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# **CS483 Analysis of Algorithms**

## **Lecture 03 – Graphs**

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▷ Introduction

Graph Representation

Graph and Tree

Explore graphs

Topological sort

Strong connected  
components

Conclusion

# Introduction

# Graph Representation

Introduction

▷ Graph Representation

Graph and Tree

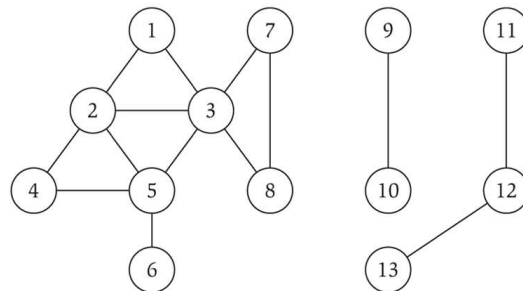
Explore graphs

Topological sort

Strong connected components

Conclusion

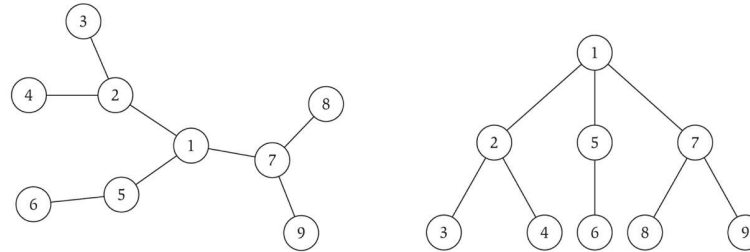
- Terminology  $G = (V, E)$ 
  - $V$  = nodes or vertices  $\{v\}$
  - $E$  = edges between pairs of nodes,  $\{e = (u, v)\}$ , where  $u$  and  $v$  are called **ends** of  $e$
  - For directed edge  $e = (u, v)$  is an ordered list where  $u$  is the **tail** and  $v$  is the **head** and  $e$  **leaves**  $u$  and **enters**  $v$ .
  - A path is a sequence of vertices  $v_1, v_2, \dots, v_{k-1}, v_k$ . A path is called **simple** if  $v_i \neq v_j \forall i \neq j$
  - A cycle is a path  $v_1, v_2, \dots, v_{k-1}, v_k$  in which  $v_1 = v_k$ , for  $k > 2$ , and the first  $k - 1$  nodes are all distinct
  - An undirected graph is **connected** if for every pair of nodes  $u$  and  $v$ , there is a path between  $u$  and  $v$ .



# Graph and Tree

Introduction
Graph Representation
▷ Graph and Tree
Explore graphs
Topological sort
Strong connected components
Conclusion

- An undirected graph  $G$  is a tree if
  - $G$  is connected
  - $G$  does not contain a cycle
  - $G$  has  $n - 1$  edges, where  $n$  is the number of nodes in  $G$



- Many algorithms work by converting a graph to a tree (the simplest representation of the graph)
  - shortest path tree
  - spanning tree
  - exploring tree (BFS, DFS, ...)
  - ...
  -

Introduction

▷ Explore graphs

Graph Search

Graph Search

Graph Search

Depth-first search

Breadth-first search

Breadth-first search

Breadth-first search

example

Topological sort

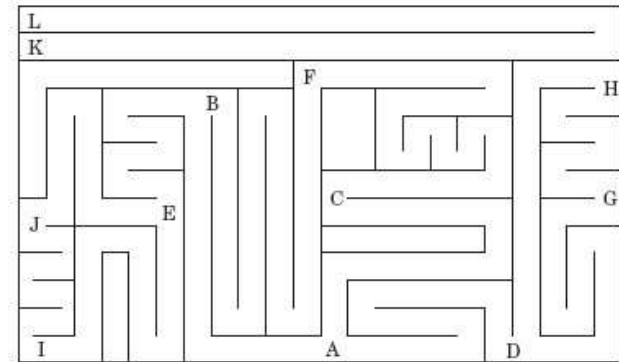
Strong connected  
components

Conclusion

# Explore graphs

Introduction
Explore graphs
▷ Graph Search
Graph Search
Graph Search
Depth-first search
Breadth-first search
Breadth-first search
Breadth-first search example
Topological sort
Strong connected components
Conclusion

