Lam M. Nguyen

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EDUCATION

2014 - 2018	Ph.D., Department of Industrial and Systems Engineering, Lehigh University, Bethlehem, PA Thesis advisors: Katya Scheinberg, Martin Takac, and Alexander L. Stolyar Thesis title: A Service System with On-Demand Agents, Stochastic Gradient Algorithms
	and the SARAH Algorithm
	Elizabeth V. Stout Dissertation Award
	Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control
2011 - 2013	M.B.A., College of Business, McNeese State University, Lake Charles, LA Beta Gamma Sigma (Academic Honor)
2004 - 2008	B.S. , Applied Mathematics and Computer Science, Faculty of Computational Mathematics and Cybernetics, <i>Lomonosov Moscow State University</i> , Moscow, Russia Thesis advisor: <i>Vladimir I. Dmitriev</i> Thesis title: Methods for Detecting Hidden Period in Some Economics Processes

RESEARCH EXPERIENCE

Research areas: Optimization, Machine Learning, Reinforcement Learning, Time Series 04/2021 - 06/2022	06/2022 - Present	Staff Research Scientist , <i>IBM Research</i> , <i>Thomas J. Watson Research Center</i> , Yorktown Heights, NY
town Heights, NY Research areas: Optimization, Machine Learning, Reinforcement Learning 09/2020 – Present Principal Investigator, MIT-IBM Watson AI Lab, Cambridge, MA Research areas: Reinforcement Learning, Adversarial Robustness 10/2018 – 03/2021 Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI 05/2018 – 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning 08/2017 – 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 06/2017 – 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 – 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 – 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		Research areas: Optimization, Machine Learning, Reinforcement Learning, Time Series
09/2020 - Present Principal Investigator, MIT-IBM Watson AI Lab, Cambridge, MA Research areas: Reinforcement Learning, Adversarial Robustness 10/2018 - 03/2021 Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI 05/2018 - 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning 08/2017 - 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 06/2017 - 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA	04/2021 - 06/2022	
Research areas: Reinforcement Learning, Adversarial Robustness 10/2018 - 03/2021 Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI 05/2018 - 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		Research areas: Optimization, Machine Learning, Reinforcement Learning
10/2018 - 03/2021 Research Scientist, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI 05/2018 - 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning 08/2017 - 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 06/2017 - 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA	09/2020 - Present	• • • • • • • • • • • • • • • • • • • •
Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning, AI Solutions, Explainable AI 05/2018 - 08/2018 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning, Reinforcement Learning 08/2017 - 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 06/2017 - 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		Research areas: Reinforcement Learning, Adversarial Robustness
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08/2017 - 05/2018 Research Co-op, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 06/2017 - 08/2017 Research Intern, IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Research areas: Optimization, Machine Learning, Deep Learning 09/2014 - 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA	05/2018 - 08/2018	
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09/2014 – 05/2017 Research Assistant, Lehigh University, Bethlehem, PA Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 – 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA	06/2017 - 08/2017	
Research areas: Optimization for Large Scale Problems, Machine Learning, Deep Learning, Stochastic Models, Optimal Control 01/2012 – 12/2013		Research areas: Optimization, Machine Learning, Deep Learning
ing, Stochastic Models, Optimal Control 01/2012 – 12/2013	09/2014 - 05/2017	Research Assistant, Lehigh University, Bethlehem, PA
01/2012 - 12/2013 Graduate (Research) Assistant, McNeese State University, Lake Charles, LA		
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	01/2012 - 12/2013	

EDITORSHIP / PROGRAM COMMITTEE / ORGANIZING COMMITTEE

EDITORSHIP (PEER-REVIEWED JOURNALS)

06/2022 – Present Action Editor, Journal of Machine Learning Research

06/2021 - PresentAction Editor, Machine Learning 01/2022 - Present Action Editor, Neural Networks 01/2022 - Present Associate Editor, IEEE Transactions on Neural Networks and Learning Systems Associate Editor, Journal of Optimization Theory and Applications 06/2022 - PresentAREA CHAIR / META-REVIEWER/ SENIOR PROGRAM COMMIT-TEE (PEER-REVIEWED CONFERENCES) 2020 - 2022Area Chair, International Conference on Machine Learning (ICML) 2022 Area Chair, Conference on Neural Information Processing Systems (NeurIPS) 2021 - 2022Area Chair, International Conference on Learning Representations (ICLR) 2021 - 2022Area Chair, International Conference on Artificial Intelligence and Statistics (AISTATS) 2022 Area Chair, Conference on Uncertainty in Artificial Intelligence (UAI) 2022 Senior Program Committee, AAAI Conference on Artificial Intelligence (AAAI) ORGANIZING COMMITTEE 2021 **Program Chair**, New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021), NeurIPS 2021 Workshop REVIEWER (PROPOSALS) 2022 Panelist, Grant proposals, National Science Foundation (NSF) 2022 Evaluation Member, Grant proposals, AI Singapore (AISG) Research Programme 2021 Reviewer, Workshop proposals, NeurIPS 2021 Workshops

GRANT EXPERIENCE

01/2022 - Present **Principal Investigator**, "Safe AI Certification", MIT-IBM Watson AI Lab Project.

