SISMID 2025 Spatial Statistics Waller Point Process 3: K functions Archaeology Dental Example

Lance A. Waller

7/15/2025

Point Processes: K function example

This code K function figures for early medieval gravesites.

Grave dental data from Richard Wright in Australia Emeritus Professor, School of Archaeology, University of Sydney NSW 2006, Australia

This code regenerates K function figures from Waller and Gotway (2004, Applied Spatial Statistics for Public Health Data)

** Note, this takes a few minutes to run...in several places I have the number of simulations (nsim) set to 500...if you reduce this number, it will run faster but your estimates will be noisier.**

First, set the library (splancs), set the working directory (set to your own), reading in the data, and read in the polygon boundary file. (see the Intensities Rmd to see how to create the polygon boundary from splancs).

here() starts at /Users/lwaller/Library/CloudStorage/OneDrive-Emory/meetings/SISMID.2025/lectures/Le library(splancs)

```
## Loading required package: sp
##
## Spatial Point Pattern Analysis Code in S-Plus
##
## Version 2 - Spatial and Space-Time analysis
```

######################

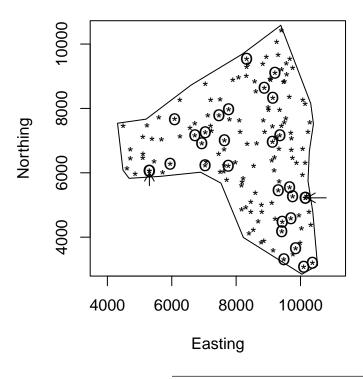
path = "/Users/lwaller/Library/CloudStorage/OneDrive-Emory/meetings/SISMID.2024/SISMID_2024_spatial_sta

```
# Read in data with south region removed
#dental <- scan(here("data", "dental.reduced.dat"), list(lab=0, aff=0, x=0, y=0))
dental <- scan(paste(path, "data/dental.reduced.dat", sep=""), list(lab=0, aff=0, x=0, y=0))
# read in previously defined polygon boundary
#mypoly <- read.table(here("data", "mypoly.dat"), col.names = c("x", "y"))
mypoly <- read.table(paste(path, "data/mypoly.dat", sep=""), col.names = c("x", "y"))</pre>
```

Now adjust the data, make a plot of the locations (adjusted to be in a square) and add arrows to indicate the two pairs of affected graves (two graves very close together, both of which contain remains with reduced or missing wisdom teeth).

```
# K function analysis comparing to CSR within a polygon.
# Using splancs functions
dental.p <- as.points(dental$x,dental$y)</pre>
dentcas.p <- as.points(dental\$x[dental\$aff==1],dental\$y[dental\$aff==1])</pre>
dentcon.p <- as.points(dental$x[dental$aff==0]),dental$y[dental$aff==0])</pre>
#####
# Plot points to see data set
#####
par(pty="s")
# dental$y has a bigger range so this tries to add half of the
# extra to each side
extra <- ( diff(range(dental$y))-diff(range(dental$x)) )/2</pre>
plot(dental.p,pch="*",xlim=c(min(dental$x)-extra,max(dental$x)+extra),
    ylim=range(dental$y),
    xlab="Easting",ylab="Northing")
title("Grave locations (*=grave, 0=affected)")
points(dentcas.p,pch="0")
## Interactively define polygon
#mypoly <- getpoly()</pre>
##save this polygon
#write.table(mypoly,paste(path, "mypoly.dat",sep=""))
polygon(mypoly)
# Add arrows to double locations
arrows(5305,6000,5305,5600,code=1,length=0.1)
arrows(10200,5222,10800,5222,code=1,length=0.1)
```

Grave locations (*=grave, O=affected)



Next, we'll set things up to compare the gravesite locations to complete spatial randomness (CSR) within a bounding rectangle... not the right thing to do since the graves occur only in the irregular polygon, but a point of comparison for proper analysis.

To do this, + We calculate the K (L) function from the data for a fixed set of distances.