- 



# Graph Search

Introduction

Explore graphs

Graph Search

▷ Graph Search

Graph Search

Depth-first search

Breadth-first search

Breadth-first search

Breadth-first search

example

Topological sort

Strong connected  
components

Conclusion

- Basic exploration algorithm

**Algorithm 0.1:** EXPLORE( $G = \{V, E\}, v \in V$ )

```
v.visit ← true
previsit(v)
for each edge  $(v, u) \in E$ 
  do { if u.visit == false
      then EXPLORE( $G, u$ )
    }
postvisit(v)
```

- Can the algorithm always work?
  - *proof*

# Graph Search

Introduction

Explore graphs

Graph Search

Graph Search

▷ Graph Search

Depth-first search

Breadth-first search

Breadth-first search

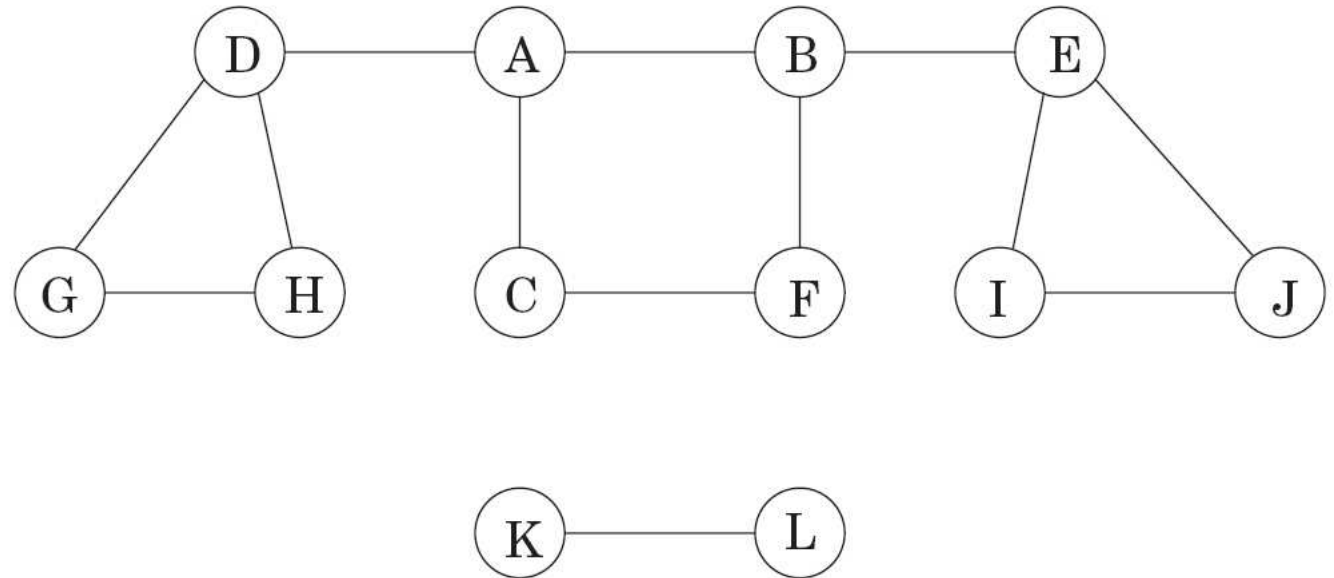
Breadth-first search  
example

Topological sort

Strong connected  
components

Conclusion

□ Example: EXPLORE(B)





# Depth-first search

Introduction

Explore graphs

Graph Search

Graph Search

Graph Search

▷ Depth-first search

Breadth-first search

Breadth-first search

Breadth-first search

example

Topological sort

Strong connected  
components

Conclusion

□ DFS

**Algorithm 0.2:**  $\text{DFS}(G = \{V, E\})$

```
for  $v \in V$   
  do  $v.visit \leftarrow \text{false}$   
for  $v \in V$   
  do  $\begin{cases} \text{if } !v.visit \\ \text{then EXPLORE}(G, v) \end{cases}$ 
```

