# Adjective attribution

Michael Rießler



Studies in Diversity Linguistics 4



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Pro	eface		xi
Ab	brevi	iations and notational conventions	xiii
	1	Morphological glosses	xiii
	2	Syntactic classes and phrase constituents	xiv
	3	Abbreviations for cardinal directions	xiv
	4	Other symbols	xiv
I	Pro	eliminaries	1
1	Intr	oduction	3
2	Nou	n phrases and adjectival modifiers	5
	2.1	Noun phrases	5
	2.2	Adjectival modifiers	5
	2.3	Syntax of adjectival modification	7
		2.3.1 Noun phrase internal syntax	8
		2.3.2 Headless noun phrases	10
		2.3.3 Appositional modification	13
3	The	syntax-morphology interface	15
	3.1	Morphosyntax	15
	3.2	Morphosyntactic features	17
	3.3	An ontology of morphosyntactic features	21
II	Ty	pology	23
4	Тур	ology of attribution marking	25
	4.1	Typologizing noun phrase structure	25
	4.2	Juxtaposition	29
	4.3	Incorporation	31

	4.4	Agreement marking
		4.4.1 Head-driven agreement
		4.4.2 Dependent-driven agreement
	4.5	Attributive state marking
		4.5.1 Head-marking attributive state
		4.5.2 Dependent marking attributive state 41
		4.5.3 Head+dependent marking attributive state 57
		4.5.4 Neutral attributive state
	4.6	Ontology of attribution marking 60
5	Polv	functionality 67
•	5.1	Polyfunctionality of modification markers 67
	5.2	Polyfunctionality and additional content
	5.3	Conclusion
	0.0	
***		The same of the sa
III	Syı	achrony 75
6	Intro	oduction 77
	6.1	The languages of northern Eurasia
	6.2	The language sample
	6.3	The language maps
		6.3.1 Geographic coding
		6.3.2 Type coding
		6.3.3 The maps
7	The	languages of northern Eurasia 81
	7.1	Eskimo-Aleut (Central Siberian Yupik)
	7.2	Chukotkan
		7.2.1 Chukchi
		7.2.2 Koryak
	7.3	Kamchatkan
	7.4	Nivkh
	7.5	Ainu
	7.6	Japanese
	7.7	Korean
	7.7	Sino-Tibetan (Dungan)
	7.9	Mongolic
	1.7	791 Mongolian 93

	7.9.2	Monguor, Moghol, Dagur	93
7.10	Tungus	sic	94
	7.10.1	North Tungusic	94
	7.10.2	Amur Tungusic	97
	7.10.3	Manchu	99
7.11	Yukagl	nir	99
7.12	Yenisei	an	101
7.13	Turkic		104
	7.13.1	Bulgar Turkic	104
	7.13.2	Common Turkic	106
7.14	Nakh-I	Daghestanian	107
	7.14.1	Daghestanian	108
	7.14.2	Nakh	115
	7.14.3	Chechen-Ingush	115
	7.14.4	Bats	116
7.15	Abkha	z-Adyghe	116
	7.15.1	Abkhaz	117
	7.15.2	Circassian	117
7.16	Kartve	lian	118
	7.16.1	Georgian	119
	7.16.2	Svan	120
	7.16.3	Zan	120
7.17	Semitio	2	121
	7.17.1	Northwest Semitic	121
	7.17.2	Central Semitic	122
7.18	Uralic		123
	7.18.1	Samoyedic	124
	7.18.2	Hungarian	124
	7.18.3	Khanty, Mansi, Mari, Mordvin	125
	7.18.4	Permic	125
	7.18.5	Finnic	129
	7.18.6	Saamic	130
7.19		uropean	133
	7.19.1	Albanian	134
	7.19.2	Armenian	137
	7.19.3	Indo-Iranian	138
	7.19.4	Baltic	141
	7.19.5	Celtic	142

		7.19.6	Germanic	143
		7.19.7	Hellenic	15
		7.19.8	Romance	152
		7.19.9	Slavic	155
	7.20	Basque		162
8	Area	l unifor	mity and diversity	163
	8.1	Atteste	d attribution marking devices	163
	8.2	Prototy	rpes of attribution marking devices	164
	8.3	Diachro	onic implications	165
IV	Dia	achrony	7	171
9	The	evolutio	on of attribution marking	173
	9.1		tive nominalizers	173
		9.1.1	Attributive nominalizers in Uralic and Turkic	174
		9.1.2	Attributive nominalizers in Indo-European	183
		9.1.3	Definite noun phrases in Germanic	19
		9.1.4	Attributive nominalization and anti-construct state	197
	9.2	Anti-co	onstruct state in Saamic	199
		9.2.1	State of research	200
		9.2.2	The origin of anti-construct state in Saamic	209
	9.3	Agreen	nent in Finnic	213
	9.4	Other a	attested scenarios of grammaticalization	216
		9.4.1	Articles, definiteness and adjective attribution	217
		9.4.2	Head-marking attributive construct state	220
		9.4.3	Innovation of juxtaposition	22
	9.5	Diachro	onic polyfunctionality	22
10	Area	ıl typolo	gy in the Circum-Baltic area	225
V	Co	nclusio	n	227
11	Resu	ılts and o	conclusions	229
	11.1		nd content	229
	11.2		tive findings	23
			The morpho-syntactic feature STATE	232

11.2.2 Embedded adjectival modifiers: synchrony	233
11.2.3 Embedded adjectival modifiers: diachrony	235
11.3 Other findings	236
11.4 Prospects for future research	238
Language sample and maps	241
Appendix A	241
List of references	259
Bibliography	259
Index	285
Name index	285
Language index	300
Subject index	308

# **Preface**

This is a revised version of my PhD dissertation *Typology and evolution of adjective attribution marking in the languages of northern Eurasia*, which I defended at the University of Leipzig in January 2011.

Freiburg, Monday 21st March, 2016

Michael Rießler

# Abbreviations and notational conventions

# 1 Morphological glosses

The following list includes only abbreviations for glossing of linguistic examples not defined by the Leipzig Glossing Rules.<sup>1</sup>

ABESS abessive

ADJZ adjectivizer, adjectivization
AGR (any kind of) agreement

ATTR or (attr.); attribution, attributive

ANR action nominal(izer)

COMPAR comparative (adjective derivation)

CONTR contrastive focus

crs currently relevant state

DERIV derivative, derivation (unspecified)

DIM diminutive ess essive

HUM human (gender)

ILL illative

INFL (any) inflection
MOD modification
NAR narrative (case)
NONFUT non-future

NONHUM non-human (gender)

PFCT perfective (verb derivation)

PRED or (pred.); predication, predicative

PREPOS prepositional

REAL realis

STAT stative (verb derivation)

SUPER superlative

<sup>&</sup>lt;sup>1</sup> http://www.eva.mpg.de/lingua/resources/glossing-rules/ 16.02.2014

UTR utrum, common (gender)

# 2 Syntactic classes and phrase constituents

A adjective

AdP adposition phrase AP adjective phrase ART (attributive) article

CASE case (clitic)
DEF definite article
Deg degree word
HEAD phrase head
INDEF indefinite article

N noun

NP noun phrase

PSD possessed (head in possessive noun phrase)
PSR possessor (dependent in possessive noun phrase)

Rel relative clause

V verb

# 3 Abbreviations for cardinal directions

С	Central	S	South(ern)
E	East(ern)	SE	South-East(ern)
N	North(ern)	SW	South-West(ern)
NE	North-East(ern)	W	West(ern)
NW	North-West(ern)		

# 4 Other symbols

The following symbols are used for the illustration of linguistic changes.

- ~ variant
- < borrowing
- $\leftarrow$  derivation or other synchronic process
- ← grammaticalization or other diachronic process²

<sup>&</sup>lt;sup>2</sup> Note that the term *grammaticalization* is used for different types of linguistic changes leading to re-analysis of a given construction's grammatical meaning. A prototypical instance in this rather broad sense of grammaticalization is the morphologization of a formerly lexical morpheme to a grammatical morpheme, as the development of definite markers from anaphoric pronouns in Germanic languages, e.g. English *the* (house) (the  $\Leftarrow$  Old English  $pathat{e}$ ) and Swedish (hus)-et (-et  $\Leftarrow$  Old Norse  $hi\delta$ ).

# Part I Preliminaries

# 1 Introduction

### Aim

The aim of this investigation is to typologize adjective attribution marking devices in the languages of northern Eurasia. Agreement and construct state marking are commonly known morphological devices for the licensing of adjectival modifiers; an example of a purely syntactic device is juxtaposition.

The main parts of this thesis include an ontological classification of all attested devices in the geographic area of investigation and a survey of adjective attribution marking devices occurring across the northern Eurasian language families. Finally, several attested scenarios for the evolution of adjective attribution marking devices in languages of northern Eurasia are discussed.

# Question

The most central questions dealt with in this investigation regard the formal licensing of the syntactic relation between a head noun and its adjectival dependent inside a noun phrase:

- What syntactic, morphological or other adjective attribution marking devices are available in languages?
- How can these devices be systematically described and typologized?
- How is the occurrence of the different types distributed geographically?
- How does attribution marking arise and diffuse across languages?

### Method

The present study is the result of empirical research based on data from grammatical descriptions on the investigated languages. It follows a data-driven, bottom-up and framework-neutral approach (cf. Haspelmath 2010 and also the method of "Autotypology" following Bickel & Nichols 2002 and Bickel 2007).

Haspelmath, Martin Frameworkfree grammatical theory@Frame free grammatical theory Autotypology Bickel. Balthasar Nichols. Johanna Autotypologizii databases and their use in fieldwork@Auto databases and their use in fieldwork Bickel. Balthasar Typology in the 21st century@Typol in the 21st

century

### 1 Introduction

Autotypology!AUTOTYP method of sampling and mapping of data is inspired by the AUTOTYP1 and project

Eurotyp<sup>2</sup> research programs and the WALS project (Dryer & Haspelmath 2013). The

approach presented here is closer to *Eurotyp* than to *WALS* or *AUTOTYP* in coding as many different genera from the geographic area of investigation as possi-

ble.

Haspelmath, Martin

Matthew

The World

EUROTYP project

Drver.

Atlas of Language Structures On-

line@The World Atlas of Language Structures

Online WALS database

EUROTYP project WALS

database project

# Content

The book is divided into four main parts. In Part I "Theoretical preliminaries", a few basic comparative concepts relevant to a framework-neutral description of a noun phrase and its constituents are introduced. This part also discusses the syntax-morphology interface in noun-phrase structure which is of central importance for the present study.

Part II "Typology" presents a general ontology of adjective attribution marking devices based on data from northern Eurasian and other languages.

In Part III "Synchrony", a synchronic-typological survey of noun phrase structure with attributive adjectives in northern Eurasia is presented and exemplified with data from all genera of the area.

The book's last Part IV "Diachrony" is devoted to the evolution of adjective Autotypology!AUTOTIVEtion marking devices. It describes several different paths of evolving and abolishing adjective attribution marking devices in northern Eurasian languages.

<sup>&</sup>lt;sup>1</sup> Cf. http://www.spw.uzh.ch/autotyp/ 16.02.2014

<sup>&</sup>lt;sup>2</sup> Cf. http://www.degruyter.com/view/serial/16329 16.02.2014

# 2 Noun phrases and adjectival modifiers

# 2.1 Noun phrases

A noun phrase is a referential syntactic unit which can serve as subject, object or oblique argument of a verb or as predicative complement of a nominal sentence. Furthermore, a noun phrase can be used in adverbial and adnominal functions. According to common syntactic models, the head determines the category of the phrase and governs the dependent constituent(s) in the phrase (cf. Nichols 1986: 57). Consequently, the head of a noun phrase is a noun (or a pronoun). Dependent constituents in noun phrases, also called "attributes", narrow the denotation, i.e. modify the head noun descriptively. Typical modifiers in noun phrases are "nominal attributes" (or noun phrases), "adjectival attributes" (or adjective phrases), "adpositional attributes" (or adposition phrases) and "clausal attributes" (or relative clauses), as in the following example.<sup>1</sup>

(1) [NP[PSR her][AP brand new] house [AdP over there][Rel which is big]]

Noun phrases can thus contain simple modifiers, like nouns or adjectives, or more complex types of modifiers which are complex phrases themselves: for instance (possessor) noun phrases (*my*), adjective phrases (*brand new*), adposition phrases (*in the village*) or relative clauses (*which was expensive*).

# 2.2 Adjectival modifiers

This book presents a cross-linguistic comparison of "adjectival attributes", or *attributive adjectives*. It investigates the syntactic and morphosyntactic behavior of adjectives inside noun phrases, in particular how they are formally licensed as dependent constituents in noun phrases.

The notion "adjective" needs some clarification because adjectives do not constitute a universal syntactic category. Whereas in some languages adjectives

Nichols, Johanna Headmarking and dependentmarking grammars@Head marking and dependentmarking grammars adnominal modifier!adpositi phrase relative clause adnominal modi-

fier!adpositi

phrase

modifier!relative

clause

adnominal

<sup>&</sup>lt;sup>1</sup> Possible syntactic dependencies between modifying constituents inside this noun phrase are ignored in this illustrating example.

# 2 Noun phrases and adjectival modifiers

Rijkhoff, Jan The noun phrase@*The* noun phrase

possessive pronoun demonstrative numeral Wetzer. Harrie The

Typology of Adjectival Predication@The *Typology* Ádjectival Predication Hixkaryana

Derbyshire, Desmond C. Hixkaryana

syntax

Rijkhoff, Jan The noun phrase@The noun phrase relative

clause Rijkhoff, Jan The noun

phrase@The noun phrase Hengeveld,

Kees J. Rijkhoff, Jan Siewierska, Anna

Parts-ofspeech systems and word order@Partsof-speech systems

> and word order

form a distinct word class, in other languages adjectives may not be clearly distinguishable from other parts of speech and constitute a flexible category together with nouns or with verbs. In a third group of languages, adjectives do not exist as a distinct word class et all.

For the survey of languages considered in this investigation, the term adjective had thus to be defined in a purely semantic sense, as words with a lexical meaning referring to properties or qualities such as 'high', 'beautiful', 'red', etc. "Qualifying modifiers" (Rijkhoff 2002: 100, elsewhere) in this broad sense are all lexical elements specifying properties of their referents. This definition excludes possessive pronouns, demonstratives, numerals, and words meaning 'other', all of which may behave syntactically like adjectival modifiers in several languages. On the other hand, the semantic definition of adjectives includes adjectival nouns and adjectival verbs (cf. "nouny" and "verby" adjectives in Wetzer (1996: 25-34, elsewhere)) and even qualifying modifiers which are true verbs or true nouns in some languages.

Even though adjectives do not constitute a universal syntactic category, almost all languages seem to exhibit some type of modifier construction in the noun phrase to specify qualitative properties. Hixkaryana, a Carib language spoken in Brazil, however, has been mentioned as a counterexample because qualitative syn-tax@Hixkary@na 131; Rijkhoff 2002: 138). If a language does not exhibit a distinct class of adjec-

Type 1 languages	(Flexible)	V	/ N /	A
Type 2 languages	(Flexible)	V	N,	/ A
Type 3 languages	(Differentiated)	V	N	A
Type 4 languages	(Rigid)	V	1	1
Type 5 languages	(Rigid)		V	

Figure 2.1: Parts-of-speech systems (based on Hengeveld, Rijkhoff & Siewierska 2004)

tives, inherent properties of the referent are most often expressed by other lexical means, for example by a relative clause (headed by a finite stative or descriptive verb) used as an adnominal modifier or by a qualifying noun phrase (headed by an abstract, property marking noun) as adnominal modifiers (cf. Rijkhoff 2002: 100).

Similar to Hengeveld, Rijkhoff & Siewierska (2004), the present study is based on the characterization of adjectives as semantic predicates which can be used as modifiers of nouns without further (derivational) operations. A typology of parts-of-speech systems is illustrated in Table 2.1.

In the "flexible" language types 1–2 in Figure 2.1, certain classes of lexemes can occur in more than one function (as verbs/nouns/adjectives in Type 1 or as nouns/adjectives in Type 2). In the "differentiated" type of languages, on the other hand, the various classes of lexemes are strictly divided according to their function and constitute a tripartite system of lexeme classes with verbs/nouns/adjectives (Type 3). The "rigid" types of languages exhibit either a bipartite system with verbs/nouns (Type 4) or a system exhibiting only one class of lexemes: verbs (Type 5).<sup>2</sup>

Most northern Eurasian languages belong to a type of language which exhibits a distinct class of adjectives, whether flexible or rigid (and whether this class is open or closed and counts only very few lexemes). Languages spoken on the European subcontinent predominantly belong to Type 3 and exhibit adjectives as a distinct major class. Most Indo-European languages of northern Eurasia belong to this type, but also Basque, the Uralic languages of Europe and most languages belonging to one of the three Caucasian language families.

Type 2 languages with a flexible class of "noun-adjectives" are also well represented in northern Eurasia. In practically all Mongolic, Tungusic and Turkic languages, for example, there is usually no sharp distinction between adjectives and nouns (Rijkhoff 2002: 122–123; Poppe 1964: 9).

Type 4 languages lacking a flexible or distinct class of adjectives are represented, for example, by Ainu, Korean and Nivkh. In these languages, verbs are normally employed as qualifying adnominal modifiers.

Languages of Type 1 (with a flexible class of "verb-adjectives") or 5 (exhibiting exclusively verbs) are not represented in the northern Eurasian area.

# 2.3 Syntax of adjectival modification

The present book deals with noun phrases in which adjectives occur as attributes. Predicative adjectives are not dealt with systematically,<sup>3</sup> although in some cases attributive and predicative adjectives will be contrasted to each other, especially if the languages in question code them differently. The main question to answer

Indo-European languages Basque Uralic languages Caucasian languages Mongolic languages Tungusic languages Turkic languages Rijkhoff, Jan The noun phrase@The noun phrase Poppe, Nicholas Bashkir manual@Bashki manual Ainu Korean

Nivkh

<sup>&</sup>lt;sup>2</sup> The classification of Hengeveld, Rijkhoff & Siewierska (2004) has seven types because the authors also include manner adverbs as a distinct class. According to the original classification, Type 3 in Table 2.1 should thus be divided further yielding the three subtypes V–N–A/Adv (flexible), V–N–A–Adv (rigid) and V–N–A (rigid).

<sup>&</sup>lt;sup>3</sup> A typology of adjective predication is Wetzer (1996).

English|(English English English

with my investigation is how different languages license the syntactic position of adjectival modifiers inside noun phrases, i.e. what grammatical devices are used for the encoding of the syntactic relationship between an adjectival dependent and its head noun.

# 2.3.1 Noun phrase internal syntax

The syntactic relationship between noun phrase constituents can be encoded by means of purely syntactic structures, i.e. simply stringing together constituents, or by adding syntactic or morphological devices.

The adjective can take up the modifier slot in the noun phrase without further syntactic or morphological marking taking place inside the noun phrase. Such syntactic licensing means that the relationship between dependent and head is encoded purely structurally in terms of designated positions. An instance of purely syntactic licensing are noun phrases with adjectival modifiers in English. The adjective obligatorily precedes the noun but is not marked otherwise.

(2) English (Indo-European; personal knowledge) *large houses* 

An example of a syntactic device is the dummy head *one* in English which occurs obligatorily in noun phrases without lexical heads.

- (3) English (Indo-European; personal knowledge)
  - a. a large one INDEF large HEAD:SG 'a large one'
  - b. *large ones*large <sub>HEAD</sub>:PL
    'large ones'

The dummy head *one* is a noun phrase constituent itself, hence a true syntactic attribution marking device, even though morphology is also involved in this syntactic structure because *one* is inflected for number. The difference between covert and overt syntactic attribution marking devices can also be illustrated with different relative clauses in English.

- (4) English (Indo-European; personal knowledge)
  - a. [NP the house [REL I built]]
  - b. [NP the house [REL that I built]]

```
i. [NP the man [REL who_{nom} built a house]]
```

ii. [NP] the man [REL] whose [REL] whose [REL] whose [REL]

German|( English|) German German

Whereas (4a) exemplifies a covert syntactic device because the relative clause is simply juxtaposed, (4b) is an overt syntactic device because the the relative clause is marked by an invariable formative. In (4b-i+4b-ii), the relativizer *who* is also an overt syntactic device. But in the marking of this relative clause construction, morphology is involved too because the relativizer inflects for case according to the semantic role of the relativized noun.

Morphological attribution marking devices are either overt (linear or else) morphemes bound to constituents or covert morphological processes, like incorporation.<sup>4</sup> A prototypical instance of a morphological adjective attribution marking device is agreement inflection, as in German.

```
(5) German (Indo-European; personal knowledge)
groβ-e Häus-er
big-PL house-PL
'large houses'
```

Agreement inflection of attributive adjectives in German is a morphological device, it exists only because syntax requires it, hence a morphosyntactic device. Other morphological marking in German occurs on syntactic units or on constituents of syntactic units without belonging to morphosyntax. For instance, the plural inflection on the head noun ( $H\ddot{a}us-er$ ) or the inflectional circumfix yielding a participle (ge-bau-t) in (6) belongs exclusively to the level of (inflectional and derivational) morphology but not to syntax.

```
(6) German (Indo-European; personal knowledge)

ge-bau-t-e Häus-er

PTCP-build-PTCP-PL house-PL

'built houses'
```

Note that adjectives have been characterized as predicates which can be used as modifiers of nouns without further (derivational) operations. Consequently, the German participle stem gebaut ( $\leftarrow bauen + ge- ... -t$ ) is an adjective in this broad sense. Syntactically, the participle behaves like a true adjective and takes similar

<sup>&</sup>lt;sup>4</sup> Morphological attribution marking devices can also attach to complex constituents, as the possessor marking clitics in English or Swedish which attach to noun phrases: Swedish [NP[NP kungen]=s rike] the\_king=Poss empire 'the empire of the king', [NP[NP kungen av Sverige]=s rike] the\_king of Sweden=Poss empire 'the empire of the King of Sweden'.

German

attribution marking. The attribution marking device (i.e. the agreement inflection) attaches to the participle stem as such (marked with parentheses in 6). The participle inflection of the verb root *bau-* yielding this new stem does not belong to the sphere of syntax. Similarly, category-changing derivational morphology in other languages yielding, for example, a stative verb or a participle function, is not considered to be morphological licensing of adjectival modification.

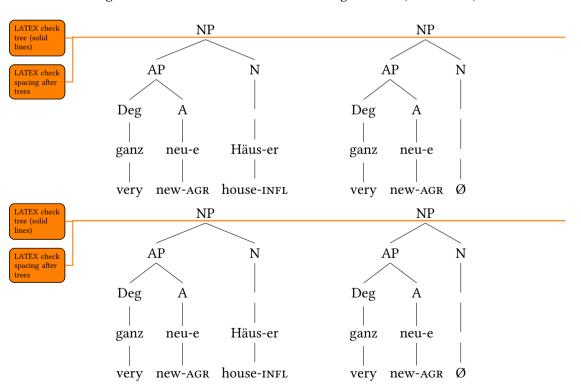
# 2.3.2 Headless noun phrases

Adjectives as well as various other modifiers can also occur in noun phrases without a noun. Normally, this is the case with adjectives in elliptical constructions or adjectives which are otherwise substantivized. In many languages, noun phrases with and without an overtly expressed head noun exhibit a similar phrase structure, as in the following examples from German.

# (7) German (Indo-European; personal knowledge)

a. ganz neue Häuser

b. ganz neue (viz. Häuser)



The syntactic structure of the two examples in (7) is principally identical except for the missing head noun 'house' with its morphological plural marking in the second structure. The attributive adjective 'new' is marked for the same morphosyntactic agreement features in both examples. Even though the adjective in the headless phrase is semantically substantivized and used referentially, it is still syntactically the modifier of the (ellipted) noun 'house'. The syntactic status of the modifier as head of an adjective phrase is indicated by its ability to take dependents, such as the degree word 'very'. German thus allows the syntactic head position to remain empty in elliptical constructions.

German|) Kildin Saamil( Kildin Saami German German

In other languages, accepting an empty head position in the (elliptical) noun phrase seems less straightforward. In Kildin Saami, for example, nouns and adjectives share identical inflection paradigms. As modifiers of nouns, however, adjectives are not inflected but are simply juxtaposed,<sup>5</sup> as in (8a) and (8b). Only when attributive adjectives occur in elliptical noun phrases are they inflected identically to nouns, as in (8c) and (8d).6

- Kildin Saami (Uralic; personal knowledge)
  - a. čofta odt pērrht very new house(NOM:SG)
    - 'a very new house'
  - b. čofta odt pērht-es't very new house-Loc:sg 'in a very new house'
  - c. čofta odt (viz. pērrht) very new(NOM:SG) 'a very new one'
  - d. čofta od-es't (viz. pērht-es't) very new-Loc:sg 'in a very new one'

If the elliptical construction in Kildin Saami is analyzed as having an empty syn- resulting the state of the elliptical construction in Kildin Saami is analyzed as having an empty syntactic head position, as in German, an explanation for the different behavior of the (nominal) case inflection is needed. Unlike in German, where (nominal) inflection is always bound to the noun, inflection in Kildin Saami can occur bound to nouns or adjectives. Case marking in Kildin Saami could thus be analyzed as

<sup>&</sup>lt;sup>5</sup> This is true only for one class of adjectives. Other adjective classes show different morphosyntactic behavior, see § 7.18.6 below.

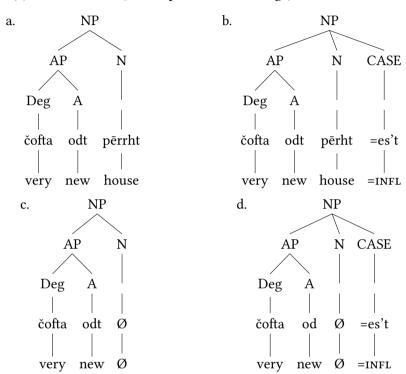
<sup>&</sup>lt;sup>6</sup> The stem alternation in the adjective *odt*: *od*- is due to a regular morpho-phonological process.

# 2 Noun phrases and adjectival modifiers

Kildin Saami Kildin Saami|) English|( English

clitic and bound to the whole noun phrase and hence showing up on the right-most phrase constituent.

# (9) Kildin Saami (Uralic; personal knowledge)

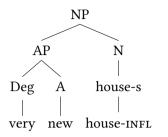


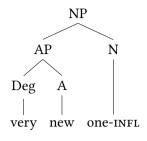
Another type of language in which elliptical noun phrases behave differently is exemplified by English. In elliptic constructions, attributive adjectives are obligatorily marked with the marker *one*. This marker is exclusively used in headless noun phrases with adjectival (and some other) modifiers. It never occurs if the head noun is overtly expressed.

# (10) English (Indo-European; personal knowledge)

a. very new houses

b. very new ones (viz. houses)





Rijkhoff, Jan
The noun
phrase@The
noun
phrase
English|)
modification
marking!app
Rijkhoff, Jan

LATEX solid
line
check spacing after
trees

Georgian|(

Being a grammatical word, hence a constituent in the phrase structure, the marker one in English is sometimes described as "dummy head" (cf., e.g. Rijkhoff 2002: 23) replacing the noun at the syntactic head position. Consequently, it could be argued that the syntactic head position is never empty in English.

# 2.3.3 Appositional modification

Apposition<sup>7</sup> is commonly described as a sequence of two (or more) co-referential constituents on the same syntactic level and hence with the same syntactic function, as in the following expression.

(11)  $(np[NP Alma \ and \ Iva][NP \ my \ daughters])$  are in this picture.

Syntactically, the two independent noun phrases *Alma and Iva, my daughters* together serve as one argument phrase in (11).<sup>8</sup> In other words, apposition can be defined as a single semantic phrase which consists of several independent syntactic phrases which together serve one syntactic function.

Appositional modification differs from true apposition in that the apposed constituent phrase is semantically and syntactically dependent on the other constituent phrase. Similar to the definition presented in Rijkhoff (2002: 22), appositional (noun) modification is here understood as a construction in which the dependent constituent is not part of the (integral) phrase headed by the modified noun. Semantically, the appositional modifier is headed by the modified noun. Syntactically, however, the appositional modifier has an empty head which is co-referential with the head noun of the apposed noun phrase.

Appositional modification seems to occur as a secondary marked type of adjective attribution marking in several languages, for instance in Georgian. Attributive adjectives are normally preposed and show only limited agreement (see 12a). In postposition (marking emphasis), however, the adjective inflects for the full

 $<sup>^7</sup>$  Note the different meaning of "juxta position", which is defined as a distinct functional type in  $\S$  4.2.

<sup>&</sup>lt;sup>8</sup> The notation of the appositional unit in round brackets is borrowed from Rijkhoff (2002: 21).

# 2 Noun phrases and adjectival modifiers

Testelets, Yakov G.
Word order variation in some SOV languages of Europe@Word order variation in some SOV languages of Europe @GOV languages of Europe

Georgian Testelets, Yakov G. Word order

variation
in some
SOV
languages
of Europe@Word
order
variation
in some
SOV
languages
of Europe
Bulgarian|(

Bulgarian|)
Georgian|)
German
modification

Bulgarian

set of cases and numbers (12b). This construction thus resembles an independent (headless) noun phrase in apposition to the semantic head (Y. G. Testelets 1998: 652, 677); cf. also § 7.16.1 below.

- (12) Georgian (Kartvelian; Y. G. Testelets 1998: 652)
  - a. *am* or *lamaz* kal-s that:OBL two nice:OBL woman-DAT 'to those two nice women'
  - b. *kal-eb-s lamaz-eb-s* woman-PL-DAT nice-PL-DAT 'to the NICE women'

Even without differentiated attribution marking, constituent order change between attribute and head can indicate apposition, as in Bulgarian. Note that the constituent order in noun phrases of Bulgarian is strictly head-final. In poetic language, however, it is possible to move the adjective after the noun.

- (13) Bulgarian (Indo-European; personal knowledge)
  - a. tezi golem-i gradove these big-PL towns 'these big towns'
  - b. tezi gradove golem-i these towns big-pl 'these big towns'

dification It seems impossible to prove that Bulgarian presents an example of appositional marking!apposition! The emphasized noun phrase in (13b) could simply be analyzed as integral noun phrase differentiated from other non-emphasized noun phrases by constituent order. Georgian, however, is different from Bulgarian. The emphasized noun phrase in (12b) exhibits different morphosyntactic marking due to the additional agreement features (Georgian) and is very likely to be analyzed as an attributive appositional construction.

Evidence for appositional modification as a syntactically distinguished noun phrase type is also found in constructions were the apposed headless noun phrase is overtly marked by means of attributive nominalization (see §4.5.2.3). Attributive nominalization can be illustrated with the epithet construction in German.

(14) German (Indo-European; personal knowledge) [NP] Friedrich [NP] der Große] 'Frederick the Great'

# 3 The syntax-morphology interface

# 3.1 Morphosyntax

An inventory of grammatical features relevant to morphology and its interfaces with semantics and syntax has recently been systematized and presented in a volume edited by Kibort & Corbett (2010), specifically in the chapter by Kibort (2010). Kibort and Corbett's typology of morphosyntactic features, which is grounded in other work, for instance by Aronoff (1994); Corbett (1987); Carstairs-McCarthy (1999); Corbett (2006); Corbett & Baerman (2006); Bickel & Nichols (2007); Kibort (2008+), will be evaluated in the following sections. It will be shown that true morphosyntactic features (i.e. features not interfacing with semantics) relevant to noun phrase structure are missing but have to be added to such an inventory.

Note that the term "morphosyntax" is sometimes inaccurately used for any type of syntactic construction in which morphological processes take place. It is also commonly used as a homonym for "grammar" or "morphology and/or syntax" thus subsuming all kinds of morphological and syntactic structure of a language. For the present study, however the scopes of syntactic and morphological processes are differentiated from each other. Consequently morphosyntax is here understood as the interface between syntax and morphology, i.e. syntactic structure assigning morphology on one or more of its constituents.

**3.1.0.0.1 Morphological features** Strictly morphological features have only inherent values, i.e. the assignment of these values is not sensitive to syntax. Morphological features include values which are either fixed, i.e. supplied on the lexical level, or selected from a range of values. The selection of these values is based only on formal criteria. A prototypical example of a purely morphological feature is inflection class.

**3.1.0.0.2 Morphosemantic features** Morphosemantic features also only have inherent values whose assignment is not sensitive to syntax. The values of morphosemantic features are selected from a range of values. However, unlike purely morphological features, the selection is based on semantic criteria. A prototypi-

Corbett. Greville Features@Featu Kibort, Anna Towards a typology of grammatical features@Towa a typology of grammatical features Aronoff. Mark Morphology by itself@Morph by itself Corbett. Greville The morphology syntax interface@The morphology syntax interface Carstairs-McCarthy, Andrew Category and feature@Categ and feature Corbett, Greville Agreement@A Corbett, Greville G. Baerman, Matthew Prolegomena to a typology morphological features@Prole to a typology

> of morphological features Bickel,

Kibort, Anna

# 3 The syntax-morphology interface

definiteness marking Albanian|( Bulgarian|( Rumanian|( definiteness marking Balkan

languages Albanian Buchholz, Oda Fiedler, Wilfried Albanische

Grammatik@Alba Grammatik Rumanian Beyer,

Arthur Bochmann, Klaus

Bronsert, Siegfried Grammatik der rumänischen

Sprache

wart

der Gegenwart@Grammatik der rumänischen Sprache der Gegen-

cal example of the assignment of a morphosemantic feature is definiteness marking.

**3.1.0.0.3 Morphosyntactic features** Morphosyntactic features are sensitive to syntax because either agreement or government is involved in the assignment of their values. In the case of agreement, however, a morphosyntactic feature belongs per definition both to morphosyntax – due to the feature's contextual assignment to the agreement target – and simultaneously to pure morphology (or morphosemantics) – due to the feature's status inherent in the agreement trigger.

The difference between morphosyntactic and purely morphological (or morphosemantic) features can be illustrated by definiteness marking in Albanian, usche Bulgarian and Rumanian. The definite markers in these three Balkan languages are bound morphemes in postposition (1a, 2a, 3a). The syntactic behavior of the definite marker in all three languages is also similar: In noun phrases with modifying adjectives the marker attaches enclitically to the first constituent.

- (1) Albanian (Indo-European; O. Buchholz & Fiedler 1987)
  - a. djal=iboy(M)=DEF:M.SG'the boy'
  - b. djal=i i mire boy(M)=DEF:M.SG ATTR:DEF.M.SG good.M.SG

'the good boy'

- c. *i mir=i djalë*ATTR:DEF.M.SG good=DEF:M.SG boy(M)
  'the GOOD boy'
- (2) Rumanian (Beyer, Bochmann & Bronsert 1987)
  - a. băiat=ulboy(M)=DEF.M.SG'the boy'
  - b. băiat=ul bun
    boy(M)=DEF.M.SG good.M.SG
    'the good boy'
  - c. bun=ul băiat
    good=(M)-DEF.M.SG boy(M)
    'the GOOD boy'

- (3) Bulgarian (personal knowledge)
  - a. momče=to
    boy(N)=DEF.N.SG
    'the boy'
  - b. dobro=to momče good=def.m.sg boy(n) 'the good boy'

The feature species,¹ however, does not belong to morphosyntax in all of these three languages. Even though the definite marker shows the same syntactic behavior (i.e. attaching in second-position), the morphological feature species is sensitive to syntax only in Albanian. Whereas definiteness is a purely morphosemantic feature not involved in any syntactic triggering in Bulgarian and Rumanian, in Albanian a second marker of definiteness occurs on the adjective. This marker is required by syntax through the mechanism of agreement. Hence, definiteness is morphosyntactic only in Albanian. In Bulgarian and Rumanian definiteness is purely morphological.

### Albanian|) Bulgarian|) Rumanian|) Kibort, Anna Towards a typology of grammatical features@Towa a typology of grammatical features Kibort, Anna Towards a typology of grammatical features@Towa a typology of grammatical

features

Bulgarian

# 3.2 Morphosyntactic features

As shown in the previous section, *morphosyntactic marking* can basically be defined as *morphological marking relevant to syntax*. According to Kibort (2010), the syntactic relevance of a certain morphological marker is determined by the involvement of this marker in either agreement or government. Kibort's view of morphosyntax, however, is based on definitions of agreement and government which imply obligatory interfacing of the respective grammatical features with all three components: morphology, syntax and semantics. Hence, a "more accurate term [...] would be 'morpho-semantico-syntactic' features" (Kibort 2010: ??).

Both agreement and government require a syntactic constituent as trigger and another constituent as target of morphosyntactic marking. Kibort's terms *trigger* and *target* are used in the case of agreement marking, whereas *governor* and

Kibort pages??

<sup>&</sup>lt;sup>1</sup> Typical values of Species are, for instance, Definite, Indefinite or Specific. The use of the term species (from Latin 'appearance, form') is borrowed from Swedish and Finnish grammatical terminology, Holm & Nylund (cf., e.g. 1970); Itkonen (1980). It will be used throughout this investigation instead of the commonly known "definiteness" because it seems terminologically odd to have a feature Definiteness exhibiting a value with the similar label Definite.

# 3 The syntax-morphology interface

Persian|( Persian Mahootian. Shahrzad Bulgarian|( Bulgarian

governee are the respective labels in the cases of government. Consequently, Kibort's government covers only morphosyntactic marking assigned by triggers (governors) which are constituents – like a head noun marked for certain gender Persian@Persian and number values triggering gender and number agreement on the modifier.

> Instances of morphological marking triggered not by constituents but by the syntactic structure as such seem to fall outside the range of Kibort's typology of morphosyntactic features. A prototypical example of morphosyntactic marking without a trigger inside the noun phrase is attributive state marking in Persian.

- Persian (Mahootian 1997)
  - a. "Construct state" (i.e. attributive state) xâne-ye bozorg house-construct big 'large house'
  - b. "Absolute state" (i.e. predicative state) xâne bozorg ast DEM house(ABSOLUTE) big is 'the house is large'

In Persian, a nominal head is obligatorily inflected in the construct state if an adjective is present in the noun phrase. The trigger of the head-marking attributive suffix -ye in Persian is the syntactic structure alone. Since no other value than [+construct] is assigned, semantics cannot be involved. It could be argued that semantics is relevant to the choice of whether to use the adjective as attribute or as predicate and that the attributive inflection on the head noun is inherent (i.e. morpho-semantically assigned). Semantics (or pragmatics) is of course relevant to the speaker's decision to utter a noun phrase instead of a predication. Semantics is, however, irrelevant to the argumentation about the syntactic structure requiring certain morphological marking: Once the speaker has made her or his decision, it is the syntactic structure alone which is involved in the assignment of the relevant morphological marking. Consequently, attributive construct state in Persian is an example of true morphosyntactic marking.

Attributive construct state marking morphosyntactically similar to the Persian construct state marking occurs in many other languages. In Bulgarian, for instance, some nouns require a special inflection after numerals.

Bulgarian (personal knowledge)

dva stol-a
two chair(M)-CONSTRUCT
'two chairs'

Unlike attributive construct state marking in Persian, which occurs obligatorily in noun phrases with different types of modifiers (adjectives, nouns, and some other), attributive construct state marking in Bulgarian is restricted with regard to both dependent and head. Thus, it occurs only in noun phrases in which the modifier is a numeral higher than 'one' and in which the head noun belongs to the class of non-human masculines. In the Bulgarian grammatical tradition this inflectional marking is called the "counting form". The marker originates historically from the genitive singular inflection of masculines. The diachrony, however, does not affect the analysis of this marker as belonging to the morphosyntactic feature STATE from a synchronic-typological point of view. Even though attributive construct state marking in Bulgarian is much more restricted than in Persian, it clearly belongs to the same type of syntactically assigned inflection on the head noun.

The term *state* here is adapted from Mel'čuk (2006: 114–116) who defines it as an inflectional category of nouns heading a noun phrase. According to Mel'čuk, the function of morphological state marking is licensing the syntactic relationship between the phrase constituents. In the case of head-marking state, as in Persian and Bulgarian (4+5), the head noun is inflected and shows the morphological value [+construct] if it is the governing member in the present syntactic relation (i.e. the noun phrase).

Even though *state* in Mel'čuk's (and others') terms is usually associated with head-marking constructions of the Persian type (cf. example 4), a similar morphosyntactic mechanism applies to dependent marking construct states in other languages. Consider, for example, Kildin Saami in which the dependent noun phrase of a postposition is obligatorily inflected in the genitive case.

(6) Kildin Saami (Uralic; personal knowledge) tuel' al'n chair\GEN on 'on the chair'

It could be argued that the genitive inflection of 'chair' in example 6 is a morphological value of the feature CASE assigned to the dependent noun phase by the

Mel'čuk??|( Mel'čuk, Igor Aspects of the theory of morphology@Aspec of the theory of morphology Persian|) Bulgarian|) Kildin Saami|( Mel'čuk??|) Kildin Saami

<sup>&</sup>lt;sup>2</sup> Bulgarian *brojna forma* 

modification marking Kildin Saami Russian|(

mechanism of *government*. But since genitive is the obligatory and only possible marker of the dependent noun in postposition phrases in Kildin Saami, there is no motivation for assuming that any case value is marked here. There is no semantic connection to a genitive case which marks a possessor noun in Kildin Saami either.<sup>3</sup> Since this modification marker is assigned by the syntax of the specific construction alone, and since the only function of this marker is licensing the given syntactic relation (i.e. an adposition phrase), a more appropriate gloss could be CONSTRUCT.

Several languages also exhibit dependent marking construct state in noun phrases. The matching value is usually glossed as ATTRIBUTIVE. In Kildin Saami, for example, members of one (lexically defined) subclass of adjectives are obligatorily inflected for attributive state if they are used as modifiers in a noun phrase.

- (7) Kildin Saami (Uralic; personal knowledge)
  - a. Attributive adjective (cf. "attributive state")
     vīl'k-es' puaz
     white-ATTR reindeer
     'white reindeer'
  - b. Predicative adjective (cf. "predicative state")
     puaz lī vīll'k-e
     reindeer is white-PRED
     'the reindeer is white'

The assignment of attributive inflection on (adjectival) modifiers of nouns as well as the assignment of genitive inflection on (nominal) modifiers of adpositions thus follow a similar syntactic mechanism in Kildin Saami: A certain syntactic relationship (i.e. dependency inside an adposition phrase or a noun phrase, respectively) is licensed by marking the dependent phrase constituent with the feature STATE.

Finally, the feature STATE may not only be dependent marked, as in Kildin Saami, but can even interfere with other features. Whereas attributive state marking is invariable in Kildin Saami, in other languages it shows interference with semantic values assigned through the mechanism of agreement. The agreement inflection of attributive adjectives in Russian, for instance, marks the syntacti-

<sup>&</sup>lt;sup>3</sup> This is true from a synchronic point of view. Historically, the origin of the genitive marking in adposition phrases is easily accounted for and goes back to possessor marking in noun phrases with relational head nouns. But again, the diachrony of a certain marker is not relevant to its synchronic-typological categorization.

cally governed feature STATE simultaneously with the morphosyntactically governed features NUMBER/GENDER/CASE.

Kildin Saami|) Russian Russian|) Kibort??!(

Kibort??|)

- (8) Russian (Indo-European; personal knowledge)
  - a. Attributive adjective inflection (cf. "attributive state")

    belyj olen'

    white:ATTR:M.SG reindeer

'the white reindeer'

b. Predicative adjective inflection (cf. predicative state) olen bel
 reindeer white:PRED:M.SG
 'the reindeer is white'

### 3.3 An ontology of morphosyntactic features

Besides introducing a few very basic notions connected to noun phrase structure and adjectival modification, the syntax-morphology interface has been discussed in the theoretical sections above. In particular, Kibort's (2010) inventory of grammatical features relevant to morphology and its interfaces with semantics and syntax have been critically evaluated. True morphosyntactic features (i.e. features not interfacing semantics) are not yet included in her inventory of grammatical features. The argumentation in the present chapter aims at establishing a new feature STATE, which according to Kibort's own definitions must be regarded as a true morphosyntactic feature and which should definitely be added to Kibort's list.

Figure 3.1 shows the morphosyntactic features relevant to the present inventory of noun phrase types. Note that only the rightmost feature (6) in that figure can be characterized as being of true *morphosyntactic* nature. The group of features under (5) must be characterized as *morpho-semantico-syntactic* because the syntactic assignment of these features on the agreement target requires their semantically based assignment on the agreement trigger as well. The group of features under (2–4) are *morpho-semantic* features. The group (1) is purely *morphological*. Note also that the feature CASE shows up in several leaves because it can be assigned both in morphosyntax (through agreement on adjectives) or in morphology (through the assignment of either grammatical or semantic cases on head nouns). In the following Part II of this book, dependent marking *state* will be dealt with in more detail since this type occurs in several languages of the geographical area under investigation.

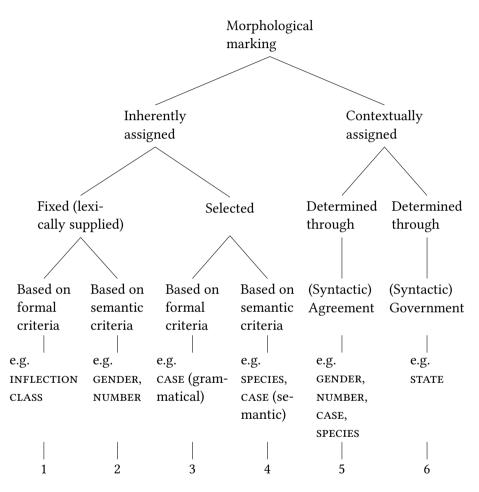


Figure 3.1: An ontology of morphosyntactic features relevant to the present inventory of noun phrase types (adapted from Kibort 2010: 74,77,78,81,82; Kibort 2008+ and extended with the feature STATE)

# Part II Typology

## 4 A typology of adjective attribution marking devices

In the present chapter, different types of adjective attribution marking devices attested in natural languages will be described and systematized with a special focus on their typologization according to the morphology of attributive adjectives.

### 4.1 Typologizing noun phrase structure

The goal of the following chapters is to typologize noun phrases and to present a comprehensive ontology of different syntactic, morphosyntactic, and morphosemantico-syntactic attribution marking devices attested in the languages spoken in northern Eurasia and beyond.

In order to illustrate the different noun phrase types to which these devices belong, data from several languages both within and outside the geographic area of investigation are taken into consideration. The focus, however, will be on constructions and features especially relevant to adjective attribution in the northern Eurasian area.

The term *adjective attribution marking* will be used to refer to a grammatical operation relating an adjectival modifier to its noun head. *Attribution marking device* will be used to subsume both overt and covert grammatical operations which license the syntactic relation of attribution.

The term *noun phrase type* used here denotes the specific syntactic or morphosyntactic structure type of a noun phrase. This term is thus superordinate and belongs to noun phrase structure in general. Since the present study is restricted to a rather small subset of noun phrases, namely noun phrases with adjectival modifiers, the subordinated term *adjective attribution marking device* (instead of *adjective attribution marking type*) will be used to cover all grammatical operations which license the syntactic relation of adjective attribution.

marking case agreement locus!onhead. ondependent syntactic fusion!free.

bound.

nonlinear

position!pre,

post, circum

agreement

simply license the syntactic structure without ranking single constituents, i.e. withmarking!genitorst licensing any of the constituents as head or dependent. This is the case for e the pure syntactic devices *juxtaposition* and *incorporation*. The syntactic relation of attribution can also be licensed by a device linking the marking!possession from and the modified constituents morphologically to each other, namely

ing is characterized by the assignment of an inherent (i.e. true morphological) feature from one constituent to another through morphosyntactic government. behavior!clitic A different instance of "indirect" licensing of attribution is the marking of a semantic relation between the modifier and the modified, as with possessor case (genitive) marking.

in the case of agreement marking. The morphological device of agreement mark-

4.1.0.0.1 Attribution marking Minimally, an attribution marking device will

It is not at all unusual that the syntactic, morphological, and/or semantic relations between noun phrase constituents are marked simultaneously. If, for instance, an attribution marker is attached to a modifier which additionally inflects for agreement features, both the syntactic and the morphological relation between the noun phrase constituents are marked. Another example for simultaneously marked syntactic and semantic relations is a noun phrase with a case marked possessor noun (e.g. in genitive case) and a head noun which is additionally marked for dependent-driven agreement (e.g. with a cross-referencing possessive affix).

4.1.0.0.2 Typological parameters Noun phrase types with formally distinct characteristics can be defined according to several parameters. Such parameters are, for example, the order of constituents inside the noun phrase (e.g., attributehead order, head-attribute order, free order), the attribution marker's locus (e.g., on-head, on-dependent), the marker's syntactic behavior relative to the whole phrase (e.g. clitic), its phonological fusion (e.g., free, bound, non-linear), or its position relative to the word host (e.g., pre, post, circum).<sup>1</sup>

Examples for a variety of phonologically, morphologically, syntactically, and semantically distinct types of attribution marking devices will be given in the following chapter. The focus of the ontology presented here is on morphological and morphosyntactic parameters, especially with regard to the absence or presence of additional attribution marking morphemes, as well as to their kind and syntactic behavior. An overall picture of the ontology of attribution devices

<sup>&</sup>lt;sup>1</sup> These parameters, adapted from Croft's (1995: 93–94) typological classification of genitive constructions, are applied for a general typology of noun phrase structure in the noun phrase structure module of AUTOTYP (cf. Bickel, Nichols & Rießler 2001-2010).

relevant to this study is given in Figure 4.2 at the end of § 4.6.

Noun phrase types can also be defined on a polyfunctionality scale with regard to the class of modifying elements: Attributive adjectives and other adnominal modifiers (demonstratives, bare nouns or noun phrases, adposition phrases, clauses, education and interest and administratives, bare nouns or noun phrases, adposition phrases, clauses, education and interest and administrative administrative administrative and administrative administra may or may not occur in similar noun phrase types. The polyfunctionality parameter even takes the content of certain devices beyond attribution marking into consideration. Since the present study investigates adjective attribution marking, the polyfunctionality of attribution marking devices will be dealt with in less detail (see § 5).

4.1.0.0.3 How many noun phrase types does a language exhibit? guages exhibit more than one distinct noun phrase type because different attribute classes may occur as modifiers in noun phrase structures which behave differently in their syntax or morphosyntax. In English, for instance, adjectives and clauses behave syntactically different as modifiers in noun phrases: Whereas attributive clauses are marked by relative pronouns (or particles) (the dog which is nice), adjectives are juxtaposed (the nice dog). However, since the present book is devoted to the morphosyntax of one single class of adnominal modifiers, namely adjectives, variation in attribution marking devices across different classes of attributed elements is of minor importance.

Nonetheless, attributed elements belonging to one and the same class may also occur in noun phrases which are marked differently: Possessive pronouns in English, for example, can be attributed either by means of juxtaposition (her dog) or by using them in a prepositional construction (the dog of hers). Even attributive adjectives may occur in two formally distinct noun phrase types. In Turkish, for instance, attributive adjectives are unmarked (kara kalem 'black pencil'); in headless noun phrases marked as direct objects, however, adjectives must be nominalized by means of the  $3^{rd}$  person singular possessive suffix (karasini 'the black one (=pencil)' [Poss:3sg.Acc]; see also § 7.13.2.1.2 below).

Prototypically, the use of different devices for licensing one and the same class of attributed elements is not arbitrary but governed by constraints. Nominalization of adjectives in Turkish, for instance, is due to a syntactic subset constraint affecting those phrases in direct object position and without a lexical head noun. In other languages, the occurrence of a given noun phrase type may also be constrained lexically and/or semantically by subsets of either attributes or heads. A well-known example beyond adjective attribution comes from languages in which the choice of possession marking devices is determined semantically by the alienable or inalienable subset of the head noun (i.e. the possessed). Even

adnominal modifier!de adnominal modifier!no fier!adpositi phrase adnominal modifier!cla English adnominal modification Turkish Turkish

English|( Germanic languages English|) Rumanian|( focus marking Rumanian|) adnominal modification Albanian

Finnish Karlsson. Fred Finnish: an essential grammar@Finnish an essential grammar

German Itelmen Russian Volodin. Aleksandr P. Itel'menskii

jazyk typological method other subsets of head nouns are known to constrain the choice of possession marking in some languages, such as kinship terms, (non-) referential nouns, etc.

Similarly, languages may exhibit subset constraints on the semantic class of heads modified by adjectives. The epithet-construction marked with an attributive article in English (or other Germanic languages, cf. Frederick the Great, Friedrich der Große; see also § 4.5.2.3 below) may serve as an example. In English, this special noun phrase type only occurs if the head noun belongs to the semantic subclass of proper nouns.

Examples of a semantic subset of attributes governing a special attribution marking device are commonly found in languages with contrastive focus marking of adjectives. In Rumanian, for instance, adjective attribution marking is usually characterized by a noun phrase type with head-initial constituent order. A different noun phrase type, formally distinguished by the reversed order of constituents, occurs if the adjective bears contrastive focus (see the Rumanian example 2c on page 16 above).

Finally, many languages exhibit lexically defined subclasses of adjectives (or other adnominal modifiers) which are sensitive with regard to the required attributive marking. In Albanian, for instance, the members of one adjective class are regularly marked by head-driven agreement whereas the members of another adjective class require an additional agreement marker (see the Albanian example 7.19.1.0.1 on page 134).

jazyk@Itel'menskii many languages these lexical subclasses seem marginal and are thus often mentioned merely en passant (if at all) in grammatical descriptions. The adjective pikku 'little' in Finnish is an example for such a marginal subclass: pikku is juxtaposed to the modified noun while other adjectives in Finnish show number and case agreement as a rule (Karlsson 1999: 75). Similarly in German a few adjectives like the colors lila 'lavender' and rosa 'pink' behave morphosyntactically differently and do not agree with the modified noun. Another example for a marginal subclass of adjectives comes from Itelmen, where attributive adjectives are regularly marked with a special attributive suffix (see the Itelmen example 7 on page 85). Only a few loan adjectives from Russian occur in juxtaposition (Aleksandr P. Volodin 1997: 60-71).

> These marginal adjective classes are often hard to come across in a rather broad typological survey. It seems to be one limitation of the typological method (i.e. sampling and coding a huge amount of different languages on the basis of qualitatively highly diverse grammatical descriptions) that interesting cases are often missed due to limited knowledge or understanding of the structure of all particular languages. From a diachronic perspective, however, "irregular" linguistic

structures are very important because they often reflect innovative tendencies or archaic features, i.e. features which are due to language change. Marginal noun phrase types should thus be included in typological surveys if they are discovered.

### 4.2 Syntactic attribution marking: juxtaposition

Juxtaposition can be defined as an unmarked sequence of phrase constituents in which one constituent is syntactically subordinated to the other. It has to be distinguished from *apposition*. The latter term is usually used to denote an appositive construction of two noun phrases, as in *Alma*, *meine Tochter* 'Alma, my daughter' or *Iva*, *die jüngere Tochter* 'Iva, the younger daughter' where neither constituent is syntactically subordinated. See also the short discussion in § 2.3.3. Juxtaposition is thus characterized by adjacency of noun phrase constituents alone. There is no construction marker present. Consider the following Komi-Zyrian examples where neither agreement markers or any other additional morphemes are present. The attributive adjective in (??) is represented by its pure stem form. It does not inflect for any of the categories marked on the head noun.<sup>2</sup>

- (1) Komi-Zyrian (Uralic; Lytkin 1966b)
  - a. bur mort good person'good person'
  - b. bur mort-jas good person-PL 'good people'

Juxtaposition constitutes a very widespread attribution marking device cross-linguistically. Among the northern Eurasian languages, juxtaposition occurs as the default attribution marking device in several families, among others in Mongolic, Turkic and Uralic. Whereas juxtaposition constitutes the default type even in the proto-stages in these language groups, the occurrence of juxtaposition in several other languages results from a relatively recent linguistic change in which the original agreement marking on adjectives was lost.

Defining juxtaposition as a "device" for marking attribution might, however, be questionable. Given the definition that attribution is licensed by the sequence of constituents alone, i.e. that an adnominal modifier and a head noun occur next

juxtaposition|( apposition Komi-Zvrian Komi-Zvrian Lytkin, Vasilij I. Komi-Zyrjanskij jazyk@Kom Zyrjanskij jazyk Mongolic languages Turkic languages Uralic languages

<sup>&</sup>lt;sup>2</sup> Beside NUMBER, these categories include CASE and POSSESSION in Komi-Zyrian.

English
predicative
marking
English
Ainu|(
Kalmyk|(
Ainu!Shizunai
Refsing,
Kirsten
The Ainu
language:
the mor-

the morphology and syntax of the Shizunai dialect@The Ainulanguage: the morphology and syntax of the Shizunai dialect

Kalmyk Jachontova, Natalija S. Mongol'skie

jazyki@Mongol'skie jazyki Ainu|)

Ainu|)
Kalmyk|)
juxtaposition|)
incorporation|(

to each other in the syntactic structure, juxtaposition resembles a "non-marking" rather than a marking device. In English, for instance, one could also argue that the non-occurrence of the copula <code>is/are</code> is relevant to the marking of attribution. In order to use an adjective as predicate in English (<code>the man is good</code>, <code>the men are good</code>), the copula is obligatory. However, constituent order may be relevant, too. In English, again, juxtaposed attributive adjectives precede the noun as a rule, whereas predicative adjectives follow it.

Constituent order can in fact be crucial in languages were both adjective attribution and predication are marked simply through adjacency of noun and adjective but with reversed constituent order, as for example, in Ainu or Kalmyk.

- (2) Ainu (Shizunai) (isolate; Refsing 1986)
  - a. Attribution: adjective-noun order pirka cep be\_good fish 'a fine fish'
  - b. Predication: noun-adjective order *cep pirka*fish be\_good'the fish is fine'
- (3) Kalmyk (Mongolic; Jachontova 1997)
  - a. Attribution: adjective-noun order *čyyan časun* white snow'white snow'
  - b. Predication: noun-adjective order časun čyyan snow white
     'the snow is white'

The only difference between attribution and predication of adjectives in Ainu<sup>3</sup> and Kalmyk is in constituent order.

<sup>&</sup>lt;sup>3</sup> Note that there are no true adjectives in Ainu. Property words are stative verbs in this language (see also § 7.5.0.0.1).

### 4.3 Covert morphosyntactic construct marking: adjective incorporation

Similarly to juxtaposition, *adjective incorporation* is characterized by adjacency of phrase constituents. There is no additional morpheme present in this type of noun phrase either. The syntactic relation of attribution is, however, marked by a syntactic composition of modifier and head noun. This type can thus be characterized as covertly marked operation.

- (4) Swedish!Västerbotten (Indo-European; Larsson 1929)
  - a. stor-båt-enbig-boat-Def:M.SG'the big boat'
  - b. *stor-hus-et*big-house-DEF:N.SG
    'the big house'

Since adjective incorporation in northern Swedish (and Norwegian) dialects is syntactically and semantically distinguishable from derivational compounding it is often referred to as *Adjective-Noun-Incorporation* (for instance by Sandström & Holmberg 2003; dahl2007 or Julien 2005: 61).

4.3.0.0.1 Phonological vs. syntactic compounds In Västerbotten Swedish (as well as in other North Germanic varieties where adjective-noun compounds occur), accent patterns clearly indicate that adjectives are morpho-phonologically compounded (cf. Dahl 2003). Non-compounded monosyllabic stems, such as *tré*, 'tree', *bắt* 'boat', *bắt-er* 'boats', *bắt-er-na* 'the boats', have an acute accent (marked with ´ in the examples) as a rule and whether or not they are equipped with inflectional affixes. Bisylabic stems, including compounds, by contrast have pitch accent on the stem (marked with ´ in the examples). Compare *tré-bằt-en* 'the wooden boat' or *stór-bằt-en* with the noun phrase *bắt-en mín* 'my boat', where both the noun and the (non-compounded) possessive pronoun have acute accent.

Phonological composition, however, cannot be sufficient evidence for syntactic compounding (i.e. incorporation). Phrase internal phonological or prosodic processes at the juncture of adjectives and nouns (as, e.g., the accent pattern described above) seem to be very common in languages. Such processes can perhaps prove morpho-phonological composition. For the present typology, however, adjective incorporation is defined purely syntactically as a noun phrase

Swedish!Väster Larsson, Seth Substantivböini i Västerbottens folkmål@Substa i Västerbottens folkmål Swedish Norwegian Sandström. Göran Holmberg. Anders [1996] Ett polysyntetiskt drag i svenska dialekter@[1996] polysyntetiskt drag i svenska dialekter Julien, Marit Nominal phrases from a Scandinavian perspective@Nomii phrases from a Scandinavian perspective Germanic languages Dahl, Östen Definite articles in Scandinavian: competing grammaticalization processes in standard and nonstandard varieties@Defin articles in

Swedish!Väster

Scandina-

Swedish where the attributive adjective occurs obligatorily as a (syntactically) bound mor-Swedish!Västerbotten pheme. To prove syntactic boundedness one has to show that the adjective cannot occur unbound. In Västerbotten Swedish (and other northern Swedish di-Swedish Larsson, Seth alects), for instance, the adjective stem cannot occur unbound unless alternative Substantivböjnir morphosyntactic marking is applied. Using the adjective 'big' in Västerbotten i Västerbottens Swedish in a headless noun phrase results in a construction in which the adjecfolkmax = max = maxi Västera dummy head.4 bottens

folkmål

English

Västerbotten Swedish (Indo-European; Larsson 1929)

Swedish!Västerbotten|)

stor

Advge

INDEF:M big(M) ART:INDEF:M.SG

Chukchi Itelmen

stor-t ett

Eskimo-Aleut INDEF:N big:N ART:INDEF:N.SG

languages

'a big one'

incorporation|) agreement|(

If evidence for syntactic incorporation cannot be found compounded adjectives can only by described as a special case of juxtaposition. But interestingly, if the described test of syntactic boundedness is applied, then English falls in the category of incorporating languages as a result. In English too, attributive adjectives can only occur bound to a head. This head is either lexical or, similar to Västerbotten Swedish indefinite noun phrases, an obligatory article as dummy head.<sup>5</sup>

Whether or not English is coded as an incorporating language, adjective incorporation seems to constitute a minor type of attribution marking. Among languages of the northern Eurasian area, however, this type is attested in geographically quite distinct languages: besides the peripheral North Germanic dialects, it is also found in Adyge and in Chukchi, Itelmen and in Eskimo-Aleut languages (see the respective sections of Part III).

