## Generating Verified LLVM from Isabelle/HOL

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## Motivation: Fast and Verified Algorithms

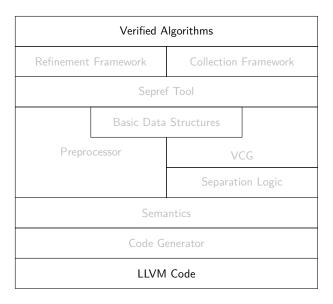
- Stepwise refinement
  - modular and manageable proofs
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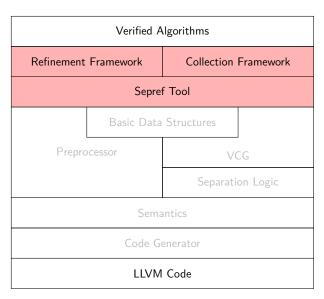
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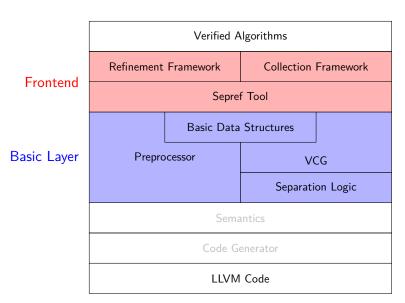
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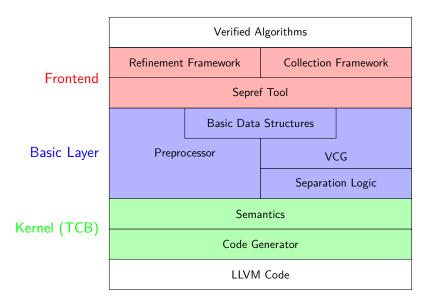
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- Isabelle Code Generator + Imperative HOL
  - generates Haskell, OCaML, SML, Scala
  - doesn't reach efficiency of C/C++
- This paper:
  - code generation to LLVM
  - verification infrastructure
  - link to Refinement Framework



#### Frontend







### **LLVM Semantics**

- We don't need to formalize all of LLVM!
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  - abstract away certain details (e.g. in memory model)

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### **LLVM Semantics**

- We don't need to formalize all of LLVM!
  - just enough to express meaningful programs
  - abstract away certain details (e.g. in memory model)
- Trade-off
  - complexity of semantics vs. trusted steps in code generator
- Our choice:
  - rather simple semantics
  - code generator does some translations

LLVM operations described in state/error monad

```
\begin{array}{l} \alpha \text{ IIM} = \text{IIM (run: memory} \Rightarrow \alpha \text{ mres)} \\ \alpha \text{ mres} = \text{NTERM} \mid \text{FAIL} \mid \text{SUCC } \alpha \text{ memory} \end{array}
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```

Recursion via fixed-point

```
\label{eq:llc_while} \begin{array}{l} \text{llc\_while b } f \; s_0 \; = \; \text{fixp } (\lambda W \; s. \\ & \text{do } \{ \\ & \text{ctd} \leftarrow b \; s; \\ & \text{if } \; \text{ctd} \neq 0 \; \text{then do } \{ s \leftarrow f \; s; \; W \; s \} \; \text{else return s} \\ & \} \\ & ) \; s_0 \end{array}
```

```
fib:: 64 word \Rightarrow 64 word IIM
fib n = do \{
 t \leftarrow II_icmp_ule n 1;
 llc_if t
    (return n)
    (do {
      n_1 \leftarrow II\_sub n 1;
      a \leftarrow fib n_1;
      n_2 \leftarrow II\_sub n 2;
      b \leftarrow fib n_2;
      c \leftarrow II_add a b;
      return c
    }) }
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fib:: 64 word ⇒ 64 word IIM
fib n = do \{
 t \leftarrow II_icmp_ule n 1;
 llc_if t
    (return n)
                                                    standard instructions (II_<opcode>)
    (do {
     n_1 \leftarrow \text{Il\_sub } n = 1;
     a \leftarrow fib n_1;
                                                    monad: bind, return
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                                                   arguments: variables and constants
     a \leftarrow fib n_1;
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 t \leftarrow II_icmp_ule n 1;
 llc_if t
    (return n)
                                                   standard instructions (II_<opcode>)
    (do {
                                                   function calls
     n_1 \leftarrow \text{Il\_sub } n = 1;
                                                   arguments: variables and constants
     a \leftarrow fib \overline{n_1};
                                                   monad: bind. return
     n_2 \leftarrow II\_sub n 2;
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### Code Generation