IBM PI: Lam M. Nguyen, Subhro Das MIT PI: Alexandre Megretski, Luca Daniel

MIT Student: Wang Zhang

01/2021 - 12/2021 Principal Investigator, "Safety Structures, Certification, and Training for AI in the

Feedback Loop", MIT-IBM Watson AI Lab Exploratory Project, \$150K.

IBM PI: Lam M. Nguyen, Subhro Das, Tsui-Wei Weng

MIT PI: Alexandre Megretski, Luca Daniel

MIT Student: Wang Zhang

09/2020 - 09/2021 Co-Principal Investigator, "Hierarchical Disentangled Representations for Scalable

Multi-agent Reinforcement Learning", MIT-IBM Watson AI Lab Exploratory Project,

\$100K.

IBM PI: Tsui-Wei Weng, Lam M. Nguyen

MIT PI: Cathy Wu

MIT Student: Vindula Jayawardana

JOURNAL & PEER-REVIEWED CONFERENCE PAPERS

[31] Nesterov Accelerated Shuffling Gradient Method for Convex Optimization.

Trang H. Tran, Katya Scheinberg, Lam M. Nguyen

The 39th International Conference on Machine Learning (ICML 2022), 2022 (21.9%)

acceptance rate)

[30] Finite-Sum Smooth Optimization with SARAH.

Lam M. Nguyen, Marten van Dijk, Dzung T. Phan, Phuong Ha Nguyen, Tsui-Wei

Weng, Jayant R. Kalagnanam

Computational Optimization and Applications (COAP), 2022

[29] AI-based Real-time Site-wide Optimization for Process Manufacturing.

Jayant Kalagnanam, Dzung Phan, Pavankumar Murali, **Lam M. Nguyen**, Nianjun Zhou, Dharmashankar Subramanian, Raju Pavuluri, Xiang Ma, Crystal Lui, Giovane

Cesar da Silva

INFORMS Journal on Applied Analytics (IJAA), 2022

[27]Besting the Black-Box: Barrier Zones for Adversarial Example Defense. Kaleel Mahmood, Phuong Ha Nguyen, Lam M. Nguyen, Thanh Nguyen, Marten van IEEE Access, 2022 [26] Interpretable Clustering via Multi-Polytope Machines. Connor Lawless, Jayant Kalagnanam, Lam M. Nguyen, Dzung Phan, Chandra Reddy The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), 2022 (15% acceptance rate) [25]FedDR - Randomized Douglas-Rachford Splitting Algorithms for Nonconvex Federated Composite Optimization. Quoc Tran-Dinh, Nhan Pham, Dzung T. Phan, Lam M. Nguyen The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) Ensembling Graph Predictions for AMR Parsing. [24]Thanh Lam Hoang, Gabriele Picco, Yufang Hou, Young-Suk Lee, Lam M. Nguyen, Dzung T. Phan, Vanessa López, Ramon Fernandez Astudillo The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) [23] On the Equivalence between Neural Network and Support Vector Machine. Yilan Chen, Wei Huang, Lam M. Nguyen, Tsui-Wei Weng The 35th Conference on Neural Information Processing Systems (NeurIPS 2021), 2021 (26% acceptance rate) [22]A Unified Convergence Analysis for Shuffling-Type Gradient Methods. Lam M. Nguyen, Quoc Tran-Dinh, Dzung T. Phan, Phuong Ha Nguyen, Marten van Journal of Machine Learning Research (JMLR), volume 22, 1-43, 2021 [21] SMG: A Shuffling Gradient-Based Method with Momentum. Trang H. Tran, Lam M. Nguyen, Quoc Tran-Dinh The 38th International Conference on Machine Learning (ICML 2021), PMLR 139, 2021 (21.47% acceptance rate) [20] Regression Optimization for System-level Production Control. Dzung T. Phan, Lam M. Nguyen, Pavankumar Murali, Nhan H. Pham, Hongsheng Liu, Javant R. Kalagnanam The 2021 American Control Conference (ACC 2021), 2021 [19] Hogwild! over Distributed Local Data Sets with Linearly Increasing Mini-Batch Sizes. Nhuong V. Nguyen, Toan N. Nguyen, Phuong Ha Nguyen, Quoc Tran-Dinh, Lam M. Nguyen, Marten van Dijk The 24th International Conference on Artificial Intelligence and Statistics (AISTATS **2021**), 2021 (29.8% acceptance rate) [18] A Hybrid Stochastic Optimization Framework for Stochastic Composite Nonconvex Optimization. Quoc Tran-Dinh, Nhan H. Pham, Dzung T. Phan, Lam M. Nguyen Mathematical Programming (MAPR), 2021 [17]Hybrid Variance-Reduced SGD Algorithms for Nonconvex-Concave Minimax Problems. Quoc Tran-Dinh, Deyi Liu, Lam M. Nguyen The 34th Conference on Neural Information Processing Systems (NeurIPS 2020), 2020 (20.1% acceptance rate)