<sup>&</sup>lt;sup>4</sup> This is true, however, only with the indefinite adjective. The definite adjective, by contrast, does not need a dummy head but is unbound (and equipped with the definite marker): stor-en [big(M) DEF:M.SG] 'the big one (masculine)', stor-et [big(M) DEF:N.SG] 'the big one (neuter)'.

<sup>&</sup>lt;sup>5</sup> Applying the same test, it turns out that English incorporates even other modifiers of nouns, such as possessive pronouns: give me her book – give me her-s.

### 4.4 Morpho-semantico-syntactic attribution marking: agreement

Agreement (aka concord) is a common type of overt attribution marking device. Agreement is commonly understood as a systematic covariance between a semantic or formal property of one element and a formal property of another Steele (1978: 610). In other words, agreement can be defined as the spread of semantic or morphological properties across constituents of a syntactic phrase. The agreement properties (or agreement features) spread from "trigger constituents" and are formally, i.e. morphologically expressed on "target constituents".

The primary syntactic function of agreement is to relate phrase constituents to each other. Agreement thus serves the formal licensing of dependency in the given phrase. As compared to construct marking, however, the licensing of dependency by means of agreement is more the indirect result of morphological copying of agreement features across phrase constituents.

In principle, agreement features can be triggered by both syntactic heads and syntactic dependents, as will be shown in the following sections. Based on where the agreement features originate, the terms *head-driven* and *dependent-driven agreement*, first proposed by Balthasar Bickel and Johanna Nichols in 2001 (published as Bickel & Nichols 2007), will be used in the following.

### 4.4.1 Head-driven agreement

Typical morphosyntactic agreement features triggered by syntactic heads are GENDER, NUMBER and CASE, as in Lower Sorbian.

- (6) Lower Sorbian (Indo-European; Janaš 1976)
  - a. dobr-y cłowjek good-sg:m person(m)
    - 'a good person'
  - b. dobr-e cłowjek-y good-pl person-pl
    - 'good people'
  - c. k dobr-emu cłowjek-oju to good-sg:m:dat person-sg:m:dat 'to a good person'

Word order variation: a typological study@Wor order variation: a typologicaĺ studv Bickel, Balthasar Nichols. **Johanna** Inflectional morphology@Inflect morpholagreement!head driven|( Lower Sorbian Janaš, Pětr Niedersorbisch Grammatik: für den Gebrauch der Sorbischen Erweiterten Oberschule@Nie Grammatik: für den Gebrauch der Sorbischen Erweiterten Oberschule

concord|seeagre Steele, Susan

<sup>&</sup>lt;sup>6</sup> In other terms, the trigger of agreement can be called *controller*, cf. Corbett 2006.

Kibort, Anna Towards a typology of grammatical features@Towa a typology of grammatical features

Greville G. Lower Sorbian Lower

Corbett.

Sorbian Lower Sorbian Janaš, Pětr Niedersorbische Grammatik: für

den Gebrauch der Sorbischen Erweiterten

Grammatik: für den

Gebrauch der Sorbischen Erweiterten

schule Slavic languages Germanic languages

Ober-

European languages Finnish|(

Note, however, that Kibort (2010), following Corbett (2006: 133-135), does not list CASE as a prototypical agreement feature. In Kibort's and Corbett's view, the matching of a case value on the noun phrase head and its adjectival (or other) modifier(s) does not count as "canonical agreement" but is simultaneously im-Sosed on the noun phrase constituents as the result of government by a syntactic element outside the noun phrase. Consider the Lower Sorbian example (6c) in which both the adjective 'good' and the noun 'person' are marked with the dative case suffix.

The question is whether the case value in such examples is imposed on both Agreement@Agreement phrase constituents through government (in example 6c by the preposition k 'to') as argued by Corbett and Kibort, or if the dative case on the modifying adjective is imposed by its head by means of agreement, similar to gender and number agreement which are also imposed by the head noun. Adopting Mel'čuk's (1993: 329, 337) dependency view of syntax instead of Corbett's (2006: 133) "constituency", the dependent constituent in the adposition phrase is a noun phrase. The dependent constituent in the noun phrase, again, is an adjective phrase (i.e. the attributive adjective) which depends on the noun head of the phrase and inherits its case marking. In this view, the morphosyntactic mechanisms of assigning a head's morphological features to dependent constituents are similar for case and other agreement categories (like gender and number). Consider (6c) 'to a good person' in Lower Sorbian.

Ober-schule@Niedersorbische (7) Lower Sorbian (Indo-European; Janaš 1976)  $[_{AdP}^{r.ne} \ k \ [_{NP}^{r.ne} \ dobremu_{agr}^{r.e.} \ cłowjekoju_{gender:number:case}]]$ 

> Another possible agreement feature beside GENDER, NUMBER and CASE is SPECIES, typical values of which are DEFINITE and INDEFINITE. Consider, for instance, the agreement paradigm of adjectives in Icelandic (Table 4.1) in which indefinite and definite forms are distinguished.

> Cross-linguistically, head-driven agreement seems to be a wide-spread attribution marking device across the world's language families. The actual morphological appearance of agreement marking, however, is highly diverse across languages and depends on several parameters.

> One such parameter concerns the form of the agreement marking morphemes in comparison to the morphemes marking the respective values on the head noun. In fact, adjective agreement paradigms in many languages are different from the respective inflectional paradigms of nouns. This is true, for instance, for Slavic and Germanic languages, as mentioned, but also for other Indo-European languages. In other languages, however, inflectional suffixes might simply reoccur on the modifier, as in Finnish.

-u

		M.SG	F.SG	N.SG	M.PL	F.PL	N.PL
	NOM	-ur		-t	-ir	-ar	-Ø
INDEF	ACC	-an	-a	-t	-a	-ar -ar	-Ø
	DAT	-um	-ri		-um	-um	-um
	GEN	-s	-rar	-s	-ra	-ra	-ra
	NOM	-i	-a	-a		-u	
DEF	ACC	-a	-u	-a		-u	
	DAT	-a	-u	-a		-u	

-u

Table 4.1: Adjective declension paradigm for Icelandic (Indo-European; Kress 1982)

(8) Finnish (Uralic; personal knowledge)

GEN

- a. iso-t talo-t large-PL house-PL 'large houses'
- b. iso-i-ssa talo-i-ssa large-pl-iness house-pl-iness 'in large houses'

Adjectives and nouns in Finnish (and in most other Uralic languages) differ in syntactic function rather than in morphological properties. Consequently, adjectives and nouns in Finnish exhibit similar inflectional paradigms. Probably, such a weak distinction between adjectival and nominal inflections was also true for Proto-Indo-European (cf. Comrie 1998: 80, Kūriákī 2007: 139). But the declensions of both adjectives and nouns in Indo-European languages have undergone radical changes and have become clearly distinct from each other. This is evident, e.g., in the Lower Sorbian example (6) on page 33 where the adjective suffix *-emu* and the noun suffix *-oju* both mark the dative masculine singular.

Head-driven agreement marking also deviates across languages in respect to the inventory of morphological categories involved. Many languages exhibit head-driven agreement paradigms which exclude certain inherent or assigned morphological categories of the head noun, as in Finnish, where nouns inflect for NUMBER, CASE and POSSESSION. The latter feature, however, never spreads through the noun phrase.

Finnish Uralic languages Proto-Indo-European Comrie, Bernard The Indo-European linguistic family: Genetic and typological perspectives@The Indo-European linguistic family: Genetic and typological perspectives Kūriákī, Kárlos A grammar of Modern Indo-European: language and culture: writing

system

and phonol-

ogy:

syn-

Indo-European:

anď

tax@A grammar

of Modern

language

morphology:

culture:
writing
system
and
phonology:
morphology:
syntax
IndoEuropean

languages

Lower Sorbian

Finnish Finnish|) agreement!defect agreement paradigm Danish

Danish

Chechen Chechen

Nichols. Johanna Finnish (Uralic; personal knowledge) talo-ni iso large house-poss:1sg

'my large house'

b. \* *iso-ni* talo-ni large-poss:1sg house-poss:1sg

Finally, agreement paradigms can be "defect" in the sense that certain agreement categories do not show up on all members of the paradigm. In Danish, for exam- ${\it Chechen@Chech} \\ {\it ple}, \ {\it gender} \ {\it as} \ {\it an} \ {\it agreement} \ {\it feature} \ {\it is} \ {\it marked} \ {\it on} \ {\it the} \ {\it attributive} \ {\it adjective} \ {\it only} \ {\it in}$ indefinite noun phrases. In noun phrases marked for definite species, the attributive adjective is marked with an invariable definite agreement suffix. Consider (10) and Table 7.1 with the respective paradigm in § 7.19.6.2.

- (10)Danish (Indo-European; personal knowledge)
  - stor mand INDEF.COM big.UTR man(UTR) 'a tall man'
  - b. ett stor-t hus indef.n big-n house(n) 'a large house'
  - c. den stor-e mand DEF.COM big-DEF man(UTR) 'the tall man'
  - d. det stor-e hus DEF.N big-DEF house(N) 'the large house'

An extreme case of a defective agreement paradigm is found in Chechen where adjectives only partially agree with the head noun and show only one single case distinction between nominative versus all other cases, as in the paradigm (11).<sup>7</sup>

Chechen (Nakh-Daghestanian; Nichols 1994a: 29)8

a. dika<sup>n</sup> stag<sup>3</sup> 'good person' NOM:SG

b. dikaču stega<sup>n</sup> GEN:SG

<sup>&</sup>lt;sup>7</sup> A similar defective agreement paradigm with only one case distinction is found in Ingush, see

<sup>&</sup>lt;sup>8</sup> The paradigm includes only selected forms.

c.	dikaču stagana	DAT:SG
d.	dikaču staga	ERG:SG
e.	dikaču stagie	ALL:SG
f.	dika <sup>n</sup> na:x	NOM:PL
g.	dikaču ne:xa <sup>n</sup>	GEN:PL

### 4.4.2 Dependent-driven agreement

In many languages spoken inside and outside the northern Eurasian area, head-driven agreement is attested as a device for licensing attributive modification. The reverse agreement type, *dependent-driven agreement*, is also wide-spread among the world's languages. Among the languages of my sample, however, dependent-driven agreement marking is attested only as a device for the licensing of (possessor) noun attributes. An example of a language with dependent-driven agreement marking in possessive noun phrases is Oroch.

(12) Oroch (Tungusic; Malchukov 2000: 3)

nia d'uu-ni

man house-poss:3sG

'a man's house'

The possessed noun 'house' in example (12) obligatorily agrees with the 3sG possessor 'man'. This type of dependent-driven agreement is usually called *possessor agreement*.9

### 4.4.2.1 Modifier-headed possessor agreement

The term *modifier-headed possessor agreement* is derived from *modifier-headed agreement* introduced in Bickel, Nichols & Rießler (2001-2010). It is a subtype of dependent-driven agreement characterized by reverse semantic and syntactic dependency relations between attribute and head.

Structurally similar to example (12), Oroch also exhibits dependent-driven agree—ment marking by means of possessive affixes on attributive adjectives.

- (13) Oroch (Tungusic; Malchukov 2000: 3)
  - a. nia aja-ni man good-poss:3sg 'a GOOD man'

driven|( Orochl( Oroch Malchukov. Andrej L. Dependency reversal in nounattributive constructions: towards a typology@Depen reversal in nounattributive constructions: towards a typology modifierheaded possessor agreement|( Bickel, Balthasar Nichols. **Johanna** Rießler, Michael NP structures@NP structures Autotypology! project Oroch Malchukov, Andrej L. Dependency reversal in nounattributive constructions: towards a typology@Depen reversal in nounattributive constructions: towards a typology

agreement!head driven|) agreement!depe

<sup>&</sup>lt;sup>9</sup> Another commonly used term is *cross reference marking*.

Malchukov, Andrej L. Dependency reversal in nounattributive constructions: towards a typolreversal in nounattributive constructions: towards a typology

Saliba|( Oceanic languages Ross,

Malcolm Possessive like attributive constructions in the Oceanic languages of North-

> west Melanesia@Possessive like attributive constructions in the

languages Northwest Melanesia

Oceanic

Saliba Mosel, Ulrike Saliba@Saliba Saliba Mosel, Ulrike Saliba@Saliba

b. nia-sa aja-ti man-pl good-poss:3pl 'GOOD men'

In the Oroch example, the semantic head of the noun phrase 'man' is syntactically "degraded" to the (dependent) possessor function, and the semantic dependent is "upgraded" to the function of the syntactic head of the phrase, i.e. the possessed. ogy@Dependency ording to Malchukov (2000: 3), the expression still has an attributive reading: 'a man, a property of whom is "to be good", rather than a possessive one: \*"a man's goodness". Thus, the semantic attribute is rendered as the head (i.e. the possessed) and the semantic head of the possessive noun phrase takes the slot of the dependent (i.e. the possessor).

> Whereas modifier-headed possessive agreement constitutes a marked structure in Oroch, it can be the universal type of attributive marking on adjectives in other languages. This kind of adjective attribution marking device is not very common in the northern Eurasian area under investigation, but it is pervasive, for instance, in Oceanic languages (cf. Ross 1998). In Saliba, for example, attributive adjectives as a rule are marked by means of 3<sup>rd</sup> person possessive suffixes.

- Saliba (Austronesian; Mosel 1994) (14)
  - a. sine natu-na woman child-poss:3sg
    - 'a woman's child / the child of the woman'
  - b sine-o natu-di woman-pl child-poss:3pl

'women's children / the children of the women'

In Saliba, possessor nouns are licensed as modifiers in a noun phrase by means of (dependent-driven) possessor agreement on the head noun. Similar to the marked noun phrase in Oroch (13), attributive adjectives are marked by means of modifier-headed possessor agreement.

- Saliba (Austronesian; Mosel 1994) (15)
  - a. mwaedo gagili-na small-poss:3sg eel
    - 'a small eel'
  - b. mwaedo gagili-di eel small-poss:3pl 'small eels'

The adjectival attribute 'small' in example (15) occurs in a possessive-like construction (similar to 14) where the adjective takes the slot of the possessed and is subsequently marked with a possessive agreement suffix.<sup>10</sup> Unlike in Oroch, however, modifier-headed possessor agreement is the default type of attributive connection of adjectives in Saliba.

### 4.5 Overt morphosyntactic construct marking: attributive state marking

Due to a lack of better terminology the feature STATE was earlier defined as assigned through *syntactic government* (in § 3.2). Unlike the common notion of *government*, which requires a trigger inside the phrase, true syntactic government considered in this study has no other trigger than the syntactic construction as such.

In order to avoid the misleading term *government*, all overtly marked attribution devices with the exclusive function of licensing the syntactic relation between constituents of a noun phrase are defined here as *attributive state marking*. "Overtly marked" means that (at least one) additional attribution marking morpheme is present in the noun phrase.

Attributive state is adopted from "construct state" or "status constructus" which are commonly used in syntactic descriptions of languages exhibiting head-marking STATE (e.g. Persian). Since construct state marking morphemes may occur on different loci inside the noun phrase, attributive state will be used as superordinate term, subsuming the subtypes with the following loci of their respective attributive markers:<sup>11</sup>

• on-head (construct)

• on-dependent (anti-construct)

• neither on-head nor on-dependent (floating construct)

Saliba|) Oroch|) modifierheaded possessor agreement|) agreement!depo driven|) agreement|) attributive state|( construct marking|seeattrib state construct state|seeattr state status constructus|seeattrib state

Persian

An alternative account of noun phrase structure in Saliba could claim that the verbal attribute is marked by head-driven agreement, analyzing the suffixes -na and -di as singular and plural markers, respectively. This analysis is obviously underlying the descriptions of Saliba (e.g. Mosel 1994, Margetts 1999), which leave the homophony of -na poss:3sg and -di poss:3pl with -na sg and -di pl undiscussed.

Other logically possible loci of attributive state markers would result from simultaneous marking on head- and/or on dependent+floating. I am, however, not aware of any language exhibiting such noun phrase types.

headmarking attributive state|( Persian|( Ezafe@headmarking attribu-

tive

Mahootian.

Persian

state|( Izafe|seeEzafe • simultaneously on-head and on-dependent

(double construct)

Among the northern Eurasian languages considered in the present study, only the first two types of attributive state marking, i.e. head-marking state and dependent marking state, are attested as devices for licensing attributive adjectives. These two types are dealt with in more detail below in § 4.5.1 and § 4.5.2.

### 4.5.1 Head-marking attributive state

The attributive construction in Persian, commonly known as *Ezafe* (or *Izafe*), illustrates a typical case of head-marked attributive state.

Shahrzad Persian@Persian (16)

Persian|) Northern

Kurdish|( Persian

Northern Kurdish Ortmann. Albert Kategorien

> des des Nomens

Persian (Indo-European; Mahootian 1997) xane-ve bozorg house-ATTR big

'a large house'

The only function of the attributive suffix  $-(y)e^{12}$  on the noun 'house' is to show that "I am a noun phrase and I have a dependent." The traditional term for the morphological value given by the head-marking attribution device in Persian is construct state (or status constructus). What is meant hereby is that the noun Nomens@Kategorien states" depending on the presence of a modifier in the noun phrase.

> Obligatory attribution marking by means of an Ezafe-construction is also characteristic for other Iranian languages. In the Northern variety of Kurdish spoken in the northern Eurasian area, the Ezafe-formative is not an invariable suffix unlike the cognate suffix -(y)e in Persian – but also indicates morphological values of NUMBER (SG/PL), GENDER (M/F) and SPECIES (DEF/INDEF). Consider example (17) and the paradigm in Table 4.2.

- Northern Kurdish (Indo-European; Ortmann 2002)
  - a. kur-ê mezin boy-ATTR:DEF.M.SG big 'the tall boy'
  - b. keç-a baç girl-ATTR:DEF.F.SG nice 'the nice girl'

<sup>&</sup>lt;sup>12</sup> The allomorph *-e* appears ofter consonants.

<sup>13</sup> The attributive construct state marking in Persian is polyfunctional in the sense that its function is not restricted to the licensing of adjectives as modifier in a noun phrase, but also of noun attributes, adposition phrases and verb infinitives.

c. *kur-ên* / *keç-ên baç* boy-ATTR:DEF.PL girl-ATTR:DEF.PL nice 'the nice boys / girls'

Table 4.2: Paradigm of the Ezafe in Northern Kurdish (Schroeder 2002)

	M.SG	F.SG	PL
DEF	-(y)ê	-(y)a	-(y)ên
INDEF	-î	-e	

Note that the values of true morphological features (NUMBER, GENDER, SPECIES) of the noun are combined with the morphosyntactic feature Attributive in the differentiated forms of the Ezafe in Northern Kurdish. But agreement is not involved here because gender, number and species marking is not triggered within the noun phrase but is inherited to the head noun morpho-semantically.

### 4.5.2 Dependent marking attributive state

#### 4.5.2.1 Anti-construct state

In some languages there is an attributive construction corresponding to the Iranian Ezafe, which however does not mark the head but the adjectival dependent for "state" (i.e., indicating the availability of a head in the present noun phrase). This type of marking occurs, for instance in Saamic languages.

- (18) Kildin Saami (Uralic; personal knowledge)
  - a. Predicative state
    - i. Tedt përrht lī ēll.

      DEM house COP high

      'This house is high.'
  - b. Attributive state
    - i. *Tedt lī ēl'l'-es' pērrht.*DEM COP high-ATTR house

      'This is a high house.'
    - ii. Tegk liev ēl'l'-es' pērht.
      DEM COP high-ATTR house\PL
      'These are high houses.'

Northern Kurdish|) Ezafe@headmarking attributive state|)

headmarking attributive state|) dependent marking

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Persian Northern Talysh adnominal

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state attributive case|seeanticonstruct state

attributive construct state attributive

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state linker|seeanticonstruct state

relator|seeanticonstruct state genitive|seeanticonstruct

state Saamic languages|)

anticonstruct state|)

anticonstruct state agreement marking|( Whereas the predicatively used adjective 'high' is represented by its pure stem form (18), it is marked with the attributive suffix -es' if used as modifier (18bi+18b-ii). Attributive marking on adjectives in Kildin and other Saamic languages is highly irregular due to the strong tendency to merge predicative and attributive adjective forms. Other adjective marking devices also occur. The default type in most Saamic languages, however, is that attributive adjectives exhibit an attributive inflection (Rießler 2006b; see also below § 7.18.6).

The attribution marker in Saamic is invariable, i.e. the adjective does not show agreement with its head noun. The host of the Saamic attributive suffix is the adjective. Its only function is to specify the syntactic relation between head noun and adjectival modifier ("my host is dependent in the present syntactic structure"). Since the construction in Saamic constitutes dependent marking opposite tion!possessoto the Persian construct state, it can be labeled *anti-construct*.<sup>14</sup>

Anti-construct state marking seems not uncommon cross-linguistically, even marker|seean# Saamic and the Iranian language Northern Talysh (see § 7.19.3.2.2) provide the only examples of European languages with anti-construct state marking on adjectives. Note that typological descriptions and grammars use quite different terms affix|seeanti- for anti-construct state markers, such as "attributive affix", "attributive particle", "relator", "associative marker", "linker", etc. If anti-construct marks the attribution of possessor nouns (besides adjectives) it is also often called "attributive case" or "genitive".

particle|seeanti-5.2.1.1 Possessive case marking From a purely syntactic point of view, possessive case marking is similar to anti-construct state marking. Both are syntactically governed dependent marking devices. In fact, anti-construct state marking particle|seean@f adjectives is sometimes described as "genitive" if the device is polyfunctional and marks possessor nouns as well.<sup>15</sup> Rather than extending the terminological domain of possessive case marking to adnominal modifiers beyond noun possessors, the term possessive case (or possessor case) will be used here only for describing a special subtype of anti-construct state. Whereas the latter is a purely morphosyntactic device, possessive case additionally specifies a semantic relation (i.e. possession).

<sup>&</sup>lt;sup>14</sup> The term was introduced during Bickel's and Nichols' earlier work on the AUTOTYP Noun Phrase Structure Database, cf. Bickel & Nichols (2002: 2, elsewhere), Bickel, Nichols & Rießler (2001-2010).

 $<sup>^{15}</sup>$  Even other construct marking devices, such as the linker in Tagalog (33) or the construct state marker in Persian (16) are often described as "genitives" because they mark possession. Unlike prototypical genitives, however, the construct markers in Tagalog and Persian do not constitute dependent marking devices.

### 4.5.2.2 Anti-construct state agreement marking

Construct state markers such as the linker in Tagalog, the head-marking construct state marker -(y)e in Persian, or the dependent marking anti-construct state marker -es in Kildin Saami are proper construct state markers in the sense that they are exclusively used as a licenser of an attributive syntactic relation between modifying and modified constituents in the noun phrase. The respective formatives thus have morphologically unalterable shapes.

Tagalog Persian Kildin Saami Russian|( Russian

In other languages, however, certain adnominal modifiers marked for anticonstruct state may additionally be the target of either head- or dependent-driven agreement. Such combined agreement and construct marking devices should consequently be characterized as simultaneously marking the syntactic and the morphological relation between the noun modifier and the modified noun.

This subtype of anti-construct state marking, characterized by (adjectival or other) adnominal modifiers being marked simultaneously for anti-construct state and for head-driven agreement, will be labelled *anti-construct state agreement marking* in the following.<sup>16</sup>

A typical example of a language with anti-construct state agreement marking is Russian.

- (19) Russian (Indo-European; personal knowledge)
  - a. Anti-construct state agreement
    - i. vysok-ij dom high-attr:m.nom house(m) '(a/the) high house'
    - ii. vysok-aja bašn'a
       high-ATTR:F.NOM tower(F)
       '(a/the) high tower'
  - b. Predicative agreement
    - i. etot dom vysok

      DEM:M house(M) high:M

      'this house is high'
    - ii. ėta bašn'a vysoka
       DEM:F tower(F) high:F
       'this house is high'

<sup>&</sup>lt;sup>16</sup> The extended label *head-driven* anti-construct state agreement marking seems obsolete because the agreement is self-evidently triggered by the head noun in this type.

In Russian, attributive as well as predicative adjectives show agreement in GENDER and NUMBER. Attributive adjectives agree additionally in CASE. The agreement suffixes of the attributive and predicative paradigms, however, have different shapes; consider Table 7.5.

Traditionally, the two inflection paradigms of the adjective in Russian have been contrasted to each other as "short" and "long" forms. These terms, however, describe the form rather than the function of the different agreement inflections and are thus less useful for the classification of the Russian noun phrase type from a morphosyntactic typological perspective. The "long" adjectives of Russian do not simply belong to a different declension paradigm as compared to their "short" counterparts. The formal distinction between the two adjective declensions is connected to attribution marking. Whereas the predicative ("short") forms show "pure" agreement, the agreement suffixes on attributive adjectives mark agreement and the attributive state of the adjective simultaneously.

Historically, the attributive adjective inflection consists of two morphemes: a pronominal stem plus the original "short" agreement suffix.<sup>17</sup> Synchronically, the attributive adjective suffixes in Russian are thus best analyzed as portmanteau suffixes marking anti-construct and head-driven agreement simultaneously.

One could argue against the analysis of the "long" adjective declension in Russian as attributive state marking saying that "long form adjectives" also occur in predicative position. In fact, the semantic difference between the use of "short" versus "long" forms in adjective predication in Russian could be described as an opposition between temporal and permanent properties denoted by the adjective. Nonetheless, the marking of the predicative adjective is rather irrelevant here. What is crucial, however, is the use of the "long" forms, which occur in attributive position as a rule. The "short" (i.e. predicative) form cannot occur in attributive position.

Furthermore, it could even be argued that "long" form adjectives in predicative position are instances of adjective attribution marking rather than of adjective predication. This is the case if one analyses the "long form adjectives" as headless noun phrases in an appositive construction, as the "long" predicative form in (20b) denoting a permanent property apposed to the "short" predicative form in (20a) denoting a temporal property.<sup>18</sup>

<sup>&</sup>lt;sup>17</sup> In the forms for nominative (cf. Table 7.5) the two morphemes for ATTR and GEN-DER/NUMBER/CASE are still separable. In the remaining cases, however, they are merged into one portmanteau suffix.

<sup>&</sup>lt;sup>18</sup> Russian examples of morphologically differentiated predicative adjectives also often reflect an opposition in the subject's denotative status. The "short" form is used for denoting reference to a class of objects: *krasavicy kaprizn-y* [capricious-PRED:AGR] 'beautiful women are

### (20) Russian (Indo-European; personal knowledge)

- a. "short" predicative adjective on bolen3sG ill:PRED:M'he is ill'
- b. "long" predicative adjectiveon bol'nyj3sG ill:ATTR:M'he is a sick one (i.e. he is mentally sick)'

The origin of anti-construct state agreement marking in Russian is dealt with in § 9.1.2.1. It is worth mentioning that remnants of an Old Slavic anti-construct adjective inflection are found in other modern Slavic languages as well, especially in the South Slavic languages Slovenian and Serbian where the "long" adjective forms occur in definite noun phrases (see §7.19.9.3.3).

Similar to South Slavic but much more regular is the occurrence of a cognate anti-construct adjective inflection in the Baltic languages Latvian and Lithuanian.

- (21) Latvian (Indo-European; dahl2007)
  - a. *liel-a māja*big-f.nom.sg house(f)
    'a large house'
  - b. liel-ā māja
     big-ATTR:F.NOM.SG house(F)
     'the large house'

Unlike in Russian where attributive adjectives are marked with the anti-construct state agreement suffixes as a rule, the use of the cognate attributive forms in the Baltic languages is usually described as depending on the referential status of the head noun. Whereas the "short form" agreement suffix is used with adjectives modifying indefinite nouns (21a), the attributive adjective in definite noun phrases is obligatorily marked with the "long form" agreement suffix (21b).

The anti-construct state agreement marking suffixes in the Baltic languages is often described as a definiteness marker. Note, however, that the definite noun

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South Slavic
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Lithuanian
Latvian

capricious'), the "long" form is used for denoting reference to an individual: *oni kaprizn-ye* [capricious-ATTR:AGR] 'they are capricious' (or 'they are (the) capricious ones', e.g. two sisters known from the discourse) (cf. Mendoza 2004: 210 Footnote 76).

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never exhibits definite marking itself. If no attributive adjective is present the definite noun remains unmarked. The analysis of the "long form" agreement suffix in Baltic as definite marker would thus presuppose the assumption that the definite marker is selective and shows up only on attributive adjectives.

Markers which are selective according to their host's parts-of-speech membership are indeed attested. The Latvian and Lithuanian examples, however,
could be compared to selective marking in other languages only if one assumes
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### (22) Latvian (Indo-European; dahl2007)

- a. *māja* 
  - house
  - 'a house'
- b. *māja-?Ø*
- house-def
  - 'the house'
- c. liel-**ā** mā
  - big-DEF:F.NOM.SG house(F)
  - 'the large house'

Mel'čuk (1998: 31) introduced the term *displaced category* (Russian *smeščennaja kategorija*) for the type of marking found in Baltic. It has also been argued by Dahl (2003: 149–152; see also **dahl2007**) that definite noun phrases often show special behavior in languages depending on whether or not they exhibit attributive adjectives (or other modifiers).<sup>20</sup>

An alternative analysis is preferred here: Since the "long form" agreement suffix only attaches to attributive adjectives, the formative could well be analyzed as an anti-construct state agreement marker (similar to Russian) which is, however, restricted to occurring in semantically definite noun phrases.

<sup>&</sup>lt;sup>19</sup> Consider, e.g., the two allomorphs of the definite marker in Danish *hus-et* [house-def.n] 'the house', *det store hus* [def.n big.def.n house] 'the large house'. The suffix *-et* def.n. attaches to bare nouns, whereas the free form *det* def.n attaches to noun phrases with adjective modifiers, cf. also Table 9.1.

Dahl (2003: 150) compares the "long form" adjectives in the Baltic languages with attributive articles in Romance languages (such as in Latin *Babylon illa magna*) and Yiddish, among others. A structural and even historical connection is indeed plausible, as will be shown in Part IV of this study, especially in § 9.1.2.

Several examples of languages are attested where the occurrence of different noun phrase types is restricted to certain subsets of noun phrase constituents. In the case of the Latvian example given above (and similar to Lithuanian) attributive adjectives are marked differently depending on the referential status of the whole phrase. The choice between the head-driven agreement versus the anticonstruct state agreement type would thus be constrained by the semantically defined subsets of the noun head (i.e. indefinite versus definite).

As a consequence of the suggested analysis of the "long form" agreement suffixes in Baltic as anti-construct state agreement markers, Latvian and Lithuanian could be described as lacking definiteness as morphological category. In fact, several authors have questioned the existence of morphologized definite marking at least in Lithuanian, where the occurrence of the anti-construct state agreement suffix is clearly not restricted to definite noun phrases (cf. Wissemann 1958 cit. Krámský 1972: 181-182). Trost (1966: 37) argues that permanent versus non-permanent properties are marked rather than definite versus indefinite, for example (Lithuanian) aukštoji mokyla 'college (lit. 'high school')'. 21

In § 9.1.2.1, diachronic arguments will be presented in favor of the assumption that a morphological feature SPECIES (with the values DEFINITE / INDEFINITE) was not present in Baltic languages, at least until the most recent stages in their language history. The anti-construct state agreement inflection is clearly older than the morphologization of definiteness in Baltic (and similarly in certain Slavic languages). In older stages of Baltic (and Slavic) the "long" adjective inflection was connected to attributive rather than to definiteness marking (see § ??). To a certain extent, this holds true for the modern Baltic languages Latvian and Lithuanian.

Thus, in the ontology presented here anti-construct state agreement marking in Baltic belongs to the same noun phrase type as the one described for Russian (cf. example 19 on page 43). This analysis seems justified regardless of the question as to whether the device constitutes the default type of adjective attribution marking (as in Russian) or is restricted to a given semantically restricted subset of the head noun (as in Latvian and Lithuanian).

Also in German (similar to the other West Germanic languages, except English), attributive and predicative adjectives are morphosyntactically differentiated. Whereas attributive adjectives show head-driven agreement, predicative adjectives are used in an invariable form. Given the definition of dependent marking attributive state which was applied here (see also § 3), German thus exhibits

Wissemann, Heinz Zur nominalen Determination I@Zur nominalen Determination Krámský, Jiří The article and the concept of definiteness in language@The article and the concept of definiteness in language Trost, Pavel

O složeném adjektivu baltoslovanském@O složeném adjektivu baltoslovanském Slavic

languages Old Baltic languages Old Slavic languages Latvian|) Lithuanian|) Russian|) Baltic

languages|) German|( West Germanic languages

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<sup>&</sup>lt;sup>21</sup> For Latvian, however, Trost (1966: 38) accepts the analyses of the "long" suffix as definite marker because it occurs regularly after possessive pronouns.

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a similar type of obligatory anti-construct state agreement marking as Russian. Note, however, that the adjective inflection suffixes in German are merged to a relatively high degree: Only the five single forms -e, -en, -em, -er, -es are formally distinguished.

What is even more interesting in German is the fact that the agreement feature species exhibits a third value for which a grammatical label is hard to find. Whereas indefinite agreement shows up on adjectives in semantically indefinite noun phrases (formally marked by the indefinite marker *ein* in Table 4.3) and definite agreement on adjectives occurs in semantically definite noun phrases (formally marked by the definite marker *der* in Table 4.3), the "third species" agreement forms show up in semantically indefinite or definite noun phrases marked, for instance, by possessive pronouns and the indefinite pronoun *kein* 'no(t any)'. Whereas the "third species" agreement forms are similar to the indefinite forms in singular, they are similar to the definite forms in plural. Accordingly, three species values thus have to be distinguished in the morphological paradigm.

It is worth mentioning that adjectives which are simultaneously marked for attributive state (i.e. anti-construct) and head-driven agreement are also attested in languages outside the northern Eurasian area. Similar to Russian, adjectives in Endo, a Nilotic language of Kenya, require different agreement suffixes depending on their use as modifiers of a noun or as predicates.

apply better spacing between columns

- (23) Endo (Nilotic; Zwarts 2003: 65)
  - a. karaam inyeentee good(sG) 3sG'S/he is good.'
  - b. laakwa nyaa karaamchild ATTR:SG good(SG)'a good child'
  - c. karaam-a akwaaneek
    good-PRED:PL 3PL
    - 'They are good.'
  - d. piich chaa karaam-een people ATTR:PL good-ATTR:PL 'good people'

The example illustrates that adjectives in Endo show agreement in number. The singular is unmarked and the plural is marked by the suffix -a for predicative

Table 4.3: Agreement paradigm for the German adjective 'good' ('good man' m, 'good woman' F, 'good child' n, 'good

	bearing	Lic in											
			M.SG			F.SG			N.SG			pl	
	NOM	(ein)	gut-er	(Mann)	(ein-e)	gut-e	(Frau)	(ein)	gut-es	(Kind)		gut-e	(Leute)
INDEF		(ein-es)	gut-en	(Mannes)	(ein-er)	gut-en	(Frau)	(ein-es)	gut-en	(Kind-es)		gut-er	(Leute)
	DAT	(ein-em)	gut-en	(Mann)	(ein-er)	gut-en	(Fran)	(ein-em)	gut-en	(Kind)		gut-en	(Leuten)
	ACC	(ein-en)	gut-en	(Mann)	(ein-e)	gut-e	(Frau)	(ein)	gut-es	(Kind)		gut-e	(Leute)
	NOM	(der)	gut-e	(Mann)	(die)	gut-e	(Fran)	(das)	gut-e	(Kind)	(die)	gut-en	(Leute)
DEF	GEN	(des)	gut-en	(Mannes)	(der)	gut-en	(Fran)	(des)	gut-en	(Kind-es)	(der)	gut-en	(Leute)
	DAT	(dem)	gut-en	(Mann)	(der)	gut-en	(Fran)	(dem)	gut-en	(Kind)	(den)	gut-en	(Leuten)
	ACC	(den)	gut-en	(Mann)	(die)	gut-e	(Fran)	(das)	gut-e	(Kind)	(die)	gut-en	(Leute)
	NOM	(mein)	gut-er	(Mann)	(meine)	gut-e	(Fran)	(mein)	gut-es	(Kind)	(meine)	gut-en	(Leute)
IN/DEF	GEN	(meines)	gut-en	(Mannes)	(meiner)	gut-en	(Fran)	(meines)	gut-en	(Kind-es)	(meiner)	gut-en	(Leute)
	DAT	(meinem)	gut-en	(Mann)	(meiner)	gut-en	(Frau)	(meinem)	gut-en	(Kind)	(meinen)	gut-en	(Leuten)
	ACC	(meinen)	gut-en	(Mann)	(meine)	gut-e	(Fran)	(mein)	gut-es	(Kind)	(meine)	gut-en	(Leute)

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adjectives and by *-een* for attributive adjectives.<sup>22</sup>

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4 5 2 3 Attributive nominalization

Nominalization is often understood very broadly as a word-class changing morphological operation deriving nouns from other syntactic classes. This definition stresses the lexical-semantic side of nominalization. But the term is sometimes also used for a syntactic operation in which a verbal (single or complex) constituent, like a verb, a verb phrase, a sentence, or a portion of a sentence (including a verb) is converted into a nominal (single or complex) constituent (Li & Thompsen 1981: 575). In this latter sense, nominalization is a means of licensing nominal constituency.

mar@Mandarin Mandarin Chinese illustrates a language in which syntactic nominalization is a highly polyfunctional device for the licensing of different modifying phrase constituents (cf. Li & Thompsen 1981: 575–593; see also example 1 in § 5). Adjectives in Mandarin are used in attributive position (24a), in predicative position (24b) and when used as adverbial modifiers (24c).

- Mandarin Chinese (Sino-Tibetan; Li & Thompsen 1981) (24)
  - a. Adjectival attribute  $[_{NP} x\bar{i}n \ de]$  $sh\bar{u}$ new NMLZ book

'new book'

- b. Adjectival predicate wŏ-de  $sh\bar{u}$  shi  $[NP] x\bar{i}n$  de1sg-nmlz book cop new NMLZ 'My book is new (i.e. a new one).'
- c. Adjectival adverb wŏ  $[NP \ vánli-de]$ zébèi tā le stern-NMLZ reproach 3sg CRS

'I sternly (i.e. as a stern one) reproached him/her.'

Interestingly, nominal constituents can also be nominalized, i.e. they can be synmar@Mandarin
Chinese a tactically licensed as constituents in larger syntactic units. In some languages, such syntactic licensing is obligatory for certain types of nominals. The respective markers (i.e. nominalizers of nominals) are labelled with quite different terms.

<sup>&</sup>lt;sup>22</sup> Unlike in Russian, however, there is a second attributive marker present in Endo, an attributive article nyaa ATTR:SG, chaa ATTR:PL. The noun phrase type would thus better be characterized as a combination of attributive article+anti-construct state agreement, hence "double agreement".

such as, for instance, "articles", "noun phrase articles" or "noun (phrase) markers" (cf., e.g., Dryer 2007: 152, Rijkhoff 2002: 95, elsewhere). Prototypical examples of such markers come from Oceanic languages where noun phrases contain an obligatory nominalizer deriving from a demonstrative.

Due to lack of a conventionalized terminological distinction, "nominalization" is here used for denoting the purely syntactic operation by which a noun or noun phrase is marked as a syntactic constituent by making it syntactically more complex, i.e. by projecting a full noun phrase. This use of the term *nominalization* is also consistent with the fact that "nominal" is most often used as a homonym for "noun phrase" rather than for "noun". "Substantivation", on the other hand, will be used for the purely morpho-semantic (derivational) process yielding a noun (substantive). Whereas substantivation belongs to the spheres of morpho-semantics and lexicon, nominalization belongs to syntax: Nominalizers function exclusively for the licensing of noun phrases as constituents in larger syntactic units.

Attributive nominalization has already been discussed as "appositive modification" in § 2.3.3. Attributive nominalization is a special subtype of dependent marking construct state. Similar to the latter, attributive nominalization represents a covert dependent marking morphosyntactic device and is triggered either by purely syntactic government (as, e.g., anti-construct state marking in Kildin Saami, see § 4.5.2) or by syntactic government in combination with head-driven agreement (as, e.g., anti-construct state agreement marking in Russian, see § 4.5.2.2). The special distinguishing characteristic of attributive nominalization lies in the syntactic structure: Whereas true anti-construct state markers attach directly to the dependent constituent (as, e.g., the respective inflectional suffixes in Kildin Saami or Russian), attributive nominalizers attach to an intermediate dependent phrasal constituent between the head noun and the modifier.

Epithet-constructions with attributive articles in Germanic languages illustrate a prototypical case of attributive nominalization by means of an article.<sup>23</sup>

(25) German (Indo-European; personal knowledge)

Friedrich der Große 'Frederick the Great'

Following Himmelmann (1997: 180), the syntactic structure of this example can be described as follows:

(26) [NP Friedrich [NP, ART der A Große]]

Dryer, Matthew S.

Noun phrase structure@Noun phrase structure

Rijkhoff, Jan The noun phrase@*The* noun

phrase appositive modification German

Himmelmann, Nikolaus P. Deiktikon.

Artikel,

Nominalphrase: zur Emergenz syntaktischer Struktur@Deiktik Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur

<sup>&</sup>lt;sup>23</sup> The examples are from Himmelmann (1997: 179–180). Note that attributive nominalization in German is restricted to noun phrases with proper names as heads. This restriction is, however, irrelevant to the following argumentation.

German Himmelmann. Nikolaus P.

Deiktikon. Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur@Deiktikoi Artikel. Nominalphrase: zur Emergenz syntaktischer Struktur

Himmelmann. Nikolaus P. Deiktikon, Artikel. Nominalphrase: zur Emergenz syntaktischer Struk-Artikel, Nominalphrase: zur Emergenz syntaktischer

Struktur

The intermediate phrasal constituent between the noun phrase (NP) and the adjective is labeled as NP', leaving open the rather theoretical question about what constitutes the syntactic head of this phrasal projection.<sup>24</sup>

Note that the attributive marker *der* in example 25 is homophonous with the definite marker der but clearly has a different function in this construction. For instance, the attributive marker *der* cannot be exchanged with a possessive or a demonstrative pronoun and is thus not a marker of definiteness. The proper noun Friedrich, on the other hand, can be further determined by means of a demonstrative (*jener Friedrich der Große* 'that Frederick the Great') or a possessive pronoun *(unser Friedrich der Große* 'our Frederick the Great'). In fact, (in-)definiteness marking of the whole noun phrase does not affect the attributive nominalizer, consider the following example:

- German (Indo-European; personal knowledge) (27)
  - a. Irgendein [Friedrich der Große] INDEE NOM soll das gesagt haben.
  - b. Dieser [Friedrich der Große] DEF NOM soll das gesagt haben.
  - c. Ich sehe mir irgendeinen [Friedrich den Großen] INDEE ACC an.
  - d. Ich sehe mir diesen [Friedrich den Großen] DEFACC an.

The attributive adjective forms a complex constituent together with the article. This complex constituent is subordinated to the noun phrase head (i.e. the proper name Friedrich) whom it modifies. Agreement in gender/number/case is triggered (through agreement) by the head noun (Friedrich)The agreement pattern tur@Deiktikoun the German epithet-construction also show that the nominalizer der has not only to be distinguished from the homophonous definite marker but also from the relativizer der. Consider the following examples (cf. also Himmelmann 1997: 181). According to Lehmann (1984: 230-231; cf. also Himmelmann 1997: 181) true relative pronouns represent the syntactic head for the predicate of the embedded clause. The syntactic function of the relative pronoun is determined by the predicate, but it is independent from the syntactic function of the head noun. Consequently, the relativizer *der* (similar to the adjective *groß*) in example (??) agrees only in gender and number with the head noun Friedrich. Case is alloted according to the function of der as argument in the embedded clause. This is different from the syntactic function of the attributive nominalizer der. The nominalizer does agree in case with the head noun. The article's syntactic function is thus dependent of the head noun's function in the superordinate construction.

<sup>&</sup>lt;sup>24</sup> "Article phrase" (similar to "Determiner phrase" in X-bar syntax) would imply the nominalizer (in this case the article *der*) is the head.

#### 4.5.2.4 Attributive articles

Attributive nominalizers similar to *der* in German epithet-constructions will be labeled *attributive articles* in the following. Attributive articles are similar to anticonstruct state agreement markers in that they mark the syntactic relation of attribution and agreement simultaneously. Prototypically, attributive articles are grammatical words and hence syntactic constituents on their own. In the case of the German attributive article *der*, the constituency of the marker becomes evident in the fact that both the adjective and the article are the target of head-driven agreement.

Even though "article" is often used for many different types of grammatical markers, this term (<Latin artus/articulus 'joint, small connecting part') originally referred to the metaphor of a joint between the constituents in a noun phrase, hence a true attribution marker. Interestingly, Dryer (1989: 83) and Rijkhoff (2002) distinguish two types of "articles": (1) words indicating (in-) definiteness (or some related discourse notion) and (2) words serving as a noun phrase marker "in the sense that noun phrases in that language [...] typically occur with one of the words in question" (Rijkhoff 2002: 285). Attributive articles could nicely be subsumed under type (2) "Noun phrase marker" if the definition would be extended: "a marker which occurs with noun phrases and/or phrasal dependent constituents of noun phrases".

The term *attributive article* used here matches Himmelmann's (1997) *Gelenkartikel* 'linking article', which in turn is borrowed from Gamillscheg's (1937) description of the "linking function" (*Gelenksfunktion*) of articles in different Indo-European languages.<sup>25</sup>

Even though the use of the term *article* by Indo-Europeanists is often applied in grammatical descriptions of different languages and even in theoretical linguistic studies, the present study prefers to use *article* only for denoting an attributive marker. On the basis of examples from Greek (with the so-called repeated article) and from Latin (with the so-called linking demonstrative), Gamillscheg (1937: 48) characterizes the attributive article as exhibiting "a disjunctive and linking function simultaneously"<sup>26</sup> by marking the adjective as "physically independent."<sup>27</sup> The articles *ille* in Latin and *tó* in Greek thus have different functions than the ho-

Drver, Matthew Article-noun order@Article noun order Rijkhoff, Jan The noun phrase@The noun phrase Rijkhoff, Jan The noun phrase@The noun phrase Gamillscheg, Ernst Zum romanischen Artikel und Possessivpronome

romanis-

chen Artikel

und

Possessivpronomer

<sup>&</sup>lt;sup>25</sup> In Himmelmann's 1997 terminology, however, the attributive or linking article is a subtyp of a class of grammatical words (which he calls "operators"), which are labeled *articles*. Other subtypes of this class are definite, indefinite and other types of (non-attributive) grammatical markers.

<sup>&</sup>lt;sup>26</sup> "[...] zugleich trennende und verbindende Funktion [...]"

<sup>&</sup>lt;sup>27</sup> "[...] physisch selbständig [...]"

Yiddish Iacobs, Neil G. Prince, Ellen F. Auwera. Johan van der

Plank, Frans

Double articulation@Double articulation Greek

Ruge, Hans Grammatik des Neugriechischen: Lautlehre. Formenlehre, Syn-

tax@Grammatik des Neugriechischen: Lautlehre.

Formenlehre. Syntax

mophonous demonstratives/definite markers in that the article nominalizes an adnominal constituent in order to function as attribute of a certain kind. The homorphonous demonstrative/definite marker on the other hand, marks the whole noun phrase for certain values of the feature SPECIES.

While the use of attributive articles in German, English and several other Indo-European languages is restricted to epithet-constructions, a similar construction Yiddish@ Yiddish with an attributive article occurs much more unrestrictedly in Yiddish.

> (28)Yiddish (Indo-European; Jacobs, Prince & van der Auwera (1994))

a. di grin-e ovg-n DEF.PL green-DEF.PL eye-PL

'the green eyes'

b. di ovg-n di grin-e DEF.PL eye-PL ATTR.DEF.PL green-DEF.PL 'the GREEN eyes'

c. 'n grin-et oyge INDEF.N green-INDEF.N eye(N)

'a green eve'

d. 'n ουσε grin-et INDEF.N eye(N) ATTR.INDEF.N green-INDEF.N 'a GREEN eve'

In the default attributive construction in Yiddish, the adjective precedes the noun which also triggers agreement on the adjective (28a+28c). In an emphatic construction and postponed to the head noun, however, the attributive adjective is marked with an article (28b+28d) (Plank 2003: 342-347).

Yiddish thus shows that attributive articles can have a much broader use than for example in German. But even in Yiddish the use of the attributive article is subject to restrictions. In this case, the restriction is of a semantic nature and is due to the referential status of the adjective. In order to occur in an attributive nominalization construction the adjective must be in contrastive focus.

A similar rule applies to Modern Greek, where the so-called repeated article also occurs in contrastive focus constructions.

Greek (Indo-European; Ruge 1986) (29)

> a. *i* kondés fústes DEF short skirts 'the short skirts'

b. *i fústes i kondés*DEF skirts ATTR short

'the SHORT skirts'

Note that the two phrases in the attributive apposition constructions (i.e. attributive nominalization) of German (§ 4.5.2.3), Yiddish (28) and Greek (29) cannot be re-arranged unless the whole construction yields a different reading. In the case of the epithet-construction in German, re-arrangement of adjective and noun would result in a simple noun phrase with an attributive adjective which is, however, no longer an epithet. Re-arrangement of the constructions in Yiddish and Greek would result in true noun phrase appositions.

**4.5.2.4.1 Attributive articles as subtype of attributive nominalizers** Attributive articles have been characterized as grammatical words and agreement targets. In accordance with the common practice of labelling an unchangeable, nonbound grammatical marker "particle", the attributive nominalizer *the* in English (epenthet constructions) would fall into this category because it is not an agreement target.<sup>28</sup>

In the present survey, however, there are only a few examples of languages with attributive, non-article nominalizers attested, among them Ket (cf. § 7.12) and Dungan (cf. § 7.8) where the respective markers seem to constitute affixes rather than particles.

In the present ontology, attributive articles are defined as a subclass of attributive nominalizers. Whereas attributive nominalizers are construct markers (belonging to pure morphosyntax), articles have an additional semantic component because they undergo agreement.

4.5.2.4.2 **D-Elements which are not nominalizers** In the previous section, attributive articles and other attributive nominalizers have been described and attributive nominalizers have been characterized as a special subtype of anticonstruct state markers which attaches to an intermediate dependent phrasal constituent between the head noun and the modifier.

Somewhat similarly, Himmelmann 1997 describes attributive articles and other attributive nominalizers as D(eterminer) elements between head and attribute<sup>29</sup>. Illustrating attributive nominalization with examples from several languages, the author shows that these markers prototypically originate from adnominally

<sup>&</sup>lt;sup>28</sup> Consider also Himmelmann's (1997) "Gelenkartikel" versus "Gelenkpartikel".

<sup>&</sup>lt;sup>29</sup> "D(eterminer)-Element zwischen Kopf und Attribut"

#### 4 Typology of attribution marking

Swedish Albanian Himmelmann. Nikolaus P. Deiktikon, Artikel, Nominalphrase: zur Emergenz svntaktischer Artikel. Nominalphrase: zur Emergenz syntaktis-

cher Struktur grammaticalized local deictic pronouns used as functional heads of nominalizer phrases. Himmelmann does not, however, clearly distinguish between synchronic and diachronic evidence and considers both attributive nominalizers (such as the "repeated article" in Greek), agreement markers (such as the so-called "adjective article" in Albanian and even linkers (as in Tagalog) as D-elements

Nominalphrase: The linker in Tagalog is not an article (not even an attributive nominalizer) according to the present ontology of attribution marking devices because the marker is floating, with a locus neither on-dependent or on-head, and it does not project a noun phrase (cf. § 4.5.4 in Part II). Examples of agreement marking trum@Deiktikon, D-Elements come from Swedish and Albanian.

- (30) a. Swedish (Indo-European; personal knowledge)

  den goda vännen

  ATTR:DEF.SG.UTR good:DEF.SG.COM friend:DEF.SG.COM
  - b. Albanian (Indo-European; examples from Himmelmann 1997: 166–167) shoku i mirë friend:Def:Nom.sg.m nmlz:nom.sg.m good:nom.sg.m 'the good friend'

Whereas the agreement marking "D-Element" in Albanian is perhaps a nominalizer, the markers in Swedish (and other languages) might simply be construct-state agreement markers from a purely synchronic point of view because they do not occur in attributive apposition constructions, i.e. they do not project noun phrases (cf. § 7.19.1 for Albanian and § 7.19.6.2.2 for Swedish). From a diachronic point of view, however, these markers clearly originate from absolutely similar attributive nominalizers. Consequently, the grammaticalization path suggested by Himmelmann 1997 can even be extended with an additional stage: from "D-elements" to attributive articles (or other attributive nominalizers) to construct-state markers, as will be shown in the diachronic Part IV.

From a purely synchronic point of view, however, the different types of anti-construct state agreement and attributive article might not always be easily distinguishable from each other or from head-driven agreement. The first two often include some "article notion" (sometimes connected to definiteness or other referential values), and all three types include agreement marking. "Pure" agreement marking, however, cannot include the feature STATE (construct marking). A simple test is whether or not attributive adjectives show different agreement marking than predicative adjectives. If they do, as, e.g. in Russian, construct marking is involved. If construct marking undergoes agreement and additionally projects a full noun phrase, as, e.g. the article in Germanic epithet constructions, than the

type of marking is best characterized as attributive article.

### 4.5.3 Head+dependent marking attributive state

This combined type refers to state marking which has two loci: on-head and ondependent simultaneously. A language spoken outside the northern Eurasian area which gives an example of this noun phrase type is the Toreva dialect of Hopi.

- (31) Hopi (Toreva) (Uto-Aztecan; Whorf 1946)
  - a. cava is short
  - b. *pòyo* knive
  - c. cav vộyo is\_short\ATTR knive\ATTR 'a short knive'

According to Whorf (1946: 178) both the adjective modifier (which is a stative verb in Hopi) and the noun head alter their phonological shapes regarding whether or not they are used in predication or as constituents in a noun phrase. Consider the noun phrase in example (31c) where the modifier *ca·va* 'is short' occurs with a shortened stem form (compared to 31a) and the noun is marked by means of lenition of the word-initial consonant (*pòyo* 'knive' versus *vòyo* [knive\ATTR]).

The noun phrase type in Hopi is thus best analyzed as attributive state marking in which both the noun head and the adjective dependent are construct marked. Note, however, that in contrast to the other mentioned examples of different types of state markers, the respective formatives in the noun phrase of Hopi are non-concatenative morphemes represented by stem alternations.

Double (head+dependent) construct state marking is also attested as adjective attribution marking device in one language of northern Eurasia. In Northern Saami, two adjectives meaning 'little' govern diminutive marking on the head noun. Noun phrases with these two adjectives are ungrammatical if diminutive marking on the noun is missing.

- (32) Northern Saami (Uralic; personal knowledge)
  - a. Diminutive derivation

Hopi!Toreva Whorf. Benjamin The Hopi language, Toreva dialect@The Норі language, Toreva dialect Whorf. Benjamin L. The Hopi language, Toreva dialect@The Норі language, Toreva

dialect

Hopi!Toreva|) Northern

Saami

Hopi!Toreval(

#### 4 Typology of attribution marking

```
dependent
                             guolli / guolá-š / guolá-ža-t
   marking
                                     fish-DIM fish-DIM-PL
                             fish
   attribu-
   tive
                            'fish' / 'little fish' / 'little fishes'
   state|)
Tagalog
                            Anti-construct state marking ('big')<sup>30</sup>
Rubin.
                             stuorra guolli / guoli-t / guolá-š / guolá-ža-t
   Edward J.
                                                fish-pl fish-dim fish-dim-pl
                             big:ATTR fish
Modification:
                            'big fish' / 'big fishes' / 'big little-fish' / 'big little-fishes'
   svntactic
   analysis
                            Double-construct state marking ('little')<sup>31</sup>
   and its
                                         guolá-š / guolá-ža-t
   conse-
                             unna
   quences@Modification:
                             smal:ATTR fish-DIM fish-DIM-PL
   svntactic
                            'small fish' / 'small fishes'
   analysis
   and its
                       d. * unna
                                          guolli / guoli-t
   conse-
                             small:ATTR fish
                                                  fish-PL
   quences
```

Diminutive is a derivational category in Northern Saami. Normally it is assigned semantically to the noun and thus belongs to the morphological features, as in (32a+32b). However, diminutive can in fact also be a morphosyntactic feature in Northern Saami, namely when it is obligatorily governed by one of the two attributive adjectives *unna* or *uhca* 'little, small (attr.)', as in (32c). However marginal these examples seem to be, diminutive is assigned syntactically on the head by the dependent and thus also belongs to the morphosyntactic features in Northern Saami.

#### 4.5.4 Neutral attributive state

The term *neutral marking* was introduced by Nichols (1986) in her typology of head marking versus dependent marking grammar. *Neutral marking* refers to a marker's locus neither on-head nor on-dependent. This means that the marker floats in the noun phrase depending on the actual order of constituents. A floating state marker occurs, for instance, in Tagalog.

#### (33) Tagalog (Austronesian; Rubin 1994)

<sup>&</sup>lt;sup>30</sup> State marking of 'big' is non-concatenative and affects the quantity of the stem consonants and the quality and quantity of the stem-final vowel, cf. the same adjective inflected for predicative state (agreement): *guolli/guoláš lea stuoris* [PRED:SG] 'the fish/little fish is big'; *guolit/guolážat leat stuorrát* [PRED:PL] 'the fishes/little fishes are big'.

<sup>&</sup>lt;sup>31</sup> State marking of 'little' is non-concatenative and affects the quantity of the stem consonants and the quality and quantity of the stem-final vowel, cf. the same adjective inflected for predicative state (agreement): guolli/guolá-š lea unnni [PRED:SG] 'the fish/little fish is little'; guolit/guolážat leat unni [PRED:PL] 'the fishes/little fishes are small'.

- a. Predication
   Maganda ang bahay.
   beautiful TOP house
   'The house is beautiful.'
- b. Attribution (adjective-noun) maganda-ng bahay beautiful-ATTR house 'beautiful house'
- c. Attribution (noun-adjective)
   bahay na maganda
   house ATTR beautiful
   'beautiful house'

In the Tagalog noun phrase, the combination of noun and modifier is licensed by the attributive state marker -ng.<sup>32</sup> The marker occurs with attributive adjectives (33b and 33c) but not with predicative ones (33a).<sup>33</sup>

The two types of adjective attribution in Tagalog (33b and 33c) are distinguished from each other only by constituent order of the head noun and the modifying adjective. The attribution marker follows the first constituent, regardless of whether this is the modifier or the noun. The attribution marker in Tagalog behaves thus like a second-position clitic (Nichols 1986: 65; see also Himmelmann 1997: 160, 162).

In the typology presented here only a floating state marker, i.e. an overt state marker which behaves neutrally with regard to its locus and is neither head- nor dependent marking, is considered to be a true *linker*. The occurrence of such an attribution marking device is not attested among the northern Eurasian languages investigated for the present study. However, since *linkers* and *articles* (but even other attribution marking devices) are sometimes not clearly distinguished in terminology (see below § 4.5.2.4), it seems rather relevant to characterize this noun phrase type here.

Nichols, Johanna Headmarking and dependentmarking grammars@Head marking and dependentmarking grammars Himmelmann. Nikolaus Deiktikon. Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur@Deiktik Artikel. Nominalphrase: zur Emergenz svntaktischer Struktur

attributive

state|)

 $<sup>^{32}</sup>$  After consonants the allomorph na is used.

<sup>&</sup>lt;sup>33</sup> The state marker in Tagalog is polyfunctional in the sense that it also marks attribution of demonstratives, numerals and other modifiers Himmelmann (1997: 160–161). See also below § 5.

# 4.6 An ontology of adjective attribution marking devices

The §§ 4.2 through 4.5.4 of this chapter were aimed at typologizing adjective attribution marking devices. The attested devices described so far belong to the following noun phrase types:

- Juxtaposition
- Incorporation
- Construct state
- Linker
- Anti-construct state
- Attributive nominalization
- · Attributive article
- Anti-construct state agreement
- Head-driven agreement
- Apposed head-driven agreement
- Modifier-headed possessor agreement

Table 4.5 on page 63 summarizes the typology presented in §§ 4.2 through 4.5.4 and presents short definitions (including bracketed syntactic templates) and an example for each type. <sup>34</sup> Note that a lexical head is required only in certain noun phrase types. Note also that the constituent order (e.g. [NP A N] or [NP N A]) and the morpho-phonological fusion of formatives (e.g. (free) [NP A NMLZ], (cumulative) [AP A:ATTR:AGR] or (affixal) [AP A-ATTR]) is not relevant for the presented ontology. <sup>35</sup>

Table 4.1 on page 65 presents an ontological cross-classification of all devices defined earlier. This ontology has three main dimensions:

<sup>&</sup>lt;sup>34</sup> This overview is derived from the definition file of general noun phrase patterns included in Bickel, Nichols & Rießler (2001-2010).

<sup>&</sup>lt;sup>35</sup> The presented ontology is defined by (mostly) morphosyntactic parameters. But grammatical word-hood could be relevant for definitions of subtypes in the leaves of Figure 4.2. For instance *head-driven agreement* could perhaps be sub-divided into types exhibiting agreement affixes vs. grammatical agreement words.

• Syntactic source, i.e. the central syntactic operation which constitutes attribution and belongs either to agreement marking or government. But note that syntactic government can include secondary, i.e. non-constitutional agreement.

syntactic source syntactic pattern syntactic locus Russian

- *Syntactic pattern*, i.e. devices projecting adjective phrases versus devices projecting full noun phrases (by means of attributive apposition or, in the case of modifier-headed possessor agreement, by converting the attribute to the "possessed" noun phrase).
- *Syntactic locus* of the respective formatives.

Figure 4.2 on page 66 presents a similar ontology in a tree diagram. The order of types (from left to right) is similar to Table 4.5 (from top to bottom). The left branch of the tree consists of a purely syntactic device (*juxtaposition*) with the subtype (*incorporation*); the middle branch consists of three overt morphosyntactic types differentiated by the locus of the respective formatives: on-head (*construct state*), floating (*linker*) and on-dependent. "Dependent marking" again can be divided further into the three subtypes: *attributive nominalization*, *anticonstruct state agreement* and *attributive article* (a subtype of *attributive nominalization*). The right branch of the tree, finally, comprises morpho-semanticosyntactic devices, i.e. devices primarily connected to head- (*head-driven agreement*) or dependent-driven agreement (*modifier-headed possessor agreement*). A dashed line combines the types of *head-driven agreement*, *anti-construct state agreement* and *attributive article* because (morpho-semantico-syntactic) agreement marking is involved in all of them.

