

Chapter 1

When the applicative needs the antipassive

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Abstract. In some languages, an antipassive morpheme feeds applicativization, in others, it bleeds it. The analysis of this asymmetry given here relies on two recent proposals: Pyllkänen2008's (Pyllkänen2008) view that the low applicative must merge with a transitive verb and Basilico's (2012, 2017) claim that the antipassive marker can introduce an internal argument. In those cases where the antipassive feeds the applicative, the antipassive marker introduces the internal argument, while in those cases where it bleeds it, the antipassive marker is the expected intransitivizer, disallowing an internal argument from appearing syntactically. This work provides a parsimonious account of the cross-linguistic differences in applicative formation with the antipassive.

1 Introduction

In a number of languages, an antipassive morpheme appears in cases of applicativization.¹ A particularly interesting example comes from Chukchi (Dunn, 1999).

¹1. I would like to thank the audience at the *Dative structures and beyond* conference for helpful insights and comments, as well as two anonymous reviewers for their reading and comments. The usual disclaimers apply. Abbreviations used in the paper are as follows.1sg first person singular asp aspectual morpheme2sg second person singular erg ergative3sg third person singular ind indicative moodabs absolutive instr instrumentalaor aorist part partitive moodap antipassive pt particle

He considers that there is both an applicative and antipassive form of the *-ine* prefix. An example of the applicative use of *-ine* is seen in the following examples. **Dunn1999** states “this applicative relates to the original transitive stem so that the O of the original stem is an oblique and another oblique argument of the original stem is the O.”

(1)

Chukchi (**Dunn1999**)

a. ətlʔa-ta jəme-nenat ewirʔ-ə-t

mother-erg hang-3sga.3plo clothing-e-3pl.abs

‘Mother hung up the clothes.’

b. ətlʔa-ta ena-jme-nen tətəl meniʔ-e

mother-erg ap-hang-3sga.3sgo door. 3sg.abs cloth-inst

‘Mother hung the door with cloth.’

Note that the translations in the examples are different. In (a), the theme is an absolutive while in (b) it is an oblique, with the added argument in (b) being a location that appears as the absolutive. Note also that the morpheme *-ine* appears (as *-ena* as a result of phonological processes). The antipassive use of *-ine*, which is more well-known, is seen in the following example (??).

(2)

Chukchi (Kozinsky, Nedjalkov, & Polinskaja, 1988)

a. Qənwer ʔettʔ-e rəlpʔen-nin gutil-ən. finally dog-erg broke-aor.3sg/3sg ether-abs

‘Finally the dog broke the tether.’

b. Qənwer ʔettʔ-ən ine=nləpʔet=gʔi (gutilg-e).

finally dog-abs ap-broke-aor.3sg (tether-instr)

‘Finally the dog broke the tether.’

In (??), we see a transitive, ergative clause. The subject is in the ergative case, and the direct object in the absolutive, with the verb showing agreement with both the subject and object. In (??), we have the antipassive clause. The subject in the absolutive case, with the object in an oblique case and agreement with the subject only.²

²2. There is also a use of the antipassive morpheme in Chukchi which has been dubbed the ‘spurious antipassive’ by (Hale, 2002) and discussed in (Bobaljik & Branigan, 2006) and (Bobaljik,

To explain this ‘applicative’ use of the antipassive morpheme, I propose a different analysis. Rather than considering that *-ine* has both an antipassive and applicative use, I propose that *-ine* is an antipassive marker only. In those cases where we see an applicative use of *-ine*, we have the antipassive use of the suffix, with the antipassive feeding the appearance of a null applicative.

The explanation for the presence of the antipassive morpheme relies on an analysis of the low applicative construction given in (Pylkkänen, 2008), as well as an analysis of the antipassive construction given in (Basilico, 2012, 2017). In short, Pylkkänen2008 requires that the low applicative merge with a verb that introduces an internal argument. Basilico2017, building on Borer, 2005, Lohndal, 2014, Acedo-Matellán & Mateau, 2014 and others, considers that verbs do not necessarily introduce any of their arguments. For Basilico2017, the antipassive morpheme, rather than being a detransitivizing morpheme, is one way for an internal argument to be introduced. Thus, the antipassive morpheme merges with the verb that has no arguments and creates a verb that introduces an internal argument. In this way, the verb becomes the right type to serve as an argument of the applicative.

I turn to an overview of these two proposals next.

