The Development of Color Terms in Shipibo-Konibo Children

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# Author Note

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13 Abstract

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# Experiment 1

In our first experiment, our goal was to replicate and update the characterization of
the adult SK color system given by the World Color Survey. We were further interested in
the use of Spanish terms as language contact and multilingualism have increased in the years
since the original World Color Survey work.

### 24 Methods

overall) identified as students.

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We recruited 39 adult participants (7 men). Most of participants were Participants. 25 from SK communities of the Middle Ucayali region (from Yarinacocha, San Francisco, and Nueva Betania), but some of them were from communities of the Lower (Paoyhan) and 27 Upper (Puerto Belen) Ucayali. In Yarinacocha (a small town located in the vicinity of Pucallpa), participants were recruited in Bena Jema, a neighborhood where most of the inhabitants are SK. All the other places where participants were recruited were native community villages exclusively inhabited by SK people. Overall, the sample included both 31 somewhat urbanized SK (Yarinacocha and San Francisco) as well as SK individuals who were still used to more traditional activities and regular contact with the surrounding 33 rainforest (Nueva Betania, Paoyhan, and Puerto Belen). The median self-reported age for participants was 38 years with a range between 20 to 35 64 years of age (SD = 13.60yo). Regarding occupations, 41% of the women were homemakers (33% overall) and another 41% were artisans (33%). tools::toTitleCase(as.character(as.english(filter(study1 occupations, género == 'masculino' & ocupación == 'agricultor')\$n))) of the 7 men were horticulturalists (43%, 8% overall). Four women (12%, 10% overall) and three men (43%, 8% Although all adult participants spoke Shipibo-Konibo as a first language, all were bilingual to a substantial degree. All reported beginning to learn Spanish before early adolescence (median = 8yo, SD = 2.90y).

### Materials

We used the 330 Munsell color chips as stimuli for the study. However, only 165 chips were used for each single participant (see below). These chips were exactly those used to collect data for the WCS. Individual color chips were 2 cm x 2.5 cm.

## 49 Procedure

In order to make sure that the natural light intensity would not vary much between participants, the experiment took place indoors, near a window or a door. The study was conducted entirely in the SK language.

Our procedure was similar to that used in the WCS (see ???). Participants were seated in front of the experimenter and introduced to the whole procedure and the general goal of the study. Then the primary procedure involved presenting participants with a color chip and asking them: "What is the color of this chip?" and recording their response or responses.

One major difference between the WCS procedure and ours is that, in the WCS, the
experimenter was expected to brief participants so that they would only provide basic color
terms during the task (e.g., "blue" as opposed to "navy blue" or "sky-like"). We found it
rather difficult to help participants understand in a few sentences what a basic color term
was, however.<sup>2</sup> Thus, we opted to let participants provide any term they wished. If they did
not provide a basic color term, we would ask further questions to elicit a basic color term.

63 For example, if, when presented with a red color chip, the participant provided the term

<sup>&</sup>lt;sup>1</sup> The SK word for color that we used was the Spanish word *color*. In general, the SK language includes some castillanisms that are well-known by all speakers; color is one of them.

<sup>&</sup>lt;sup>2</sup> Indeed, as Berlin & Kay (2009: 587-589) acknowledge, there is no straightforward necessary and sufficient criteria for the "basicness" of a color term.

"blood-like" (a non-basic color term), the experimenter would ask: "Do you know of any
other word to refer to the color of this chip?" If the participant subsequently responded
"dark red" (another non-basic color term), the experimenter would further ask: "How would
you refer to this color with only one word?" Eventually, the participant would say "red" (a
basic color term).

For some chips, participants would provide a basic color term at once; but for others,
they would first provide one or two non-basic terms before actually providing a basic term.
When participants did not provide a basic color term after three trials (i.e., two follow-up
questions), no further questions was asked, and the experimenter proceeded to the next chip.
This method was more effortful and time-consuming than the WCS procedure, but it
improved the fluency and the intuitiveness of the task for participants.

A second difference between our procedure and that of the WCS concerned the number of chips each participant was presented with. In the WCS, every participant was expected to provide color terms for each of the 330 chips of the set. As we were afraid that doing so would take too long and that participants would find the task tedious, we decided that the set of chips would be split in two (even and uneven numbers) and that every participant would be randomly ascribed to one of the two subsets. As a result, each participant was presented with only 165 chips.