Whereas construct- and agreement marking in the types of *anti-construct state* agreement and attributive article are combined in portmanteau morphemes (e.g. in the anti-construct state agreement marking suffixes in Russian) other devices can (or must) co-occur without being combined into one formative. Attested and non-attested combinations of adjective attribution marking devices are illustrated in Table 4.4. The attested co-occurring adjective attribution marking devices are:

- Anti-construct state agreement + Head-driven agreement ("Double agreement")
- Anti-construct state + construct state ("Double construct")
- Anti-construct state + attributive article

# 4 Typology of attribution marking

("Double construct")

• Attributive article + head-driven agreement ("Double agreement")

Table 4.4: Attested combined adjective attribution marking devices

Device 1	Device 2	Note
Juxt	_	No logical combination possible
Inc	?	No attestation of any combination
Constr	AConstr	Northern Saami ("Double construct")
Nmlz (Art)	AConstr	Endo ("Double construct")
ACAgr	HDAgr	Swedish ("Double agreement")
Nmlz (Art)	HDAgr	Albanian ("Double agreement")
Link	?	No attestation of any combination
MHPAgr	?	No attestation of any combination

Table 4.5: Attested adjective attribution marking devices with definitions

		ı		
Type	Definition	Syntactic	Commonly	Example
		dependency	used label	language
Juxt	Unmarked sequence of constituents; Test: no	$\left[ _{\mathrm{NP}}[_{\mathrm{AP}}\;\mathrm{A}]\;(\mathrm{N})\right]$	Juxtaposition	Komi
	additional morphemes available in NP			
Inc	No additional morphemes available in NP, but	[NP A-N]	Incorporation	Chukchi
	dep is syntactic compound; Test: dep cannot oc-			
	cur unbound (headless)			
Constr	tr Head-marking formative that only registers [NP[AP A (N.ATTR)]] Ezas	$[_{ m NP}[_{ m AP}~A~(N:{ m ATTR})]]$	Ezafe	Kurdish
	presence of dep; Test: formative does not un-			
	dergo agreement and is not present without			
	head (in predication) or without dep			
Link	Floating formative (neither ad-head nor ad-dep,	$[_{ m NP}[_{ m AP}\ A]\ { m ATTR}\ N]$	Linker	Tagalog
	but truly ad-phrase) that only registers pres-			
	ence of head-dep relation; Test: formative not			
	present without head (in predication) or with-			
	out dep			
AConstr	Dep-marking formative that only registers	$[_{\rm NP}[_{\rm AP} \ A{:}{\rm ATTR}] \ (N)]$	Attributive suffix	Saamic
	presence of head; Test: formative does not un-			
	dergo agreement and is not present without			
	head (in predication			

Nmlz	Dep-marking formative that only registers presence of head by projecting full NP; Test: formative does not undergo agreement and is used in focus construction where inflection of the head is reduplicated	[np[np[ap A:nmlz]] (N)]	Nominalizer	Udmurt
Art	Subtype of nominalizer that undergoes agreement	[np[np[Ap A nmlz:Agr]]  (N)]	Double article	Yiddish
ACAgr	Dep-marking formative that registers presence of head and undergoes agreement triggered by the head; Test: not present without head (in predication)	[np[ap A:attr:agr] (N)]	Long-form adjective	Russian
HDAgr	Dep-marking formative that duplicates morpho-semantic features of the head	[np[ap A:agr] (N)]	Agreement suffix	Finnish
AHDAgr		[np[np[ap A:agr] (N)]	Appositional agreement	Georgian
MHPAgr	Head-marking formative that duplicates morpho-semantic features of (adjectival) dep by means of possessor agreement in a modifier-headed NP	[np[psd[A:poss:agr](psrN)]	Possessive-like attribute	Saliba

			Syntactic source	source		
		Government [+(	Government [+GOV] [±(secondary)AGR]		Agreement [-GOV] [+(primary)AGR]	rimary)AGR]
Locus		Synt	Syntactic pattern		Syntactic pattern	ern
	[±AGR]	Embedded	Non-embedded	Incorporated	Embedded	Non-embedded
ou	>		Juxtaposition	Incorporation	>	>
marking	<		[NP A (N)]	[NP A *(N)]	\	<
			Linker			
floating	[-AGR]		$[_{ m NP}$ A ATTR $^*( m N)]$			
	[+AGR]					
		Nominalization	Anti-Construct State		Appositive Head-	Head-Driven
-deb-	[-AGR]	[NP[NP] A:NMLZ]	[NP A:ATTR (N)]		Driven Agreement	Agreement
marking	[+AGR]	Article	Anti-Construct Agreement		[NP [NP, AAGR] (N)]	[NP A AGR (N)]
		[NP [NP' A:NMLZ:AGR] (N)]	[NP A:ATTR:AGR (N)]			
			Construct State		Modifier-Headed	
head-	[-AGR]		[NP A *(N:ATTR)]		Possessor Agreement	
marking	[+AGR]				[NP[PSD A:POSS:AGR] (PSRN)]	

Figure 4.1: Multidimensional ontology of noun phrase structures according to the parameters syntactic source (true agreement marking or governed [± additional agreement]) and syntactic pattern of the device (projects noun phrase, projects adjective phrase) as well as *syntactic locus* of the respective markers (on-head, ondependent, floating). Note that some cells in the table are marked for logically impossible types, others are left open because the respective types were not detected in noun phrases with attributive adjectives. *Glosses* and tags: A=adjective, AGR=agreement, AGR=agreement marker, AP=adjective phrase, ATTR=Attribution marker, GOV=government, N=Noun, NMLZ=(attributive) nominalizer, NP=Noun phrase, Poss=possessive marker, PSD=possessed noun phrase, PSR=possessor noun phrase

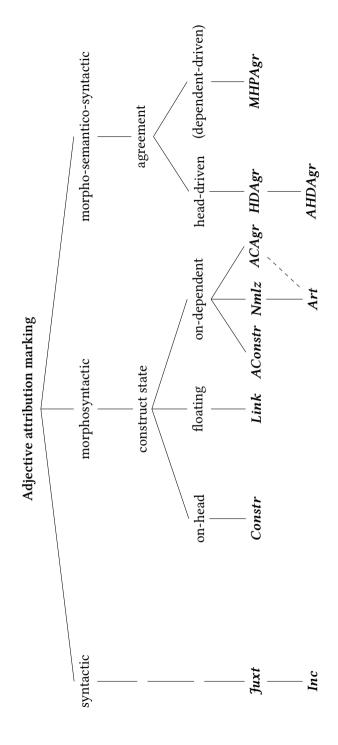


Figure 4.2: Ontological tree of attested adjective attribution marking devices. Type abbreviations: ACAgr=Anticonstruct state agreement, AConstr=Anti-construct state, AHDAgr=Appositive head-driven agreement, Art=Attributive article, Constr=Construct state, HDAgr=Head-driven agreement, Inc=Incorporation, Juxt=Juxtaposition, Link=Linker, MHPAgr=Modifier-headed possessor agreement, Nmlz=Attributive nominalization

# 5 Excursus: Polyfunctionality of attribution marking devices

In a typological survey, noun phrases with adjectival modifiers can be examined from different perspectives. In the previous chapter, noun phrases with attributive adjectives were described according to their syntactic, morpho-syntactic, and/or morpho-semantico-syntactic structure. But noun phrase types of a given language can also be defined with respect to polyfunctionality and regarding to the class of attributed elements beyond adjective attribution: Attributive adjectives and other adnominal modifiers (such as demonstratives, possessor nouns, adposition phrases, clauses, etc.) may or may not be used in similar noun phrase structures.

Moreover, polyfunctionality is also relevant in languages where one and the same device is used as a nominal modification marker beyond attribution: for modification inside an adjective phrase (licensing, for instance, a degree word as modifier of an adjective) or as a modification marker inside an adposition phrase (licensing, for instance, an adposition as determined by a noun phrase). Attribution marker should thus be understood as a term denoting a subset of modification markers relevant to nominal phrase structure in general.

Finally, the polyfunctionality concerns even the semantic content (or function) of certain devices beyond modification marking.

In the present chapter, polyfunctionality of adjective attribution marking devices will be illustrated with examples from a few languages.

# 5.1 Polyfunctionality of modification markers

In many languages, more than one class of attributes belong to one and the same noun phrase type. Some languages exhibit even highly polyfunctional noun phrase types and use one and the same device for licensing verbs, nouns, adjectives and even other syntactic classes as attributive modifiers inside noun phrases.

In example (1) from Mandarin Chinese, the anti-construct state marker de illus-

modification marking|( adnominal modifier!de adnominal modifier!possess noun adnominal modifier!ad adnominal modifier!cla adposition head Mandarin Chinese

> check zu possessor compounding Dahl 2004. The growth and maintenance of linguistic complexity. Studies in language companion series, 71. Amsterdam: John Benjamins.

#### 5 Polyfunctionality

Mandarin Chinese Li, Charles N. Thompsen, Sandra A.

functional

reference grammar

Minangkabau

Minangkabau Gil. David

adjectives

clauses@*Genitives*, adjectives

Genitives.

and relative

and relative

clauses

trates a highly polyfunctional attribution marking device. It licenses adjectival (1b), nominal (1a) and verbal attributes (1c). $^1$ 

(1) Mandarin Chinese (Sino-Tibetan; Li & Thompsen 1981)

Mandarin
Chinese:
a functional
reference
grammar@Mandarin
Chinese: a

Moun (possessor) attribute
Zhāngsān de shū
Zhangsang ATTR book
'Zhangsang's book'
b. Adjectival attribute

b. Adjectival attribute  $x\bar{\imath}n$  (de)  $sh\bar{u}$  new (ATTR) book 'new book'

verbal (relative clause) attribute
 wŏ zuótiān măi de shū
 11sg buy yesterday ATTR book
 'the book I bought yesterday'

In Minangkabau, an Austronesian language spoken on Sumatra in Indonesia, juxtaposition is polyfunctional to a similar degree.

- (2) Minangkabau (Austronesian; Gil 2005: 3-4)
  - a. Noun (possessor) attribute batiak Kairil
     papaya Kairil
     'Kairil's papaya'
  - b. Adjectival attribute batiak kuniang papaya yellow 'a/the yellow papaya'
  - verbal (relative clause) attribute batiak Kairil bali
     papaya Kairil buy
     'a/the papaya that Kairil bought'

Draft of Monday 21st March, 2016, 16:59

<sup>&</sup>lt;sup>1</sup> Note, however, that the attributive marker is not always obligatory. In noun phrases with pronominal and adjectival attributes, it can also be omitted. If *de* is used with adjectives, a certain clarifying or delineating focus or stress is put on the denoted property, e.g. *hóng hūa* [red flower] 'a red flower', *hóng de hūa* [RED ATTR flower] 'a flower that is red (and not of a different color)' (Li & Thompsen 1981: 119–123).

Tagalog is another language with a polyfunctional attribution marker. The Tagalog linker, however, is less polyfunctional than juxtaposition in Minangkabau or anti-construct state marking in Mandarin Chinese. It marks only verbal and adjectival attributes.<sup>2</sup>

- (3) Tagalog (Austronesian; Gil 2005: 6)
  - a. Adjectival attribute pula=ng mangga red=ATTR mango 'red mango'
  - b. Verbal (relative clause) attribute

    binili ni Jojo=ng mangga

    bought Pers.Gen Jojo=Attr mango

    'mango that Jojo bought'

Highly polyfunctional attribution marking by means of a head-marking construct suffix is found even in Persian.<sup>3</sup>

- (4) Persian (Indo-European; Mahootian 1997)
  - a. Adposition phrase tu-ye ašpæzxune in-MOD kitchen
     'in the kitchen'
  - b. Nominal attribution
    - i. Noun (non-possessor) attribute ængoštær-e ælmas ring-MOD diamond 'diamond ring'
    - ii. Noun (possessor) attribute ængoštær-e pedær ring-MOD father 'father's ring'

**Tagalog** Tagalog Minangkabau Mandarin Chinese adnominal modifier!ver **Tagalog Tagalog** Gil. David Genitives. adjectives and relative clauses@Ge adjectives and relative clauses Persian|( Persian Mahootian. Shahrzad

Persian@Persia

 $<sup>^2</sup>$  Note that the constituent order of attribute and head noun is free in Tagalog: The relative clause and the adjective can also occur in a head-initial phrase type. In this case, the linker =ng attaches phonologically to the noun (Gil 2005: 1; Himmelmann 1997: 160, 162).

<sup>&</sup>lt;sup>3</sup> Note the consistent glossing MOD instead of ATTR. The Persian construct marker licenses modification beyond attribution.

#### 5 Polyfunctionality

modifier!adposition adnominal modifier!verb adnominal modifier!verb adnominal modifier!relative clause Persian|)

adnominal

Swedish!Västerbotten|( adnominal

modifier!possessor noun Gil. David

Genitives.

adjectives and

adjectives and

relative clauses

adnominal modi-

fier!possessor noun|(

relative

clause|( Gil, David Genitives.

adjectives and relative

clauses@Genitives, adjectives

and relative clauses

Mandarin Chin bessere Ref erenz zu VB-

Schwedisch?? participle in

c. Adjectival attribute ælmas-e bozorg diamond-мор big 'a big diamond'

d. Adpositional attribute

miz-etu-ve *ašpæzxune* table-мор in-мор kitchen 'the table in the kitchen'

e. (Infinite) verbal attribute

væqt**-e** ræftæn time-MOD to go 'time to go'

While the same device marks nominal, adjectival, adpositional and (infinite) verrelative clauses@Gentitives, finite verbal attributes (relative clauses) never occur in a similar noun phrase type in Persian.

In Västerbotten Swedish, a language variety of the northern Eurasian area under investigation, attribution marking by means of adjective incorporation is also Swedish!Västerbotten) dered to be polyfunctional (see § §4.3, 7.19.6.2.3). Beside adjective attribu-

tion, the device marks attribution of (human) possessors.

Västerbotten Swedish (Indo-European; examples from Gil 2005: 5 (5)

a. Noun (human possessor) attribute

Pelle-äpple Pelle-apple 'Pelle's apple'

b. Adjectival attribute

rö-äpple red-apple 'red apple'

Gil (2005) surveyed the polyfunctionality of attribution markers licensing possessor nouns, adjectives and relative clauses in a world-wide sample of languages. According to the number of morpho-syntactically differentiated classes of attributes Gil grouped the languages of his sample into the following types:

• Weakly differentiating languages using polyfunctional devices for attribution of all three syntactic categories, as in Mandarin Chinese (1) and Minangkabau (2)

- Moderately differentiating languages using polyfunctional devices for attribution of two syntactic categories, for instance:
  - adjectives and relative clauses, as in Tagalog (3)
  - possessor nouns and adjectives, as in Västerbotten Swedish (5) and Persian (4)
- **Highly differentiating languages** are not polyfunctional at all, as in German where the three syntactic classes are marked differently.

In Gil's sample, Europe and adjacent parts of Asia and Africa stand out as an area with predominantly non-polyfunctional languages, while almost all languages of Southeast Asia are of low differentiation (Gil 2005: 8).

Northern Eurasian languages of the "moderately differentiating" type included in Gil's sample are Japanese and Västerbotten Swedish (with polyfunctional attribution marking of possessor nouns and adjectives) as well as Ainu, Nivkh and Tatar (with polyfunctional attribution marking of adjectives and relative clauses). No languages of the "weakly differentiated" type are known to occur in the northern Eurasian area. Figure 5.1 illustrates the polyfunctionality of modification

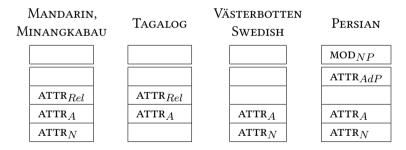


Figure 5.1: Functional maps for modification markers: the anti-construct state marking in Mandarin Chinese and juxtaposition in Minangka-bau, the linker in Tagalog, adjective incorporation in Västerbotten Swedish and construct state marking in Persian

markers in the languages mentioned in this chapter.<sup>5</sup> The true attributive func-

Tagalog Swedish!Väster Persian German Gil, David Europe Asia Africa Southeast Asian languages

# STYLEGUIDE item-subitem

adjectives and relative clauses@Ge adjectives and relative clauses Gil, David Japanese Swedish!Väster Ainu Nivkh

<sup>&</sup>lt;sup>4</sup> Note that English is not coded as "moderately differentiating" by Gil (2005), although juxtaposition can be used polyfunctionally as a device for attribution of adjectives and relative clauses (with reverse constituent order though: *The woman I saw.*)

<sup>&</sup>lt;sup>5</sup> Cf. Haspelmath (2003), for a systematic and historiographic description of functional (or semantic) maps.

#### 5 Polyfunctionality

Lu, Bingfu Qu, Zhenglin adnominal modifier!possesso noun|)

relative clause|) gibt es eine

inzwischen? language Mandarin Chinese Lahu adnominal

modifier!nou

tions of the marker, i.e. licensing of adpositional, verbal, and adjectival attributes, are found in the middle cells of the left column in Figure 5.1. The cell extending upwards shows the additional function of the marker as licenser of modification rabove the noun phrase level (i.e. inside an adposition phrase).

The order of  $ATTR_{Rel}$  through  $ATTR_N$  in these functional maps corresponds to the hierarchical alignment of polyfunctional attribution marking suggested by Bingfu Lu and Zhenglin Qu.6

#### (6) Noun < Adjective < Verb

The hierarchy is to be read as follows: The highest category of attributive modifiers are verbs (i.e. relative and other attributive clauses), the next lower categories are adjectives and nouns. If one attributive category is marked with a polyfunctional attribution marker, the less bounded category adjacent to the left side in the hierarchy should be marked by the same device, too.

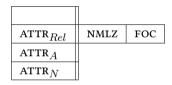


Figure 5.2: Functional map for the modification marker ve in LAHU

# 5.2 Polyfunctionality of modification markers and additional content

Polyfunctional modification marking devices with semantic content (or function) beyond attribution are also attested in several languages. Lahu is an example of a Southeast Asian language of the "weakly differentiating" type according to Gil's (2005) classification. Syntactically similar to Mandarin Chinese, Lahu exhibits an anti-construct state marker ve that licenses adjectival (7a-i), nominal (7a-ii) and

<sup>&</sup>lt;sup>6</sup> Lu's and Qu's hierarchy, cited from a LingTyp posting ("The alignment of modification coding", LingTyp Item #2580, 6 May 2009, 01:36, http://listserv.linguistlist.org/cgi-bin/wa?A2= ind0905A&L=LINGTYP&P=R146) is based on a similar hierarchy for Austronesian languages by Foley (1980). Note that Foley's hierarchy is proposed to be cross-linguistically valid and even includes two more syntactic classes than considered here: Determiner > Numeral > Noun > Adjective > Verb.

verbal attributes (7a-iii). In addition, the marker *ve* in Lahu is used as nominalizer (7b-i) and as focus marker (7b-ii). <sup>7</sup>

- (7) Lahu (Sino-Tibetan; Matisoff 1973)
  - a. Attribution
    - i. Adjectival attribute dà? ve ŋâ?
       pretty ATTR bird
       'pretty birds' (194)
    - ii. Noun (possessor) attribute
       Càlô ve ôha
       Jalaw ATTR picture
       'Jalaw's picture' (141)
    - iii. Verbal (relative clause) attribute
       có câ ve ŋâ?
       boil eat ATTR bird
       'birds one boils to eat' (194)
  - b. Additional semantic content
    - i. Nominalization (of a complement clause)

      nò qô? ve thà? nà mâ na ya qô?-ma!

      you say NMLZ ACC I NEG understand be\_able INTERJ

      'I can't catch what you're saying!' (157)
    - ii. Focusing (of a clause)mâ qay veNEG go FOC'I am certainly not going.' (362)

The functions of the marker *ve* in Lahu can also be summarized in a functional map, see Figure 5.2. The true attributive functions of the marker, i.e. licensing of verbal, nominal and adjectival attributes, are found in the cells of the left column in Figure 5.2. The cells extending to the right show the additional content of the attributive marker, i.e. as a nominalizer and focus marker of a clause.

adnominal modifier!ver nominalization focus marking Lahu Matisoff. James A. Grammar of Lahu@The Grammar of Lahu adnominal modifier!ver adnominal modifier!no nominalization focus marking

<sup>&</sup>lt;sup>7</sup> See Bickel 1999 on the "Standard Sino-Tibetan Nominalization pattern" (which in some languages include even additional content beyond attribution, nominalization and focus.

modification marking|)

#### 5.3 Conclusion

From a purely synchronic point of view, polyfunctionality of adjective attribution marking devices seems less relevant to the area under investigation, northern Eurasia. Most languages of the area exhibit highly differentiated attribution marking devices. Languages of the "moderately differentiating" type are rare; no languages of the "weakly differentiated" type are known to occur in the northern Eurasian area at all.

However, polyfunctionality can perhaps indicate historical change if additional semantic content of attribution marking devices across related languages is taken into consideration. The topic of polyfunctional attribution markers across languages of one family will thus be taken up again in Part III of this study.

# Part III Synchrony

# 6 Introduction

The geographic area covered in the present survey stretches from Europe (including the Mediterranean Islands Malta and Cyprus as well as the regions Anatolia and the Caucasus), over central, northern, and northeastern Asia (including the whole of Siberia, the adjacent parts of northern Mongolia) to the Islands of the northwestern Pacific Ocean. The language families represented in this area are genealogically categorized by Salminen (2007) in his chapter on the endangered languages of "Europe and North Asia". By and large, Salminen's inventory of languages will be followed here. However, the present survey strictly follows the geography of northern Eurasia and consequently also includes Siberian Yupik Eskimo, Ainu, the Sino-Tibetan language Dungan, and some Semitic languages.

# 6.1 The languages of northern Eurasia

Adopting Salminen's rather cautious genealogical classification the following families and isolates are considered (roughly from Northeast to Southwest):

1.	Eskimo-Aleut

- 2. Chukotkan
- 3. Kamchatkan
- 4. Nivkh
- 5. Ainu
- 6. Japanese
- 7. Korean
- 8. Sino-Tibetan
- 9. Mongolic
- 10. Tungusic

- 11. Yukaghir
- 12. Yeniseian
- 13. Turkic
- 14. Nakh-Daghestanian
- 15. Abkhaz-Adyghe
- 16. Kartvelian
- 17. Semitic
- 18. Uralic
- 19. Indo-European
- 20. Basque

Siberia Salminen. Tapani Europe and North Asia@Europ and North Asia Europe North Asia Siberian Yupik Eskimo Ainu Dungan Semitic languages Eskimo-Aleut languages Chukotkan languages Kamchatkan languages Nivkh Ainu Japanese Korean Sino-Tibetan languages Mongolic languages Tungusic languages Yukaghir languages Yeniseian languages Turkic languages Daghestania languages Abkhaz-Adyghe languages Kartvelian languages

Semitic

Uralic

Indo-

Basque

languages

languages

European languages

Caucasus

Altaic languages Chukotko-Kamchatkan languages North Cau-

casian project

WALS!World Atlas of Language Structures Bibiko, Hans-

Jörg The interactive reference tool for the World Atlas of Language Structures@The interactive reference

Structures Dryer, Matthew

tool for the World Atlas of

Language

Haspelmath, Martin

The World Atlas of Language Structures Online@The World Atlas of Language Structures

Online World Atlas of Language Structures

Bickel. Balthasar

Nichols, Johanna

Ljuba

Autotyp@Autotyp

Autotypology!AUTOTYP project 78 Veselinova,

Even though some of these genealogical units have been assumed to combine to larger stocks (such as Altaic, Chukotko-Kamchatkan, North Caucasian and others) the restriction to uncontroversial units seems adequate for the present areal typological investigation. This is especially true since an attempt is made to map variation inside genealogical units rather than to evaluate a statistically Autotypology!AUTOTYP

# 6.2 The language sample

All attested adjective attribution marking devices of languages mentioned in the present study are coded in Table 0.0.4 in the appendix. <sup>1</sup> This table thus includes a relatively complete list of languages from the northern Eurasian area. At least one representative of each existing genus is found in that sample. Additionally, several languages from within or outside the area (all of which are mentioned in other chapters of this investigation) or even other languages on which information was easily accessible are coded.

All languages are sorted alphabetically according to their genealogical affiliation. For each of the languages, the attested noun phrase type(s) relevant to adjective attribution marking are listed.

# 6.3 The language maps

The language maps have been generated using the interactive reference tool for the World Atlas of Language Structures (Bibiko 2005).

# 6.3.1 Data points for geographic coding

Each language is displayed as one data point. The respective geographic coordinates have either been taken from Dryer & Haspelmath (2013) or were included using the language coordinates provided by Bickel & Nichols (2001-2010) or on Ljuba Veselinova's website. For some languages missing in the mentioned databases. new coordinates had to be defined based on the main geographic location where the respective languages are spoken.

Displaying the distribution of a given feature by means of a borderline around a group of languages – like in the maps used by typological surveys of the EUROTYP-

<sup>&</sup>lt;sup>1</sup> The table is derived from Bickel, Nichols & Rießler (2001-2010) where these languages are coded for noun phrase patterns.

<sup>&</sup>lt;sup>2</sup> http://www.ling.su.se/staff/ljuba/16.02.2014

project<sup>3</sup> – was not preferred because these maps might imply the existence of isoglosses around continuous language and dialect areas. A typological survey of non-continuous languages seems rather inadequate for drawing such isoglosses.<sup>4</sup>

Albanian Albanian Chuvash Chuvash Turkish

#### 6.3.2 Data points for type coding

In several languages more than one default attribution marking device occurs, for example in Albanian (see 7.19.1 in § 7.19.1) where two lexical classes of adjectives exist: one of them marked for head-driven agreement, the other simultaneously marked for head-driven agreement and attributive nominalization. In the map's legend, a slash marks the occurrence of multiple basic types in one language: Albanian *HDrAgr/Nmlz+HDrAgr.*<sup>5</sup>

Parentheses denote secondary types of attribution marking devices with additional semantic content, as in Chuvash (see 7.13.1.0.1 in § 7.13.1.0.1), where attributive adjectives are normally juxtaposed but can alternatively be marked for attributive nominalization in contrastive focus constructions: Chuvash  $\Im total fuxt(Nmlz)$ 

Square brackets are used for languages where the occurrence of a given type of attribution marking device seems even more restricted or if the device's characteristics remain uncertain due to inadequate data. Consider for example Turkish (see 7.13.2.1.2 in § 7.13.2.1.2), where attributive nominalization occurs as a secondary type but is restricted to headless noun phrases in direct object position (marked for accusative): Turkish <code>Juxt[Nmlz]</code>. Secondary and tertiary types are not coded in the maps.

## **6.3.3** The maps

The maps in Figure 0.0.4 and Figure 0.0.4 show the distribution of different adjective attribution marking devices across those world's languages mentioned in the present study. Whereas all types are coded with different colors or shapes in Figure 0.0.4, a similar language sample is coded only for the main morpho-syntactic types (juxtaposition, agreement, construct state, incorporation) in Figure 0.0.4.

Farben markieren verschiedene Typen; Formen markieren Untertypen (secondary types)

 $<sup>^3</sup>$ http://www.eva.mpg.de/lingua/tools-at-lingboard/questionnaire/eurotyp-guidelines/16.02. 2014

<sup>&</sup>lt;sup>4</sup> Cf. also Van Pottelberge's 2001 critique of EUROTYP's "name maps". Furthermore, the Eurotyp sample of languages are somewhat arbitrary. The western Romance varieties, for instance, are represented in large number whereas varieties of Balkan Romance (Megleno-Rumanian, Aromunian, etc.) are missing completely. Also the whole Saamic branch is represented in the Eurotyp sample as one single language only even though Saamic languages are as diverse as Romance languages.

<sup>&</sup>lt;sup>5</sup> Type abbreviations are explained in Table 0.0.4 in the appendix.

#### 6 Introduction

Bickel. Balthasar Nichols. Johanna Rießler. Michael NP structures@NP structures project North Asia North Asia North Germanic languages

Icelandic

Swedish

Note that these world maps do not reflect systematic sampling but are rather the result of random choice due to my work with data coded for the noun phrase structure module of AUTOTYP (Bickel, Nichols & Rießler 2001-2010). Note also that the maps show fewer languages from the northern Eurasian area than are actually coded in Table 0.0.4.

The other pairs of maps are coded similarly but zoom in on northern Eurasia Autotypology!At Figure 0.0.4 and Figure 0.0.4), on North Asia (Figure 0.0.4 and Figure 0.0.4) and on Europe (Figure 0.0.4 and Figure 0.0.4). Whereas the maps of northern Eurasia and North Asia show only representatives of each genera, the maps of Europe present a more complete picture. The reason for displaying a deeper resolution in the European map is the easier accessibility of data for almost all existing languages of that area. Displaying a similar deep resolution on the whole northern Eurasian area was not possible due to lack of data for several languages.

Swedish!Västerbotten order to present a balanced picture, several European languages are thus not displayed in the larger map of northern Eurasia. When a choice had to be made whether or not to keep a language inside a given genus, this was always done in favor of diversity rather than unity. One genus can even be represented by more than one language in order to display extraordinary diversity inside that group of closely related languages. Consequently, the northern branch of Germanic is represented by Icelandic (with HDrAgr), Swedish (with ACAgr+HDrAgr/HDrAgr) and Västerbotten Swedish (with Inc/HDrAgr) (§ 7.19.6.2).

> The choice to let the maps illustrate the highest possible diversity instead of displaying a genealogically and geographically balanced picture is justified by the general goal of the present investigation, namely the synchronic and diachronic mapping of cross-linguistically attested adjective attribution marking devices in a geographically restricted area. Whereas the mapping of synchronically attested diversity is the aim of the present part, Part IV will inspect this diversity form a diachronic perspective.

# 7 Adjective attribution marking in the languages of northern Eurasia

The following chapter contains an overall survey of adjective attribution marking devices which occur in the languages of northern Eurasia. For each genealogical unit, both the prototypical and the known minor noun phrase type(s) will be characterized and illustrated with examples. A complete list of adjective attribution marking devices in over 200 single languages considered for the present survey is found in Table 0.0.4 starting on page 250 in the appendix. The geographic spread of the different noun phrase types is shown on several Maps starting on page 251 in the appendix.

# 7.1 Eskimo-Aleut (Central Siberian Yupik)

Whereas most languages of the Eskimo-Aleut family are spoken on islands in the Bering Strait or on the North American continent, a few varieties of the Yupik subbranch of Eskimo can be localized to north-easternmost Siberia. But only one of these languages, Central Siberian Yupik, is still spoken Salminen (2007: 224).

In Central Siberian Yupik, only one adjective attribution marking device is attested:

· Incorporation.

7.1.0.0.1 Adjective incorporation in Central Siberian Yupik Property words ("adjectives") in Central Siberian Yupik are phonologically bound nominal roots. Adjectival modification is thus expressed by means of polysynthetic morphology and can be characterized as adjective incorporation according to the ontology presented in Part II.

- (1) Central Siberian Yupik (de Reuse 1994)
  - a. qawaagpag-rukutaagh-ghllag-Ø legendary\_large\_bird-huge.noun-big.noun-abs 'huge big (legendary large) bird' (54)

EskimoAleut
languages|(
Eskimo
languages|(
Yupik
languages|(
Central
Siberian
Yupik|(
Salminen,
Tapani
Europe
and North
Asia@Europe
and North

Willem Joseph de Siberian Yupik Eskimo@Siber

> Yupik Eskimo

Asia

Reuse,

#### 7 The languages of northern Eurasia

Yupik languages|)

Central Siberian Yupik|)

Eskimo languages|)

Eskimo-Aleut

languages|)

Chukotkan languages|( b. mangteghagh-ghllag-lgu-uq house-big.noun-have.noun-ind(3s)

'He has a large house.' (55)

c. mangteghagh-ghrugllag-ngllagh-yug-nghit°e-unga house-big.noun-make.noun-want to.verb-neg-ind(1s)

'I did not want to make a large house.' (56)

ukchi-Koryak|seeChukotkan Chukchi-

languages

Koryak-Álutor languages

Alutor Korvak Kerek

languages Salminen.

Tapani Europe and North

and North

Asia Chukchi languages|(

languages

Skorik, Petr Ja.

Grammatika čukotskogo jazyka:

fonetika i morfologija

imennych častej reči@Grammatika čukot-

skogo jazyka: fonetika i

morfologija imennych

častej reči Chukchi languages|)

Korvak-Álutor languages|(

The Chukotkan language family (aka Chukchi-Koryak) consists of two branches. The first branch, Chukchi, is represented by only one language, Chukchi, which has the same name as the branch itself. The second branch, Koryak-Alutor, is represented by the two languages Alutor and Koryak proper. A third branch, Kerek, is probably extinct Salminen (2007: 253) and consequently not considered here.

Constituent order inside the noun phrase of Chukotkan languages is strictly head-final. Adjective attribution marking is also similar in all Chukotkan lan-Asia@Europe guages. Two types are attested:

Incorporation

· Head-driven agreement.

#### 7.2.1 Chukchi

7.2.1.0.1 Adjective incorporation in Chukchi The use of the bound adjective morpheme in the polysynthetic structure (similar to Yupik) is illustrated in the following examples.<sup>1</sup>

(2)Chukchi (Skorik 1960)

> a. elg-ə-qoranə white-ə-deer:ABS.SG 'white reindeer'

> b. **elg**-ə-gorat white-ə-deer:ABS.PL 'white reindeer (pl)'

<sup>&</sup>lt;sup>1</sup> The vowel -ə- in these and the following examples is epenthetic.

#### 7.2.2 Koryak

**7.2.2.0.1 Adjective incorporation in Alutor** Similar to Chukchi, adjective incorporation is the default adjective attribution marking device in Alutor.

- (3) Alutor (Nagayama 2003)
  - a. **meŋ**-ə-rara-ŋa big-ə-house-ABS.SG 'large house'
  - b. *meŋ-ə-rara-wwi* big-ə-house-ABS.PL 'large houses'

7.2.2.0.2 Head-driven agreement in Chukchi and Alutor Whereas adjective incorporation is the default and unmarked type of adjective attribution marking in Alutor and Chukchi, several descriptions of the Chukotkan languages mention that adjectives can also occur in an unbound form (for Alutor Nagayama 2003; for Chukchi Skorik 1960: 103–104, 421–429, Comrie 1981: 251). As unbound morphemes adjectives take the stative marker n- as well as agreement markers for person, number and case.

- (4) a. Chukchi (Skorik 1960)
  - i. *n-ilg-ə-qin-Ø qoranə* stat-white-ə-3sG deer:ABS.SG
    - 'white reindeer'
  - ii. *n-ilg-ə-qine-t* qorat STAT-white-ə-3-PL deer:ABS.PL 'white reindeer (pl)'
  - b. Alutor (Nagayama 2003)
    - i. *n-ə-meŋ-ə-qin* rara-ŋa STAT-ə-big-ə-ABS:3SG house-ABS.SG 'large house'
    - ii. *n-ə-meŋ-ə-laŋ* rara-wwi STAT-ə-big-ə-ABS:3PL house-ABS.PL 'large houses'

Ýúkari Očerk grammatiki al'utorskogo iazyka = Grammatical outline of Alutor@Očerk grammatiki al'utorskogo jazyka = . Grammatical outline of Alutor Korvak-Alutor languages|) Chukchi|( Alutor|( Nagayama, Yukari Očerk grammatiki al'utorskogo jazyka = Grammatical outline of Alutor@Očerk grammatiki al'utorskogo jazyka = Grammatical outline of Alutor Skorik, Petr Ja. Grammatika čukotskogo jazyka: fonetika i morfologija imennych častej reči@Gram

Chukchi

Nagayama,

čukotskogo jazyka: fonetika i morfologija

imennych častej reči Comrie, Bernard Oroch Malchukov. Andrej L. Dependency reversal in nounattributive constructions. towards a typolreversal in nounattributive constructions: towards a typology stative verb

Nagayama, Yukari Očerk grammatiki al'utorskogo jazyka = Grammatical outline of

Russian

Alutor@Očerk grammatiki al'utorskogo jazyka = Grammaticaloutline of AlutorKämpfe,

Hans-Rainer Volodin, Alexander

Abriß der tschuktischen Grammatik auf der Basis der

der tschuktischen Gram-

matik auf der Basis

der Schriftsprache Kibrik, Alek-

The number/person/case-agreement suffixes of adjectives and the suffixes marking possessive inflection of nouns belong to one and the same paradigm. Consequently, one could also interpret the Alutor and Chukchi data as another instance of modifier-headed possessor agreement (as in Oroch, described in § 4.4.2.1). If so, the examples in (4) should be translated literally as 'reindeer's whiteness', 'house's bigness'. An analysis avoiding syntactic dependency reversal between noun and adjective (cf. Malchukov 2000), however, is preferred here for two rea- $\frac{\text{typol}}{\text{ogy} \otimes Dependency}$ : The first reason is the constituent order inside the noun phrase. The assumed head shift to a modifier-headed possessor agreement construction would violate the otherwise strictly head-final constituent order rule in Alutor and Chukchi.

> The other reason arguing against syntactic head shift between noun and adjective is that in order to use non-incorporating constructions as in the examples in (4) the adjective is first transformed into a stative verb by means of a verbalizing prefix (-n, glossed as STAT in example 4).

> The verbalizer together with the agreement affix is sometimes glossed as an adjectivizing circumfix (ADJZ>-...-<ADJZ:AGR), for instance in Nagayama's (2003) grammatical description of Alutor. The given noun phrase type should then perhaps be analyzed as attributive state marking (as in Russian, see §§ 4.5.2.2, 7.19.9.2.1). Unlike in Russian, however, the same agreement marking as in attributive constructions shows up on predicates as well.

#### Alutor (Nagayama 2003)

a. **n-ə-tur-**iyəm stat-ə-young-1sg 'I'm young'

Consequently, an analysis of adjective attribution marking in Alutor and Chukchi as belonging to the state marking type is rejected.

The semantic difference between the two constructions, with adjective incorporation on the one hand hand and head-driven agreement marking on the other hand, is not clear. Whereas adjective incorporation is often described as the main or even only possible type (for Chukchi cf. Kämpfe & Alexander P. Volodin 1995: 37, 101), Kibrik, Kodzasov & Murav'eva (2000: 288) state that this type indicates the corresponding quality or property as referring to background information in Alutor.

The following example from Chukchi, on the other hand, indicates that the Schrift-sprache@Abriß non-incorporated adjective is used in an emphasized construction. Sentence (6a) was elicited by Vladimir Nedjalkov (cited as pc in Rijkhoff 2002: 330) in order

to find examples of multiple modifiers in one noun phrase, which seems to be avoided by speakers of Chukchi. In sentence (6b) with the incorporated adjective, the speaker simply left out the demonstrative when translating into Chukchi.

- (6) Chukchi (Vladimir Nedjalkov, pc, cit. Rijkhoff 2002: 330)
  - a. əngena-t ngəroq n-ilg-ə-qine-t qora-t this-PL three STAT-white-ə-3-PL deer-PL 'these three white reindeer'
  - b. atlon ga-twetcha-twa-len ga-ngaron-elg-a-qaa-ma 3sg pfct-stand\_up-be-3sg com-white-a-deer-com 'He stood next to (these) three white reindeer.'

Bogoras (1922: 716) states that the circum-positioned marker of the unbound adjective "sometimes corresponds to the definite article or designates an object as referred to before." The unbound adjective, on the other hand, can only occur in absolutive case which is inherently connected to semantic definiteness (cf. Dunn 1999: 207, elsewhere).

#### 7.3 Kamchatkan

The only surviving member of the Kamchatkan language family is Itelmen (aka Western Kamchadal) (Salminen 2007: 224).

The only attested type of adjective attribution marking in Itelmen is:  $^2$ 

• Anti-construct state agreement.

7.3.0.0.1 Anti-construct state agreement in Itelmen Constituent order inside the noun phrase of Itelmen is head-final. Adjectives form a class syntactically clearly distinguished from nouns: unlike the latter, adjectives are never represented by their root morphemes alone. Unlike verbs, which take TAM markers, adjectives take adjectival morphology and are licensed either by an attributive or predicative (adverbal) suffix (Aleksandr P. Volodin 1997, Georg & Alexander P. Volodin 1999: 54).

(7) Itelmen (Aleksandr P. Volodin 1997)

Rijkhoff, Jan The noun phrase@The noun phrase Bogoras, Waldemar Chukchee@Ch definiteness marking marking!abs species marking!det Dunn. Michael A grammar of Chukchi@A grammar Čhukchi Chukchil)

Chukotkan languages|) Kamchatkan languages|( Itelmen|(

Alutor|)

Western Kamchadal Salminen, Tapani

Europe and North Asia@Europ and North Asia

TAM marking predicative marking

Volodin, Aleksandr P.

Itel'menskij jazyk@Itel'i jazyk

Georg, Ralf-Stefan

Volodin, Alexander P.

Die itelmenische Sprache: Grammatik und Texte@Die itelmenis-

che

 $<sup>^2</sup>$  According to Volodin (1997) a few adjectives (among them Russian loan adjectives) occur in juxtaposition.

#### 7 The languages of northern Eurasia

predicative marking case!instrumental Russian

Georg, Ralf-Stefan

Volodin. Alexander P.

Die itel-

menische Sprache: Grammatik und Texte@Die itelmenische Sprache: Gram-

Texte Itelmen|) Kamchatkan

matik und

languages|) Nivkh|( Gilvak|see Nivkh

Salminen. Tapani

Europe and North Asia@Europe
7.4 Nivkh Asia

Gruzdeva. Ekaterina Nivkh@Nivkh Jakobson,

Roman

Notes on Gilyak@Notes on Gilyak

Rijkhoff, Jan The noun phrase@*The* noun phrase

a. Attributive state of adjectives

thun-lah dark-ATTR 'dark'

b. Predicative state of adjectives

thun-k dark-PRED

'(is) dark'

Since attributive adjectives also agree in case (though restricted to instrumental case), the noun phrase type can be characterized as anti-construct state agreement, structurally similar to the type found in Russian. Consider the following example.3

(8) Anti-construct state agreement in Itelmen (Georg & Alexander P. Volodin

Kəmma çasit t'-nu-qz-al-kiçen ten-lan'l **thalthe-l**, min kn-anke now 1sg>-eat-ipfv-fut-<1sg good-attr:ins meat-ins rel 1sg-dat 1s<sub>G</sub> t-zapasa-qzo-çen.

1sg-keep-ipfv-3sg-prtc

'Now I will eat the good meat which I kept for you.'

Nivkh (aka Gilyak) is an isolated language spoken in the far east on the Eurasian continent on Sakhalin Island in easternmost Russia (Salminen 2007: 222-223).

The only type of adjective attribution marking attested in Nivkh is:

· Head-driven agreement.

7.4.0.0.1 Head-driven agreement in Nivkh Property words in Nivkh are verbal roots. As modifiers in noun phrases these adjectival verbs occur to the left of the head noun in a construction which is sometimes described as a polysynthetic structure (cf. Gruzdeva 2003: 16; Jakobson 1971: 80, quoted by Rijkhoff 2002: 138). The reason for analyzing adjectives in Nivkh as being incorporated

<sup>&</sup>lt;sup>3</sup> Note that the shape of the state marking suffix -lan'! ( $\leftarrow$  -lah-!) is the result of a regular morphophonological process (Georg & Alexander P. Volodin 1999).

into the modified noun is the phonological boundedness of the constituents evidenced by regular alternations in the initial segments of the noun stem Gruzdeva (2003: 16).

- (9) Nivkh (Gruzdeva 2003: 16)
  - a. tu 'lake'
  - b. *pily-du*be\_big-lake
    'large lake'

In her sketch grammar of Nivkh, however, Gruzdeva (2003) writes adjectival words consistently as morphologically unbound words.<sup>4</sup>

Interestingly, the phonological stem alternation rules also apply to the plural inflection of nouns and their adjectival attributes by means of reduplication. Thus in (10), the reduplicated stem of the participle t os k destroyed is realized as -z os k.

- (10) Nivkh (Ekaterina Gruzdeva, pc)
  - a. tuin t'osq-mu hum-d' here break.ptcp-boat be-ind 'there is a destroyed boat here'
  - b. tuin t'osq~zosķ-mu-yu hum-d'[-yu]
    here break.PTCP~PL-boat-PL be-IND[-PL]
    'there are destroyed boats here'

Note that the number agreement of the attributive forms of adjectives by means of reduplication is archaic. According to Ekaterina Gruzdeva (pc), attributive adjectives practically never reduplicate any more. Examples of reduplicating adjectives are, however, included in the older grammar by Panfilov (1965).

#### 7.5 Ainu

Ainu is an isolate spoken on Hokkaido Island in northern Japan. The only type of adjective attribution marking attested in Ainu is:

• Juxtaposition.

Gruzdeva,
Ekaterina
Nivkh@Nivkh
Gruzdeva,
Ekaterina
Nivkh@Nivkh
Gruzdeva,
Ekaterina
Nivkh@Nivkh
reduplication
Gruzdeva,
Ekaterina
reduplication
Nivkh|)
Ainul(

<sup>&</sup>lt;sup>4</sup> For instance čuz pitγy-Ø [new book-nom] (19), kyla n'iγνṇ [high man] (33), pila eri [big river] (38).

Refsing, Kirsten The Ainu language: the morphology and syntax of the Shizunai dialect@The Ainu language: the morphology and syntax of the Shizunai dialect stative verb Refsing, Kirsten The Ainu

> language: the morphology

Shizunai

language: the morphology

Shizunai

and syntax of the

dialect@*The* Ainu

and syntax of the 7.5.0.0.1 Juxtaposition in Ainu Ainu does not exhibit morphological differences between adjectives and verbs (Refsing 1986: 27). Words expressing states (11a) or properties (11b) in Ainu are best described as stative verbs. They form a subclass of intransitive verbs and are only semantically distinguished from verbs denoting an action Refsing (1986: 141–142). As modifiers of a noun these property words are juxtaposed to the left.

- (11) Ainu (Shizunai) (Refsing 1986: 142)
  - a. "State adjective"

    mokor cep
    sleep fish
    'a sleeping fish'
  - b. "Quality adjective"

    pirka cep

    be\_good fish

    'a fine fish'

# 7.6 Japanese

The noun phrase structure in Japanese, an isolated language, is strictly head-final. Two types of adjective attribution marking devices are attested:

- Juxtaposition
- Anti-construct state marking.

**7.6.0.0.1 Juxtaposition in Japanese** Two distinct lexical classes of words describe the state that an entity is in. Verbal adjectives belong to the first class. These adjectives are distinguished from stative verbs by the adjectivizer suffix *-i*. Used as predicates, the adjectivized verbs marked with *-i* follow the noun but do not require any copula. Attributive adjectives, on the other hand, are juxtaposed to the left of the modified noun.

- (12) Verbal adjectives in Japanese (Backhouse 1984: 170)
  - a. Adjective predication kona rombun-wa naga-i this article-TOP long-ADJZ 'This article is long.'

dialect
Ainu!Shizunai
Refsing,
Kirsten
The Ainu
Martin:
e:

kono?

phology and syntax of the Shizunai dialect@The Ainu language: the morphology and syntax of the Shizunai

dialect Ainu|) b. Adjective attribution naga-i rombun long-ADJZ article 'long article'

Since the adjectivizer suffix -i simply marks stative roots as (attributive and predicative) adjectives, it is not considered an attribution marking device. Hence, the class of verbal adjectives in Japanese is merely attributed by juxtaposition. Constituent order is crucial for differentiating attributive from predicative adjectives.

**7.6.0.0.2 Anti-construct state in Japanese** Unlike "verbal adjectives", which were described in the previous section, the few members of the second adjectival sub-class, i.e. "nominal adjectives" require a special attributive form marked by the invariable attributive suffix *-na*.

- (13) Japanese (Pustet 1989: 72–81)
  - a. Attribution: verbal adjective
     waka-i hito
     young-ADJZ person
     'a young person'
  - b. Attribution: nominal adjective kiree-na hito
     beautiful-ATTR person
     'a beautiful person'

Note that the word class boundary between nominal adjectives and nouns in Japanese is not always clear because some words take either the noun attribution marker -no (14a) or the adjective attribution marker -na (14b) when modifying a noun. The arbitrary behavior of attribution marking of nouns and nominal adjectives in Japanese indicates the continuous nature of these two word classes in this language (Pustet 1989: 79–80).

- (14) Japanese (Pustet 1989: 72-81)
  - a. Noun attribution wazuka-na okane little-ATTR money 'little money'

Pustet, Regina Die Morphosyntax des "Adjektivs" im SprachvergÎeich@Die Morphosyntax des "Adjektivs" im Sprachvergleich Pustet. Regina Die Mornhoevn Martin: How do you say the person is beautiful'? just like the i, somply an ADJ marker? phosyntax des "Adjektivs im Sprachvergleich Pustet, Regina Die Morphosyntax des "Adjektivs" im Sprachvergleich@Die Morphosyntax des "Ad-

> jektivs' im

Sprachvergleich

#### 7 The languages of northern Eurasia

Japanese|) b. Adjective attribution Korean|( wazuka-no okane Martin. little-ATTR money Samuel E. Lee, Young-'little money' Sook Beginning

Ko-

rean@Beginning 7.7 Korean

Chang,

Suk-Jin Korean is an isolated language spoken on the Korean peninsula in northeastern Korean@Korean Asia. The only type of adjective attribution marking attested in Korean is:

Korean|)

Sino-Tibetan languages|(

· Anti-construct state marking.

Dungan|(

Dunganese|seeDungan, however, that Korean does not have a distinct class of adjectives but adjective bu tival notions are expressed by verbs. Gansu

languages

Inner Asia Yue, Anne O. Chinese

dialects:

gram-

7.7.0.0.1 Anti-construct state in Korean The constituent order in the noun phrase of Korean is strictly head-final. Modifying "property words" are verbs equipped with a special attributive suffix -(u)n (Martin & Lee 1969).

mar@Chinese (15)

Korean (Chang 1996: 61)

dialects: grammar

i. *i* chavk ppalka-n a. this be red-ATTR book

Kalimov.

A.

ii. i ppalka-n chavk i this be red-ATTR book SUBJ

Abdurachman

> 'this red book' i. ce khu-n namwu

Dunganskij jazyk@Dunganskij jazyk

> ii. ce khu-n namwu lul that be big-ATTR tree OBI 'that big tree'

that be big-ATTR tree

# 7.8 Sino-Tibetan (Dungan)

The Sino-Tibetan language family is represented in northern Eurasia only by one language, Dungan (aka Dunganese), which is a Gansu variety of Chinese spoken in the Kyrgyz Republic in Inner Asia (cf. Yue 2003: 85, Kalimov 1968).

Two types of adjective attribution marking are attested in Dungan:

- Juxtaposition
- Attributive nominalization.

**7.8.0.0.1 Juxtaposition in Dungan** Adjective attribution marking in the unmarked noun phrase in Dungan is characterized by juxtaposition. Hereby, the adjective either precedes or follows the noun.

- (16) Dungan (Kalimov 1968: 480)
  - a. **da** fonzy big house
  - b. *fonzy da*house big
    'large house'

7.8.0.0.2 Attributive nominalization in Dungan A second noun phrase type with the adjectival modifier marked by a suffix  $-di^1$  occurs in Dungan as well. Whereas juxtaposition constitutes the general and unmarked type of adjective attribution marking, the attributive suffix  $-di^1$  seems to be much more restricted and occurs for example in connection with a comparative (17b) or negated attribute (17a).

# (17) Dungan

a. Negated attribute (Zevachina 2001: 80) gubuə³ bu¹ da³-di¹ gun¹fu¹ went NEG big-ATTR time
 'Not much (lit. 'not big') time passed.'

b. Comparative attribute (Kalimov 1968: 480)

da-ščer-di fonzy bigger-compar-attr house

'a somewhat larger (i.e. different) house'5

The marker  $-di^{l}$  is clearly cognate with the functionally similar nominalizer -de in Mandarin Chinese (cf. Example 1 in § 5). In Dungan, however,  $-di^{l}$  is sometimes also described as a marker of predicative adjectives, as in (18).

Abdurachman Α. Dunganskii jazyk@Dun jazyk attributive nominalizat Zevachina. Tat'jana S. Funkcional'nogrammatiče parametriza prilagatel'nogo

(po

issledovanija dunganskogo

dannym polevogo

Kalimov,

grammatiče
parametriza
prilagatel'nogo
(po
dannym
Martin: Sevaxina
Micha:l use
the German
scientific
translitera-

jazyka)@Fu

Kalimov,
Abdurachman
A.
Dunganskij
jazyk@Dun

tion

jazyk Mandarin Chinese predicative

marking

 $<sup>^{\</sup>rm 5}$  Note that the quoted transcriptions of the two authors differ from each other.

Funkcional'nogrammatičeskaja parametrizacija prilaga-

> tel'nogo (po dannym polevogo

92

```
Zevachina,
               (18)
                     Attributive nominalization in Dungan (Zevachina 2001: 82)
  Tat'jana
                      \check{z}v^3g\partial^1 m\partial^1 m\partial^2 gan^1-di^1
Funkcional'no-
                      this
                             bread
                                     stale-ATTR
   grammatičeskaja
                     'This bread is STALE (i.e. different).'
   parametrizacija
   prilaga-
              Zevachina (2001: 82) labels the function of the marker as an "emphasizing-predicative"
   tel'nogo
  (po
              But looking at her other examples it becomes obvious that -di^{2} does not mark pre-
   đannym
  polevogo
              dicative adjectives but rather nominalized attributive adjectives.
   issle-
   dovanija
                    Attributive nominalization in Dungan (Zevachina 2001: 82)
   dungan-
                                  bu^1cv^1 xun^1-di^1, zv^2-di^1
                     \check{z}v^3g\partial^1 fu^1
   skogo
  jazyka)@Funkcional'nhis
                            book neg
                                        red-ATTR bordeaux-ATTR
   grammatičeskaja
   parametrizacija
                     'This book is not RED, but BORDEAUX.'
   prilaga-
                     (lit. 'This book is not a red one, but a bordeaux one.')
   tel'nogo
   (po
              The nominalizing function of the suffix is also described by Kalimov (1968).
   dannvm
   polevogo
                     Attributive nominalization Dungan (Kalimov 1968: 484)
   issle-
   dovaniia
                      ščin-di
                                 gŭjdixyn
   dungan-
                      new-ATTR expensive
   skogo
  jazyka)
                     'The new (one) is expensive.'
Zevachina,
  Tat'jana
              Attributive marking with the suffix -di^{i} in Dungan needs to be investigated in
              more detail, especially in connection to constituent order. The head-initial struc-
Funkcional'no-
  grammatičeskaja
parametrizacijare seems to be used in order to emphasize the property denoted by the adjec-
   prilaga-
              tive.
   tel'nogo
                 However, according to the descriptions of Dungan taken into account here
   (po
   dannym
              (i.e. Kalimov 1968 and Zevachina 2001), the language exhibits two adjective attri-
  polevogo
              bution marking devices: juxtaposition and attributive nominalization by means
   issle-
   dovanija
              of the article -di^1. While juxtaposition (with the order adjective-noun) seems to
   dungan-
               be the unmarked type, attributive nominalization is restricted to certain prag-
   skogo
  jazyka)@Funkcional'mij marked constructions.
   parametrizacija
   prilaga-
   tel'nogo
              7.9 Mongolic
  (po
   dannym
              The Mongolic language family consists of five branches (cf. Salminen 2007: 222):
   polevogo
   issle-
              The core branch, Mongolian, includes the languages Kalmyk, Khalkha, Khamni-
   dovanija
   dungan-
              gan Mongol, and Oyrat (aka Oirat). Kalmyk is spoken in easternmost Europe (in
   skogo
              the Republic of Kalmykia of the Russian Federation). The other Mongolian lan-
  jazyka)
               guages are all spoken in Inner Asia, along with Dagur which belongs to a satel-
Zevachina,
  Tat'jana
              lite branch of the Mongolic family. Languages of the remaining three satellite
   S.
```

Draft of Monday 21<sup>st</sup> March, 2016, 16:59

branches of Mongolic are not considered here since they are all spoken outside the northern Eurasian area.

With regard to their principal noun phrase structure, all Mongolic languages of northern Eurasia exhibit the inherited Proto-Mongolic features, including strictly head-final constituent order and juxtaposition of attributive adjectives ("adjectival nouns") as the only attribution marking device.

Note, however, that adjectives in Mongolic languages do not differ formally from regular nouns but are distinguishable from the latter only by their syntactic behavior and specific derivational patterns (cf. Janhunen 2003: 10 for Proto-Mongolic and Svantesson 2003: 161 for Khalkha).<sup>6</sup>

The only type of adjective attribution marking attested in Mongolic languages of northern Eurasia is:

· Juxtaposition.

# 7.9.1 Mongolian

**7.9.1.0.1 Juxtaposition in Khalkha** The only attested adjective attribution markling device in the languages of the Mongolian branch of Mongolic is **juxtaposition**, similar to the following example.

### (21) Khalkha (Svantesson 2003)

- a. sayin nom good book'good book'
- b. sayin nom-uud good book-PL 'good books'

# 7.9.2 Monguor, Moghol, Dagur

The only attested adjective attribution marking device in the languages of the Monguor, Moghol and Dagur branches of Mongolic is **juxtaposition** (Slater 2003; Weiers 2003; Tsumagari 2003), similar to example (21) from Khalkha Mongolian.

Proto-Mongolic Janhunen. Iuha Proto-Mongolian@ Mongolian Proto-Mongolic Svantesson, Jan-Olof Khalkha@Khal Khalkha Mongolian languages|( Svantesson. Jan-Olof Khalkha@Khal Mongolian languages|) Monguor languages|( Moghol languages|(

languages|(
Slater, Keith
W.
Mangghuer@M

Weiers, Michael Moghol@Mogh Tsumagari, Toshiro Dagur@Dagur

Monguor languages|) Moghol

languages|)
Dagur

languages|)
Mongolic
languages|)
Tungusic

languages|(

<sup>&</sup>lt;sup>6</sup> In the two Mongolic languages Moghol (spoken in Afghanistan) and Mangghuer (spoken in China) there is a distinct class of adjectives (cf. Weiers 2003: 252 for Moghol and Slater 2003: 311 for Mangghuer). However, these languages are not considered since they are spoken outside the northern Eurasian area.

Manchu-Tungus lan7.10 Tungusic

languages Sunik, Orest P.

guages|seeTun**pue**iTungusic language family (aka Manchu-Tungus) comprises several languages belonging to the three branches North Tungusic, Amur Tungusic and Manchu, all spoken in southern Siberia (Russia), northern Mongolia and northern China.

Tungusoman'čžurskie jazyki

The constituent order inside the noun phrase in all Tungusic languages is relatively strictly head-final. In several Tungusic languages, attributive adjectives (vvede-nie)@Tunguso-("adjectival nouns") are simply juxtaposed with the modified noun. This type man'čžurskie is also mentioned as being prototypical of adjective attribution marking devices in Tungusic languages (e.g. Sunik 1968; Kormušin 2005: 133). However, several other types occur as well. The following adjective attribution marking devices are attested in Tungusic:

Kormušin. Igor' V. Tunguso-

jazyki

(vvedenie)

jazyki@Tunguso- • Juxtaposition man'čžurskie

man'čžurskie jazyki

North Tungusic

languages|( Malchukov, Andrej L.

Even@Even Bulatova. Nadezhda

Grenoble. Lenore Evenki@Evenki

Even|( Malchukov, Andrej L. Even@Even Malchukov. Andrej L.

Even@Even

- · Head-driven agreement
- Attributive nominalization
- Modifier-headed possessor agreement.

# 7.10.1 North Tungusic

Languages belonging to the northern branch of Tungusic are Even (aka Lamut), Evenki (aka Orogen in China), Negidal and Solon (aka Ewenke in China).

The major North Tungusic languages, Even and Evenki, deviate from the Tungusic prototype and exhibit head-driven agreement as their general type (Malchukov 1995: 11; Bulatova & Grenoble 1999: 18). Attributive nominalization and modifier-headed possessor agreement occur in these two languages as well, even though these devices are restricted to specially marked noun phrase types.

7.10.1.0.1 Head-driven agreement in Even According to Malchukov (1995: 20), the occurrence of head-driven agreement marking of adjectives in Even is determined by discourse-pragmatic factors: Attributes in the rhematic (focus) position always agree with their heads, whereas agreement is optional in non-focus positions Malchukov (1995: 31-32).7

<sup>&</sup>lt;sup>7</sup> According to Malchukov (1995: 31), regular head-driven agreement occurs as the default type of adjective attribution marking only in literary Even and hence in prescriptive grammars. This does not reflect, however, the actual language use.

- (22) "Attribute raising agreement" in Even Malchukov (1995: 30–31)
  - a. Juxtaposition

(A N-NUMBER-CASE)

Eŋi beji-l-bu emu-re-m.

strong man-pl-acc bring-nonfut-1sg

b. Incomplete head-driven agreement

(A-NUMBER N-NUMBER-CASE)

Eŋi-l beji-l-bu emu-re-m.

strong-pl man-pl-ACC bring-NONFUT-1sG

c. Complete head-driven agreement

(A-number-case N-number-case)

**Eŋi-l-bu** beji-l-bu emu-re-m. strong-pl-ACC man-pl-ACC bring-NONFUT-1sG

'I have brought back only strong men.'

Malchukov (1995: 30–31) describes the attributive agreement patterns in Even in a hierarchical way: The adjective modifier can agree in all morphological features of the head-noun (22c) or just in number (22b). Juxtaposition is also possible but restricted to adjectives in non-focus position (22a).

7.10.1.0.2 Attributive nominalization in Even (I) The "attribute raising agreement" illustrated in the previous section (§ 22) can be extended with a fourth step, specifically with adjective attributes marked by the "restrictive" marker =takan/=teken (here glossed as a nominalizer).

- (23) Even (Malchukov 1995: 32)
  - a. *Eŋi-l-bu=tken beji-l-bu emu-re-m*. strong-PL-ACC=NMLZ man-PL-ACC bring-NONFUT-1SG
  - b. \* Eŋi=tken beji-l-bu emu-re-m.
    strong=NMLZ man-PL-ACC bring-NONFUT-1SG
    'I have brought back only strong men.'

