



Computer Science 604

Advanced Algorithms

Lecture 2: Computational Hardness —  
Review of NP-completeness

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## Computational Hardness

Showing that a computational problem likely does not have an efficient algorithm usually involves *modeling*, i.e., showing that problem has an “efficient” algorithm under some measure (which may not be practical).

In the case of NP-completeness, we first show that the problem in question can be solved via a *nondeterministic polynomial-time* algorithm, and hence is in NP.

*Nondeterministic polynomial-time* is a *model* of “efficient” computation that should not be confused with traditional (deterministic) algorithms.

The existence of a *nondeterministic polynomial-time* algorithms does not mean that there is not a better way to solve the problem.

## NP == Fast Witness Verification

We can model all problems in NP via deterministic algorithms that take **witnesses**.

Let  $A$  be an algorithm that takes two inputs  $(x,y)$ , where the length of  $y$  is bounded by a polynomial in the length of  $x$ . If the algorithm  $A$  runs in polynomial time, then the language  $L$  defined by

$$x \in L \Leftrightarrow \exists \text{ a } y \text{ s.t. } (x,y) \text{ is accepted by } A$$

is in NP. This is the *witness* characterization of NP.

This is equivalent to other

## Some Examples

Problem: Independent set (ISP)

Instance: A graph  $G = (V, E)$  and integer  $k$ .

Question: Is there an independent set in  $G$  of size  $k$ ?

**Claim:** ISP is in NP.

## Witness Characterization

What witness allows to conclude that  $G$  has an independent set of size  $k$ ?

How long does it take to verify this statement?

## Another Example

Problem: Traveling Salesman Problem (TSP)

Instance: A complete directed graph  $G = (V, E)$  with  $n$  vertices,  $V = \{1, 2, \dots, n\}$ , a function  $l$  that assigns a length,  $l_{i,j}$ , to each edge  $(i, j)$  and a real number  $L$ .

Question: Does  $G$  have a Hamiltonian tour of size  $\leq L$ ?

Try showing that this problem is in NP.

## Witness Characterization

What witness allows to conclude that  $G$  has a TSP tour of length  $\leq L$ ?

How long does it take to verify this statement?



# Formal Languages

- All of the concepts developed so far (P, NP, etc.) can be put into the more rigorous framework of formal languages and computational complexity through the notion of encoding.
- Briefly, given a decision problem  $\pi$ , let  $\Sigma_\pi$  be a finite alphabet (usually,  $\Sigma_\pi = \{0, 1\}$ ).
- A mapping  $e : D_\pi \longrightarrow (\Sigma_\pi)^*$  is called an encoding if it is *injective*, i.e., a 1-to-1 function.

## Formal Lang.

- Thus,  $e$  and  $\pi$  define a language:

$$L(\pi, e) = \{e(I) \in (\Sigma_\pi)^* | I \in Y_\pi\}$$

- In a strong, sense, the underlying theory identifies  $L(\pi, e)$  with  $\pi$ . To make the underlying theory work, it is required that the encoding be "*reasonable*", i.e., no useless padding, numbers are in unary, computable in polynomial-time, and its inverse should be computed in polynomial-time (decoding).
- Then, we can define  $\pi \in P$  or  $\pi \in NP$  if there is a reasonable encoding  $e$  such that  $L(\pi, e) \in P$  or  $L(\pi, e) \in NP$ .

# Structural Complexity

The great promise of structural complexity is that we can learn something *quantitative* about the complexity of *individual problems* by studying the relationships among various classes of problems. This promise relies on notions of **reducibility** and **completeness**.

## Reducibility

Let  $I_X$  and  $I_Y$  be sets of instances of the problems  $X$  and  $Y$ , respectively. A *reduction* from  $X$  to  $Y$  is a function

$$f : I_X \longrightarrow I_Y$$

such that

$$x \in X \Leftrightarrow f(x) \in Y.$$

# Reductions

A problem  $X$  is reducible to  $Y$  in polynomial-time (and we write  $X \leq_m^P Y$ ) if there is a reduction  $f$  from  $X$  to  $Y$  that is computable in polynomial-time.

## Examples

$$E = \{x \in N \mid x \text{ is even} \}$$

$$O = \{x \in N \mid x \text{ is odd} \}.$$

$$E \leq_m^P O \text{ via } f(x) = x + 1$$

$$O \leq_m^P E \text{ via } f(x) = x + 1$$

# Completeness

- A problem  $C \in NP$  is *NP-complete* if every problem  $L \in NP$  is reducible in polynomial-time to  $C$ , i.e.,

$$\forall L \in NP, L \leq_m^P C.$$

- Notice that
  - 1) If  $C$  is NP-complete and  $C \in P$ , then  $P = NP$
  - 2) If  $P \neq NP$ , then  $C$  is not computable in polynomial-time, i.e., every NP-complete language is not computable in polynomial-time.

## Important Properties

**Definition:** A class of languages  $\mathcal{C}$  is *closed under*  $\leq_m^p$  if  $L \leq_m^p K$  and  $K \in \mathcal{C}$  implies that  $L \in \mathcal{C}$ . In other words, a class  $\mathcal{C}$  is closed under  $\leq_m^p$  if any language that is reducible to a language in  $\mathcal{C}$  is also in  $\mathcal{C}$ .

**Property 1:** P and NP are closed under  $\leq_m^p$

**Proof:** Try this at home.

## Properties

**Property 2:** ( $\leq_m^p$  is transitive) If  $L_1 \leq_m^p L_2$  and  $L_2 \leq_m^p L_3$  then  $L_1 \leq_m^p L_3$ .

**Proof:** Try this at home.

## Prop. # 3

**Property 3:** If (i.)  $L, K \in \text{NP}$ , (ii.)  $L$  is NP-complete, and (iii.)  $L \leq_m^p K$ , then  $K$  is NP-Complete

**Proof:** (Easy) If  $L$  is NP-Complete then for every  $A \in \text{NP}$ ,  $A \leq_m^p L$ . Since  $L \leq_m^p K$  and  $\leq_m^p$  is transitive, it follows that  $A \leq_m^p K$ . Thus  $K$  is NP-complete. □