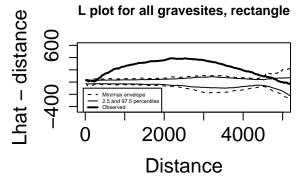
- We generate complete spatial randomness (here, in the rectangle) for each simulation, calculate the K (L) function for the same distances.
- We find the quantiles for K(h) (L(h)) at each distance.
- We plot the K (L) function for the data, add lines corresponding to the 95th and 5th percentile (the "envelopes"). These represent reasonable variability around the null value of L(h) = 0 for all distances h.
- When the L function is outside the high quantile we have evidence of clustering, when L is below the low quantile, we have evidence of regularity (for those distances).

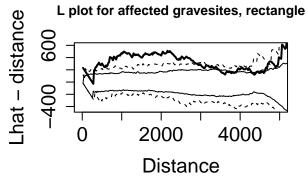
```
Kmat <- matrix(0,nsim,length(dists))</pre>
Kmat.cas <- matrix(0,nsim,length(dists))</pre>
Kmat.con <- matrix(0,nsim,length(dists))</pre>
Khat.dent <- khat(dental.p,dent.poly,dists)</pre>
Lhat.dent <- sqrt(Khat.dent/pi)</pre>
Khat.cas <- khat(dentcas.p,dent.poly,dists)</pre>
Lhat.cas <- sqrt(Khat.cas/pi)</pre>
Khat.con <- khat(dentcon.p,dent.poly,dists)</pre>
Lhat.con <- sqrt(Khat.con/pi)</pre>
maxLplot <- max(Lhat.dent - dists)</pre>
minLplot <- min(Lhat.dent - dists)</pre>
maxLplot.cas <- max(Lhat.cas - dists)</pre>
minLplot.cas <- min(Lhat.cas - dists)</pre>
maxLplot.con <- max(Lhat.con - dists)</pre>
minLplot.con <- min(Lhat.con - dists)</pre>
for (sim in 1:nsim) {
  simlocs <- csr(as.points(mypoly),length(dental.p[,1]))</pre>
  Kmat[sim,] <- khat(simlocs,as.points(mypoly),dists)</pre>
  simlocs.cas <- csr(as.points(mypoly),length(dentcas.p[,1]))</pre>
  Kmat.cas[sim,] <- khat(simlocs.cas,as.points(mypoly),dists)</pre>
  simlocs.con <- csr(as.points(mypoly),length(dentcon.p[,1]))</pre>
  Kmat.con[sim,] <- khat(simlocs.con,as.points(mypoly),dists)</pre>
  }
Kenv.up <- apply(Kmat,2,max)</pre>
Kenv.lo <- apply(Kmat,2,min)</pre>
Kenv.cas.up <- apply(Kmat.cas,2,max)</pre>
Kenv.cas.lo <- apply(Kmat.cas,2,min)</pre>
Kenv.con.up <- apply(Kmat.con,2,max)</pre>
Kenv.con.lo <- apply(Kmat.con,2,min)</pre>
Kenv.975 <- rep(0,length(dists))</pre>
Kenv.025 <- rep(0,length(dists))</pre>
Kenv.cas.975 <- rep(0,length(dists))</pre>
Kenv.cas.025 <- rep(0,length(dists))</pre>
Kenv.con.975 <- rep(0,length(dists))</pre>
Kenv.con.025 <- rep(0,length(dists))</pre>
for (i in 1:length(dists)){
   Kenv.975[i] <- quantile(Kmat[,i],prob=0.975)</pre>
   Kenv.025[i] <- quantile(Kmat[,i],prob=0.025)</pre>
   Kenv.cas.975[i] <- quantile(Kmat.cas[,i],prob=0.975)</pre>
   Kenv.cas.025[i] <- quantile(Kmat.cas[,i],prob=0.025)</pre>
   Kenv.con.975[i] <- quantile(Kmat.con[,i],prob=0.975)</pre>
   Kenv.con.025[i] <- quantile(Kmat.con[,i],prob=0.025)</pre>
}
Lenv.dentup <- sqrt(Kenv.up/pi)</pre>
Lenv.dentlo <- sqrt(Kenv.lo/pi)</pre>
Lenv.dent975 <- sqrt(Kenv.975/pi)</pre>
Lenv.dent025 <- sqrt(Kenv.025/pi)</pre>
Lenv.cas.up <- sqrt(Kenv.cas.up/pi)</pre>
```