2 The low applicative and arguments within the VP

Pylkkänen2008 extends Kratzer1996’s (Kratzer1996) analysis of external arguments to certain kinds of applied arguments. Her ‘high applicatives’ are those extra arguments which can occur in the absence of a direct object. In these cases, the applied argument is introduced by a separate syntactic head, like the external argument in Kratzer1996’s (Kratzer1996) analysis, and introduces a thematic role predicate $\lambda x\lambda e[\text{benefactive}(x,e)]$, notated as *bene* here. It integrates semantically by event identification (see figure one).

AppIP $\lambda e[\text{feed}(\text{the dog},e) \ \& \ \text{benefactive}(\text{Mittie},e)]$

3

DP Appl’ $\lambda x\lambda e[\text{feed}(\text{the dog},e) \ \& \ \text{benefactive}(x,e)]$

Mittie 3

2007). Here, we see the antipassive morpheme as a kind of ‘inverse agreement’, when “a second or third person participant acts upon a first person participant” (Polinsky, 2016). These examples are from Polinsky2016. (i) ə-nan ɣəm ine-ʔʉu-ɣʔi. 3sg.erg 1sg.abs ap-see-aor.3sg S/he saw me. (ii) ɣət-nan muri ʔʉu-tku-Ø 2sg.erg 1sg.abs see-ap-aor.2sg Bobaljik and Branigan attempt to unify this use of the antipassive morpheme with its more general use. However, I follow Polinsky2016 and treat these as agreement markers and not involved with argument addition or elimination/demotion. I do not treat these constructions in this work.

Appl VP $\lambda e[\text{feed}(\text{the dog}, e)]$
 bene 3
 DP V' $\lambda x \lambda e[\text{feed}(x, e)]$
 the dog g
 V $\lambda x \lambda e[\text{feed}(x, e)]$
 feed

Figure One: High Applicative

These 'high applicatives' are contrasted to 'low applicatives' which are extra arguments that occur only in the presence of a direct object. In these cases, the applicative head combines with both noun phrases, the direct object and then the applied (indirect) object before the entire applicative structure merges with the verb. The semantic representation of the applicative head in this case is more complex: $\lambda x. \lambda y. \lambda f. \lambda e. f(e, x) \ \& \ \text{theme}(e, x) \ \& \ \text{to-the-possession}(x, y)$. The verb in this case must introduce an argument. I give the structure in figure two with the corresponding semantics given below the structure.

VP
 3
 V ApplP
 buy 3
 DP Appl'
 John 3
 Appl DP
 the book
 [ApplP] $\lambda f. \lambda e. f(e, \text{the book}) \ \& \ \text{theme}(e, \text{the book}) \ \& \ \text{to-the-possession}(\text{the book}, \text{John})$.
 [[buy]] $\lambda x. \lambda e. \text{buying}(e) \ \& \ \text{theme}(e, x)$
 [[VP]] $\lambda e. [\text{buying}(e) \ \& \ \text{theme}(e, \text{the book}) \ \& \ \text{to-the-possession}(\text{the book}, \text{John})]$.

Figure Two: Low Applicative

The agent will be added by a separate Voice head and the thematic role predicate and argument will be integrated into the semantic representation through event identification (not shown).

The phenomenon of low applicatives interacts with the notion of transitivity and the introduction of internal arguments. For **Pyllkänen2008**, low applicatives are possible only with transitive verbs, since they involve a relation between two DPs.

3 The antipassive as an argument introducer

Though the antipassive appears to be an intransitivization process, Basilico (2012, 2017) proposes, based in part on asymmetries in the appearance of antipassive morphemes in Eskimo-Aleut languages, that the antipassive morpheme actually adds an argument rather than demotes or saturates an argument. In these languages, core transitive, result verbs (CTV) (as discussed first in (B. Levin, 1999), Rappaport Hovav & Levin, 1999 and subsequent work) such as ‘break’ and ‘open’ always occur with an overt antipassive morpheme in an antipassive construction.

(3)

Inuktitut (Spreng2012)

a. Piita-up naalautiq surak-taa

Peter-ERG radio.ABS break-PART.3SG/3SG

‘Peter broke the radio.’

b. Piita surak-si-juq (naalauti-mik).

Peter.ABS break-AP-PART.3SG (radio-MIK)

‘Peter is breaking the radio.’

c. *Piita surak-tuq (naalauti-mik).

Peter.ABS break-PART.3SG radio-MIK

‘Peter broke the radio.’

