## Lam M. Nguyen

□ LamNguyen.MLTD@gmail.com · www.lamnguyen.org
 (Updated on February 2, 2023)

#### **EDUCATION**

2014 - 2018	Ph.D., Department of Industrial and Systems Engineering, Lehigh University, Bethlehem, PA  Thesis advisors: Katya Scheinberg, Martin Takac, and Alexander L. Stolyar  Thesis title: A Service System with On-Demand Agents, Stochastic Gradient Algorithms
	and the SARAH Algorithm
	Elizabeth V. Stout Dissertation Award
	Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control
2011 - 2013	M.B.A., College of Business, McNeese State University, Lake Charles, LA Beta Gamma Sigma (Academic Honor)
2004 - 2008	<b>B.S.</b> , Applied Mathematics and Computer Science, Faculty of Computational Mathematics and Cybernetics, <i>Lomonosov Moscow State University</i> , Moscow, Russia Thesis advisor: <i>Vladimir I. Dmitriev</i> Thesis title: Methods for Detecting Hidden Period in Some Economics Processes

#### RESEARCH EXPERIENCE

Research areas: Optimization, Machine Learning, Reinforcement Learning, Time Series  04/2021 - 06/2022	06/2022 - Present	<b>Staff Research Scientist</b> , <i>IBM Research</i> , <i>Thomas J. Watson Research Center</i> , Yorktown Heights, NY
town Heights, NY Research areas: Optimization, Machine Learning, Reinforcement Learning  09/2020 – Present  Principal Investigator, MIT-IBM Watson AI Lab, Cambridge, MA Research areas: Reinforcement Learning, Adversarial Robustness  10/2018 – 03/2021  Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI  05/2018 – 08/2018  Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning  08/2017 – 05/2018  Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  06/2017 – 08/2017  Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  09/2014 – 05/2017  Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control  01/2012 – 12/2013  Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		Research areas: Optimization, Machine Learning, Reinforcement Learning, Time Series
09/2020 - Present Principal Investigator, MIT-IBM Watson AI Lab, Cambridge, MA Research areas: Reinforcement Learning, Adversarial Robustness  10/2018 - 03/2021 Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI  05/2018 - 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning  08/2017 - 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  06/2017 - 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control  01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA	04/2021 - 06/2022	
Research areas: Reinforcement Learning, Adversarial Robustness  10/2018 - 03/2021  Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI  05/2018 - 08/2018  Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning  Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning  09/2014 - 05/2017  Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control  01/2012 - 12/2013  Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		Research areas: Optimization, Machine Learning, Reinforcement Learning
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01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		
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	01/2012 - 12/2013	

# EDITORSHIP / PROGRAM COMMITTEE / ORGANIZING COMMITTEE EDITORSHIP (PEER-REVIEWED JOURNALS)

06/2022 – Present Action Editor, Journal of Machine Learning Research

06/2021 - Present	Action Editor, Machine Learning
01/2022 – Present	Associate Editor, IEEE Transactions on Neural Networks and Learning Systems
06/2022 - Present	Associate Editor, Journal of Optimization Theory and Applications
01/2022 - 12/2022	Action Editor, Neural Networks
	AREA CHAIR / META-REVIEWER/ SENIOR PROGRAM COMMITTEE (PEER-REVIEWED CONFERENCES)
2020 - 2023	Area Chair, International Conference on Machine Learning (ICML)
2022	Area Chair, Conference on Neural Information Processing Systems (NeurIPS)
2021 - 2023	Area Chair, International Conference on Learning Representations (ICLR)
2021 - 2023	Area Chair, International Conference on Artificial Intelligence and Statistics (AISTATS)
2022 - 2023	Area Chair, Conference on Uncertainty in Artificial Intelligence (UAI)
2023	Area Chair, Conference on Computer Vision and Pattern Recognition (CVPR)
2022	Senior Program Committee, AAAI Conference on Artificial Intelligence (AAAI)
	ORGANIZING COMMITTEE
2023	General Chair & Program Chair, When Machine Learning meets Dynamical Systems: Theory and Applications, AAAI 2023 Workshop
2021	<b>Program Chair</b> , New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021), NeurIPS 2021 Workshop
	REVIEWER (PROPOSALS)
2022	Reviewer & Panelist, Grant proposals, National Science Foundation (NSF)
2022	Evaluation Member, Grant proposals, AI Singapore (AISG) Research Programme
2021	Reviewer, Workshop proposals, NeurIPS 2021 Workshops
GRANT EXPE	PRIENCE
01/2023 - 12/2025	Principal Investigator, "Safe Learning for Time Series Problems: Data, Structure and Optimization", MIT-IBM Watson AI Lab Foundational Project, \$750K IBM PI: Lam M. Nguyen, Subhro Das MIT PI: Luca Daniel, Alexandre Megretski MIT Student: Wang Zhang
01/2022 - 12/2022	<b>Principal Investigator</b> , "Safe AI Certification", MIT-IBM Watson AI Lab Project, \$150K
	IBM PI: <b>Lam M. Nguyen</b> , Subhro Das MIT PI: Alexandre Megretski, Luca Daniel MIT Student: Wang Zhang
01/2021 - 12/2021	Principal Investigator, "Safety Structures, Certification, and Training for AI in the Feedback Loop", MIT-IBM Watson AI Lab Exploratory Project, \$150K.  IBM PI: Lam M. Nguyen, Subhro Das, Tsui-Wei Weng MIT PI: Alexandre Megretski, Luca Daniel MIT Student: Wang Zhang
09/2020 - 09/2021	Co-Principal Investigator, "Hierarchical Disentangled Representations for Scalable Multi-agent Reinforcement Learning", MIT-IBM Watson AI Lab Exploratory Project,

## JOURNAL & PEER-REVIEWED CONFERENCE PAPERS

MIT Student: Vindula Jayawardana

IBM PI: Tsui-Wei Weng, Lam M. Nguyen

[33] Label-free Concept Bottleneck Models.

