## Exercise 1: Object encoding (10 points)

Consider the following classes written in Java:

```
class A extends Object {
   Object x;
   A(Object x) { super(); this.x = x; }
   Object foo(Object a) { return this.bar(a); }
   Object bar(Object b) { return b; }
   Object get() { return x; }
}

class B extends A {
   Object y;
   B(Object x, Object y) { super(x); this.y = y; }
   Object foo(Object a) { return y; }
   Object bar(Object b) { return super.foo(this.get()); }
}
```

During the lectures we have defined a mechanism to properly encode objects using simple typed lambda calculus extended with records, let and fix construct as well as unit value. Your task is to:

- 1. Translate classes A and B into such encoding. It is recommended that you use auxiliary names for types to make the encoding shorter.
- 2. Assuming the existence of values v, w and z having type Object what would be the result of new B(v, w).foo(z) and new B(v, w).bar(z) in your encoding? Please provide exact initial 3 reduction steps for the first expression.

*Note:* In the course you have seen that the naive encoding diverges during object creation. For the purpose of this exercise it is acceptable to use the call-by-need strategy to avoid divergence. You get 2 bonus points for a correct solution that encodes the objects under call-by-value without diverging.

## Exercise 2: Equivalences (12 points)

Several different equivalence relations can be defined on the terms of a language. The equivalence relations that we consider in this exercise are

- 1. structural equivalence wrt.  $\alpha$ -renaming, denoted  $\equiv$ ,
- 2. behavioral equivalence, denoted  $\cong$ , and
- 3. the smallest equivalence relation containing  $\beta$ -reduction, denoted  $\cong_{\beta}$ .

Since a relation is a set of pairs, the above relations are ordered as follows:  $\equiv \subset \cong_{\beta} \subset \cong$ In each part of this exercise you are given two terms in the call-by-value lambda-calculus, unless specified otherwise. Indicate the smallest equivalence relation (that is,  $\equiv$ ,  $\cong_{\beta}$ , or  $\cong$ ) that relates the two terms, or indicate with "NONE" that the two terms are not related wrt. any of the above relations.

Note that to test for behavioral equivalence a term can be put into an arbitrary evaluation context. In particular if the language contains more expression forms than just pure lambdaterms, the context is not restricted to applications!

- 1.  $\lambda x$ .  $\lambda y$ .  $\lambda z$ . x (y z) and  $\lambda f$ .  $\lambda g$ .  $\lambda x$ . f (g x)
- 2. In *Scheme*, consider the following terms:

```
(lambda x
   (lambda y
        (lambda z. (x (y z)))))
and
(lambda f
   (lambda g
        (lambda x. (f (g x)))))
```

*Hint:* Scheme, and Lisp in general, allows to treat programs as data.

- 3.  $\lambda y$ .  $\lambda x$ . y x and  $\lambda y$ . y
- 4. In the *untyped* call-by-value lambda-calculus with numbers and arithmetic expressions ( $\operatorname{succ} t$  etc.), consider the following terms:

```
\lambday. \lambdax. y x and \lambday. y

5. (twice f) x and (compose f f) x
where
twice = \lambda f. \lambda x. f (f x)
compose = \lambda f. \lambda g. \lambda x. f (g x)
```

6.  $(\lambda b. \lambda f. \lambda s. b. f. s) (\lambda x. \lambda y. x)$  and  $(\lambda b. \lambda f. \lambda s. b. s. f) (\lambda x. \lambda y. y)$ 

## Exercise 3: Checked Error Handling (10 points)

In this exercise we use the Simply-Typed Lambda Calculus (STLC) extended with rules for error handling. In this language, terms may reduce to a normal form error, which is *not* a value. In addition, we add the new term form try  $t_1$  with  $t_2$ , which allows handling errors that occur while evaluating  $t_1$ .

Here is a summary of the extensions to syntax and evaluation:

New evaluation rules:

(E-APPERR1) error 
$$t_2 \longrightarrow \text{error}$$
 (E-APPERR2)  $v_1 \text{ error} \longrightarrow \text{error}$  (E-TRYVALUE) try  $v_1$  with  $t_2 \longrightarrow v_1$  (E-TRYERROR) try error with  $t_2 \longrightarrow t_2$  (E-TRY) 
$$\frac{t_1 \longrightarrow t_1'}{\text{try } t_1 \text{ with } t_2 \longrightarrow \text{try } t_1' \text{ with } t_2}$$

(Note that these extensions are exactly those summarized in Figures 14-1 and 14-2 on pages 172 and 174 of the TAPL book. However, also note that we will use *different* type rules.)

The goal of this exercise is to define typing rules for STLC with the above extensions such that the following progress theorem holds:

If 
$$\emptyset$$
; false  $\vdash t : T$ , then either t is a value or else  $t \to t'$ .

The above theorem uses a typing judgment extended with a Boolean value E, written  $\Gamma$ ;  $E \vdash t : T$  where  $E \in \{\texttt{true}, \texttt{false}\}$ . The theorem says that a well-typed term that is closed (that is, it does not have free variables, which is expressed using  $\Gamma = \emptyset$ ) is either a value, or else it can be reduced as long as E = false.

Your task is to find out how the value of E can be used to distinguish the terms that may reduce to **error** from those terms that may never reduce to **error**. Note that **error** is a normal form, but it is not a value.

- 1. Specify typing rules of the form  $\Gamma$ ;  $E \vdash t : T$  for all term forms of STLC with the above extensions such that the above progress theorem holds.
- 2. Prove the above progress theorem using structural induction. (You can use the canonical forms lemma for STLC as seen in the lecture without proof.)

## Appendix: The simply-typed lambda calculus

$$v ::=$$
 values :  $\mid \lambda x : T. t \quad abstraction-value$ 

Evaluation rules:

$$\frac{t_1 \longrightarrow t_1'}{t_1 \ t_2 \longrightarrow t_1' \ t_2} \tag{E-App1}$$

$$\frac{t_2 \longrightarrow t_2'}{v_1 \ t_2 \longrightarrow v_1 \ t_2'} \tag{E-App2}$$

$$(\lambda x: T_1. t_1) v_2 \longrightarrow [x \to v_2] t_1$$
 (E-APPABS)

Typing rules:

$$\frac{x: T \in \Gamma}{\Gamma \vdash x: T} \tag{T-VAR}$$

$$\frac{\Gamma, \ x : \mathsf{T}_1 \vdash t_2 : \mathsf{T}_2}{\Gamma \vdash (\lambda \ x : \mathsf{T}_1 . \ t_2) : \mathsf{T}_1 \to \mathsf{T}_2}$$
 (T-Abs)

$$\frac{\Gamma \vdash t_1 : \mathsf{T}_1 \to \mathsf{T}_2 \quad \Gamma \vdash t_2 : \mathsf{T}_1}{\Gamma \vdash t_1 \ t_2 : \mathsf{T}_2} \tag{T-App)}$$