# 82 Results and Discussion

Broadly speaking, our results were quite similar to the WCS findings. Figure 1 shows a comparison between our data (Panel A) and the WCS (panel B). The basic level colors in our data were quite similar, as well. All participants described at least 1 chip with the following set of color terms: light/white ("joxo"), dark/black ("wiso"), yellow ("panshin"), red ("joshin"), and green/blue ("yankon"). Most (79%) participants also used described at least 1 chip as faded or "manxan", referring to a chip's saturation. In terms of overall popularity, participants on average described 32% of chips as "yankon" (SD = 10%) followed

by "joshin" (M = 12%, SD = 6%), "joxo" (10%, 5%), "panshin" (9%, 4%), "manxan" (7%, 9%)7%), and "wiso" (6%, 4%). 91

One departure from the Berlin-Kay data was that 59% of adults described at least 1 92 chip using a Spanish-language color term, accounting for 4% of all responses (Figure 1, Panel C). In particular, Spanish use reached as high as 55% when participants were asked to label chips that English speakers would consider to be orange. However, there was a high amount 95 of variability in Spanish use between subjects (M = 4%, SD = 12%) with some subjects never responding in Spanish. One responded in Spanish for 0\% of all trials despite all sessions being conducted entirely in the Shipibo-Konibo language. While we can only 98 speculate as to this participant's motivations, it seems likely that they were more familiar 99 with the Spanish vocabulary or viewed it as more precise. 100

Participants on average described 69% of chips using a SK-language basic color term 101 like "yankon" (SD = 22%). Some participants described chips using SK-language ad hoc 102 color terms, such as "nai" or sky for blue chips (M = 11%, SD = 12%), or ad hoc terms referring to saturation or luminosity of a chip, such as "manxan" (M = 7%, SD = 7%). 104 Virtually all instances where a participant responded in Spanish involved a Spanish basic 105 color term such as "rojo" (M=4%, SD=10%). In other words, participants typically only 106 responded in Spanish to label chips into basic categories; they relied on Shipibo-Konibo for 107 other descriptors. 108

Given these data, we next moved on to exploring the development of SK color 109 vocabulary in childhood. Experiment 2 tests production and comprehension of SK color 110 terms using SK-prototypical color chips; Experiment 3 tests children in Spanish using Spanish-prototypical chips.

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#### Experiment 2

In Experiment 2, we tested children on their production and comprehension skills with 114 a set of chips representing the prototypical colors for common SK color terms. 115

#### $_{^{116}}$ Methods

The Pontificia Universidad Católica del Perú's Institutional Review Participants. 117 Board approved our study protocol. We recruited 57 5- to 11-year-old children (23 boys). 118 Table 1 shows the distribution of ages and genders. Fifteen children were recruited from 119 neighborhoods in Yarinacocha, in the Pucallpa region of Peru, as well as in 42 children from 120 Bawanisho, a native community settled along the Ucayali River, south of Pucallpa. Children 121 were recruited either through their parents or through local schools. When recruited at 122 school, consent for participation was collected from both the teachers and the parents; 123 otherwise, only consent from the parents was collected. 124

Materials. Based on findings of Experiment 1, we selected out 8 color chips that
were prototypical instances of prominent SK color terms. These color chips were blue (WCS
n°1), green (WCS n°234), red (WCS n°245), white (WCS n°274), yellow (WCS n°297), black
(WCS n°312), greeny-yellow (WCS n°320), and purple (WCS n°325). These color chips were
exactly the same as those used in Experiment 1; the only difference was that while 330 chips
were used in Experiment 1, only 8 were used in Experiment 2.

Procedure. The production and comprehension tasks were both conducted in SK.

In both tasks, children were seated in front of the experimenter. A table on which the color
chips were display stood between them. The production task was always performed before
the comprehension task.

Production task. The procedure was very similar to that of Experiment 1. Children
were first introduced to the whole procedure and the general goal of the study. It was
specified that they would be expected to provide color terms in SK (and not in Spanish).
Children were then asked: "What is the color of this chip?". As with adults, we used
follow-up questions to elicit basic color terms when the terms children initially provided were
not basic. When children provided Spanish color terms, the experimenter would write down
their response but further ask: "What is the name of this color in SK?" When children
replied "I don't know" to this prompt, the experimenter would not ask further questions and

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would move forward to the next color chip. As a result, responses of some children include only non-basic SK color terms or Spanish color terms. In total, we collected production data for 8 color chips. For each chip, the data include either one response (when children provided a SK basic color term in the first trial) or two or three responses (when children's initial responses were either non-basic and/or in Spanish).