MIT PI: Cathy Wu

100K.

Tuomas Oikarinen, Subhro Das, Lam M. Nguyen, Tsui-Wei Weng.

The 11th International Conference on Learning Representations (ICLR 2023), 2023

[32]Optimal Control via Linearizable Deep Learning. Vinicius Lima, Dzung T. Phan, Lam M. Nguyen, Jayant R. Kalagnanam The 2023 American Control Conference (ACC 2023), 2023 [31] Nesterov Accelerated Shuffling Gradient Method for Convex Optimization. Trang H. Tran, Katya Scheinberg, Lam M. Nguyen The 39th International Conference on Machine Learning (ICML 2022), 2022 (21.9% acceptance rate) [30] Finite-Sum Smooth Optimization with SARAH. Lam M. Nguyen, Marten van Dijk, Dzung T. Phan, Phuong Ha Nguyen, Tsui-Wei Weng, Jayant R. Kalagnanam Computational Optimization and Applications (COAP), 2022 AI-based Real-time Site-wide Optimization for Process Manufacturing. [29]Jayant Kalagnanam, Dzung Phan, Pavankumar Murali, Lam M. Nguyen, Nianjun Zhou, Dharmashankar Subramanian, Raju Pavuluri, Xiang Ma, Crystal Lui, Giovane Cesar da Silva INFORMS Journal on Applied Analytics (IJAA), 2022 StepDIRECT - A Derivative-Free Optimization Method for Stepwise Functions. [28]Dzung Phan, Hongsheng Liu, Lam M. Nguyen SIAM International Conference on Data Mining (SDM22), 2022 (27.8% acceptance [27]Besting the Black-Box: Barrier Zones for Adversarial Example Defense. Kaleel Mahmood, Phuong Ha Nguyen, Lam M. Nguyen, Thanh Nguyen, Marten van Dijk IEEE Access, 2022 [26]Interpretable Clustering via Multi-Polytope Machines. Connor Lawless, Jayant Kalagnanam, Lam M. Nguyen, Dzung Phan, Chandra Reddy The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), 2022 (15% acceptance rate) [25]FedDR - Randomized Douglas-Rachford Splitting Algorithms for Nonconvex Federated Composite Optimization. Quoc Tran-Dinh, Nhan Pham, Dzung T. Phan, Lam M. Nguyen The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) Ensembling Graph Predictions for AMR Parsing. [24]Thanh Lam Hoang, Gabriele Picco, Yufang Hou, Young-Suk Lee, Lam M. Nguyen, Dzung T. Phan, Vanessa López, Ramon Fernandez Astudillo The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) [23] On the Equivalence between Neural Network and Support Vector Machine. Yilan Chen, Wei Huang, Lam M. Nguyen, Tsui-Wei Weng The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) [22]A Unified Convergence Analysis for Shuffling-Type Gradient Methods. Lam M. Nguyen, Quoc Tran-Dinh, Dzung T. Phan, Phuong Ha Nguyen, Marten van Journal of Machine Learning Research (JMLR), volume 22, 1-43, 2021 [21]SMG: A Shuffling Gradient-Based Method with Momentum. Trang H. Tran, Lam M. Nguyen, Quoc Tran-Dinh The 38th International Conference on Machine Learning (ICML 2021), PMLR 139, 2021 (21.47% acceptance rate)

Dzung T. Phan, **Lam M. Nguyen**, Pavankumar Murali, Nhan H. Pham, Hongsheng Liu, Jayant R. Kalagnanam *The 2021 American Control Conference (ACC 2021)*, 2021

Regression Optimization for System-level Production Control.

[20]