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# Code Generation

### compiling control flow + pretty printing

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```

```
define i64 @fib(i64 %x) {
 start:
  %t = icmp ule 164 %x, 1
   br i1 %t, label %then, label %else
 then:
  br label %ctd if
 else:
  %n_1 = \text{sub } i64 \% \times 1
   %a = call i64 @fib (i64 %n_1)
  %n_2 = \text{sub } i64 \%x, 2
  \%b = call i64 @fib (i64 \%n_2)
   %c = add i64 %a, %b
   br label %ctd_if
 ctd_if:
   \%x1a = phi i64 [%x,%then], [%c,%else]
  ret i64 %x1a }
```

# Memory Model

Inspired by CompCert v1. But with structured values.

```
\begin{split} \text{memory} &= \text{block list} & \quad \text{block} = \text{val list option} \\ \text{val} &= \text{n word} \mid \text{ptr} \mid \text{val} \times \text{val} \\ \text{rptr} &= \text{NULL} \mid \text{ADDR nat nat (dir list)} & \quad \text{dir} &= \text{FST} \mid \text{SND} \end{split}
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ADDR i j p block index, value index, path to value

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- ADDR i j p block index, value index, path to value
- Typeclass Ilvm\_rep: shallow to deep embedding

```
to_val :: 'a \Rightarrow val
from_val :: val \Rightarrow 'a
init :: 'a - Zero initializer
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to_val :: a \Rightarrow val
from_val :: val \Rightarrow a
init :: a - Zero initializer
```

Shallow pointers carry phantom type

```
'a ptr = PTR rptr
```

## Example: malloc

```
\begin{split} & \text{allocn (v::val) (s::nat)} = \text{do } \{ \\ & \text{bs} \leftarrow \text{get;} \\ & \text{set (bs@[Some (replicate s v)]);} \\ & \text{return (ADDR |bs| 0 []) } \} \end{split}
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### Example: malloc

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allocn (v::val) (s::nat) = do {
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Il_malloc (s::n word) :: 'a ptr = do {
  assert (unat n > 0); - Disallow empty malloc
  r ← allocn (to_val (init::'a)) (unat n);
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- Code generator maps II\_malloc to libc's calloc.
  - out-of-memory: terminate in defined way exit(1)

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```
\texttt{return} \ ((\texttt{a}+\texttt{b})+\texttt{c}) \mapsto \texttt{do} \ \{\texttt{t} \leftarrow \texttt{II\_add} \ \texttt{a} \ \texttt{b}; \ \texttt{II\_add} \ \texttt{t} \ \texttt{c}\}
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## Preprocessor

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```

tuples

```
\texttt{return} \ (\texttt{a}, \texttt{b}) \mapsto \texttt{do} \ \{ \ t {\leftarrow} \texttt{II\_insert}_1 \ \mathsf{init} \ \texttt{a}; \ \mathsf{II\_insert}_2 \ \mathsf{t} \ \mathsf{b} \ \}
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```

tuples

```
return (a,b) \mapsto do \{ t \leftarrow II_i \text{ insert}_1 \text{ init } a; II_i \text{ insert}_2 \text{ t } b \}
```

• Define recursive functions for fixed points

# Example: Preprocessing Euclid's Algorithm

