## Scuola di Scienze Dipartimento di Fisica e Astronomia Corso di Laurea in Fisica

## GEOMETRIC DEEP LEARNING

Relatore: Presentata da: Prof.ssa. Rita Fioresi Tommaso Lamma

Anno Accademico 2020/2021

Abstract in italiano...

Abstract in english...

# Contents

1	$\mathbf{Prel}$	iminaries on topology	1
	1.1	Simplicial complexes	1
	1.2	Forms and integration on abstract simplicial complexes	3

Draft[Most of the deep learning techniques used today are based on models which learn a partition of the set of smooth functions defined on euclidean domains into human friendly equivalence classes

## 1 Preliminaries on topology

Before discussing deep learning on graphs and manifolds we will give a definition of graph and higher dimensional structures called abstract simplicial complexes, in order to define convolutions on those structures we will define the Laplace operator by translating De Rham cohomology on oriented simplicial complexes. Furthermore we shall discuss other possible definitions of convolution based on the invariance of oriented simplicial complexes under some group of transformations i.e. even permutations of indexes.

## 1.1 Simplicial complexes

**Definition 1.1.1.** Let V be a finite set we define an abstract simplicial complex A to be

$$\mathcal{A} := \{ \sigma \subset \mathcal{V} : \tau \subset \sigma \Rightarrow \tau \in \mathcal{A} \}$$

where  $\sigma$  are called **abstract simplexes** of A.

One calls  $\mathcal{V}$  the **vertex set** of  $\mathcal{A}$  and denotes it by  $Vert(\mathcal{A})$ ; since the vertex set is finite we expect every abstract simplex to be finite, therefore we might use the notation  $\sigma = \{v_i\}_{i \in I_{\sigma}}$ , which so far we consider invariant under arbitrary permutations on the index set  $I_{\sigma}$ .

**Definition 1.1.2.** Let A be an abstract simplicial complex we define its **dimension** to be

$$dim\mathcal{A} := max_{\sigma \in \mathcal{A}}(|\sigma| - 1),$$

where  $|\sigma|$  is the cardinality of  $\sigma$ .

One calls an abstract simplex of dimension p an **abstract p-simplex**, according to our definition the empty set is a (-1)-simplex. A **graph** is a one dimensional abstract simplicial complex.

**Definition 1.1.3.** Let  $\mathcal{A}, \mathcal{B}$  be abstract simplicial complexes, then a **simplicial map**  $\phi : \mathcal{A} \to \mathcal{B}$  is a function such that whenever  $\sigma = \{v_i\}_{i \in I_{\sigma}} \in \mathcal{A}$ , then  $\phi(\{v_i\}_{i \in I_{\sigma}}) = \{\phi(v_i)\}_{i \in I_{\sigma}} \in \mathcal{B}$ , where  $\phi(v_i) \in Vert(\mathcal{B}) \, \forall i \in I_{\sigma}$ .

Although the vertex to vertex mapping is a quite selective condition on the function we did not prevent it from cramming abstract simplexes into lower dimensional ones.

**Theorem 1.1.1.** All abstract simplicial complexes and simplicial maps are a category  $\mathfrak{A}$  whose identities are called isomorphisms.

Although abstract simplicial complex can be used to model any kind of vertex interaction they lack of a topology, we wish therefore to define some structures in a euclidean space that can be related unequivocally (i.e. via a functor) to abstract simplicial complexes. We shall call those geometric simplicial complexes to avoid misunderstandings.

**Definition 1.1.4.** Let I be a finite set of indexes, we define the **convex envelope** of the points  $\{x_i\}_{i\in I} \subset \mathbb{R}^n$  to be

$$\langle x_i \rangle_{i \in I} := \{ a = \sum_{i \in I} \lambda_i x_i : \lambda_i \in \mathbb{R}, \ \lambda_i > 0, \ \sum_{i \in I} \lambda_i = 1 \},$$

which is the smallest convex set containing  $\{x_i\}_{i\in I}$ .

**Definition 1.1.5.** Let  $\{x_i\}_{i\in I} \subset \mathbb{R}^n$  we define the points  $\{x_i\}_{i\in I}$  to be **affinely independent** if and only if

$$\sum_{i \in I} \lambda_i x_i = \sum_{i \in I} \mu_i x_i \quad \Rightarrow \quad \lambda_i = \mu_i \ \forall i \in I,$$

where  $\sum_{i \in I} \lambda_i = \sum_{i \in I} \mu_i = 1$ .

**Definition 1.1.6.** We define a **geometric p-simplex** to be a convex envelop  $\langle x_i \rangle_{i \in I}$  where  $\{x_i\}_{i \in I} \subset \mathbb{R}^n$  are affinely independent and |I| = p + 1.