[19] Hogwild! over Distributed Local Data Sets with Linearly Increasing Mini-Batch Sizes. Nhuong V. Nguyen, Toan N. Nguyen, Phuong Ha Nguyen, Quoc Tran-Dinh, Lam M. Nguyen, Marten van Dijk The 24th International Conference on Artificial Intelligence and Statistics (AISTATS **2021**), 2021 (29.8% acceptance rate) [18] A Hybrid Stochastic Optimization Framework for Stochastic Composite Nonconvex Optimization. Quoc Tran-Dinh, Nhan H. Pham, Dzung T. Phan, Lam M. Nguyen Mathematical Programming (MAPR), 2021 [17]Hybrid Variance-Reduced SGD Algorithms for Nonconvex-Concave Minimax Problems. Quoc Tran-Dinh, Deyi Liu, Lam M. Nguyen The 34th Conference on Neural Information Processing Systems (NeurIPS 2020), 2020 (20.1% acceptance rate) [16]A Scalable MIP-based Method for Learning Optimal Multivariate Decision Trees. Haoran Zhu, Pavankumar Murali, Dzung T. Phan, Lam M. Nguyen, Jayant R. Kalagnanam The 34th Conference on Neural Information Processing Systems (NeurIPS 2020), 2020 (20.1% acceptance rate) [15]Inexact SARAH Algorithm for Stochastic Optimization. Lam M. Nguyen, Katya Scheinberg, Martin Takac Optimization Methods and Software (GOMS), volume 36(1), 237-258, 2020 [14] Pruning Deep Neural Networks with L0-constrained Optimization. Dzung T. Phan, Lam M. Nguyen, Nam H. Nguyen, Jayant R. Kalagnanam The 20th IEEE International Conference on Data Mining (ICDM 2020), 2020 (19.7% acceptance rate) [13]Stochastic Gauss-Newton Algorithms for Nonconvex Compositional Optimization. Quoc Tran-Dinh, Nhan H. Pham, Lam M. Nguyen The 37th International Conference on Machine Learning (ICML 2020), PMLR 119, 2020 (21.8% acceptance rate) [12]ProxSARAH: An Efficient Algorithmic Framework for Stochastic Composite Nonconvex Optimization. Nhan H. Pham, Lam M. Nguyen, Dzung T. Phan, Quoc Tran-Dinh Journal of Machine Learning Research (JMLR), volume 21(110), 1-48, 2020 IBM 2020 Pat Goldberg Memorial Best Paper Competition - Finalist A Hybrid Stochastic Policy Gradient Algorithm for Reinforcement Learning. [11] Nhan H. Pham, Lam M. Nguyen, Dzung T. Phan, Phuong Ha Nguyen, Marten van Dijk, Quoc Tran-Dinh The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS **2020**), PMLR 108, 2020 [10] New Convergence Aspects of Stochastic Gradient Algorithms. Lam M. Nguyen, Phuong Ha Nguyen, Peter Richtarik, Katya Scheinberg, Martin Takac, Marten van Dijk Journal of Machine Learning Research (JMLR), volume 20(176), 1-49, 2019 [9] Tight Dimension Independent Lower Bound on the Expected Convergence Rate for Diminishing Step Sizes in SGD. Phuong Ha Nguyen, Lam M. Nguyen, Marten van Dijk The 33th Conference on Neural Information Processing Systems (NeurIPS 2019), 2019 (21.17% acceptance rate) [8] PROVEN: Verifying Robustness of Neural Networks with a Probabilistic Approach. Tsui-Wei Weng, Pin-Yu Chen\*, Lam M. Nguyen\*, Mark S. Squillante\*, Akhilan Boopathy, Ivan Oseledets, Luca Daniel The 36th International Conference on Machine Learning (ICML 2019), PMLR 97, 2019 (22.5% acceptance rate)

[7] Characterization of Convex Objective Functions and Optimal Expected Convergence Rates for SGD.

Marten van Dijk, Lam M. Nguyen, Phuong Ha Nguyen, Dzung T. Phan

The 36th International Conference on Machine Learning (ICML 2019), PMLR 97, 2019 (22.5% acceptance rate)

[6] ChieF: A Change Pattern based Interpretable Failure Analyzer.

Dhaval Patel, **Lam M. Nguyen**, Akshay Rangamani, Shrey Shrivastava, Jayant Kalagnanam

2018 IEEE International Conference on Big Data (IEEE BigData 2018), 2018

SGD and Hogwild! Convergence Without the Bounded Gradients Assumption.

**Lam M. Nguyen**, Phuong Ha Nguyen, Marten van Dijk, Peter Richtarik, Katya Scheinberg, Martin Takac

The 35th International Conference on Machine Learning (ICML 2018), PMLR 80, 2018 (25% acceptance rate)

IBM Research AI – Selected Publications 2018

[4] SARAH: A Novel Method for Machine Learning Problems Using Stochastic Recursive Gradient.

Lam M. Nguyen, Jie Liu, Katya Scheinberg, Martin Takac

The 34th International Conference on Machine Learning (ICML 2017), PMLR 70:2613-2621, 2017 (25% acceptance rate)

Van Hoesen Family Best Publication Award

[3] A Queueing System with On-demand Servers: Local Stability of Fluid Limits.

Lam M. Nguyen, Alexander L. Stolyar

Queueing Systems (QUESTA), 1-26, Springer, 2017

[2] A Service System with Randomly Behaving On-demand Agents.

Lam M. Nguyen, Alexander L. Stolyar

The 42nd International Conference on Measurement and Modeling of Computer Systems (SIGMETRICS 2016), ACM SIGMETRICS Performance Evaluation Review, 44(1):365-366, 2016 (25% acceptance rate)

[1] CEO Compensation: Does Financial Crisis Matter?

Prasad Vemala, **Lam Nguyen**, Dung Nguyen, Alekhya Kommasani

International Business Research, 7(4):125-131, 2014

#### PEER-REVIEWED WORKSHOP PAPERS

[5]

[6] c-MBA: Adversarial Attack for Cooperative MARL Using Learned Dynamics Model.

Nhan H. Pham, **Lam M. Nguyen**, Jie Chen, Hoang Thanh Lam, Subhro Das, Tsui-Wei Weng

The 36th Conference on Neural Information Processing Systems (NeurIPS 2022), ML Safety, 2022

[5] Fast Convergence for Unstable Reinforcement Learning Problems by Logarithmic Mapping

Wang Zhang, **Lam M. Nguyen**, Subhro Das, Alexandre Megretski, Luca Daniel, Tsui-Wei Weng

The 39th International Conference on Machine Learning (ICML 2022), Decision Awareness in Reinforcement Learning, 2022

[4] Robust Randomized Smoothing via Two Cost-Effective Approaches.