```
euclid :: 64 word \Rightarrow 64 word \Rightarrow 64 word euclid a b = do { (a,b) \leftarrow llc_while (\lambda(a,b) \Rightarrow ll_cmp (a \neq b)) (\lambda(a,b) \Rightarrow if (a\leqb) then return (a,b-a) else return (a-b,b)) (a,b); return a }
```

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    (a,b):
  return a }
preprocessor defines function euclido and proves
euclid a b = do \{
    ab \leftarrow II_{insert_1} init a; ab \leftarrow II_{insert_2} ab b;
    ab \leftarrow euclid_0 ab;
    Il_extract<sub>1</sub> ab }
euclid_0 s = do {
  a \leftarrow II_{extract_1} s:
  b \leftarrow II_{extract_2} s:
  ctd \leftarrow II_icmp_ne a b:
  llc_if ctd do {...; euclid_0 ...}
```

- Separation Logic
  - Hoare-triples

```
\begin{array}{l} \alpha::\mathsf{memory} \to \mathsf{amemory} :: \mathsf{sep\_algebra} \\ \mathsf{wp} \ \mathsf{c} \ \mathsf{Q} \ \mathsf{s} = \exists \mathsf{r} \ \mathsf{s'}. \ \mathsf{run} \ \mathsf{c} \ \mathsf{s} = \mathsf{SUCC} \ \mathsf{r} \ \mathsf{s'} \land \ \mathsf{Q} \ \mathsf{r} \ (\alpha \ \mathsf{s'}) \\ \models \{\mathsf{P}\} \ \mathsf{c} \ \{\mathsf{Q}\} = \forall \mathsf{F} \ \mathsf{s}. \ (\mathsf{P*F}) \ (\alpha \ \mathsf{s}) \longrightarrow \mathsf{wp} \ \mathsf{c} \ (\lambda \mathsf{r} \ \mathsf{s'}. \ (\mathsf{Q} \ \mathsf{r} \ \mathsf{F}) \ \mathsf{s'}) \ \mathsf{s} \end{array}
```

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\alpha :: memory \rightarrow amemory :: sep_algebra wp c Q s = \existsr s'. run c s = SUCC r s' \land Q r (\alpha s') \models {P} c {Q} = \forallF s. (P*F) (\alpha s) \longrightarrow wp c (\lambdar s'. (Q r * F) s') s
```

memory primitives

```
p \mapsto x - p points to value x
m_tag n p - ownership of block (not its contents)
```

```
range~\{i_1,\ldots,i\_n\}~f~p=(p+i_1)\mapsto (f~i_1)*\ldots *(p+i\_n)\mapsto (f~i\_n)
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range 
$$\{i_1, \ldots, i_-n\}$$
 f p =  $(p+i_1) \mapsto (f i_1) * \ldots * (p+i_-n) \mapsto (f i_-n)$ 

rules for commands

```
\begin{array}{l} b \neq 0 \implies \models \{\Box\} \text{ II\_udiv a b } \{\lambda r. \ r = a \text{ div b}\} \\ \models \{n \neq 0\} \text{ II\_malloc n } \{\lambda p. \text{ range } \{0.. < n\} \ (\lambda_-. \text{ init) p * m\_tag n p}\} \\ \models \{p \mapsto x\} \text{ II\_load p } \{\lambda r. \ r = x * p \mapsto x\} \end{array}
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Automation: VCG, frame inference, heuristics to discharge VCs

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  - Hoare-triples

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p \mapsto x - p points to value x
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$$range \ \{i_1,\ldots,i_-n\} \ f \ p = (p+i_1) \mapsto (f \ i_1) \ast \ldots \ast (p+i_-n) \mapsto (f \ i_-n)$$

rules for commands

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```

- Automation: VCG, frame inference, heuristics to discharge VCs
- Basic Data Structures: signed/unsigned integers, Booleans, arrays

#### lemma

 $\models \{\mathsf{uint}_{64} \; \mathsf{a} \; \mathsf{a}_{\dagger} \; * \; \mathsf{uint}_{64} \; \mathsf{b} \; \mathsf{b}_{\dagger} \; * \; \mathsf{0} < \mathsf{a} \; * \; \mathsf{0} < \mathsf{b} \} \; \mathsf{euclid} \; \mathsf{a}_{\dagger} \; \mathsf{b}_{\dagger} \; \{\lambda \mathsf{r}_{\dagger}. \; \mathsf{uint}_{64} \; (\mathsf{gcd} \; \mathsf{a} \; \mathsf{b}) \; \mathsf{r}_{\dagger} \}$ 