Non-core transitive manner verbs (NCTV) such as ‘eat’ and ‘drink’ appear in an antipassive frame with no special morphology.

(4)

Inuktitut (Spreng, 2012)

a. anquti niri-vuq (niqi-mik).

man.ABS eat-IND.3SG meat-MIK

The man is eating meat.

b. anguti-up niqi niri-vaa

man-ERG meat.ABS eat-IND.3SG/3SG

The man is eating meat.

Basilico2017 proposes that core transitive verbs do not introduce their internal argument, while non-core transitive verbs do. In this way, he builds from Rappaport-Hovav and Levin1999’s (Levin1999) idea that the internal argument

of a NCTV is introduced by the verbal root in a monoeventive event structure template, while the internal argument of a CTV is a ‘structure’ argument of a bieventive event structure template, as seen in (??) and (??) below.

(5)

[x act_{<manner>} y]

(6)

[[x act_{<manner>}] cause [become [y <state>]]]

In (??), the ‘y’ participant is licensed by the root component that fills in the <manner> element of the monoeventive activity template. In (??), the y component is actually part of the CTV change of state template itself and so it must be present whenever there is a change of state verb.

In the Eskimo language Iñupiak, (Nagai, 2006) describes the difference between two seemingly synonymous verbs which both mean ‘wet to tan’: *aṇula-*, which is an agentive verb and *imaq-*, which is patientive. Agentive verbs do not occur with an antipassive morpheme and in their single argument intransitive frame appear with the external argument only as the subject. Patientive verbs must occur with an antipassive morpheme and in their single argument intransitive frame appear with their internal argument as the subject; in this frame they are unaccusative. With respect to the agentive *aṇula-*

[t]he focus, however, is not on the patient’s changing state from not being wet to being wet, but on the agent’s process of wetting the patient. Thus, even though it implies the agent’s changing the state of the patient, the focus is not on the patient’s change of state, but on the process of the agent’s being engaged in the activity of wetting the patient. On the other hand, *imaq-* “wet to tan” focuses on the patient’s changing state from not being wet to being wet.

This discussion of the difference between these two verbs recalls the manner/result distinction, in which the agentive verb focuses on what the agent does in carrying out the process (manner), while the patientive focuses on the result of the process. CTVs are typically result verbs, while manner verbs are NCTVs.

In the framework adopted here, a CTV is a predicate of events only, while a NCTV is a relation between an event and an entity. A CTV in Eskimo-Aleut would be a patientive, result verb while a NCTV would be agentive, manner verb.

‘niri’: $\lambda x \lambda e [\text{eat}(e, x)]$

VP $\lambda e [\text{eat}(e, \text{meat})]$

3

$\lambda x \lambda e[\text{eat}(e, x)]$ V NP_{mik}

niri niqi-mik

Figure Three: NCTV syntax

'surak': $\lambda e[\text{break}(e)]$

Trans $\lambda e[\text{theme}(e, \text{radio}) \ \& \ \text{break}(e)]$

3

NP Trans' $\lambda x \lambda e[\text{theme}(e, x) \ \& \ \text{break}(e)]$

naalautiq3

$\lambda x \lambda e[\text{theme}(e, x)]$ Trans VP

theme g

V

surak $\lambda e[\text{break}(e)]$

Figure Four: CTV syntax

'surak-si' $\lambda x \lambda e[\text{break}(e, x)]$

VP $\lambda e[\text{theme}(e, \text{radio}) \ \& \ \text{break}(e)]$

3

$\lambda x \lambda e[\text{theme}(e, x) \ \& \ \text{break}(e)]$ V NP_{mik}

3naalauti-mik

V ap

$\lambda e[\text{break}(e)]$ surak si $\lambda x \lambda e[\text{theme}(e, x)]$

Figure Five: CTV+antipassive syntax

As can be seen in the above, the CTV in the transitive frame (figure four) has the internal argument introduced outside the VP by separate head, which I notate as Trans, which is the head of a Transitive Phrase. It is the counterpart of Voice for the internal argument. This Trans head introduces a thematic role predicate (the theme thematic role) in its head. This thematic role predicate is integrated semantically through event identification. In this way, the internal argument is introduced very much like an external argument or a high applicative argument (Johns & Kučerová, 2017). In the antipassive frame for the CTV (figure five), the antipassive morpheme, like Trans, introduces the internal theme argument through a thematic role predicate, but in this case it introduces the argument within the VP. In this way, the antipassive syntax for the CTV in terms of introducing the argument mirrors that of the NCTV, which lexically introduces its argument within the VP

To these representations, we add a Voice head which introduces an external argument thematic role predicate, here agent. In the transitive, a transitive Voice head assigns ergative case to its subject, with Tense assigning absolutive case to

the direct object. In the antipassive, an intransitive Voice head assigns no case, with the external argument assigned case from Tense.