Linbo Liu, Trong Nghia Hoang, Lam M. Nguyen, Tsui-Wei Weng

The 10th International Conference on Learning Representations (ICLR 2022), PAIR2Struct: Privacy, Accountability, Interpretability, Robustness, Reasoning on Structured Data, 2022

[3] Addressing Solution Quality in Data Generated Optimization Models.

Orit Davidovich, Parikshit Ram, Segev Wasserkrug, Dharmashankar Subramanian, Nianjun Zhou, Dzung Phan, Pavankumar Murali, **Lam M. Nguyen** 

The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), AI for Decision Optimization, AI4DO, 2022

[2] Automated Decision Optimization: Data and Knowledge Driven Optimization Model Generation with Human-in-the-loop. Lisa Amini, Arunima Chaudhary, Yishai Feldman, Pavankumar Murali, Lam M. Nguyen, Dzung Phan, Aviad Sela, Carolina Spina, Dharmashankar Subramanian, Abel Valente, Long Vu, Dakuo Wang, Segev Wasserkrug, Ritesh Yadav, Nianjun Zhou The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), AI for Decision Optimization, AI4DO, 2022 [1] Ensuring the Quality of Optimization Solutions in Data Generated Optimization Models. Segev Wasserkrug, Orit Davidovith, Evgeny Shindin, Dharmashankar Subramanian, Parikshit Ram, Pavankumar Murali, Dzung Phan, Nianjun Zhou, Lam M. Nguyen The 30th International Joint Conference on Artificial Intelligence (IJCAI 2021), Data Science Meets Optimisation, DSO@IJCAI2021, 2021 **PREPRINTS** [14] Generalizing DP-SGD with Shuffling and Batching Clipping. Marten van Dijk, Phuong Ha Nguyen, Toan N. Nguyen, Lam M. Nguyen Technical report, arXiv preprint, 2022 [13] Finding Optimal Policy for Queueing Models: New Parameterization. Trang H. Tran, Lam M. Nguyen, Katya Scheinberg Technical report, arXiv preprint, 2022 On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms. [12]Lam M. Nguyen\*, Trang H. Tran\* Technical report, arXiv preprint, 2022 [11] Finite-Sum Optimization: A New Perspective for Convergence to a Global Solution. Lam M. Nguyen\*, Trang H. Tran\*, Marten van Dijk Technical report, arXiv preprint, 2022 [10] On Unbalanced Optimal Transport: Gradient Methods, Sparsity and Approximation Error. Quang Minh Nguyen, Hoang H. Nguyen, Yi Zhou, Lam M. Nguyen Technical report, arXiv preprint, 2022 [9] Evaluating Robustness of Cooperative MARL: A Model-based Approach. Nhan H. Pham, Lam M. Nguyen, Jie Chen, Hoang Thanh Lam, Subhro Das, Tsui-Wei Weng Technical report, arXiv preprint, 2022 [8] Differential Private Hogwild! over Distributed Local Data Sets. Marten van Dijk, Nhuong V. Nguyen, Toan N. Nguyen, Lam M. Nguyen, Phuong Ha Nguven Technical report, arXiv preprint, 2021 [7] An Optimal Hybrid Variance-Reduced Algorithm for Stochastic Composite Nonconvex Optimization. Devi Liu, Lam M. Nguyen, Quoc Tran-Dinh Technical report, arXiv preprint, 2020 [6] Asynchronous Federated Learning with Reduced Number of Rounds and with Differential Privacy from Less Aggregated Gaussian Noise. Marten van Dijk, Nhuong V. Nguyen, Toan N. Nguyen, Lam M. Nguyen, Quoc Tran-Dinh, Phuong Ha Nguyen

Technical report, arXiv preprint, 2020

Technical report, arXiv preprint, 2020

Finite-Time Analysis of Stochastic Gradient Descent under Markov Randomness.

Thinh T. Doan, Lam M. Nguyen, Nhan H. Pham, Justin Romberg

[5]

6/13

[4]Convergence Rates of Accelerated Markov Gradient Descent with Applications in Reinforcement Learning. Thinh T. Doan, Lam M. Nguyen, Nhan H. Pham, Justin Romberg Technical report, arXiv preprint, 2020 [3] Hybrid Stochastic Gradient Descent Algorithms for Stochastic Nonconvex Optimization. Quoc Tran-Dinh, Nhan H. Pham, Dzung T. Phan, Lam M. Nguyen Technical report, arXiv preprint, 2019 [2] When Does Stochastic Gradient Algorithm Work Well? Lam M. Nguyen, Nam H. Nguyen, Dzung T. Phan, Jayant R. Kalagnanam, Katya Scheinberg Technical report, arXiv preprint, 2018 [1] Stochastic Recursive Gradient Algorithm for Nonconvex Optimization

Stochastic Recursive Gradient Algorithm for Nonconvex Optimization **Lam M. Nguyen**, Jie Liu, Katya Scheinberg, Martin Takac Technical report, arXiv preprint, 2017

#### **GRANTED PATENTS**

[22]

[1] <u>Prediction Optimization for System-level Production Control.</u> Patent 11099529 Dzung T. Phan, **Lam M. Nguyen**, Pavankumar Murali, Jayant R. Kalagnanam