**Definition 1.1.7.** Let  $\sigma$  be a geometric p-simplex, we say that another t-simplex  $\tau$  is a **face** of  $\sigma$  or equivalently that  $\sigma$  is a **coface** of  $\tau$ , by our notiation  $\tau \leq \sigma$ , if and only if  $\tau \subset \sigma$ , where  $t \leq p$ .

**Definition 1.1.8.** We define a **geometric simplicial complex** G to be a collection of geometric simplexes such that

- (i)  $\tau \leq \sigma \in \mathcal{G} \Rightarrow \tau \in \mathcal{G}$ ,
- (ii)  $\sigma, \tau \in \mathcal{G} \Rightarrow \sigma \cap \tau \in \mathcal{G}$ .

#### Definition 1.1.9. Geometric realization of an abstract simplicial complex

Let K be a geometric simplicial complex, and let  $Vert(K) := \{ \sigma \in K : dim(\sigma) = 0 \}$ , we call the abstract simplicial complex  $A := \{ \{x_i\}_{i \in I} \subset Vert(K) : \langle x_i \rangle_{i \in I} \in K \}$  a vertex scheme for K or equivalently we might say that K is a geometric realization of A.

**Theorem 1.1.2.** Let A be a d-dimentional abstract simplicial complex, it admits a geometric realization in  $\mathbb{R}^{2d+1}$ .

Kuratowski theorem proves the prevuois statement to be also sharp.

## 1.2 Forms and integration on abstract simplicial complexes

### Definition 1.2.1. Linear space of simplicial p-chains

Let  $\mathcal{A}$  be an abstract simplicial complex, and let  $\mathcal{A}_p := \{ \sigma \in \mathcal{A} : dim(\sigma) = p \}$ , we define the linear space  $C_p = C_p(\mathcal{A})$  of simplicial p-chain on  $\mathcal{A}$  to be

$$C_p = \{ \sum_{\sigma \in \mathcal{A}_p} \lambda^{\sigma} \sigma, \quad \lambda^{\sigma} \in \mathbb{Z}_2 \},$$

where the formal operations of the linear space are given by the defitnition itself. (Possible extension from  $\mathbb{Z}_2$  to  $\mathbb{R}$ , naming  $C_p$  by the dual notation  $\Lambda_p$ )

The set  $\mathcal{A}^p$  is a canonical base of p-simplexes for  $C_p$ .

## Definition 1.2.2. Boundary operator on $C_{p+1}$

Let  $\sigma$  be an element of the canonical base of  $C_{p+1}$  we define  $\partial: C_{p+1} \to C_p$  such that

$$\partial \sigma = \sum_{i=0}^{p+1} (-1)^i \sigma_i,$$

where if  $\sigma = \{x_0, ..., x_{p+1}\} \in C_{p+1}$  we define  $\sigma_i := \{x_0, ..., x_{i-1}, x_{i+1}, ..., x_{p+1} \in C_p\}$ . Furthermore we extend this operator linearly on the whole space  $C_{p+1}$ 

$$\partial \left( \sum_{\sigma \in \mathcal{A}_p} \lambda^{\sigma} \sigma \right) = \sum_{\sigma \in \mathcal{A}_p} \lambda^{\sigma} \partial \sigma .$$

**Lemma 1.2.1.** Let  $\sigma \in \mathcal{A}_{p+2}$ ,  $p \geq 0$  then  $\partial^2 \sigma = 0$ .

*Proof.* We have

$$(\partial^{2}\sigma)_{x} = \sum_{i=0}^{p+2} (-1)^{i} (\partial\sigma)_{i}$$

$$= \sum_{i=0}^{p+2} (-1)^{i} \left[ \sum_{j=0}^{i-1} (-1)^{j} \sigma_{ij} + \sum_{j=i+1}^{p+2} (-1)^{j-1} \sigma_{ij} \right]$$

$$= \sum_{i=0}^{p+2} \sum_{j=0}^{i-1} (-1)^{i+j} \sigma_{ij} - \sum_{i=0}^{p+2} \sum_{j=i+1}^{p+2} (-1)^{i+j} \sigma_{ij} = 0.$$