Attributes marked as "restrictive" obligatorily agree with the head noun Malchukov (1995: 32). Noun phrases marked by means of =takan/=teken thus resemble the attributive nominalization type, i.e. the attribute is marked as a syntactically complex constituent (i.e. as an embedded complement to the head noun) by means of nominalization.

Malchukov, Andrej L. Even@Even Malchukov. Andrei L. Even@Even restrictive marking|seedefin marking definite marking Malchukov, Andrej L. Even@Even Malchukov. Andrej L. Even@Even

definite marking Benzing, Johannes [1940] Das

Possessivsuffix der dritten Person@[1940] Das Possessivsuffix der

Person
Benzing,
Johannes

dritten

[1940] Das
Possessivsuffix der
dritten
Person@[1940]
Das
Possessivsuffix der
dritten
Person

Benzing, Johannes [1940] Das

> Possessivsuffix der dritten Person@[1940] Das Possessivsuffix der

Person
definite
marking
Even|)

dritten

Evenki|(
Bulatova,
Nadezhda

Grenoble, Lenore Evenki@*Evenki* 

Bulatova, Nadezhda Grenoble,

Lenore Evenki@Evenki Oroch

Udege Nikolaeva,

Irina Tolskaya,

A grammar of

olskaya, Maria 7.10.1.0.3 Attributive nominalization in Even (II) A second attributive nominalization strategy by means of the possessive suffix 3<sup>rd</sup> person singular (in "determinative" function; here glossed as a nominalizer) is attested in an investigation of the non-possessive use of the possessive marker in different Turkic and Tungusic languages (Benzing 1993).

(24) Even (Benzing 1993: 17–18 Footnote 58)

hagdinata-n orolcemnā

oldest-nmlz reindeer\_herder

'the OLDEST reindeer herder'

According to Benzing (1993: 17–18 Footnote 58), the "determinative" suffix -n ( $\Leftarrow$  poss:3sg) can be used as a marker of contrastive focus in Even.

7.10.1.0.4 Modifier-headed possessor agreement in Evenki Evenki follows the general Tungusic rule of head-final constituent ordering inside the noun phrase. In constructions emphasizing the property denoted by the attributive adjectives, however, the unmarked adjective-noun order can be reversed. In these constructions, the adjective is obligatorily equipped with the possessive suffix 3<sup>rd</sup> person (singular or plural).

- (25) Evenki (Bulatova & Grenoble 1999: 18)
  - a. *aja* bəjə good man 'good man'
  - b. *bi: bəjə aja-βa:-n* sa:-m 1sg man good-Acc-Poss:3sg know-1sg

'I know the GOOD man'

According to Bulatova & Grenoble (1999: 18), the phrase final adjective 'good' marked with the possessive suffix is used as a true possessive noun in example (25b) and they translate the example like this: 'I know the man's goodness'. This construction, however, is similar to the modifier-headed possessor agreement described for Oroch (27) and Udege (I. Nikolaeva & Tolskaya 2001: 485, elsewhere).

<sup>&</sup>lt;sup>8</sup> Similar modifier-headed constructions are found in Even where modifier-headed possessor agreement is in fact attested, cf. *Asatkan nood-do-n haaram*. [girl beautiful-ACC-POSS:3SG I\_know] (Malchukov 1995: 11). But unlike similar modifier-headed participles (in possessor agreement constructions) in Even (Malchukov 1995: 31) and similar modifier-headed adjectives in Oroch (Malchukov 2000, cf. even example 27) Malchukov translates this example as a true possessive construction with a nominal attribute: 'I know the girl's beauty' (but not: 'I know the beautiful girl').

# 7.10.2 Amur Tungusic

The Amur (aka South) branch of Tungusic consists of five languages. According to Salminen (2007: 223), however, it is better to assume two separate subbranches: one of them comprising Udege and Oroch and the other comprising Nanay (aka Hejen in China), Ulcha and Orok (aka Uilta).

### 7.10.2.1 Oroch-Udege

- 7.10.2.1.1 Head-driven agreement in Udege Head-driven agreement in Udege is restricted to the feature NUMBER. Morphologically plural head nouns trigger plural marking on the attributive adjective obligatorily.
- Udege (I. Nikolaeva & Tolskaya 2001: 468) uligdig'a-**nku** moxo-ziga bi-si-ti beautiful-PL cup-PL be-PST-3PL 'There were beautiful cups.'
- 7.10.2.1.2 Modifier-headed possessor agreement in Oroch Similar to Evenki from ikolaeva, the northern branch of Tungusic, the Udege-Oroch languages from the Amur branch exhibit modifier-headed possessor agreement. Oroch examples for this type of adjective attribution marking have already been discussed in § 4.4.2.1 but will be repeated here.
- Oroch (Avrorin & Lebedeva 1967: 207; Malchukov 2000: 3)
  - a. nia aja-ni man good-poss:3sg 'a GOOD man'
  - b. nia-sa aja-ti man-pl good-poss:3pl 'GOOD men'

Whereas juxtaposition is the default type of adjective attribution marking in Oroch, modifier-headed possessor agreement occurs only in a special noun phrase Dependency type where the adjective is marked for contrastive focus. The special function marked by this construction is to focus on the property denoted by the adjective:

North Tungusic languages|) Tungusic languages|( South Tungusic|seeAmur Tungusic languages

Evenki|)

Salminen. Tapani Europe

and North Asia@Europ and North Asia Udege Oroch

Nanay Hejen|seeNana Ulcha Orok Uilta|seeOrok

Oroch-Udege languages|( Irina

Tolskaya, Maria A grammar Udihe@A grammar

of Udihe Oroch|( Evenki Avrorin, Valentin

Lebedeva, Elena P. Oročskij jazyk@Orod

jazyk Malchukov, Andrej L.

reversal in nounattributive constructions: towards a typology@Depen reversal in

nounattributive constructions: towards a

Malchukov, Andrej L. 'a man, a property of whom is "to be good" (Malchukov 2000: 3). This noun phrase type thus resembles the function of relative clause formation.<sup>9</sup>

Dependency reversal in nounattributive

# 7.10.2.2 Nanay-Ulcha-Orok

constructions: towards a typology@Depe reversal in nounattributive constructions: towards a typology

tions: According to the few grammatical sketches available, the Nanay-Ulcha-Orok lantypology@Dependered in marking, except Orok.

**7.10.2.2.1 Head-driven agreement in Orok** Attributive adjectives in Orok (aka Ulta) show agreement in number but not in case (or other categories) with the modified noun.

relative clause

(28) Orok (Petrova 1967: 55) a. *dāi* dalu(n)

Oroch|) Oroch-Udege languages|) Nanav-Ulcha-

big store 'large store (i.e. warehouse, storehouse)'

Orok languages|(

Ulta|seeOrok

Orok|(

Petrova.

b. *dāi-l* dalu-l big-pl store-pl

•

'large stores'

Taisija I. Jazyk orokov (ul'ta)@*Jazyk* orokov (ul'ta)

c. **dāi-l** dalu-l-tai big-PL store-PL-LOC 'in large stores'

Ulcha|( Sunik, Orest

Orok|)

7.10.2.2.2 Attributive nominalization in Ulcha According to Sunik (1985: 36, 52–53), adjectives do not "normally" agree with the modified noun in Ulcha. The language is thus characterized by simple juxtaposition of attributive adjectives.<sup>10</sup>

Ul'čskij
jazyk:
issledovanija i
materialy@Ul'čskij
jazyk:
issledovanija i

Another adjective attribution marking device mentioned in Sunik's (1985) gram—mar is attributive nominalization by means of the suffix  $-d\dot{u}ma \sim -dumE$ .

materialy Sunik, Orest P. (29) Ulcha (Sunik 1985: 38)

dovanija i materialv <sup>9</sup> Note also that a similar construction is found in Even from the Northern Tungusic branch where it is only attested with participles: *Beji-l-bu hör-če-wut-ten emu-re-m*. [man-pl-acc go-pfct.ptcp-acc-poss:3pl bring-nonfut-1sg] 'I brought back the men who had left' (Malchukov 1995: 31).

Ul'čskij jazyk: issledovanija i materialy@Ul'čskij jazyk: issle-

Sunik (1985: 36) mentions, however, that a few adjectives sometimes show agreement with the modified noun in case and number (according to the simple or the possessive declension (sic!), i.e. equipped with a possessive suffix) if they are "substantivized". Unfortunately, he does not provide examples.

- a. *n'ūči-dumE* 'a/the little one (among other people)'
- b. *ulEn-dumE* 'a/the good one (among other people)'

#### 7.10.3 Manchu

The two Manchu languages Manchu proper and Sibe exhibit juxtaposition as the default adjective attribution marking device similar to the languages from the Nanay-Ulcha-Orok branch.

# 7.11 Yukaghir

Yukaghir (aka Yukagir) is a small family consisting of the two individual languages Tundra Yukaghir and Kolyma Yukaghir (aka Forest Yukaghir) (Salminen 2007: 223; Maslova 2003b: 1-2; Maslova 2003a: 1).

Noun phrases show strictly head-final constituent order in both Yukaghir languages. True adjective attribution scarcely exists because modifying "property words" in noun phrases are best coded as relative clauses.

The following relevant attribution marking types are attested in Yukaghir languages:

- Incorporation
- · Anti-construct state marking of "verbal adjectives" of "nominal adjectives".

7.11.0.0.1 Juxtaposition in Kolyma Yukaghir There is no large class of lexical adjectives in Yukaghir. The only true adjectives in both Yukaghir languages belong to two semantic pairs: 'small': 'big' and 'old, ancient': 'new, fresh; (an)other'. The use of adjectives from the first pair is even restricted to a few lexicalized expressions Maslova (2003a: 70-71). It is hard to categorize these adjectives according to their morpho-syntax. Maslova (2003a: 71) glosses the lexicalized expressions with the adjectives 'small' and 'big' as compounds, e.g. čom+parnā [big+crow] 'raven'. The adjective 'new', on the other hand can not only be used in such compounds but can even be marked additionally by the noun attribution suffix -d or by the action nominal suffix -l Maslova (2003a: 71).

Ulcha|) Nanay-Ulcha-Orok languages|) Tungusic languages|) Manchu languages|( Manchu Sibe Nanay-Ulcha-Orok languages Manchu languages|) Tungusic languages|) Yukaghir languages ( Yukagir|seeYuk languages Tundra Martin: But (77) from Korean and (79a) from Dungan are also rel. clauses Micha: but there is no special attrmarking here <del>Lurope</del> and North Asia@Europ and North Asia Maslova. Elena Tundra Yuk-

eKo

aghir@*Tund* 

Yukaghir Maslova.

Elena

A grammar

Yuk-

aghir@A grammar

of Kolyma Yukaghir

of Kolyma

relative

of Kolyma Yukaghir@A grammar

clause Kolyma Yukaghir|( Maslova, Elena A grammar

Krejnovič, Eruchim Issledovanija materialy po jukagirskomu materialv po jukagirskomu jazyku

Maslova.

of

Elena A grammar

> Kolyma Yuk-

aghir@A grammar

of Kolyma Yukaghir

stative verb

Elena A grammar

Maslova.

of Kolyma

relative clause

Maslova.

Elena

A grammar of

> Yukaghir@A

Kolyma

grammar

of Kolyma

Yukaghir@A

grammar of Kolyma

Yukaghir

7.11.0.0.2 Anti-construct state in Kolyma Yukaghir With the exception of the very small closed class described in the previous section, there are no adjectives in Kolyma Yukaghir (Krejnovič 1982: 79-112, Maslova 2003a: 66-69, 145-147). All other words denoting qualities constitute a subclass of verbs. Used as attributes these stative verbs take the 3<sup>rd</sup> person singular intransitive suffix -*j*(*e*). <sup>11</sup> Maslova jazyku@Issled2003a: 66, elsewhere) describes the inflected finite verbs, as in (30a) as "special attributive forms". Syntactically they have to be analyzed as juxtaposed relative clauses.

- (30)Kolyma Yukaghir (Maslova 2003a)
  - a. Attribution
    - i. kellugī-je šoromo lazy-ATTR:INTR.3sg person 'lazy man (lit. 'man who is lazy')' (146)
    - ii. kie-s'e šoromo come-ATTR:INTR.3SG person 'man who comes' (67)
  - b. Predication
    - i. id'ī pen omo-s' good-pred:intr.3sg here it 'this is a nice place (lit. 'here, it is good')' (68)

Since verbs take different inflectional suffixes depending on their use as predicates or attributes (i.e. relative clauses, cf. 30a+30b) the suffix -i(e) glossed as ATTR:INTR.3sG can only be analyzed as an anti-construct state marker, i.e. it constitutes a dependent marking attribution device which is not connected to noun phrase internal agreement. Even though the marker belongs to the verbal inflection paradigm it is a true licenser of the attributive relationship between a modifying verb phrase (relative clause) and a noun.

Anti-construct state marking in Kolyma Yukaghir does not, however, belong to the domain of true adjective attribution marking but is a relative clause marking strategy.12

Tundra Yukaghir|(

- <sup>11</sup> Note that this morpheme takes different phonological shapes as the result of allomorphic
- 12 In order to use a verb as modifier inside a noun phrase, the verb can also be nominalized, e.g. by means of an action nominal marker: kel-u-l [come-0-NMLZ '(a situation of) coming' Maslova (2003a: 147), kel-u-l šoromo [come-0-NMLZ person] '(a/the) man who came (i.e. (a/the) already arrived man)' Maslova (2003a: 67). This derivational nominalization of verbs to nominals is not considered constituting an adjective attribution marking device either.

Martin: ?? predicative marking relative clause

relative clause Kolyma Yukaghir|)

7.11.0.0.3 Anti-construct state in Tundra Yukaghir Tundra Yukaghir exhibits an anti-construct state marking device of verbs using a relative clause marking strategy similar to Kolyma Yukaghir (Maslova 2003b: 49–50, elsewhere). In her short grammar, Maslova (2003b) mentions the occurrence of a second anti-construct state marking device and gives the following example:

(31) Tundra Yukaghir (Maslova 2003b: 50)

lugu-je(-d) apanalā

very\_old-ATTR:INTR.3sg-ATTR woman

'very old woman'

The use of the marker -d is not obligatory and is even restricted to head nouns with vowel-initial stems Maslova (2003b: 50).

Interestingly, the second attribution marking device in Tundra Yukaghir is polyfunctional and regularly serves the licensing of nouns (32a) or noun phrases (32b) slova, as attributes.

- (32) Tundra Yukaghir (Maslova 2003b)
  - a. *iŋli-d igije* breast-ATTR ropes 'breast ropes' (49)
  - b. tude kerewe-d ugurt'e
    3SG cow-ATTR legs
    'the legs of his cow'<sup>13</sup> (44)

# 7.12 Yeniseian

Three branches are posited for the Yeniseian family, but only the Ket language from the northern branch still exists today (Werner 1997; Salminen 2007: 223).

The following adjective attribution marking devices are attested in Ket:

- Juxtaposition
- Head-driven agreement
- Attributive nominalization.

relative clause Kolyma

Yukaghir Maslova, Elena

Tundra Yukaghir@*Tund* Yukaghir

Maslova, Elena

Tundra Yukaghir@*Tund* Yukaghir

Maslova, Elena

Tundra Yukaghir@*Tund Yukaghir* Maslova,

Elena Tundra Yukaghir@Tund Yukaghir

adnominal noun Maslova.

Elena Tundra Yukaghir@*Tund* 

Yukaghir Tundra Yukaghir|)

Yukaghir languages|)

Yeniseian languages|(

Ket|( Werner, Heinrich

Jenisejskie jazyki@Jen jazyki

Salminen, Tapani

Europe and North Asia@Europ and North Asia

The regular use of the cognate attribution marker -d (~n) with nouns and noun phrases as attributes is described for Kolyma Yukaghir as well. The use of the marker as a licenser of adjective attribution, however, seems to be restricted to one adjective 'new' (Maslova 2003a: 71).

Vajda, Edward I. Ket@Ket Vaida. Edward I. Ket@Ket Vaida. Edward J.

Ket@Ket focus marking Vajda, Edward J. Ket@Ket adnominal

> noun phrase

adnominal

modiphrase

Vaida. Edward I. Ket language structure in typological

perspective@Ket language structure in typological perspective

7.12.0.0.1 Juxtaposition and head-driven agreement in Ket Attributive adjectives in Ket are normally juxtaposed to the left of the noun they modify Vaida (2004: 38). Only a few simple adjective stems describing visible shapes or sizes may optionally take the plural suffix  $-\eta$ , as shown in example (33). The other morphological features assigned to the noun phrase, i.e. gender (or class) and case, are not sensitive to syntax in Ket.

- Ket (Vajda 2004: 38) (33)
  - a. **qà** qu'n big tent:PL
  - b. **qēŋ** qu'ŋ big:PL tent:PL 'large tents'

fier!adpositioNajda (2004: 38) notes that the optional number agreement marking is "a stylistic device used to emphasize the visual impression created by the quality being described". Probably, this emphasizing construction marks contrastive focus of the adjective: 'large tents' versus 'LARGE tents'.

> 7.12.0.0.2 Attributive nominalization in Ket Vajda (2004: 15, 84–85) also mentions the nominalizing suffix -s which marks lexical and derived adjectives (34a), noun phrases (34b), and adposition phrases (34c) as adnominal modifiers in headless noun phrases.14

- (34)Ket (Vajda 2005)
  - a. Nominalized adjective
    - i. sîn-s old-NMLZ 'the old one'
    - ii. súl-tu-s blood-deriv-nmlz 'the bloody one'

<sup>&</sup>lt;sup>14</sup> Note that the examples (34b and 34c) seem to represent phonological compounds. This is evidenced by the phonological reduction in syllable-mediate vowels. The non-nominalized phrases, according to Vajda (2005) are úgda ólin 'a long nose' and qō-t-hutur-ya 'under the ice [ice-gen-under]'. It is not clear from the description, however, if incorporation is relevant to morpho-syntax as well. But this phenomenon deserves further attention since adjective incorporation is scarcely attested in the world's languages but occurs in a few other non-related branches of the northern Eurasia.

- b. Nominalized noun phrase
  - i. úgd-ólin-s long-nose-NMLZ 'the long-nosed one'
- c. Nominalized adposition phrase
  - i. gó-t-huttur-ya-s ice-GEN-under-NMLZ 'the one under the ice'

Grammatical descriptions of Ket (Vajda 2004, cf. also Krjukova 2007) only give examples where these nominalized (headless) noun phrases are used in apposition, as in the contrastive focus construction (35).

### (35) Ket (Vajda 2005)

- a. Adjective predication bū sîn-du / bū sîn-da 3sg old-m.cop 3sg old-f.cop 'he/she is old'
- b. Contrastive focus construction bū sîn-s 3sg old-nmlz 'he/she is OLD (i.e. 'an old one')'

The available data does not provide enough evidence for a detailed description and analyses of attributive nominalization by means of the suffix -s as a regular attribution marking device in Ket. It it possible that these nominalizations cannot be used as true modifiers of nouns but are restricted to headless noun phrases and are used only in special contrastive focus constructions.

There is even evidence against the analysis of nominalization as attributive marking in Ket. Vajda's examples of nominalized adverbials suggest that this contrastive focus marking is used predominantly in copular constructions (as predicates). Since the otherwise regular predicative agreement marking never occurs on these nominalizations Vajda (2004: 15) it could also be argued that the nominalizer -s constitutes a strategy for secondary predication marking rather than attribution marking.

Attributive nominalization in Ket definitely deserves more attention. The construction might constitute an example of the development of attributive nominalization independent of definiteness marking.

Vajda, Edward I. Ket@Ket Kriukova. Elena A. Substantivacija v jenisejskich jazykach (na materiale ketskogo, jugskogo kottskogo jazykov)@S v jenisejskich jazykach (na materiale ketskogo, jugskogo i kottskogo jazykov) marking Vajda, Edward J. Ket language structure in typological perspective@*Ket* language structure in typological perspective focus marking

Vajda,

Ket|) Yeniseian

Turkic

Ket@Ket

Edward J.

languages|)

languages|(

Europe Bulgar Turkic languages Common Turkic

languages Oguz languages Karluk languages

languages Altay Turkic lánguages Yenisev

Kipchak

languages Turkic languages Sayan Turkic

Turkic

languages Lena Turkic languages Salminen,

Tapani Europe and North Asia@Europe and North Asia

focus marking Chuvash

Bulgar Turkic languages|(

Oghur Turkic|seeBulgar Turkic languages Chuvash|( focus

marking Clark, Larry

Chuvash@Chuvash

### 7.13 Turkic

Languages from the Turkic language family are spoken across all of northern Eurasia, including northeastern and southeastern Europe, and beyond. The family is divided into two major branches: Bulgar and Common Turkic. Whereas Bulgar Turkic is represented only by one language, the Common Turkic branch can be further divided into nine groups. Seven of these groups have members spoken in northern Eurasia: Oguz, Karluk, Kipchak, Altay Turkic, Yenisey Turkic (Khakas), Sayan Turkic, and Lena Turkic Salminen (2007: 221).

All Turkic languages are characterized by strict head-finality in their noun phrase structure. The prototypical adjective attribution marking device in Turkic languages is juxtaposition. This type occurs as the unmarked construction in all Turkic languages. In some Turkic languages, however, an attributive nom-Khakas|seeYeniseimalizer marks an attributive adjective in contrastive focus constructions. This construction is systematically described (more or less) only for Chuvash from the Bulgar Turkic branch.

The following types of adjective attribution marking are attested:

- Juxtaposition
- Attributive nominalization.

# 7.13.1 Bulgar Turkic

The Bulgar (aka Oghur) subbranch of the Turkic language family is represented only by a single language, Chuvash.

7.13.1.0.1 Juxtaposition and attributive nominalization in Chuvash Similar to all other Turkic languages, Chuvash exhibits juxtaposition as the default and general adjective attribution marking device (36a). Besides juxtaposition, an attributive nominalizer is used in contrastive focus constructions (36b).

(36)Chuvash (Clark 1998a)

> a. Juxtaposition **yura** yut black paper

> > 'black paper'

b. Attributive nominalization

χur-i χut black-attr paper

'BLACK paper (not of another color)'

The attributive article -i is similar to the possessive suffix  $3^{\text{rd}}$  singular. As in other Turkic languages, this article is also obligatorily used in headless noun phrases marked as direct (accusative) objects in Chuvash.

(37) Attributive nominalization in Chuvash (Benzing 1993: 7)

χur-i-ne / χĕrl-i-ne ildem black-ATTR-ACC red-ATTR-ACC I\_bought (Which pen did you buy?) 'I bought a/the black / red one.'

Besides -i, a second nominalizer -sker is attested in Chuvash. Both formatives are used with similar classes of adjectival and other attributes.

- (38) Attributive nominalization in Chuvash (Krueger 1961)
  - a. Article #1 -i ( $\Leftarrow$  poss:3sg)
    - i. Attributive adjective lajăχχ-i good-ATTR

'which is good / (a/the) good one'

- ii. Attributive participle vulan-i read.PRF-ATTR
  - 'which is read'
- iii. Attributive noun vărman-t-i forest-LOC-ATTR 'which is in the forest'
- b. Article #2 -sker (< Mari ÿsker)
  - i. Attributive adjective lajăχ-sker good-ATTR 'which is good / (a/the) good one'

Johannes [1940] Das Possessivsuffix der dritten Person@[1940] Das Possessivsuffix der dritten Person Krueger, Iohn Richard Chuvash manual: introduction, grammar, reader, and vocabularv@Chuve manual: introduction. grammar, reader,

and vo-

Mari

cabulary

Benzing,

```
Chuvash|)
                         ii. Attributive participle
Bulgar Turkic
                             vulană-sker
   languages|)
                             read.prf-attr
Common
   Turkic
                             'which is read'
   languages|(
Oguz
                         iii. Attributive noun
   languages|(
                             värman-ta-sker
Azerbaijani
                             forest-LOC-ATTR
Širaliev. M. Š.
Sevort'an, Ė.
                             'which is in the forest'
   V
Grammatika
   azerba-
              7.13.2 Common Turkic
   idžan-
   skogo
   jazyka
              7.13.2.1 Oguz
   (fonetika,
   mor-
              7.13.2.1.1 Juxtaposition in Azerbaijani Similar to all other Turkic languages,
   fologija i
   sintak-
              attributive adjectives are simply juxtaposed to the modified noun in Azerbaijani.
   sis)@Grammatika
   azerba-
                     Azerbaijani (Širaliev & Sevort'an 1971: 59–60)
              (39)
   idžan-
                     a. uča day 'high mountain'
                                                                         [high mountain(NOM)]
   skogo
   jazyka
                     b. uča day-in
                                                                         [high mountain-GEN]
   (fonetika,
   mor-
                     c. uča day-da
                                                                         [high mountain-Loc]
   fologija i
   sintaksis)
                     d. uča dav-lar
                                                                         [high mountain-PL]
Turkish
                      e. uča day-lar-da
                                                                         [high mountain-PL-LOC]
Benzing.
  Johannes
                      f. ...
[1940] Das
   Possessiv-
   suffix der
              7.13.2.1.2 Attributive nominalization in Turkish Similar to other Turkic lan-
   dritten
              guages, the attributive nominalization is used obligatorily in headless noun phrases
   Per-
   son@[1940]
              marked as direct (accusative) objects in Turkish.
   Das
   Possessiv-
              (40)
                     Attributive nominalization in Turkish (Benzing 1993: 7)
   suffix der
                                     / kızıl-ını
                                                      aldım
                     kara-sını
   dritten
   Person
                     black-ATTR:ACC red-ATTR:ACC I bought
Oguz
```

#### 7.13.2.2 Karluk

The default and general adjective attribution marking device in the languages of the Karluk subbranch of Common Turkic is **juxtaposition** and is similar to example (39) from Azerbaijani. Besides juxtaposition, attributive nominalization is also attested.

(Which pen did you buy?) 'I bought a/the black / red one.'

languages|)

languages|( Azerbaijani

Karluk

- 7.13.2.2.1 Attributive nominalization in Uigur The possessive suffix 3<sup>rd</sup> person singular occurs as an attributive nominalizer in contrastive focus constructions in Uigur. This construction is thus similar to example (36b) from Chuvash from the Bulgar branch of Turkic.
- (41) Uigur (Benzing 1993: 17–18, Footnote 58)

  \*\*uluy-i\* qatun

  biggest-ATTR woman

  'the FIRST wife'
- **7.13.2.2.2 Attributive nominalization in Uzbek** Similar to other Turkic languages, the article is also used obligatorily in headless noun phrases marked as direct (accusative) objects in Uzbek.
- (42) Attributive nominalization in Uzbek (Boeschoten 1998: 371)

  (mena qaysisi yarašadi,) qizilim-i, aqim-i?

  red-ATTR:ACC white-ATTR:ACC

  '(Which one suits me,) the red one, or the white one?'

### 7.13.2.3 Kipchak, Altay, Yenisey, Sayan, Lena

The default and general adjective attribution marking device in the languages of the Kipchak, Altay, Yenisey (aka Khakas), Sayan and Lena subbranchs of Common Turkic is **juxtaposition** and is similar to example (39) from Azerbaijani.

# 7.14 Nakh-Daghestanian

Nakh-Daghestanian is a language family of the Caucasus. It is named after its two main branches: Nakh and Daghestanian. Wheras Nakh comprises only a few single languages, the Daghestanian branch can be further divided into several subbranches Salminen (2007: 220, 233).

The predominant order of noun phrase constituent in Nakh-Daghestanian languages is adjective-noun. Regarding the morpho-syntactic licensing of adjective attribution, the Nakh-Daghestanian family is characterized by a relatively high diversity of noun phrase types.

The following adjective attribution marking devices are attested:

Juxtaposition

Uigur focus marking Chuvash Benzing, Johannes [1940] Das Possessivsuffix der dritten Person@[1940] Das Possessivsuffix der dritten Person Uzbek Boeschoten, Hendrik Uzbek@Uzbek Karluk languages|) Kipchak languages|(

Altay Turkic languages|( Yenisey Turkic languages|(

Sayan Turkic languages|( Lena Turkic languages|(

Azerbaijani Kipchak languages|) Altay Turkic

languages|) Yenisey Turkic

languages|) Sayan Turkic languages|)

Lena Turkic languages|)

Common Turkic languages|)

Turkic languages|)

Nakh-Daghestania languages|( Caucasus

Nakh languages Daghestanian

languages Salminen, Tapani

Europe

Daghestanian languages|( Avar-Andi-Tsezic languages|(

Andi

languages Akhvakh Andi Bagvalal

Botlikh Chamalal

Godoberi Karata Tindi

Tsezic languages Tsez

Dido|seeTsez Hinuq Khwarshi

Inkhokvari Bezhta Hunzib

Avar Salminen. Tapani Europe

> and North Asia@Europe (43) and North Asia

Godoberi|( Tatevosov. Sergej G. 2: Attributives@2: Attribu-

tives Godoberi|) Tsez|(

- Head-driven agreement marking
- Anti-construct state agreement marking
- Anti-construct state marking
- Attributive nominalization.

### 7.14.1 Daghestanian

#### 7.14.1.1 Avar-Andi-Tsezic

The Avar-Andi-Tsezic group of Daghestanian is named after three groups of closely related languages: Andi (comprising the languages Akhvakh, Andi, Bagvalal, Botlikh, Chamalal, Godoberi, Karata and Tindi), Tsezic (comprising the languages Tsez (aka Dido), Hinuq, Khwarshi, Inkhokvari, Bezhta (aka Kapucha) and Hunzib. The single language Avar forms the third group of Avar-Andi-Tsezic (Salminen 2007: 220, 233).

The prototype of adjective attribution marking in the Avar-Andi-Tsezic lan-Kapucha|seeBezhta guages is head-driven agreement which occurs in all languages of this group.

> 7.14.1.1.1 Head-driven agreement in Godoberi The unmarked constituent order in Godoberi is adjective-noun. 15 Adjectives agree with the head noun in the features GENDER (if a position for the class-marker is available) and NUMBER.

Godoberi (Tatevosov 1996: 25)

a. Adjectives taking a gender class prefix

i. <b>w-o</b> $\chi$ ar ima 'old father'	[M]
ii. <i>j-axar ila</i> 'old mother'	[F]
iii. <i>b-aχar hamaχi</i> 'old donkey'	[N]
iv. <i>r-axar hamaxi-be</i> 'old donkeys'	[N.PL]
Adjectives taking a gender class suffix	
i. <i>q'arúma-w ima</i> 'greedy father'	[M]

ii. *q'aruma-j ila* 'greedy mother' [F]iii. *q'arúma-b hamayi* 'greedy donkey'

[N]

iv. q'arúma-r hamaxi-be 'greedy donkeys' [N.PL]

b.

<sup>&</sup>lt;sup>15</sup> The reversed order marks contrastive focus on the adjective: hac'a χ°aji [white dog] 'white dog', χ°aji hac'a [dog white] 'that very dog (of several others) which is white' (Kazenin 1996: 149).

7.14.1.1.2 Attributive nominalization in Tsez In Tsez, two lexical classes of adjectives have to be distinguished. The members of the first class take gender agreement prefixes. The (few) members of the second class are simply juxtaposed to the modified noun Alekseev & Radžabov (2004: 126).

There is an additional attributive marker: the attributive nominalizing suffix -ni which marks attributive adjectives in headless noun phrases and also "restrictive" (or definite) forms of the adjective.

- (44) Tsez (Alekseev & Radžabov 2004)
  - a. Nominalized headless adjective
    - i. igu-n-a: good-ATTR-ERG'a good one'
    - ii. igu-ni-r good-ATTR-DAT 'to a good one'
  - b. "Restrictive" attributive adjective
    - i. (eyda) eġe-ni uži dey esiy yoł
      this little-ATTR boy 1:GEN brother:NOM be:PRS
      '(this) little boy (and not one of the others) is my brother'

The content of the "restrictive" (aka "definite") form remains somewhat uncertain. The translation of (44b-i) in the description of Alekseev & Radžabov (2004: 128) resembles contrastive focus marking ('the LITTLE boy').

#### 7.14.1.2 Lak

The Lak subbranch of Daghestanian is formed by one single language: Lak proper.

7.14.1.2.1 Head-driven agreement in Lak Constituent order in Lak is adjective-noun. The language exhibits two adjective attribution marking devices. The unmarked and default attribution marking device is head-driven agreement which characterizes adjectives derived by means of the adjectivizer *-ssa*, as in (45). These derived adjectives only agree in gender class. Other morpho-syntactic marking is not applied.

(45) Lak (Žirkov 1955: 48)

Ramazan N. Tsez@Tsez definite marking Alekseev. Michail E. Radžabov. Ramazan N. Tsez@Tsez definite marking Alekseev. Michail E. Radžabov. Ramazan N. Tsez@Tsez focus marking Tsez|) Avar-Andi-Tsezic languages|) Lak|( Žirkov, L. I. Lakskij jazyk: fonetika i morfologija@La jazyk: fonetika i morfologija

Alekseev,

Radžabov.

Michail E.

```
predicative
                      a. uč-ssa
                                     adimina
   marking
                          fat.I-ADJZ person(I)
Žirkov, L. I.
Lakskij jazyk:
                          'fat man'
   fonetika i
                      b. b-uč-ssa
                                       nic
   mor-
   fologija@Lakskij
                          III-fat-ADJZ bull(III)
   jazyk:
   fonetika i
                          'fat bull'
   mor-
   fologija
                      c. b-uč-ssa
                                       nic-ru
focus
                          III-fat-adiz bull(III)-pl
   marking
Žirkov, L. I.
                          'fat bulls'
Lakskij jazyk:
   fonetika i
               Note that the suffix -ssa is a derivational formative rather than a marker of attri-
   mor-
   fologija@Lakkution since it occurs on adjectives in attributive and predicative position alike.
   iazvk:
               Predicative adjectives even show similar gender agreement inflection (Žirkov
   fonetika i
               1955: 45-51).
   mor-
   fologija
focus
               7.14.1.2.2 Anti-construct state agreement in Lak While head-driven agreement
   marking
Žirkov, L. I.
               marking, as in (45), constitutes the basic and unmarked adjective attribution
Lakskij jazyk:
               marking device in Lak, anti-construct state agreement marking is restricted to
   mor- contrastive focus constructions. fologija@Lakskij
   jazyk:
                     Lak (Žirkov 1955: 45)
               (46)
   fonetika i
   mor-
                      a. uč-ma
                                      adimina
   fologija
                          fat.I-ATTR:I person(I)
Lak|)
Dargwa|(
                          'FAT man'
                      b. b-uč-mur
                                          nic
                          III-fat-ATTR:III bull(III)
                          'FAT bull'
                      c. buč-mi
                                          nic-ru
                          III-fat-attr:pl bull(III)-pl
```

Note that the occurrence of the anti-construct state agreement marking suffixes -ma, -mur, -mi is restricted to attributive adjectives. Unlike adjectives with the derivational formative -ssa with head-driven agreement marking in number only, adjectives in contrastive focus (occurring in the anti-construct state agreement noun phrase type) show agreement in number as well (Žirkov 1955: 45–51).

'FAT bulls'

### 7.14.1.3 Dargwa

The Dargwa subbranch of Daghestanian consists of one single language (with several sub-varieties): Dargwa proper Salminen (2007: 233).

Tapani Europe and North Asia@Europ and North Asia

Salminen,

7.14.1.3.1 Anti-construct state agreement and juxtaposition in Dargwa In Dargwa V, M-Š. A. two adjective attribution marking devices occur. Whereas anti-construct state (number) agreement marking (47a) is the default type, juxtaposition (47b) is restricted to "poetic language" (Isaev 2004: 318).

Dargwa@Darg Isaev, M-Š. A. Dargwa@Darg

Dargwa|) Lezgic

languages|(

Agul Archi

Badukh Krvz

Kryts|seeKryz

Lezgian Rutul Tabasaran Tsakhur

Udi

Udi|( Schulze-

Fürhoff. Wolfgang Udi@Udi

- (47) Dargwa (Isaev 2004: 318)
  - a. Anti-construct state agreement
    - Gali i. ag-si high-ATTR:SG house(SG) 'lofty house'
    - ii. ag-ti Gulri high-ATTR:PL house:PL 'lofty houses'
  - b. Juxtaposition
    - i. ac dubura high mountain 'high mountain'

# 7.14.1.4 Lezgic

The Lezgic subbranch of Daghestanian comprises the languages Agul, Archi, Badukh, Kryz (aka Kryts), Lezgian, Rutul, Tabasaran, Tsakhur and Udi.

Adjective-noun is the basic constituent order in the noun phrase of all Lezgic languages. Regarding their adjective attribution marking, the Lezgic languages exhibit the highest degree of diversity. All types found in Nakh-Daghestanian are attested: juxtaposition, head-driven agreement marking, anti-construct state agreement marking, anti-construct state marking and attributive nominalization.

7.14.1.4.1 Juxtaposition in Udi The default adjective attribution marking device in Udi is juxtaposition, cf. the following (incomplete) paradigm.

- (48) Udi (Schulze-Fürhoff 1994: 465)
  - a. kala ĝara-Ø 'the old son'

[ABS]

Tabasaran (	b.	kala ĝara-en	[ERG]
Udi Udi )	c.	<b>kala</b> ĝara-i	[GEN]
Kurbanov, Kazi K.	d.		
Morfologija tabasaran- skogo jazyka (posobie dlja	adjective a minor l orfologija and shov (49) Ta a. b.	E Juxtaposition and head-driven agreement in Tabasaran attribution marking device in Tabasaran is juxtaposition, as lexical subclass of two adjectives in this language deviate in v gender and number agreement.  basaran (Kurbanov 1986: 50–51)  uččvu-r adaš  beautiful-I father(I)  'beautiful father'  uččvu-b gjajvan  beautiful-II horse(II)  'beautiful horse'	in Udi. Only
Tsakhur (	c.	uččvu-dar gjunšjir beautiful-pl horse:pl	
		'beautiful horses'	

7.14.1.4.3 **Head-driven agreement in Archi** Attributive adjectives in Archi show agreement in gender and number with the modified noun; see the complete agreement paradigm for the adjective 'good'.

(50) Archi (Kibrik 1994a)

a.	hibàtu `good'	[I sg]
b.	hibà̄tu-r	[II sg]
c.	hibà̄tu-b	[III sg]
d.	hibà̄tu-t	[IV sg]
e.	hibàt-ib	$\lceil \mathrm{PL} \rceil$

7.14.1.4.4 Anti-construct state agreement in Tsakhur Adjectives in Tsakhur can be divided into three subclasses according to their choice of attribution marking devices. The first, minor lexical class of adjectives in Tsakhur is characterized by missing inflection. Adjectives belonging to this class are simply juxtaposed

Talibov.

Talibov.

Udi|(

Schulze-Fürhoff.

Udi@Udi

Bukar B.

Tsakhur@*Tsaki* Talibov.

Bukar B. Tsakhur@*Tsaki* 

Bukar B.

Tsakhur@*Tsaki* Tsakhur|)

Wolfgang

to the modified noun (Talibov 2004: 383). Members of the two other adjective classes exhibit anti-construct state agreement marking.

- (51) Tsakhur (Talibov 2004: 382)
  - a. i. Gender class I-III

 $\it bat$ 'raj-na  $\it jis$  /  $\it dix$  /  $\it balk^han$  beautiful-ATTR:I-III girl(I)  $\it son(II)$  horse(III)

'beautiful girl / son / horse'

ii. Gender class IV

'beautiful forest'

b. i. Gender class I

**<u>ža</u>rna** jis

big:ATTR:I-III mother(I)

'old mother (=grandmother)'

ii. Gender class IV

**xadin** balag

big:ATTR:IV sack(IV)

'big sack'

Whereas the anti-construct agreement marker of adjectives from the first group (51a) is formally identical with the genitive case suffixes of nouns, adjectives from the second group (51b) are equipped with a morphologically complex formative including the genitive suffix and a phonological stem alternation (Talibov 2004: 382).

**7.14.1.4.5** Nominalization in headless noun phrases in Udi The default adjective attribution marking device in Udi is head-driven agreement. In headless noun phrases, however, attributive adjectives are obligatorily nominalized by means of the stem augment *-o-* ABS / *-t'-* OBL.

(52) Udi (Schulze-Fürhoff 1994: 466)

a. kala-oʻthe big/old one' [NMLZ.ABS]

b. *kala-o-r* [NMLZ.ABS-PL]

c. kala-t'-in [nmlz:obl-erg]

d. kala-t'-ĝ-on [NMLZ:OBL-PL-ERG]

Udi|)
Lezgian|(
Haspelmath,
Martin
A grammar

A grammar of Lezgian@A grammar of Lezgian Haspelmath, Martin

A grammar of Lezgian@A grammar of Lezgian

Udi Lezgian|) Rutul|( Alekseev, Michail E. Rutul@*Rutul*  e. kala-t'-ay [NMLZ:OBL-GEN] f. ...

7.14.1.4.6 Nominalization in headless noun phrases in Lezgian Attributive adjectives in headless noun phrases are nominalized in Lezgian as well. The nominalizing suffix exhibits different forms in the absolute singular case (-di), in the oblique cases (-da) and in plural (-bur).

53) Headless adjectives in Lezgian (Haspelmath 1993: 110)

a.	<i>q̃acu-di</i> 'green one'	[ATTR:SG]
b.	ą̃acu-da	[ATTR:ERG.SG]
c.	ą̃acu-da-n	[ATTR-GEN]
d.	ą̃acu-bur	[ATTR:PL]
e.	ą̃acu-bur-u	[ATTR:PL-ERG]
£		

The same attribution marker is also used for the nominalization of noun phrases.

- (54) Nominalized noun phrases in Lezgian (Haspelmath 1993: 110)
  - a. Pronoun

i. zi 'my' [Poss:1sG]
 ii. zi-di 'mine' [Poss:1sG-ATTR]

b. Lexical noun

i. dide.di-n 'mother's' [mother-GEN]

ii. dide.di-n-di 'mother's' [mother-gen-attr]

Even though adjectives without a lexical head in Udi and Lezgian are nominalized there is no evidence that these nominalizations serve as attribution marking devices.

7.14.1.4.7 Anti-construct state in Rutul In Rutul, attributive and predicative adjectives are differentiated by means of two different derivations. Whereas attributive adjectives take an anti-construct suffix  $-d \sim -di^{16}$  predicative adjectives take a suffix  $-i \sim -i^{17}$  or are not marked at all (Alekseev 1994b: 224).

Attributive adjectives do not inflect other than by means of anti-construct state marking.

 $<sup>^{16}</sup>$  The allomorph -di occurs after consonants (Alekseev 1994b: 224).

<sup>&</sup>lt;sup>17</sup> The allomorph -*i* occurs after dorsal consonants (Alekseev 1994b: 224).

(55) Rutul (Alekseev 1994b: 237)

a. äkkà-d dahàrbig-ATTR stone'big stone'

b. **äkkà-d** dahàr-bɨr big-ATTR stone-PL 'big stones'

Note that the anti-construct state marker  $-d \sim -di$  is identical to the genitive case of nouns and thus constitutes a polyfunctional marker Alekseev (1994b).

#### 7.14.2 Nakh

The Nakh branch of Nakh-Daghestanian comprises only three languages: Bats, Ingush and Chechen. The latter two form a common subbranch (Salminen 2007: 220, 233).

The noun phrase structure in all three languages is basically similar. Attributive adjectives precede the modified noun and show head-driven agreement. Adjectives in headless noun phrases are additionally marked with an attributive nominalizer.

# 7.14.3 Chechen-Ingush

7.14.3.0.1 Head-driven agreement in Ingush Attributive adjectives in Ingush agree in case with the modified noun. The adjective agreement paradigm, however, exhibits only a single case distinction of nominative versus oblique.

(56) Case agreement paradigm in Ingush (Nichols 1994b: 99)

a. <i>joqqa</i> jurt 'large village'	[NOM]
b. joqqa-ča jurt-a	[GEN]
c. <b>joqqa-ča</b> jurt-aa	[DAT]
d. joqqa-ča jurt-uo	[ERG]
e. <b>joqqa-ča</b> jurt-aca	[INS]

f. ...

Some adjectives also show agreement in gender; but only very few adjectives additionally agree in number with the modified noun (Nichols 1994b: 99).

Michail E. Rutul@Rutul Alekseev. Michail E. Rutul@Rutul Rutul|) Lezgic languages|) Daghestanian languages|) Nakh languages|( Bats Ingush Chechen Salminen, Tapani Europe and North Asia@Europ and North Asia Chechen-Ingush languages|( Ingush|( Nichols. Johanna Ingush@Ingush Nichols. Johanna Ingush@Ingush Ingush|)

Chechen|(

Alekseev,

Nichols, Johanna
Chechen@Chechetriven agreement, Chechen (similar to the other Nakh languages) exhibits attributive nominalization as the regular adjective attribution marking device in headless noun phrases. The formative is a thematic stem extension merged with the case inflection.

Bats|( Tsova-

Ingush

(57) Chechen (Nichols 1994a: 29)

Tush|seeBats Batsbi|seeBats Chechen

high fence'

a. lega kert

Holisky, Dee Ann

b. leqa-nig

Gagua, high-ATTR:NOM.SG Rusudan Tsova-Tush 'the high one'

(Batsbi)@Tsova-

*Tush* Even though adjectives without a lexical head in Chechen are nominalized, there (*Batsbi*) is no evidence that these nominalizations serve as attribution marking devices.

Bats|) Nakh

languages|) 7.14.4 Bats

Nakh-

Daghestanian The noun phrase structure in Bats (aka Tsova-Tush or Batsbi) is similar to the structure found in closely related Chechen and Ingush. Attributive adjectives show head-driven agreement. Headless adjectives are additionally marked by means of nominalization Holisky & Gagua (1994: 172–172).

Northwest Caucasian|seeAbkhaz-

Adyghe

languages 7.15 Abkhaz-Adyghe

Circassian languages Ubvkh

The Abhaz-Adyge (aka Northwest Caucasian) family consists of the two branches Abkhaz and Circassian each of which comprises two languages. A third branch, Ubykh, is now extinct (Salminen 2007: 220, 233). All languages are spoken in the northwestern Caucasus region.

languages Salminen, Tapani Europe

Whereas the adjective-noun constituent order is similar in all Abkhaz-Adyghe languages, the prototypes of adjective attribution marking devices

Asia@Europe and North Asia Caucasus

Abkhaz languages

and North

• Head-driven agreement (Abkhaz)

• Incorporation (Circassian)

occurring in the two branches of this family deviate considerably.

#### 7.15.1 Abkhaz

The Abkhaz branch of Abkhaz-Adyghe comprises the two very closely related varieties Abkhaz proper and Abaza. The constituent order inside the noun phrase of both languages is normally noun-adjective. Only adjectives denoting nationality deviate from this rule and precede the modified noun (Comrie 1981: 222).

7.15.1.0.1 Head-driven agreement in Abkhaz Attributive adjectives in Abkhaz show number agreement. Note, however, that a plural noun modified by an adjective may remain unmarked (Hewitt 1994: 46). Even though the plural marker may attach only once at the right phrase edge, it is best analyzed as an agreement marker and not a clitic. This is evidenced by the fact that the adjective may take the non-human pluralizer even if it modifies a human noun. 19

### (58) Abkhaz (Hewitt 1994)

- a. a-là(-k°à) bzèya-k°а
   DEF-dog-PL:NONHUM good-PL:NONHUM
   'the good dog'
- b. à-ʒġab(-ċ°a) bzèya-k°a / bzèya-ċ°a

  DEF-girl-PL:HUM good-PL:NONHUM good-PL:HUM

  'the good girl'

#### 7.15.2 Circassian

The Circassian (aka Adyghe) branch of Abkhaz-Adyghe comprises the two languages Adyge and Karbardian. Both languages exhibit similar noun phrase structures. The constituent order inside the noun phrase is normally noun-adjective. Noun phrases with modifying adjectives in Adyge and Karbardian are often described as single compound words (Comrie 1981: 222).

7.15.2.0.1 Adjective incorporation in Karbardian Attributive adjectives in Karbardian (aka Eastern Circassian) occur in a polysynthetic structure to the right

<sup>18</sup> Noun phrases with an attributive adjective following a non-inflected noun in Abkhaz have alternatively been analyzed as polysynthetic constructions (hence adjective incorporation), e.g. by Rijkhoff (2002: 123) and Gil (2005).

Abaza Comrie. Bernard The languages of the Soviet Union@The languages of the Šoviet Union Abkhaz|( Hewitt. George B. Abkhaz@Abkh Hewitt. George B. Abkhaz@Abkh Abkhaz|) Circassian languages|( Advghe|seeCirc languages Adyge Karbardian Comrie. Bernard languages of the Soviet Union@The languages

Abkhaz

of the

Šoviet

Note that in the closely related language Abaza, plural marking occurs twice but the non-human pluralizer constitutes the obligatory plural agreement marker on adjectives modifying nouns of any gender class Lomtatidze & Klychev (1994: 100).

Colarusso, John

of the modified noun. Number and case inflection of the noun phrase is suffixed to the adjective.

East Circas-

Karbardian (Colarusso 1994: 295)

sian (Kabardian dialect)@East

a. pśaaśa-daaxa-r girl-beautiful-ABS 'the beautiful girl'

Circassian (Kabardian dialect)

b. psaasa-daaxa-ha-r girl-beautiful-PL-ABS 'the beautiful girls'

languages|) Abkhaz-Adyghe languages|)

Karbardian|)

Circassian

c. pśaaśa-daaxa-c'ək'°-ər girl-beautiful-little-ABS 'the small beautiful girl'

Kartvelian languages|(

Georgian Svan Laz

### 7.16 Kartvelian

Mingrelian

Megrelian|seeMingrelian is a language family comprising the four languages Georgian, Svan, Iverian|seeMingrelian Laz and Mingrelian (aka Megrelian or Iverian). The latter two languages constitute the Zan subbranch inside the family Salminen (2007: 220). Kartvelian languages are all spoken in the southern Caucasus, mainly in Georgia but also in adjacent countries.

languages Salminen, Tapani Europe

In the modern Kartvelian languages, the unmarked constituent order of adjectival modifiers and head is noun-final, although the opposite order is also possible (A. C. Harris 1991b: 56).

and North Asia@Europe and North Asia

Three adjective attribution marking types are attested:

Caucasus Harris, Alice C.

Juxtaposition

Overview on the history of

Head-driven agreement

the Kartvelian lan-

• Appositional head-driven agreement.

guages@Overview on the history of the Kartvelian languages

The inherited Common Kartvelian agreement marking, however, is more or less preserved only in the marked (but inherited) head-initial noun phrase type. In the head-final noun phrase type, on the other hand, the modern Kartvelian languages display a strong tendency to lose head-driven agreement. Preposed attributive adjectives in Mingrelian and Laz are juxtaposed to the head noun as a rule. In Modern Georgian and Svan, the agreement paradigm of preposed attributive ad-

Kartvelian Mingrelian Laz

jectives shows a high degree of syncretism.

Georgian Svan

Common

Georgian|(

# 7.16.1 Georgian

**7.16.1.0.1 Head-driven agreement in Georgian** The only agreement feature in Modern Georgian is CASE. Note, however, that the adjective agreement paradigm exhibits only three differentiated forms.<sup>20</sup>

(60) Georgian (Aronson 1991: 236)

a. <i>zvel-i</i> c'ign-i 'old book'	[NOM]
b. <b>zvel-ma</b> c'ign-ma	[ERG]
c. <b>zvel-Ø</b> c'ign-s	[DAT]
d. <b>zvel-i</b> c'ign-is	[GEN]
e. <b>ʒvel-i</b> c'ign-it	[INS]
f. <b>zvel-Ø</b> c'ign-ad	[ADV]

g. ...

7.16.1.0.2 Juxtaposition in Georgian Whereas the so-called consonantal-stem adjectives like 'old' in example (7.16.1.0.1) show head-driven agreement there is another lexical class of adjectives (characterized by a stem-final vowel, hence "vocalic-stem adjectives"), the members of which are simply juxtaposed to the modified noun.

Martin:
present as
table
Micha: I
have several similar
paradigms
among the
examples

Aronson,

Geor-

Aronson, Howard I.

Modern

Georgian@Mode Georgian modification marking!ap

Howard I. Modern

> gian@Mode Georgian

- (61) Georgian (Aronson 1991: 236)
  - а. *parto* gza [noм] 'wide road'
  - b. parto gza-m [ERG]
  - c. parto gza-s [DAT]
  - d. parto gz-is [GEN]
  - e. *parto* gz-it [INS]
  - f. *parto* gz-ad [ADV]

...

<sup>&</sup>lt;sup>20</sup> In the marked head-initial constituent order of noun and adjective which is used in archaic style or for emphasis, case agreement is complete (Tuite 1998: 59).

Testelets, Yakov G. Word order variation in some SOV languages of Ĕurope@Word order variation in some SOVlanguages of Europe

marking

Yakov G. Word order

variation

languages of Europe@Word order

variation in some SOV

languages

of Europe modification

in some SOV

focus

Testelets.

7.16.1.0.3 Appositional head-driven agreement in Georgian Appositional modification seems to occur as a secondary type of adjective attribution marking in Georgian. Attributive adjectives are normally preposed and show only limited agreement (62). In postposition (marking emphasis), however, the adjective inflects for the full set of cases and numbers (62a). This construction thus resembles an independent (headless) noun phrase in apposition to the semantic head (Y. G. Testelets 1998: 652, 677; cf. also below § 7.16.1). The construction probably marks contrastive focus of the adjective.

- (62)Georgian (Y. G. Testelets 1998: 652)
  - lamaz kal-s or that:OBL two nice:OBL woman-DAT 'to those two nice women'
  - b. kal-eb-s lamaz-eb-s woman-PL-DAT nice-PL-DAT 'to the NICE women'

### 7.16.2 Svan

(63)

7.16.2.0.1 Head-driven agreement in Svan Attributive adjectives in Svan show limited agreement in case. The paradigm of the agreement marker exhibits only two members: one for nominative and one for the oblique cases. marking!appositional|)

Georgian|)

Svan|( Tuite, Kevin Svan@Svan

Harris, Alice C.

Svan@Svan Svan|)

Zan languages|(

Mingrelian Laz

Svan (Tuite 1997: 18)

a. luwzer-e diligent-NOM man-NOM 'a diligent man'

b. luwzer-a ma:re:m-i(s&) (nas&dabw) diligent-OBL man-GEN work '(the work) of a diligent man'

A. C. Harris (1991c: 499), however, describes the tendency in Svan to abolish agreement completely and use an uninflected variant of the attributive adjective in the oblique cases instead.

#### 7.16.3 Zan

Zan is a subbranch of Kartvelian formed by the two languages Mingrelian and Laz. The default type of adjective attribution marking in both languages is juxtaposition which occurs obligatorily in the unmarked head-final noun phrase. In the marked head-initial noun phrase, however, attributive adjectives normally agree in number and case with the head noun.

**7.16.3.0.1 Juxtaposition and head-driven agreement in Mingrelian** The two adjective attribution marking devices occurring in Zan languages are illustrated with Mingrelian examples.

- (64) Mingrelian (A. C. Harris 1991a: 361–364)
  - a. Juxtaposition
    skvam cira-en-k
    beautiful girl-PL-NAR
    'beautiful girl'
  - b. Head-driven agreement cira-en-k skvam-en-k girl-pl(-nar) beautiful-pl-nar 'BEAUTIFUL girl'<sup>21</sup>

Harris, Alice Mingrelian@M Mingrelian|) Zan languages|) Kartvelian languages|) Semitic languages|( Arabic languages Central Semitic languages Northwest Semitic languages Northwest Semitic languages|( Assyrian|( Aramaic|see

Caucasus

Mingrelian|(

### 7.17 Semitic

Semitic languages are only marginally represented in northern Eurasia. The few languages considered here belong either to the Arabic subbranch of Central Semitic or to Northwest Semitic.

Only one single type of adjective attribution marking is attested in these two branches:

Head-driven agreement.

#### 7.17.1 Northwest Semitic

Assyrian (aka Neo-Aramaic) is the only language of the northwestern branch of Semitic considered in the present survey. It is spoken in the Middle-East in north-western Iran and south-eastern Turkey, but also in adjacent areas of the Caucasus in Azerbaijan, and therefore falls into the geographic area of investigation.

<sup>&</sup>lt;sup>21</sup> Note that the case marking formative does not obligatorily occur on both constituents in the marked head-initial noun phrase in Mingrelian (A. C. Harris 1991a: 363–364).

Assyrian!Kurdistan. T.1.1.1.1 Head-driven agreement in Assyrian Constituent order inside the Krotkoff noun phrase of Assyrian is noun-adjective. Attributive adjectives agree with Georg the modified noun in gender and number. A Neo-

Aramaic dialect of Assyrian (Kurdistan) (Krotkoff 1982) (65)Kurdis-

- a. ya:la zu:ra 'small boy'
- b. bra:ta zurta 'small girl'
- c. bnu:ne zu:re 'small kids'

#### 7.17.2 Central Semitic

7.17.2.1 Arabic

tan: text. grammar,

larv@A Neo-Aramaic dialect of

Kurdistan: text. grammar,

languages|)

languages|(

languages|(

and vocabulary

Assyrian|)

Northwest Semitic

Central Semitic

Arabic

Cypriot

Maltese

Europe

Maltesel(

Borg, Albert

Marie Maltese@Maltese

Joseph

The structure of

> mixed grammar

Maltese: a study in

Aquilina,

Azzopardi-Alexander,

and vocabu-

> Cypriot Arabic (aka Kormakiti) and Maltese are two Arabic languages of the Central Semitic branch spoken on the Mediterranean islands Cyprus and Malta, and thus belong to Europe geographically.

> 7.17.2.1.1 Head-driven agreement in Maltese The basic and unmarked constituent order in Maltese is noun-adjective. A few adjectives, however, can precede the noun in an emphatic construction (Albert Borg & Azzopardi-Alexander 1996: 71).

Arabic Kormakiti|seeCyprioAdjectives show distinct forms for gender and number in accordance with the Arabic morphological features of the modified noun.

> Maltese (Aquilina 1959: 328) (66)

> > a. ra:jel sabi:ħ man beautiful:м:sg 'beautiful man'

b. mara sabi:ħa woman beautiful:F:SG 'beautiful woman'

shieħ c. nies people beautiful:PL 'beautiful people'

Optionally, the attributive adjective can additionally be marked for definiteness.

- and vocabulary@The structure a study in
- of Maltese: mixed grammar and vo-

cabulary

(67) Maltese (Aquilina 1959: 330)

il-ktieb (il-)qadi:m

DEF-book (DEF-)old

'the old book'

Even though the construction with a repeated definite marker resembles attributive nominalization it is best analyzed as agreement in the DEFINITE value of the feature SPECIES (Himmelmann 1997: 179). Himmelmann compares the construction in Maltese to Standard Arabic where similar definite (and indefinite) agreement occurs.

### 7.18 Uralic

The Uralic language family comprises the branches (roughly from West to East) Hungarian, Saamic, Finnic, Permic, Mari, Mordvin, Khanty, Mansi, Samoyedic Salminen (2007: 216–218). Except for most languages from the Samoyedic subbranch of the family, Uralic languages are all spoken in Europe. Uralic is thus one of the major families on the European linguistic map.

The constituent order inside the noun phrase is strict adjective-initial in all Uralic languages. Similar to Mongolic, Turkic and many other languages of North Asia, the prototypical adjective attribution marking device in Uralic languages is juxtaposition. This type occurs as the unmarked construction in all Uralic languages with exception of the two western branches Saamic and Finnic which have abandoned juxtaposition and developed new types.

Secondary adjective attribution marking devices are also attested in languages of the Permic and Mari (and probably also other) branches of Uralic, even though juxtaposition is used in these languages as the default strategy for adjective attribution marking.

The following five adjective attribution marking devices occur in Uralic:

- Juxtaposition
- Head-driven agreement
- Anti-construct state marking
- Appositive head-driven agreement
- Attributive nominalization.

Aquilina, Joseph The structure ofMaltese: a study in mixed grammar and vocabulary@The structure of Maltese: a study in mixed grammar and vocabulary definite marking Himmelmann. Nikolaus Deiktikon. Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur@Deiktik Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur Arabic Maltese|) Arabic languages|) Central Semitic languages|) Semitic languages|) Uralic languages|(

Hungarian Saamic

Finnic

Permic

Khanty

Mari

languages

languages

languages

languages Mordvin languages Fnets

Tundra Enets Forest Enetsl(

Forest Enets|) Enets

languages|)

Nenets languages|(

Selkup languages|(

Nganasan|(

Forest Nenets

Tundra Nenets

Northern Selkup Central

Selkup Southern

Selkup Forest Enets Hungarian

Nenets languages|)

Selkup languages|) Nganasan|)

Samovedic languages|) Hungarian|(

languages|(

# 7.18.1 Samoyedic

#### 7.18.1.1 Enets

The two languages Forest and Tundra Enets constitute the Enets branch of Uralic.

7.18.1.1.1 Juxtaposition in Forest Enets In both Enets languages, attributive adjectives are juxtaposed to the modified noun by default.

- (68)Forest Enets (Florian Siegl, pc)
  - a. aga to large lake(NOM:SG) 'a/the large lake'
  - b. *aga* to-? large lake-nom:pl 'large lakes'
  - c. aga to-xun large lake-loc:sg 'in a/the large lake'
  - d. aga to-xin large lake-loc:pl 'in a/the large lakes'

# 7.18.1.2 Nenets, Selkup, Nganasan

The two languages Forest and Tundra Nenets constitute the Nenets subbranch of Samoyedic. The Selkup branch consists of the three three very closely related languages Northern, Central, and Southern Selkup. The Nganasan branch consists only of one language: Nganasan proper.

Attributive adjectives in Nganasan, the Nenets and Selkup languages are juxtaposed to the modified noun by default, similar to examples (7.18.1.1.1) from Forest Enets and (7.18.2.0.1) from Hungarian.

# 7.18.2 Hungarian

The Hungarian branch of Uralic consists only of one language, i.e. Hungarian proper.<sup>22</sup>

<sup>&</sup>lt;sup>22</sup> The outlying dialect Csángó Hungarian spoken in Romania is not considered as distinct language here.

7.18.2.0.1 Juxtaposition in Hungarian In Hungarian, attributive adjectives are juxtaposed to the modified noun by default.