#### PATENTS APPLICATIONS

September 21, 2022

TATENTS ATTENDATIONS		
[31]	Time Series Forecasting Using Multivariate Time Series Data with Missing Values. Filed on January 28, 2023  Lam M. Nguyen, Trang H. Tran, Kyong Min Yeo, Nam H. Nguyen, Dzung Tien Phan, Roman Vaculin, Jayant R. Kalagnanam	
[30]	Multivariable Time-Series Feature Extraction. Filed on January 27, 2023	
	Lam M. Nguyen, Wang Zhang, Subhro Das, Alexandre Megretski, Luca Daniel	
[29]	Privacy Enhanced Machine Learning over Graph Data. Filed on January 23, 2023 Ambrish Rawat, Naoise Holohan, Heiko H. Ludwig, Ehsan Degan, Nathalie Baracaldo Angel, Alan Jonathan King, Swanand Ravindra Kadhe, Yi Zhou, Keith Coleman Houck, Mark Purcell, Giulio Zizzo, Nir Drucker, Hayim Shaul, Eyal Kushnir, Lam M. Nguyen	
[28]	Unsupervised Learning from Public Tabular Datasets. Filed on December 15, 2022	
	Thanh Lam Hoang, Gabriele Picco, Lam M. Nguyen, Dzung Tien Phan	
[27]	Providing Trained Reinforcement Learning Systems. Filed on December 12, 2022	
	Lam M. Nguyen, Wang Zhang, Subhro Das, Alexandre Megretski, Luca Daniel	
[26]	Active Learning in Model Training. Filed on November 22, 2022	
	Dzung Tien Phan, Huozhi Zhou, <b>Lam M. Nguyen</b> , Chandrasekhara K. Reddy, Jayant R. Kalagnanam	
[25]	Automated Decision Optimization for Maintenance of Physical Assets. Filed on October 31, 2022 Nianjun Zhou, Pavankumar Murali, Dzung T. Phan, Lam M. Nguyen	
[24]	Adversarial Attacks for Improving Cooperative Multi-Agent Reinforcement Learning Systems. Filed on September 23, 2022  Nhan Huu Pham, Lam M. Nguyen, Jie Chen, Thanh Lam Hoang, Subhro Das	
[23]	Training Neural Networks with Convergence to a Global Minimum. Filed on September 23, 2022  Lam M. Nguyen	

Intelligent Dynamic Condition-based Infrastructure Maintenance Scheduling. Filed on

Pavankumar Murali, Dzung Tien Phan, Nianjun Zhou, Lam M. Nguyen

Dzung Tien Phan, Lam M. Nguyen Certification-based Robust Training by Refining Decision Boundary. Filed on September [20] 19, 2022 Lam M. Nguyen, Wang Zhang, Subhro Das, Pin-Yu Chen, Alexandre Megretski, Luca Daniel [19] Training A Neural Network Using an Accelerated Gradient with Shuffling. Filed on July 14, 2022 Lam M. Nguyen, Trang H. Tran [18] System and Method for unsupervised Learning of Semantic Graph from textual data and language generation from Semantic grapH via Reinforcement learning. Filed on July 11, 2022 Thanh Lam Hoang, Dzung Tien Phan, Gabriele Picco, Lam M. Nguyen, Marco Luca Sbodio, Vanessa Lopez Garcia [17]Integrated Machine Learning Prediction and Optimization for Decision-Making. Filed on March 30, 2022 Dzung T. Phan, Long Vu, Lam M. Nguyen, Dharmashankar Subramanian [16] Interpretable Clustering via Multi-Polytope Machines. Filed on February 18, 2022 Dzung T. Phan, Connor Lawless, Jayant R. Kalagnanam, Lam M. Nguyen, Chandrasekhara K. Reddy Blending Graph Predictions. Filed on February 08, 2022 [15]Thanh Lam Hoang, Gabriele Picco, Yufang Hou, Young-Suk Lee, Lam M. Nguyen, Dzung Tien Phan, Vanessa Lopez Garcia, Ramon Fernandez Astudillo Optimal Control of Dynamic Systems via Linearizable Deep Learning. Filed on February [14]Dung Tien Phan, Jayant R. Kalagnanam, Lam M. Nguyen [13]Federated Learning for Training Machine Learning Models. Filed on December 21, 2021 Lam M. Nguyen, Dung Tien Phan, Jayant R. Kalagnanam [12]Boosting Classification and Regression Tree Performance with Dimension Reduction. Filed on December 14, 2021 Dzung T. Phan, Michael Huang, Pavankumar Murali, Lam M. Nguyen [11] Optimizer Agnostic Explanation System for Large Scale Schedules. Filed on November 23, 2021 Surya Shravan Kumar Sajja, Kanthi Sarpatwar, Lam M. Nguyen, Yuan Yuan Jia, Stephane Michel, Roman Vaculin [10] Reasonable Language Model Learning for Text Generation from a Knowledge Graph. Filed on November 02, 2021 Hoang Thanh Lam, Dzung T. Phan, Gabriele Picco, Lam M. Nguyen, Vanessa Lopez Garcia [9] Multi-Polytope Machine for Classification. Filed on September 30, 2021 Dzung T. Phan, Lam M. Nguyen, Jayant R. Kalagnanam, Chandrasekhara K. Reddy, Srideepika Jayaraman [8] Site-Wide Optimization for Mixed Regression Models and Mixed Control Variables. Filed on May 25, 2021 Dung Tien Phan, Nhan H. Pham, Lam M. Nguyen [7] A Shuffling-Type Gradient Method for Training Machine Learning models with Big Data. Filed on December 01, 2020 Lam M. Nguyen, Dung Tien Phan

