# principled simplicial neural networks for trajectory prediction

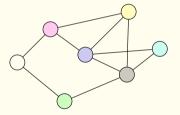
**T. Mitchell Roddenberry**,\* Nicholas Glaze,\* Santiago Segarra mitch@rice.edu mitch.roddenberry.xyz

Electrical and Computer Engineering Rice University ICML 2021

<sup>\*</sup>equal contribution

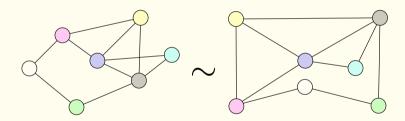
# graph neural networks

Sequence of local aggregations and activation functions



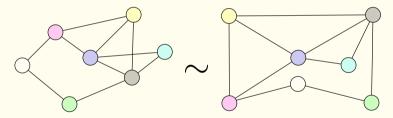
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- Permutation-equivariance



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Enforcing appropriate symmetries helps with generalization

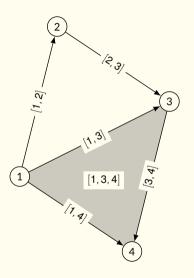
(2)

• Start with a set of nodes  $X_0$ 

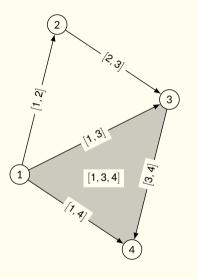
3

1

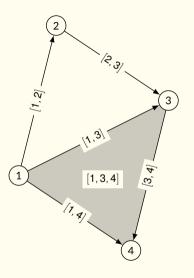
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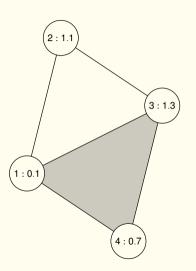
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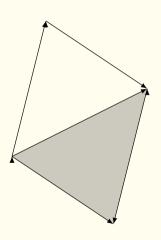
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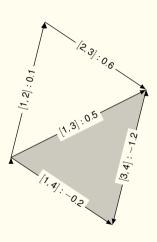
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- $X_k$ : collection of elements of X with cardinality k + 1



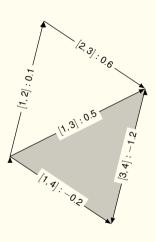
 Graph neural networks act on graph signals: nodal data



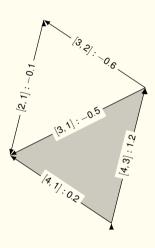
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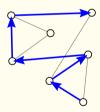
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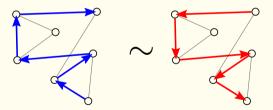
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- Attach real numbers to the oriented k-simplices
- Enforce skew-symmetry:  $[i_0, i_1] = -[i_1, i_0]$

• Consider a *flow* of resources over a graph ( $C_1$ )

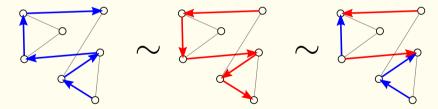
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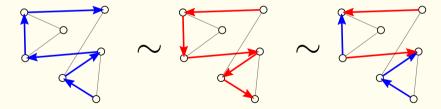
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Skew-symmetry: flow does not depend on chosen orientation

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- $\circ$  We want to understand fancier maps:  $\mathcal{C}_{\textit{k}} 
  ightarrow \mathcal{C}_{\ell}$
- Guiding questions:
  - Symmetries/invariances?
  - Natural operations available to us?
  - Fully leverage the simplicial structure?

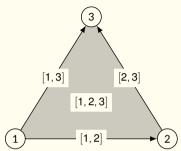
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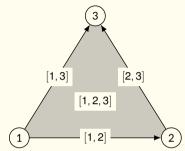
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- $\circ L = D A$
- $\circ$  Or even better:  $\mathbf{L} = \mathbf{B}_1 \mathbf{B}_1^{\top}$

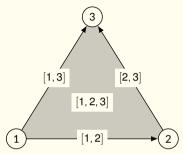
 For a simplicial complex, what are the B matrices?



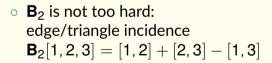
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   B<sub>1</sub>[1, 2] = [2] - [1]



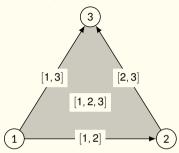
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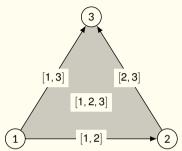
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$${\sf B}_k {\sf B}_{k+1} = 0$$

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A map  $\mathcal{C}_k \to \mathcal{C}_\ell$  that satisfies all of these is what we call **admissible** 



• Observe an agent moving along a path





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- Predict next step *locally*

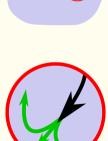




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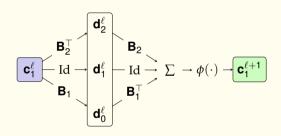


- Observe an agent moving along a path
- Predict next step *locally*
- Discrete space: a 2-dim. simplicial complex
- Trajectory: a 1-chain
- Predict: a node (0-simplex)

### SCoNe: simplicial complex net

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$$egin{aligned} \mathbf{c}_1^{\ell+1} \leftarrow \phi(\mathbf{B}_2\mathbf{B}_2^{ op}\mathbf{c}_1^{\ell}\mathbf{W}_\ell^2 \ &+ \mathbf{c}_1^{\ell}\mathbf{W}_\ell^1 \ &+ \mathbf{B}_1^{ op}\mathbf{B}_1\mathbf{c}_1^{\ell}\mathbf{W}_\ell^0) \end{aligned}$$