StepDIRECT - A Derivative-Free Optimization Method for Stepwise Functions.

SIAM International Conference on Data Mining (SDM22), 2022 (27.8% acceptance

Dzung Phan, Hongsheng Liu, Lam M. Nguyen

[28]

[16]A Scalable MIP-based Method for Learning Optimal Multivariate Decision Trees. Haoran Zhu, Pavankumar Murali, Dzung T. Phan, Lam M. Nguyen, Jayant R. Kalagnanam The 34th Conference on Neural Information Processing Systems (NeurIPS 2020), 2020 (20.1% acceptance rate) [15]Inexact SARAH Algorithm for Stochastic Optimization. Lam M. Nguyen, Katya Scheinberg, Martin Takac Optimization Methods and Software (GOMS), volume 36(1), 237-258, 2020 [14] Pruning Deep Neural Networks with L0-constrained Optimization. Dzung T. Phan, Lam M. Nguyen, Nam H. Nguyen, Jayant R. Kalagnanam The 20th IEEE International Conference on Data Mining (ICDM 2020), 2020 (19.7% acceptance rate) [13]Stochastic Gauss-Newton Algorithms for Nonconvex Compositional Optimization. Quoc Tran-Dinh, Nhan H. Pham, Lam M. Nguyen The 37th International Conference on Machine Learning (ICML 2020), PMLR 119, 2020 (21.8% acceptance rate) [12]ProxSARAH: An Efficient Algorithmic Framework for Stochastic Composite Nonconvex Optimization. Nhan H. Pham, Lam M. Nguyen, Dzung T. Phan, Quoc Tran-Dinh Journal of Machine Learning Research (JMLR), volume 21(110), 1-48, 2020 IBM 2020 Pat Goldberg Memorial Best Paper Competition - Finalist A Hybrid Stochastic Policy Gradient Algorithm for Reinforcement Learning. [11] Nhan H. Pham, Lam M. Nguyen, Dzung T. Phan, Phuong Ha Nguyen, Marten van Dijk, Quoc Tran-Dinh The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS **2020**), PMLR 108, 2020 [10] New Convergence Aspects of Stochastic Gradient Algorithms. Lam M. Nguyen, Phuong Ha Nguyen, Peter Richtarik, Katya Scheinberg, Martin Takac, Marten van Dijk Journal of Machine Learning Research (JMLR), volume 20(176), 1-49, 2019 [9] Tight Dimension Independent Lower Bound on the Expected Convergence Rate for Diminishing Step Sizes in SGD. Phuong Ha Nguyen, Lam M. Nguyen, Marten van Dijk The 33th Conference on Neural Information Processing Systems (NeurIPS 2019), 2019 (21.17% acceptance rate) [8] PROVEN: Verifying Robustness of Neural Networks with a Probabilistic Approach. Tsui-Wei Weng, Pin-Yu Chen*, Lam M. Nguyen*, Mark S. Squillante*, Akhilan Boopathy, Ivan Oseledets, Luca Daniel The 36th International Conference on Machine Learning (ICML 2019), PMLR 97, 2019 (22.5% acceptance rate) [7] Characterization of Convex Objective Functions and Optimal Expected Convergence Rates for SGD. Marten van Dijk, Lam M. Nguyen, Phuong Ha Nguyen, Dzung T. Phan The 36th International Conference on Machine Learning (ICML 2019), PMLR 97, 2019 (22.5% acceptance rate) [6] ChieF: A Change Pattern based Interpretable Failure Analyzer. Dhaval Patel, Lam M. Nguyen, Akshay Rangamani, Shrey Shrivastava, Jayant Kalagnanam 2018 IEEE International Conference on Big Data (IEEE BigData 2018), 2018 [5] SGD and Hogwild! Convergence Without the Bounded Gradients Assumption. Lam M. Nguyen, Phuong Ha Nguyen, Marten van Dijk, Peter Richtarik, Katya Scheinberg, Martin Takac The 35th International Conference on Machine Learning (ICML 2018), PMLR 80, 2018 (25% acceptance rate)

IBM Research AI - Selected Publications 2018

SARAH: A Novel Method for Machine Learning Problems Using Stochastic Recursive [4]Gradient.