Machine Learning-based Decision Framework for Physical Systems. Filed on September

[21]

[6] Site-wide Operations Management Optimization for Manufacturing and Processing Control. Filed on August 20, 2020 Dung Tien Phan, Lam M. Nguyen, Pavankumar Murali, and Hongsheng Liu [5] System-level Control using Tree-based Regression with Outlier Removal. Filed on August Dung Tien Phan, Pavankumar Murali, Lam M. Nguyen [4]A Method for Tuning Hyper-Parameters for Classification. Filed on July 27, 2020 Dung Tien Phan, Hongsheng Liu, Lam M. Nguyen [3] A Method and System for Automated Generation of Optimization Model for System-Wide Plant Optimization. Filed on July 24, 2020 Dung Tien Phan, Lam M. Nguyen, Pavankumar Murali, Nianjun Zhou [2] Optimal Interpretable Decision Trees using Integer Linear Programming Techniques. Filed on February 20, 2020 Pavankumar Murali, Haoran Zhu, Dung Tien Phan, Lam M. Nguyen [1]

Compression of Deep Neural Networks. Filed on March 13, 2019. US Patent Application

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Dzung T. Phan, Lam M. Nguyen, Nam H. Nguyen, Jayant R. Kalagnanam

#### THESES

2018 A Service System with On-Demand Agents, Stochastic Gradient Algorithms and the

> SARAH Algorithm. Lam M. Nguyen

PhD dissertation, Lehigh University, Bethlehem, PA

Elizabeth V. Stout Dissertation Award

2008 Methods for Detecting Hidden Period in Some Economics Processes.

Lam M. Nguyen

Undergraduate thesis, Lomonosov Moscow State University, Moscow, Russia

#### ORGANIZING WORKSHOPS

[2] When Machine Learning meets Dynamical Systems: Theory and Applications. Lam M. Nguyen, Trang H. Tran, Wang Zhang, Subhro Das, Tsui-Wei Weng Workshop at The 37th Conference on Artificial Intelligence (AAAI 2023), 2023

[1] New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and

Data Ownership.

Nghia Hoang\*, Lam M. Nguyen\*, Pin-Yu Chen, Tsui-Wei Weng, Sara Magliacane,

Bryan Kian Hsiang Low, Anoop Deoras

Workshop at The 35th Conference on Neural Information Processing Systems (NeurIPS

**2021**), 2021

#### INVITED TALKS

11/2018

10/2022New Perspective On The Convergence To A Global Solution Of Finite-sum Optimization. INFORMS Annual Meeting, Indianapolis, IN 09/2022Nesterov Accelerated Shuffling Gradient Method for Convex Optimization. Johns Hopkins University, Baltimore, MD 10/2021Hogwild! Over Distributed Local Data Sets With Linearly Increasing Mini-batch Sizes. INFORMS Annual Meeting, Anaheim, CA A Unified Convergence Analysis for Shuffling-Type Gradient Methods. 11/2020 INFORMS Annual Meeting, Virtual Conference 10/2019 Finite-Sum Smooth Optimization with SARAH. INFORMS Annual Meeting, Seattle, WA

Inexact SARAH for Solving Stochastic Optimization Problems.

INFORMS Annual Meeting, Phoenix, AZ

08/2018	In exact SARAH for Solving Stochastic Optimization Problems. DIMACS/TRIPODS/MOPTA, Bethlehem, PA
03/2018	When does stochastic gradient algorithm work well? INFORMS Optimization Society Conference, Denver, CO
10/2017	SARAH: Stochastic Recursive Gradient Algorithm.  INFORMS Annual Meeting, Houston, TX
08/2017	SARAH Algorithm.  IBM Thomas J. Watson Research Center, Yorktown Heights, NY
11/2016	A Queueing System with On-demand Servers: Local Stability of Fluid Limits. $INFORMS\ Annual\ Meeting,$ Nashville, TN
08/2016	A Queueing System with On-demand Servers: Local Stability of Fluid Limits.  Modeling and Optimization: Theory and Applications, Bethlehem, PA

