPF* calculated from 12 hr  $\leq t_i \leq$  59 hr. Inset: West-WRF and GFSRe mean (*AVG*), standard deviation (*STD*) and root mean square (*RMS*) *QPF* – *QPE* from all RRW Stage-IV grid points. b) as in a, except 60 hr  $\leq t_i \leq$  107 hr. c) as in a, except lead times are 108 hr  $\leq t_i \leq$  155 hr.

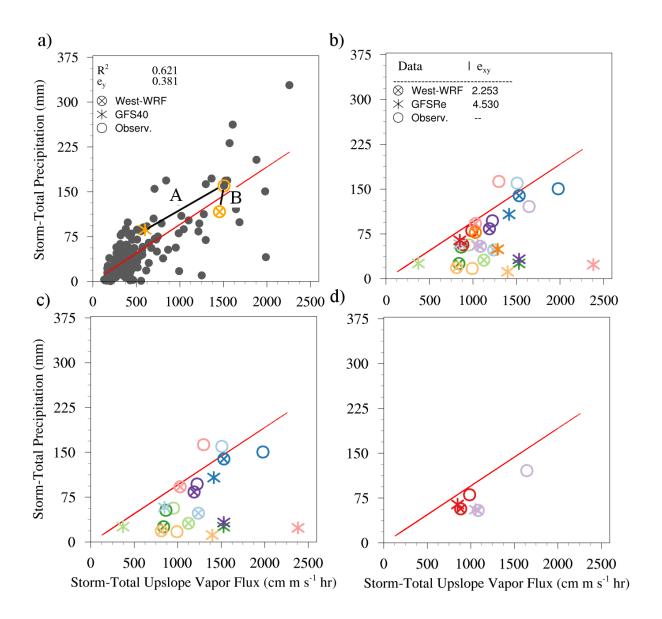


FIG. 10. a) ST  $P_r$  and ST BUF at the ARO for 171 historical rain events (dark gray dots) and the linear trend line resulting from a least-square fit (red line). The correlation coefficient ( $R^2$ ) and  $e_y$  that result from the least-square fit are provided. Also provided are one example from the LND case list of the observed (orange circle), GFSRe forecast (orange asterisk), and West-WRF forecast (orange circle and cross) position. The segments A and B represent the non-dimensional distance measured by  $e_{xy}$ . b) as in a, except shown are all LND observed (colored circles), GFSRe forecasts with 60 hr  $\leq t_i \leq$  83 hr (colored asterisk), and West-WRF forecasts with lead times 60 hr  $\leq t_i \leq$  83 hr (colored circle and cross). The inset table displays  $e_{xy}$  for each model. c) as in a, except only cases for which West-WRF outperformed GFSRe according to  $e_{xy}$  are displayed. d) as in b, except shown are cases for which GFSRe outperformed West-WRF or for which performance was nearly equivalent.