Lam M. Nguyen, Jie Liu, Katya Scheinberg, Martin Takac

The 34th International Conference on Machine Learning (ICML 2017), PMLR 70:2613-2621, 2017 (25% acceptance rate)

Van Hoesen Family Best Publication Award

[3] A Queueing System with On-demand Servers: Local Stability of Fluid Limits.

Lam M. Nguyen, Alexander L. Stolyar

Queueing Systems (QUESTA), 1-26, Springer, 2017

[2] A Service System with Randomly Behaving On-demand Agents.

Lam M. Nguyen, Alexander L. Stolyar

The 42nd International Conference on Measurement and Modeling of Computer Systems (SIGMETRICS 2016), ACM SIGMETRICS Performance Evaluation Review, 44(1):365-366, 2016 (25% acceptance rate)

[1] CEO Compensation: Does Financial Crisis Matter?

Prasad Vemala, Lam Nguyen, Dung Nguyen, Alekhya Kommasani

International Business Research, 7(4):125-131, 2014

PEER-REVIEWED WORKSHOP PAPERS

[5] Fast Convergence for Unstable Reinforcement Learning Problems by Logarithmic Map-

> Wang Zhang, Lam M. Nguyen, Subhro Das, Alexandre Megretski, Luca Daniel, Tsui-Wei Weng

> The 39th International Conference on Machine Learning (ICML 2022), Decision Awareness in Reinforcement Learning, 2022

[4] Robust Randomized Smoothing via Two Cost-Effective Approaches.

Linbo Liu, Trong Nghia Hoang, Lam M. Nguyen, Tsui-Wei Weng

The 10th International Conference on Learning Representations (ICLR 2022), PAIR2Struct: Privacy, Accountability, Interpretability, Robustness, Reasoning on Structured Data, 2022

[3] Addressing Solution Quality in Data Generated Optimization Models.

> Orit Davidovich, Parikshit Ram, Segev Wasserkrug, Dharmashankar Subramanian, Nianjun Zhou, Dzung Phan, Pavankumar Murali, Lam M. Nguyen

> The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), AI for Decision

Optimization, AI4DO, 2022

[2] Automated Decision Optimization: Data and Knowledge Driven Optimization Model

Generation with Human-in-the-loop.

Lisa Amini, Arunima Chaudhary, Yishai Feldman, Pavankumar Murali, Lam M. Nguyen, Dzung Phan, Aviad Sela, Carolina Spina, Dharmashankar Subramanian, Abel Valente, Long Vu, Dakuo Wang, Segev Wasserkrug, Ritesh Yadav, Nianjun Zhou The 36th AAAI Conference on Artificial Intelligence (AAAI 2022), AI for Decision Optimization, AI4DO, 2022

[1] Ensuring the Quality of Optimization Solutions in Data Generated Optimization Models. Segev Wasserkrug, Orit Davidovith, Evgeny Shindin, Dharmashankar Subramanian, Parikshit Ram, Pavankumar Murali, Dzung Phan, Nianjun Zhou, Lam M. Nguyen The 30th International Joint Conference on Artificial Intelligence (IJCAI 2021), Data Science Meets Optimisation, DSO@IJCAI2021, 2021

PREPRINTS

[13]Finding Optimal Policy for Queueing Models: New Parameterization.

