

# Clustering Millions of Faces By Identity

*Nisim Hurst*

*Tuesday 14 May 2019*

## **Clustering Millions of Faces by Identity**

The article was written by (Otto, Wang, and Jain [2018](#)). It was cited [44](#) times according to Google Scholar. The task performed was face clustering. They used the Pairwise F-measure metric over clusters with distractor images. They also developed their own metric for measuring internal cluster quality using just the k-top nearest neighbors.

## Hypothesis

Deep features clustered using only the top-k nearest neighbors in an approximate rank-order clustering will produce a more scalable and a more accurate face clustering algorithm. This algorithm will be able to overcome the presence of millions distractor images and class imbalance.

The network architecture to produce a 320D feature vector was VGG16 proposed by (Simonyan and Zisserman [2014](#)). The rank-order clustering algorithm is based on (Zhu, Wen, and Sun [2011](#)). Their k-d tree implementation for calculating just the 200-top nearest neighbors is based on (Muja and Lowe [2014](#)).

## Evidence and Results

Evidence is presented first over a small dataset and the over an augmented version of the datasets with millions of distractor images.

## Dataset

The feature extractor was trained with the CASIA-webface. LFW, YTF were used for cluster evaluation, the former over static images and the latter over videos.

Webfaces was used to augment the LFW. Here is a brief description of each:

Table 1: Main characteristics of the four datasets that were used to test the improved CW.

	# Instances	Resolution	Scenery	Author
LFW	13233 images of 5749. Only 1680 subjects have two or more photos.	??, variable head angle	Color, different Poses and Backgrounds.	(Huang et al. <a href="#">2008</a> )
YTF	3425 videos of 1595 subjects.	100x100, variable enclosing area	Color, different Poses and Backgrounds.	(Wolf, Hassner, and Maoz <a href="#">2011</a> )
Webfaces	123,654,141 distractor images.	N/A	N/A	(Otto, Wang, and Jain <a href="#">2018</a> )
CASIA-webface	494,414 images of 10,575 subjects.	120x165	Color, different Poses and Backgrounds.	(Yi et al. <a href="#">2014</a> )

## Results

First, the authors present Pairwise F-measure evaluated in the LFW dataset without any distractor images.

Also, given that having a high number of similar frames on each video can affect grouping identities between videos, the authors present the results of the algorithm using a sample of 3 frames per video in contrast to the results obtained over all the frames.

Finally, the authors presents

## Contribution

Firstly, the authors improved the Rank-Order clustering algorithm proposed by (Zhu, Wen, and Sun 2011). The original Rank-Order has the disadvantage that it requires  $O(n^2)$ . The authors propose to use the FLANN library implementation of the randomized k-d tree algorithm to compute the list of top-k nearest neighbors. Just one iteration is used.

Secondly, the authors improved the internal quality metric of Modularization quality (MQ) (Mancoridis et al. 1998) by just counting shared neighbors in the top-k nearest neighbors list. Cluster's external quality was obviated.

Thirdly, the authors provide an augmented dataset as a matter of baseline to assess the accuracy of the algorithm under the effect of distractor images that are

out of the face clusters.

## Weaknesses

The method uses a representation that needs to be distributed in chunks across servers, each one process about a million image instances. However, the authors don't provide an efficient algorithm for merging the results nor prove that the algorithm is unaffected in single-thread environments.

Also, the method is dependent of a  $k$  that depends on the number of instances, but the authors don't specify how  $k$  should be modified. They tested with different

## Future Work

Otto mentions that the dimensional vector representation could be improved through a better deep model architect that perform better on profile/side faces.

It would be beneficial to enforce pairwise constraints like must-link and cannot-link.

Also the authors

## References

- Huang, Gary B. et al. (Oct. 2008). “Labeled Faces in the Wild: A Database for Studying Face Recognition in Unconstrained Environments”. In: *Workshop on Faces in ‘Real-Life’ Images: Detection, Alignment, and Recognition*. Erik Learned-Miller and Andras Ferencz and Frédéric Jurie. Marseille, France. URL: <https://hal.inria.fr/inria-00321923>.
- Mancoridis, S. et al. (June 1998). “Using automatic clustering to produce high-level system organizations of source code”. In: *Proceedings. 6th International Workshop on Program Comprehension. IWPC’98 (Cat. No.98TB100242)*, pp. 45–52. DOI: [10.1109/WPC.1998.693283](https://doi.org/10.1109/WPC.1998.693283).
- Muja, M. and D. G. Lowe (Nov. 2014). “Scalable Nearest Neighbor Algorithms for High Dimensional Data”. In: *IEEE Transactions on Pattern Analysis and Machine Intelligence* 36.11, pp. 2227–2240. ISSN: 0162-8828. DOI: [10.1109/TPAMI.2014.2321376](https://doi.org/10.1109/TPAMI.2014.2321376).
- Otto, C., D. Wang, and A. K. Jain (Feb. 2018). “Clustering Millions of Faces by Identity”. In: *IEEE Transactions on Pattern Analysis and Machine Intelligence* 40.2, pp. 289–303. ISSN: 0162-8828. DOI: [10.1109/TPAMI.2017.2679100](https://doi.org/10.1109/TPAMI.2017.2679100).

- Simonyan, Karen and Andrew Zisserman (2014). “Very Deep Convolutional Networks for Large-Scale Image Recognition”. In: pp. 1–14. ISSN: 09505849. DOI: [10.1016/j.infof.2008.09.005](https://doi.org/10.1016/j.infof.2008.09.005). arXiv: [1409.1556](https://arxiv.org/abs/1409.1556). URL: <http://arxiv.org/abs/1409.1556>.
- Wolf, L., T. Hassner, and I. Maoz (June 2011). “Face recognition in unconstrained videos with matched background similarity”. In: *CVPR 2011*, pp. 529–534. DOI: [10.1109/CVPR.2011.5995566](https://doi.org/10.1109/CVPR.2011.5995566).
- Yi, Dong et al. (2014). “Learning face representation from scratch”. In: *arXiv preprint arXiv:1411.7923*.
- Zhu, C., F. Wen, and J. Sun (June 2011). “A rank-order distance based clustering algorithm for face tagging”. In: *CVPR 2011*, pp. 481–488. DOI: [10.1109/CVPR.2011.5995680](https://doi.org/10.1109/CVPR.2011.5995680).