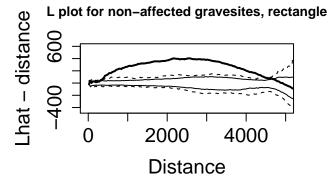
```
Lenv.cas.lo <- sqrt(Kenv.cas.lo/pi)
Lenv.cas.975 <- sqrt(Kenv.cas.975/pi)
Lenv.cas.025 <- sqrt(Kenv.cas.025/pi)
Lenv.con.up <- sqrt(Kenv.con.up/pi)
Lenv.con.lo <- sqrt(Kenv.con.lo/pi)
Lenv.con.975 <- sqrt(Kenv.con.975/pi)
Lenv.con.025 <- sqrt(Kenv.con.025/pi)</pre>
```

Now plot the L functions (and envelopes) for all gravesites, the affected gravesites, and the non-affected gravesites.

```
#postscript(paste(path, "dentalK.ps", sep=""), horizontal=FALSE, paper="letter")
par(mfrow=c(2,2),pty="m")
plot(dists,Lhat.dent-dists,xlab="Distance",ylab="Lhat - distance",type="1",
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
             max(c(maxLplot, (Lenv.dentup - dists)))
            -450,600),
     xlim=c(0,5000),cex.axis=1.5,cex.lab=1.5)
lines(dists,Lhat.dent-dists,lwd=2)
lines(dists,Lenv.dentup - dists,lty=2)
lines(dists,Lenv.dentlo - dists,lty=2)
lines(dists,Lenv.dent975 - dists,lty=1)
lines(dists,Lenv.dent025 - dists,lty=1)
title("L plot for all gravesites, rectangle",cex.main=1.0)
legend(-50,-100,legend=c("Min/max envelope","2.5 and 97.5 percentiles",
       "Observed"),
       lty=c(2,1,1), lwd=c(1,1,2), cex=0.4)
plot(dists,Lhat.cas-dists,xlab="Distance",ylab="Lhat - distance",type="1",
# use same limits for all plots...
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
             max(c(maxLplot, (Lenv.dentup - dists)))
            -450,600),
     xlim=c(0,5000),cex.axis=1.5,cex.lab=1.5)
lines(dists,Lhat.cas-dists,lwd=2)
lines(dists,Lenv.cas.up - dists,lty=2)
lines(dists,Lenv.cas.lo - dists,lty=2)
lines(dists,Lenv.cas.975 - dists,lty=1)
lines(dists,Lenv.cas.025 - dists,lty=1)
title("L plot for affected gravesites, rectangle",cex.main=1.0)
#legend(0,600,legend=c("Min/max envelope","2.5 and 97.5 percentiles",
        "Observed"),
#
        lty=c(2,1,1), lwd=c(1,1,2))
plot(dists,Lhat.con-dists,xlab="Distance",ylab="Lhat - distance",type="1",
# use same limits for all plots...
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
            #max(c(maxLplot,(Lenv.dentup[dists<=5000] - dists[dists<=5000])))</pre>
            -450,600),
```







These look like clustering at almost all distances, but these are checking for clustering within a rectangle around the entire area, but the gravesites are in the "7" shaped area in the polygon.

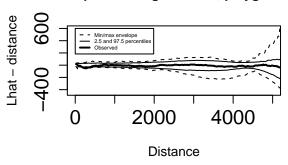
Let's add the polygon boundary and run with Ripley's edge correction to see if there is clustering within the polygon, rather than clustering within the rectangle.