- (69) Hungarian (Robert A. Hall 1938: 41)
  - a. *a fekete szem*DEF black eye

    'the black eye'
  - b. a **fekete** szem-ek

    DEF black eye-PL

    'the black eyes'
  - c. *a fekete* szem-ek-nek

    DEF black eye-PL-DAT

    'to the black eyes'

### 7.18.3 Khanty, Mansi, Mari, Mordvin

The two languages Northern and Eastern Khanty constitute the Khanty branch of Uralic. A third language, Southern Khanty, is extinct (Salminen 2007: 231). The Mansi branch of Uralic consists of the two very closely related Northern and Eastern Mansi. Two other Mansi languages, Western and Southern Mansi, are extinct (Salminen 2007: 231). The Mari branch of Uralic is formed by Western Mari (aka Hill Mari) and Eastern Mari (aka Meadow Mari) (Salminen 2007: 231). The Mordvin branch of Uralic is formed by the two closely related languages Erzya and Moksha Mordvin (Salminen 2007: 231).

Attributive adjectives in all Khanty, Mansi, Mari and Mordvin languages are juxtaposed to the modified noun by default, similar to examples (7.18.1.1.1) from Forest Enets and (7.18.2.0.1) from Hungarian.

#### 7.18.4 Permic

All three Permic languages Komi-Permyak, Komi-Zyrian and Udmurt exhibit two distinct types of adjective attribution marking. The default type is juxtaposition, which is the inherited Proto-Uralic type (Décsy 1990: 80–81). However, an attributive nominalization device is used in contrastive focus constructions as a second type.

Hall, Jr. An Analytical Grammar of the Hungarian Language@An Analytical Grammar of the Hungarian Language Hungarian|) Khantv languages|( Mansi languages|( Mari languages|( Mordvin languages|( Northern Khanty Eastern Khanty Southern Khanty Salminen. Tapani Europe and North Asia@Europ and North Asia Northern Mansi Eastern Mansi Western Mansi Southern Mansi Salminen. Tapani Europe and North Asia@Europ and North Asia Western

Robert A.

Mari Hill

Meadow

Mari|seeWe Mari Eastern Mari

Mari|seeEas Mari Salminen,

Lytkin, Vasilij I. Komi7.18.4.0.1 Juxtaposition in Komi-Zyrian The unmarked sequence of adjective and noun, i.e. juxtaposition, is illustrated by an example from Komi-Zyrian.

Zyrjanskij jazyk@*Komi-*(70)

) Komi-Zyrian (Lytkin 1966b: 287)

Zyrjanskij jazyk

a. *bur* mort good person

Zyrian|) Udmurt|(

Komi-

'good person'

focus marking Winkler, b. *bur mort-jas* good person-PL

Eberhard Udmurt@*Udmurt*  'good people'

Udmurt@*Udmurt* modification marking

7.18.4.0.2 Attributive nominalization + appositive head-driven agreement in Udmurt In Udmurt, an attributive nominalizer homophonous with the 3<sup>rd</sup> person possessive inflection marker is regularly used as an adjective attribution marking device in contrastive focus constructions. Historically, both formatives are similar (cf. § 9.1.1.1 in Part IV).

- (71) Udmurt (Winkler 2001)
  - a. Juxtaposition (default)
    - i. **badǯym** gurt big house
      - 'large house'

ii. **badžym** gurt-jos-y big house-PL-ILL

'to large house/s'

- b. Attributive nominalization (contrastive focus)
  - i. badžym-ėz gurt big-ATTR house 'LARGE house'
  - ii. **badǯym-jos-a-**z gurt-jos-y big-pl-ill-ATTR house-pl-ill 'to LARGE house/s'

An adjective equipped with the nominalizer is also marked with (agreeing) case and number suffixes indicating that the nominalized adjective occurs in an attributive appositional construction. Note that the nominalizer also serves as the licenser of adjectival (and other) modification in headless noun phrases.

### (72) Nominalization in UDMURT (Winkler 2001)

- a. Adjective badǯym-ėz big-ATTR 'the big one'
- b. Demonstrative *taiz-ėz*DEM:DIST-ATTR

'that one over there'

c. Possessor noun phrase Ivan-len-ėz
 Ivan-GEN-ATTR
 'that one of Ivan's'

## (73) Contrastive focused attribute

a. Demonstrative taiz-ėz gurt
 DEM:DIST-ATTR house
 'THAT (particular) house over there'

b. Possessor noun phrase
 Ivan-len-ėz gurt
 Ivan-GEN-ATTR house
 'IVAN'S house (and not someone else's)'

Examples (73 through 73a) show that attributive nominalization in Udmurt is a true attribution marking device which is polyfunctional and not restricted to headless noun phrases.

Note that the attributive article is normally labeled "determinative suffix" (or in similar terms) in the Udmurt (and Uralic) grammatical tradition. This label probably originates from the formative's function as a "quasi-definite marker". But "determinative" inflection is obligatory only in the case of differential object marking with the marked versus the unmarked accusative. Note also that the "definite" (marked) accusative suffix, again, is historically identical with the possessive suffix 3<sup>rd</sup> person.

(74) Differential object marking in Udmurt (Winkler 2001: 22)

Winkler,
Eberhard
Udmurt@Udmut
definite
marking|(
focus
marking|(
definite
marking
Winkler,
Eberhard
Udmurt@Udmut

Winkler, Eberhard Udmurt@*Udmurt* Russian

- a. mon kniga lidž-i
  1SG book(ACC) read-1SG.PST
  'I have read a book.'
- b. mon (ta) kniga-jez lidź-i
   1sG this book-ACC read-1sG.PST
   'I have read the (i.e. 'this certain') book.'

Note even that in these and similar examples, the concept of definiteness does not always coincide with the use of the "(in-)definite accusative" marking. According to Winkler (2001: 21) "the marked accusative is used if the object itself is focused, whereas the unmarked is employed if the action itself bears the logical accent." Accordingly, even such occurrences of the "determinative suffix" thus resemble focus marking rather than definiteness marking.

Even though contrastive focus inflection of nouns is the result of purely morphological (morpho-semantic) assignment, contrastive focus inflection of adjectives can only be analyzed as a morpho-syntactic feature. This is evidenced by the agreement pattern: Whereas adjectives in non-contrasted (unmarked) constructions are simply juxtaposed to the head noun, contrastive focused adjectives normally show head-driven number agreement. <sup>23</sup> Agreement marking on the adjective is clearly assigned by syntax, the head noun being the agreement trigger and the attributive adjective (in contrastive focus) being the agreement target.

Attributive marking in contrastive focus constructions in Udmurt (and the other Permic languages) is similar in theory to prototypical anti-construct state agreement marking in languages like Russian, with regard to both synchrony and diachrony. The construction is still analyzed as attributive nominalization because the agreement marking on the nominalized attribute is the indirect result of the attributive appositional construction and the nominalizing and agreement formatives are not fused synchronically.

7.18.4.0.3 Appositive head-driven agreement in Udmurt Note, however, that in Udmurt, number agreement also sometimes occurs without the contrastive focus marker.

<sup>&</sup>lt;sup>23</sup> The different order of morphemes in certain members of contrastive focus inflection paradigms (i.e. number-, case-, and (former) possessive suffix) as compared to the historically similar "regular" possessive inflection (Winkler 2001: 32) is not of concern here. This phenomenon does, however, provide evidence for the analysis of the contrastive focus marker of adjectives and the possessive marker of nouns as two different formatives from a synchronic point of view.

- (75) Head-driven plural agreement in Udmurt
  - a. *badžym-eš gurt-jos* big-pl house-pl

'LARGE houses' (Winkler 2001: 40)

b. *paśkit-eś uram-jos* wide-PL street-PL

'WIDE streets' (Csúcs 1990: 63)

According to Csúcs (1990: 63) head-driven agreement marking in constructions without the "determinative suffix" is the result of analogy. The fact that their use is still restricted to contrastive focus constructions, and is therefore an appositional attribution marking device, is crucial for the analysis as appositive head-driven agreement (as opposed to true head-driven agreement).

### 7.18.5 Finnic

The Finnic (aka Fennic or Baltic Finnic) branch of Uralic comprises the following languages: Livonian, Estonian, Votic, Finnish, Ingrian, Karelian, Lude and Veps.<sup>24</sup>

The Finnic branch is exceptional among Uralic in that all of its member languages regularly exhibit head-driven agreement as the regular type of adjective attribution marking.

**7.18.5.0.1 Head-driven agreement in Finnish** The morphological features assigned to the head noun in Finnish are passed on to its adjectival (and other) modifiers. Finnish adjectives thus show a prototypical instance of head-driven agreement.

- (76) Finnish (personal knowledge)
  - a. *iso* talobig house'large house'
  - b. *iso-t* talo-t big-PL house-PL 'large houses'

Udmurt@Udmi Csúcs. Sándor Chrestomathia Votiacica@Chi Votiacica Csúcs. Sándor Chrestomathia Votiacica@Chi Votiacica focus marking|) definite marking|) Udmurt|) Permic languages|) Finnic languages|( Fennic languages|seeF languages **Baltic Finnic** languages|seeF languages Livonian Estonian Votic Finnish Ingrian Karelian Lude Veps

Finnish|(

Winkler.

Eberhard

<sup>&</sup>lt;sup>24</sup> The Võro variety of Estonian, the Meänkieli and Kveeni varieties of Finnish, and the Olonets variety of Karelian are not considered distinct language here.

Finnish|)
Finnic
languages|)
Saamic
languages|(
Europe
East Saamic
languages|(
Ter Saami
Kildin Saami
Skolt Saami
Inari Saami
Skolt Saami|(
Rießler,
Michael

samiskans attributiva adjektivform@On samiskans attributiva adjektivform

- c. iso-i-ssa talo-i-ssa big-pl-iness house-pl-iness 'in large houses'
- d. iso (\*iso-ni) talo-ni
   big big-Poss:1sG house-Poss:1sG
   'my large house'

Note, however, that not all morphological features assign their values to the attributive adjective in Finnish. Whereas number (76a) and case marking (76c) are assigned to the adjective, possessive marking (76c) is not.

#### 7.18.6 Saamic

Saamic languages are spoken on the Scandinavian peninsula in north-central Norway and Sweden as well as in northern Finland and on the Kola peninsula in northwestern-most Russia. Saamic branches further into an eastern and a western subgroup.

The Saamic languages are exceptional among Uralic and the languages of most other families of Europe in that they exhibit an invariable attributive suffix on adjectives. In § 4.5.2 of Part II, this noun phrase type was characterized as *dependent marked attributive state*; the respective formative is labeled *anti-construct state marker*. Note, however that the regular use of this inflectional category of adjectives varies across different Saamic languages.

#### 7.18.6.1 East Saamic

The four living East Saamic languages Ter, Kildin, Skolt and Inari Saami are spoken on the Kola peninsula in northwestern-most Russia and in the adjacent parts of northern Finland.

7.18.6.1.1 Anti-construct state in Skolt Saami Prototypically, the anti-construct state marking suffix in Saamic languages has the shape  $-(V)s \sim -(V)s$ . The suffix is found in all Saamic languages (Rießler 2006b; see also § 9.2 where the origin of attributive state marking in Saamic will be dealt with in detail).

In Skolt Saami, the prototypical pairs of predicative and attributive adjective forms are equipped with the suffixes  $-(\hat{a})d$  PRED and -(e)s' ATTR respectively. Whereas the suffix  $-\hat{a}d$  in example (77) marks the predicative state of the adjective,

<sup>&</sup>lt;sup>25</sup> The palatalized variant occurs in the East-Saamic languages Ter, Skolt, and Kildin.

<sup>&</sup>lt;sup>26</sup> The Notozero dialect of Skolt Saami is spoken on the Kola Peninsula in Russia. Note that the

the suffix -es' is an attributive state marker. The examples (77a) show that the formative is invariable and does not alter its form in a plural or case marked noun phrase.

Skolt Saami!Noto predicative marking adjectivizing

## (77) Skolt Saami (Notozero) (personal knowledge)

a. Predicative

taht niejdd lij moočč-âd this girl is beautiful-PRED 'this girl is beautiful'

#### b. Attributive

- i. taht lij moožž'-es' niejdd this is beautiful-ATTR girl 'this is a beautiful girl'
- ii. tegke liev moožž'-es' niejjd this are beautiful-ATTR girl\PL 'these are beautiful girls'
- iii. **moožž'-es'** niejjd-e põrtt beautiful-ATTR girl-GEN.PL house 'the house of the beautiful girls'

In all Saamic languages, attributive (and predicative) state marking of adjectives is complex and determined by certain lexically defined classes and subclasses of adjectives. Many adjectives are marked only for attributive state but show the unmarked stem form in the predicative form. Consider for instance neurr PRED versus  $n\bar{e}ur$ -es ATTR 'bad'. In addition, in the predicative forms of several adjectives, suffixes other than  $-(\hat{a})d$  also occur. Finally, there are a few adjectives which also uses the attributive suffix in their predicative forms.

A general tendency is noticeable in all Saamic languages: the differentiated morphological marking of predicative and attributive adjectives is being abolished in favor of using the pure or extended stem forms in both syntactic positions. As a result, attributive state marking seems to be in dissolution. Several classes of adjectives, however, do not seem to be as affected by the functional spread of the juxtapositional type. In Skolt Saami, the anti-construct state marker is even used productively in several derived adjective classes, such as with the abessive adjectivizer.

orthographical transcription of the Skolt Saami examples is my own and does not follow the official orthography adapted for the major varieties of Skolt Saami in Finland.

- Senkevič-Gudkova, Viktorija V
- V.
  O differencjal'nych
  morfologičeskich
  priznakach
  imeni
  prilagatel'nogo v

saamskom

- jazyke (na materiale notozerskogo dialekta)@O differencjal'nych morfologičeskich priznakach imeni prilagatel'nogo v saamskom
- Skolt

Saami!Notoze#0.18.6.2 West Saamic

Skolt Saami|)
East Saamic
languages|)
West Saamic
languages|(

jazyke (na

materiale

notozerskogo

dialekta)

Northern Saami Lule Saami Pite Saami Ume Saami Southern Saami East Saamic

Ume Saami Southern Saami East Saamic languages Northern Saamil(

- (78) Derived adjectives in Skolt Saami (Senkevič-Gudkova 1968: 279)
  - a. *pārn'-eht'em'-es'* nezzan child-ABESS.ADJZ-ATTR woman
    - '(a) woman without children'
  - taht nezzan lij pārn'-eht'em
     this woman is child-ABESS.ADJZ
     'this woman is without children'

7.18.6.1.2 Juxtaposition in Skolt Saami Whereas dependent marked attributive state is the prototypical type of adjective attribution marking in Skolt (as well as in the other Saamic languages), certain adjectives are never inflected in their attributive form, one instance is *nuorr* 'young'.

- (79) Skolt Saami (Notozero) (personal knowledge)
  - a. taht lij nuorr niejdd this is young girl 'this is a beautiful girl'
  - b. tegke liev nuorr niejjd this are young girl\PL 'these are beautiful girls'

The noun phrase type in which 'young' and other members of this adjectival class occur must be characterized as juxtaposition. Hence, Skolt Saami exhibits a second, minor adjective attribution marking device in addition to attributive state marking.

The five West Saamic languages are Northern, Lule, Pite, Ume and Southern Saami. They are spoken in northern Norway and Sweden and in the adjacent parts of northern Finland.

The default adjective attribution marking device in all West Saamic languages is anti-construct state marking, just as in East Saamic. Only a few adjectives are attributed by means of other devices.

7.18.6.2.1 Head-driven agreement in Northern Saami The default attribution device in all Saamic languages is anti-construct state marking. A few adjectives, however, often show partial agreement with the head noun in number and case.

In Northern Saami, the adjective 'good' and sometimes also the adjective 'bad' follow this type.

## (80) Northern Saami (Nickel 1996: 83)

a.	buorre niibi 'good knive'	[good(nom:sg) knive(nom:sg)]
b.	buori niibbi	[good\GEN:SG knive\GEN:SG]
c.	buori niibá-i	[good\GEN:sG knive-ILL:sG]
d.	buori niibi-s	[good\GEN:SG knive-loc:SG]
e.	buri-in niibbi-in	[good-com:sg knive-com:sg]
f.	buori-t niibbi-t	[good-nom:pl knive-nom:pl]
g.	buori-id niibbi-id	[good-gen:pl knive-gen:pl]
h.	buori-id(~ide) niibbi-ide	[good-gen:pl(~ill:pl) knive-ill:pl]
i.	buori-in niibbiin	[good\loc:pl knive-loc:pl]
j.	buori-id(~iguin) niibbi-iguin	[good-gen:pl(~com:pl) knive-com:pl]
k.	buorri-n niibi-n	[good-ess knive-ess]

# 7.19 Indo-European

Indo-European is among the world's language families with considerably large geographic distributions. Most of the European languages belong to this family. But Indo-European languages are spoken as far East as on the South Asian subcontinent. The family can be divided into nine branches Salminen (2007: 218), all of which are represented in the present investigation.

The prototypical adjective attribution marking type in Indo-European is head-driven agreement. This type is also reconstructed for Proto-Indo-European (Décsy 1998a; Watkins 1998). Due to the development of certain secondary types of adjective attribution marking devices, however, divergence is relatively high inside the Indo-European family. Furthermore, in several branches of Indo-European, head-driven agreement has been lost in favor of various other types of attribution marking (as will be shown in Part IV).

Among the languages of northern Eurasia, the Indo-European family exhibits the highest diversity with regard to the number of possible adjective attribution marking devices. The following types are attested in different Indo-European languages:

Juxtaposition

Nickel, Klaus Peter Samisk grammatikk@Sa grammatikk Northern Saami|) West Saamic languages|) languages|) Uralic languages|) Indo-European languages|( South Asia Salminen. Tapani Europe and North Asia@Europ and North

> Asia Proto-Indo-European Décsy, Gyula

The Indo-European protolanguage: a computational reconstruction@The Indo-European protolanguage: a computational reconstruction

Watkins, Calvert

Proto-Indo-European: comparison and reconstruction@Proto-Indo-European: comparison and

> reconstruction

- Albanian languages|(
- Albanian Arvanitika Albanian
- definite marking
- species|seedefinite marking Himmelmann.
- Nikolaus P.
- Deiktikon, Artikel, Nominalphrase: zur
  - Emergenz syntaktischer Struk-
  - tur@Deiktikon,
    Artikel,
    Nomi- 7.
    nalphrase: bo

Emergenz

syntaktischer Struktur predicative marking

- Head-driven agreement
- Construct-state marking
- Anti-construct state marking
- Anti-construct state agreement marking
- Attributive nominalization
- · Incorporation.

## 7.19.1 Albanian

The Albanian branch of Indo-European is represented by the two languages Standard Albanian and Arvanitika.

7.19.1.0.1 Attributive nominalization + head-driven agreement in Albanian Inboth Albanian languages, adjectives normally follow the head noun and are marked with an article which links its host to the modified noun. Additionally, adjectives are equipped with agreement inflection suffixes co-referencing the NUMBER-, GENDER-, CASE- and SPECIES values of the head noun. The language thus exhibits an attributive marking device which is a combination of a phonologically free article (historically an attributive nominalizer) and agreement suffixes.

- (81) Albanian (examples from Himmelmann 1997: 166–167)
  - a. *një shok* **i mirë**one:M friend:INDEF:M ATTR:NOM.SG.M good:NOM.SG.M
    'one good friend'

  - c. *shok=un* **e** *mirë* friend=DEF:ACC.SG.M ATTR:ACC.SG.M good:ACC.SG.M 'the good friend (ACC)'



mary source

Note that the circum-positioned agreement marker also occurs with predicative adjectives.

Since adjectives in attributive and predicative position are both equipped with the circumfixed agreement marker the language seems to belong simply to the head-driven agreement type. However, true predicative adjectives are not found in Albanian. Instead, headless attributive adjectives are used in predicative position. This is evidenced by case agreement of predicates.

### (83) Albanian (Demiraj 1998)

- a. *Agimi u kthye i dëshpëruar*Agimi(NOM.SG.M) returned ATTR:NOM.SG.M sorrowful:NOM.SG.M
  'Agim returned sorrowfully'
- b. Agimi(ACC.SG.M) e pashtë të dëshpëruar
  Agimi I saw ATTR:ACC.SG.M sorrowful:ACC.SG.M
  'I saw Agimi sorrowful'

On the other hand, the similar agreement behavior of attributive and predicative adjectives seems to indicate the absence of specific attributive morpho-syntactic marking. However, the attributive article is polyfunctional and can also link other adnominal attributes in addition to adjectives to the modified noun. The analysis of adjective attribution marking in Albanian as belonging to the attributive nominalization type (in combination with head-driven agreement) thus seems justified.

## (84) Albanian (Demiraj 1998)

- a. roman-i i tretë novel-def:Nom.sg.m Attr:Nom.sg.m third 'the third novel'
- b. libr-i i nxënës-it book(m)-def:nom.sg.m attr:nom.sg.m pupil-def:gen/dat.sg 'the pupil's book'

7.19.1.0.2 Head-driven agreement in Albanian Note, however, that the occurrence of the attributive article is restricted to a lexically defined subclass of adjectives in Albanian: Only the so-called "article adjectives" are regularly marked

Demiraj, Shaban Albanian@Alba predicative marking Demiraj, Shaban Albanian@Alba predicative marking Demiraj, Shaban Albanian@Alba

Himmelmann, with the article. Other adjectives are marked with head-driven agreement affixes Nikolaus alone. Deiktikon. Albanian (examples from Himmelmann 1997: 167) (85)Artikel. Nomia. shok=ubesnik nalphrase: zur friend-DEF:NOM.SG.M true:NOM.SG.M Emergenz svntaktis-'the faithful friend' cher b. nië shok hesnik Struktur@Deiktikon, one:M friend:INDEF:M true:NOM.SG.M Artikel, Nomi-'one faithful friend' nalphrase: zur Again, predicative adjectives behave similar to attributive adjectives. Emergenz syntaktischer (86)Albanian (Demiraj 1998) Struktur predicative marking a. Predicative agreement of "article adjectives" Demirai. shok=uështë i bukur Shaban friend-def:nom.sg.m be.3sg.prs attr:nom.sg.m pretty:nom.sg.m Albanian@Albanian Albanian|) 'the friend is pretty' Arvanitika|( b. Predicative agreement of "simple" adjectives Albanian Sasse, Hansështë shok=ubesnik Jürgen friend-DEF:NOM.SG.M be.3SG.PRS true:NOM.SG.M Arvanitika: die alban-'the friend is faithful' ischen Sprachreste in Griechen- 7.19.1.0.3 Attributive nominalization + head-driven agreement in Arvanitika land@*Arvanitika*: Ädjective attribution marking in Arvanitika is very similar to Standard Albanian. die albanischen One adjective class shows head-driven agreement marking by means of suffixes. *Sprachreste* The second adjective class is cognate with the so-called "article adjectives" in in Griechen-Albanian and exhibits attributive nominalization. land (87)Arvanitika (Sasse 1991: 303) diáλə i-mírə a. ná one:M boy:INDEF.M M-good:M 'one good boy' b. djásí i-mírə boy:def.m m-good:m 'the good boy'

Unlike in Albanian, however, the preposed attributive nominalizer in Arvanitika is a phonologically bound formative. This is evidenced by its phonological behavior in adjective compounds, where the marker sticks to the adjective stem.

- (88) Arvanitika (Sasse 1991: 304)
  - a. *miso-i-ngrэ́nə / miso-tə-ngrэ́nə* half-м-mounted:м half-ACC.м-mounted:м 'half-mounted'
  - b. \* i-miso-ngrána / ta-miso-ngrána

Example (7.19.1.0.3) shows that the compound degree word miso- does not move between the adjective stem and the attributive nominalizer. Consequently, the nominalizer can be characterized as a clitic (because it is phonologically bound but morpho-syntactically free) which always attaches on a fixed position, i.e. on the left of the adjective stem.  $^{27}$ 

#### 7.19.2 Armenian

Armenian is a branch consisting only of two closely related varieties, of which only the Eastern Armenian standard language is considered here.

**7.19.2.0.1 Juxtaposition in Eastern Armenian** In the unmarked construction, attributive adjectives are unmarked and precede the modified noun.

- (89) Eastern Armenian (Ajello 1998)
  - a. bari gorcgood work(NOM.SG)'good work'
  - b. **bari** gorc-s good work-ACC.PL 'good work (ACC.PL)'

7.19.2.0.2 Head-driven agreement in Armenian A few monosyllabic adjectives show head-driven agreement marking in Armenian.

In theory, however, all adjectives in an emphatic construction can occur in a noun phrase with reversed constituent order. In "emphatic position" Ajello (1998: 224), attributive adjectives show agreement in case and number as a rule.

Jürgen Arvanitika: die albanischen Sprachreste in Griechenland@Arvai die albanischen Sprachreste Griechenland clitic Arvanitika|) Albanian languages|) Armenian languages|( Eastern Armenian|( Ajello, Roberto Armenian@Ari Ajello, Roberto Armenian@Ari

marking

Albanian

Sasse, Hans-

<sup>&</sup>lt;sup>27</sup> Note, however, that the agreement categories CASE/NUMBER/GENDER are merged into several differentiated morphemes in the suffixed part of the circumfix Sasse (1991: 124–128).

Ajello, (90)Roberto Armenian@Armenian Eastern Armenian|)

Eastern Armenian (Ajello 1998: 224) bazum gorc-s bari-s much work-ACC.PL good-ACC.PL

'much GOOD work (acc)'

Armenian languages|) Indo-Iranian

## 7.19.3 Indo-Iranian

Aryan|seeIndo-Iranian languages Iranian

languages|(

languages Indo-Arvan languages

Middle East South Asia Indo-Arvan languages|(

Romani languages|(

Indic|seeIndo-Arvan languages South Asia

Parva Inner Asia Masica, Colin P.

The Indo-

Aryan languages@The Indo-Aryan languages

Europe Vlax Romani languages

Balkan Romani languages Central Romani

languages North Romani

languages Halwachs, Dieter W.

Wogg,

Michael Burgenland-

Komani@Burgenland-

Romani Masica, Colin

P. 138 The Indo-

> Aryan languages@The

Draft of Monday 21st March, 2016, 16:59

Indo-Iranian (aka Aryan) is a major branch within Indo-European. But only a few Indo-Iranian languages belonging to the Iranian and Indo-Aryan subbranches are spoken in northern Eurasia and thus considered here. Most other Indo-Iranian languages are spoken in the Middle East and in South Asia and hence outside the investigated geographic area.

# 7.19.3.1 Indo-Aryan

Indo-Aryan (aka Indic) is a large subbranch of Indo-Iranian, most member languages of which are spoken on the South Asian subcontinent. Outlier languages, spoken in northern Eurasia include Parya, a language which was recently discovered in Tajikistan in Inner Asia Masica (1991: 22), and the group of Romani languages. Several varieties of Romani are spoken all over Europe. Some of them are not mutually intelligible. Rather than being one single language, Romani is thus a group of languages which comprise at least the four subbranches Vlax Romani, Balkan Romani, Central Romani and North Romani with several subvarieties in each of them (Halwachs & Wogg 2002: 2-3).

The default type of adjective attribution marking in Indo-Aryan languages is head-driven agreement in noun phrases with head-final constituent order Masica (1991: 369). Agreement features in the Romani languages are GENDER and NUMBER, and in most varieties also CASE. The unmarked constituent order in all varieties of Romani is adjective-noun.

7.19.3.1.1 Head-driven agreement in Burgenland Romani In the Burgenland variety of Romani adjectives normally show agreement in gender, number and also in case with the head noun. Case agreement, however, can be characterized as defective since all attributive adjectives preceding oblique cases have one similar oblique form.

(91)Burgenland Romani (Halwachs & Wogg 2002: 22–23) a. bar-o phral
big-NOM:M.SG brother(M)
'big brother'
b. bar-i phen
big-NOM:F.SG sister(F)

'big sister'

- 7.19.3.1.2 Juxtaposition in Burgenland Romani A minor lexically defined subclass of adjectives in Burgenland Romani is indeclinable and juxtaposed to the head noun.
- (92) Burgenland Romani (Halwachs & Wogg 2002: 22-23)
  - a. schukar phral beautiful brother(M)'beautiful brother'
  - b. schukar phen beautiful sister(F)'beautiful sister'
- 7.19.3.1.3 Attributive nominalization in Vlax Romani Hancock (1993) describes the use of a "repeated definite article" in contrastive focus constructions in Vlax Romani.
- (93) Vlax Romani (Hancock 1993: 30)
  - a. Head-driven agreement (unmarked construction)
    - *baro* rakloDEF big boy'the big boy'
  - b. Attributive nominalization (emphatic construction)
    - o raklo o baro
      DEF boy ATTR big
      'the BIG boy'

#### 7.19.3.2 Iranian

The second subbranch of Indo-Iranian is formed by Iranian languages, only a few of which are spoken in northern Eurasia.

Dieter W. Wogg, Michael Burgenland-Řomani@Bi Romani Burgenland Komani|) Hancock, Ian A handbook of Vlax Romani@A handbook of Vlax Řomani definite marking focus marking Hancock, Ian A handbook of Vlax Romani@A handbook

Halwachs,

Romani languages|) Indo-Aryan languages|) Iranian

languages|(

of Vlax Romani

Ezafe|seeconstruct A well-known characteristic of noun phrase structure in Iranian languages marking is the occurrence of the Ezafe construct marking which licenses the attribution construct

marking of adjectives (and other syntactic classes of modifiers). The Iranian languages Northern surveyed in the present investigation, however, exhibit some diversity in this Kurdish

Kurmanii|seeNortespect. Attributive construct state marking occurs regularly only in the western Kurdish Iranian languages Northern Kurdish (aka Kurmanji, Kirmancî) and Tajik.

KirmancîlseeNorthern

Kurdish

Herbert

H.

Taiik

grammar@A

short

Tajik

Tajik|)

Northern Talysh|(

Schulze,

Wolfgang Northern

TalyshNorthern

Talysh|) Ossetic|(

Abaev, Vasilii

A grammati-

cal sketch of Os-

setic@A

grammatical sketch

of Ossetic

A short sketch of

7.19.3.2.1 Attributive construct state in Tajik Tajik follows the Iranian proto-Taiik Tajik|( type and exhibits a head-marking construct state marking suffix. Rastorgueva,

Vera S. Tajik (Rastorgueva & Paper 1963) Paper,

- a. duxtar-i xušrūj girl-ATTR beautiful 'a pretty girl'
- b. duxtar-on-i xušrūj girl-PL-ATTR beautiful sketch of 'pretty girls' grammar

7.19.3.2.2 Anti-construct in Northern Talysh The constituent order in noun phrases in Northern Talysh is adjective-noun. The language is exceptional among the Iranian (and Indo-European) languages considered here in exhibiting dependent marking anti-construct state instead of head-marking construct state as the Talysh@Nort *default type of adjective attribution marking.* 

- (95)Northern Talysh (Schulze 2000: 27)
  - a. āğəlmānd-a odam-on clever-ATTR man-PL 'clever people'
  - b. *vol-a* big-ATTR tree '(a) big tree'
- 7.19.3.2.3 Juxtaposition in Ossetic Ossetic is another exceptional language among Iranian because the language exhibits juxtaposition as the default type of adjective attribution marking.
- (96) Ossetic (Abaev 1964: 12)

- a. Simple noun færét / féræt
   ax ax\DEF
   'axe' / 'the axe'
- b. Noun phrase with adjectival modifier cyrg'-féræt / cýrg'-færæt sharp-axe sharp-ax\DEF 'sharp axe' / 'the sharp axe'

Stress patterns provide evidence for the analysis of Ossetic noun phrase structure as phonological compounds. According to Abaev (1964: 10), "syntactically connected word groups" (such as noun phrases) are marked by single stress. Note that stress, moving from the second to the first syllable, marks definiteness in Ossetic (Abaev 1964: 12). There is, however, no evidence that the compounded adjectives are syntactically incorporated.

Note that attributive construct state marking which is cognate with the Ezafe in other Iranian languages occurs in Ossetic as well, but its use is restricted to certain emphatic constructions Thordarson (1989: 467).

## 7.19.4 Baltic

#### 7.19.4.1 East Baltic

The Baltic languages form a small branch among Indo-European and are represented in the present survey only by the two languages Lithuanian and Latvian. Both belong to the eastern subbranch of Baltic. All languages from the former western branch of Baltic are extinct.

Two types of adjective attribution marking occur in modern Baltic languages: head-driven agreement and anti-construct state agreement. In the descriptive literature on Baltic languages, however, these two noun phrase types are normally not ascribed to syntax but as different agreement declension types determined by the definite or indefinite semantics of the noun phrase.

In Part II (§ 4.5.2.2) I have already argued extensively in favor of a syntactic differentiation of these two agreement marking devices in Baltic (as well as in various Slavic) languages. Consequently and for the sake of completeness, examples of head-driven agreement marking (the so-called indefinite declension) and anti-construct state agreement marking (the so-called definite declension) in Latvian and Lithuanian will be repeated in the following paragraphs.

A grammatical sketch of Ossetic@A grammatical sketch of Ossetic Abaev, Vasilij A grammatical sketch of Ossetic@A grammatical sketch of Ossetic emphasis|seefo marking focus marking Thordarson. Fridrik Ossetic@Osseti Ossetic|) Iranian languages|) Indo-Iranian languages|) Baltic languages|( East Baltic languages|( Lithuanian Latvian West Baltic languages marking!def Slavic languages Latvian Lithuanian Latvian|( Lithuanian|(

Abaev, Vasilij

```
Bechert.
              7.19.4.1.1 Head-driven agreement in Latvian and Lithuanian Adjectives mod-
  Johannes
              ifying indefinite nouns show head-driven agreement in Latvian and Lithuanian.
Definiteness
  and
              (97)
                     a. Latvian (dahl2007)
  article
  sys-
                         liel-a
                                       māia
  tems@Definiteness
                         big-F.NOM.SG house(F)
  and
  article
                         'a large house'
  systems
Bechert.
                     b. Lithuanian (Bechert 1993: 13)
  Johannes
                                         profèsorius
Definiteness
  and
                         good-Nom.sg.m professor(m)
  article
                         'a good professor'
  sys-
  tems@Definiteness
  and
  article
              7.19.4.1.2 Anti-construct state agreement in Latvian and Lithuanian
  systems
              tives modifying definite nouns show anti-construct state agreement marking in
Latvian|)
Lithuanian|)
              Latvian and Lithuanian.
species
  marking!defin(1981)ess|a. Latvian (dahl2007)
East Baltic
                         liel-ā
                                            māja
  languages|)
                         big-ATTR:F.NOM.SG house(F)
  languages|)
                        'the large house'
Celtic
                     b. Lithuanian (Bechert 1993: 13)
  languages|(
Gaelic
                         gēr-àsis
                                              profèsorius
  languages
                         good-ATTR:NOM.SG.M professor(M)
Brittonic
  languages
                        'the good professor'
Proto-Celtic
Gaelic
  languages|(
              7.19.5 Celtic
Scots Gaelic|(
Scottish
  Gaelic|seeScoffhe modern Celtic languages belong to two main branches: Gaelic and Brit-
  Gaelic
              tonic. By and large, all Celtic languages have preserved the Proto-Celtic noun
Thomson.
              phrase structure, including head-driven agreement marking on attributive adjec-
  Robert L.
The Scottish
              tives and noun-adjective constituent order.
  Gaelic
  lan-
  guage@The
              7.19.5.1 Gaelic
  Scottish
  Gaelic
              7.19.5.1.1 Head-driven agreement in Scots Gaelic In Scots Gaelic (aka Scot-
  language
              tish Gaelic) adjectives (as well as other modifiers) show agreement in GENDER,
              NUMBER, and CASE.
              (99)
                     Scots Gaelic (Thomson 1992b: 201)
```

- a. an cù dubh

  DEF:M dog(M) black\M

  'the black dog'
- b. a' chaora dhubh
   DEF:F sheep(F) black\F
   'the black sheep'

Similar agreement patterns as in Scots Gaelic, with non-linear marking by means of word-initial permutation, are found in Irish Dochartaigh (1992: 73, 97). In the third Gaelic language Manx, however, most adjectives are used in an invariable form. Only a certain subclass of monosyllabic adjectives have preserved number agreement in Manx Thomson (1992a: 127).

#### 7.19.5.2 Brittonic

The tendency towards a loss of agreement inflection of adjectives is also noticeable in the languages of the Brittonic branch of Celtic. Adjective inflection seems to be most intact in Welsh with preserved gender and number agreement Thomas (1992b: 298–299). Breton and Cornish exhibit only agreement in gender (Ternes 1992: 405; Thomas 1992a: 355).

#### 7.19.6 Germanic

The modern Germanic languages belong to two branches: North and West Germanic. The third Germanic subbranch, East Germanic, is extinct and is not considered here.

The constituent order of adjective and noun is relatively strictly head-final in all modern Germanic languages.<sup>28</sup> Most Germanic languages have also preserved the inherited agreement marking on attributive adjectives. But several secondary attributive marking devices have evolved at different stages in the history of Germanic.

The following noun phrase types occur inside the Germanic branch of Indo-European:

- Anti-construct state agreement
- Anti-construct state agreement + head-driven agreement

Cathair Ó The Irish language@The Irish language Manx Thomson. Robert L. The Manx language@The Manx language Scots Gaelic|) Gaelic languages|) Brittonic languages|( Welsh Thomas, Alan R. Welsh language@*The* Welsh language Breton Cornish Ternes. Elmar The Breton language@The Breton language Thomas, Alan R. The Cornish language@The Cornish language Brittonic

languages|)

languages|)

Germanic

languages

Germanic languages

Germanic languages

Celtic

West

East

Germanic languages|(

Dochartaigh,

<sup>&</sup>lt;sup>28</sup> The exclusive adjective-initial constituent order in modern Germanic languages is clearly innovative. In documents of all Old Germanic languages the order of adjective and noun was still relatively free (cf. Heinrichs 1954).

West
Germanic
languages|(
German|(
definite
marking

- Attributive article + head-driven agreement
- · Head-driven agreement
- · Incorporation.

Whereas head-driven agreement and attributive nominalization are attested for the earliest stages of Germanic, adjective incorporation is a rather recent innovation (cf. § 9.1.2.2).

#### 7 19 6 1 West Germanic

The most common type of adjective attribution marking in West Germanic languages is head-driven agreement. In most languages of this group, this is the only existing type.

7.19.6.1.1 Anti-construct state agreement in German Attributive adjectives in German show head-driven agreement according to the features GENDER, NUMBER, CASE and SPECIES. The complete agreement paradigm was illustrated in Part II (Figure 4.3 on Page 49). Note, that the adjective agreement paradigm of German exhibits a high degree of syncretism due to merger of originally differentiated formatives. The whole paradigm distinguishes only the four suffixes -e, -em, -en, -er, -es.

- (100) Attributive adjectives in German (personal knowledge)
  - a. ein hoh-es Haus INDEF high-INDEF.N house(N)'a high house'
  - b. das hoh-e Haus
     DEF high-DEF.N house(N)
     'the high house'
  - c. **hoh-e** Häus-er high-PL house-PL 'high houses'
  - d. *der* **hoh-en** *Häus-er*DEF:PL.GEN high-DEF.PL.GEN house-PL.GEN

    'of the high houses'

Attributive and predicative adjectives are morpho-syntactically differentiated in German (and the other West Germanic languages, except English): Whereas attributive adjectives show head-driven agreement, predicative adjectives are used in an invariable form. Given the definition of dependent marking attributive state which is applied here (see § 3), German thus exhibits anti-construct state agreement marking of attributive adjectives.

- (101) Predicative adjectives in German (personal knowledge)
  - a. das / ein Haus is hoch

    DEF INDEF house(N) is high
    'a / the house is high'
  - b. (die) Häus-er sind hoch

    DEF house-PL are high

    '(the) houses are high'

7.19.6.1.2 Attributive article + head-driven agreement in Yiddish The default noun phrase structure in Yiddish is similar to the other West Germanic languages. Head-driven agreement occurs as the default type of attribution marking of adjectives. In contrastive focus construction, however, adjectives and other modifiers follow the modified noun in an attributive nominalization construction.

- (102) Yiddish (Eastern) (Jacobs, Prince & van der Auwera 1994: 96)
  - a. Head-driven agreement (unmarked)
    - i. a sheyn meydl
       INDEF:F pretty:INDEF.F girl(F)
       'a pretty girl'
    - ii. di grine oygn
       DEF:PL green:DEF.PL eye:PL
       'the green eyes'
  - b. Attributive nominalization (contrastive focus)
    - i. a meydl a sheyne
       INDEF:F girl(F) ATTR:INDEF.F pretty:ATTR:INDEF.F
       'a PRETTY girl'
    - ii. di oygn di grine
       DEF:PL eye:PL ATTR:DEF.PL green:DEF.PL
       'the GREEN eyes'

English
German|)
Yiddish|(
Yiddish!Eastern
Jacobs, Neil
G.
Prince, Ellen
F.
Auwera,
Johan van
der
Yiddish@ Yiddish
Yiddish|)
English|(

**7.19.6.1.3 Incorporation in English** English is the only West Germanic language where head-driven agreement is missing completely. The original Germanic agreement marking type was lost in favor of juxtaposition.

## (103) English (personal knowledge)

- a. *a* **pretty** girl INDEF pretty girl
- b. *the pretty girl*DEF pretty girl
- c. *pretty* girl-s pretty girl-PL

Attributive adjectives cannot, however, occur in headless noun phrases in English but are obligatorily marked with an article used as dummy head.

## (104) English (personal knowledge)

- a. *a* / the smart one
  INDEF DEF SMART ART
- b. **smart one-s** smart ART-PL

The marker *one* in English (originating from the homophonous numeral one) is a prototypical instance of an article: it constitutes a phonologically free grammatical word which is the target of agreement.

Given that attributive adjectives cannot occur other than syntactically bound to a head noun, the regular noun phrase type in English is best analyzed as incorporation. Note that the article is not an attribution marking device in the proper sense. Even though the marker projects a noun phrase by syntactic nominalization, this noun phrase does not modify a higher noun. The nominalization strategy can only be used in noun phrases with an empty lexical head.

# (105) English (personal knowledge)

- $\text{a.} \qquad \text{a} \qquad \text{smart} \quad \text{girl} \\ \left[ _{NP} \text{ indef }_{A} \text{smart }_{N} \text{girl} \right]$
- b. a smart one [NP INDEF A smart HEAD]
- c. \* a smart one girl  $[NP [NP INDEF A smart _{HEAD}] _N girl]$

Because attributive adjectives in English are obligatorily bound to a syntactic head and because the nominalizer ("dummy head") cannot occur in noun phrases modifying a higher head, English exhibits neither true juxtaposition nor attributive nominalization.

#### 7.19.6.2 North Germanic

With regard to existing attribution marking devices, the North Germanic languages exhibit even higher diversity than West Germanic. This is especially true if major sub-varieties are considered as well. Practically all types attested in West Germanic occur here as well, including adjective incorporation which is otherwise scarcely attested in the languages of northern Eurasia.

7.19.6.2.1 Head-driven agreement in North Germanic Although head-driven agreement marking constitutes the prototypical adjective attribution marking device in North Germanic, the adjective agreement paradigms across the different languages reflect the ongoing decline in differentiated categories.

In **Icelandic**, adjectives inflect for the agreement features GENDER, NUMBER, CASE and SPECIES. The adjective agreement paradigm of Modern Icelandic (Table 4.1 in § 4.4.1) is thus relatively similar to Old Icelandic even though the different case endings are already merged in the definite paradigm.

In **Danish**, there is no agreement feature CASE, while GENDER is marked on the attributive adjective only in indefinite noun phrases. In definite noun phrases, the attributive adjective is marked with an invariable definite agreement suffix (Table 7.1). The **Western Jutlandic** dialect of Danish finally, is most innovative

Table 7.1: Agreement paradigm for the adjective 'yellow' in Danish (personal knowledge)

	UTR.SG	N.SG	PL
INDEF	gul	gul-t	gul-e
DEF	gul-e	gul-e	gul-e

with regard to the decline of agreement features because it has almost completely lost its agreement features and thus resembles English (Table 7.2).

head|seehea English|) West Germanic languages|) North Germanic languages|( Icelandic|( definiteness marking Old Icelandic Icelandic|) Danish|( definite marking Danish!W-Jutlandic|( English Danish!W-Jutlandic|) Danish|)

Swedish|(

dummy

Norwegian Faroese definite marking

definite marking|( Börjars,

Kersti Swedish double determi-

nation in European

typologiperspecdouble determination in

European typological perspective

Julien, Marit Double definiteness in Scandinadefinite-

ness in

Martin: exclusively expressed? if the NP is indef den/det is not used, so den/det ouble has two functions: expressing def

and marking <del>German</del>ic languages German English Norwegian

Faroese West Germanic languages

West Germanic languages

Table 7.2: Agreement paradigm for the adjective 'yellow' in Western Jutlandic (in phonemic transcription) (Ringgaard 1960)

	SG	PL
INDEF	gul?	gul
DEF	gul	gul

7.19.6.2.2 Anti-construct state + head-driven agreement in Swedish Swedish, Norwegian, <sup>29</sup> and Faroese exhibit two adjective attribution marking morphemes simultaneously: an inflectional suffix expressing the agreement features GENDER, tive@Swedish<sub>NUMBER</sub> and SPECIES (but the indefinite utrum gender form of the adjective is always unmarked) plus an article (which again is not found in the indefinite plural form).

In the (North-)Germanic and typological linguistic tradition, the definite noun phrases with adjectives have most often been characterized as "double definite" (cf. Börjars 1994; Julien 2003; Plank 2003: 354-355). This makes sense from a historical perspective because the articles (Swedish den, det, de) are cognate with the Old Germanic demonstratives which developed into definite markers (cf. German der, die, das or English the). Synchronically, however, the articles in the North Germanic languages with so-called double definiteness (Swedish, both vian@Double Norwegian languages, Faroese) are not definiteness markers. Unlike in West Germanic, definiteness is exclusively expressed by an inflectional suffix (Swedish -(e)n UTR, -(e)t N, -n PL.)

> Unlike in West Germanic languages, where the definite markers are noun phrase markers always attach at the left edge of the phrase, the presence or absence of the cognate articles  $den \, UTR$ ,  $det \, N$ ,  $de(m) \, PL$  in Swedish is determined by the availability of an adjective and not the referential status of the noun phrase.

Swedish (personal knowledge)

a. (\*det) hus-et ATTR:DEF.N house-DEF:N 'the house'

<sup>&</sup>lt;sup>29</sup> The two Norwegian standard languages Dano Norwegian and New Norwegian do not differ in their marking of adjective attribution and they will simply be referred to as Norwegian

definite marking|) predicative marking

- b. \*(det) hög-a hus-et
  ATTR:DEF.N high-DEF.N house-DEF.N
  'the high house'
- c. \*(det) hög-a
  ATTR:DEF.N high-DEF.N
  'the high one' (about a house)

Example (7.19.6.2.2) shows how the article can neither attach to a noun nor can an adjectival modifier in a definite noun phrase occur without being marked by the article.<sup>30</sup> Since the definite value of the feature species is always marked by the respective definite inflectional noun suffixes and since the article only attaches to adjectives, the latter cannot be analyzed as anything but a morpho-syntactic device, i.e. as an adjective attribution marker.

In definite noun phrases, Swedish thus exhibits a circumfixed adjective attribution marking device combined by head-driven agreement inflection plus the article. It is plausible that the article developed from an attributive nominalizer. Its use with headless adjectives, as in (106b) resembles attributive nominalization. There is, however, no evidence that the adjective marked by the article is part of a complex constituent (i.e. a headless noun phrase) modifying a noun. According to the definition of attributive nominalization presented in § 4.5.2.3 of Part II, the article in Swedish is thus not a syntactic nominalizer. Its function is the licensing of the attributive state of the adjective along with marking of head-driven agreement. Since head-driven agreement is additionally marked by inflectional suffixes, the Swedish noun phrase exhibits circum-positioned (i.e. phonologically free and phonologically bound) agreement marking.

Note that the circum-positioned agreement marker only occurs with attributive adjectives. Predicative adjectives, on the other hand, exhibit "pure" gender and number agreement (7.19.6.2.2). The analysis of adjective attribution marking in Swedish as belonging to anti-construct state agreement marking is thus justified.

(107) Predicative adjectives in Swedish (personal knowledge)

- a. kåtan är hög 'the tipi is high' uтк
- b. \* kåtan är en hög / den hög-a

The expression *det hus* is grammatical only with the homophonous demonstrative *det*, similarly (but restricted to certain regiolects) *det hus-et*. Even the expressions *höga hus-et* is possible for some expression similar to English *White house*. Note also that possessive pronouns replace the article: *min hög-a hus* [Poss:1sg high-Def.N house(N] 'my high house'.

Swedish|) Swedish!Västerbotten|(

Holmberg,
Anders
Sandström.

Göran
[1996] Vad är
det för
särskilt
med

med
nordsvenska
nominalfraser?@[1996]
Vad är det
för
särskilt
med
nordsvenska
nominalfraser?
definite

marking

c. huset är hög-t 'the house is high' N

d. \* huset är ett hög-t / det hög-a

e. husen är hög-a 'the houses are high' PL

f. \* husen är de hög-a

Table 7.3: Agreement paradigm for the adjective *hög* 'high' in Swedish (personal knowledge); *stuga* (UTR) 'cabin', *hus* (N) 'house'

	INDEF			DEF		
UTR.SG N.SG PL	en ett		stuga hus stug-or			stuga-n hus-et stug-or:na

7.19.6.2.3 Adjective incorporation in Västerbotten Swedish The dialect spoken in the Västerbotten province in northern Sweden exhibits adjective incorporation as a regular type of adjective attribution marking.

(108) Västerbotten Swedish (Holmberg & Sandström 2003: 91–92)

a. grann-kweinn-a pretty-woman-DEF'the pretty woman'

b. en **grann**-kweinn
INDEF pretty-woman
'a pretty woman'

Adjective incorporation also occurs in several other northern North Germanic dialects of Sweden, Finland and Norway. Whereas adjective incorporation is the default type in Västerbotten Swedish,<sup>31</sup> its occurrence is restricted to definite noun phrases in most other dialects where this type it attested.

<sup>&</sup>lt;sup>31</sup> In indefinite noun phrases, however, adjective incorporation is often restricted to monosyllabic adjective stems: *en grann-kweinn* but \**en vacker-kweinn* 'a pretty woman'. Furthermore, a certain semantic relation between noun and adjective seem to be obligatory: (incorporation) *n ny-bil* 'a new car (straight from the factory)', *n ny bil* 'a new car (new for me)', (incorporation) \**n ny-hunn* 'a new dog', *n ny hunn* 'a new dog (new for me)' (Holmberg & Sandström 2003: 91–92).

Attributive adjectives cannot occur in indefinite headless noun phrases in Västerbotten Swedish but are obligatorily bound to an article used as dummy head.

- (109) Västerbotten Swedish (Holmberg & Sandström 2003; Delsing 1996)
  - a. en stor en INDEF:M big(M) ART:INDEF:M.SG
  - b. ett stor-t ett
    INDEF:N big:N ART:INDEF:N.SG
    'a big one'

### 7.19.7 Hellenic

The Hellenic branch of Indo-European is represented by a single language: Modern Greek.

7.19.7.0.1 Head-driven agreement and attributive nominalization + head-driven agreement in Greek Attributive adjectives in Greek show agreement in GENDER, NUMBER and CASE.<sup>32</sup>

The unmarked constituent order in Greek is adjective-noun, as in example (110b). The reverse constituent order (noun-adjective), however, is commonly used as well and marks contrastive focus on the attribute, as in example (110b).

- (110) Greek (Ruge 1986)
  - a. Head-driven agreement
    - i. to kokino aftokinito
       DEF:M red:M car(M)
       'the red car'
  - b. Attributive nominalization
    - i. Contrastive focus on the attribute to aftokinito to kokino

      DEF:M car(M) ATTR:M red:M

      'the RED car (not the blue one)'

Anders Sandström. Göran [1996] Vad är det för särskilt med nordsvenska nominalfraser?@[19 Vad är det för särskilt mednordsvenska nominalfraser? Delsing, Lars-Olof Nominalfrassyr i skandinaviska dialekter@Nomin i skandinaviska dialekter Swedish!Väster North Germanic languages|) Germanic languages|) Hellenic languages|( Greek|( focus marking|( Ruge, Hans Grammatik des Neugriechischen: Lautlehre, Formenlehre, Syntax@Gramn des Neugriechis-

chen:

lehre, Syntax

Lautlehre, Formen-

Holmberg,

<sup>&</sup>lt;sup>32</sup> A minor class of loan adjectives in Greek belong to a different noun phrase type, juxtaposition, because they do not inflect at all (Ruge 1986).

focus marking|) Greek|) Hellenic languages|) Romance languages|( focus marking|( Rumanian|( Posner. Rebecca The Romance languages@*The* Romance languages Silvestri, Domenico The Italic lan-

> guages@The Italic

languages

ii. Contrastive focus on the noun to kokino to aftokinito ATTR:M red:M DEF:M Car(M) 'the red CAR (not the buss)'

Note that the noun can move to the contrastive focus position as well, as in example (110b-i).

Example (110a) illustrates the use of the article *to* in two different syntactic functions: Whereas *to* DEF is a determiner marking the noun phrase as definite, *to* ATTR is an attributive marker (i.e. a true article) attaching to the adjective noun phrase internally. Attribution of the adjective (in contrastive focus) in (110b) is marked by means of attributive nominalization. The article marks the adjective as phrasal constituent, i.e. as a syntactic complement to the noun.

#### **7.19.8 Romance**

All Romance languages exhibit head-driven agreement marking as the main and default adjective attribution marking device. The prototypical agreement features characteristic of most modern Romance languages are Number and Gender. A third agreement feature, Case, was present in earlier stages of Romance but has disappeared in the modern languages.

Three noun phrase types have existed in the Romance branch from its earliest stages:  $^{33}$ 

- Head-driven agreement noun-adjective order adjective-noun order
- Attributive nominalization.

The unmarked and prototypical noun phrase type in Romance is head-driven agreement with the adjective following the noun. Besides the basic head-initial constituent order, most Romance languages exhibit a small subgroup of very common adjectives, such as 'good-bad, young-old, small-large', which normally precede the head noun (Posner 1996: 146–147, cf. also Silvestri 1998: 340). However, most other adjectives can also precede the noun in the modern Romance languages. This reversed constituent order is regularly determined by semantics-pragmatics in Rumanian and is used to give these adjectives a certain emphasis or

<sup>&</sup>lt;sup>33</sup> A minor class of adjectives belong to a different noun phrase type, juxtaposition, because they do not inflect at all.

contrastive focus, as in the following examples from Rumanian (111) and Italian (111a).

- (111) a. Rumanian (Beyer, Bochmann & Bronsert 1987)
  - i. băiat=ul bun boy=DEF good 'the good boy'
  - ii. bun=ul băiatgood=def boy'the GOOD (i.e. different) boy'
  - b. Italian (Posner 1996: 146)
    - i. un vestito nuovo INDEF dress new'a (brand-)new dress'
    - ii. un nuovo vestitoINDEF new dress'a new (i.e. different) dress'

Note that the definite marker in Rumanian is not connected with attribution marking on adjectives. Even though the marker can occur on the attributive adjective which precedes the noun in contrastive use (111), definiteness is a purely morpho-semantic feature in Rumanian and is not assigned by syntax (see also § 3 in Part ??).

The common distinction between an "emphatic" adjective preceding a noun and a "descriptive" adjective following a noun can be attested in Classical Latin Posente (1996: 146) but probably goes back to the earliest stages of Romance.

7.19.8.0.1 Head-driven agreement in Italian In Italian, as in the other Romance languages, the agreement features GENDER and NUMBER are marked on adjectives and on other modifiers within the noun phrase.

- (112) Italian (personal knowledge)
  - a. *la casa alt-a*DEF:F house(F) high-F
    'the high house'

Beyer, Arthur Bochmann, Klaus Bronsert, Siegfried Grammatik

> nischen Sprache der Gegenwart@Gran der rumänischen Sprache der Gegenwart

der rumä-

wart
Italian|(
Posner,
Rebecca
The Romance

languages@The Romance languages definiteness

marking Rumanian|) Latin!Classical Posner.

Rebecca
The Romance
languages@The
Romance
languages

focus marking|)

Italian|) Rumanian|( Daco-

b. le cas-e alt-e DEF:PL house-PL high-PL

Rumanian 'the high houses'

definite marking|(

Beyer, Arthur Bochmann. Klaus

Bronsert. Siegfried Grammatik der rumä-

nischen Sprache der Gegen-

> der rumänischen

Sprache đer Gegenwart

Posner, Rebecca The Romance

languages@The Romance

languages Bever. Arthur

Bochmann, Klaus

Bronsert, Siegfried

Grammatik der rumänischen Sprache der

Gegenwart@Grammatik der rumä-

Sprache der Gegenwart Krámský, Jiří The article and the concept of definiteness

nischen

in language@The article and the concept of definiteness in

language

7.19.8.0.2 Attributive nominalization in Rumanian Beside the default type of head-driven agreement (with either noun-adjective or adjective-noun constituent order), Standard Rumanian (aka Daco-Rumanian) exhibits attributive nominalization as a differentiated third type of adjective attribution marking. The agreement paradigm of the attributive nominalizer (traditionally labelled "adjective article" in the grammatical descriptions of Rumanian) is shown in Table 7.4. The use of the non-obligatory attributive marker emphasizes the adjec-

wart@Grammatable 7.4: Agreement paradigm of the attributive article in Rumanian (Beyer, Bochmann & Bronsert 1987: 94).

	F	N	M
SG	cea	c	el
PL	cel	e	cei

tive following a noun (Beyer, Bochmann & Bronsert 1987: 94, Posner 1996: 148). But it is also regularly used to mark definite headless noun phrases, as in the following example.

(113)Rumanian (Beyer, Bochmann & Bronsert 1987: 94)

> Punct-e=le cele **negr-e** se disting bine decît mai dot-PL=DEF.M.PL ATT:M.PL black-PL are distinguishing COMPAR better than cele cenuși-i.

ATT:M.PL grev-PL

'The black dots are more distinguishable than the grey ones.'

The content of this marker, besides licensing of the attributive relation, is not clearly defined in descriptions of Rumanian. The article seems to regularly mark definite headless adjectives and superlative adjectives. Krámský (1972: 141) compares the function of the article with that of the definite marker and describes the function of the attributive article in Rumanian as a "deictic reactualizer" because it has a referential function but can co-occur with the definite marker (114). Note, however, that the definite marker is absent in a noun phrase with reversed constituent order marking contrastive focus (114b).

- (114) Rumanian (Beyer, Bochmann & Bronsert 1987: 93–94)
  - a. poet=ul cel mai mare
     poet(M)=DEF.M ATT:M.SG SUPER great
     'the greatest poet'
  - b. *cel mai mare poet*ATT:M.SG SUPER great poet(M)
    'the GREATEST poet'

#### 7.19.9 Slavic

Slavic (aka Slavonic) forms a branch inside the Indo-European family. All Slavic languages are spoken in Europe.

The prototypical type of adjective attribution marking is head-driven agreement. The prototypical agreement features characteristic of Slavic languages are NUMBER, GENDER and CASE. In the closely related South Slavic languages Bulgarian and Macedonian however, case inflection of nouns and adjectives has been lost.

Beside head-driven agreement, anti-construct state agreement arose in Slavic languages as a secondary type of adjective attribution marking. The opposition of head-driven and anti-construct state agreement can be traced back to all Old Slavic languages and already existed in the oldest Slavic manuscripts, the best documented of which are from Old Bulgarian (aka Old Church Slavonic). To a certain extent, this state of development is still reflected in South Slavic. In most other modern Slavic languages, however the opposition between the two types was lost by abolishing one or the other type.

Basically, the modern Slavic languages belong to three types and exhibit the following three attribution marking devices:

- exclusively head-driven agreement
- exclusively anti-construct state agreement
- simultaneously head-driven agreement and anti-construct state agreement
- attributive nominalization.

definite marking|) Bever. Arthur Bochmann. Klaus Bronsert. Siegfried Grammatik der rumänischen Sprache der Gegenwart@Gran der rumänischen Sprache der Gegenwart Rumanian|) Romance languages|) Slavic languages|( Slavonic|seeSlav languages Europe Bulgarian Macedonian Old Slavic languages Old Bulgarian

Old Church Slavonic|see

South Slavic languages

Bulgarian

focus

marking

constituent order

focus marking Constituent order in Slavic is basically adjective-noun. The reversed order of constituents is often possible but restricted to emphasized constructions or poetic language.

West Slavic

languages|(

Lower Sorbian|(

Janaš, Pětr

All West Slavic languages exhibit head-driven agreement as the exclusive type Niedersorbische of adjective attribution marking.

Grammatik: für

den Gebrauch der Sorbischen Erweiterten Ober-

7.19.9.1.1 Head-driven agreement in Lower Sorbian Lower Sorbian exemplifies a Slavic language with head-driven agreement as the exclusive type of adjective attribution marking. Attributive adjectives in Lower Sorbian show agreement in gender, number and case.

schule@Niedersorbische (115) Lower Sorbian (Janaš 1976) Gram-

7.19.9.1 West Slavic

matik: für a. **dobr-v** cłowiek den Gebrauch good-Nom.sg.m person(m) der Sorbis-'good person' chen

> b. k dobr-emu cłowiek-oju to good-dat.sg.m person-dat:sg.m

'to a/the good person'

c. dobr-e cłowiek-v good-NOM.PL person-NOM:PL 'good people'

Sorbian|)

schule

Lower

Erweit-

erten Ober-

West Slavic languages|)

East Slavic languages|( Belorussian

Russian

Ukrainian predicative marking

West Slavic languages Russian|(

predicative marking|(

#### 7.19.9.2 East Slavic

All three East Slavic languages Belorussian, Russian and Ukrainian exhibit anticonstruct state agreement marking. There is, however, a tendency to merge to attributive ("long") and predicative ("short") adjective agreement declension classes yielding "pure" head-driven agreement as in West Slavic.

7.19.9.2.1 Anti-construct state agreement in Russian In Russian, attributive as well as predicative adjectives show agreement in GENDER and NUMBER. Attributive adjectives agree additionally in CASE. The agreement suffixes of the attributive and predicative paradigms, however, have different forms.

