# Clustering Millions of Faces By Identity

Nisim Hurst

Tuesday 14 May 2019

#### Clustering Millions of Faces by Identity

The article was written by (Otto, Wang, and Jain 2018). It was was cited 44 times according to Google Scholar. The task performed was face clustering. They used the Pairwise F-measure metric over clusters with distractor images. They also developed their own metric for measuring internal cluster quality using just the k-top nearest neighbors.

## Hypothesis

Deep features clustered using only the top-k nearest neighbors in an approximate rank-order clustering will produce a more scalable and a more accurate face clustering algorithm. This algorithm will be able to overcome the presence of millions distractor images and class imbalance.

The network architecture to produce a 320D feature vector was VGG16 proposed by (Simonyan and Zisserman 2014). The rank-order clustering algorithm is based on (Zhu, Wen, and Sun 2011). Their k-d tree implementation for calculating just the 200-top nearest neighbors is based on (Muja and Lowe 2014).

#### Evidence and Results

Evidence is presented first over a small dataset and the over an augmented version of the datasets with millions of distractor images.

#### Dataset

The feature extractor was trained with the CASIA-webface. LFW, YTF were used for cluster evaluation, the former over static images and the latter over videos.

Webfaces was used to augment the LFW. Here is a brief description of each:

Table 1: Main characteristics of the four datasets that were used to test the improved CW.

	# Instances	Resolution	Scenery	Author
LFW	13233 images of 5749.	??, variable	Color, different	(Huang et al.
	Only 1680 subjects	head angle	Poses and	2008)
	have two or more		Backgrounds.	
	photos.			
YTF	3425 videos of 1595	100x100,	Color, different	(Wolf, Hassner,
	subjects.	variable	Poses and	and Maoz 2011)
		enclosing	Backgrounds.	
		area		
Webfaces	123,654,141 distractor	N/A	N/A	(Otto, Wang, and
	images.			Jain 2018)
CASIA-	494,414 images of	120x165	Color, different	(Yi et al. 2014)
webface	10,575 subjects.		Poses and	
			Backgrounds.	

#### Results

First, the authors present Pairwise F-measure evaluated in the LFW dataset without any distractor images. The algorithm obtained the highest F-Measure and lowest run-time. The algorithm is proficient at handling class unbalance.

Second, the authors show performance on the augmented LFW dataset and the decay rate of each algorithm under these conditions. The proposed algorithm shows the highest resiliency compared to the decays ensued in the other algorithm.

Having benchmarked the algorithm in contrast to the other methods, the authors estimate internal performance under increasing levels of distractor images and search spaces. This scalability test was then repeated while increasing both the number of distractor images and the number of cores. Each core enabled the code to run in distributed chunks over separate machines. Deduplication on the full 123M dataset resulted in a 121M dataset which achieved 0.32 F-Measure, in

Also, given that having a high number of similar frames on each video can affect grouping identities between videos, the authors present the results of the algorithm using a sample of 3 frames per video in contrast to the results obtained over all the frames.

contrast with the previously achieved 0.27 F-Measure.

Finally, in an attempt to measure the effectiveness of the proposed internal measures, the authors presents their correlation to the pairwise precision external measure. As an alternative to correlation, the authors propose to compare average precision of the top-100 clusters ranked by the internal measure.

## Contribution

(Zhu, Wen, and Sun 2011). The original Rank-Order has the disadvantage that it

Firstly, the authors improved the Rank-Order clustering algorithm proposed by

requires  $O(n^2)$ . The authors propose to use the FLANN library implementation of the randomized k-d tree algorithm to compute the list of top-k nearest neighbors (Silpa-Anan and Hartley 2008). Just one iteration is used. This approximate

version had better performance compared to the exact rank-order and was faster

than all the methods tested.

Secondly, the authors improved the internal quality metric of Modularization quality (MQ) (Mancoridis et al. 1998) by just counting shared neighbors in the top-k nearest neighbors list. Cluster's external quality was obviated.

Thirdly, the authors provide an augmented dataset as a matter of baseline to assess the accuracy of the algorithm under the effect of distractor images that are out of the face clusters.

Finally, the authors propose a ranking evaluation protocol inspired in the field of retrieval analysis.

## Weaknesses

The method using the dataset with the full 123M distractor images produces a representation that needs to be distributed in chunks across servers, each one process about a million image instances. However, the authors don't provide an efficient algorithm for merging the results nor prove that the algorithm is unaffected in single-thread environments.

Also, the method is dependent of a k that depends on the number of instances, but the authors don't specify how k should be modified. They tested with different

#### Future Work

Otto et al. mentions that the dimensional vector representation could be improved through a better deep model architect that perform better on profile/side faces.

It would be beneficial to enforce pairwise constraints like must-link and cannot-link.

Also the authors

# References

2679100.

Huang, Gary B. et al. (Oct. 2008). "Labeled Faces in the Wild: A Database forStudying Face Recognition in Unconstrained Environments". In: Workshop on Faces in 'Real-Life' Images: Detection, Alignment, and Recognition. Erik Learned-Miller and Andras Ferencz and Frédéric Jurie. Marseille, France. URL: https://hal.inria.fr/inria-00321923.

Mancoridis, S. et al. (June 1998). "Using automatic clustering to produce high-level system organizations of source code". In: *Proceedings. 6th International Workshop on Program Comprehension. IWPC'98 (Cat. No.98TB100242)*, pp. 45–52. DOI: 10.1109/WPC.1998.693283.

Muja, M. and D. G. Lowe (Nov. 2014). "Scalable Nearest Neighbor Algorithms for

High Dimensional Data". In: IEEE Transactions on Pattern Analysis and

Machine Intelligence 36.11, pp. 2227–2240. ISSN: 0162-8828. DOI: 10.1109/TPAMI.2014.2321376.
Otto, C., D. Wang, and A. K. Jain (Feb. 2018). "Clustering Millions of Faces by Identity". In: IEEE Transactions on Pattern Analysis and Machine Intelligence 40.2, pp. 289–303. ISSN: 0162-8828. DOI: 10.1109/TPAMI.2017.

- Silpa-Anan, C. and R. Hartley (June 2008). "Optimised KD-trees for fast image descriptor matching". In: 2008 IEEE Conference on Computer Vision and Pattern Recognition, pp. 1–8. DOI: 10.1109/CVPR.2008.4587638.

  Simonyan, Karen and Andrew Zisserman (2014). "Very Deep Convolutional Net-
- Pattern Recognition, pp. 1–8. DOI: 10.1109/CVPR.2008.4587638.

  Simonyan, Karen and Andrew Zisserman (2014). "Very Deep Convolutional Networks for Large-Scale Image Recognition". In: pp. 1–14. ISSN: 09505849. DOI: 10.1016/j.infsof.2008.09.005. arXiv: 1409.1556. URL: http://arxiv.org/abs/
- Wolf, L., T. Hassner, and I. Maoz (June 2011). "Face recognition in unconstrained videos with matched background similarity". In: *CVPR 2011*, pp. 529–534. DOI: 10.1109/CVPR.2011.5995566.

Yi, Dong et al. (2014). "Learning face representation from scratch". In: arXiv preprint arXiv:1411.7923.

1409.1556.

Zhu, C., F. Wen, and J. Sun (June 2011). "A rank-order distance based clustering algorithm for face tagging". In: *CVPR 2011*, pp. 481–488. DOI: 10.1109/

CVPR.2011.5995680.