## PROFESSIONAL ACTIVITIES

TA /	T 7	TT.	TD
11/1	$\mathbf{E}$	ЛΒ	$\mathbf{E}\mathbf{R}$

	WEWE
06/2020 – Present	Editorial Board, Journal of Machine Learning Research
06/2021 - Present	Editorial Board, Machine Learning
01/2022 – Present	Editorial Board, IEEE Transactions on Neural Networks and Learning Systems
06/2022 - Present	Editorial Board, Journal of Optimization Theory and Applications
01/2022 - 12/2022	Editorial Board, Neural Networks
2023	<b>Program Committee</b> , "When Machine Learning meets Dynamical Systems: Theory and Applications (MLmDS 2023)", AAAI 2023 Workshop
2021	<b>Program Committee</b> , "New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021)", NeurIPS 2021 Workshop
2020	<b>Program Committee</b> , "Optimization for Machine Learning (OPT 2020)", NeurIPS 2020 Workshop
2018	<b>Program Committee</b> , "Modern Trends in Nonconvex Optimization for Machine Learning", ICML 2018 Workshop
	REVIEWER / PROGRAM COMMITTEE (PEER-REVIEWED CONFERENCES)
2017 - 2019	International Conference on Machine Learning (ICML)
2017 - 2021	Conference on Neural Information Processing Systems (NIPS/NeurIPS)
2018 - 2020	International Conference on Learning Representations (ICLR)
2019 - 2020	International Conference on Artificial Intelligence and Statistics (AISTATS)
2021-2022	Conference on Learning Theory (COLT)
2019 - 2021	AAAI Conference on Artificial Intelligence (AAAI)
2020	International Joint Conferences on Artificial Intelligence (IJCAI)
2019 - 2022	IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)
2019 - 2021	IEEE International Conference on Computer Vision (ICCV)
2020	European Conference on Computer Vision (ECCV)
2019 - 2021	Conference on Uncertainty in Artificial Intelligence (UAI)
	REVIEWER (PEER-REVIEWED JOURNALS)
2018 - 2022	Journal of Machine Learning Research
2020 - 2022	Mathematical Programming
2020 - 2021	SIAM Journal on Optimization
2021	SIAM Journal on Numerical Analysis
2020 - 2021	IEEE Transactions on Neural Networks and Learning Systems
2019 - 2020	IEEE Transactions on Signal Processing
2019	Artificial Intelligence

2018	Optimization Methods and Software
2020	SIAM Journal on Mathematics of Data Science
	SESSION CHAIR / ORGANIZER (CONFERENCES)
	International Conference on Machine Learning (ICML)
2022	- Sessions " $\mathit{OPT: Non-Convex}$ " and " $\mathit{Optimization/Reinforcement Learning}$ "
2021	- Sessions "Optimization (Stochastic)" and "Optimization (Nonconvex)"
	International Conference on Learning Representations (ICLR)
2021	- Session "Oral Session 6"
	International Conference on Artificial Intelligence and Statistics (AISTATS)
2021	- Session "Theory and Practice of Machine Learning"
	INFORMS Annual Meeting
2022	- Session "Optimization for Machine Learning"
2021	- Session "Recent Advances in Stochastic Gradient Algorithms"
2020	- Session "Recent Advances in Stochastic Gradient Algorithms for Machine Learning"
2019	- Session "Fast and Provable Nonconvex Optimization Algorithms in Machine Learning"
2018	- Session "Recent Advances in Optimization Methods for Machine Learning"
	DIMACS/TRIPODS/MOPTA
2018	- Sessions "Sparse Optimization" and "Stochastic Gradient Descent"
	IBM ACTIVITIES
01/2022 – Present	Champion, International Conference on Machine Learning (ICML)
11/2021 – Present	Member, Invention Development Team (IDT)
07/2021 - Present	Champion, Professional Interest Community (PIC) - Learning
2022	Member, Research AI Pillar Accomplishment Committee
2022	Reviewer, 2021 Pat Goldberg Memorial Best Paper Competition
2020	Reviewer, IBM Ph.D. Fellowships
	SOCIETY MEMBERSHIPS
2022 – Present	Association for the Advancement of Artificial Intelligence (AAAI)
2016 – Present	Society for Industrial and Applied Mathematics (SIAM)
2014 – Present	The Institute for Operations Research and the Management Sciences (INFORMS)
2014 – Present	Beta Gamma Sigma (The International Business Honor Society)
MENTORSHIP	
	PH.D. STUDENTS
03/2021 - Present	Wang Zhang, Ph.D. student, Department of Mechanical Engineering, Massachusetts
00/2021 11000110	Institute of Technology (co-advise with Prof. Luca Daniel).
10/2019 - Present	<b>Trang H. Tran</b> , Ph.D. student, School of Operations Research and Information Engineering, <i>Cornell University</i> (co-advise with Prof. Katya Scheinberg).
08/2018 - 12/2021	<b>Nhan H. Pham</b> , Ph.D. student, Department of Statistics and Operations Research, University of North Carolina at Chapel Hill (co-advise with Prof. Quoc Tran-Dinh). Now at <i>IBM Research</i> , USA.
	IBM RESEARCH INTERNS
06/2023 - 09/2023	<b>Quang M. Nguyen</b> , Ph.D. student, Department of Electrical Engineering and Computer Science, <i>Massachusetts Institute of Technology</i> .
06/2022 - 09/2022	<b>Tuomas Oikarinen</b> , Ph.D. student, Department of Computer Science and Engineering, University of California San Diego.
05/2022 - 08/2022	$\begin{tabular}{ll} \bf Vinicius\ Lima\ Silva,\ Ph.D.\ student,\ Department\ of\ Electrical\ and\ Systems\ Engineering,\ University\ of\ Pennsylvania. \end{tabular}$