Trang H. Tran, Lam M. Nguyen, Katya Scheinberg

Technical report, arXiv preprint, 2022

Lam M. Nguyen*, Trang H. Tran* Technical report, arXiv preprint, 2022 [11]Finite-Sum Optimization: A New Perspective for Convergence to a Global Solution. Lam M. Nguyen*, Trang H. Tran*, Marten van Dijk Technical report, arXiv preprint, 2022 On Unbalanced Optimal Transport: Gradient Methods, Sparsity and Approximation [10]Error. Quang Minh Nguyen, Hoang H. Nguyen, Yi Zhou, Lam M. Nguyen Technical report, arXiv preprint, 2022 [9] Evaluating Robustness of Cooperative MARL: A Model-based Approach. Nhan H. Pham, Lam M. Nguyen, Jie Chen, Hoang Thanh Lam, Subhro Das, Tsui-Wei Technical report, arXiv preprint, 2022 [8] Differential Private Hogwild! over Distributed Local Data Sets. Marten van Dijk, Nhuong V. Nguyen, Toan N. Nguyen, Lam M. Nguyen, Phuong Ha Nguyen Technical report, arXiv preprint, 2021 [7] An Optimal Hybrid Variance-Reduced Algorithm for Stochastic Composite Nonconvex Optimization. Deyi Liu, Lam M. Nguyen, Quoc Tran-Dinh Technical report, arXiv preprint, 2020 [6] Asynchronous Federated Learning with Reduced Number of Rounds and with Differential Privacy from Less Aggregated Gaussian Noise. Marten van Dijk, Nhuong V. Nguyen, Toan N. Nguyen, Lam M. Nguyen, Quoc Tran-Dinh, Phuong Ha Nguyen Technical report, arXiv preprint, 2020 [5] Finite-Time Analysis of Stochastic Gradient Descent under Markov Randomness. Thinh T. Doan, Lam M. Nguyen, Nhan H. Pham, Justin Romberg Technical report, arXiv preprint, 2020 [4] Convergence Rates of Accelerated Markov Gradient Descent with Applications in Reinforcement Learning. Thinh T. Doan, Lam M. Nguyen, Nhan H. Pham, Justin Romberg Technical report, arXiv preprint, 2020 [3] Hybrid Stochastic Gradient Descent Algorithms for Stochastic Nonconvex Optimization. Quoc Tran-Dinh, Nhan H. Pham, Dzung T. Phan, Lam M. Nguyen Technical report, arXiv preprint, 2019 [2] When Does Stochastic Gradient Algorithm Work Well? Lam M. Nguyen, Nam H. Nguyen, Dzung T. Phan, Jayant R. Kalagnanam, Katya Scheinberg Technical report, arXiv preprint, 2018 [1] Stochastic Recursive Gradient Algorithm for Nonconvex Optimization Lam M. Nguyen, Jie Liu, Katya Scheinberg, Martin Takac Technical report, arXiv preprint, 2017 GRANTED PATENTS

On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms.

[12]

[1] Prediction Optimization for System-level Production Control. Patent 11099529

Dzung T. Phan, Lam M. Nguyen, Pavankumar Murali, Jayant R. Kalagnanam

PATENTS APPLICATIONS

[19]	Training A Neural Network Using an Accelerated Gradient with Shuffling. Filed on July 14, 2022 Lam M. Nguyen, Trang H. Tran
[18]	System and Method for unsupervised Learning of Semantic Graph from textual data and language generation from Semantic grapH via Reinforcement learning. Filed on July 11, 2022 Thanh Lam Hoang, Dzung Tien Phan, Gabriele Picco, Lam M. Nguyen, Marco Luca Sbodio, Vanessa Lopez Garcia
[17]	Integrated Machine Learning Prediction and Optimization for Decision-Making. Filed on March 30, 2022 Dzung T. Phan, Long Vu, Lam M. Nguyen, Dharmashankar Subramanian
[16]	Interpretable Clustering via Multi-Polytope Machines. Filed on February 18, 2022 Dzung T. Phan, Connor Lawless, Jayant R. Kalagnanam, Lam M. Nguyen, Chandrasekhara K. Reddy
[15]	Blending Graph Predictions. Filed on February 08, 2022 Thanh Lam Hoang, Gabriele Picco, Yufang Hou, Young-Suk Lee, Lam M. Nguyen, Dzung Tien Phan, Vanessa Lopez Garcia, Ramon Fernandez Astudillo
[14]	Optimal Control of Dynamic Systems via Linearizable Deep Learning. Filed on February 07, 2022 Dung Tien Phan, Jayant R. Kalagnanam, Lam M. Nguyen
[13]	Federated Learning for Training Machine Learning Models. Filed on December 21, 2021 Lam M. Nguyen, Dung Tien Phan, Jayant R. Kalagnanam
[12]	Boosting Classification and Regression Tree Performance with Dimension Reduction. Filed on December 14, 2021 Dzung T. Phan, Michael Huang, Pavankumar Murali, Lam M. Nguyen
[11]	Optimizer Agnostic Explanation System for Large Scale Schedules. Filed on November 23, 2021 Surya Shravan Kumar Sajja, Kanthi Sarpatwar, Lam M. Nguyen, Yuan Yuan Jia, Stephane Michel, Roman Vaculin
[10]	Reasonable Language Model Learning for Text Generation from a Knowledge Graph. Filed on November 02, 2021 Hoang Thanh Lam, Dzung T. Phan, Gabriele Picco, Lam M. Nguyen, Vanessa Lopez Garcia
[9]	Multi-Polytope Machine for Classification. Filed on September 30, 2021 Dzung T. Phan, Lam M. Nguyen, Jayant R. Kalagnanam, Chandrasekhara K. Reddy, Srideepika Jayaraman
[8]	Site-Wide Optimization for Mixed Regression Models and Mixed Control Variables. Filed on May 25, 2021 Dung Tien Phan, Nhan H. Pham, Lam M. Nguyen
[7]	A Shuffling-Type Gradient Method for Training Machine Learning models with Big Data. Filed on December 01, 2020 Lam M. Nguyen, Dung Tien Phan
[6]	Site-wide Operations Management Optimization for Manufacturing and Processing Control. Filed on August 20, 2020 Dung Tien Phan, Lam M. Nguyen, Pavankumar Murali, and Hongsheng Liu
[5]	System-level Control using Tree-based Regression with Outlier Removal. Filed on August 20, 2020 Dung Tien Phan, Pavankumar Murali, Lam M. Nguyen