Comprehension task. The 8 color chips of the production task were simultaneously 148 displayed in front of the children. The experimenter would then ask: "Can you give me the 149 [color] chip?" In total, the comprehension of 9 SK color terms was tested. The choice of 150 these terms was based on the findings of Experiment 1. Not all of them were basic, but all of 151 them stood out as being prominent in the SK color system. The 9 terms used as prompts 152 included: yankon ("grue"), joshin ("red"), panshin ("yellow"), joxo ("white), wiso ("black"), 153 nai ("blue"), and barin poi ("greeny-yellow"). In addition, as Experiment 1 revealed that 154 two non-basic terms are widely used to refer to green and purple, two words were used to 155 test comprehension of each of these two colors: pei/xo ("green") and ami/pua ("purple"). 156

Further, Experiment 1 showed that for some of these color terms, only one response was accurate, while for others, several responses were equally correct. For example, only one chip could be picked up as an instance of wiso. By contrast, four chips could be considered to be instances of yankon (blue, green, greeny-yellow, and, to a lesser extent, purple); two chips for joshin (red, and, to a lesser extent, purple); and two as well for pei/xo (green, and, to a lesser extent, green-yellow). Accuracy was coded based on the results derived from Experiment 1: if at least 15% of participants in Experiment 1 associated a chip with a particular label, we considered a trial to be correct if the child made the same pairing.

When the experimenter asked children to pick up a color that was instantiated by several chips, we followed the following procedure. The experimenter would ask: "Can you give me the [color] chip?" Children would then pick up a chip. The response would be registered and the chip be taken out of the table. As a result, only 7 chips would be remaining on the table. The experimenter would subsequently ask: "Can you give me

another [color] chip?". Children would then pick up a new chip. The response would be 170 registered and the chip be taken out of the table. The experimenter would then ask the same 171 question again until a total of as many times as there were correct instances. Thus, for 172 example, for yankon four chips would be elicited, while for joshin, two chips would be elicited. 173

#### Results and Discussion 174

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**Production.** Children's production accuracy increased substantially across nearly all 175 color chips in the age range that we tested. Figure 2, left panels shows the accuracy of 176 children's first production, both in SK (solid line) and in either language (dashed line). To 177 quantify these developmental trends, we fit two generalized linear mixed effects models, one 178 for the accuracy of SK production and one for the accuracy of production in either language. 179 Both of these predicted accuracy as a function of the child's age, and included random 180 intercepts for color chip and for participant, as well as a random slope of age by color chip. 181 Age was a significant predictor in both models:  $\beta = 0.42$ , SE = 0.16, p = 0.01 and  $\beta = 0.87$ , 182 SE = 0.17, p < .0001.183 Over a quarter (28%) of all responses were given in Spanish, and the distribution of 184 Spanish responses was non-random. Children tended to respond in Spanish when presented 185 with a chip with low naming consensus among adult participants in Experiment. We 186 computed the naming entropy for each chip by computing the probabilities for each chip c to 187 be named with a particular label  $l(p(l \mid c))$  and then taking  $H(c) = -\sum p(l \mid c) \log[p(l \mid c)]$ 188 (see inset entropy values by chip in Figure 2). 189 To assess the hypothesis that naming entropy in adults was related to Spanish use in 190 children, we fit a mixed effects model predicting Spanish responses as a function of age, 191 entropy of the chip's naming distribution for adults, and their interaction. We included 192 random intercepts for color chip and for participant, but our model did not converge with a 193 random slope term and so we pruned this term following our lab's standard operating 194 procedure. We found a reliable effect of entropy ( $\beta = -6.09$ , SE = 2.38, p = 0.01) and an

interaction between age and entropy ( $\beta = -3.97$ , SE = 1.49, p = 0.01), suggesting that adults' uncertainty regarding naming was related to children's likelihood of producing Spanish labels.

Another possible reason to use Spanish would be if children fail to recall the proper SK color term but do know the proper mapping in the Spanish. They may also choose to respond with a same-language but adjacent color term )such as "joshin" for a panshin-colored chip). In our next analysis, we aggregate across chips and examine the pattern of responses, categorizing them as same-language, adjacent, and different-language.

Using a mixed-effects model, we found a significant improvement in accuracy scores when we allowed different-language but corresponding responses (p < 0.001) but no significant change when allowing for same-language but adjacent responses (p = 0.454).

## Comprehension.

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## Experiment 3

Noting the level of bilingualism in Experiment 2, we designed Experient 3 as its complement. In Experiment 3, we tested children entirely in Spanish with a set of chips representing prototypical colors for the Spanish color system.

Participants. Our protocol received ethical approval from Pontificia Universidad
Católica del Perú's Institutional Review Board. Children were recruited in a SK
neighborhood of Yarinacocha (Bena Jema) as well as in Bawanisho. As before, children were
recruited either through their parents or through the local school. When recruited at school,
consent for participation was collected from both the teachers and the parents; otherwise,
only consent from the parents was collected. Data were collected from a total of 46 children
(16 boys) who were between the ages of 5 and 11 years old.