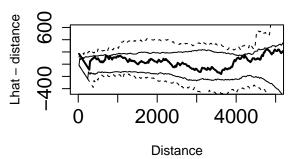
```
Kmat <- matrix(0,nsim,length(dists))</pre>
Kmat.cas <- matrix(0,nsim,length(dists))</pre>
Kmat.con <- matrix(0,nsim,length(dists))</pre>
# Need mypoly to be a matrix)
mypoly.mat = cbind(mypoly$x,mypoly$y)
Khat.dent <- khat(dental.p,mypoly.mat,dists)</pre>
Lhat.dent <- sqrt(Khat.dent/pi)</pre>
Khat.cas <- khat(dentcas.p,mypoly.mat,dists)</pre>
Lhat.cas <- sqrt(Khat.cas/pi)</pre>
Khat.con <- khat(dentcon.p,mypoly.mat,dists)</pre>
Lhat.con <- sqrt(Khat.con/pi)</pre>
maxLplot <- max(Lhat.dent - dists)</pre>
minLplot <- min(Lhat.dent - dists)</pre>
maxLplot.cas <- max(Lhat.cas - dists)</pre>
minLplot.cas <- min(Lhat.cas - dists)</pre>
maxLplot.con <- max(Lhat.con - dists)</pre>
minLplot.con <- min(Lhat.con - dists)</pre>
for (sim in 1:nsim) {
  simlocs <- csr(as.points(mypoly),length(dental.p[,1]))</pre>
  Kmat[sim,] <- khat(simlocs,as.points(mypoly),dists)</pre>
  simlocs.cas <- csr(as.points(mypoly),length(dentcas.p[,1]))</pre>
  Kmat.cas[sim,] <- khat(simlocs.cas,as.points(mypoly),dists)</pre>
  simlocs.con <- csr(as.points(mypoly),length(dentcon.p[,1]))</pre>
  Kmat.con[sim,] <- khat(simlocs.con,as.points(mypoly),dists)</pre>
Kenv.up <- apply(Kmat,2,max)</pre>
Kenv.lo <- apply(Kmat,2,min)</pre>
Kenv.cas.up <- apply(Kmat.cas,2,max)</pre>
Kenv.cas.lo <- apply(Kmat.cas,2,min)</pre>
Kenv.con.up <- apply(Kmat.con,2,max)</pre>
Kenv.con.lo <- apply(Kmat.con,2,min)</pre>
Kenv.975 <- rep(0,length(dists))</pre>
Kenv.025 <- rep(0,length(dists))</pre>
Kenv.cas.975 <- rep(0,length(dists))</pre>
Kenv.cas.025 <- rep(0,length(dists))</pre>
Kenv.con.975 <- rep(0,length(dists))</pre>
Kenv.con.025 <- rep(0,length(dists))</pre>
for (i in 1:length(dists)){
   Kenv.975[i] <- quantile(Kmat[,i],prob=0.975)</pre>
   Kenv.025[i] <- quantile(Kmat[,i],prob=0.025)</pre>
   Kenv.cas.975[i] <- quantile(Kmat.cas[,i],prob=0.975)</pre>
   Kenv.cas.025[i] <- quantile(Kmat.cas[,i],prob=0.025)</pre>
   Kenv.con.975[i] <- quantile(Kmat.con[,i],prob=0.975)</pre>
   Kenv.con.025[i] <- quantile(Kmat.con[,i],prob=0.025)</pre>
}
Lenv.dentup <- sqrt(Kenv.up/pi)</pre>
Lenv.dentlo <- sqrt(Kenv.lo/pi)</pre>
```

```