# Breadth-first search

Introduction

Explore graphs

Graph Search

Graph Search

Graph Search

Depth-first search

▷ Breadth-first search

Breadth-first search

Breadth-first search

example

Topological sort

Strong connected  
components

Conclusion

## □ BFS

### Algorithm 0.3: $\text{BFS}(G, v)$

$v.visit \leftarrow \text{true}$

$Q \leftarrow \emptyset$

$Q.push(v)$

**while**  $Q \neq \emptyset$

**do**  $\left\{ \begin{array}{l} v \leftarrow Q.pop() \\ \text{(do something here)} \\ \text{for each neighbor } n \text{ of } v \\ \text{do } \left\{ \begin{array}{l} \text{if } n.visit == \text{false} \\ \text{do } \left\{ \begin{array}{l} \text{then } \left\{ \begin{array}{l} n.visit \leftarrow \text{true} \\ Q.push(n) \end{array} \right. \end{array} \right. \end{array} \right. \end{array} \right.$

### Algorithm 0.4: $\text{BFS}(G)$

**for**  $v \in V$

**do**  $v.visit \leftarrow \text{false}$

**for**  $v \in V$

**do**  $\left\{ \begin{array}{l} \text{if } !v.visit \\ \text{then } \text{BFS}(G, v) \end{array} \right.$

# Breadth-first search

Introduction

Explore graphs

Graph Search

Graph Search

Graph Search

Depth-first search

Breadth-first search

▷ Breadth-first search

Breadth-first search

example

Topological sort

Strong connected  
components

Conclusion

- BFS intuition: Explore outward from  $s$  in all possible directions, adding nodes one “layer” at a time.
- Another interpretation of BFS algorithm (a.k.a flooding):
  - $L_0 = \{v\}$ .
  - $L_1 =$  all neighbors of  $L_0$ .
  - $L_2 =$  all nodes that do not belong to  $L_0$  or  $L_1$ , and that have an edge to a node in  $L_1$ .
  - $L_{i+1} =$  all nodes that do not belong to an earlier layer, and that have an edge to a node in  $L_i$ .
- Theorem: For each  $i$ ,  $L_i$  consists of all nodes at distance exactly  $i$  from  $s$ . There is a path from  $s$  to  $t$  iff  $t$  appears in some layer.
- Property: Let  $T$  be a BFS tree of  $G = (V, E)$ , and let  $(x, y)$  be an edge of  $G$ . Then the level of  $x$  and  $y$  differ by at most 1.

Proof:

# Breadth-first search example

Introduction

Explore graphs

Graph Search

Graph Search

Graph Search

Depth-first search

Breadth-first search

Breadth-first search

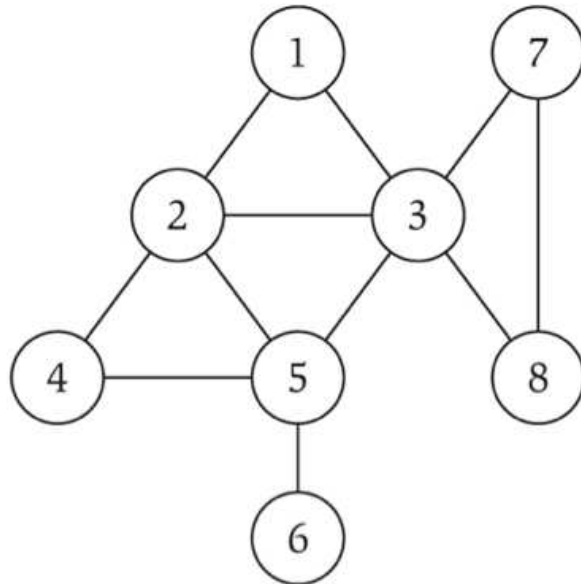
▷ Breadth-first search  
example

Topological sort

Strong connected  
components

Conclusion

□ Levels, BFS tree and cycles



Introduction

Explore graphs

▷ Topological sort

Directed acyclic graphs

DAG and Topological Sort

Topological Sort: Using  
DSF

Topological Sort: Using  
Source Removal

Example

Strong connected  
components

Conclusion

# Topological sort

# Directed acyclic graphs

Introduction

Explore graphs

Topological sort

▷ Directed acyclic graphs

DAG and Topological Sort

Topological Sort: Using  
DSF

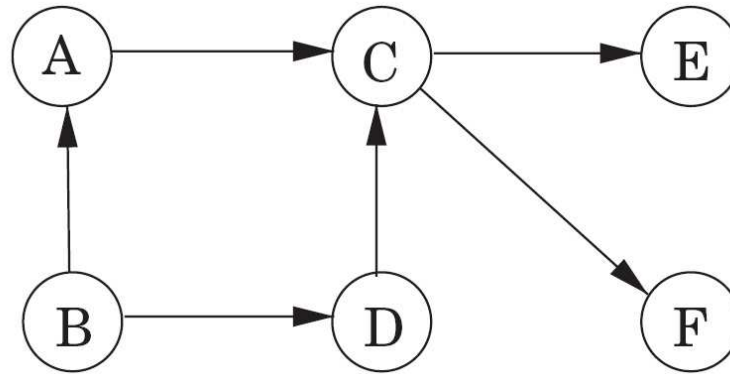
Topological Sort: Using  
Source Removal

Example

Strong connected  
components

Conclusion

- A graph  $G$  without (directed) cycle is a *directed acyclic graphs* (DAG)
- DAG can be found in modeling many problems that involve prerequisite constraints (construction projects, document version



control)

- Given a *directed* graph  $G$ , identify *cycles* in  $G$

– *proof*

# DAG and Topological Sort

Introduction

Explore graphs

Topological sort

Directed acyclic graphs

    DAG and Topological

    Sort

Topological Sort: Using  
DSF

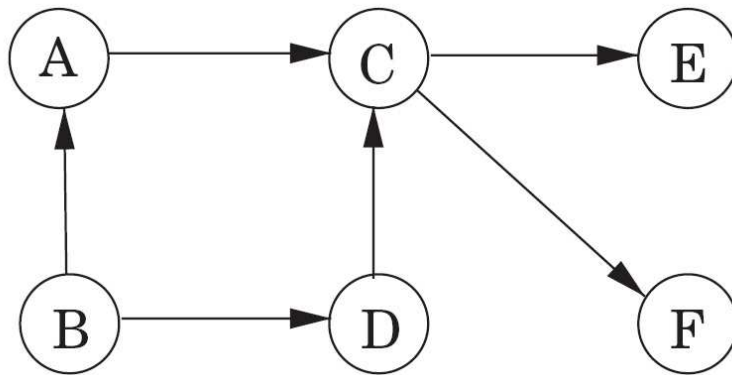
Topological Sort: Using  
Source Removal

Example

Strong connected  
components

Conclusion

- **Topological sorting or Linearization:** Vertices of a DAG can be linearly ordered so that:
  - Every edge its starting vertex is listed before its ending vertex
  - Being a DAG is also a necessary condition for topological sorting be possible
- **Example:**



# Topological Sort: Using DSF

- Introduction
- Explore graphs
- Topological sort
- Directed acyclic graphs
- DAG and Topological Sort
  - Topological Sort:
    - ▷ Using DSF
  - Topological Sort: Using Source Removal
  - Example
- Strong connected components
- Conclusion

- Compute DSF and reverse the visit order

**Algorithm 0.5:**  $\text{TS}(G = \{V, E\})$

```
 $S \leftarrow \emptyset; L \leftarrow \emptyset$   
 $S.\text{push}(v)$   
while  $S \neq \emptyset$   
  do  $\left\{ \begin{array}{l} v \leftarrow S.\text{pop}() \\ L.\text{push\_front}(v) \\ \textbf{for each neighbor } n \textbf{ of } v \\ \quad \textbf{do } S.\text{push}(n) \end{array} \right.$   
return  $(L)$ 
```

- Why does it work?
- Time complexity?



# Topological Sort: Using Source Removal

Introduction

Explore graphs

Topological sort

Directed acyclic graphs

DAG and Topological Sort

Topological Sort: Using

DSF

Topological Sort:

▷ Using Source Removal

Example

Strong connected  
components

Conclusion

- Identify and remove sources iteratively.
  - A source is a vertex without incoming edges.