(116) Russian (personal knowledge)

- a. Attribution
  - i. vysok-ij dom
     high-ATTR:M.NOM house(M)
     'high house'
  - ii. vysok-aja bašn'a
     high-ATTR:F.NOM tower(F)
     'high tower'
  - iii. vysok-ogo dom-a high-ATTR:M.GEN house-M.GEN 'of a/the high house'

#### b. Predication

- i. Etot dom vysok

  DEM:M house(M) high:M

  'this house is high'
- ii. Eta bašn'a vysok-a

  DEM:F tower(F) high-F

  'this tower is high'

The agreement suffixes of attributive and predicative adjectives clearly belong to different paradigms (cf. Table 7.5). The so-called long agreement suffixes (116) mark the values of the morphological agreement features. Simultaneously, they license the (morpho-syntactic) attributive relation inside the noun phrase (cf. also the discussion in § 4.5.2.2).

Table 7.5: Attributive and predicative adjective declension in Russian (personal knowledge) for nominative case

	М	F	N	PL
ATTR	-yj/-ój	-aja/-ája	-oje/-óje	-yje/-ýje
PRED	Ø	-a	-0	-y/-i

#### 7.19.9.3 South Slavic

All South Slavic languages exhibit head-driven agreement marking as the default type of adjective attribution marking. In Serbo-Croatian (Bosnian, Croatian and

marking|)
Russian|)
East Slavic
languages|)
South Slavic
languages|(
SerboCroatian
SerboCroatian!Bo

Croatian!Cr

predicative

Serbo-Croatian!Serbian and Slovenian, anti-construct state agreement marking occurs as a sec-Slovenian ondary type. Even attributive nominalization is attested in Slovenian.

Bulgarian|(

Bulgarian|)
SerboCroatian|(

**7.19.9.3.1 Head-driven agreement in Bulgarian** Attributive adjectives in Bulgarian show agreement in the features GENDER and NUMBER.

- (117) Bulgarian (personal knowledge)<sup>34</sup>
  - a. Indefinite noun phrase
    - i. dobăr i vesel măž good:M and cheerful.M man(M) 'good and cheerful man'
    - ii. dobr-a i vesel-a žena good-F and cheerful-F woman(F) 'good and cheerful woman'
    - iii. dobr-i i vesel-i žen-i good-PL and cheerful-PL woman-F.PL 'good and cheerful women'
  - b. Definite noun phrase
    - i. **dobr-ij**=ăt i **vesel-ij**=ăt măž good:M=DEF.M and cheerful:M=DEF.M man(M) 'the good and cheerful man'
    - ii. dobr-a=ta i vesel-a=ta žena
      good-F=DEF.F and cheerful-F=DEF.F woman(F)
      'the good and cheerful woman'
    - iii. *dobr-i=te i vesel-i=te žen-i* good-PL=DEF.PL and cheerful-PL=DEF.PL woman-PL 'the good and cheerful women'

7.19.9.3.2 Anti-construct state agreement in Serbo-Croatian Serbian (similar to the other varieties of Serbo-Croatian) exemplifies a Slavic language which exhibits both head-driven agreement and anti-construct state agreement in different functions. Head-driven agreement constitutes the basic type of adjective

<sup>&</sup>lt;sup>34</sup> The stem allomorph with inserted -*ă*- in M.sG is the result of a phonological process. The stem allomorph with the extension -*ij*- is morpho-phonological and triggered by the definite marker. Note that -*ij*- is a reflex of the Old Bulgarian anti-construct state agreement marker.

attribution marking in Serbian. Most adjectives, however, have "double forms" Krámský (1972: 179–180). Consider the following example.

- (118) Serbian (Zlatić 1997: 59)
  - a. Indefinite noun phrase ("pure" head-driven agreement)
     dobar, veseo čovek
     good: M cheerful: M person(M)
     'a good, cheerful person'
  - b. Definite noun phrase (anti-construct state agreement)

    dobr-i, vesel-i čovek

    good-ATTR:M cheerful-ATTR:M man(M)

    'the GOOD, CHEERFUL person'

Anti-construct state agreement marking ("long form agreement") in Serbo-Croatian is sometimes described as a definite marker on the adjective (e.g. by Kordic 1997: 18–19). However, the short-form adjective can also be used in a noun phrase marked as definite, for instance by a demonstrative pronoun (119). And the "long form" adjective can also be used in a noun phrase marked as definite, for instance by the indefinite article (??).

- (119) Serbian (Marušič & Žaucer 2007)
  - a. Definite noun phrase with "pure" head-driven agreement ovaj dobar, veseo čovek

    DEM:M good:M cheerful:M person(M)

    'this good, cheerful man'
  - b. Indefinite noun phrase with anti-construct state agreement *Treba mi jedan crven-i kaput*.

    need.3sg 1sg.dat indef:m red-attr:m coat(m)

    (in a store with red coats on display)

    'I need a RED coat (viz. one of those red coats).'

The examples with "short form" adjectives in definite contexts and "long form" adjectives in indefinite contexts provides the best evidence against the analyses of the two different adjective agreement suffixes as markers of species of the head noun.

Rather than as a definite marker, the long-form adjective agreement suffixes in Serbian are best analyzed as anti-construct state agreement markers used in special contrastive focus constructions.  $^{35}$ 

The article and the concept of definiteness in language@The article and the concept of definîteness in language Zlatić, Larisa The Structure of the Serbian Noun Phrase@The Structure of the Šerbian Noun Phrase definite marking|( Kordic, Snjezana Serbo-Croatian@S Croatian Marušič. Franc Žaucer, Rok On the relation between the definite article and the long-form adjectives in Slovenian@On the relation between the definite article and the long-form adjectives in Slovenian definite marking|)

Krámský, Jiří

Serbo-

Slovenian|(

Croatian|)

<sup>35</sup> Note even that school grammars of Serbian sometimes explain the rules for the use of the

Serbo-Croatian focus marking|( Priestly, Tom M. S. Slovene@Slovene(120) Priestly, Tom M. S. Slovene@Slovene definite marking|( Serbo-Croatian Priestly, Tom M. S. Slovene@Slovene Marušič. Franc Žaucer, Rok On the relation between the definite article and the long-form adjectives in Slovenian@On the relation between the

> definite article

> and the

long-form adjectives

Slovenian

Slovene|seeSlovering.9.3.3 Anti-construct state agreement in Slovenian In theory, Slovenian Serbo-Croatian (aka Slovene) is identical to Serbo-Croatian in exhibiting head-driven agreement marking and anti-construct state agreement marking as two separate devices for adjective attribution.

(120) Slovenian (Priestly 1993: 410)

- a. "Short form" adjective (head-driven agreement)
  - i. **növ** päs new:NOM.M.SG dog(M) 'new dog'
  - ii. en növ päs
    INDEF:M.SG new:NOM.M.SG dog(M)

    'a new dog'
- b. "Long form" adjective (anti-construct state agreement)
  - i. *nóvi* päs new:ATTR:NOM.M.SG dog(M) 'NEW dog'
  - ii. ta **nóvi** pồs
    ATTR new:ATTR:NOM.M.SG dog(M)
    'the NEW dog'

Note, however, that the use of morphologically differentiated adjectives for head-driven agreement versus anti-construct state agreement in Slovenian is very restricted and is found more or less only with masculine adjectives in nominative singular (Priestly 1993: 410–411).

Similar to Serbo-Croatian, anti-construct state agreement marking in Slovenian is sometimes described as a definite marker on the adjective (e.g. by Priestly 1993: 411). Semantic definiteness in Slovenian, however, is not marked obligatorily (cf. example 7.19.9.3.3). Furthermore, the analysis of the anti-construct state agreement as a definite marker can be rejected completely because examples are found in which this marker also occurs in overtly marked indefinite noun phrases.

(121) Slovenian (Marušič & Žaucer 2007)

two adjective declensions with the help of the the questions "what sort?" (requires the "short form") and "which one?" (requires the "long form") Browne (1993: 327).

rabi mi en rdeči plašč need.3sg 1sg.dat indef:m red:attr:m coat(m) (in a store with red coats on display) 'I need a RED coat (viz. one of those red coats).'36

Anti-construct agreement marking are thus analyzed as attribution marking device with the additional content of contrastive focus rather than as a detached definite marker.

7.19.9.3.4 Attributive nominalization + head-driven agreement in Slovenian Besides head-driven agreement and anti-construct state agreement, adjectives in (colloquial) Slovenian can also be marked by means of an attributive article.

## (122) Slovenian (Marušič & Žaucer 2007)

- a. Indefinite noun phrase
   Lihkar je mim prdirkal en ta hiter avto.
   just\_now AUX by speeded INDEF:N ATTR fast:n car(N)
   'Some FAST car has just sped by (viz. one of the fast type of cars has just sped by).'
- b. Definite noun phrase

  ta ta zelen ta debel svinčnik

  DEM ATTR green:M ATTR thick:M pencil

  'this GREEN, THICK pencil'

The attributive article ta in Slovenian is homophonous with the demonstrative determiner (from which it originates historically), but example (122a) with the double use of ta on stacked adjectives and after the determiner clearly shows that these markers serve two different functions: Whereas ta DEM is a determiner marking the noun phrase for special local deictic species ta ATTR is an attributive marker (i.e. a true article) attaching noun phrase internally to the adjective. Attribution of the adjective in contrastive focus in (7.19.9.3.4) is marked by means of attributive nominalization (in combination with head-driven agreement).

According to Marušič & Žaucer (2007), the article ta gives the adjective a classifying reading and the construction ta+A:ATTR can be compared to a "reduced relative clause", hence a syntactic complement to the noun.

definite marking|) Marušič. Franc Žaucer, Rok On the relation between the definite article and the long-form adjectives in Slovenian@On the relation between definite article and the long-form adjectives Slovenian Marušič. Franc Žaucer, Rok On the relation between the definite article and the long-form adjectives in Slove-

adjectives in Slovenian@On the relation between the definite article and the long-form adjectives in Slovenian relative

clause focus

marking|) Slovenian|) South Slavic

languages|) Slavic

languages|) Indo-European

Basque|(

languages|)

 $<sup>^{36}</sup>$  Cf. the similar construction with concatenative anti-construct state agreement marking in Serbian in example 119a.

Europe Saltarelli. Mario Basque@Basque definite marking Hualde, José

Ignacio Urbina, Jon

A grammar of Basque@A grammar of Basque Basque|)

Ortiz de

# 7.20 Basque

Basque is a language isolate spoken in the Basque country in northeastern Spain and in adjacent parts of France in southwestern Europe.

7.20.0.0.1 Juxtaposition in Basque Attributive adjectives are juxtaposed to the right of the noun they modify.

Basque (Saltarelli 1988: 81) (123)gona **gorri estu-**ak tight-def.pl.abs skirt red 'the tight red skirts'

Note that the features Definiteness, Number, and Case in example (123) are not assigned to the adjective through agreement. The respective portmanteau suffixes marking the values of these morphological features always attaches to right edge of the phrase in Basque. Consequently, they always attaches to the attributive adjective if one is present Hualde & de Urbina (2003: 171)

#### Kildin Saami Swedish Northern Saami Russian Georgian Yiddish Albanian

## 8 Areal uniformity and diversity in northern Eurasia

In the previous chapter, the prototypical and the known minor noun phrase types occurring in the languages of northern Eurasia were characterized and illustrated with examples. This survey thus provides an overall picture of the degree of typological uniformity or divergence with regard to adjective attribution marking marking within both the whole area and each genealogical unit.

#### 8.1 Attested attribution marking devices

13 (simple and combined) types of adjective attribution marking devices are attested in the languages of northern Eurasia:

- Anti-construct state as in Kildin Saami
- 2. Anti-construct state + head-driven agreement ("double agreement") as in Swedish
- 3. Anti-construct state + construct state ("double-construct state") as in Northern Saami
- 4. Anti-construct state agreement as in Russian
- 5. Appositive head-driven agreement as in Georgian
- 6. Attributive article as in Yiddish
- 7. Attributive article + head-driven agreement ("double agreement") as in Albanian

Udmurt Northern Kurdish

Chukchi Komi-Zyrian Finnish Oroch

Tagalog

Indo-European languages

Nakh-Daghestanian languages Uralic

languages Kartvelian languages Tungusic

languages
Mongolic
languages
Kamchatkan
languages

Ainu
Basque
Korean
Nivkh
Tungusic
languages
Northern
Saami
Albanian
languages

Iranian languages Mongolic languages Turkic

languages

- 8. Attributive nominalization as in Udmurt
- 9. Construct state as in Northern Kurdish
- 10. Incorporation as in Chukchi
- 11. Juxtaposition as in Komi-Zyrian
- 12. Head-driven agreement as in Finnish
- 13. Modifier-headed possessor agreement as in Oroch

Only one type attested in the world-wide sample (see the appendix) does not occur in the northern Eurasian area: the floating construct state marker (*linker*) found, for instance, in Tagalog (Austronesian).

The Indo-European family has the largest absolute number of attested adjective attribution marking devices (nine), followed by Nakh-Daghestanian and Uralic (five each), and Kartvelian and Tungusic (four each). The Mongolic family has the lowest possible number with only one attested device, just as with Kamchatkan and the isolates Ainu, Basque, Korean and Nivkh.

The most rare types are: (1) modifier-headed possessor agreement, which is attested only as a secondary device in a few Tungusic languages, and (2) the combined construct device (i.e. "double-construct state"), which is attested only marginally in one single language, Northern Saami (Uralic). Attributive nominalization combined with head-driven agreement is also very rare. This type occurs as the primary device only in the Albanian languages (Indo-European), but it is also attested as a secondary or tertiary device in a few other languages. Headmarking construct state is also relatively uncommon in the northern Eurasian area as it is attested only in Iranian languages (Indo-European).

The most common type is juxtaposition, followed by head-driven agreement.

#### 8.2 Prototypes of attribution marking devices

Several language families of northern Eurasia exhibit clear prototypes of adjective attribution marking devices: All Mongolic and Turkic languages have juxta-

position as the default device, as is the case for the languages of most branches of Uralic as well. Head-driven agreement occurs as another prototype in many branches of the Indo-European family. Even though the attested deviation from the prototype is much higher in Indo-European than in Mongolic, Turkic and Uralic, head-driven agreement marking can be shown to occur prototypically in most Indo-European genera.

For the Abkhaz-Adyghe, Chukotkan, Kartvelian, Nakh-Daghestanian and Tungusic families, synchronic prototypes are not very easy to find because a predominant type does not occur inside these families. The other language families of northern Eurasia are either isolates (Nivkh, Ainu, Japanese, Korean, Basque) or they exhibit rather shallow genealogical diversity (Kamchatkan, Yukaghir, Yeniseian). Together with a few other families, predominantly spoken outside the investigated area (Eskimo-Aleut, Sino-Tibetan, Semitic), these families are excluded from generalizations about prototypes.

Larger language families with strikingly high diversity in regard to the attested absolute number of adjective attribution marking devices are Indo-European, Nakh Daghestanian, Uralic and Tungusic. A strikingly high degree of unity is found in Mongolic and Turkic.

Chukotkar language families with strikingly high devices are Indo-European, Nakh Nakh-

## 8.3 Diachronic implications of uniformity and diversity inside and across genera

Measuring the degree of diversity (or unity) from a synchronic point of view may help identify diachronic processes. A very high degree of diversity inside a given genus as compared to its proto-stage is likely to manifest pervasive linguistic changes and the innovation of new types. Similarly, the synchronic attestation of a high degree of unity inside a given genus indicates the inheritance of original types without significant innovations.

A genus is defined as a group of related languages which go back to a common reconstructed (or documented) language, ultimately the the proto-form of a language family. The East Saamic languages, for instance, form a group of sister languages which derived from Proto-East-Saamic. Proto-East-Saamic is derived together with its Saamic sister languages from a more distant proto-stage, i.e. Proto-Saamic which again is derived together with its Uralic sister languages from Proto-Uralic. Since the proto-stages of languages are normally reconstructed as single languages it can be assumed that most of them had only one single type of adjective attribution marking (similar to the prevailing number of languages spoken today, cf. the sample in Table 0.0.4 in the appendix). Daughter

languages European languages European languages Mongolic languages Turkic languages Uralic languages Indo-European languages Abkhaz-Advghe languages Chukotkan languages languages Nakh-Daghestania languages Tungusic languages Nivkh Ainu Korean Basque Kamchatkan languages Eskimo-Aleut languages Sino-Tibetan languages Semitic languages

Indo-

Uralic

Tungusic languages

Mongolic

Proto-East Saamic Proto-East

Turkic

European languages

Daghestania

languages

languages

languages

languages West Saamic languages

Uralic

Proto-Saamic
Proto-Uralic
Lealic
Es gibt
nur zwei
möglichkeiten
Datucy Slavic
Proto-IndoEuropean

European
IndoEuropean
languages
Mongolic
languages
Proto-Dagur

Proto-Moghol Proto-Mongolic Proto-

Mongolic
Iranian
languages
Indo-Aryan
languages
Indo-

European languages West Saamic languages Saamic languages

Saamic languages Saamic languages Uralic languages

South Slavic languages Slavic languages Slavic

languages Indo-

European languages languages which descend from a proto-language will either inherit the original adjective attribution marking devices, innovate secondary (or tertiary etc.) devices or replace the original devices with new ones. The Proto-Saamic daughter language of Proto-Uralic, for instance, has replaced the original Uralic juxtaposition with anti-construct state marking (see § 9.2). The Proto-Baltic/Slavic daughter languages of Proto-Indo-European inherited the original Indo-European head-driven agreement marking but innovated a secondary type, i.e. anti-construct state agreement marking (see § 9.1.2.1). All modern Mongolic languages, by contrast, exhibit juxtaposition uniformly and have obviously inherited this device from their proto-languages (Proto-Dagur, Proto-Moghol, Proto-Mongolic, etc.) which in turn must have inherited juxtaposition from Proto-Mongolic. A comparison of synchronically attested diversity inside and across genera might thus have diachronic implications.

The simple statistics in Table 8.1 tries to illustrate the degree of diversity in the investigated families of northern Eurasia. Column 1 lists all families, branches and subbranches in alphabetical order. Isolates and genera with only one member language are not included in the table, and neither are genera which are not spoken predominantly in northern Eurasia, with only two exceptions: the Iranian and Indo-Aryan subbranches within the Indo-European family. Since the highest possible diversity is of interest here, the number of all attested devices devices (including secondary and tertiary types restricted to special noun phrase types) are counted.

The second column in Table 8.1 ("Units (abs.)") gives the number of coded languages from each genus. The third column ("Types (abs.)") gives the absolute number of attested types. The next two columns 4 and 5 present ratio figures. The first of them ("Ratio (gen.)") results from dividing the number of attested types in the given genus by the number of types attested for the higher branch:

$$Diversity_{genus} = \frac{Types_{genus}}{Types_{family}}.$$

For instance, West-Saamic has a ratio of 1.00 because it exhibits all four types attested in the whole Saamic branch. The Saamic branch as such has a ratio of 1.25 because four types are found in Saamic compared to five types attested for the whole Uralic family. Similarly, South Slavic has also a ratio of 1.00 because it exhibits all three types attested in Slavic. But the Slavic branch as such has a higher ratio of 3.00 (meaning a lesser degree of diversity) because only three types are attested in this branch out of nine types for the whole Indo-European family.

The last ratio figures ("Ratio (lgs.)") result from dividing the overall number of languages by the number of attested types in the given genus:

$$Diversity_{languages} = \frac{Languages_{genus}}{Types_{genus}}.$$

For instance, five West-Saamic languages are coded for four different types, resulting in a ratio of 1.25. For the whole Saamic branch alltogether nine languages are coded for five types, resulting in a somewhat higher ratio figure of 1.80. South Slavic has the ratio of 1.33 because the four South Slavic languages are coded for three types; Slavic, however, has 4.33 because 13 Slavic languages are coded for only three different types.

1	2	3	4	5	6
Family	Languages	Types	Ratio	Ratio	Diversity
Main branch	(abs.)	(abs.)	(gen.)	(lgs.)	Value
Abkhaz-Adyghe	4	2	_	2.00	low
Abkhaz	2	1	2.00	2.00	_
Circassian	2	1	2.00	2.00	_
Chukotkan	3	2	_	1.50	_
Chukchi	1	2	1.00	0.50	_
Koryak-Alutor	2	2	1.00	1.00	_
Indo-European	65	9	-	7.22	low
Albanian	2	2	4.50	1.00	_
Armenian	1	2	4.50	0.50	_
Baltic	2	2	4.50	1.00	_
Celtic	6	2	4.50	3.00	low
Brittonic	3	1	2.00	3.00	_
Gaelic	3	2	1.00	1.50	_
Germanic	14	5	1.29	2.00	high
N-Germanic	6	4	1.25	2.25	mid
W-Germanic	8	3	1.67	2.67	mid
Hellenic	1	2	4.50	0.50	_
Indo-Iranian	14	7	1.23	2.00	high
Indo-Aryan	6	3	2.33	2.00	mid
Iranian	8	6	1.67	1.33	high
Romance	10	2	4.50	5.00	low
E-Romance	1	2	1.00	0.50	_
Italo-W-Romance	7	1	2.00	7.00	low
S-Romance	2	1	2.00	2.00	_
Slavic	13	3	3.00	4.33	low
E-Slavic	3	2	1.50	1.50	_
S-Slavic	4	3	1.00	1.33	mid
W-Slavic	6	1	3.00	6.00	very low
Kartvelian	4	3	-	1.33	mid
Georgian	2	3	1.00	0.67	_
Svan	1	2	2.00	0.50	_
Zan	2	2	2.00	1.00	_
Mongolic	6	1	-	6.00	very low

West Saamic languages Saamic languages South Slavic languages South Slavic languages Slavic languages

LATEX table languages Abkhaz-Adyghe languages Abkhaz languages Circassian languages Chukotkan languages Chukchi languages Korvak-Alutor languages Indo-European languages Albanian languages Armenian languages Baltic languages Celtic languages Brittonic languages Gaelic languages Germanic languages Germanic languages West Germanic languages

languages Romance

Hellenic languages Indo-Iranian languages

Indo-Aryan languages

Iranian

#### 8 Areal uniformity and diversity

Mongolic		1	1			11
	languages		1	1.00	1.00	_
Dagur	Moghol	1	1	1.00	1.00	_
languages .	Mongolian	5	1	1.00	5.00	very low
Moghol	Nakh-Daghestanian	28	5	_	5.60	low
Ĭanguages	Daghestanian	25	5	1.00	5.00	mid
Mongolian	Avar-Andi-Tsezic	13	4	1.25	3.25	mid
languages	Dargwa	1	2	2.50	0.50	_
Nakh-	Lak	1	2	2.50	0.50	_
Daghestanian	Lezgian	10	4	1.25	2.25	mid
languages Daghestanian	Nakh	3	3	1.67	1.00	_
languages	Bats	1	2	1.50	0.50	_
Avar-Andi-	Chechen-Ingush	2	2	1.50	1.00	_
Tsezic	Tungusic		4	_	2.25	mid
languages	Amur Tungusic	5	4	1.00	1.25	high
Dargwa	Nanay-Ulcha-Orok	3	3	1.33	1.00	_
languages	Oroch-Udege	2	3	1.33	0.67	_
Lak	Manchu	1	1	4.00	1.00	_
languages	N-Tungusic	4	4	1.00	1.00	high
Lezgic languages	Turkic	22	2	_	11.00	very low
Nakh	Bulgar	1	2	1.00	0.50	-
languages	Common Turkic	21	2	1.00	10.50	low
Bats	Altay	2	1	2.00	2.00	_
languages	Karluk	2	2	1.00	1.00	_
Chechen-	Kipchak	8	1	2.00	8.00	very low
Ingush	Lena	2	1	2.00	2.00	- very low
languages	Oguz	4	2	1.00	2.00	low
Tungusic	Yenisey	2	1	2.00	2.00	low _
languages	Uralic	32	5	2.00	6.40	low
Amur Tungusic	Finnic	7	1	5.00	7.00	low
languages		1	1			low
Nanay-Ulcha-	Hungarian	_	1 1	4.00 4.00	1.00	_
Orok	Khanty	1 1	1 1		1.00	_
languages	Mansi Mari	2	$\begin{bmatrix} 1\\2 \end{bmatrix}$	4.00	1.00	_
Oroch-Udege		_		2.00	1.00	_
languages	Mordvin	2	1	4.00	2.00	_
Manchu	Permic	3 9	3	1.33	1.00	1.1
languages	Saamic		4	1.25	1.80	high
North Tungusic	E-Saamic	4	3	1.33	1.33	high
languages	W-Saamic	5	4	1.00	1.25	high
Turkic	Samoyedic	4	1	5.00	4.00	low
languages	Enets	1	1	2.00	1.00	_
Bulgar	Nenets	1	1	2.00	1.00	_
Turkic	Nganasan	1	1	2.00	1.00	_
languages	Selkup	1	1	2.00	1.00	_
Common	Yukaghir	2	2	_	1.00	_
Turkic languages	Yukaghir	2	2	1.00	1.00	-
aliguages			<u> </u>			

Altay Turkic languages Karluk

Karluk languages Kipchak

Kipchak languages

Lena Turkic languages Oguz

languages

168

Table 8.1: Number and ratio of attested types per genealogical unit: absolute number of types (column 3), ratio against the generally attested number of types in the respective higher branch or family (column 4, higher numbers mean less diversity), ratio against the number of coded languages (column 5, higher numbers mean less diversity) and a diversity value tested for statistical significance (column 6, only for genera with more than three languages).

The absolute number of types shows directly which families or branches inside families exhibit more types than other comparable genera. The first ratio in column 4 (against the number of types in the genus) indicates where the more diverse or the more uniform branches are located inside a primary genus (i.e. inside a family or higher branch). These ratio figures can be used for a comparison of languages inside families or between comparable genera across families because the figures result from dividing the absolute number of attested adjective attribution marking devices in a given family by the number of devices attested in a given sub-genus (i.e. branch or subbranch). East Saamic (Uralic) with a ratio of 1.33, for instance, seems just as diverse as South Slavic (Indo-European). The proto-stages of both genera have comparable time depth (approx. 1000 AD), both genera have four members and they both exhibit three attested types of adjective attribution marking devices. The number of three attested types in the two branches can than be checked against the overall number of types attested in the respective families: four types are attested in Uralic, nine types are attested in Indo-European. As compared to Uralic, the Saamic branch with a ratio of 2.25 is thus much more diverse (exhibiting almost all types attested for the whole family) than South Slavic languages within Indo-European with a ratio of 4.33 (exhibiting less than half of the generally attested types in the whole family).

The second ratio in column 5 (against the number of coded languages) relativizes the first two figures statistically. It seems much more likely that a higher number of coded languages results in a higher number of detected devices. The second ratio can thus serve to test the degree of diversity (in column 3 and 4) for statistical significance.

The simple statistics presented in Table 8.1 can perhaps illustrate the degree of diversity at least in those cases where the two ratio figures (against the number of coded types and the number of coded languages) and the degree of diversity in absolute numbers coincide to a certain degree. The significant values in column 6 ("Diversity") are labeled impressionistically as *very low, low, mid-low, mid-high, high.* A hyphen marks those cases where a significant value cannot be found

South Slavic languages Uralic languages Indo-European languages Uralic languages Saamic

West Saamic languages

Indo-European languages

languages

#### 8 Areal uniformity and diversity

Turkic languages Mongolic languages Nakh-

Martin: "(or low in direcan tion)"? <del>ianguag</del>es

Uralic languages Saamic languages Tungusic languages

European languages Indo-Iranian languages Germanic languages Nichols. Johanna Linguistic

Diversity in Space and Time@Linguistic attribution. Diversity in Space and Time North Asia

Mongolic languages languages Caucasus Circum-Baltic

area Pacific Rim area

because the genus in question has too few members (less than four). Note that a value very high is not found. This classification, however, does not mark diversity in absolute terms but the deviation from the average value of the whole sample. kh-Daghestanian The Turkic family, for instance, can be shown to have a very low diversity and several of its branches have clearly low (or low in direction) diversity level as well. For the Mongolic family, a very low value has been calculated. Whereas a low value has even been calculated for the whole Nakh-Daghestanian family, the Daghestanian branch as well as two of its subbranches have a relatively high diversity value. The same is true for Uralic, which has a low diversity value as a family and in several of its branches, while one branch, Saamic, has a high value. Tungusic has a middle diversity value but two of its branches are clearly more highly diverse. For Indo-European, finally, a significant value has not been found, but high values are calculated for Indo-Iranian and Germanic.

> The general picture coincides thus partly with what is known about areal distribution and spread of other linguistic features (cf., e.g., Nichols 1992): Less diversity (higher numbers) is found in the inner parts of North Asia (Mongolic, Turkic) whereas languages in the northern Eurasian periphery, especially in southeasternmost Europe (Caucasus) but also in north-easternmost Europe (Circum-Baltic) and in north-easternmost Asia (Pacific Rim), seem to exhibit a higher degree of diversity (lower numbers) in respect to the morpho-syntax of adjective

> Even though the figures in Table 8.1 illustrate exclusively synchronic findings and the applied statistics is rather impressionistic, it stands to reason that they reflect historical developments (i.e. language changes) in certain parts of the area. Note that the underlying sample is not balanced and thus perhaps not easily applicable for statistical analyses. However, this is an exploratory study; detailed statistical investigations can be left for future research.

> The massive innovations in several neighboring genera or in larger geographic sub-areas attested synchronically may even point to contact-induced changes in areal hotbeds of innovation. In Part IV, some light will be shed on diachronic variation and on the evolution of highly diverse adjective attribution marking inside language families of northern Eurasia.

# Part IV Diachrony

# 9 The evolution of attribution marking in northern Eurasian languages

Attribution marking devices were typologized in Part II and their geographic distribution across the genealogical entities of northern Eurasia was presented in Part III. The present, diachronic part focuses on linguistic changes which led to the emergence of the attested synchronic diversity within the northern Eurasian area.

Not all attested changes are investigated in equal depth in each genealogical unit. Special focus lies on the grammaticalization of attributive markers from attributive nominalizers in the Saamic and Finnic branches of Uralic as well as in the Baltic, Slavic and Germanic branches of Indo-European. Different types of adjective attribution marking have been grammaticalized from attributive nominalizers in different languages of the area and during different periods of time. Up to know, these diachronic patterns have not been systematically investigated from a cross-linguistic perspective.

The parallel evolution of attributive nominalizers and other adjective attribution marking devices is interesting not only from a general typological perspective. The linguistic interference zone between Uralic and Indo-European in northeastern Europe exhibits a relatively high degree of diversity from a synchronic point of view (see § 8). Consequently, it appears that the synchronically and diachronically attested developments have to be described in areal linguistic terms and provide further evidence for establishing a Northern European *Sprachbund*.

#### 9.1 The emergence of attributive nominalizers

Attributive nominalization as a special subtype of dependent marking attributive state (see § 4.5.2.3) is not synchronically attested as a default licenser of the attributive connection of adjectives in any language of northern Eurasia. However, in several languages of the area, attributive constructions with nominalizers constitute a special type of noun phrases characterized earlier as attributive apposition. A typical example is Udmurt (Uralic) where an adjectival attribute

grammaticaliza Saamic languages Finnic languages Baltic languages Slavic languages Germanic languages Sprachbund Albanian languages

languages Slavic

languages Germanic languages

Indo-European languages

Russian Baltic languages linking

article|see??|( Himmelmann. Nikolaus|(

Himmelmann. Nikolaus Deiktikon.

Artikel. Nominalphrase: zur

Emergenz svntaktischer Struk-Artikel. Nominalphrase:

zur Emergenz syntaktischer Struktur

Himmelmann, Nikolaus

Deiktikon, Artikel, Nominalphrase: zur

Emergenz syntaktischer Struk-Artikel, Nominalphrase: zur

Emergenz syntaktischer Struktur

linking article|see??|) 174

equipped with an article is marked for contrastive focus (see § 7.18.4.0.2).

The only Northern Eurasian languages exhibiting attributive nominalization as a default attribution marking device synchronically are from the Albanian branch

■ (Indo-European). The marker, however, is used only in a circumfixed construction together with the inherited head-driven agreement.

Attributive nominalizers are also documented in historical stages of several Indo-European branches, such as Baltic, Slavic and Germanic. But even here, these markers are not the default devices. Instead, attributive articles compete with other attributive markers and are restricted to specially marked noun phrases In several of these Indo-European languages, however, the articles have evolved into new default types of attribution marking. A prototypical example of attribution marking originating from an attributive article is anti-construct state agreement marking in Russian (see § 7.19.9.2.1). In other languages, the former attributive article is still traceable as secondary type of attribution marking, as in the modern Baltic languages. Here, the attributive article also evolved into an anti-construct state agreement marker but it is still restricted to a semantically defined subset of noun phrases (see § 7.19.4).

The synchrony and diachrony of attributive articles have also been dealt with in a cross-linguistic investigation of grammaticalized adnominal D(eictic) elements by Himmelmann (1997). Himmelmann assumes that attributive articles ("linking articles" in his terminology) originally occurred in appositional nomitur@Deiktikonal expressions. These "linking constructions" are characterized as complex noun phrases in which the attribute occurs as a syntactically independent nominal expression. The "linking article" (i.e. attributive article in terms of the present typology) serves as a nominalizer and licenses the attribute as a syntagma of its own Himmelmann (1997: 188).

> The diachronic data from several Indo-European, Uralic and Turkic languages presented in the following sections support Himmelmann's conclusions about a common source of attributive marking originating from pronouns or other deictic elements used as attributive nominalizers.

#### 9.1.1 Attributive nominalizers in Uralic and Turkic

Juxtaposition has been the prototype of adjective attributive marking in all Uralic and Turkic languages since the proto-stages of these languages (cf. Décsy tur@Deiktiko1990: 80-81 for Uralic and Décsy 1998b: 75-76 for Turkic). As the result of a secondary development, however, in some branches of Uralic and Turkic, an attributive nominalizer grammaticalized. Synchronically, it occurs as minor attribution

marking device in specially marked noun phrase types in several languages of these two families.

In the Saamic and Finnic branches of Uralic, juxtaposition has been replaced completely by new adjective attribution marking devices. In Proto-Saamic the prototypical attributive connector of adjectives was probably anti-construct state marking. A comparison of synchronic evidence across modern Saamic languages makes this reconstruction very likely (Rießler 2006b). However, all modern Saami languages show a strong tendency to abandon the anti-construct state marker and re-introduce the morphologically unmarked adjective attribution marking device juxtaposition. In Proto-Finnic, the original Uralic type has also been lost and is now replaced by head-driven agreement marking of attributive adjectives. In § 9.3 and 9.2, the emergence of agreement in Finnic and anti-construct state marking in Saamic will be explored and describes as a possible result of the grammaticalization of attributive nominalizers.

Since the emergence of attributive nominalizers in Udmurt (and other modern Uralic languages) probably reflect structurally similar stages of development as those assumed for Proto-Saamic and Proto-Finnic, the Udmurt case will be described in-depth in the following sections.

#### 9.1.1.1 The contrastive focus marker in Udmurt

Synchronic data from Udmurt illustrates the emergence of an attributive article and might even indicate how this attribution marker has been generalized as an anti-construct state marker.

The use of the 3<sup>rd</sup> person possessive suffix as a contrastive focus marker in Udmurt was exemplified in § 7.18.4.0.2 on the synchrony of attribution marking in Permic. In the following sections, the etymological source and the evolution of this contrastive focus construction will be illustrated with the help of further examples.

As in several other Uralic languages, the possessive suffix 3<sup>rd</sup> person singular in Udmurt is often used as a definite-like marker. Grammatical descriptions of Udmurt use different terms to define the function of this formative, for example as "determinative" (Kel'makov & Hännikäinen 1999), "contrastive-deictic" (Alatyrev 1970), "anaphorical-emphasizing" (Kiekbaev 1965), or simply "definite" (Winkler 2001). The suffix is characterized in the following as "quasi-definite" since Udmurt (as most other Uralic languages) has no morphologized feature SPECIES. The use of the marker is obviously determined by the referential status of the noun phrase, but it does not occur obligatorily in definite noun phrases. Since the rules for definiteness marking are not the subject of the present inves-

languages Finnic languages Proto-Saamic Rießler. Michael On samiskans attribuadjektivform@On samiskans attributiva adjektivform Proto-Finnic Udmurt|( Proto-Saamic Proto-Finnic Permic languages Kel'makov, Valentin Hännikäinen, Sara Udmurtin kielioppia harjoituksia@*Udmur* kielioppia ja har-

Saamic

*joituksia*Alatyrev,
Vasilij I.
Vvdelitel'no

Vydelitel noukazatel naj
kategorija
v udmurtskom
jazyke@Vyo
ukazatel naj
kategorija
v udmurtskom
jazyke

Kiekbaev, Džalil' G.

O grammatičeskoj kategorij opredelennosti i neopredelennosti v uraloaltajskich jazykach@( gram-

> matičeskoj kategorij opredelen-

Kel'makov, Valentin Hännikäinen. Sara Udmurtin kielioppia harjoitukkielioppia ia harioituksia

tigation, the formative in definite-like constructions will simply be referred to as determinative suffix, which is also consistent with some of the grammatical descriptions mentioned above (e.g. Kel'makov & Hännikäinen 1999).

Besides its function as a possessive marker, the 3<sup>rd</sup> person singular possessive suffix occurs not only in quasi-definite noun phrases but is even used as  $\frac{\text{narjoituk-sia@}Udmurtin}{\text{sia@}Udmurtin}$  (attributive) nominalizer and as a marker of contrastive focus on adjectives. From a synchronic point of view, the functions of Poss:3sg in the different nonpossessive uses are probably better analyzed as belonging to different grammatical categories. Consequently, different glosses (such as POSS, DEF, NMLZ, CONTR) should be applied. In order to illustrate the similar historical source of the synchronically differentiated grammatical meanings, however, one and the same gloss (i.e. Poss:3sg) is used in the following examples.

- Possessive and non-possessive functions of (historical) Poss:3sg
  - a. Possessive marking
    - i. gurt-**ė**z house-poss:3sg 'her/his/its house'
    - ii. gurt-jos-a-z house-pt-ttt-poss:3sg 'into her/his/its houses'
  - b. "Determinative" marking
    - i. gurt-ėz house-poss:3sg 'this house'
    - ii. gurt-jos-a-z house-pt-ttt-poss:3sg 'into these houses'
  - c. Attributive nominalization
    - i. Demonstrative ta-iz / so-iz DEM:PROX-POSS:3SG DEM:DIST-POSS:3SG 'this one over here' / 'that one over there'
    - ii. Possessor noun phrase

Ivan-len-\(\bar{e}z\)
Ivan-GEN-POSS:3SG
'the one of Ivan (Ivan's)'

- iii. Adjective

  badžym-ėz

  big-poss:3sg

  'the big one'
- d. Contrastive focus marking
  - i. badžym-ėz gurt big-poss:3sg house 'a/the LARGE house'
  - ii. badžym-jos-a-z gurt-jos-y big-PL-ILL-POSS:3SG house-PL-ILL 'into (the) LARGE houses'

The use of the suffix  $-\dot{e}z$  as marker of contrastive focus is obviously connected to its other non-possessive functions. The order of examples (1a) through (1d) probably reflects the functional expansion of the original possessive marker to a "determinative" marker on noun phrases and a contrastive focus marker on adjectives. The clue for understanding this development is the use of the suffix  $-\dot{e}z$  as an attributive nominalizer in headless noun phrases, as shown in (1c). Here, the determinative suffix is used as a true attributive nominalizer to mark a demonstrative (1c-i), a genitive possessor noun (1c-ii) or an adjective (1c-iii) as modifiers by projecting a full (headless) noun phrase. Note however that headless demonstratives, genitives, and adjectives are not obligatorily marked by means of attributive nominalization in Udmurt. The marker is used in order to emphasize the property denoted by the attribute and to contrast it to other properties of the same set.

The emphasizing function of the determinative suffix, finally, is the link to its use as contrastive focus marker on adjectives. It seems clear that these contrastive focus constructions originate from appositive constructions of nouns with emphasized headless attributes.<sup>1</sup>

(2)  $[NP \mid NP' \mid Abig \mid_{HEAD} \emptyset - NMLZ \mid_{N} house]$ 

<sup>&</sup>lt;sup>1</sup> The zero-morpheme (equipped with the nominalizer Ø-NMLZ) in (9.1.1.1) is only presented for a better illustration of the empty head position to which the (nominalized) adjective moves in this appositive noun phrase.

Kel'makov, Valentin
Hännikäinen, Sara
Udmurtin kielioppia ja attributive no harjoituksia (3) Juxtapo joituksia
Winkler, Eberhard
Hagreemer vide the best jectives (as w However, wh attributive no harjoituksia focus, i Winkler, Eberhard

The agreemer vide the best jectives (as w However, wh attributive no harjoituksia focus, i Winkler, a. Adj

Udmurt@Udmurt

The agreement patterns in noun phrases with attributes in contrastive focus provide the best evidence for this assumption. In their default use, attributive adjectives (as well as other modifiers) do not show agreement with the head noun. However, when the attribute is marked for contrastive focus (by means of the attributive nominalizer ATTR  $\Leftarrow$  Poss:3sg), case and number marking spread to the adjective.

- (3) Juxtaposition versus anti-construct state agreement marking (contrastive focus, in parentheses) (Kel'makov & Hännikäinen 1999; Winkler 2001)
  - a. Adjective attribute
    - i. badžym / badžym-ėz gurt
       big big-ATTR house
       'large house': 'LARGE house'
    - ii. badǯym / badǯym-jos-a-z gurt-jos-y
      big big-PL-ILL-ATTR house-PL-ILL
      'to (the) large houses': 'to (the) LARGE houses'
  - b. Genitive attribute<sup>2</sup>
    - i. *Ivan-len / Ivan-len-ėz gurt-ėz*Ivan-gen Ivan-gen-attr house-poss:3sg

'Ivan's house': 'IVAN's house'

- ii. Ivan-len / Ivan-jos-a-z-len gurt-jos-a-z
  Ivan-GEN Ivan-PL-ILL-ATTR-GEN house-PL-ILL-POSS:3SG
  'to Ivan's houses': 'to IVAN's houses'
- c. Demonstrative attribute
  - i. so /so-iz gurt

    DEM:DIST DEM:DIST-ATTR house

    'that house': 'THAT house'
  - ii. ta /ta-os-a-z gurt-jos-y

    DEM:PROX DEM:PROX-PL-ILL-ATTR house-PL-ILL

    'to these houses': 'to THESE houses'

Following the intuition of the authors of grammatical descriptions of Udmurt, however, one could also analyze these constructions as true noun phrases with a syntactic structure as in (9.1.1.1) (as opposed to 9.1.1.1) where the original nominalizer of the attribute in the headless noun phrase became a dependent marking

<sup>&</sup>lt;sup>2</sup> Note that the cross-referencing possessive agreement marker does not occur with a genitive construction in contrastive focus (Kel'makov & Hännikäinen 1999: 81).

attributive construct device linking the attribute in contrastive focus to the semantic head 'house' in the noun phrase.

#### ? $[NP]_A big$ -CONTR HEAD house

Even if head-driven number and case agreement is involved in attribution marking of adjectives in contrastive focus, Udmurt is better analyzed as a language exhibiting an attributive appositional construction rather than an anti-construct state agreement marking. The agreement and anti-construct state marking formatives are not fused and agreement marking occurs only indirectly as the result of the nominalization of the apposed headless adjective.

#### 9.1.1.2 Possessive suffixes as attributive nominalizers in other Uralic and in Turkic languages

Non-possessive uses of 3<sup>rd</sup> person singular possessive suffixes similar to Udmurt are well attested in several Uralic and Turkic languages.<sup>3</sup> In descriptions of these languages, the marker is often characterized as "emphatic-definite" or simply "definite" (cf. Tauli 1966: 148; Künnap 2004). But obviously this is greatly oversimplified. It is especially unclear what it would mean to mark an adjectival modifier as "definite".

Besides in Udmurt, the use of the (historical) 3<sup>rd</sup> person singular possessive suffix as a marker of contrastive focus is similarly regular (though less systematically described) in the other Permic languages (cf. Serebrennikov 1963: 67).

In the Mari languages, which belong to the Volgaic branch of Uralic, the possessive suffix is also commonly used as a determinative suffix for nouns (cf. Alhoniemi 1993: 75-76). The regular use of the formative to derive a certain set of "determinative" or contrastive focused demonstratives and quantifiers in Mari (similar to the Udmurt example (3c) on page 178) gives at least some evidence that Mari has (or had) an attributive nominalizer in contrastive focus constructions as well.4

#### Mari (Uralic; Alhoniemi 1993)

- a. "Short" demonstratives (i.e. unmarked)
  - i. tide 'this' / tudo 'that' (82)

Udmurt|) Tauli, Valter Structural Tendencies in Uralic Languages@Str Tendencies in Uralic Languages Künnap, Ago About the nonpersonal definite function of the Uralic 3rd person possessive suffix@Aboutthe nonpersonal definite function of the Ŭralic 3rd person possessive suffix Boris A. morfologija permskich

Permic languages Serebrennikov, Istoričeskaja

> jazykov@Is morfologija permskich jazykov

languages|(

Volgaic languages

Alhoniemi, Alho Grammatik

> des Tscheremissischen (Mari)@Gra des Tschere-

missischen (Mari)

 $<sup>^3</sup>$  In several languages, even  $2^{\rm rd}$  person singular possessive occurs in the same function.

<sup>&</sup>lt;sup>4</sup> The homophonous focus clitic = že in Mari (təi=že kuze ilaš tünalat? 'And how are YOU going to live?' Alhoniemi 1993: 80) is most likely not cognate with the 3<sup>rd</sup> person singular possessive suffix but borrowed from the formally and functionally similar marker focus marker in Russian.

```
Chuvash|(
Udmurt
Volga-Kama
   Linguistic
   Area
Udmurt
Mari
   languages|)
Tungusic
```

languages|( Khalkha Pavlov, I. P. Kategorija vydelénija v sovremennom čuvydelenija v sovremennom čuvašskom jazyke

Chuvash

Benzing,

che

Das

Johannes

che@Das

- b. "Long" demonstratives (i.e. in contrastive focus)
  - i. tide-že 'this one' / tudo-že 'that one' (82)
- c. Quantifiers in contrastive focus

```
Tônar-žô-m
                      môi nalam, Tônar-žô-m
                                                       tâi.
so.much-poss:3sg-Acc I
                          take,
                                 so.much-poss:3sg-ACC you
'So much I will take, so much you.' (83)
```

A similar use of the (historical) 3<sup>rd</sup> person singular possessive suffix as a marker of contrastive focus in the Turkic language Chuvash has been shown in § 7.13.1.0.1. Interestingly, the Turkic language Chuvash and the Uralic languages Mari and Udmurt are among the core members of the Volga-Kama Linguistic Area.<sup>5</sup> The languages of this linguistic area show linguistic convergence on several levels of their grammars. In all Uralic and Turkic languages of that area, at least the vasskom "emphatic-definite" use of the 3<sup>rd</sup> person singular possessive suffix is attested. Thus, it cannot be ruled out that the evolving attributive nominalizer in Chuvash, Mari and Udmurt has been borrowed in either direction.

The phenomenon might even reflect a much older and more widespread feature of a larger subarea of northern Eurasia including at least Tungusic. As demonstrated in the synchronic § 7.10 on Tungusic, similar constructions with the 3<sup>rd</sup> person singular possessive suffix also seem to regularly occur in this family. Even in other languages of the area, examples of the use of the 3<sup>rd</sup> person Tschuwaschissingular possessive suffix as an attributive nominalizer (though not on adjectives) Tschuwaschis are attested. Example (9.1.1.2) illustrates the use of the 3<sup>rd</sup> person singular possessive suffix as an attributive nominalizer of pronouns in Khalkha Mongolian.

- Attributive nominalization in Khalkha (Mongolic; Pavlov 1985: 6)
  - a. olan 'much' olan-ki 'what is in majority; the largest part'
  - b. numaj 'much' numajj-i 'what is in majority; the largest part'

Not also that the (historical) 3<sup>rd</sup> person singular possessive suffix occurs in practically all Turkic languages in lexicalized local and temporal attributes.

- Attributive nominalization in Chuvash (Turkic; Benzing 1963: 67–68)
  - a. śul-xi year-Loc:poss:3sg 'yearly, annual' (originally 'what is in a year')

<sup>&</sup>lt;sup>5</sup> Other core members of the Volga-Kama linguistic area are the Turkic languages Tatar and Bashkir. The Uralic languages Mordvin and Komi-Permyak are considered peripheral members (Helimski 2005).

b. yal-t-i
village-LOC-POSS:3SG
'local' (originally 'what is in a village')
c. kil-t-i
home-LOC-POSS:3SG
'domestic' (originally 'what is in the home')

It remains unclear whether the evolution of attributive nominalization and contrastive focus marking of attributive adjectives occurs independently in certain branches or areal groupings across Indo-European, Uralic, Turkic and Tungusic or goes back to a general northern Eurasian areal tendency.

#### 9.1.2 Attributive nominalizers in Indo-European

## 9.1.2.1 Attributive articles and the emergence of anti-construct state agreement marking in Baltic and Slavic

Russian is the only Slavic language exhibiting anti-construct state agreement marking as the default and only type of attributive connection of adjectives (xorošij ATTR:NOM.M.SG 'good' versus xoroš PRED:NOM.M.SG, see also § 7.19.9.2.1). The Russian construction in which attributive adjectives are obligatorily equipped with special anti-construct state agreement suffixes resembles a construction in the closely related Baltic languages. In the latter, however, the occurrence of anti-construct state agreement marking is usually described as being restricted to definite noun phrases. The competition between complex attributive agreement and "pure" agreement marking was already characteristic of Old Baltic languages (cf. Lithuanian geràsis versus gēras, Latvian labais versus labs 'good') and Old Slavic languages (cf. Old Bulgarian dobrojo versus dobro 'good'). Old Slavic and Old Baltic languages are thus similar to modern Lithuanian and modern Latvian in exhibiting two types of adjective attribution marking suffixes in different functions.

In the Slavic and Indo-European linguistic traditions, adjectives equipped with anti-construct state agreement marking are normally referred to as "long-form adjectives" (contrasted to "short-form adjectives"). Other commonly used terms for the anti-construct state agreement markers are "pronominal, complex" or "compound" agreement suffixes. Analogically, the two inflectional paradigms of long- versus short-form adjectives equipped with number, gender, and case agreement values are normally labeled in a similar way as "long-form, pronominal, complex, or compound" versus "short-form" adjective declension. Obviously,

languages|) Chuvashl) Uralic languages|) Turkic languages|) Indo-European languages|( languages|( Slavic languages|( Russian Old Baltic languages Lithuanian Latvian Old Slavic languages Bulgarian Old Baltic languages Lithuanian Latvian

Tungusic

Old Slavic languages Proto-Indo-European

Lithuanian Latvian Old

Bulgarian

Proto-Baltic/Slavic Proto-Indo-

European Wissemann, Heinz

Zur nominalen Determination I@Zur nominalen Determination

Old Indo-Aryan languages

Old Iranian languages Ancient Greek

Heinrichs, Heinrich Matthias

Studien zum bestimmten Artikel in den germanis-

zum bestimmten Artikel in den germanischen Sprachen

Leskien, August

Handbuch der altbulgarischen (al-

tkirchenslavischen) Sprache: Grammatik. Texte,

these terms describe the form or the origin of the formative rather than its function and are rather useless for a typological comparison.

Similar to the modern Baltic languages, the markers are sometimes also labeled "definite" agreement suffixes in Old Slavic. As will be shown below, the notion of "definiteness" does not exactly cover the functionality of the marker in Old Slavic either.

The respective attributive constructions in modern Slavic and Baltic languages have already been dealt with in the synchronic part of this investigation (especially §§7.19.9, 7.19.4). In the present chapter, the origin and development of anti-construct state agreement marking in Baltic and Slavic along two possible grammaticalization paths (see 9.1.2.1.2 below) will be discussed. It will be argued that these constructions have arisen from attributive articles which originally marked contrastive focus of the attribute rather than from nominal relative constructions. Before dealing with the syntactic evolution of the attributive constructions in Slavic and Baltic, however, the etymology of the formative (which is similar for both scenarios) will be sketched in the following short section.

9.1.2.1.1 Etymology of the formative Whereas the "pure" agreement declension (of the so-called short-forms) of adjectives continues the Proto-Indo-European default type of adjective attribution marking, the anti-construct (long-form) agreement suffixes, as in Lithuanian geràs-is žmõgus, Latvian laba-is cilvēks, or Old Bulgarian dobro-jb človeko 'the good person', arose as a result of a phonological merger between the short-form agreement suffixes of the adjective and a pronominal stem reconstructed as Proto-Baltic/Slavic \*-ji/jb-.

This pronominal part of the long-form agreement suffix likely goes back to a pronominal stem reconstructed as Proto-Indo-European \*jo- (Wissemann 1958: 61). The anti-construct state agreement marker in Baltic/Slavic could thus be cognate with relative markers in other Indo-European languages, such as Old cnen Sprachen@Station Aryan yá-h, Old Iranian yō, or Ancient Greek hós Heinrichs (1954: 53).

An alternative etymology has been suggested by Mikkola (1950: 52; see also Leskien 1871: 102; Leskien 1919: 164-165; van Wijk 1935: 19ff.). Mikkola believes that Proto-Baltic/Slavic \*-jb- was an anaphoric marker which goes back to the 3<sup>rd</sup> person singular pronoun (cf. Lithuanian jìs, jõ 3sg:GEN or Old Bulgarian jb, jego 3sg:GEN). The phonological merger of Indo-European \*is 3sg.m with \*jos м 'which' in Baltic/Slavic Schmidt (1959: 21 Footnote 8) makes this explanation possible from the point of view of sound correspondence.

The terminus post quem of the innovative attribution marking in Baltic and Slavic can be determined relatively easily. Different phonological and morpho-

Glos-

logical developments of the long-form agreement suffixes in Baltic and Slavic imply that the phonological merger of adjective and the formative \*-*j*<sub>b</sub>- took place independently in Old Slavic and Old Baltic (Koch 1992: 64–65).

It is not certain whether the Baltic and Slavic branches of Indo-European go back to a common proto-form or Proto-Baltic/Slavic have to be reconstructed as independent Indo-European daughter languages. If the latter case proves to be right, the rise of anti-construct state agreement marking could be parallel, but due to contact in Proto-Baltic/Slavic (as stated, for example, by Pohl 1980: 77). Since the reconstruction of proto-languages is not an aim of this investigation and since the developments in Baltic and Slavic are similar from a chronological, functional and (Indo-European) etymological point of view, discussing the rise of anti-construct state agreement marking in Baltic and Slavic together in the same section makes perfect sense.

**9.1.2.1.2 Evolution of the construction** It is commonly assumed that the function of the long-form suffix on the adjective in Old Baltic and Old Slavic was to mark the noun phrase as definite. This opinion is repeated by practically all authors of comparative grammars and reference books of the Baltic/Slavic languages as well as in works dealing specifically with adjectives and noun phrase syntax of these languages (cf. Mendoza 2004: 211 with references).

Definite nouns, however, are not obligatorily modified by long-form adjectives in Old Slavic. Furthermore, nominalized (headless) adjectives are normally equipped with long-form suffixes, regardless of the referential status of the noun phrase as definite or indefinite. The analysis of the long-form adjective suffix as definite marker might thus not be as straightforward as it appears in the reference books.

Mendoza (2004: 214–215) connects the original distribution of long- versus short-forms to the restrictive versus non-restrictive semantics of the attribute instead of the referential status of the modified noun. Tolstoj (1957), who sees the main function of the long-form adjectives likewise in setting a certain property of a referent apart from properties of the rest of similar referents, could be interpreted in a similar way.

The later re-interpretation of such restrictive expressions as definite and even the generalization of the original restrictive adjective marker to a marker of anaphoric reference of the modified noun seems functionally plausible. There is no indication, however, that the long-form agreement suffixes morphologized to true definite markers in the Old Slavic languages. Even in the modern stages of the South Slavic languages Slovenian and Serbo-Croatian, where remnants of the

Old Slavic languages Old Baltic languages Koch. Christoph Zur Vorgeschich des relativen Attributkonnexes im Baltischen und Slavischen@Zur Vorgeschicht relativen Attributkonnexes im Baltischen und Slavischen Proto-Baltic/Slavio

> Baltic/Slavio Pohl. Heinz Dieter Baltisch und Slavisch: die Fiktion von der baltischslavischen Spracheinheit: erster Teil@Baltise und Slavisch: die

Proto-

Old Baltic languages Old Slavic languages

Teil

Fiktion

von der baltisch-

slavischen

Spracheinheit: erster

Mendoza, Imke

Nominaldeterm im Polnischen: die primären

> Ausdrucksmit

Bulgarian Macedonian Old Bulgarian

Bulgarian Macedonian Lithuanian Krámský, Jiří The article and the

concept

of definiteness

in lan-

guage@The article and the concept of definiteness in language Old Baltic

languages Old Slavic languages Proto-Baltic/Slavic

Proto-Baltic/Slavic Delbrück.

Berthold Leavin
Vergleichende agreemer
Syntax
der ing theori
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Sprachen@Vergleichende

Syntax der indogermanischen Sprachen Brugmann,

Karl Delbrück, Berthold

Berthold
Grundriss
der
vergleichenden
Grammatik der
indogermanischen
Sprachen:
kurzgefasste
Darstellung der
Geschichte

des Altindistwo different adjective inflections still occur, the so-called definite (long-form) declension of adjectives is semantically restricted to certain adjectival subclasses (see § 7.19.9.3).

Furthermore, in Bulgarian and Macedonian, which are the only modern Slavic languages exhibiting a fully morphologized category SPECIES, the respective definite marking does not originate from the long-form adjectives. This is true despite the fact that the long-form agreement marking in Old Bulgarian (i.e. the ancestor language of Modern Bulgarian and Modern Macedonian) is attested to have almost grammaticalized as a marker of anaphoric reference of the noun phrase.

Note also that even the morphological status of the so-called definite adjectives in the modern Baltic languages has been doubted. It has sometimes been argued that the long-form adjective in Lithuanian might convey emphasis rather than definiteness, at least in certain expressions (cf. Krámský 1972: 181–182).

Even though the long-form agreement suffixes in Old Baltic and Old Slavic show some functional extension to markers of anaphoric reference or even definiteness of the noun phrase, this development is secondary. The original function of the long-form agreement suffixes was to mark an adjectival attribute in an emphatic or contrastive focus construction. Consequently, the suffix \*-jb- in Proto-Baltic/Slavic has to be analyzed as an attribution marker on the adjective rather than as a marker of definiteness of the modified noun.

Leaving the question about the further development of the anti-construct state agreement marker \*-jb- in different Baltic and Slavic languages aside, two opposing theories about its original function and the assumed functional developments of the anti-construct state agreement marker in Baltic and Slavic will be discussed in the following sections:

- Scenario 1: The formative ATTR arose from a relative pronoun, hence:  $DEM \Rightarrow REL \Rightarrow ATTR$
- Scenario 2: The formative ATTR arose from an attributive article, hence:  $DEM \Rightarrow NMLZ \Rightarrow ATTR$

9.1.2.1.3 Scenario 1: Nominal relative constructions in Proto-Baltic/Slavic Actording to the first theory, the attributive marker in Baltic and Slavic originates from a relative pronoun. This theory seems to be widely accepted since Delbrück's and Brugmann's (cf. Delbrück 1893: 432–433; Brugmann & Delbrück 1897-1916: 331, 344) statements on the question. Their argumentation has been taken up and augmented with new data by Schmidt (1959), Koch (1992; 1999) and

others. Koch argues that a reflex of the Proto-Indo-European relative pronoun  $^*(h)$  io- is attested as an attributive marker of adjectival, possessive, and adverbial modifiers of nouns in Proto-Baltic/Slavic. He describes the constructions in which these attributes occur as "nominal relative constructions" Koch (1999: 470, elsewhere).

The most substantial part in Koch's argumentation seems to be the similar use of cognate relative pronouns as polyfunctional markers in relative constructions as attested in Old Iranian and Old Indo-Aryan languages.

- (8) Ezafe in Old Persian (Indo-European; Meillet 1931, here cited after Samvelian 2007b: 4)
  - a. [kāra [hya manā]]'my army' (lit. 'army which is mine')
  - b. [kāsaka [hya kapautaka]]'the blue stone' (lit. 'stone which is blue')
  - c. vivānam jatā utā avam [kāram [hya dārayavahaus xšāyaθiyhyā]]
     'Beat Vivâna and his army which declares itself as a proponent of the king Darius.'

Koch's (1992: 53, elsewhere) main arguments for the old age of the relative function of  $^*(h)$  $\!i\!o\!$ - in Proto-Indo-European are found in attested cognate markers. In several Indo-European languages, the historical  $^*(h)$  $\!i\!o\!$ - pronoun marks similar relative constructions as in the Old Persian examples (9.1.2.1.3). Koch does not disprove, however, the assumption that the relative function of the pronoun derives from the deictic-anaphorical marking by means of a demonstrative. In fact, the Old Persian examples (9.1.2.1.3) clearly show verb-less relative constructions linked to the head noun with an attributive article.

Furthermore, it is not certain whether the old pronoun (or article) \*(h)*io*- was inherited into Proto-Baltic/Slavic. The pronominal stem is attested in Baltic or Slavic only as the base of some derived connectors Heinrichs (1954: 56). Even though the etymological pronoun seems to be preserved in the stem of the Old Bulgarian relative marker jb-že, the function of this marker is clearly yielded by the emphatic particle -že Heinrichs (1954: 56). The old relative pronoun seems to be completely lost in Old Baltic where different relative markers occur (as in Lithuanian  $ku\tilde{r}s \Leftarrow kuris$ , Latvian  $ku\tilde{r}s$  noted by Schmidt 1959: 15).

Koch (1999: 468, 470) dates the original relative construction back to an early Pre-Proto-Baltic/Slavic age. According to him, the relative pronoun did not agree in case with the head noun in the inherited Indo-European relative construction

European Baltic/Slavio Christoph Weiterungen des relativen Attributivkonnexes. Die westslavischen Adverbialadiektiva auf -ei's-@ Weiterung relativen Attributivkonnexes. Die westslavischen Adverbialadjektiva auf-ej's-

Proto-Indo-

Koch, ?? Old Iranian languages Old Indo-Aryan

languages Old Persian|( Old Persian Meillet.