TP
 3
 T VoiceP $\lambda e[\text{agent}(e, \text{Peter}) \ \& \ \text{theme}(e, x) \ \& \ \text{break}(e)]$
 3
 NP Voice' $\lambda y \lambda e[\text{agent}(e, y) \ \& \ \text{theme}(e, x) \ \& \ \text{break}(e)]$
 Piita-up 3
 $\lambda x \lambda e[\text{agent}(e, x)]$ Voice TransP $\lambda e[\text{theme}(e, \text{radio}) \ \& \ \text{break}(e)]$
 agent 3
 NP Trans' $\lambda x \lambda e[\text{theme}(e, x) \ \& \ \text{break}(e)]$
 naalautiq3
 $\lambda x \lambda e[\text{theme}(e, x)]$ Trans VP
 theme g
 $\lambda e[\text{break}(e)]$ V

Figure Six: CTV with external argument

TP
 3
 T VoiceP $\lambda e[\text{agent}(e, \text{Peter}) \ \& \ \text{theme}(e, x) \ \& \ \text{break}(e)]$
 3
 NP Voice' $\lambda y \lambda e[\text{agent}(e, y) \ \& \ \text{theme}(e, x) \ \& \ \text{break}(e)]$
 Piita 3
 $\lambda x \lambda e[\text{agent}(e, x)]$ Voice VP $\lambda e[\text{theme}(e, \text{NP}) \ \& \ \text{break}(e)]$
 Agent3
 $\lambda x \lambda e[\text{theme}(e, x) \ \& \ \text{break}(e)]$ V NPMik
 3naalauti-mik
 $\lambda e[\text{break}(e)]$ V ap $\lambda x \lambda e[\text{theme}(e, x)]$
 surak si

Figure Seven: NCTV syntax with external argument

4 The analysis: Putting it all together

Pyllkänen2008 requires that a low applicative phrase merge with a verb that introduces its internal argument. If we consider that the verb itself does not introduce an argument, then it is not possible for a verb to be the argument for ApplP. Basilico2017 considers that an antipassive morpheme can step in to turn the verb into one that does introduce its argument. Since the verb is now of the right semantic type, the applicative phrase can now merge with the verb. In this way, we

explain why the antipassive morpheme appears in this applicative construction; the antipassive feeds the applicative by supplying the internal argument.

Moving to a concrete example, we can give an analysis for the argument rearrangement seen in the example with the verb ‘hang’ above in (??). In the basic form, the verb introduces no internal argument; the theme argument is introduced by a separate *v* head outside of the VP, as in figure eight.

TransP
3
NP Trans’
ewirʔ-ə-t 3
Trans VP
theme g
V
jəme

$\lambda e[\text{theme}(e, \text{clothes}) \ \& \ \text{hang up}(e)]$

Figure Eight: Syntax for transitive ‘hang’

With the ‘applicative’ form, we can think of the ‘door’ coming to ‘have’ the cloth. By hypothesis, the verb *jəme* ‘hang’ has no arguments. The antipassive morpheme *ine-* combines with the verb to add an argument position to the verb. In this way, the verb becomes the right type to semantically compose with ApplP. The null applicative morpheme merges first with the theme/possessee *meniʔ* ‘cloth’ and then with the possessor *tətəl* ‘door’. The whole ApplP then merges with the verb that is of the right semantic type after the merger of the antipassive morpheme. Note that the introduction of the Trans head comes too late to supply the internal argument. The Appl head must combine with a verb with an argument, and though the Trans head does supply a theme argument, creating a structure of the right semantic type, the phrase formed is not the right syntactic type for the ApplP because it creates a Trans functional phrase rather than a V.

Let me walk through a derivation here. First, the verb combines with the antipassive morpheme to introduce an internal argument.

(7)

$[_V \text{ ena } jme] \ \lambda x \lambda e[\text{hang}(e) \ \& \ \text{theme}(e, x)]$

The applicative head merges with the direct object and then with the indirect object to create the applicative phrase.