05/2023 - 08/2023, Trang H. Tran, Ph.D. student, School of Operations Research and Information Engi-05/2022 - 08/2022neering, Cornell University. Connor Lawless, Ph.D. student, School of Operations Research and Information 05/2021 - 08/2021Engineering, Cornell University. 05/2021 - 08/2021Huozhi Zhou, Ph.D. student, Department of Electrical and Computer Engineering, University of Illinois Urbana-Champaign. 05/2021 - 08/2021Nathanael Assefa, Ph.D. student, Department of Computer Science, University of Illinois Urbana-Champaign. 06/2020 - 09/2020Michael Huang, Ph.D. student, Department of Data Science and Operations, Marshall School of Business, University of Southern California. 06/2020 - 08/2020Nhan H. Pham, Ph.D. student, Department of Statistics and Operations Research, University of North Carolina at Chapel Hill (student of Prof. Quoc Tran-Dinh) (IBM Research Intern). Now at IBM Research, USA. Hongsheng Liu, Ph.D. student, Department of Statistics and Operations Research, 05/2019 - 12/2019University of North Carolina at Chapel Hill. Now at Huawei Technologies Co., Ltd., China. 01/2019 - 08/2019Haoran Zhu, Ph.D. student, Department of Industrial and Systems Engineering, University of Wisconsin - Madison. Now at Microsoft, USA. MIT-IBM PROJECTS Wang Zhang, Ph.D. student, Department of Mechanical Engineering, Massachusetts 03/2021 - Present Institute of Technology (student of Prof. Luca Daniel). 09/2020 - 09/2021Vindula Jayawardana, Ph.D. student, Department of Electrical Engineering and Computer Science, Massachusetts Institute of Technology (student of Prof. Cathy Wu). MIT SUPERUROP UNDERGRADUATE RESEARCH PROGRAM 06/2022 - 05/2023Angelos Assos, Undergraduate student, Computer Science and Mathematics, Massachusetts Institute of Technology (co-advise with Prof. Luca Daniel). EXTERNAL STUDENTS 10/2021 - 12/2022Linbo Liu, Ph.D. student, Department of Mathematics, University of California San 06/2021 - PresentQuang M. Nguyen, Ph.D. student, Department of Electrical Engineering and Computer Science, Massachusetts Institute of Technology. 06/2021 - PresentHoang H. Nguyen, Ph.D. student, H. Milton Stewart School of Industrial and Systems Engineering, Georgia Institute of Technology. 03/2021 - Present Yilan Chen, Ph.D. student, Department of Computer Science and Engineering, University of California San Diego (student of Prof. Tsui-Wei Weng). 01/2019 - 12/2022Toan N. Nguyen, Ph.D. student, Department of Computer Science and Engineering, University of Connecticut (student of Prof. Marten van Dijk). 01/2019 - 11/2021Nhuong V. Nguyen, Ph.D. student, Department of Computer Science and Engineering, University of Connecticut (student of Prof. Marten van Dijk).

#### PH.D. THESIS COMMITTEE MEMBERSHIP

- 10/2021 Present **Trang H. Tran**, Ph.D. student, School of Operations Research and Information Engineering, Cornell University (student of Prof. Katya Scheinberg).
- 09/2020 06/2022 **Deyi Liu**, Ph.D. student, Department of Statistics and Operations Research, *University* of North Carolina at Chapel Hill (student of Prof. Quoc Tran-Dinh). Now at Bytedance, USA.

## OTHER WORK EXPERIENCE

09/2014 - 05/2015	<b>Teaching Assistant</b> , <i>Lehigh University</i> , Bethlehem, PA Courses: Engineering Probability (ISE 111), Applied Engineering Statistics (ISE 121)
05/2013 - 08/2013	<b>Graduate Assistant (Web Developer)</b> , College of Business, <i>McNeese State University</i> , Lake Charles, LA
01/2012 - 12/2013	Graduate (Teaching) Assistant, McNeese State University, Lake Charles, LA Courses: Human Resource Management (MGMT 310), Staffing (MGMT 315), Strategic Management (MGMT 481), Management Theory and Organizational Behavior (MGMT 604), Issues in Global Business (BADM 218), Entrepreneurial Finance for Small Business (FIN 308)
09/2008 - 08/2009	Software Engineer, FPT Software Company, Ho Chi Minh City, Vietnam
09/2007 - 05/2008	<b>Teaching Assistant</b> , Lomonosov Moscow State University, Moscow, Russia Courses: Mathematical Analysis (Calculus), Linear Algebra and Analytic Geometry

### IBM RESEARCH ACCOMPLISHMENTS

2022	Federated Learning Security and Privacy (O-level)
2022	Dynamic Approaches for Machine Learning (A-level)
2022	Regression Optimization for Heavy Processing Industries (A-level)
2022	Combinatorial Sparsity for AI (A-level)
2021	Stochastic Gradient Methods: Theory and Applications (A-level)
2019	SROM: Smarter Resource & Operations Management (A-level)

## HONORS & AWARDS

IBM 8th Plateau Invention Achievement Award
IBM Master Inventor
IBM 7th Plateau Invention Achievement Award
IBM 6th Plateau Invention Achievement Award
IBM Outstanding Technical Achievement Award
IBM 5th Plateau Invention Achievement Award
IBM 4th Plateau Invention Achievement Award
IBM 3rd Plateau Invention Achievement Award
IBM 2nd Plateau Invention Achievement Award
IBM Research Division Award
IBM Outstanding Technical Achievement Award
IBM 1st Plateau Invention Achievement Award
NeurIPS 2019 Top Reviewers
Elizabeth V. Stout Dissertation Award, Lehigh University, Bethlehem, PA
Van Hoesen Family Best Publication Award, Lehigh University, Bethlehem, PA
Dean's Doctoral Fellowship (RCEAS), Lehigh University, Bethlehem, PA
Dean's Doctoral Assistantship, Lehigh University, Bethlehem, PA
Beta Gamma Sigma (Academic Honor Society)