Materials. Even though participants of Experiment 1 were instructed to give color terms in SK, some Spanish color terms were provided (this was especially true of young adult participants). Based on these data and on previous studies of Spanish color systems, we

singled out 11 color chips that were prototypical instances of prominent Peruvian Spanish color terms. These color chips were grey (WCS n°46), pink (WCS n°65), orange (WCS n°121), green (WCS n°234), red (WCS n°245), brown (WCS n°266), white (WCS n°274), blue (WCS n°291), yellow (WCS n°297), black (WCS n°312) and purple (WCS n°325). (To visualize the hue of these chips, see Appendix 1.) These color chips were exactly the same as those used in Experiment 1; the only difference was that while 330 chips were used in Experiment 1, only 11 of them were used in Experiment 3. It is worth noting that six chips were shared between Experiment 2 and Experiment 3.

Procedure. Since SK children are not very fluent in Spanish, the production and comprehension tasks were both conducted in SK, and Spanish was only used for color terms (i.e., Spanish color terms were embedded in SK sentences). In both tasks, children were seating in front of the experimenter; a table (on which the color chips were displayed) was standing between them. As in Experiment 2, the production task was always performed before the comprehension task.

Production task. The procedure was the same as that of Experiment 2. Children were 236 first introduced to the whole procedure and the general goal of the study. It was specified 237 that they would be expected to provide color terms in Spanish (and not in SK). Children 238 were then asked: "what is the color of this chip?". When children provided SK color terms, 239 the experimenter would write down their response but further ask: "what is the name of this 240 color in Spanish?". When children were replying "I don't know" to this prompt, the 241 experimenter would not ask further questions and would move forward to the next color chip. 242 As a result, responses of some children include only non-basic Spanish color terms or SK 243 color terms. In total, we collected production data for 11 color chips. For each chip, the data 244 include either one response (when children provided a Spanish basic color term in the first trial) or two or three responses (when children's initial responses were either non-basic and/or in SK).

Comprehension task. The procedure was similar to that of the comprehension task of

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Experiment 2. The 11 color chips of the production task were simultaneously displayed in 249 front of the children. The experimenter would then ask: "Can you give me the \_\_\_\_ chip?" 250 (where "\_\_\_\_\_" stands for a color term). In total, the comprehension of 11 Spanish color 251 terms was tested. 252 The choice of these terms was based on previous studies examining Spanish color terms 253 as well as on Experiment 1 (as we have seen, SK adults sometimes resorted to Spanish color 254 terms to name the color chips). The 11 terms used as prompts included: blanco ("white"), 255 verde ("green"), rojo ("red"), amarillo ("yellow"), azul ("blue"), negro ("black"), naranja 256 ("orange"), gris ("grey"), morado ("purple"), marrón ("brown"), and rosa ("pink"). Since 257 each color term was instantiated by only one color chip, no term required the special 258 procedure that was followed in Experiment 2 for yankon, joshin and pei/xo. 259

#### 260 Results and Discussion.

Similar to Experiment 2, over a quarter of all responses (M = 28%, SD = 18%) were 261 given in another language (Shipibo in this case). There was significant variation in 262 language-switching with some children completing the entire task in Spanish while others 263 responded to upwards of 59% of trials in Shipibo. Similar to Experiment 2, there was no 264 significant correlation between age and label accuracy (p = 0.063) or between age and 265 language-switching (p = 0.908). Still, we found that participants tended to respond in 266 Shipibo when presented with items that had low entropy among SK adults during 267 Experiment 1 (p = 0.006). This suggests that participants across Studies 2 and 3 preferred to respond in Shipibo when presented with a high-consensus chip and in Spanish when 269 shown a low-consensus chip.

## Overextensions.

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Similar to Experiment 2, we adopted alternative scoring to accommodate
language-switching from Spanish to Shipibo-Konibo and same-language adjacent responses.
Using a mixed-effects model, we did not find that age explained a significant amount of the

variation seen in accuracy (p = 0.124), in concordance with earlier analyses. However, we did 275 find that participants made use of both mapping strategies of either providing 276 different-language but corresponding responses (p < 0.001) or same-language but adjacent 277 responses (p = 0.002). Between Studies 2 and 3, we find frequent use of language switching 278 but only Experiment 3 shows significant use of same-language but adjacent terms as well. 279 This discrepancy, along with the lack of an age correlation, can be due to foreign language 280 exposure. Children may be exposed to Spanish at a young age but do not receive any formal 281 Spanish education until later in adolescence. With a limited knowledge of Spanish color 282 terms, children may spontaneously provide Spanish color terms during the Shipibo-language 283 Experiment 2 but may struggle to succeed during Spanish-language Experiment 3. This 284 suggests that children may rely on either strategy to communicate a color label to the best 285 of their knowledge set.

Comparisons between Studies 2 & 3. - Unfinished plots

General Discussion

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290 References