[4] A Method for Tuning Hyper-Parameters for Classification. Filed on July 27, 2020

Dung Tien Phan, Hongsheng Liu, Lam M. Nguyen

[3] A Method and System for Automated Generation of Optimization Model for System-Wide

Plant Optimization. Filed on July 24, 2020

Dung Tien Phan, Lam M. Nguyen, Pavankumar Murali, Nianjun Zhou

[2] Optimal Interpretable Decision Trees using Integer Linear Programming Techniques.

Filed on February 20, 2020

Pavankumar Murali, Haoran Zhu, Dung Tien Phan, Lam M. Nguyen

[1] Compression of Deep Neural Networks. Filed on March 13, 2019. US Patent Application

20200293876

Dzung T. Phan, Lam M. Nguyen, Nam H. Nguyen, Jayant R. Kalagnanam

THESES

2018 A Service System with On-Demand Agents, Stochastic Gradient Algorithms and the

SARAH Algorithm.

Lam M. Nguyen

PhD dissertation, Lehigh University, Bethlehem, PA

Elizabeth V. Stout Dissertation Award

2008 Methods for Detecting Hidden Period in Some Economics Processes.

Lam M. Nguyen

Undergraduate thesis, Lomonosov Moscow State University, Moscow, Russia

WORKSHOPS

[1] New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and

Data Ownership.

Nghia Hoang*, Lam M. Nguyen*, Pin-Yu Chen, Tsui-Wei Weng, Sara Magliacane,

Bryan Kian Hsiang Low, Anoop Deoras

Workshop at The 35th Conference on Neural Information Processing Systems (NeurIPS

2021), 2021

INVITED TALKS

10/2022 New Perspective On The Convergence To A Global Solution Of Finite-sum Optimization.

INFORMS Annual Meeting, Baltimore, MD

10/2021 Hogwild! Over Distributed Local Data Sets With Linearly Increasing Mini-batch Sizes.

INFORMS Annual Meeting, Anaheim, CA

11/2020 A Unified Convergence Analysis for Shuffling-Type Gradient Methods.

INFORMS Annual Meeting, Virtual Conference

10/2019 Finite-Sum Smooth Optimization with SARAH.

INFORMS Annual Meeting, Seattle, WA

11/2018 Inexact SARAH for Solving Stochastic Optimization Problems.

INFORMS Annual Meeting, Phoenix, AZ

08/2018 Inexact SARAH for Solving Stochastic Optimization Problems.

DIMACS/TRIPODS/MOPTA, Bethlehem, PA

03/2018 When does stochastic gradient algorithm work well?

INFORMS Optimization Society Conference, Denver, CO

10/2017 SARAH: Stochastic Recursive Gradient Algorithm.

INFORMS Annual Meeting, Houston, TX

08/2017 SARAH Algorithm.

IBM Thomas J. Watson Research Center, Yorktown Heights, NY

11/2016 A Queueing System with On-demand Servers: Local Stability of Fluid Limits.

INFORMS Annual Meeting, Nashville, TN

08/2016 A Queueing System with On-demand Servers: Local Stability of Fluid Limits.