Antoine
Grammaire
du vieuxperse@Gran
du vieuxperse

Samvelian, Pollet

The Ezafe as a head-marking inflectional affix: evidence from Persian and Kurmanji Kurdish@ The Ezafe as a head-

marking inflectional affix:

Baltic/Slavic
Old Baltic
languages
Old Slavic
languages
ProtoBaltic/Slavic

Proto-

Pre-Proto-Baltic/Slavic Koch, Christoph

Weiterungen des relativen Attributivkonnexes. Die westslavischen Adverbialadjektiva auf -ei's-@ Weiterungen des relativen Attributivkonnexes. Die westslavischen Adverbial-

Proto-Baltic/Slavic Pre-Proto-

adjektiva auf -ej's-

Baltic/Slavic
ProtoBaltic/Slavic

Old Baltic languages Old Slavic languages Pre-Proto-

Baltic/Slavic Indo-Aryan languages

Iranian languages (9). Such morpho-syntactic behavior would in fact be expected from a true relative pronoun. But according to Koch's reconstruction (9b), case agreement between a head noun and a relative pronoun was already present in Proto-Baltic/Slavic Finally, the long-form agreement inflection arose independently as a result of the phonological merger of the adjective and the original pronoun in Old Baltic and Old Slavic (9c). Most crucial in this reconstruction is the fact that the assumed original relative pronoun has obviously never marked a true relative clause construction in Proto-Baltic/Slavic.

(9) a. Nominal relative constructions in Pre-Proto-Baltic/Slavic (Indo-European; Koch 1999: 468)<sup>6</sup>

\*dråugås gīvås jås / \*dråugåm gīvås jås friend:nom good:nom rel:nom / friend:acc good:nom rel:nom  $N_{nom}$   $A_{nom}$   $ReL_{nom}$   $N_{acc}$   $A_{nom}$   $ReL_{nom}$ 

c. Old Baltic/Old Slavic anti-construct state agreement marking  $^*dr^*aug^*as = g\bar{\imath}v^*a-j^*as = /^*dr^*aug^*am = g\bar{\imath}v^*a-j^*am$  friend:NOM good-ATTR:NOM / friend:ACC good-ATTR:NOM  $N_{nom} = A$ -ATTR $_{nom} = N_{acc} = A$ -ATTR $_{acc} = A$ -

This assumed development presupposes the transition of original "nominal relative constructions" in Pre-Proto-Baltic/Slavic (step 1) to a construction with an attributive article (NMLZ) in Proto-Baltic/Slavic as an intermediate step (2). The anti-construct ("long-form", i.e. ATTR) agreement marking arose as a last step (3) in Old Baltic and Old Slavic.

- Stage 1  $[NP \ HEADN \ [ATTRIBUTE(CLAUSE)A_{[+agr]} \ REL_{[-agr]}]]$
- Stage 2  $[NP \ HEADN \ [ATTRIBUTE(NP')A_{[+agr]}]$ -NMLZ $_{[+agr]}]$ ]
- Stage 3 [ $_{NP\ HEAD}$ N  $_{ATTRIBUTE(A)}$ A-ATTR[ $_{+agr}$ ]

Koch's reconstruction gives no conclusive arguments for the existence of "nominal relative constructions" marked with a relative pronoun  $^*(h)$  $\!$ io- in Pre-Proto-Baltic/Slavic. Theoretically, the attributive nominalization construction (step 2) could be much older and be the primary one in Indo-European. The corresponding "nominal relative constructions" in Indo-Aryan and Iranian might just as well

<sup>&</sup>lt;sup>6</sup> The example is glossed in accordance to Koch; a translation is missing in the source.

originate from attributive nominalization constructions. The Indo-European relative pronoun  $^*(h)_{io}$ - would than go back to a deictic pronoun, probably  $^*i$ - ( $\Rightarrow$  Latin, Gothic is DEM) which was used as attributive article as early as in Proto-Indo-European.

9.1.2.1.4 Scenario 2: Attributive nominalizing constructions in Proto-Baltic/Slavic germanisc syntaktis-che Baltic/Slavic, the attributive marker was originally an article. One opponent of

the "relative" theory is van Wijk, who believes

[...] dass wir fürs Slavische vollständig auskommen ohne die Annahme relativer Pronominalformen vom idg. Stamme <code>ie/io-</code>, und dass dasselbe für das Baltische gilt. (van Wijk 1935: 28)

Leaving open whether an attributive article or a relative pronoun constitutes the ultimate origin of the anti-construct state agreement in Pre-Proto-Baltic/Slavic, Koch's reconstruction would in fact be compatible with Wijk's "article theory". The attribute nominalizing construction with the pronominal marker \*-jb- as attributive article in Proto-Baltic/Slavic is clearly reflected in step 2 of Koch's reconstruction (examples 9b and 9.1.2.1.3). The final step 3 in which the attributive nominalizer becomes an anti-construct state marker is completely similar to the development assumed by van Wijk (1935).

The most plausible functional explanation of the grammaticalization of the pronominal marker \*-jb- into an attributive article is formulated by Wissemann (1958). He argues that the original function of the anti-construct ("long-form") agreement suffixes was that of a "Gelenkspartikel" (Wissemann 1958: 76), i.e. an attributive article or attributive nominalizer in terms of the present study. Wissemann also shows that the function as anaphoric ("quasi-definite") noun phrase marker is secondary.

Another argument in favor of the attributive nominalizing function of the Proto-Baltic/Slavic attributive article  $^*$ -jb- can be found in its polyfunctional use with different types of attributes. Besides marking the attributive connection of (emphasized) adjectives and participles, the article also served to mark some non-adjectival (and originally non-agreeing) attributes, such as adverbial and genitive phrases.

Koch (1999: 467–468) gives a list of lexicalized attributive expressions in which \*-jb- occurs as an attributive marker. These examples of frozen nominalizations present evidence of the original attributive nominalizing function of the Proto-Baltic/Slavic article.

Proto-Indo-European **Proto-**

Proto-Baltic/Slavi

Wijk, Nicolaas van

e slavischgermanische syntaktische Parallele@Eine slavischgermanische syntaktis-

Parallele
Pre-ProtoBaltic/Slavio

che

Baltic/Slavid

Nicolaas van Eine slavisch-

> germanische syntaktische Parallele@Eine slavischgermanische syntaktische Parallele

linking article see also

Wissemann, Heinz

Zur nominalen
Determination
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Determination

Proto-Baltic/Slavio

Koch, Christoph Weiterungen

des relativen Attributivkonnexes. Die west-

slavischen Adverbial-

Old Bulgarian

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Bulgarian Lithuanian

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Old Baltic languages

Old Slavic

languages

Proto-Baltic/Slavic

Proto-Germanic

Heinrichs.

Heinrich Matthias

Studien zum bestimmten

Artikel in den germanischen

zum bestimmten Artikel in

den germanischen

Sprachen Ringe, Don

From Proto-Indo-European to Proto-Proto-

Indo-European to Proto-

Germanic

Germanic languages

Old

- (10)a. Attribution of adverbial phrases
  - i. Old Bulgarian utrějь 'tomorrow- (attr.)' ← (j)utrě 'morning'
  - ii. Old Bulgarian vьnějь 'outside (attr.)' ← vьně '(on the) outside' bezumajь 'ignorant' ← bez uma 'without mind'
  - iii. Old Bulgarian nabožijojь 'pleasing to God (attr.)' ← na božijo 'pleasing to God'
  - b. Attribution of genitive phrases (attested only in Baltic)
    - i. Lithuanian diẽvojis 'god-like (attr.)' ← diẽvo gen.sg ← diẽvas nom.sg 'God'
    - ii. Lithuanian pačių̃is 'belonging to (attr.)' ← pačių̃ GEN.PL ← pats NOM.PL 'self'

Against his own suggestion that anti-construct state agreement marking in Baltic/Slavic originates from nominal relative constructions, in other words:

• Scenario 2: DEM  $\Rightarrow$  NMLZ  $\Rightarrow$  ATTR

Koch's examples provide the best arguments for the opposite assumption that Sprachen@Studieributive nominalizing constructions are the source of that marker.

#### 9.1.2.2 Attributive nominalizers and the emergence of anti-construct state agreement marking in Germanic

As in the Baltic/Slavic languages, the emergence of attributive nominalizers in Germanic is functionally connected to the rise of definiteness marking. In Modern Baltic and some South Slavic languages, the occurrence of anti-construct state agreement marking is restricted to (semantically) definite noun phrases. Germanic@F**rPP**is functional devision between "true" head-driven agreement and anti-construct state agreement marking was already characteristic of all Old Baltic and Old Slavic languages.

As in the Proto-Baltic/Slavic languages, a secondary inflectional paradigm of adjectives was innovated in Proto-Germanic. This so-called weak adjective declension has often been described as the first definite marking device in Germanic (e.g. by Heinrichs 1954 and Ringe 2006: 170) because its use was restricted to (semantically) definite noun phrases. Semantic definiteness, however, was never marked obligatorily in any of the Old Germanic languages. Even though demonstrative pronouns were sometimes used in semantically definite phrases, definite

markers had not yet been grammaticalized in Old Germanic varieties. Examples from Old Germanic text sources show that the use of both demonstratives and "weak adjectives" in definite phrases was optional (cf. Philippi 1997; Heinrichs 1954).

Only the modern Germanic languages exhibit true definite markers and thus a grammaticalized feature SPECIES. But the so-called definite articles of modern Germanic languages originate from etymological sources which were different from the older anti-construct state agreement marking suffixes. Following Rießler (2006a: 267–268), the rise of the Germanic "weak" adjective declension is here explained as a result of attributive nominalization.

- (11) "Strong" and "weak" agreement in Proto-Germanic (Ringe 2006: 169)
  - a. Head-driven ("strong") agreement \*kwikwa-quick:M.SG.NOM-
  - b. Anti-construct state ("weak") agreement
     \*k<sup>w</sup>ik<sup>w</sup>a-n quick:м.sg.noм-nmlz 'quick'

The Pre-Proto-Germanic formative marking "weak" agreement is sometimes described as an "individualizing" or "nominalizing" suffix of nominals (i.e. adjectives and, perhaps, nouns as well). These functions are reflected in (nick-) names, such as Ancient Greek  $\acute{a}g\acute{a}th\acute{o}n$  'the Good' ( $\leftarrow \acute{a}g\acute{a}th\acute{o}s$  'good') or Latin  $Cat\bar{o}$  'the Shrewd' ( $\leftarrow catus$  'shrewd') which are also derived from nouns equipped with the cognate suffix \*-n- (Ringe 2006: 170).

Some scholars have reconstructed a pronominal stem extension \*-en-/-on- as the origin of the suffix (for example Mikkola 1950: 52 and Heinrichs 1954: 67). Others express their doubt about the pronominal origin of this marker (for example Schmidt 1959: 21 Footnote 6). But even without a definitely reconstructed etymology of the formative, the construction clearly shows similarities with the attributive nominalization of adjectives in Proto-Baltic/Slavic. It thus seems relatively safe to follow Mikkola (1950) and Heinrichs (1954) in assuming that the weak adjective declension in Germanic goes back to a construction with an attributive nominalizer.

Ringe (2006: 170) finds it "reasonable to hypothesize that the *n*-stem suffix of the weak adjective paradigm was originally a definite article". But this hy-

Philippi, Julia The rise of the article in the Germanic languages@The rise of the article in the Germanic languages Heinrichs, Heinrich Matthias Studien zum bestimmten Artikel in den germanischen Sprachen@. zum bestimmten Artikel in den germanischen Sprachen Baltic languages|) languages|) Rießler, Michael Die Evolution attributiver

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Ringe, Don From Proto-

Indo-

European to Proto-Germanic@ Proto-Indo-European

to Proto-Germanic Pre-Proto-Germanic

<sup>&</sup>lt;sup>7</sup> Names such as Latin *Marcus Catō*, *Ovidius Nasō* are interpreted as 'Marcus the cunning' and 'Ovidius the nose' (Nocentini 1996: 6-7).

Baltic languages
Slavic languages
Baltic languages
Slavic languages
ProtoGermanic
Old

Germanic

languages

pothesis must be rejected because the marker was never obligatory in definite contexts. Similar to Baltic and Slavic, it seems much more plausible to assume that the article was never a true definiteness marker. It can rather be assumed that the clue for understanding the origin of the "weak" adjective declension in Germanic is the nominalizing function of the *article*, which originally marked an (emphatically-contrasted) adjective as an appositional attribute.

The rise of anti-construct state agreement marking of attributive adjectives in Germanic thus followed a similar grammaticalization path as in Baltic and Slavic. $^8$ 

- (12) Grammaticalization of anti-construct state agreement in Germanic
  - a. Stage 1
    - i. Agreement marking (default) [NP A big-AGR N house]
    - ii. Attributive apposition (emphatic)  $[NP \mid NP' \mid A \text{big }_{HEAD} \emptyset \text{-NMLZ} \mid N \text{house}]]$
  - b. Stage 2
    - i. Agreement marking (default) [NP A big-AGR N house]
    - ii. Agreement marking (emphatic) [NP A big-AGR:CONTR N house]
  - c. Stage 3
    - i. Agreement marking (default)  $[NP]_A$  big-AGR:ATTR N house

During Stage 1 (12a), the attributive nominalizer (i.e. the pronominal stem extension \*-en-/-on-) competed with the default adjective attribution marking device (i.e. the inherited Indo-European head-driven agreement) but was restricted only to emphatic attributive appositional constructions. This stage can be dated back to Proto-Germanic at the latest. In all Old Germanic languages, the original attributive appositional construction is reanalyzed as a true noun phrase in which the former attributive nominalizer marks an adjective in contrastive focus. The secondary attribution marking device still competed with the default adjective attribution marking device (i.e. head-driven agreement during Stage 2 (12b). The competition between the two different adjective attribution marking

<sup>&</sup>lt;sup>8</sup> The zero-morpheme (equipped with the nominalizer Ø-NMLZ) in (12) and following examples is only presented for a better illustration of the empty head position to which the (nominalized) adjective moves in the appositive noun phrase.

devices was dissolved during Stage 3 (12c). This stage is reflected by the modern West Germanic languages where only one type of adjective attribution marking occurs. Due to the fact that agreement inflection of adjectives in modern West Germanic languages (except English) only marks attributive but not predicative adjectives, this adjective attribution marking device has been characterized as anti-construct state agreement (see § 7.19.6.1).

#### 9.1.3 Excursus: Definite noun phrases in Germanic

In the previous section, it was shown that the grammaticalization of the feature SPECIES (definiteness) in Germanic is a relatively recent phenomenon which is not directly connected to the rise of attributive nominalization and anti-construct state agreement marking (so-called "weak" or "definite" agreement). Even though anti-construct state agreement usually occurred in semantically definite noun phrases, true definite markers evolved much later.

The etymological source of the definite markers were local-deictic (demonstrative) pronouns: Proto-Germanic \*sa, \*sō, \*pat, in North Germanic additionally also en, enn, et (Heinrichs 1954: 15). Interestingly, the evolving definite markers from the first set of Proto-Germanic demonstratives were also first used as attribution markers of adjectives (Gamillscheg 1937; Nocentini 1996). Later, the use of the articles was extended from appositive (nominalized) adjectives to whole noun phrases (Philippi 1997: 63). If the grammaticalization path illustrated in (12) is extended with one more stage, the evolution of definiteness marking in Germanic can be included as well. Note that the additional developments in the grammaticalization path (9.1.3) are also partly connected to adjective attribution.

- (13) Grammaticalization of definiteness marking in West Germanic
  - a. Stage 3
    - i. Agreement marking (default) [NP A big-AGR:ATTR N house]
  - b. Stage 4
    - i. Definiteness marking  $[_{NP\ DEF} \text{the }_{A} \text{big-AGR:ATTR }_{N} \text{house}]$

Note that an attributive apposition construction for marking emphasis occurs twice in the illustrated grammaticalization path (9.1.3). In Stage 1 (12a-ii), the

West Germanic languages Germanic languages English definiteness marking species ( Germanic Heinrichs, Heinrich Matthias Studien zum bestimmten Artikel in den germanischen Sprachen@. zum bestimmten Artikel in den germanischen Sprachen Proto-Germanic Gamillscheg, Ernst Zum romanischen Artikel und Possessivpronome romanischen Artikel

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vice in the illustrated grammaticalization path (9.1.3). In Stage 1 (12a-ii), the

North Germanic languages Old North Germanic

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Germanic languages North Germanic

languages Saamic languages Kusmenko,

Jurij Der samische Einfluss auf die skandinavischen Sprachen:

**Beitrag** 

zur

nordgermanischen Einfluss

auf die skandinavischen Sprachen: *Beitrag* nordgermanischen Sprachgeschichten

attributive nominalizer is the pronominal stem extension \*-en-/-on- which becomes the anti-constract state agreement marker in the following stage (12b-ii). The second attributive nominalizer in Stage 3 (13a-ii) is the demonstrative pronoun which becomes the definite marker in the following stage (13b-i). These two attributive nominalizers have different etymological sources and attach to different positions inside the noun phrase but they are functional equivalents.

Stage 4 in example (9.1.3) did not fully affect North Germanic. Instead, the Old North Germanic languages (Old East and Old West Norse) grammaticalized definite markers from the demonstratives en, enn, et (Heinrichs 1954: 15). These markers are the complete morpho-syntactic opposites of West Germanic: Unlike the West Germanic preposed and free form definite marker, all modern North Germanic standard languages exhibit a postposed definite noun inflection. The different morpho-syntactic realization of the general Germanic tendency towards grammaticalization of definiteness is best explained as contact-induced change *ŭdien* due to Saamic influence in North Germanic (Kusmenko 2008).

- (14)Grammaticalization of definiteness marking in Germanic
  - a. Stage 4
    - i. Definiteness marking (West Germanic)  $[_{NP} _{DEF}$ the  $_{A}$ big-AGR:ATTR  $_{N}$ house]
    - ii. Definiteness marking (North Germanic) [NP ATTR:AGRtheagr:attr Abig-AGR:ATTR Nhouse-DEF]

Note that in North Germanic Stage 4 (14a-ii) the former preposed nominalizer (article) did not grammaticalize into a true definite marker like in West Germanic but into an anti-construct state agreement marker. The noun phrase structure is thus different from Stage 3 (13a-ii) because the attributive apposition of a the nominalized headless adjective is lost and the semantic head of the overall noun phrase is syntactically reunited with its adjectival modifier.

Synchronic data from different North Germanic varieties reflect intermediate stages in the evolution of definite noun phrase structure. This cross-linguistic variation is most likely the result of competing grammaticalization of a preposed article and a postposed definite inflection (Dahl 2003).

As with all modern West Germanic languages, the Western Jutlandic dialect Sprachgeschichte@Der
Sprachgeschichte@Der
of Danish exhibits phrasal definite marking by means of a phonologically free and preposed definite article.

<sup>&</sup>lt;sup>9</sup> In English, the noun phrase structure is similar in theory, with the exception of headless adjectives which are obligatorily nominalized: the good one; see also § 7.19.6.1.

- (15) W-Jutlandic<sup>10</sup>
  - a. de korn [DEF corn]
  - b. de god (et) [DEF good:AGR (NMLZ:AGR)]
  - c. de god korn [DEF good:AGR corn]

In several of the northernmost North Germanic varieties, definiteness is also marked phrasally but by means of a phonologically bound and postposed formative. Consequently, the phrasal definite marker attaches as suffix to definite nouns and definite headless adjectives alike. Note also that adjectives are incorporated into (or compounded with) the head noun.

- (16) Västerbotten Swedish<sup>11</sup>
  - a. *korn-e* [corn-DEF]
  - b. god-e [good-def]
  - c. god-korn-e [good-corn-DEF]

In the North Germanic languages Norwegian<sup>12</sup> and Swedish as well as in Faroese, the definite marker is an inflectional suffix as in the Västerbotten dialect of Swedish, i.e. phonologically bound and postposed. The formative is, however, exclusively a noun marker and does not show up on headless adjectives in definite noun phrases. The latter are not overtly marked as definite but show circumpositioned definite agreement marking by means of a preposed attributive article and definite agreement inflection.

- (17) Swedish (personal knowledge)
  - a. korn-et [corn-DEF]
  - b. det god-a korn-et [NMLZ:AGR good-AGR corn-DEF]
  - c. det god-a [nmlz:agr good-agr]
  - d. \* det korn-et

In Danish and (colloquial) Icelandic, the definite marker has two allomorphs: an inflectional noun suffix similar to Swedish (i.e. a phonologically bound and postposed) and a definite article similar to the West Germanic languages (i.e. phonologically free and preposed). Interestingly, the allomorphy of the definite marker

North
Germanic
languages
Swedish!Väster
Norwegian
Swedish
Faroese
Swedish!Väster
Swedish
Danish
Icelandic
Swedish
West
Germanic
languages

 $<sup>^{\</sup>rm 10}$  The examples are constructed according to Lund (1932), cf. also Delsing (1993: 121–122) and Dahl (2003).

<sup>&</sup>lt;sup>11</sup> The examples are constructed according to Åström (1893), cf. also Delsing (1993: 122–123) and Dahl (2003).

<sup>12</sup> New- and Dano Norwegian

Danish Icelandic North Germanic

in Danish and Icelandic is triggered by the part-of-speech membership of the host: Whereas the bound allomorph selects for nouns, the free form selects for adjectives.

languages|( Delsing,

(18) Danish (personal knowledge)

Lars-Olof Nominalfrassyntax

a. korn-et [corn-def]

i skandinaviska

b. det god-e korn [def good-agr corn]

 $\begin{array}{ll} \text{dialek-} & \text{c.} \\ \text{ter} @Nominal frassyntax \end{array}$ 

det god-e [DEF good-AGR]

i skandinaviska d. \* det god-e korn-et

dialekter Rießler, Michael

Table 9.1: Paradigm of the definite marker in Danish (personal knowledge). Note that the choice whether the suffix or the free from constitute the base morpheme or the allomorph seems arbitrary.

 UTR
 N
 PL

 DEF | -en [den]
 -et [det]
 -Ø [de]

North Scandinavian and Saami – two morphosyntactic parallels@North Scandina-

Scandinavian and Saami –

two morphosyntactic parallels

Rießler, Michael Samiskt och

Samiskt och finskt substrat i nordskandinaviska dialekter@Samiskt

ter@Sami och finskt substrat i nordskandinaviska dialekter

- (19) Icelandic (personal knowledge)
  - a. korn-ið [corn-def]
  - b. *hið goð-a* [DEF good-AGR]
  - c. hið goð-a korn [def good-agr corn]
  - d. \* hið goð-a korn-ið [DEF good-AGR corn-DEF]

9.1.3.0.1 "Double definiteness" and a "buffer zone" in North Germanic The geographic distribution of different morpho-syntactic types of definiteness marking across North Germanic reveals interesting areal patterns. The occurrence of adjective incorporation coincides with the area of the missing preposed article. Both features are characteristic of the northeastern periphery of North Germanic (Delsing 1996, cf. also Rießler 2001; 2002; for adjective incorporation cf. also the map in Figure ??). The structural connection between adjective incorporation and the missing preposed article is obvious: The construction with the compounded (incorporated) adjective in definite noun phrases substitutes the respective construction with the preposed article in those dialects where a preposed article has not (yet) been developed from the former demonstrative. The northeastern North

Germanic data thus reflects an early Stage 3 in the illustrated grammaticalization path (12c).

The northeastern North Germanic dialect area constitutes the innovation center of the grammaticalization of a (suffixed) inflectional category species (definiteness). The southwestern North Germanic dialects, located geographically at the very opposite periphery, exhibit a structurally reversed picture of northeastern North Germanic which is in its direction of evolution almost identical to the situation in West Germanic.

Dahl describes the phrasal definite markers in southwestern and northeastern North Germanic dialects as the result of structurally and geographically opposed processes of grammatical changes.

[T]he variation we can see in the attributive constructions is the result of the competition between them about the same territory. (Dahl 2003: 147)

The "competition" between northeastern and southwestern grammaticalization tendencies in Germanic is not restricted to definite marking. Several grammatical categories which developed as the result of common Germanic (or even Indo-European) tendencies, have grammaticalized into non-fusional (analytic) constructions in West Germanic but into concatenate (synthetic) constructions in North Germanic. Language contact with neighboring Uralic languages would offer the most plausible explanation for the structurally differentiated developments inside the Germanic branch. Consequently, Kusmenko (2008) proposed a model for explaining the morphological fusion of definiteness and other North Germanic innovative categories as the result of interference features during the language shift of the assimilated Saami of Mediaeval Scandinavia.

A direct connection between language contact and the rise of adjective incorporation and the missing preposed adjective article in northeastern North Germanic varieties was also suggested by Rießler (2001; 2002). But even if this idea cannot be proven correct the historical connection between missing preposed adjective articles, adjective incorporation and the morpho-syntactic type of definiteness marking (i.e. morphologically fused and postposed) in the northeastern North Germanic dialect area is obvious. Saamic influence (causing the morphological fusion of postposed definiteness marking) would thus at least be an indirect trigger of these areal grammaticalization phenomena in North Germanic which can be described as a "buffer zone" (Stilo 2005).<sup>13</sup>

West Germanic languages Dahl, Östen Definite articles in Scandinavian: competing grammaticalization processes standard and nonstandard varieties@Defin articles in Scandinavian: competing grammaticalization processes in standard and nonstandard varieties

Germanic languages Uralic languages

language shift induced interference

Rießler, Michael North Scandinavian and Saami – two morphosyntactic paral-

Martin: probably special permission is required for the map; Micha: Do I need this map?

(and Baltic).

Stilo created the term for a similar language area between competing grammaticalization tendencies due to contact induced-changes in the Southern Caucasus. The parallel between Stilo's

Table 9.2: Article grammaticalization cycle in Germanic languages (adapted from Rießler 2006a: 272).

	English, (W-Jutlandic) W+N-Germanic	W(+N)-Germanic N-Germanic Västerbotten Swedish	N-Germanic
	Ø <b>†</b>	DEF1	
	$\uparrow$	$\uparrow$	
Modern Germanic	⇒ AGR ⇒ AGR	ART2 ART2	DEF2
11	<b>↑ ↑</b>	<b>↑ ↑</b>	$\uparrow$
Old- Germanic	⇒ ATTR ⇒ ATTR	DEM2 DEM2 DEM2	DEM3
	<b>↑ ↑</b>		
Proto- Germanic	$DEM1 \Rightarrow ART1$ $DEM1 \Rightarrow ART1$		
	<b>↑ ↑</b>		
	$\begin{array}{ccc} \text{DEM1} & \Rightarrow \\ \text{DEM1} & \Rightarrow \end{array}$		

Figure 9.1: Adjectives in definite noun phrases in North Germanic dialects (map reproduced from Delsing 1996); adjective incorporation ("Typen *storhuset*") is marked with horizontal lines.

## 9.1.4 Attributive nominalization and the grammaticalization of anti-construct state (agreement) marking

The previous sections described how anti-construct state agreement marking arose in the Baltic, Slavic and Germanic branches of Indo-European. Structurally similar developments were also described for Udmurt from the Permic branch of Uralic, in Chuvash and other so-called Uralo-Altaic languages in § 9.1.1.

The emergence of attributive nominalizers such as secondary attribution markers seem to reflect a general tendency in several branches of Indo-European, Uralic and Turkic. The etymological source of the attributive nominalizer in all of these languages is either a local deictic determiner or the 3<sup>rd</sup> person possessive marker with "determinative" functions.

Synchronic data from several languages of the Lezgic (Daghestanian) branch of Nakh-Daghestanian (see § 7.14.1.4) seem to reflect a similar grammaticalization path from deictics to attributive nominalizers. Most Lezgic languages sampled for the present study have juxtaposition as the default adjective attribution marking device. Attributive nominalization also occurs in most languages of this branch but is restricted to headless noun phrases. The attributive nominalizer is a stem augment -tV-/-dV- which could be connected historically to the deictic pronouns occurring with similar shapes in these languages. In Budukh, the cognate suffix -ti is not used as an attributive nominalizer but to emphasize "a high degree of quality", cf. godak 'short': godak-ti 'very short' (Alekseev 1994a: 267). In Rutul, the cognate marker -d is used as an anti-construct state marker on attributive adjectives as the default (Alekseev 1994b: 224). A different but nevertheless related function of the cognate marker is attested in Archi where the suffix -tu derives adjectives from nouns, adverbs and postpositions (Kibrik 1994b: 318).

The data from Lezgic deserves further investigation, but it suggests a pattern where the dependend-marking attributive state evolves from attributive nominalization. It is also very obvious that the attributive nominalizers in Uralic and

languages languages Germanic languages Udmurt Chuvash Uralo-Altaic languages European languages Uralic languages Turkic languages Lezgic languages|( Budukh Alekseev Michail E. Budukh@Budu Rutul Alekseev. Michail E. Rutul@Rutul Archi Kibrik, Aleksandr E. Khinalug@Khii Himmelmann. Nikolaus|( Uralic

languages

Baltic

<sup>&</sup>quot;buffer zone" and Dahl's (2003) "competing" morpho-syntactic types in North Germanic languages was first mentioned to the author by Tania Kuteva (pc). But neither Dahl nor Kuteva drew contact linguistic implications in the North Germanic case. The idea about the North Germanic "buffer zone" as an indirect result of contact-induced changes was first mentioned by Rießler (2006a).

Turkic languages European languages Himmelmann, Nikolaus

Deiktikon. Artikel. Nominalphrase: zur Emergenz syntaktischer Artikel, Nominalphrase: zur Emergenz syntaktischer Struktur

languages|) Uralic languages

Turkic languages Indo-

European languages Uralic

languages Himmelmann. Nikolaus

Deiktikon, Artikel, Nominalphrase:

Translation

Emergenz syntaktischer Struk-Artikel, Nominalphrase: zur Emergenz syntaktischer

Uralic languages Turkic languages

Himmelmann, Nikolaus|)

Uralic

Struktur

Turkic have evolved along a similar grammaticalization path as the one described for several Indo-European (and other) languages by Himmelmann (1997). Important differences between Himmelman's "linking articles" and the attributive nominalizers described here, however, are (1) the origin of the Uralic and Turkic nominalizers from person-deictic rather than from local-deictic markers and (2) the inflectional use of the markers in Uralic and Turkic as compared to their original adnominal use in Indo-European.

The data from Uralic and Turkic is especially interesting, since it contradicts Himmelmann's (1997: 220-221) assumption that a functional convergence between attributive nominalizers with a person-deictic or a local-deictic etymological source is unlikely to occur. Of central importance to Himmelmann's anal-Struk-tur@Deiktikov, ses is the "anamnestic" use of the deictic markers from which the articles are grammaticalized. According to Himmelmann, the use of "D(eictic) elements" in order to refer to properties the speaker believes to be well-known for her/his interlocutor is the most relevant precondition for their further grammaticalization into articles and definite markers. Whereas the "anamnestic" use is inherent in (local-deictic) demonstratives, the same is not true for (person-deictic) possessive markers. The further grammaticalization of demonstratives into functional determinative elements (like articles and definiteness markers in several Indo-European languages) is accompanied by a functional extension of an original "anamnestic" to an associative-anaphoric use of the markers. This is in contrast to the further grammaticalization of possessive markers into functional determinative elements (like attributive articles and quasi-definiteness markers in certain Uralic languages) which is accompanied by a functional extension from an original associative-anaphoric to "anamnestic" use.

> D-Elemente breiten sich von pragmatisch-definiten Kontexten auf semantischdefinite aus, während Possessivpronomina sich umgekehrt von einem semantischdefiniten Kontext auf einen bzw. mehrere pragmatisch-definite Kontexte ausdehnen. (Himmelmann 1997: 221)

Himmelmann's thesis regarding the opposite functional extension of persondeictics might still be valid and compatible with the Uralic and Turkic data. In those Uralic and Turkic languages with attested attributive nominalization, the tur@Deiktikodefinite (or quasi-definite) function of the possessive marker is also always present It can therefore be assumed that the definite (or quasi-definite) use of the marker obligatorily occurs as an intermediate step during the grammaticalization of possessive markers to attributive nominalizers.

• Person-deictic source (Uralic, Turkic)

```
poss \Rightarrow def \Rightarrow nmlz
```

In the Indo-European languages with attributive articles such an intermediate step is probably not necessary.

Local-deictic source (Indo-European)
 DEM (⇒ DEF) ⇒ NMLZ

In fact, in the West Germanic and South Slavic languages, definite markers evolve from attributive nominalizers but not vice versa.

Local-deictic source (West Germanic, South Slavic)
 DEM ⇒ NMLZ (⇒ DEF)

This observation will be taken up again. If the tentative observation on the languages with "grammaticalized person-deictic elements" (i.e. possessive markers as attributive nominalizers) proves right it would imply the following implicational universal:

#### (20) Implicational universal

Possessive markers develop into attributive nominalizers only in languages in which similar possessive markers are already used as markers of (quasi-) definiteness.

Whereas the etymology and the evolution of attribution markers in Indo-European has been described (more or less systematically) by different authors, much less has been written about the emergence of attribution markers in different Uralic and Turkic languages. The emergence of anti-construct state marking in Saamic, which has not been described at all, appears to be especially interesting in this respect.

## 9.2 The emergence of anti-construct state marking in Saamic

In § 9.1.1.1, it was shown that the contrastive focus marker in Udmurt most likely evolved from an attributive article. Rießler (2006b) suggested the idea that a similar construction was the ultimate source of anti-construct state marking in the languages of the relatively closely related Saamic branch of Uralic. Since this theory about the rise of attribution marking in Saamic is based on a controversial idea, it calls for a relatively detailed discussion which will be presented in the following sections.

European languages Germanic languages South Slavic languages Germanic languages South Slavic languages Saamic languages|( Udmurt Rießler. Michael On samiskans attributiva adjektivform@On samiskans attributiva

> adjektivform

Indo-

Northern Saami Proto-Uralic Korhonen. Mikko Iohdatus lapin kielen historilapin kielen historiaan Trosterud Trond Proto-Germanic

In § 7.18.6, it was shown that adjectives in all Saamic languages are normally marked morpho-syntactically by means of differentiated attributive and predicative state markers. Even though the system of attributive and predicative marking is highly irregular in the Saamic languages, it can be shown that the attributive forms of adjectives are prototypically marked with a suffix (Northern Saami) -s. This suffix constitutes a prototypical example of an anti-construct state marker, aan@Johdatui.e. a dependent marking attributive morpheme.

The origin of anti-construct state marking in Saamic is controversial. The suffix -s is definitely not inherited from Proto-Uralic. It is probably not borrowed from any of the known current or historical contact languages of Saamic either. Considering this as well as the fact that Saamic is a rare instance among the Northern-Eurasian languages in exhibiting anti-construct state marking on adjectives, relatively little attention has been paid to explaining its origin.

#### 9.2.1 State of research

The different proposed theories which explain the origin of the anti-construct state marker on adjectives in Saamic can be subsumed as follows:

- 1. Grammatical borrowing from Indo-European
- 2. Functional extension of an adjective derivational marker
- 3. Grammaticalization from an attributive nominalizer.

The idea about a grammaticalization from an attributive nominalizer presented by Nielsen (1933) and Atányi (1942; 1943) is the only contribution to the subject spelled out in certain detail. Interestingly enough, the idea has been rejected as "hardly convincing" (my translation) in a one-sentence-statement in Korhonen's (1981) historical grammar of Saami. Korhonen's judgement that the origin of the attributive suffix in Saamic is still unclear Korhonen (1981: 246) seems to reflect the state of research up to today. Neither of the three hypotheses mentioned above has been discussed seriously in Saami or Uralic historical linguistics.<sup>14</sup>. All proposed hypothesis will be evaluated.

**9.2.1.0.1 Loan adjectives** Trond Trosterud (pc) has suggested that the attributive suffix in Saamic origins from an ending typical of Proto-Germanic loan adjectives in Saami. The Saamic suffix -s would then reflex the (pre-rhotacism) form

<sup>&</sup>lt;sup>14</sup> An exception is a short article by Sarv (2001) who presents the different ideas but does not come to conclusive results.

of the Proto-Germanic case suffix *-R* for masculine nominative singular which was adopted into Proto-Saamic together with loan adjectives. According to this hypothesis (which is not discussed in any publication so far) the adjective ending *-s* occurred originally on Germanic loan adjectives but was later generalized and used with inherited adjectives as well. In fact, a considerable number of Germanic loan adjectives with the corresponding ending *-s* < Proto-North Germanic *-R* M.NOM.SG is attested in Saamic, for instance:

- Northern Saami smáves 'small' = Proto-Saamic \*smāve < Proto-North Germanic; cf. Old Norse smalr м (or a more recent North Germanic borrowing; cf. Swedish små; Sammallahti 1998: 263)</li>
- Lule Saami *riukas* 'far-reaching' < Proto-North Germanic, cf. Old Norse *drùgr*, Norwegian *drjug* Qvigstad (1893: 267)
- Lule Saami lines 'soft, yielding, mild' < Proto-North Germanic, cf. Old Norse linr, Norwegian lin Qvigstad (1893: 218)
- Northern Saami *luovos* ~ *luovus* 'loose, not tied' ← Proto-Saamic \**luovos* ~ \**luoves* < Proto-North Germanic \**lauss* м (where the suffix -*R* is assimilated into /s/) Sammallahti (1998: 264)
- Northern Saami *suohtas* 'fun, nice'  $\Leftarrow$  Proto-Saamic \**suohtgs* < Proto-Germaniç le Saami \**swōtu* Sammallahti (1998: 264), cf. Old Norse \**søtr* M Proto-North
- Northern Saami viiddis 'wide, extensive' ← Proto-Saamic \*vijðēs < Proto-North Germanic Lehtiranta (1989: 148–149), cf. Old Norse víðr M</li>

The sound change of Proto-Germanic \*- $z \Rightarrow$  Proto-North Germanic -R ( $\Rightarrow$  Common North Germanic -r) took place around 500 AD. The hypothesis of the loan origin of the Saamic attributive suffix presupposes that the respective suffix in Germanic had a sound value [-z] (or ?[-s]). The exact sound value of -R, however, is not at all certain. What is commonly accepted is that the sound was phonologically distinguished from /r/ (Sköld 1954).

From the point of view of its etymology, the adjective ending -s is identical to the ending -s of some borrowed Proto-Germanic nouns, such as Proto-Saamic \* $v\bar{a}l\bar{a}s$ , cf. Northern Saami fàlis 'whale' < Proto-North Germanic, cf. Old Norse hvalr, cf. Norwegian hval (Qvigstad 1893: 144; Lehtiranta 1989: 144–145) or Proto-Saamic \* $k\bar{a}ll\bar{e}s$ , cf. Northern Saami gállis 'old man' < Proto-Germanic \*karilaz M Lehtiranta (1989: 44–45). The ending -s in bisyllabic nominals is thus an indicator

Germanic Proto-Saamic Germanic languages Proto-North Germanic Northern Saami Proto-Saamic Proto-North Germanic Old Norse North Germanic languages Swedish Sammallahti, Pekka The Saami languages: an introduction@The Saami languages:

Proto-

duction
Le Saami
Proto-North
Germanic
Old Norse

an intro-

Norwegian Qvigstad, Just Knud Nordische Lehn-

Lehnwörter im Lappischen@Nord Lehnwörter im Lappischen

Lule Saami Proto-North Germanic Old Norse

Norwegian Qvigstad, Just Knud

Nordische Lehnwörter im Lappischen@Nord Lehnwörter im

Lappischen Northern

Proto-North Germanic Northern Saami Lule Saami Lule Saami Northern Saami Northern Saami Northern Saami Germanic languages Proto-Saamic Germanic languages Germanic languages Germanic languages Proto-North

Germanic

that the word in question might belong to the layer of Proto-North Germanic borrowings in Saamic.

In many instances of Germanic loan adjectives the ending -s, however, marks only the predicative and not the attributive form, consider (from the list above):

- Northern Saami *smávva* [small.ATTR] ← *smáves* 'small'
- Lule Saami *riuka* [far-reaching.ATTR] ← *riukas* 'far-reaching'
- Lule Saami *littna* [soft.ATTR] ← *lines* 'soft'

Other loan adjectives have identical forms with the ending -s in both predicative and attributive function:

- Northern Saami *luovos* ∼ *luovus* 'loose'
- Northern Saami suohtas 'fun, nice'
- Northern Saami viiddis 'wide, extensive'

It is unclear whether the Germanic loan adjectives ending in -s regularly occurred in both attributive and predicative positions already in Proto-Saamic, or the ending -s expanded from predicative to attributive forms, or vice versa.

The relatively regular occurrence of the ending -s in the predicative forms suggests that the respective Germanic loan adjectives also ending in -s were originally used to denote predicates rather than attributes. This seems reasonable from the point of view of the morpho-semantics of the borrowed Germanic adjectives as well. The ending -R ( $\Leftarrow$  \*z) marks masculine nominals only in the so-called strong declension and thus occurred more likely on predicative adjectives which normally denote temporary properties. Attributive adjectives in Germanic, by contrast, could be marked either by means of head-driven agreement ("strong declension") or anti-construct state agreement ("weak declension") depending on the semantic or referential status of the attribute. An adjective denoting a permanent property was normally marked with the anti-construct state agreement suffix (see § 9.1.2.2).

Consequently, the Saamic ending -s could have been borrowed exclusively from "strong" adjectives in masculine nominative singular, the only form which had the ending -R ( $\Leftarrow$  \*z) in Proto-North Germanic. It is thus doubtful that just the borrowed forms with -s have been generalized as attributive forms by bilin-

gual speakers in the assumed Saamic-Germanic language contact situation.<sup>15</sup> It should thus be assumed that the Germanic loan etymology of certain adjectives in Saamic does not provide a clue for the origin of the attributive suffix.

Another problem in the hypothesis of the Germanic origin of the Saamic adjective ending -s might be the class of inherited Saamic adjectives which also have the ending -s when used predicatively. Consider the following examples:

- Northern Saami *báhkas* 'hot' ← *báhkka* [hot.ATTR] ← Proto-Saamic \*pāhkēs ← Pre-Proto-Saamic \*pakka- 'hot; cold'; cf. Finnish *pakkanen* 'frost' Sammallahti (1998: 230)
- Northern Saami garas 'hard' ← garra [hard.ATTR] ← Proto-Saamic \*kere-ductio ← Pre-Proto-Saamic \*kiri-; cf. Finnish kireä 'tight, tense' Sammallahti (1998 Northern Saami
- Northern Saami *ođas* 'new' ← *ođđa* [new.ATTR] ← Proto-Saamic \*oðg-Sammallahti (1998: 258).

Since the most typical Proto-Saamic root can be reconstructed as an open bisyllabic, <sup>16</sup> the ending -s of these predicative adjectives could not have belonged to the root originally. The ending-less attributive forms in the examples above would then reflect the original adjective roots, characterized as bisyllabics with an open second syllable. According to the Proto-Saamic morpho-phonological rules, the stem consonant center exhibits the strong grade before an open second syllable, unlike the predicative forms which have a closed second syllable ending in -s and show the weak grade of the consonant center.

The same morpho-phonological rule applies to loan adjectives with endingless attributive forms (like 'small' in Northern Saami:  $sm\acute{a}vva$  [small:ATTR]  $\leftarrow sm\acute{a}ves$ ). If one adopts the idea of -s originally being a Germanic case suffix, the attributive forms of the loan adjectives in Saamic can only be derived from the strong-declension forms of Germanic predicative adjectives and not from attributive adjectives.

In the case of the inherited Saamic adjectives, however, it is usually assumed that the predicative ending -s is derivational (see also the following paragraph). This assumption presupposes the ending-less (attributive) adjective being the

Northern Saami Proto-Saamic Pre-Proto-Saamic Sammallahti, Pekka The Saami languages: ān introduction@The Saami languages: an introduction

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Proto-Saamic Proto-Saamic Northern Saami Germanic languages Germanic languages

<sup>&</sup>lt;sup>15</sup> There is no doubt that language contact between speakers of Proto-Saamic and Proto-North Germanic took place; cf. Kusmenko 2008. It is, however, rather irrelevant to the case described here which contact scenario has to be assumed: borrowing proper or shift-induced interference in the Saamic L2 of original Germanic speakers.

<sup>&</sup>lt;sup>16</sup> Cf. the list of reconstructed Proto-Saamic lexemes in Lehtiranta (1989).

Bergsland, Knut

Røros-

lappisk grammatikk: et forsøk på strukturell språkbeskriv else@Røroslappisk grammatikk: et forsøk på

base form from which the predicative form is derived by means of the derivational ending -s.

9.2.1.0.2 Locative adjective derivation According to Bergsland (1948: 96), the origin of the attributive suffix -s in Saamic is identical with that of the synchronically homophonous adjective derivational suffix -s originating from a lative case marker. Cognate formatives deriving adjectives from nouns occur in other Uralic languages, like Hungarian *erős* 'powerful, strong' ( $\leftarrow$  *erő* 'power, strength'), *kékes* 'bluish' ( $\leftarrow$  *kék* 'blue').

The development of local case expressions to adjectives is semantically plausible and could in principle be adopted for Saamic. Probably, the local case suffix språkbeskriv-was first used as adverbalizer of nominal stems and became a true adjectivizer at a later stage, hence:

marking!lative

Uralic

struk-

turell

else

languages Hungarian Northern

Saami Proto-Saamic Sammallahti. Pekka

The Saami languages: an introduction@The Saami languages: an intro-

duction Northern Saami Common

Saamic Proto-North Germanic

• Lative case  $\Rightarrow$  adverbalizer  $\Rightarrow$  adjectivizer

The intermediate stage in the assumed development from a local case expression to an adjective is reflected in place adverbs like Northern Saami guhkás '(going) far' ← Proto-Saamic \*kuhkā-se Sammallahti (1998: 246) and probably also in other adverbal derivations, like the collective numbers on -s, cf. Northern Saami golmmas 'a group of three'  $\leftarrow golbma$  'three'.

Since predicative adjectives are not subject of this investigation, the the observation is sufficient that both the assumed (inherited) locative derivation and the assumed suffix borrowing are possible scenarios which do not necessarily exclude each other. As a result of these developments, a lexically defined subclass of adjectives with predicative forms on -s arose in Common Saamic (or earlier). The marker of this class of adjectives, the ending -s, is either:

- borrowed from < Proto-North Germanic -R M.NOM.SG
- derived (historically) from ← LATIVE CASE,
- the result of merger of both developments.

marking!lative
The adjective class characterized by predicative forms on -s (which has more or less regular ending-less attributive forms) is clearly identifiable in all modern Saamic languages.

> Bergsland's (1948: 96) suggestion that the similar ending -s in the attributive forms of certain adjectives goes back to the Uralic lative case suffix as well is relevant to the present investigation. Deduced from his statement that the attributive

suffix -s is "originally a Finno-Volgaic lative suffix" Sammallahti (1998: 71) agrees with Bergslands explanation. Also Judakin (1997) argues in this direction.

The adjective ending -s, which is the basis for Bergsland's and Sammallahti's argumentation, marks the predicative form of some adjectives and the attributive form of others. There are only a few adjectives which have the ending -s in both predicative and attributive forms. Neither Bergsland nor Sammallahti discuss the question as to whether the assumed lative derivation originally occurred: a) on predicative adjectives, b) on attributive adjectives, or c) on both forms simultaneously.

A cross-comparison of cognate forms of attributive and predicative adjectives in different Saamic languages suggests that adjectives with similar predicative and attributive forms with -s form a minor class which very likely arose as the result of a secondary development.

Cross-comparison can also provide evidence for separate etymologies of two homophonous predicative and attributive endings -s. The locative derivational suffix can only be the source of this suffix -s which is homophonous on predicative and attributive adjectives in modern West Saamic languages. The original attributive adjective suffix, however, should be reconstructed as a (phonetically palatalized) suffix \*[-sV<sup>j</sup>[+front]] preceding a front vowel. In the easternmost Kola Saami languages, the attributive suffix -s' has a palatalized coda and is clearly distinct from the non-palatalized -s on predicative adjectives as well as from the (cognate) lative adverbalizer -s.

a. Adjective stem 'long (pred.)' (21)Northern Saami guhkki Kildin Saami kuhk' b. Adverb '(going) far'

(adverbalizer suffix (non-palatalized)  $\Leftarrow$  \*-s) Northern Saami guhkás kugkas Kildin Saami

c. Attributive form 'long (attr.)' (attributive suffix (palatalized)  $\Leftarrow$  \*-s') guhkes Northern Saami Kildin Saami kugk'es'

**9.2.1.0.3 Attributive nominalization** A different hypothesis about the origin of the attributive forms in Saamic has been proposed by Joszéf Budenz (1869-1870; according to Atányi 1942; 1943) who believed that the suffix -s represents the

Judakin, Anatolij

Sravnitel'noistoričeskaja grammatika finnougorskich jazykov: stanovlenie sistemy padežej@Sr istoričeskaja grammatika finnougorskich jazykov: stanovlenie sistemy padežej Bergsland. Knut Pekka

Sammallahti. marking!lat

Kola Saami languages Kildin Saami Northern Saami

Atányi, István

A lapp melléknevek attributívpredikatív alakpárjainak kérdéséhez( lapp melléknevek attributívpredikatív alakpár-

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István A lapp melléknevek attributívpredikatív alakpárjaināk kérdéséhez( lapp melléknevek

> attributívpredikatív alakpárjainak

Uralic languages Turkic languages Nielsen. Konrad A note on the origin of attributive forms Lapp@A note on the origin of attributive forms in Lapp

Rießler, Michael On

samiskans
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Pekka

Kola Saami languages Kola Saami languages

Kildin Saami Northern Saami original possessive suffix 3<sup>rd</sup> person singular. Budenz does not give any evidence specifically for Saami. He simply assumes that the determinative function of the possessive suffix, a similar use of which he observed in different Uralic and Turkic languages (see § 9.1.1), caused the development in Saami. Budenz' idea was taken up specifically for Saamic by Atányi (1942, 1943). Atányi also refers to Nielsen (1933, reprinted in Nielsen 1945), who had a similar idea (probably independently of Budenz, who he does not refer to).

This hypothesis on the origin of the attributive forms in Saamic perfectly accounts for the different phonological shapes of the (historical) adjectivizer \*-s and the attributive suffix -s ( $\Rightarrow$  E-Saamic -s'). According to this theory, recently taken up again by Rießler (2006b), the attributive suffix -s/-s' reflects an old 3<sup>rd</sup> person singular possessive suffix which was used as an attributive article on contrastive-emphasized adjectives.

The reconstructed Proto-Saamic forms of the possessive marker \*- $s\bar{e}$  Sammallahti (1998: 73) versus the adjectivizer \*- $s\bar{e}$  are consistent with the synchronic findings. The different phonological form of the two suffixes (/- $s^{j}$ / versus /-s/) in the Kola Saami languages and the phonological merger of both suffixes (non-palatalized /-s/) in the western Saamic languages can be accounted for by a regular sound law: In the Kola Saami languages the apocope of etymologically front vowels (\*i, \*e) is reflected by the palatalization of the consonant preceding the lost vowel. Apocope of non-front vowels (like \*- $s\bar{e}$ ) did not affect the quality of the consonant. This sound law does not apply to the western Saamic languages which do not exhibit (phonological) palatalization and consequently consonants preceding etymologically front and back vowels are non-palatalized.

(22) a. 'guest' (not possessed)

i. \* kuasseii. kuss'iii. guossiProto-SaamicKildin SaamiNorthern Saami

b. 'her/his/its guest' (marked with Poss:3sg suffix)

i. \* kuasse-sē Proto-Saamic
ii. kuss'es' Kildin Saami
iii. guossis Northern Saami

Beside the overall irregularity in the attributive marking in all Saamic languages (see § 7.18.6), the different morpho-phonological behavior of the nominal stems which Poss:3sg and ATTR attach to appears to be an argument against this reconstruction.

(23) Strong (STR) and weak (WK) consonant grade in adjectives and nouns

Northern Saami Northern Saami

- a.  $kugk'(w\kappa)$ -es' suhk(str) Kildin Saami guhke(wk)-s suohkku(str) Northern Saami long-attr stocking 'the long stocking'
- b. suhk(str)-es' lī kuhk'(str) Kildin Saami suohkku(str)-s lea guhkki(str) Northern Saami stocking-poss:3sg is long.pred.
   'her stocking is long'
- c.  $kugk'(w\kappa)$ -es'  $sugk(w\kappa)$ -es't Kildin Saami guhke(wk)-s suohku(wk)-s Northern Saami long-ATTR stocking-Loc.sg 'in the long stocking'

A noun marked for possession is in the strong consonant grade. An adjective marked for attribution is always in the weak grade. In the example above, the strong grade of the consonant (orthographically represented as hk in Kildin Saami and hkk in Northern Saami) occurs in the nominative case of the bare or possessive marked noun (suhk/suohkku, suhkes'/suohkkus) as well as in the predicative form of the adjective (kuhk'/kuhkki). The attributive form of the adjective (kugk'/guhkes) and the noun stem hosting the locative suffix (sugkes't/suohkus) are in the strong grade.

Historically, consonant gradation was a purely phonological process. The strong consonant grade occurred before the open final syllable of a disyllabic word. The stem consonant was phonetically shortened when the final open syllable was closed due to inflectional processes. Consonant gradation was later morphologized due to phonological attrition and the loss of certain inflectional suffixes.

From a synchronic point of view, the consonant gradation rules account for the weak consonant grade in the attributive form of the adjective but not for the strong grade in the noun with possessive marking. The Northern Saami words suohkku 'stocking' and guhkki 'long (pred.)' have open second syllables hence strong consonant stems (here a consonant cluster, the first part of which is a geminate  $/\bar{C}C/$ ). The second syllable in both forms is closed: suohkkus /suoh:.ku-s/marked with the possessive suffix and guhkis /kuh.ki-s/ marked with the attributive suffix. However, the consonant stem of the noun suohkkus remains strong ( $/\bar{C}C/$ ) even before the syllable closing suffix, whereas the geminate part of the cluster is shortened (/CC/) in the adjective guhkis.

Proto-Saamic Sammallahti. Pekka The Saami languages: an introduction@The Saami languages: an introduction Proto-Saamic Pre-Proto-Saamic Proto-Saamic Sammallahti. Pekka The Saami languages: an introduction@The Saami languages: an introduction Yukaghir languages Lezgic

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Uralic

It is important to note that the possessive suffix is reconstructed as Proto-Saamic \*-sē Sammallahti (1998: 73) and thus originally had a different syllable structure. The formative obviously did not close the second syllable in Proto-Saamic, as in \*\*/kuh:.ke.-sē/ and \*\*/suoh:.ku.-sē/.<sup>17</sup> From a diachronic point of view, the consonant gradation rules would thus account for the strong consonant grade in the noun marked with a possessive suffix but not for the weak grade in the attributive adjective.

Two possible explanations could explain the different consonant grades in the noun and the adjective marked by means of  $-s \Leftarrow *-s\bar{e}$ .

- Following Nielsen (1945), the possessive marker in its function as attributive nominalizer was originally attached to a genitive (i.e. weak stem) form of the adjective. The weak consonant stem was thus triggered by the genitive suffix, reconstructed as Pre-Proto-Saamic \*-*n* ⇒ Proto-Saamic \*-Ø Sammallahti (1998: 65) and preceding the attributive marker. The date of the morphologization of stem gradation would not be relevant for this explanation.
- The other possible explanation presupposes a relatively late date for the morphologization of stem gradation, i.e. not earlier than the apocope of the possessive marker's final vowel (-s  $\Leftarrow$  \*-sē). If the possessive marker was not a true suffix but a phonological word on its own by the time stem gradation was morphologized in Saamic, the marker would have remained outside the phonological domains of its host word and would not have been able to trigger stem gradation on the latter.

Since genitive (or "possessor case") marking on attributive adjectives is attested in other northern Eurasian languages, as in both Yukaghir (see § 7.11) and in Lezgic languages (see § 7.14.1.4), Nielsen's assumption that the 3<sup>rd</sup> singular possessive marker was originally attached to an attributive form of adjectives (or other nominals) in genitive is principally possible.

Yet there is no evidence that genitive attribution marking on adjectives ever occurred regularly in Saamic or even in other Uralic languages.<sup>18</sup> Furthermore,

<sup>&</sup>lt;sup>17</sup> Note that these invented examples in simplified transcriptions serve the purpose of illustration (and are hence marked with \*\*). The stem of the adjective 'long' is reconstructed as Proto-Saamic \*kuhkē Sammallahti (1998: 246). The noun 'stocking' is a loan word (cf. Swedish (dialectal) sokk, Finnish sukka) and might not be reconstructable for Proto-Saamic.

<sup>&</sup>lt;sup>18</sup> The "defective" agreement paradigm of pronouns (and even sometimes adjectives) with the genitive singular form in all cases except nominative singular can scarcely be connected to Nielsen's idea. As an anti-construct state marker, the "genitive" should occur through the whole paradigm including in nominative singular.

the functional side of the assumed development, in which an adjective marked by two attributive markers (genitive+attributive nominalizer) simultaneously, would also need some further clarification.

Finnic languages Uralic languages

The second hypothesis assuming that the possessive marker never triggered stem gradation, could also account for the weak consonant grade in adjectives (remember that the weak grade seemed to contradict the stem gradation rules from a historical point of view). In certain aspects, the possessive marker behaves like a free pronoun rather than like an affix: The possessive marker shows pronominal agreement (and hosts the agreement suffixes which co-reference the number of the possessor) but the marker itself is hosted by an inflected noun (marked for number and case of the possessed). Note also that the possessive inflection is morpho-syntactically different from case and number inflection in the closely related Finnic languages. Only the latter features trigger noun phrase internal agreement.

Only the 3<sup>rd</sup> person singular possessive marker was used as an attributive nominalizer. Since this marker was hosted by uninflected adjectives, it is reasonable to assume that at one point the nominalizing possessive marker behaved differently from true possessive markers. The attributive nominalizer might thus have become a true phonologically bound formative earlier than the homophonous possessive marker. As a result of the apocope of the suffix-final vowel, the second syllable in the attributive form was closed:

(24) \*\*/kuh:.ke.-s
$$\bar{e}/\Rightarrow$$
 \*\*/kuh.ke-s/ long-poss:3sg long-attr

Subsequently, the stem gradation rules were applied regularly and yielded the short consonant grade of the adjective stem equipped with the affixal attributive marker. The noun equipped with the possessive marker, however, kept its open second syllable even after the apocope. The non-affixal possessive suffix – as a phonological word of its own – remained outside the phonological domain of stem gradation.

(25) \*\*/suoh:.
$$ku$$
.= $s\bar{e}/$   $\Rightarrow$  \*\*/suoh:. $ku$ .= $s/$  stocking=Poss:3sG stocking=Poss:3sG

#### 9.2.2 The origin of anti-construct state in Saamic

Synchronic data from related Uralic languages provide good evidence in favor of the assumed grammaticalization path from possessive to anti-construct state marking in Saami.

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Décsy, Gyula The Uralic protolanguage: a comprehensive reconstruction@The Uralic protolanguage: a comprehensive reconstruction Künnap, Ago About the

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Künnap, Ago
About the nonpersonal definite function of the Uralic 3rd person possessive suffix@About the nonpersonal definite function

of the Uralic 3rd person possessive suffix Rießler.

Michael

attribu-

• Possessive (3sg)  $\Rightarrow$  attributive nominalization  $\Rightarrow$  anti-construct

The first step of this development, i.e. the use of the possessive marker as an attributive article, is attested in the Permic languages Komi-Zyrian and Udmurt. Note also that the possessive marker in Udmurt shows different morphological behavior depending on its function as a true possessive or as an attributive article. For more detail see the respective sections on the synchrony (§ 7.18.4.0.2) and diachrony (§ 9.1.1.1) of attribution marking in Udmurt.

The Permic languages are closely related to Saamic and, theoretically, the rise of attributive marking in these two branches of Uralic could go back to a common Proto-Uralic construction. True evidence to prove such a common development at a relatively early time is, however, missing. Quite the contrary, it could be objected that the innovation of a new type of attribution marking is currently under way in the Permic languages whereas the innovation in Saamic took place 2000 years ago and is obviously loosing ground today in favor of the re-introduced type juxtaposition.

But the comparison with the related Permic languages makes sense from a purely typological perspective. Assuming that the possessive marker already had a "determinative" function in Proto-Uralic (as stated, e.g., by Janhunen 1981: 32; Décsy 1990: 66, 81; Künnap 2004) and that this function is still present in most of the modern Uralic languages, the existence of an attributive nominalizer in Permic indisputably proves that the proposed origin of the attribution marker in Saamic is functionally plausible (Rießler 2006b).

Furthermore, the nominalizing function of the (person-deictic) marker of possession is attested not only in several Uralic languages but also in Turkic languages. And, finally, a typologically similar development of a (local-deictic) demonstrative to an attributive article is also attested in Indo-European languages of the area.

In all mentioned Turkic, Uralic and Indo-European languages where the development of attributive nominalizers is attested, this innovative type of attribution marking originally co-occurred with another, inherited type. The use of contrastive pairs of attributes marked with or without the anti-construct state marker in modern Saamic languages provides good evidence for a similar development in earlier stages of Saami.

Several grammatical descriptions of Northern Saami give examples of such contrastive pairs of attributes with different meanings. Nielsen describes the difference between forms with and forms without an attributive suffix as a difference in "modality" of the attributive relation Nielsen (1945: 203). Most examples, however, do not display true adjectives but rather attributive forms of present

participles. If the property denoted by the participle is stressed or emphasized as belonging permanently to the referent of the modified noun the participles are often equipped with the attributive suffix.

- (26) a. Northern Saami (Nielsen 1945: 204)
  - i. juhhki olmmoš drinking person 'drinking person'
  - ii. juhkke-s olmmoš drinking-ATTR person'alkoholic (i.e. a person addicted to drinking)'
  - b. Northern Saami (Bartens 1989: 282)
    - i. šaddi soahki soahki lea šaddi growing birch – birch is growing 'growing birch' – '(a/the) birch is growing'
    - ii. Goadi duohkin lea šaddi-s soahki.hut behind is growing-ATTR birch'There is a fast growing birch behind the hut.'

Besides participles, there are even contrastive pairs of attributive adjectives or nouns which distinguish temporal versus permanent (or otherwise emphasized) properties.