(8)

$[_{\text{ApplP}} [\text{t}\text{ə}\text{t}\text{əl}] [\text{Appl}' \text{Appl} [\text{NP} \text{meni}\gamma\text{-e}]]] \lambda f \lambda e f(e, \text{the cloth}) \& \text{theme}(e, \text{the cloth}) \& \text{to-the-po}$

Finally, the ApplP formed in (??) merges with the V from (??) to create the VP.

The antipassive morpheme has adjoined to the V, allowing the V to project.

(9)

$[_{\text{VP}} [_{\text{V}} \text{ena jme}] [_{\text{ApplP}} [\text{t}\text{ə}\text{t}\text{əl}] [_{\text{Appl}'} \text{Appl} [\text{NP} \text{meni}\gamma\text{-e}]]]]$
 $\lambda e [\text{hang}(e) \& \text{theme}(e, \text{cloth}) \& \text{to-the-possession-of}(\text{door, cloth})]$

VP

wo

V ApplP

3 3

ena V NP Appl'

jme tətəl 3

Appl NP

meniγ-e

Figure Nine: Applicative syntax.

Thus, the applicative use of the antipassive morpheme is not an applicative use per se; antipassive formation is necessary to feed applicative formation. Here, the applicative morpheme is null. If this analysis is on the right track, as noted in Cuervo (this volume), a defining feature of an applicative morpheme need not be its overt exponence. Furthermore, note that in this analysis of applicatives, as with Pyllkänen's original (??) analysis, the Appl head selects not only for a DP as a complement but the entire ApplP selects for a transitive verb. Thus, in terms of Cuervo's (this volume) typology for applicatives, these Appl heads that have non-verbal complements (in this case a NP or DP) can only appear within a clause that has a transitive verb. But the point in the configuration at which the internal argument is important. The analysis here posits two positions for the internal argument, one within the VP and one external to the VP within a functional projection. Thus, as in both Cuervo's (this volume) and Weschler's (this volume) analyses, the point in the structure at which the applicative is introduced is important, especially in those theories which introduce arguments syntactically.

4.1 Not a case of ‘raising’

Support for the idea that these structures involves applicative formation and not a syntactic rearrangement of noun phrases as a result of movement comes from meaning differences in antipassive sentences in which there is ‘locative’ advancement (Polinskaja & Nedjalkov, 1987). I argue that these cases of advancement of the locative argument to absolutive position in the context of the antipassive is another instance in which we see antipassivization necessary for the addition of an applied argument. Consider the following.

(??) Chukchi (PolinskajaNedjalkov1987)

a. ətləg-e mətqəmət (kawkaw-ək) kili-nen.

father-ERG butter.ABS (bread-LOC) spread on-3SG/3SG(AOR)

b. ətləg-ən mətq-e (kawkaw-ək) ena-rkele-g’e.

father-ABS butter-INSTR (bread-LOC) AP-spread on-3SG(AOR)

c. ətləg-ə mətq-e kawkaw ena-rkele-g’e.

father-ERG butter-INSTR bread.ABS AP-spread on-3SG(AOR)

“The father spread butter on the bread.”

In (a) we have the ergative, transitive clause, and in (b) we have the antipassive variant. The (c) example shows the placement of the location ‘bread’ as the absolutive argument but the verb still contains the antipassive morpheme. A second example is from Kodzinsky, NedjalkovPolinskaja1988.

(10)

Chukchi (Kozinsky, NedjalkovPolinskaja1988)

a. ətləg-e təkeč?-ən utkuč?-ək pela-nen.

father-ERG bait-ABS trap-LOC leave-3SG/3SG

b. ətləg-en təkeč?-a utkuč?-ək ena-pela-g’e.

father-ABS bait-INS trap-LOC AP-leave-3SG

c. ətləg-e təkeč?-a utkuč?-ən ena-pela-nen.

father-ERG bait-INS trap-ABS AP-leave-3SG/3SG

“The father left the bait by the trap.”

In the (a) example, we have a transitive, ergative structure with the noun phrase *təkeč?-ən* ‘bait’ as the absolutive (affixed with - *ən*) and the noun phrase *utkuč?-ək* ‘trap’ with a locative case marker (-*ək*) attached. The (b) example gives the antipassive counterpart of the (a) example, where the noun phrase *təkeč?-a* ‘bait’ is now in the instrumental case (affixed with -*a*) and the verb is affixed with the antipassive *ena-* morpheme. The subject is in the absolutive case and the verb

shows agreement only with the subject. What is interesting is the (c) example. Here we have what looks like an antipassive clause; the verb is affixed with the antipassive morpheme *ena-* and the noun phrase ‘the bait’ is in the instrumental case—exactly as in (b). However, the location argument *utkučʔ-ən* ‘trap’ is not affixed with the locative marker but appears in absolutive case, and the verb shows both subject and object agreement, agreeing with the absolutive ‘trap’. We have a transitive clause here, with *ətləg-e* ‘the father’ as the subject and *utkučʔ-ən* ‘the trap’ as the absolutive object. The ‘original’ direct object still appears as a ‘demoted’ object, and the verb still appears with antipassive morphology.