Lenv.dent975 <- sqrt(Kenv.975/pi)</pre>
Lenv.dent025 <- sqrt(Kenv.025/pi)</pre>
Lenv.cas.up <- sqrt(Kenv.cas.up/pi)</pre>
Lenv.cas.lo <- sqrt(Kenv.cas.lo/pi)</pre>
Lenv.cas.975 <- sqrt(Kenv.cas.975/pi)</pre>
Lenv.cas.025 <- sqrt(Kenv.cas.025/pi)</pre>
Lenv.con.up <- sqrt(Kenv.con.up/pi)</pre>
Lenv.con.lo <- sqrt(Kenv.con.lo/pi)</pre>
Lenv.con.975 <- sqrt(Kenv.con.975/pi)</pre>
Lenv.con.025 <- sqrt(Kenv.con.025/pi)</pre>
#postscript(paste(path, "dentalK.poly.ps", sep=""), horizontal=F, paper="letter")
par(mfrow=c(2,2),pty="m")
plot(dists,Lhat.dent-dists,xlab="Distance",ylab="Lhat - distance",type="1",
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
             max(c(maxLplot,(Lenv.dentup - dists)))
            -450,600),
     xlim=c(0,5000),cex.axis=1.5,cex.lab=1.0)
lines(dists,Lhat.dent-dists,lwd=2)
lines(dists,Lenv.dentup - dists,lty=2)
lines(dists,Lenv.dentlo - dists,lty=2)
lines(dists,Lenv.dent975 - dists,lty=1)
lines(dists,Lenv.dent025 - dists,lty=1)
title("L plot for all gravesites, polygon", cex.main=1.0)
legend(0,600,legend=c("Min/max envelope","2.5 and 97.5 percentiles",
       "Observed").
       lty=c(2,1,1), lwd=c(1,1,2), cex=0.4)
plot(dists,Lhat.cas-dists,xlab="Distance",ylab="Lhat - distance",type="1",
# use same limits for all plots...
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
             max(c(maxLplot,(Lenv.dentup - dists)))
            -450,600),
     xlim=c(0,5000),cex.axis=1.5,cex.lab=1.0)
lines(dists,Lhat.cas-dists,lwd=2)
lines(dists,Lenv.cas.up - dists,lty=2)
lines(dists,Lenv.cas.lo - dists,lty=2)
lines(dists,Lenv.cas.975 - dists,lty=1)
lines(dists,Lenv.cas.025 - dists,lty=1)
title("L plot for affected gravesites, polygon",cex.main=1.0)
#legend(0,600,legend=c("Min/max envelope","2.5 and 97.5 percentiles",
        "Observed").
        lty=c(2,1,1), lwd=c(1,1,2))
plot(dists,Lhat.con-dists,xlab="Distance",ylab="Lhat - distance",type="1",
# use same limits for all plots...
     ylim=c(#min(c(minLplot,(Lenv.dentlo - dists))),
            #max(c(maxLplot,(Lenv.dentup[dists<=5000] - dists[dists<=5000])))</pre>
            -450,600),
     xlim=c(0,5000),cex.axis=1.5,cex.lab=1.0 )
lines(dists,Lhat.con-dists,lwd=2)
```