**Algorithm 0.6:**  $TS(G = \{V, E\})$

```
 $Q \leftarrow \emptyset; L \leftarrow \emptyset$ 
for each  $v \in V$ 
  do  $\left\{ \begin{array}{l} \text{if } v \text{ is a source} \\ \text{then } Q.\text{push}(v) \end{array} \right.$ 
while  $S \neq \emptyset$ 
   $v \leftarrow Q.\text{pop}()$ 
   $L.\text{push\_front}(v)$ 
  do  $\left\{ \begin{array}{l} \text{for each neighbor } n \text{ of } v \\ \text{do } \left\{ \begin{array}{l} \text{if } n \text{ is a source} \\ \text{then } Q.\text{push}(n) \end{array} \right. \end{array} \right.$ 
return  $(L)$ 
```

- Why does it work?
- Time complexity?

# Example

[Introduction](#)

[Explore graphs](#)

[Topological sort](#)

[Directed acyclic graphs](#)

[DAG and Topological Sort](#)

[Topological Sort: Using  
DSF](#)

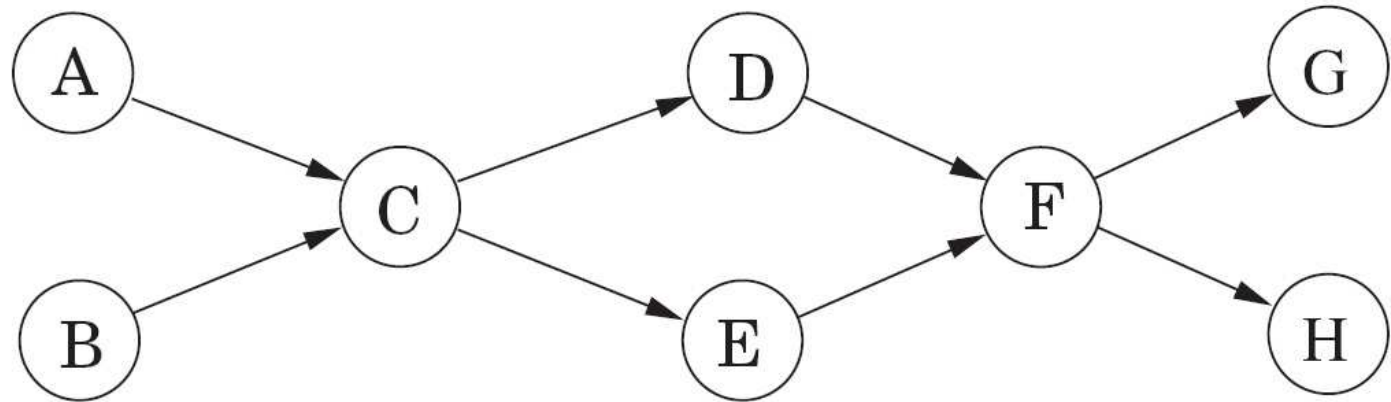
[Topological Sort: Using  
Source Removal](#)

[▷ Example](#)

[Strong connected  
components](#)

[Conclusion](#)

□ Example:



Introduction

Explore graphs

Topological sort

▷ Strong connected  
components

Strongly connected  
components

Strongly connected  
components

Strongly connected  
components and DAG

Strongly connected  
components and DAG

Strongly connected  
components and DAG

Conclusion

# Strong connected components

# Strongly connected components

Introduction

Explore graphs

Topological sort

Strong connected components

Strongly connected components

Strongly connected components

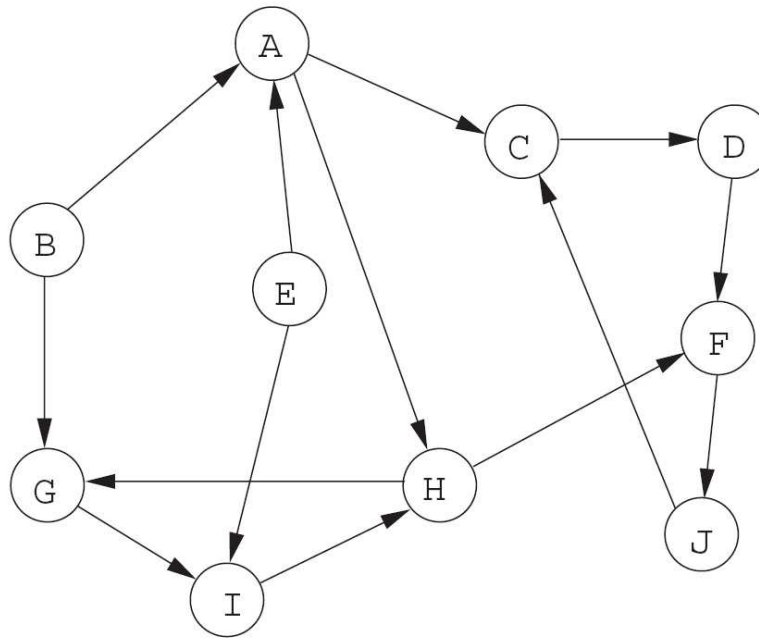
Strongly connected components and DAG

Strongly connected components and DAG

Strongly connected components and DAG

Conclusion

- **Definition:** Two nodes  $u$  and  $v$  are from the connected if and only if there is a path from  $u$  to  $v$  and a path from  $v$  to  $u$ .
- **Definition:** A set of vertices form a strongly connected component (SCC) iff any pairs of vertices are connected.



# Strongly connected components

Introduction

Explore graphs

Topological sort

Strong connected components

Strongly connected components

Strongly connected components

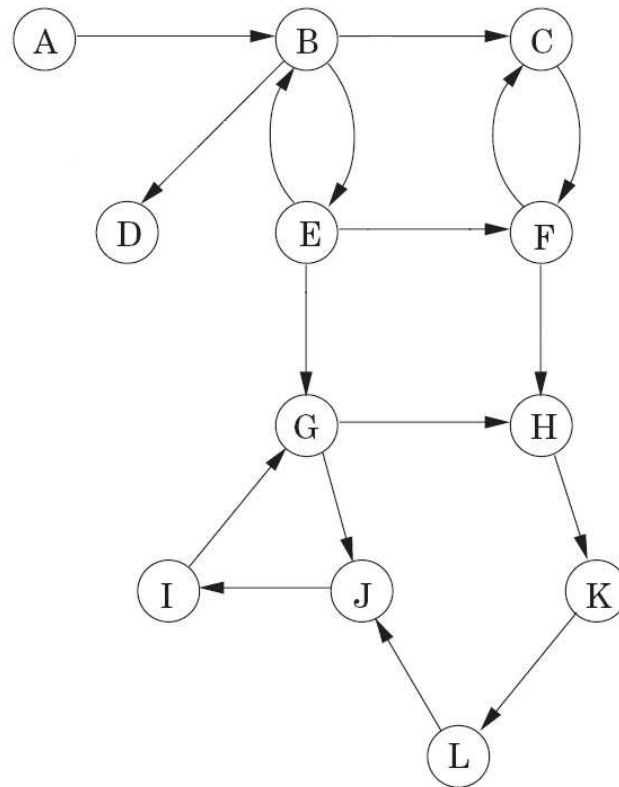
Strongly connected components and DAG

Strongly connected components and DAG

Strongly connected components and DAG

Conclusion

- Connected components in directed graph is less intuitive than that of undirected graph.
  - How many connected components are there in the graph below?



- How to compute SCCs from a directed graph?

# Strongly connected components and DAG

Introduction

Explore graphs

Topological sort

Strong connected components

Strongly connected components

Strongly connected components

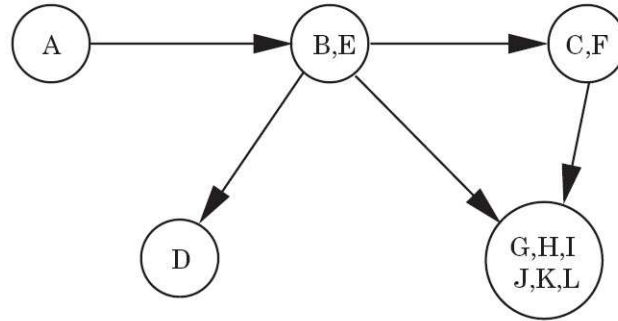
Strongly connected components and DAG

Strongly connected components and DAG

Strongly connected components and DAG

Conclusion

- **Observation 1:** If we collapse each SCC to a node, then we obtain a



**DAG!**

- **Observation 2:** If we pick a node in the *sink node* (of the DAG) and run DFS from that node, then we will reveal all vertices in the *sink node*.
- Our strategy to find all SCC:
  - Find a vertex  $u$  in DAG sink node (?)
  - Find all vertices that is reachable from  $u$  and mark all from same SCC (easy)
  - Remove all nodes in the SCC and repeat until no nodes left (?)