We might at first take the raising of the locative element to be movement of the locative element internal to the VP and adjoined to some other phrase, where it can receive absolutive case. However, there is a meaning difference between the (a) and (b) examples as contrasted to the (c) example in (?). Kozinsky, NedjalkovPolinskaja1988 state that (c) means something quite different from (a), and derive this difference from a pragmatic suprapositional meaning (SPM) difference between the two clauses. Kozinsky, Nedjalkov and Polinskaja1988 give the SPM for the (a) example as “the bait has changed its location,” while that for (c) is not merely about a change in location but “implies that some bait is put in the trap which is, thus, ready for operation”. They note that the two sentences have different truth conditions; they state that “the former [example (?)] can be used if the trap and the bait are merely stockpiled in one and the same place for the time being, while the latter [example (?)] can by no means denote such a situation.”

While (a) and (c) are not truth conditionally equivalent, (b) and (a) are. Though Kozinsky, NedjalkovPolinskaja1988 derive this denotational difference from a pragmatic difference, it seems unlikely that a pragmatic difference can lead to different denotational semantics. We need a representation in which we can explain why (a) and (c) are denotationally different.

I argue here that the promotion of the locative is a case of a low applicative. Thus, just like above, here the ‘promoted’ object is in the specifier of a low applicative. The antipassive morphology is needed so there can be an argument position within the VP.

In the basic transitive case, we have a change of location structure. The location argument is projected within the VP, and the theme element, in this case ‘the bait’, appears within a *v*[theme] head. The structure of the verb phrase will be as in figure ten, with its semantics shown beneath.

TransP

3

NP Trans'
təkečʔ-ən3

Trans VP

3

V NPloc

pela utkučʔ-ək

λe[leave(e) & loc(e, at trap) & theme(e, bait)]

Figure Ten: Transitive syntax and semantics

We can antipassivize this structure. The morpheme *ine-* introduces the theme argument within the verb phrase. This structure is denotationally synonymous with (??) above because there is no difference in the roles that the participants play in the event. The only difference is where and how the theme argument is introduced. Figure eleven gives the antipassive syntax.

VP

3

NP V

təkečʔ-a3

V NPloc

3utkučʔ-ək

ena V

pela

λe[leave(e, at trap) & und(e, bait)]

Figure Eleven: Antipassive syntax and semantics

In the case of the promotion of the locative NP to absolutive, here I argue that the structure is different; there is a low applicative morpheme introduced and 'the trap' appears in the specifier of this applicative morpheme. I show the syntax in figure twelve. This applicative morpheme introduces a possession relation between 'the trap' and 'the bait'; thus, 'the trap' has 'the bait'. It is this difference—the presence of the applicative morpheme that introduces a possessive applicative relation—that accounts for the denotational difference between the (a)/(b) cases and the (c) case. In the (c) case, the trap must come to have the bait at the end of the event, while in the (a)/(b) case we only have a change of location structure so 'the trap' and 'the bait' need only be spatially near each other at the end of the event. Perhaps a better translation for the 'locative advancement' sentence is 'The father left the trap with bait'.

VP

wo

V ApplP

3 3

ena V NP Appl'

pela utkučʔ-ən³

Appl NP

təkečʔ-a

lə [leave(e) & theme(e, bait) & to-the-possession-of (trap, bait)]

Figure Twelve: Applicative syntax and semantics

The notion that these examples of locative advancement involve an applicative element is also supported by impossibility of incorporating the locative nominal into the verb.

(11)

Chukchi (Kodzinsky, NedjalkovPolinskaja1988)

a. *ətləg-e təkečʔ-ən utkučʔə-pela-nen.

father-ERG bait-ABS trap-leave-3SG/3SG

b. *ətləg-en təkečʔ-a utkučʔə-pela-gʔe

father-ABS bait-INST trap-leave-3SG

"The father left the bait by the trap."