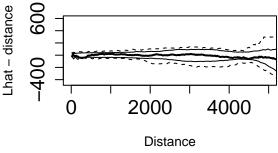
L plot for all gravesites, polygon

L plot for affected gravesites, polygon





L plot for non-affected gravesites, polygon



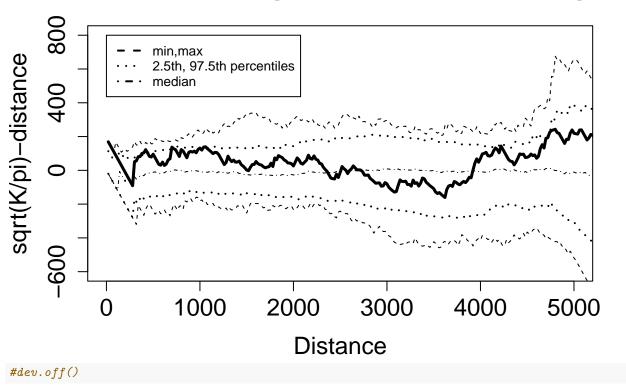
Now for bonus code (not covered in the presentation).

In addition to simulating CSR as the null hypothesis, we can also consider a "random labeling" null hypothesis where we keep the same locations and simply select 30 of them to be cases each time (we randomize the affected/non-affected labels rather than randomizing locations). The two null hypotheses are close but not identical. (see discussion in Waller and Gotway 2004).

```
###################
#postscript("dentalKrl.ps")
\#par(mfrow=c(1,1))
#plot(dists,Lhat.cas-dists,xlab="Distance",ylab="Lhat - distance",type="l",
      ylim=c(min(c(minLplot,(Lenv.cas.lo - dists))),
             max(c(maxLplot,(Lenv.cas.up - dists))) ) )
#lines(dists,Lhat.cas-dists,lwd=2)
#lines(dists,Lenv.cas.up - dists,lty=2)
#lines(dists,Lenv.cas.lo - dists,lty=2)
ind <- 1:length(dental$x)</pre>
sim <- 499 # number of simulations
Lmat <- matrix(0,sim,length(dists)) # matrix to store L-hat values</pre>
quantmat <- matrix(0,5,length(dists)) # matrix to store quantiles</pre>
teststat <- rep(0,sim) # vector to store test statistic values
hmax <- 2000 # maximum distance for Monte Carlo test
for (i in 1:sim) {
  randind <- sample(ind, length(dental$x[dental$aff==1]))</pre>
  dentrandx <- dental$x[randind]</pre>
  dentrandy <- dental$y[randind]</pre>
  dentrand.p <- as.points(dentrandx,dentrandy)</pre>
  Khat.rand <- khat(dentrand.p,as.points(mypoly),dists)</pre>
  Lhat.rand <- sqrt(Khat.rand/pi)</pre>
  Lmat[i,] <- Lhat.rand-dists</pre>
# lines(dists,Lhat.rand-dists,col="qrey70",lwd=1)
  teststat[i] <- max( abs(Lhat.rand[dists<hmax]-dists[dists<hmax]) )</pre>
}
#lines(dists,Lhat.cas-dists,col="black",lwd=2) #col="red")
teststatobs <- max( abs(Lhat.cas[dists<hmax]-dists[dists<hmax]) )</pre>
#title("Gravesite data, K functions under random labeling")
######################################
# Calc quantiles
for (j in 1:length(dists)) {
  quantmat[,j] <- quantile(Lmat[,j],</pre>
      c(0.0,0.025,0.5,0.975,1.0))
# Make plot
\#postscript(paste(path, "dentalK.rl.ps", sep=""), horizontal=T, paper="letter")
```

```
par(mfrow=c(1,1))
plot(dists,Lhat.cas-dists,xlab="Distance",ylab="sqrt(K/pi)-distance",
    xlim=c(0,5000), ylim=c(-600,800), type="1", cex.axis=1.5, cex.lab=1.5)
lines(dists,Lhat.cas-dists,col="black",lwd=3)
# min/max envelope
lines(dists,quantmat[1,],lty=2)#,col="blue")
lines(dists, quantmat[5,],lty=2)#, col="blue")
# 95% envelope
lines(dists,quantmat[2,],lty=3,lwd=2)#,col="orange")
lines(dists,quantmat[4,],lty=3,lwd=2)#,col="orange")
# median
lines(dists, quantmat[3,], lty=4) #, col="yellow")
legend(0,800,legend=c("min,max",
              "2.5th, 97.5th percentiles",
              "median"),
              col=1.
                  lty=c(2,3,4), lwd=c(2,2,2), cex=0.8)
title("L plot, affected gravesites, random labeling",cex.main=1.5)
```

L plot, affected gravesites, random labeling



To compare the K functions from cases and control, you might be interested in the difference in K functions

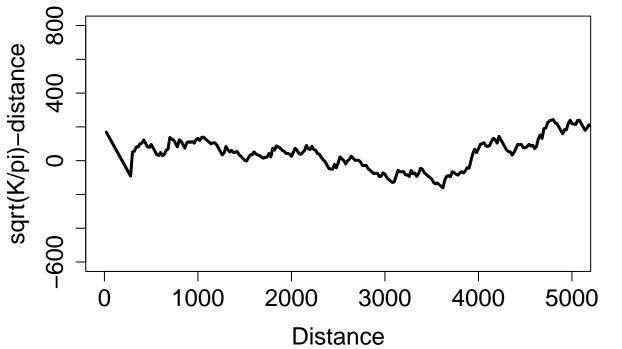
where

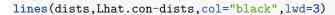
```
K_{\text{diff}}(h) = K_1(h) - K_2(h).
```

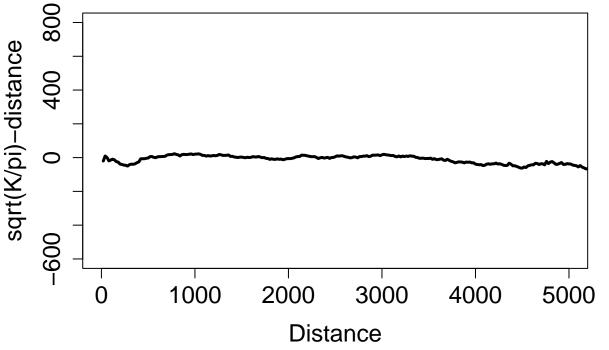
Then you can think about whether process 1 (cases) is more clustered or more regular than process 2 (controls). (What would that look like?)