# Strongly connected components and DAG

Introduction

Explore graphs

Topological sort

Strong connected components

Strongly connected components

Strongly connected components

Strongly connected components and DAG

▷ Strongly connected components and DAG

Strongly connected components and DAG

Conclusion

- **Task:** Find a vertex  $u$  in DAG sink node
- **Fact:** It is easier to find a **DAG** source node.
  - A node with largest *post* number is DFS must be in a DAG source node
  - If  $C$  and  $C'$  are SSCs and there is an edge from  $C$  to  $C'$ , the largest *post* of  $C$  must be larger than that of  $C'$ .
    - ▷ *proof:*
    - ▷ Either start DFS from  $C$  or  $C'$ . If DFS starts from  $C'$  then all nodes in  $C'$  must finish before starting another DFS of  $C$ . If DFS starts in  $C$ , then the node that DSF starts from must have the largest post number.
- How to find a vertex  $u$  in DAG sink node?
  - Compute  $G^R$  whose vertices are the vertices of  $G$  and edges are the reverse of the edges of  $G$
  - Perform DFS on  $G^R$  and the node with the largest *post* number of a node in the DAG sink node

# Strongly connected components and DAG

Introduction

Explore graphs

Topological sort

Strong connected components

Strongly connected components

Strongly connected components

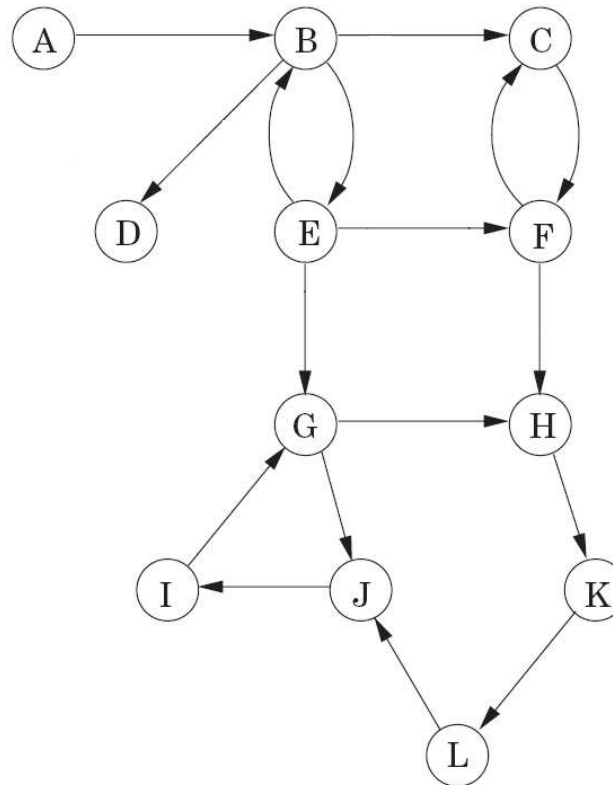
Strongly connected components and DAG

Strongly connected components and DAG

Strongly connected components and DAG

Conclusion

- **Task:** Remove all nodes from the previous SCC and identify a new sink node
  - This can be done by marking *post* number in the previous SCC to -1 and find the node with the largest *post* number.
- **Example:**





Introduction

---

Explore graphs

---

Topological sort

---

Strong connected  
components

---

▷ Conclusion

---

Summary

# Conclusion

# Summary

Introduction

Explore graphs

Topological sort

Strong connected  
components

Conclusion

▷ Summary

- ☐ Graphs can be very useful for many problems.
- ☐ DFS can be used for
  - Explore the graph
  - Reveal relationship between the graph nodes and types of edges
  - Linearization for DAG
  - Identify cycles, connected components, strongly connected components