This lack of incorporation is somewhat surprising, since absolutive arguments usually can incorporate. But if we take the locative argument to be an applicative argument, then we can reduce the lack of incorporation to another well-known restriction in noun incorporation: goal/recipient/possessor (indirect object) arguments do not incorporate (Baker, 1988).

Another reason to consider that antipassivization introduces an argument comes from cases of antipassivization feeding 'dative shift'. The following example shows 'dative shift' with a change of state verb.

(12)

Chukchi (Spencer, 1995)

a. ətləg-e akka-gtə qora-ŋə təm-nen.

father-erg son-dat deer-abs kill-3sg.s/3sg.o

b. ətləg-e ekək ena-nmə-nen qora-ta.

father-erg son.abs ap-kill-3sg.s/3sg.o deer-instr

"The father killed a reindeer for the son."

What is interesting in this case is that a change of state verb such as 'kill' appears to undergo the dative (really the benefactive) alternation; however in

this case, as the (b) example shows, the verb must first be antipassivized before the benefactive argument can appear as the absolutive. Verbs of change of state such as ‘kill’ in English do not undergo this alternation, while verbs of creation can.

(13)

English

- a. The father killed a reindeer for his son.
- b. *The father killed his son a reindeer.
- c. The father built a house for his son.
- d. The father built his son a house.

If a core transitive result verb such as ‘kill’ does not introduce its argument, then the verb is not the right type to serve as an argument of ApplP. However, a creation verb such as ‘build’ is a noncore transitive verb and does introduce its argument, so it can serve as the input to applicativization.³ Thus, we explain the difference in English above. But in Chukchi, it is possible for this core transitive result verb to undergo the benefactive alternation, but only when the antipassive morpheme is present. So we see again that the addition of an applied object, in this case the benefactive, requires the antipassive. The verb ‘kill’ does not introduce an argument at the VP level, so the antipassive morpheme is necessary to introduce one. Though Trans does eventually introduce an internal argument, it is outside of the VP domain so it is merged too late for the ApplP, which must merge with a verb.⁴ This contrast with the oblique marked location argument shows that the antipassive does not involve the loss of absolutive case (as in **Baker1988**), since absolutive is available for the promoted argument. Thus, it is unlikely that the antipassive morpheme is the head of a special external argument introducing v head that does not assign case (T. Levin, 2015), or blocks T from assigning case, thus forcing an oblique case for the undergoer argument.⁵

³Also, verbs of creation are agentive verbs in Eskimo-Aleut, as in this example from Central Alaskan Yup’ik (Miyaoka, 2012), which is expected if creation verbs introduce their argument. (i) *kenir-tuq cook-ind.3sg* She is cooking something.

⁴**Spencer1995** states that ‘dative shift’ has not been reported to occur with intransitive verbs. Thus, it is unlikely that the phenomenon illustrated here in a high applicative, since high applicatives can occur with intransitive verbs.

⁵We could analyze the promotion of the location argument to absolutive as a case of an additional high applicative element, perhaps assigned some ‘affected’ role. The denotational difference would come from this ‘affected’ role. However, this analysis does not gain us much over the analysis presented above: there are still two ‘object’ positions, one within the VP

4.2 Not just for case reasons

One final note concerns whether or not the addition of the antipassive argument with the applicative is necessary for argument structure reasons or simply case reasons. One potential alternative explanation for the presence of the antipassive is that there are not enough structural case positions for all the arguments. We might suggest that the promoted locative argument ‘steals’ absolutive case from the undergoer argument, so there is no structural case for the undergoer argument. Antipassivization is then required in order to assign case to the undergoer if the location receives the only absolutive.

For Baker1988, antipassivization absorbs the case assigning ability of the verb, so applicatives should be impossible with antipassivized verbs. He gives examples from Tzotzil which motivate this claim.

(14)

Tzotzil (Aissen, 1983)

a. č-i-ʔak'-van.

asp-a1-give-ap

“I am giving [someone].” (i.e. my daughter, in marriage)

b. *taš-Ø-k-ak'-van-be li Šune.

asp-A3-E1-give-ap-to the Šun

“I am giving [someone] to Šun.” (my daughter, in marriage)

Here, the antipassive suffix is *-van* and the applied suffix is *-be*.