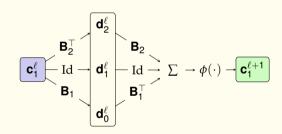


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• After L such layers, **project**:  $\mathbf{c}_0^{L+1} = \mathbf{B}_1 \mathbf{c}_1^L \mathbf{W}_I^0$ 

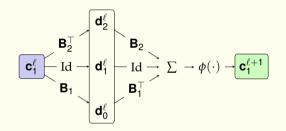


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- After L such layers, **project**:  $\mathbf{c}_0^{L+1} = \mathbf{B}_1 \mathbf{c}_1^L \mathbf{W}_L^0$
- Softmax over candidate nodes



### permutation equivariance

$$\mathcal{P} \operatorname{SCN}_{\boldsymbol{W}}(\boldsymbol{x}; \{\boldsymbol{B}_j\}) = \operatorname{SCN}_{\boldsymbol{W}}(\mathcal{P}\boldsymbol{x}; \{\mathcal{P}\boldsymbol{B}_j\})$$

- Similar lines to graph neural networks
- Ordering the nodes, edges, triangles, etc. does not affect the output
- Composition of permutation equivariant operations:
  - Boundary maps
  - o *Elementwise* activation function

#### orientation equivariance

$$\mathcal{D} \operatorname{SCN}_{\mathbf{W}}(\mathbf{x}; \{\mathbf{B}_j\}) = \operatorname{SCN}_{\mathbf{W}}(\mathcal{D}\mathbf{x}; \{\mathcal{D}\mathbf{B}_j\})$$

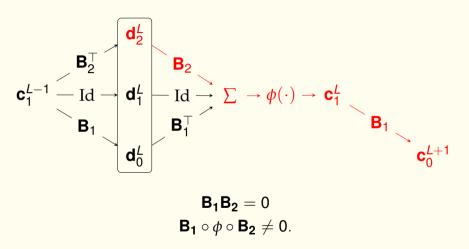
- One step higher: orientation of all simplices
- Reorienting the edges, triangles, etc. does not affect the output
- Not a concern for GNNs: nodes only have one orientation
- Composition of orientation equivariant operations:
  - Boundary maps
  - Odd activation function

### simplicial awareness

$$SCN_{\boldsymbol{W}}(\boldsymbol{x}; \{\boldsymbol{B}_1, \dots, \boldsymbol{B}_K\}) \neq SCN_{\boldsymbol{W}}(\boldsymbol{x}; \{\boldsymbol{B}_1, \dots, \boldsymbol{B}'_{\ell}, \dots, \boldsymbol{B}_K\})$$

- $\circ$  There *exists* an input **x**, weights **W**, and alternative boundary map  $\mathbf{B}'_{\ell}$  such that the two maps are not the same
- Sensitivity to structure of  $\ell$ -simplices
- ∘ For *SCoNe* :  $C_1 \rightarrow C_0$ , we must kill homology!
  - **Recall**:  $B_1B_2 = 0$
  - o Get around this with nonlinear activation function
  - $\circ \ \mathbf{B_1} \circ \phi \circ \mathbf{B_2} \neq 0$

### simplicial awareness



### that is to say...

Assume the activation function  $\phi$  is **continuous** and **elementwise**.

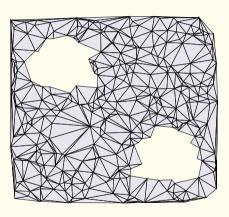
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SCoNe is admissible only if  $\phi$  is **odd** and **nonlinear**.

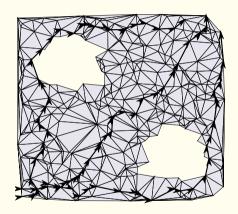
## a synthetic example

o Synthetic dataset: random edge orientation



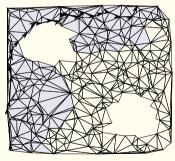
### a synthetic example

- Synthetic dataset: random edge orientation
- Trajectories: walks between corners

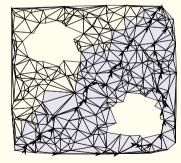


# results - disjoint regions

	SCoNe tanh	SCoNe tanh, no tri.					Bunch et. al. (2020)
Test Acc.	0.61	0.58	0.56	0.53	0.44	0.42	0.57



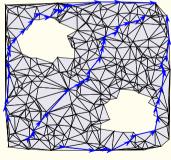
Training set



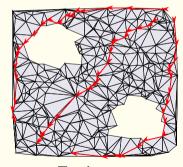
Testing set

## results - orientation (in)sensitivity

		SCoNe ReLU		
Train Acc.	0.65	0.65	0.66	0.27



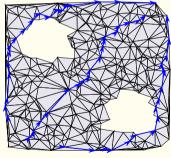
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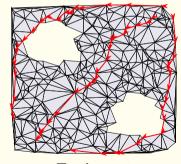
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	SCoNe tanh	SCoNe ReLU		SCoNe Id
Train Acc.	0.65	0.65	<b>0.66</b>	0.27
Test Acc.	<b>0.63</b>	0.24	0.10	0.31



Training set



Testing set

• Graph neural networks process nodal data using intrinsic graph operators

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- Use operators on simplicial complexes to extend this to *k*-chain maps

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- Use operators on simplicial complexes to extend this to k-chain maps
- Demand that the architecture obeys symmetries of the system
- Yields better generalization