```
lemma \models \{ \text{uint}_{64} \text{ a } a_{\dagger} * \text{uint}_{64} \text{ b } b_{\dagger} * 0 < a * 0 < b \} \text{ euclid } a_{\dagger} \text{ b}_{\dagger} \{ \lambda r_{\dagger}. \text{ uint}_{64} \text{ (gcd a b) } r_{\dagger} \} unfolding euclid_def apply (rewrite annotate_llc_while[where I = ... and R = measure nat])
```

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lemma \models \{ \text{uint}_{64} \text{ a } a_{\dagger} * \text{uint}_{64} \text{ b } b_{\dagger} * 0 < a * 0 < b \} \text{ euclid } a_{\dagger} \text{ b}_{\dagger} \{ \lambda r_{\dagger}. \text{ uint}_{64} \text{ (gcd a b) } r_{\dagger} \} unfolding euclid_def apply (rewrite annotate_llc_while[where I = ... and R = measure nat]) apply (vcg; clarsimp?)
```

```
lemma \models \{\mathsf{uint}_{64} \ \mathsf{a} \ \mathsf{a}_\dagger * \mathsf{uint}_{64} \ \mathsf{b} \ \mathsf{b}_\dagger * 0 < \mathsf{a} * 0 < \mathsf{b}\} \ \mathsf{euclid} \ \mathsf{a}_\dagger \ \mathsf{b}_\dagger \ \{\lambda \mathsf{r}_\dagger. \ \mathsf{uint}_{64} \ (\mathsf{gcd} \ \mathsf{a} \ \mathsf{b}) \ \mathsf{r}_\dagger\}  \begin{aligned} &\mathsf{unfolding} \ \mathsf{euclid\_def} \\ &\mathsf{apply} \ (\mathsf{rewrite} \ \mathsf{annotate\_llc\_while}[\texttt{where} \ \mathsf{I} = \dots \ \mathsf{and} \ \mathsf{R} = \mathsf{measure} \ \mathsf{nat}]) \end{aligned} \\ &\mathsf{apply} \ (\mathsf{vcg}; \ \mathsf{clarsimp?}) \end{aligned}
```

#### Subgoals:

- 1.  $\bigwedge x y$ .  $[ \gcd x y = \gcd a b; x \neq y; x \leq y; ... ] \implies \gcd x (y x) = \gcd a b$
- 2.  $\bigwedge x$  y.  $[\![$  gcd x y = gcd a b;  $\neg$  x  $\leq$  y; ...  $]\![$   $\Longrightarrow$  gcd (x y) y = gcd a b

```
lemma
\models {uint<sub>64</sub> a a<sub>†</sub> * uint<sub>64</sub> b b<sub>†</sub> * 0<a * 0<b} euclid a<sub>†</sub> b<sub>†</sub> {\lambdar<sub>†</sub>. uint<sub>64</sub> (gcd a b) r<sub>†</sub>}
unfolding euclid_def
apply (rewrite annotate_llc_while[where I = ... and R = measure nat])
apply (vcg; clarsimp?)
```

#### Subgoals:

- 1.  $\bigwedge x \vee \mathbb{I} \gcd x \vee = \gcd a \ b; \ x \neq y; \ x < y; \dots \ \mathbb{I} \implies \gcd x \vee (y x) = \gcd a \ b$ 2.  $\bigwedge x y$ .  $\llbracket \gcd x y = \gcd a b; \neg x < y; \dots \rrbracket \implies \gcd (x - y) y = \gcd a b$

by ( simp\_all add: gcd\_diff1 gcd\_diff1')

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  - existing proofs can be re-used
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- Collections Framework
  - provides data structures
  - we ported some to LLVM (work in progress)
    - dense sets/maps of integers (by array)
    - heaps, indexed heaps
    - two-watched-literals for BCP
    - graphs (by adjacency lists)
      - ...