Modeling and Optimization: Theory and Applications, Bethlehem, PA

2021

PROFESSIONAL ACTIVITIES	
	MEMBER
06/2020 - Present	Editorial Board, Journal of Machine Learning Research
06/2021 - Present	Editorial Board, Machine Learning
01/2022 - Present	Editorial Board, Neural Networks
01/2022 - Present	Editorial Board, IEEE Transactions on Neural Networks and Learning Systems
06/2022 - Present	Editorial Board, Journal of Optimization Theory and Applications
2021	Program Committee , "New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021)", NeurIPS 2021 Workshop
2020	Program Committee , "Optimization for Machine Learning (OPT 2020)", NeurIPS 2020 Workshop
2018	Program Committee , "Modern Trends in Nonconvex Optimization for Machine Learning", ICML 2018 Workshop
	REVIEWER / PROGRAM COMMITTEE (PEER-REVIEWED CONFERENCES)
2017 - 2019	International Conference on Machine Learning (ICML)
2017 - 2021	Conference on Neural Information Processing Systems (NIPS/NeurIPS)
2018 - 2020	International Conference on Learning Representations (ICLR)
2019 - 2020	International Conference on Artificial Intelligence and Statistics (AISTATS)
2021 - 2022	Conference on Learning Theory (COLT)
2019 - 2021	AAAI Conference on Artificial Intelligence (AAAI)
2020	International Joint Conferences on Artificial Intelligence (IJCAI)
2019 - 2022	IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)
2019 - 2021	IEEE International Conference on Computer Vision (ICCV)
2020	European Conference on Computer Vision (ECCV)
2019 - 2021	Conference on Uncertainty in Artificial Intelligence (UAI)
	REVIEWER (PEER-REVIEWED JOURNALS)
2018 - 2022	Journal of Machine Learning Research
2020 - 2022	Mathematical Programming
2020 - 2021	SIAM Journal on Optimization
2021	SIAM Journal on Numerical Analysis
2020 - 2021	IEEE Transactions on Neural Networks and Learning Systems
2019 - 2020	IEEE Transactions on Signal Processing
2019	Artificial Intelligence
2018	Optimization Methods and Software
2020	SIAM Journal on Mathematics of Data Science
2022	Transactions on Machine Learning Research
	SESSION CHAIR / ORGANIZER (CONFERENCES)
	International Conference on Machine Learning (ICML)
2022	- Sessions " $\mathit{OPT: Non-Convex}$ " and " $\mathit{Optimization/Reinforcement Learning}$ "
2021	- Sessions " $Optimization\ (Stochastic)$ " and " $Optimization\ (Nonconvex)$ "
	International Conference on Learning Representations (ICLR)
2024	

International Conference on Artificial Intelligence and Statistics (AISTATS)

- Session "Oral Session 6"

2021	- Session "Theory and Practice of Machine Learning" INFORMS Annual Meeting
2021	- Session "Recent Advances in Stochastic Gradient Algorithms"
2020	- Session "Recent Advances in Stochastic Gradient Algorithms for Machine Learning"
2019	- Session "Fast and Provable Nonconvex Optimization Algorithms in Machine Learning"
2018	- Session "Recent Advances in Optimization Methods for Machine Learning"
	DIMACS/TRIPODS/MOPTA
2018	- Sessions " $Sparse\ Optimization$ " and " $Stochastic\ Gradient\ Descent$ "
	IBM ACTIVITIES
01/2022 - Present	Champion, International Conference on Machine Learning (ICML)
11/2021 - Present	Member, Invention Development Team (IDT)
07/2021 – Present	Champion, Professional Interest Community (PIC) - Learning
2020	Reviewer, IBM Ph.D. Fellowships
	SOCIETY MEMBERSHIPS
2022 - Present	Association for the Advancement of Artificial Intelligence (AAAI)
2016 - Present	Society for Industrial and Applied Mathematics (SIAM)
2014 - Present	The Institute for Operations Research and the Management Sciences (INFORMS)
2014 - Present	Beta Gamma Sigma (The International Business Honor Society)
MENTORSHIE	
	PH.D. STUDENTS
03/2021 - Present	Wang Zhang, Ph.D. student, Department of Mechanical Engineering, Massachusetts Institute of Technology (co-advise with Prof. Luca Daniel).
10/2019 – Present	Trang H. Tran , Ph.D. student, School of Operations Research and Information Engineering, <i>Cornell University</i> (co-advise with Prof. Katya Scheinberg).
08/2018 - 12/2021	Nhan H. Pham , Ph.D. student, Department of Statistics and Operations Research, University of North Carolina at Chapel Hill (co-advise with Prof. Quoc Tran-Dinh). Now at <i>IBM Research</i> , USA.
	IBM RESEARCH INTERNS
06/2022 - 09/2022	Tuomas Oikarinen , Ph.D. student, Department of Computer Science and Engineering, University of California San Diego.
05/2022 - 08/2022	Trang H. Tran , Ph.D. student, School of Operations Research and Information Engineering, <i>Cornell University</i> .
05/2021 - 08/2021	Connor Lawless , Ph.D. student, School of Operations Research and Information Engineering, <i>Cornell University</i> .
05/2021 - 08/2021	Huozhi Zhou , Ph.D. student, Department of Electrical and Computer Engineering, <i>University of Illinois Urbana-Champaign</i> .
05/2021 - 08/2021	Nathanael Assefa, Ph.D. student, Department of Computer Science, <i>University of Illinois Urbana-Champaign</i> .
06/2020 - 09/2020	Michael Huang, Ph.D. student, Department of Data Science and Operations, Marshall School of Business, <i>University of Southern California</i> .
06/2020 - 08/2020	Nhan H. Pham , Ph.D. student, Department of Statistics and Operations Research, University of North Carolina at Chapel Hill (student of Prof. Quoc Tran-Dinh) (IBM Research Intern). Now at IBM Research, USA.
05/2019 - 12/2019	Hongsheng Liu , Ph.D. student, Department of Statistics and Operations Research, University of North Carolina at Chapel Hill. Now at Huawei Technologies Co., Ltd., China.