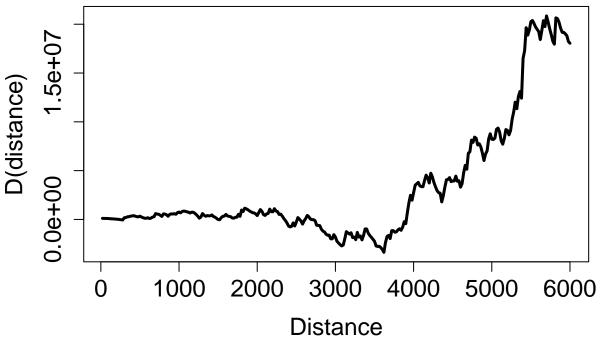
Note, I'm using 500 simulations (nsim=500)...if you make this smaller, it will run faster.

```
# Difference in K functions
dists <- 1:300
dists <- dists*20
nsim <- 500
Kmat <- matrix(0,nsim,length(dists))</pre>
Kmat.cas <- matrix(0,nsim,length(dists))</pre>
Kmat.con <- matrix(0,nsim,length(dists))</pre>
Khat.cas <- khat(dentcas.p,as.points(mypoly),dists)</pre>
Lhat.cas <- sqrt(Khat.cas/pi)</pre>
Khat.con <- khat(dentcon.p,as.points(mypoly),dists)</pre>
Lhat.con <- sqrt(Khat.con/pi)</pre>
par(mfrow=c(1,1),pty="m")
plot(dists,Lhat.cas-dists,xlab="Distance",ylab="sqrt(K/pi)-distance",
   xlim=c(0,5000),ylim=c(-600,800),type="1",cex.axis=1.5,cex.lab=1.5)
lines(dists,Lhat.cas-dists,col="black",lwd=3)
```









 $sim \leftarrow 499 \# number of simulations$

```
Dmat <- matrix(0,sim,length(dists)) # matrix to store difference values</pre>
D.quantmat <- matrix(0,5,length(dists)) # matrix to store quantiles</pre>
for (i in 1:sim) {
  randind <- sample(ind, length(dental$x[dental$aff==1]))</pre>
  dent.cas.randx <- dental$x[randind]</pre>
  dent.cas.randy <- dental$y[randind]</pre>
  dent.con.randx <- dental$x[-randind]</pre>
  dent.con.randy <- dental$y[-randind]</pre>
  dent.cas.rand.p <- as.points(dent.cas.randx,dent.cas.randy)</pre>
  dent.con.rand.p <- as.points(dent.con.randx,dent.con.randy)</pre>
  Khat.cas.rand <- khat(dent.cas.rand.p,as.points(mypoly),dists)</pre>
  Khat.con.rand <- khat(dent.con.rand.p,as.points(mypoly),dists)</pre>
  Dmat[i,] <- Khat.cas.rand-Khat.con.rand</pre>
#####################################
# Calc quantiles
for (j in 1:length(dists)) {
  D.quantmat[,j] <- quantile(Dmat[,j],</pre>
      c(0.0,0.025,0.5,0.975,1.0))
# add median, and 95 envelope
\#postscript(paste(path, "dental.diffK.ps", sep=""), horizontal=T, paper="letter")
par(mfrow=c(1,1),pty="m")
plot(dists,D,xlab="Distance",ylab="KD(distance)",
     xlim=c(0,2000), ylim=c(-4000000,4000000),
     type="1",cex.axis=1.5,cex.lab=1.5)
lines(dists,D,col="black",lwd=3)
title("K function difference, grave site data",cex.main=1.5)
lines(dists,D.quantmat[1,])
lines(dists,D.quantmat[5,])
lines(dists,D.quantmat[2,],lty=2)
lines(dists,D.quantmat[4,],lty=2)
lines(dists,D.quantmat[3,],lty=3)
```