## Example: Binary Search

```
definition bin_search xs x = do {
 (I,h) \leftarrow WHILEIT (bin\_search\_invar xs x)
   (\lambda(l,h). l < h)
   (\lambda(l,h), do \{
     ASSERT (I<length xs \land h<length xs \land l<h);
     let m = I + (h-I) \operatorname{div} 2;
     if xs!m < x then RETURN (m+1,h) else RETURN (l,m)
   })
   (0,length xs);
 RETURN I
```

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lemma bin_search_correct:
 sorted xs \implies bin_search xs x \leq SPEC (\lambdai. i=find_index (\lambday. x\leqy) xs)
```

# Example: Binary Search — Refinement

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```
sepref_def bin_search_impl is uncurry bin_search
 :: (larray_assn' TYPE(size_t) (sint_assn' TYPE(elem_t)))<sup>k</sup>
    * (sint_assn' TYPE(elem_t))<sup>k</sup>
    \rightarrow snat_assn' TYPE(size_t)
 unfolding bin_search_def
 apply (rule hfref_with_rdoml, annot_snat_const TYPE(size_t))
 by sepref
export 11vm bin_search_impl is int64_t bin_search(larray_t, elem_t)
defines
 typedef uint64_t elem_t;
 typedef struct { int64_t len; elem_t *data; } larray_t;
file code/bin_search.ll
```

# Example: Binary Search — Generated Code

Produces LLVM code and header file:

```
typedef uint64_t elem_t;
typedef struct {
  int64_t len;
  elem_t*data;
} larray_t;
int64_t bin_search(larray_t,elem_t);
```

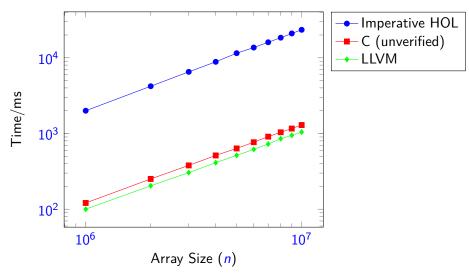
### Case Studies

- Binary Search and Knuth-Morris-Pratt
  - manageable amount of changes to original formalization

### Case Studies

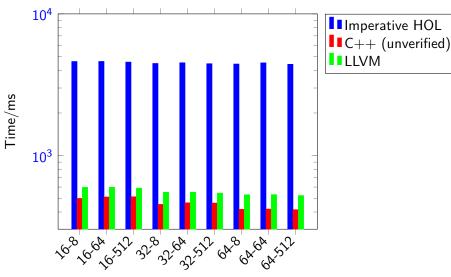
- Binary Search and Knuth-Morris-Pratt
  - manageable amount of changes to original formalization
- Efficiency
  - on par with unverified C/C++
  - one order of magnitude faster than original

# Binary Search



Search for the values  $0, 2, \ldots < 5n$  in an array  $[0, 5, \ldots < 5n]$ 

### Knuth Morris Pratt



Benchmark Set

Execute a-l benchmark set from StringBench. Stop at first match.

### Conclusions

- Fast and verified algorithms
  - LLVM code generator
  - using Refinement Framework
  - manageable proof overhead
- Case studies
  - generate really fast, verified code
  - re-use existing proofs
- Current/future work
  - more complex algorithms
    - promising (preliminary) results for SAT-solver, Prim's algorithm
  - deeply embedded semantics
  - generic Sepref (Imp-HOL, LLVM) × (nres, nres+time)

https://github.com/lammich/isabelle\_llvm