Haoran Zhu , Ph.D. student, Department of Industrial and Systems Engineering, $University$ of $Wisconsin$ – $Madison$.
MIT-IBM PROJECTS
Wang Zhang, Ph.D. student, Department of Mechanical Engineering, Massachusetts Institute of Technology (student of Prof. Luca Daniel).
Vindula Jayawardana, Ph.D. student, Department of Electrical Engineering and Computer Science, Massachusetts Institute of Technology (student of Prof. Cathy Wu).
EXTERNAL STUDENTS
Linbo Liu , Ph.D. student, Department of Mathematics, <i>University of California San Diego</i> .
Quang M. Nguyen , Ph.D. student, Department of Electrical Engineering and Computer Science, <i>Massachusetts Institute of Technology</i> .
Hoang H. Nguyen , Ph.D. student, H. Milton Stewart School of Industrial and Systems Engineering, <i>Georgia Institute of Technology</i> .
Yilan Chen , Ph.D. student, Department of Computer Science and Engineering, <i>University of California San Diego</i> (student of Prof. Tsui-Wei Weng).
Toan N. Nguyen , Ph.D. student, Department of Computer Science and Engineering, University of Connecticut (student of Prof. Marten van Dijk).
Nhuong V. Nguyen , Ph.D. student, Department of Computer Science and Engineering, University of Connecticut (student of Prof. Marten van Dijk).

PH.D. COMMITTEE MEMBERSHIP

10/2021 – Present	Trang H. Tran , Ph.D. student, School of Operations Research and Information Engineering, <i>Cornell University</i> (student of Prof. Katya Scheinberg).
09/2020 – Present	Deyi Liu , Ph.D. student, Department of Statistics and Operations Research, <i>University of North Carolina at Chapel Hill</i> (student of Prof. Quoc Tran-Dinh).

OTHER WORK EXPERIENCE

09/2014 - 05/2015	Teaching Assistant, Lehigh University, Bethlehem, PA Courses: Engineering Probability (ISE 111), Applied Engineering Statistics (ISE 121)
05/2013 - 08/2013	Graduate Assistant (Web Developer), College of Business, McNeese State University, Lake Charles, LA
01/2012 - 12/2013	Graduate (Teaching) Assistant, McNeese State University, Lake Charles, LA Courses: Human Resource Management (MGMT 310), Staffing (MGMT 315), Strategic Management (MGMT 481), Management Theory and Organizational Behavior (MGMT 604), Issues in Global Business (BADM 218), Entrepreneurial Finance for Small Business (FIN 308)
09/2008 - 08/2009	Software Engineer, FPT Software Company, Ho Chi Minh City, Vietnam
09/2007 - 05/2008	Teaching Assistant , Lomonosov Moscow State University, Moscow, Russia Courses: Mathematical Analysis (Calculus), Linear Algebra and Analytic Geometry

HONORS & AWARDS

2022	IBM Outstanding Technical Achievement Award
2022	IBM 5th Plateau Invention Achievement Award
2022	IBM 4th Plateau Invention Achievement Award
2021	${\it IBM Research Accomplishment on "Stochastic Gradient Methods: Theory and Applications"}$
2021	IBM 3rd Plateau Invention Achievement Award
2020	IBM 2nd Plateau Invention Achievement Award

2020	IBM Research Division Award
2020	IBM Outstanding Technical Achievement Award
2020	IBM 1st Plateau Invention Achievement Award
2019	IBM Research Accomplishment on "SROM: Smarter Resource & Operations Management"
2019	NeurIPS 2019 Top Reviewers
2019	Elizabeth V. Stout Dissertation Award, Lehigh University, Bethlehem, PA
2018	Van Hoesen Family Best Publication Award, Lehigh University, Bethlehem, PA
2016 - 2017	Dean's Doctoral Fellowship (RCEAS), Lehigh University, Bethlehem, PA
2014 - 2015	Dean's Doctoral Assistantship, Lehigh University, Bethlehem, PA
2014	Beta Gamma Sigma (Academic Honor Society)