So there is some cross-linguistic difference here in the ability of antipassives to have applied arguments. An explanation for this difference comes from the different types of antipassive markers. In this case, the antipassive marker in Tzotzil, unlike *ine-* Chukchi, is not an argument introducer but an intransitivizer. Note that unlike the antipassive in Chukchi, these examples from Tzotzil are absolutely intransitive; Aissen1993 states that “verbs suffixed with *-van* have a reading like ‘to do x to y or with respect to y’ where y must be human, either a nonspecific human or a discourse referent. In either case, *verbs suffixed with -van never occur with an overt object*” [italics mine].

(15)

and there is still an applicative head. The analysis presented in the text is superior, though, in the sense that elements that generally are assigned only an ‘affected’ role tend to be animate and/or sentient (Bosse, Bruening, & Yamada, 2012).

Tzotzil (Aissen1983)

a. Muk' bu š-i-mil-van.

never asp-a1-kill-van

"I never killed anyone."

b. ... š-k'-ot sibtas-van-uk-Ø.

...asp-come frighten-van-uk-a3

he came to frighten [people].

c. ?Ak'-b-at-Ø s-ve?el, ?i- Ø-ve? lek.. Ta ša

give-be-pass-a3 his-meal asp-a3-eat well asp now

la š-Ø-mey-van, ta ša la š-Ø-buə'- van

pt asp-a3-embrace-van asp now pt asp-A3-kiss-van

ti kriarailetike.

the maids

"He was given his meal, he ate well. The maids embraced [him] and kissed [him]."

These 'absolutely intransitive' verbs do not introduce a syntactic argument, not even an internal argument marked with oblique case or a null syntactic one. Though their lexical-conceptual meaning has two participants, there is no argument in the syntax; rules of construal based on pragmatics and the lexical-conceptual meaning of the verb derive the interpretation of a second event participant. If there is no internal argument introduced, then there can be no low applicative formation.

An alternative to this analysis considers that this antipassive marker does introduce an argument, but that this argument comes existentially closed and thus there is no open argument position. The verb, then, is still not of the right type to combine with the ApplP, because the internal argument position has been saturated. In this way, both types of antipassive markers introduce arguments, with the difference attributed to whether or not that argument position is open or closed. Furthermore, we can then make a parallel with the passive construction, as some languages allow the external argument to be expressed as an oblique and some do not. However, these 'missing objects' in this absolutely intransitive constructions are not interpreted existentially, but either as a discourse referent or generically. In fact, antipassive clauses with *-ine* in Chukchi and *-si* in Inuit with no overt oblique argument can be interpreted existentially, unlike the examples from Tzotzil given above.

In addition, another alternative is to consider that the antipassive morpheme does suppress absolutive case, but the difference between Chukchi and Tzotzil is that the Appl morpheme itself brings along absolutive case in Chukchi but not

Tzotzil. However, this alternative is unlikely since even in a simple antipassive construction in Tzotzil with no applicative, the internal argument is not allowed. Thus, the internal argument in Tzotzil is never possible.⁶

Thus, we see here how considering whether or not an antipassive morpheme introduces an argument can explain some of the cross-linguistic variation seen in applicativization and antipassivization.⁷

5 Conclusion

In some languages, antipassivization is necessary for applicativization. Following Basilico (2012, 2017), I argue that the antipassive morpheme can introduce an internal argument. This argument introduction allows for low applicative formation, given Pyllkänen2008's (Pyllkänen2008) analysis that low applicatives require transitive verbs. In those cases where antipassivization does not support applicativization, these antipassive morphemes do not introduce an internal argument. These latter constructions allow no oblique internal argument to be present in the syntax. Case reasons alone cannot explain these facts.

By upending the standard notion that antipassivization always involves argument elimination or demotion, but can involve argument addition, this study accounts for a seemingly contradictory cross-linguistic relationship between antipassivization and applicativization.

We have further support for the view that internal arguments can be introduced in the syntax. In addition, this work shows that there are two different positions for the introduction of the internal argument, one internal to the VP and one external to the VP. This analysis asks us to revisit notions such as Baker1988's (Baker1988) Uniformity of Thematic Assignment Hypothesis and well as the syntactic characterization of the unaccusative and unergative distinction.

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⁶6. I thank an anonymous review for both alternatives suggested here.

⁷7. A prediction of this approach to the antipassive is that verbs which introduce their arguments and thus do not appear with overt antipassive morphology in the antipassive construction (such as agentive verbs in Eskimo-Aleut) would not need antipassive morphology with a low applicative. Unfortunately, I do not have such data available to me which shows that this prediction is confirmed. Thank you to both reviewers for pointing out this prediction to me.