#### Definition 1.2.3. p-forms on abstract simplicial complexes

Let A be an abstract simplical complex we define the linear space of p-forms  $\Lambda^p = \Lambda^p(A)$  to be

$$\Lambda^p := \{\omega : C_p \to \mathbb{R}\}, such that$$

$$\omega\left(\sum_{\sigma\in\mathcal{A}_p}\lambda^{\sigma}\sigma\right) = \sum_{\sigma\in\mathcal{A}_p}\lambda^{\sigma}\omega(\sigma) \quad \forall \omega\in\Lambda^p, \ \lambda_{\sigma}\in\mathbb{Z}_2 \ ,$$

with linear space operations defined as

$$+: \Lambda^{p} \times \Lambda^{p} \to \Lambda^{p} \qquad (\omega + \eta)(\sigma) = \omega(\sigma) + \eta(\sigma) \quad \sigma \in C_{p}, \ \omega, \eta \in \Lambda^{p},$$
$$\cdot: \mathbb{R} \times \Lambda^{p} \to \Lambda^{p} \qquad (\lambda \omega)(\sigma) = \lambda \omega(\sigma) \quad \sigma \in C_{p}, \ \omega \in \Lambda^{p}, \ \lambda \in \mathbb{R}.$$

**Proposition 1.2.1.** A canonical base of elementary forms for  $\Lambda^p$  is

$$\{\sigma^* \in \Lambda^p : \sigma \in \mathcal{A}_p, \ \sigma^*(\tau) = \delta_{\sigma\tau} \ \tau \in \mathcal{A}_p\},$$

therefore giving us an expression for every other p-form

$$\omega = \sum_{\sigma \in \mathcal{A}_p} \omega_{\sigma} \sigma^*, \quad \omega_{\sigma} \in \mathbb{R}.$$

### Definition 1.2.4. Exterior derivative of a p-form

Let  $\omega \in \Lambda^p$  we define  $d: \Lambda^p \to \Lambda^{p+1}$  on its coordinates to be

$$(d\omega)_{\sigma} = \sum_{i=0}^{p+2} (-1)^i \omega_{\sigma_i} .$$

**Lemma 1.2.2.** Let  $\omega \in \Lambda^p$ ,  $p \ge 0$  then  $d^2\omega = 0$ .

*Proof.* We have for  $\sigma \in \mathcal{A}_{p+2}$ 

$$\begin{split} (d^2\omega)_{\sigma} &= \sum_{i=0}^{p+2} (-1)^i (d\omega)_{\sigma_i} \\ &= \sum_{i=0}^{p+2} (-1)^i \left[ \sum_{j=0}^{i-1} (-1)^j \omega_{\sigma_{ij}} + \sum_{j=i+1}^{p+2} (-1)^{j-1} \omega_{\sigma_{ij}} \right] \\ &= \sum_{i=0}^{p+2} \sum_{j=0}^{i-1} (-1)^{i+j} \omega_{\sigma_{ij}} - \sum_{i=0}^{p+2} \sum_{j=i+1}^{p+2} (-1)^{i+j} \omega_{\sigma_{ij}} = 0. \end{split}$$

#### Definition 1.2.5. Integration of p-forms on p-chains

Let  $\omega \in \Lambda^p$  and  $\tau \in C_p$  we define the integral of  $\omega$  on  $\tau$  to be a bilinear form  $\Lambda^p \times C_p \to \mathbb{R}$ 

$$(\omega, \tau)_p := \sum_{\sigma \in \mathcal{A}_p} \omega_{\sigma} \tau^{\sigma},$$

where  $\omega = \sum_{\sigma \in \mathcal{A}_p} \omega_{\sigma} \sigma^*$  and  $\tau = \sum_{\sigma \in \mathcal{A}_p} \tau^{\sigma} \sigma$ .

(This might be extended by adding a non trivial permutation invariant measure on  $\mathcal{A}_p$ )

**Theorem 1.2.1.** Let  $\omega = \sum_{\sigma \in \mathcal{A}_p} \omega_{\sigma} \sigma^*$  and  $\tau = \sum_{\sigma \in \mathcal{A}_{p+1}} \tau^{\sigma} \sigma$  the following identity holds

$$(d\omega, \tau)_{p+1} = (\omega, \partial \tau)_p,$$

i.e. the operators  $d: \Lambda^p \to \Lambda^{p+1}$  and  $\partial: C_{p+1} \to C_p$  are dual.

*Proof.* We have

$$(d\omega,\tau)_{p+1} = \sum_{\sigma \in \mathcal{A}_{p+1}} \tau^{\sigma} (d\omega,\sigma)_{p+1} , \qquad (d\omega,\sigma)_{p+1} = (d\omega)_{\sigma} = \sum_{i=0}^{p+1} (-1)^{i} \omega_{\sigma_{i}},$$

while

$$(\omega, \partial \tau)_p = \sum_{\sigma \in \mathcal{A}_{p+1}} \tau^{\sigma} (\omega, \partial \sigma)_p , \qquad (\omega, \partial \sigma)_p = \left(\omega, \sum_{i=0}^{p+1} (-1)^i \sigma_i\right)_p = \sum_{i=0}^{p+1} (-1)^i \omega_{\sigma_i} .$$

This theorem can be seen as the generalized Stokes' theorem on abstract simplicial complexes.