- (27) a. Northern Saami (Bergsland 1976: 48)
  - i. arve-dálki rain-weather 'rain-weather'
  - ii. arvve-s dálki
     rain-ATTR weather
    'wet weather (i.e. weather full of rain)'

It must be emphasized that these adjectives equipped with the attributive suffix are additionally marked as denoting permanent or "definite" properties. This is exactly consistant with the reconstructed meaning of the so-called weak adjective forms in Proto-Germanic or the so-called long adjective forms in Proto-Baltic/Slavic (see § 9.1.2.1). The semantics of the regular and productive contrastive focus constructions in Chuvash and Udmurt (which are often described

Northern Saami Nielsen. Konrad A note on the origin of attributive forms in Lapp@A note on the origin of attributive forms in Lapp Bartens. Hans-Hermann Lehrbuch der saamischen (lappischen) Sprache@L đer saamischen (lappischen) *Sprache* Northern Saami Bergsland. Knut Lappische Grammatik mit Lesestücken@La Gram-

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as "emphatic" or "definite" as well, see §§ 7.13.1.0.1, 7.18.4.0.2) also show a perfect parallel to Saamic.

It is thus most likely that the Saamic anti-construct state marker originates from a construction in which the possessive marker 3<sup>rd</sup> person singular was used as attributive nominalizer in apposed noun phrases similar to the contrastive focus construction attested in Modern Udmurt and in several other Uralic and non-Uralic languages of northern Eurasia.

Whereas the unmarked noun phrase type in Proto-Saamic was characterized by juxtaposition, the attributive article was used to mark a construction with an adjective in contrastive focus. The emphatic construction later became generalized as the default marker of the attributive connection.<sup>19</sup>

- (28) Grammaticalization of anti-construct state marking in Saamic
  - a. Stage 1: Pre-Proto-Saamic
    - i. Juxtaposition [NP A long N stocking]
  - b. Stage 2a: Proto-Saamic
    - i. Juxtaposition (default) [NP A long N stocking]
    - ii. Attributive apposition (emphatic)  $[NP \ [NP' \ Along \ _{HEAD}\emptyset$ -NMLZ] Nstocking]
  - c. Stage 3: modern Saamic languages
    - i. Anti-construct state marking [NP A long-ATTR N stocking]

The irregularities in the use of attributive forms within and across the modern Saamic languages are the result of recent developments. Originally, the attributive form was generated regularly and productively. A cross-comparison of adjectives in different Saamic languages clearly shows that adjectives with deleted -s/-s' in one Saamic language exhibit the suffix in another language. Consider, for example, Northern Saami *uhca* but Lule Saami *ucces* 'small' or Northern Saami *seakka* but Kildin Saami *siennkes*' 'thin' (for more examples see Rießler 2006b).

It is most likely that neither the predicative forms (ending in -d or -s) nor the attributive form (ending in -s/-s') reflect inherited stems in Saami. Both are complex

<sup>&</sup>lt;sup>19</sup> The zero-morpheme (equipped with the nominalizer Ø-NMLZ) in (9.2.2) and following examples is only presented for a better illustration of the empty head position to which the (nominalized) adjective moves in the appositive noun phrase.

forms which are derived from either nominal or verbal stems by means of different suffixes. The predicative forms with -s evolved from derivations by means of an old lative case suffix. Germanic loan adjectives with the homophonous (Germanic) ending -s ( $\Leftarrow$  Proto-Germanic -R) where integrated into the class of these predicative "lative-derivations". The attributive suffix -s/-s, on the other hand, originates from the possessive marker  $3^{\rm rd}$  person singular which was originally used as an attributive nominalizer (i.e. attributive article) in contrastive focus constructions. The suffix was later generalized as the default attributive state marker.

The merger of predicative and attributive forms of some adjectives observed in modern Saamic languages does not contradict the proposed reconstruction of the original attributive marking. It does, however, reflect another diachronic path of adjective attribution marking: namely the collapsing of an originally regular and productive construction and the innovation of a new type. Interestingly, this secondary development in modern stages of Saamic will most likely result in the renewed introduction of juxtaposition, i.e. the original Uralic prototype of adjective attribution marking.

#### 9.3 The emergence of agreement in Finnic

The languages of the Finnic branch spoken in the northwestern periphery of Uralic are exceptional within this family because they exhibit head-driven agreement as the default type of attribution marking of adjectives.

- (29) Finnish (personal knowledge)
  - a. *iso talo* big house
    - 'large house'
  - b. *iso-t talo-t* big-PL house-PL 'large houses'
  - c. iso-i-ssa talo-i-ssa big-pl-iness house-pl-iness 'in large houses'

There is no doubt that agreement marking replaced juxtaposition at a certain point during the linguistic development from Proto-Uralic to Proto-Finnic.

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214

In several Uralic languages, irregular agreement of pronominal modifiers and even some adjectives and adjective-like modifiers are attested (cf. examples in Honti 1997). This might indicate a connection to the fully developed agreement marking of adjectives in Finnic. It is, however, unclear whether the incomplete and irregular agreement phenomena in Saamic and other closely related Uralic languages reflect a stage of development at which agreement marking was more widespread - in at least the Finnic and Saamic branches - or agreement marking is due to a more recent innovation which became completely enforced only in the Finnic branch.

The rise of agreement marking on attributive adjectives, pronouns, and numerals in Finnic is usually regarded as a result of language contact with Indo-European languages from the Germanic and/or Baltic groups (cf. Tauli 1955: 25; Hajdú 1996). Indeed, the high amount of Germanic and Baltic loanwords in Finnic languages indicate intimate contacts between speakers of Uralic and Indo-European languages in that area. In order to prove the hypothesis that agreement marking arose as a result of influence from Indo-European languages, however, one has to reconstruct concrete mechanisms behind this profound contactinduced language change. The idea that agreement marking is a borrowed model might not be as straightforward as it appears. Even though many Uralic languages under strong Russian influence seem to have borrowed many more grammatical features than Finnic did under Germanic and Baltic influence, none of these languages shows any trace of borrowed Russian agreement marking.

In a short article, Márk (1979) presents a contact-independent explanation of the innovative head-driven agreement marking in Finnic. His explanation is based on the observation that nominalized adjectives in apposition to nouns in Hungarian (as well as in other Uralic languages) show agreement triggered by the semantic head of the elliptic noun phrase.

- (30)Hungarian (Márk 1979: 209)
  - a. Juxtaposition (no agreement marking)
    - i.  $\textit{oreg postast} [A N_{nom,sq}]$  'the old postman'
    - ii.  $\textit{oreg postások} [A N_{nom,nl}]$  'the old postmen'
  - b. Apposition (agreement marking)
    - i. postást, őreget [[ $N_{nom,sq}$ ] [ $A_{nom,sq}$ ] 'a postman, an old one'
    - ii. postások, őreg**ek** [[ $N_{nom,pl}$ ] [ $A_{nom,pl}$ ]] 'postmen, old ones'

Lappáliák@*Lappáliak* Similar ideas about a possible contact-independent origin of head-driven agreement in Finnic have also been put forward by, e.g., Ravila (1941) or Papp (1962). In theory, the rise of agreement marking as a result of generalization of an originally emphasized adjective in apposition seems plausible. Language contact with agreement-marking languages could still have been a catalyst.

In Hungarian, the attributive appositions described by Márk are post-positioned while attributive adjectives in Finnish still precede the noun. A comparison to attributive apposition by means of nominalization in Udmurt seems more promising. In § 7.18.4.0.2 on the synchrony of attributive marking in Udmurt, it has been demonstrated how case and number agreement marking occurs in the contrastive focus construction with attributive adjectives and pronouns.

#### (31) Udmurt (Winkler 2001)

- a. Juxtaposition (no agreement marking)
  - i.  $bad\acute{z}ym$  gurt [A  $N_{nom:sq}$ ] 'large house'
  - ii. badžym gurtjos [A N<sub>nom:vl</sub>] 'large houses'
  - iii. badžym gurtjosy [A N<sub>pl:ill</sub>] 'to (the) large houses'
- b. Attributive apposition (agreement marking)
  - i. badźymėz gurt [[A<sub>contr</sub>] [N]] 'LARGE house'
  - ii.  $bad ilde{z}ym extbf{josyz}$  gurtjos [[A $_{contr:pl}$ ] [N $_{pl}$ ]] 'LARGE houses'
  - iii.  $bad \acute{z} ym jos az gurt jos y [[A_{contr:pl:ill}] [N_{pl:ill}]]$  'to LARGE houses'

In both Hungarian and Udmurt examples (9.3) and (9.3), the agreement morphology is syntactically spread from the (semantic) head noun to the adjectival modifier only in appositive noun phrases (with the modifier in contrastive focus). In Udmurt, there is an additional morpheme available, i.e. the attributive nominalizer  $-(\dot{e})z$  ( $\Leftarrow$  Poss:3sg). In the Hungarian example, the emphasized construction is only marked by the duplicated number and case agreement (in combination with changed constituent order).

Attributive apposition in contrastive focus constructions is without a doubt innovative in Udmurt. Since all members of the Permic group show similar constructions, the development could be dated back to Proto-Permic and would thus have a time depth comparable to the innovation of head-driven agreement in Finnic. Since head-driven agreement is also involved in Udmurt anti-construct state marking (namely as a "relict" of the appositional structure in which the attribute in contrastive focus originally occurred), the Permic and Finnic innovations could be structural parallels. Modern Finnic languages, however, do not provide any evidence that an attributive nominalizer was ever used as a marker of appositive attribution. The agreement markings thus seems to be the primary innovation assumedly caused by contact with "agreeing" Indo-European languages.

Hungarian Finnish Udmurt Winkler. Eberhard Udmurt@*Udmi* Hungarian Udmurt Udmurt Permic languages Proto-Permic Udmurt Indo-European languages

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Fremdes oder Eigenständiges? Zum historischen Hintergrund der Ättributivkon-

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Regardless of contact influence being involved or not, the innovative head-driven Udmurt@Udmurtagreement marking in Finnic could still have been used in an appositive construction originally. Note also that in Udmurt, number agreement sometimes (irregularly) occurs even in constructions without the contrastive focus marker.

> Head-driven plural agreement in Udmurt (Winkler 2001) badžym-jos gurt-jos big-PL house-pt. 'LARGE houses'

Note even that a similar innovation of head-driven agreement in contrastive focus constructions is attested not only for Permic languages but also occurs irregularly in other Uralic branches (cf. Honti 1997: 136-138, 142 for Mari and Nenets; Florian Siegl (pc) for Tundra Enets).

Sprachen@Fremdes
To conclude these tentative considerations, it cannot be ruled out that the rise of head-driven agreement marking in Finnic and anti-construct state agreement in Udmurt are both results of original attributive apposition constructions. For Finnic, however, this idea remains highly speculative unless one can find evidence for the occurrence of an attributive nominalizer such as the marker in Modern Udmurt or in Proto-Saamic.

> Whereas anti-construct state agreement marking in Udmurt (and other Permic languages) only substitutes for the default marker in contrastive focused constructions, Finnic and Saamic have completely lost Uralic juxtaposition as the default adjective attribution marking device and innovated completely new morpho-syntactic devices. It must also be noted that the Finnic and Saamic innovations took place in two closely related and geographically adjacent branches of Uralic. Moreover, the developments are of similar age. And finally, nonrelated but geographically adjacent languages (Baltic, Germanic, Slavic) show structurally similar developments.

#### 9.4 Other attested scenarios of grammaticalization

The previous sections dealt with the rise of adjective attribution marking devices in a few branches of Indo-European, Uralic and Turkic. The synchronic data from the synchronic survey in Part III, however, present evidence of several diachronic scenarios. Only a few of them will be sketched in the following sections.

### 9.4.1 Articles, definiteness and the evolution of adjective attribution marking in Indo-European

The rise of attributive articles and their (partial or complete) further development to definite markers in Baltic, Slavic and Germanic, as described above, took place on functionally and chronologically parallel paths in various other Indo-European languages of Europe. This has been observed by several scholars (cf. Brugmann & Delbrück 1897-1916; Gamillscheg 1937; Heinrichs 1954 and, more recently, Nocentini 1996, Philippi 1997; Himmelmann 1997). It is not clear whether these parallel developments across western-Indo-European branches can be explained in terms of areal typology, i.e. as the result of linguistic contacts, or whether they are inherited from a common ancestor language. Independent developments, though theoretically possible, seem rather unlikely given the close genealogical and areal connection between the languages in question.

In those western branches of Indo-European where definite markers have evolved. cognate formatives are also usually attested as adjective attribution markers. The attributive article in Rumanian, for instance (see § 7.19.8.0.2), is also attested in Latin and other Romance languages, cf. Latin *Cato ille maior, Babylon illa magna.* The suffixed definite marker in Rumanian evolved from this attributive article (Gamillscheg 1937; Nocentini 1996: 5). Note also that the attributive article in Romance is polyfunctional and can mark adjectival, genitival and prepositional attributes as well as relative clauses.

In the two Albanian languages (see § 7.19.1), the attributive article i Nom,  $e/t\ddot{e}$  ACC and  $t\ddot{e}$  OBL and the definite suffix -i Nom,  $-in/-n\ddot{e}$  ACC and it OBL most likely have the same etymological source, i.e. Indo-European \*-to (cf. Himmelmann (1997: 165) with references), which is also the etymological source of the definite marker to and the homophonous attributive article in Ancient Greek (see § 7.19.7 for the respective constructions in Modern Greek).

Indo-European \*-to is the etymological source of secondary attributive articles in Slavic languages as well. The use of this marker in attributive apposition constructions is already well-attested in Old East Slavic documents.

#### (33) Attributive nominalization in Old E-Slavic (Indo-European)

a. [...] sъ usmъ galiiei-sk-утъ with Jesus:сом Galilee-ADJZ-NMLZ:сом

'[...] with Jesus the Galilaen' (Matthew 26, cit. Mendoza 2004: 214)

languages Germanic languages European languages Brugmann, Karl Delbrück, Berthold Grundriss der vergleichenden Grammatik der indogermanischen Sprachen: kurzgefasste Darstellung der Geschichte des Altindischen. Altiranischen (Avestischen und Altpersischen), Altarmenischen. Altgriechischen, Lateinischen. Umbrisch-Samnitische Altirischen. Gotischen, Althochdeutso Litauischen und Altkirchenslav chen@Grun der vergleichenden Grammatik der indogermanis-

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<sup>&</sup>lt;sup>20</sup> Cf. the secondary attributive articles in Germanic languages in similar constructions: English *Philip the Fair*, German *Friedrich der Große* which is also cognate (and homophonous) with the definite marker. The Germanic constructions have been dealt with in more detail in § 4.5.2.3.

Mendoza, Imke

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competing grammaticalization

b. vv sarefto sidonz-sk-ojo

to Sarepta:prepos Sidonia-ADJZ-NMLZ:prepos

'to Sarepta in Sidonia' (Luke 4, cit. Mendoza 2004: 214)

In Bulgarian, the former attributive nominalizer grammaticalized into a true definite marker. In an analogous manner (but much later in time), reflexes of the Proto-Baltic/Slavic pronoun \*to M developed into definite suffixes in northern Russian dialects (cf. Leinonen 2006).<sup>21</sup>

Dahl (2003: 149–152; see also dahl2007) shows that in some languages definite noun phrases with attributive adjectives (or other modifiers) show special behavior. He compares the "displaced" definite marking with "long form" adjectives in the Baltic languages with, among others, the demonstrative ille linking post-Russian!Northern poned adjectives to proper nouns in Latin constructions like Babylon illa magna Dahl (2003: 150). But due to its function and syntactic behavior the attributive article in Romance can clearly be distinguished from definite markers (Gamillscheg 1937: 329). As it was demonstrated for the Baltic languages (see § 4.5.2.2), the socalled "long form" inflection (i.e. anti-construct state agreement inflection) of adjectives is not a true definiteness marker.

Dahl also gives examples of languages in which "displaced" definiteness markpossessiivista ers (or "quasi-definiteness markers") evolved from other sources than local-deicticpronouns, as in Amharic where an attributive nominalizer grammaticalized from a (person-deictic) possessive marker in contrastive focus construction.

- Amharic (Afro-Asiatic; Hudson 1997) (34)
  - a. Default construction
    - i. təlləq bet large house
      - '(a) large house'
    - ii. təlləq bet-**u**

large house-poss:3sg

(1) 'his large house' (if the owner has only one house, which is large); (2) 'the large house'

<sup>&</sup>lt;sup>21</sup> Whereas Komi-Zyrian (Uralic) influence triggered the suffixation of these anaphoric markers in northern Russian dialects (Leinonen 2006), a typologically similar grammaticalization process due to TurkicTurkic languages influence is behind the chronologically much older suffixation of definite marking in Bulgarian (Kusmenko 2008: 114-122).

<sup>&</sup>lt;sup>22</sup> The term "displaced" is not used by Dahl but adopted from Mel'čuk (2006: 114–116).

#### 9.4 Other attested scenarios of grammaticalization

- iii. təlləq bet-e large house-poss:1sg 'my large house'
- b. Contrastive focus construction
  - i. təlləq-u bet large-?DEF house'(a/the) LARGE house'
  - ii. *təlləq-u bet-u* large-?DEF house-poss:3sG

'his LARGE house' (if the owner has more than one house but the expression is referring to the large one)

iii. təlləq-u bet-e large-?DEF house-POSS:1SG 'my LARGE house'

The suffix -u [M] used for emphasizing the adjective in Amharic is homophonous with the definite noun marker and with the  $3^{\rm rd}$  singular possessive marker. Note that the possessive and the definite suffixes of nouns (or noun phrases) are mutually exclusive (Hudson 1997: 463). Hence, the examples in (34a-ii) are ambiguous; they could have a possessive or a definite reading. The "emphasizing" adjective suffix -u [M], however, does not co-occur with the definite suffix. Therefore, the reading of the examples in (34b-ii) is not ambiguous.

Consequently, the suffix -u [M in Amharic should be analyzed as an adjective attribution marker rather than as a "detached" marker of definiteness.

- (35) Amharic (Afro-Asiatic; Hudson 1997)
  - a. Attributive nominalization (contrastive focus)
    - i. təlləq-u bet large-ATTR house(M)'(a/the) LARGE house'
    - ii. qonjo-wa dəmmät
       pretty-ATTR:F cat(F)
       '(a/the) beautiful cat'
  - b. Attributive nominalization (headless noun phrase)
    - i. təlləq-u large-ATTR:M '(a/the) big one'

Amharic Hudson. Grover Amharic and Argobba@Am and Argobba Amharic Amharic Hudson. Grover Amharic and Argobba@Am andArgobba

Amharic Udmurt Amharic Indo-

ii. gonjo-wa pretty-ATTR:F '(a/the) pretty one'

European languages

article!??|) species

languages|( Old Iranian languages Haider. Hubert Zwanziger, Ronald

Relatively attributive -The 'ezafe'construction from Old Iranian to Modern Per-

> attributive - The 'ezafe'constructionfrom Old Iranian to Modern Persian

Samvelian. Pollet The Ezafe as a headmarking inflectional affix: evidence from Persian and Kurmanji Kurdish@The Ezafe as a headmarking inflectional

Contrastive focus marking on adjectives in Amharic is thus very similar to the marking found in Udmurt. In both languages, attributive apposition is marked by marking!definateans of attributive nominalization. The respective formatives in both languages originate from (person-deictic) possessor markers.

> Consistently, data from northern Eurasian languages and Amharic do not provide evidence for the existence of "displaced" definiteness markers. From a diachronic perspective, however, there is much evidence for a functional overlapping between attributive nominalization and definiteness marking. In all Indo-European languages dealt with so far, adjective attribution is the primary function. The former local-deictic marker in these languages always grammaticalizes into an attributive nominalizer first. The further development into true markers of definiteness comes only after this stage.

#### 9.4.2 The emergence of head-marking attributive construct state in Iranian sian@Relatively

As shown in § 7.19.3.2, several Iranian languages of the northern Eurasian area exhibit a head-marking attributive construct state device as a licenser of adjective attribution. The Iranian construct state marker (aka Ezafe) originates from the Old Iranian relative particle -hya, which has undergone a process of grammaticalization, to end up as a part of nominal morphology in the modern Iranian languages (Haider & Zwanziger 1984; Samvelian 2007b). Since the Old Persian relative particle -hya itself originates from a demonstrative, the emergence of construct state marking in Iranian and anti-construct state marking in other Indo-European languages follow a similar path. Originally, -hya was a grammatical word marking the phrase or clause on its right as a syntactic modifier of the noun on its left (Haider & Zwanziger 1984). Syntactically, the marker was an attributive article hosted by the attribute. In BalticBaltic languages and Slavic, Slavic languages the article developed further into an anti-construct state agreement marker (see § 9.1.2.1). In Iranian, however, the article attached phonologically to the head noun. According to Samvelian (2007a: 3) this conflict between opposite directions of phonological and syntactic alignments was later resolved by the reanalysis of the article as a head-marking inflectional affix. As the result of this grammaticalization, syntactic and phonological attachments were alined to each other.

Draft of Monday 21st March, 2016, 16:59

affix: evidence from Persian and Kurmanji

#### 9.4.3 Innovation of juxtaposition

Two scenarios are attested where juxtaposition has been innovated: either by loss of agreement marking or by loss of anti-construct state marking.

9.4.3.0.1 Loss of agreement marking Head-driven agreement (in number and case) of adjectival modifiers following the head noun can be reconstructed for Common Kartvelian. In Old Georgian, this pattern is more or less preserved. In modern Kartvelian languages, however, the unmarked constituent order of adjectival modifiers and head is noun-final, although the opposite order is possible as well (A. C. Harris 1991b: 56). As shown in § 7.16 of Part III, the agreement features of Common Kartvelian are more or less preserved only in the marked (but inherited) head-initial noun phrase type. In the head-final noun phrase type, on the other hand, modern Kartvelian languages display a strong tendency to lose head-driven agreement. Preposed attributive adjectives in Mingrelian and Laz are juxtaposed to the head noun as a rule. In Modern Georgian and Svan, the agreement paradigm of preposed attributive adjectives shows a high degree of syncretism (cf. A. C. Harris 1991b: 56; Tuite 1998: 56–60, elsewhere).

Note that juxtaposition can also be innovated without constituent order shift, as in English where the change is a result of the complete loss of the agreement inflection during the course of time from Middle to Modern English.

9.4.3.0.2 Loss of anti-construct state marking Saamic languages present another evidence of a language change in which juxtaposition replaces an original morpho-syntactic device. The original anti-construct state marking, which is itself innovative in Proto-Saamic (see § 9.2) is in dissolution in modern Saamic languages as the result of the merger of attributive and predicative adjective forms which were originally distinguished from one another.

#### 9.5 Diachronic polyfunctionality

In § 5, a few examples of polyfunctional adjective attribution marking devices were presented. It was shown, however, that the polyfunctionality parameter is less relevant to northern Eurasian languages because most languages of the area exhibit highly differentiated attribution marking devices. Polyfunctionality might, however, indicate a historical dimension if additional semantics of attribution marking devices is taken into consideration and if the languages of a whole

Common Kartvelian Old Georgian Kartvelian languages Harris, Alice C.

Overview on the history of the Kartvelian lan-

guages@Ov
LATEX I
don't understand why
given name
initials occur in this
reference

Kartvelian

Mingrelian Laz Georgian Svan Harris, Alice

languages

Kartvelian

Overview on the history of the Kartvelian languages@Ov on the history of the Kartvelian languages

languages Tuite, Kevin Kartvelian morphosyntax: number agreement and morphosyntactic orientation in the South Caucasian

> languages@Ka morphosyn-

tax: number agreement and

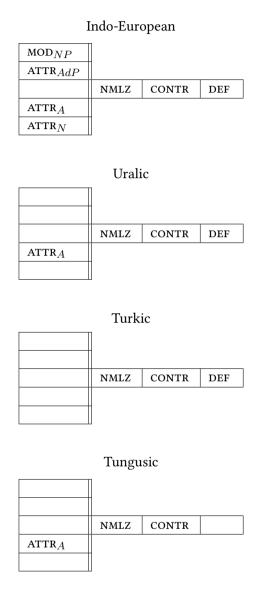


Figure 9.2: Functional map of markers cognate with the Old Iranian "relative particle" *-hya* (across Indo-European languages) and the possessive suffixes 3<sup>rd</sup> person singular (across Uralic, Turkic and Tungusic languages)

genera are compared to each other. For instance, construct state marking of adjectives and other modifiers, as attested especially in Indo-European varieties (but also in Turkic and Uralic), seems to be inherently tied to the evolution of attributive nominalization, contrastive focus and even definiteness marking in several languages. Figure 9.2 shows functional maps similar to the one in Figures 5.1 and 5.2 in § 5 but with scope over cognate markers in whole language families.

The polyfunctionality of the Persian Ezafe -(y)e was described in § 5. This construct state marker licenses nominal (ATTR $_N$ ), adjectival (ATTR $_A$ ) and adpositional (ATTR $_AdP$ ) attributes as well as modification within an adposition phrase (MOD $_NP$ ). The cognate formative in the closely related Iranian language Northern Kurdish is even connected to definiteness marking (DEF) (Schroeder 2002; cf. also Table 4.2 on page 41). In Old Iranian, Old Baltic and Old Slavic languages, a cognate marker was used as an attributive nominalizer (NMLZ, or as a "relative particle" marking non-verbal attributes; see § 9.4.2 and 9.1.2.1). The marker's further grammaticalization into an anti-construct state agreement marker in Baltic and Slavic is connected to contrastive focus marking (CONTR).

The marker described in the functional map for Uralic is the possessive suffix  $3^{\rm rd}$  person singular, which is used as a "quasi-definite marker" (DEF) in a variety of modern Uralic languages. In Udmurt the original possessive suffix is regularly used as a nominalizer (NMLZ) and has grammaticalized into a marker of contrastive focus of adjectives (CONTR) (see § 9.1.1.1). In Saamic, finally, the cognate marker has grammaticalized into an anti-construct state marker (ATTR<sub>A</sub>).

Turkic is similar to Uralic but without evidence for the grammaticalization of the possessive suffix  $3^{\rm rd}$  person singular to a true adjective attribution marker. In Tungusic, finally, there is no evidence for definiteness marking but the possessive suffix  $3^{\rm rd}$  person singular is used as dependent-driven agreement marker in (ATTR<sub>A</sub>).

These diachronic functional maps demonstrate general synchronic paths of attribution marking devices and give the impression that nominalization and appositive attribution play an important role in the further development of the respective markers as attribution marking devices.

European languages languages Uralic languages Persian modification marking! Northern Kurdish Schroeder, Christoph Zur Nominalphrasenstrûktur des Kirmancî@Zur Nominalphrasenstrûktur des Kirmancî Old Iranian languages Old Baltic languages Old Slavic languages Uralic languages Udmurt Saamic languages Turkic languages

languages

languages

grammaticaliza

Tungusic

Indo-

# 10 Areal typology in the Circum-Baltic area

The Circum-Baltic area can be defined geographically as the drainage area of the Baltic Sea. Autochthon languages belonging to this area are mostly from the Germanic, Baltic and Slavic branches of Indo-European as well as from the Finnic and Saamic branches of Uralic. Several authors have tried to establish a Circum-Baltic Linguistic Area (Sprachbund) based on shared linguistic features across member languages of this area (for instance Koptjevskaja-Tamm 2006).

Rießler (2006a) described areality in the morpho-syntax of noun phrase structure in the Circum-Baltic languages. It is conspicuous that both the languages of the two Uralic branches of the area and the languages of the three contacting Indo-European branches have innovated adjective attribution marking devices which deviate from the prototypes of their respective families.

Saamic innovated anti-construct state marking and Finnic innovated head-driven agreement. The prototype of adjective attribution marking in Uralic, however, is juxtaposition. Except in Saamic and Finnic, juxtaposition occurs in all Uralic languages as the default adjective attribution marking device (see § 7.18) and is also reconstructed for Proto-Uralic (Décsy 1990: 66, 81; Janhunen 1981: 32).

The prototype of adjective attribution marking in Indo-European is head-driven agreement and is also the type reconstructed for Proto-Indo-European (Décsy 1998a; Watkins 1998). In Germanic, Baltic and Slavic, however, a secondary type evolved from attributive nominalization. Consequently, several modern languages of these branches exhibit anti-construct state agreement marking as a default or secondary device.

All five Circum-Baltic branches (Germanic, Baltic, Slavic, Finnic, Saamic) of the "buffer zone" have thus innovated adjective attribution marking devices which deviate from the prototypes of their respective families:

#### • Finnic:

Juxtaposition ≠ Head-driven agreement

· Saamic:

Germanic languages ( languages ( languages|( Finnic languages|( Saamic languages|( Circum-Baltic area|( buffer zone|( Indo-European languages languages Koptjevskaja-Tamm, Maria The circle that won't come full: two potential isoglosses in the Circum-Baltic area@The circle that won't come full: two potential isoglosses in the Circum-Baltic area Rießler. Michael Evolution attributiver Markierungen im Nordgermanischen@Die **Evolution** attributiver

> Markierungen im Nordgermanischen

languages

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Indo-European languages

Germanic|(

Proto-

Proto-Finnic|(

Proto-Saamic|( Rießler,

Michael Die

> Evolution attributiver Markierungen im Nordger-

manischen@Die Evolution attributiver Markierungen im Nordgermanischen

Heine, Bernd Kuteva. Tania

Language contact and grammatical

change@Language contact and grammatical change

Heine, Bernd Kuteva.

Tania Language contact and gram-

contact and grammatical change

Indo-European

languages Uralic

languages Proto-

Germanic|)

Juxtaposition ≠ Anti-construct state

• Germanic, Baltic, Slavic:

Head-driven agreement ≠ Anti-construct state agreement

Baltic/Slavic|(
The developments in Saamic and in the three Indo-European branches can even be connected to each other in structural terms: The innovative anti-construct state (agreement) marking in these languages evolved from an attributive apposition construction marked by means of attributive nominalizers. The principal grammaticalization paths are thus similar:

 $[NP \mid_{NP'} A big \mid_{HEAD} \emptyset - NMLZ \mid_{N} house] \Rightarrow [NP \mid_{A} big - ATTR \mid_{N} house]$ 

Therefore, Rießler (2006a: 271) described the result of this areal innovation as a "grammaticalization area" (Heine & Kuteva 2005), i.e. a linguistic area of geographically neighboring languages in which similar processes of grammatical changes took place as the result of language contact. According to Heine & Kuteva (2005), a model language must affect at least two different replica languages in a grammaticalization area. In the case described here, a pre-protostage of either Germanic or Baltic/Slavic could probably be the "model" since attributive nominalization by means of cognate markers evolved in several other branches of Indo-European. But even Uralic influence should be considered. Possible model and replica languages of the area are thus:

- Proto-Baltic/Slavic < Pre-Proto-Germanic > Proto-Saamic
- Proto-Germanic < Pre-Proto-Baltic/Slavic > Proto-Saamic
- Proto-Baltic/Slavic < Pre-Proto-Saamic > Proto-Germanic

Given the high age and the cognate constructions and formatives in other Indo-European branches (mostly Iranian) and considering other attested Baltic contact influence on Saamic, 1 it seems most plausible to locate the core of the grammaticalization area in the Baltic/Slavic groups of Indo-European. Saamic and Germanic have probably borrowed the model of attributive nominalization but realized the construction with their own inherited morpho-syntactic means.

 $\frac{1}{1}$  change  $\frac{1}{2}$  Language on the less the vast geographic spread of cognate constructions among several constructions. eral Indo-European, Uralic, Turkic and even Tungusic branches makes it also possible to assume a source outside both Indo-European and Uralic and a development preceding the proto-stages of these language families.

<sup>&</sup>lt;sup>1</sup> Cf. for instance Rießler 2009 for lexical borrowings.

# Part V Conclusion

#### 11 Results and conclusions

Indo-European languages Uralic languages Circum-Baltic languages Komi-Zyrian

These concluding sections summarize the essence of this study and provide an overview of the main findings. In addition, they address a few questions relevant for future investigations towards a general description of noun phrase structures.

#### 11.1 Aims and content

The aims of this study were: (1) a synchronic-typological description of adjective attribution marking devices in northern Eurasia, i.e. typologizing geographically relevant languages according to their syntactic and morpho-syntactic kinds of adjective attribution marking, (2) a synchronic survey of the geographic distribution of the attested kinds of adjective attribution marking devices across the northern Eurasian languages, and (3) a diachronic description and functional reconstruction of hitherto underscribed patterns in the evolution of adjective attribution marking in the Indo-European and Uralic languages of the Circum-Baltic area of northern Europe.

**11.1.0.0.1 (1)** As the main result of the **synchronic-typological description**, an ontological classification of attested syntactic and morpho-syntactic types of adjective attribution marking devices was developed. For the purpose of comparison and achieving stringent classification standards, even interesting devices attested in languages outside the area were taken into consideration.

Central typological parameters for the morpho-syntactic description of noun phrase structure are *syntactic source* (i.e. the central syntactic operation which licenses attribution and belongs primarily either to agreement marking or to government), *syntactic pattern* (i.e. devices projecting embedded noun phrases, devices projecting simple adjective phrases, or incorporation) and *syntactic locus* of the respective formatives (on-head, on-dependent, floating).

The following overview lists all known devices (one single device, which is not attested in the northern Eurasian area, is given in parentheses).

Juxtaposition (as in Komi-Zyrian)

#### 11 Results and conclusions

Chukchi Northern Kurdish Skolt Saami Udmurt Yiddish Russian Finnish Georgian Saliba

Tagalog Indo-European languages Uralic languages

Turkic languages Mongolic languages North Asia Tungusic

languages Mongolic languages Turkic languages North Asia

Indo-European languages Germanic languages Indo-Iranian languages Uralic languages Saamic

Circum-Baltic languages Inner Asia

languages

- Incorporation (as in Chukchi)
- Construct state (as in Northern Kurdish)
- Anti-construct state (as in Skolt Saami)
- Attributive nominalization (as in Udmurt)
- Attributive article (as in Yiddish)
- Anti-construct state agreement (as in Russian)
- Head-driven agreement (as in Finnish)
- Apposed head-driven agreement (as in Georgian)
- Modifier-headed possessor agreement (as in Saliba)
- (Linker) (as in Tagalog [attested only outside northern Eurasia])

A more detailed overview of the attested types including definitions and an ontological cross-classification is presented in Table 4.5 and Table 4.1 on pages 63–65 and in Figure 4.2 on page 66.

11.1.0.0.2 (2) The synchronic survey showed that the most common types of adjective attribution marking devices are head-driven agreement (the Indo-European prototype which characterizes most parts of the European linguistic map) and juxtaposition (the prototype in Uralic, Turkic and Mongolic monotonously characterizing larger parts of North Asia). Modifier-headed possessor agreement is the least common type in northern Eurasia since it is known to occur only in Tungusic. The Mongolic and Turkic families of North Asia exhibit a very low degree of diversity in regard to their adjective attribution marking devices. A relatively high degree of diversity characterizes several branches of Indo-European (especially Germanic and Indo-Iranian) and Uralic (especially Saamic). Typological diversity is thus predominantly found in peripheral subareas of Northern Eurasia where different language families meet, for instance in the Circum-Baltic area in northernmost Europe and in Inner Asia (§ 8).

**11.1.0.0.3 (3)** The **diachronic description** revealed a re-occurring pattern of attributive nominalizers developing further into attributive state markers and various other types of attribution marking devices in different languages of the area and during different periods of time. These structurally similar diachronic paths,

which had not yet been systematically investigated from a cross-linguistic perspective, were reconstructed in detail for Baltic/Slavic (§ 9.1.2.1), Germanic (§ 9.1.2.2) Germanic and Saamic (§ 9.2). In the three Indo-European branches Baltic, Slavic and Germanic, anti-construct state agreement marking evolved from attributive nominalization. Anti-construct state marking arose in the Saamic branch of Uralic as the result of a structurally similar development from attributive nominalization. The developments in the geographically adjacent but genealogically unrelated languages present evidence for areality across Circum-Baltic languages (§ 10).

The thesis also provides an extensive appendix including a list of 234 languages sorted by their genealogical affiliation and coded for attested noun phrase types (Table 0.0.4 starting on page 250) as well as a collection of maps illustrating the spread of attested noun phrase types across a world sample of languages (Figure 0.0.4 and Figure 0.0.4 on pages 251–252), across all northern Eurasian genera (Figure 0.0.4 through Figure 0.0.4 on pages 253–256) and across Europe languages (Figure 0.0.4 and Figure 0.0.4 on pages 257–258).

#### 11.2 Innovative findings

The study presents the first systematic description and mapping of all attested adjective attribution marking devices in the languages of northern Eurasia. It also provides the first complete ontology of adjective attribution marking devices based on syntactic and morpho-syntactic noun phrase types found in northern Eurasian languages. The geographic spread of different adjective attribution marking devices across the main genera of all northern Eurasian language families is surveyed and mapped similar in a way similar to the surveys carried out by the Eurotyp program¹ but covering a larger area.

The present study has a strong diachronic component. Synchronic typological research certainly sheds light on the evolution of language; nevertheless, linguistic typology can scarcely be considered a historical discipline per se since the applied method is most often exclusively a synchronic comparison of linguistic data. The present investigation, however, achieved a historical reconstruction of adjective attribution marking in several languages by using the historical-comparative method in combination with synchronic typology. By applying this innovative methodological approach a new hypothesis about the origin of secondary adjective attribution marking devices in Germanic, Baltic, Slavic and Saamic can be

Baltic/Slavic languages languages Saamic languages **Baltic** languages Slavic languages Germanic languages Saamic languages Circum-Baltic languages Eurotyp Germanic languages Baltic languages Slavic languages Saamic languages

<sup>&</sup>lt;sup>1</sup> Cf. http://www-uilots.let.uu.nl/ltrc/eurotyp/

Kibort, Anna
Towards a
typology
of grammatical
features@Towar
a typology
of grammatical
features
modification
marking

Persian

proposed.

The three most important results of this study are (1) the discovery that STATE has to be included in the inventory of morpho-syntactic features, (2) the finding that adjectival modifiers can be phrasally embedded constituents, and (3) the diachronic attestation of contrastive focus constructions with phrasally embedded adjectival modifiers as a common source of innovative adjective attribution marking devices in the northern Eurasian languages.

#### 11.2.1 The morpho-syntactic feature STATE

Morpho-syntax is commonly understood as phrase internal morphology, i.e. morphology assigned by syntax. The inventory of morpho-syntactic features thus excludes true morphological features which are assigned to phrasal constituents from (phrase internal) syntax. Prototypical examples of morphological features not assigned by noun phrase internal syntax are inflectional class of a noun (an inherent feature), definiteness marking of a noun (a feature assigned by semantics) or accusative marking of a noun phrase in object position inside a verb phrase (a morpho-syntactic feature assigned inside a verb phrase). The most typical morpho-syntactic features in noun phrase syntax are assigned by agreement triggered by one constituent, for instance adjective agreement in definiteness or in accusative case. If agreement of dependent constituents is triggered by a head noun the relevant feature has first to be assigned to the head from outside: either by semantics (e.g. definiteness) or by noun phrase external syntax (e.g. accusative).

Micha: Kibort Feature inventories (like the inventory presented by Kibort 2010), however, do not yet include instances of morphological marking triggered not by constituents but by the syntactic structure as such. The present study provides an important contribution to the general typology of morpho-syntax by complementing the known inventory of morpho-syntactic features with truly morpho-syntactic devices, such as the well-known "construct state" in Persian. The state marker in Persian is not the result of either agreement or government but is assigned by syntax alone.

State markers (glossed in the following examples with the value MOD "modification") can occur with different loci, i.e. on-head (1a), on-dependent (1b) or floating (1c).

(1) a. Head-marking STATE in Persian (Indo-European) (cf. in more detail page 40, elsewhere)

```
xane-ye bozorg
house-MOD big
'a/the large house'
```

- b. Dependent marking state in Kildin Saami (Uralic) (cf. in more detail page 41, elsewhere) ēl'l'-es' pērrht.
  high-MOD house
  'a/the high house'
- c. Floating state in Tagalog (Austronesian) (cf. in more detail page 58, elsewhere) maganda-ng bahay / bahay na maganda beautiful-MOD house house ATTR beautiful 'a/the beautiful house'

As a morpho-syntactic feature, however, STATE is not restricted to noun phrase structure. In the following example, a state marker (glossed as a "modification marker") licenses a noun phrase as the dependent constituent inside an adposition phrase.

(2) Dependent marking state in Kildin Saami (Uralic) (cf. in more detail page 19)

pērht al'n

house\MOD on

'on a/the house'

#### 11.2.2 Embedded adjectival modifiers: synchrony

It is common knowledge that noun phrases can contain simple modifiers (like simple nouns: *stone house* or adjective phrases: *a big house*), embedded phrasal modifiers, i.e. modifiers which are projected as complex noun phrases themselves (like an adnominal possessor noun phrase: *John's sister's house*), or complex modifiers which are projected higher than noun phrases (like an adnominal adposition phrase: *a house in the village* or an adnominal relative clause: *a house which is huge*). It was demonstrated in the present analysis that even adjectival modifiers can constitute embedded noun phrases and occur in attributive apposition constructions, as in Udmurt:

(3) Embedded adjectival attribute in Udmurt (Uralic) (cf. in more detail page 126, elsewhere)

Kildin Saami
Tagalog
modification
marking
Kildin Saami
adnominal
modifier!adpositi
phrase
adnominal
modifier!relative
clause

#### 11 Results and conclusions

Southeast Asian

badžym-ėz gurt  $[NP]_{NP'}$  Abig-NMLZ Nhouse languages

Bickel. Balthasar

'a/the LARGE house'

Nominalization and focus constructions in some Kiranti guages@Non

Unexpected agreement features provided evidence for the embedded adjectival modifier in Udmurt (as well as in other languages). Such attributive apposition constructions are syntactically similar to the well-known nominalizations in Southeast Asian languages languages (see, e.g., Bickel 1999 on the "Standard Sino Tibetan nominalization pattern"). In the northern Eurasian area such constructions with embedded modifiers are especially common in contrastive focus constructions and as the diachronic source of several other adjective attribution marking devices (see also § 11.2.3).

constructions in some Kiranti languages Sino-Tibetan

languages

and focus

Consequently, the syntactic ontology of adjective attribution marking presented in this study includes the phrasal projection of the attribution marking device as a central parameter with three values:

Swedish!Västerbotten

 embedded modifier Minangkabau

Gil, David Genitives.

Komi-Zyrian

· simple modifier

adjectives and relative

incorporated modifier

and relative clauses Komi-Zyrian Lvtkin.

 $\begin{array}{ll} {\it clauses@Genitives,}\\ {\it adiectives} & {\it These} \ \ parameters \ are \ applicable \ in \ a \ typology \ of \ general \ noun \ phrase \ syntax \end{array}$ (including modifiers which are not adjectives and modifiers which are not simple constituents). Consider Table 11.1 (derived from Table 4.1 on page 65) which includes a phrasally embedded attribute (like the juxtaposed relative clause in Minangkabau, example 4a), a simple attribute (like the juxtaposed adjective in Komi-Zyrian, example 4b) and an incorporated attribute (like the incorporated Zyrjanskij possessor in Västerbotten Swedish, example 4c).

Komi-Zvrjanskij jazyk

Vasilij I.

(4) a. Juxtaposed relative clause in Minangkabau (Austronesian; Gil 2005: 3– 4)

batiak Kairil bali papaya Kairil buy

'the papaya Kairil bought'

b. Juxtaposed adjective in Komi-Zyrian (Uralic; Lytkin 1966b: 287)

bur mort-jas good person-PL 'good people'

c. Possessor noun incorporation in Västerbotten Swedish (Indo-European: Gil 2005: 3-4),
 Pelle-äpple
 Pelle-apple

Swedish!Västerb Gil, David Genitives, adjectives and relative clauses@Ge adjectives and relative clauses

Table 11.1: Ontology of general noun phrase structure (derived from Table 4.1 on page 65 and restricted to morphologically unmarked attribution marking devices, i.e. phrasally embedded, simple and incorporated attributes)

Phrasally embedded	Simple	Incorporated
attribute	attribute	attribute
"juxtaposed Rel"	"juxtaposed A"	"incorporated Psr"
??		

### 11.2.3 Embedded adjectival modifiers: diachrony

Adjectival modifiers which are embedded as a noun phrase projection are common cross-linguistically in contrastive focus constructions (see also § 11.2.2), as in Udmurt:

- (5) Juxtaposed simple and embedded adjectival attribute in Udmurt (Uralic) (cf. more detailed page 126, elsewhere)
  - a. Juxtaposition (default)  $bad\check{z}ym \ gurt$  [NPAbig Nhouse] 'a/the large house'

'Pelle's apple'

In Udmurt, as in other languages where attributive nominalization is attested in constructions with adjectives in contrasted focus, focus always takes scope over a whole noun phrase (but not over an adjective phrase). This explains why Indo-European languages Uralic languages Turkic languages Tungusic languages Kartvelian languages

the adjective phrase has to be nominalized and occurs in an attributive appositional construction (i.e. embedded as noun phrase with an empty head), see Table 11.2. This synchronic finding is directly connected to the diachronic ev-

Table 11.2: ??

Simple noun in contrastive focus	$[_{NP}$		N] <sub>focus</sub>
Noun phrase with adjectival modifier in contrastive focus	$[_{NP}$	A	$N]_{focus}$
Embedded adjectival modifier in contrastive focus	$[_{NP}\ [_{NP'}$	A] <sub>focus</sub>	N]
Simple adjectival modifier in contrastive * focus (impossible)	$[_{NP}$	$A_{focus}$	N]

idence for attributive apposition because attributive nominalization is a major (and chronologically re-occurring) diachronic source for the grammaticalization of new adjective attribution marking devices in different languages of the area.

The ultimate etymological source of attributive state marking formatives are prototypically local or person deictic markers (which also tend to be reanalyzed as markers of definiteness, cf. Figure 9.2 on page 222). These markers are initially used as attributive nominalizers in contrastive focus constructions and later reanalyzed either as anti-construct state markers or anti-construct state agreement markers:

(6) a. 
$$[NP[NP' \text{ A-NMLZ}]_{focus} \text{ N}] \Rightarrow [NP \text{ A-ATTR N}]$$
  
b.  $[NP[NP' \text{ A-NMLZ:AGR}]_{focus} \text{ N}] \Rightarrow [NP \text{ A-ATTR:AGR N}]$ 

## 11.3 Other findings

11.3.0.0.1 Information structure and the evolution of attribution marking Cross linguistic data show how relevant information structure is for the description of noun phrase syntax: In Indo-European, Uralic, Turkic, Tungusic and Kartvelian, secondary adjective attribution marking devices occur in contrastive focus constructions. Since contrastive focus has scope over a whole noun phrase (but not over an adjective phrase) in all attested cases, the adjective is used in an attributive appositional construction, i.e. in an embedded noun phrase.

Information structure is also relevant to diachronic noun phrase syntax because in several languages of northern Eurasia new primary devices were innovated from attributive appositional constructions. A typical grammaticalization path starts with attributive nominalization used as a secondary device in contrastive focus constructions. The original emphatic construction with a phrasally embedded adjective is later reanalyzed as a default attribution marking device (either as anti-construct state or as anti-construct state agreement).

Such a development started for instance in Proto-Baltic/Slavic and Proto-German attributive nominalization arose as a secondary adjective attribution marking device (alongside the original head-driven agreement) in contrastive focus constructions and developed further into anti-construct state agreement:

(7) 
$$[_{NP}[_{NP'} \text{ A-nmlz:agr}] \text{ N}] \Rightarrow [_{NP} \text{ A-attr:agr N}]$$

The etymological source of anti-construct state agreement markers in the Indo-European branches are local-deictic markers (demonstratives).

Similarly, in Proto-Saamic attributive nominalization arose as a secondary adjective attribution marking device (in addition to the original juxtaposition) in contrastive focus constructions and developed further into anti-construct state:

(8) 
$$[NP[NP \text{ A-NMLZ}] \text{ N}] \Rightarrow [NP \text{ A-ATTR N}]$$

The etymological source of anti-construct state marking in Saamic is a persondeictic marker (possessive suffix).

Even Proto-Finnic head-driven agreement likely originated in a contrastive focus construction, specifically from appositive head-driven agreement which was reanalyzed as the default adjective attribution marking device under Indo-European influence:

(9) 
$$[_{NP} [_{NP'} \text{ A-AGR}] \text{ N}] \Rightarrow [_{NP} \text{ A-AGR N}]$$

**11.3.0.0.2 Attributive nominalization and definiteness marking** Data from Saamic and from other Uralic and Turkic languages in which attributive nominalizers orig-

inate from the possessive suffix 3<sup>rd</sup> person singular contradict Himmelmann's (1997: 220–221) assumption that a functional convergence between attributive nominalizers and definiteness markers with a person-deictic or a local-deictic etymological source is unlikely to occur.

The data is, however, in accordance with Himmelmann's (1997: 220–221) assumption about the functional extension of deictic elements to attributive and definite markers if one acknowledges that definite markers with a local-deictic

re-analyzis Proto-Baltic/Slavio Proto-Germanic

Indo-European languages Proto-Saamic Saamic

nic **Whege:** ges Proto-Finnic Indo-European

languages Himmelmann, Nikolaus|( Saamic languages

Uralic languages Turkic languages Indo-European languages

Uralic languages Turkic languages

Himmelmann, Nikolaus|(

Baltic languages Amharic

Circum-Baltic languages

Baltic languages Germanic languages

Slavic languages Saamic languages Finnic

languages Indo-European

languages Uralic languages

Circum-Baltic languages Heine, Bernd Kuteva.

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Language
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Proto-Baltic/Slavic

etymological source can evolve from attribution markers (but not vice versa), as in Indo-European:

• Dem  $\Rightarrow$  NMLZ ( $\Rightarrow$  Def)

By contrast, in the Uralic and Turkic languages, in which the etymological source is a person-deictic marker, attribution markers evolved from definite markers:

•  $POSS \Rightarrow DEF \Rightarrow NMLZ$ 

This finding implies an implicational universal: Possessive markers develop to attributive nominalizers only in languages in which similar possessive markers are already used as markers of (quasi-) definiteness (cf. Universal 9.1.4 on page 199).

11.3.0.0.3 "Displaced" definiteness marking on adjectives Synchronic and diachronic data from the languages analyzed in the present study provide clear evidence against the existence of "displaced" definiteness marking on attributive adjectives (as proposed, e.g., for Baltic languages or for Amharic; cf. dahl2007). The primary function of the respective markers is always the licensing of adjective attribution (by means of attributive nominalization in contrastive focus constructions). Even though there is a functional overlapping between attributive nominalization and definiteness marking from a diachronic perspective, the grammaticalization of definiteness marking is secondary in all attested cases.

**11.3.0.0.4** The northern European "buffer zone" The Circum-Baltic branches Baltic, Germanic, Slavic (Indo-European), Saamic and possibly also Finnic (both Uralic) constitute a "buffer zone" (similar to Stilo's 2005 notion of this term) between the Indo-European and Uralic prototypes of noun phrase structure.

The Circum-Baltic "buffer zone" is the result of areal grammaticalization processes (similar to the notion of "grammaticalization area" by Heine & Kuteva (2005)) in which new adjective attribution marking devices were grammaticalized from original attributive appositional constructions marking contrastive focus on the adjective. The developments are most likely the result of contact-induced changes and originate in Proto-Baltic/Slavic.

## 11.4 Prospects for future research

**11.4.0.0.1 General noun phrase structure** The focus of the present study lies on noun phrases with adjectival modifiers, but taking a look at noun phrases with

other modifiers (using, for instance, the AUTOTYP database of Bickel, Nichols & Rießler 2001-2010) suggests that the central morpho-syntactic parameters for the typologization of adjective attribution marking (i.e. *source, pattern* and *locus*, see above) can be applied to a syntactic description of noun phrase structure in general. However, a systematic description of general noun phrase structure, including noun phrases with all possible kinds of adnominal modifiers (demonstratives, numerals, relative clauses, etc.) and performed on a world-wide sample of languages will most likely reveal several new noun phrase types and morphosyntactic parameters. To illustrate this, one new parameter will be described below.

In AUTOTYP, several languages are coded in which the head-dependent relation in noun phrases has shifted in the sense that the semantic dependent shares at least some of the syntactic properties of the head. This resembles the type of modifier-headed possessor agreement found in Oroch or Saliba adjectives described in this study (cf. also Malchukov 2000 for a typology of "dependency reversal in noun-attributive constructions" and Ross 1998, who surveyed this type in Oceanic languages). Another prototypical example of such a modifier-headed noun phrase is found in Wari'.

(10) Wari' (Chapacura-Wanham; Everett & Kern 1997)

mam mao 'in-on ca mixem pucun wom-u

with go:sG 1sG:REAL-3sG.M REAL black POSS:3sG.M cotton-POSS:1sG

'I went with my dirty clothes' (lit. 'with my cotton's blackness')

In the ontology presented in the present study, modifier-headed possessor agreement has been described as a device which is assigned by dependent-driven agreement (i.e. possessor agreement) and which is also phrasally embedded (because the attribute takes the slot of the possessed noun phrase). The shifted head-dependent relation, however, was not included as a parameter in the ontological cross-classification because modifier-headed possessor agreement was the only type of modifier-headed noun phrases relevant for adjective attribution marking.

The shifted head-dependent relation, however, can be relevant for the typologization of general noun phrase structure. In fact, several different types of modifier-headed noun phrases are attested with other kinds of modifiers, for instance in Russian and several other European languages in which numerals higher than one require special case marking on the head noun.

### (11) Russian (personal knowledge)

Bickel. Balthasar Nichols. **Johanna** Rießler. Michael NP structures@NP structures Autotypology! project Wari'l( Autotypology! project Oroch Saliba Malchukov. Andrej L. Dependency reversal in nounattributive constructions: towards a typology@Depen reversal in nounattributive constructions: towards a typology Malcolm Possessive like attributive construc-

> Melanesia Oceanic languages Wari'

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the Oceanic

wari Everett, Daniel L.

Kern,

#### 11 Results and conclusions

Wari' Bickel. Balthasar

Nichols. Iohanna Rießler.

Michael NP structures@NP structures

project Wari'|) Russian|) Gil. David Genitives. adjectives and relative clauses@Ger adjectives and relative clauses

- a. tri mal'čik-a three boy-gen.sg.m 'three boys'
- b. kniga mal'čik-a book boy-gen.sg.m 'the boy's book'

Autotypology!AU The Typun 'boy' in the Russian construction with the numeral 'three' is marked with genitive case (11a). Consequently, this construction is syntactically equivalent to the genitive marked possessive noun phrase (11b). The use of the (dependent marking) possessor case in noun phrases with numeral modifiers suggests that the numeral is the syntactic head and the noun is the modifier. Since agreement is not involved in the assignment of the attribution marker, the type found in Russian is clearly distinguished from the above mentioned modifier-headed itives possessor agreement in Wari' and should therefore be labeled modifier-headed case (Bickel, Nichols & Rießler 2001-2010: cf.).

> **11.4.0.0.2 Polyfunctionality** In a typological survey of noun phrase structures, all types attested in a single language have to be coded if they are distinguished by a formal characteristic, such as a distinct marker, a distinct constituent order, a general marker with a distinct function, etc. Thus, this survey automatically accounts for the polyfunctionality of attribution marking if one and the same device is used with a similar function but for at least two different kinds of modifiers.

> A survey of polyfunctional attribution markers in a world-wide sample of languages has already been presented by Gil (2005) (see also § 5). Gil's typology, however, is restricted to noun phrases with three different kinds of modifiers: possessor nouns, adjectives and relative clauses. A more thorough investigation of all kinds of multifunctional noun phrase markers in a restricted area (such as northern Europe) could trace the sub-areal distributions of various multifunctional types across certain genera. Together with a description of known evolutionary paths of attribution marking, such a survey would also help to develop a theory that accounts for polyfunctionality from both a diachronic and a synchronic perspective.

## Language sample and maps

#### 0.0.1 Genus abbreviations (families)

AB-AD=Abkhaz-Adyghe, AUA=Austroasiatic, AUN=Austronesian, C-SUD=Central Sudanic, CHAD=Chadic, CHAP=Chapacura-Wanham, CHU=Chukotkan, CUSH=Cushitic, DRAV=Dravidian, ESK-A=Eskimo-Aleut, GUNW=Gunwingguan, HM-MI=Hmong-Mien, IE=Indo-European, IROQ=Iroquoian, KAMCH=Kamchatkan, KARTV=Kartvelian, KOIS=Koisan, KOM=Kombio, K-KRO=Kadugli-Krongo, MONG=Mongolic, MUSK=Muskogean, NA-DA=Nakh-Daghestanian, NA-DE=Na-Dene, NIG-C=Niger-Congo, NIL=Nilotic, S-BOU=South Bougainville, SE-RA=Lower Sepic-Ramu, SEM=Semitic, SIN-T=Sino-Tibetan, SONG=Songhai, TAI-K=Thai-Kadai, TANG=Tangkic, TUNG=Tungusic, TURK=Turkic, U-AZT=Uto-Aztecan, URAL=Uralic, YEN=Yeniseian, YUK=Yukaghir

### 0.0.2 Genus abbreviations (branches and subbranches)

5N=Five Nations, AAT=Avar-Andi-Tsezic, ABKH=Abhaz, ADYG=Adyge, ALBA=Albanian, ALT=Altay, ARAM=Aramaic, ARAP=Arapesh, ARME=Armenian, ATHA=Athabaskan, ATLA=Atlantic, BALT=Baltic, BANT=Bantoid, BE-CO=Benue-Congo, BRIT=Brittonic, BULG=Bulgar, BURM=Burmic, CELT=Celtic, CH-IN=Chechen-Ingush, CHIN=Chinese, CHUK=Chukchi, COM=Common, DARG=Dargwa, ENE=Enets, ENIN=Enindhilyagwa, ESKI=Eskimo, DAGH=Daghestanian, DAG=Dagur, FINN=Finnic, FOR=Formosan, GAE=Gaelic, GEOR=Georgian, GER=Germanic, GREE-Greek, HAUS-Hausa, HELL-Hellenic, HMON-Hmongic, HUNG=Hungarian, I-ARY=Indo-Aryan, I-IRA=Indo-Iranian, IRAN=Iranian, IT-W=Italo-Western, KARL=Karluk, KHAN=Khanty, KHOE=Khoekhoe, KIPCH=Kipchak, KORAL=Koryak-Alutor, KRON=Krongo, L-BUR=Lolo-Burmese, L-SEP-Lower Sepik, LEZG=Lezgic, LEND=Lendu, M-KH=Mon-Khmer, MAL-P=Malayo-Polynesian, MANCH=Manchu, MAND=Mande, MANS=Mansi, MOGH=Moghol, MONGO=Mongolian, MONGU=Monguor, MORD=Mordvin, NASI=Nasioi, NOU=Nanay-Orok-Ulcha,

### Language sample and maps

Europe North Asia Anti-

NENE=Nenets, NGAN=Nganasan, OCE=Oceanic, OR-UD=Oroch-Udege, OROM=Oromo, PERM=Permic, REMB=Rembargic, ROM=Romance, S-WEL=South Wellesley, SAAM=Saamic, SAMO=Samoyedic, SAY=Sayan,

construct state agreement

SELK=Selkup, SIN=Sinitic, SLAV=Slavic, SUND=Sundic, TSO=Tsouic,

VIET=Vietic, W-MP=Western Malayo-Polynesian, YEN=Yenisey,

construct state

Anti-

YI-KA=Yimas-Karawari, YOR=Yoruboid, YUP=Yupik

Appositive headdriven

0.0.3 Geographic (sample) abbreviations

agreement Construct state

EU=Europe, NA=North Asia, NE=North Eurasia, W=World

Doubleconstruct state

0.0.4 Type abbreviations

Head-driven agreement Adjective incorporation

ACAgr=Anti-construct state agreement, AConstr=Anti-construct state, AHDAgr=Appositive head-driven agreement, Constr=Construct state, DConstr=Double-construct state, HDAgr=Head-driven agreement, Inc=Adjective incorporation, Juxt=Juxtaposition, Link=Linker, MHPAgr=Modifier-headed possessor agreement, Nmlz=Attributive

Juxtaposition Linker Modifier-

nominalization

headed possessor agreement

Attributive nominalization

	Reference	Kouwenberg 1994	Lomtatidze & Klychev 1994	Chirikba 2003	Paris 1994	Colarusso 2006	Refsing 1986	Nguyễn 1987	Szakos 1994	Mosel 1994	Ross 1998	Schachter 1987	Gil 2005	Hualde & de Urbina 2003	Kutsch Lojenga 1994	Derbyshire 1979	Wolff 1993	Everett & Kern 1997	Skorik 1960	Nagayama 2003	Žukova 1997	Stroomer 1995	Asher 1982	de Reuse 1994	Fortescue 1984	Merlan 1983	Harriehausen 1990	Demiraj 1998	Sasse 1991	Ajello 1998	Nau 1996
Noun phrase type(s)	#1/#2(#3)[#4]	Juxt	HDAgr	HDAgr	Inc	Inc	Juxt	Juxt	AConstr	MHPAgr	AConstr	Linker	Juxt	Juxt	HDAgr/Juxt	n.a.	ACAgr/HDAgr	MHPAgr	Inc/HDAgr	Inc/HDAgr	Inc	HDAgr	Juxt	Inc	HDAgr	HDAgr/Juxt	Juxt	HDAgr/Nmlz+HDAgr	HDAgr/Nmlz+HDAgr	HDAgr/Juxt	ACAgr/HDAgr
ling	M	×	1	×	ı	ı	×	×	×	×	×	×	ı	×	×	×	×	×	×	ı	1	×	×	ı	×	×	×	×	1	ı	1
Geographic sampling	NE	ı	ı	×	ı	×	×	1	ı	ı	ı	ı	ı	×	ı	1	ı	ı	×	ı	×	1	ı	×	ı	ı	ı	×	1	×	×
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Geog	EU	1	×	×	×	×	ı	ı	ı	ı	ı	ı	ı	×	ı	ı	ı	ı	ı	ı	1	1	ı	ı	ı	ı	ı	×	×	×	×
ogical affiliation	Language	BERBICE DUTCH CREOLE	ABAZA	Авкнах	ADYGE (ABZAKH)	KARBARDIAN	Ainu	VIETNAMESE	Tsou	SALIBA	Takia	TAGALOG	MINANGKABAU	BASQUE	NGITI	Hixkaryana	Hausa	Wari	Сниксні	Alutor	Кокуак	Oromo (Boraana)	TAMIL	YUPIK (SIBERIAN)	Western Greenlandic	NGALAKAN	HMONG NJUA	Albanian	Arvanitika	ARMENIAN (EASTERN)	Latvian
Genealogical	ınch							VIET	LSO	OCE	OCE	M-PH	SUND		LEND		HAUS					OROM		YUP							Е
Ger	(Sub-)Branch	d creoles)	ABKH	ABKH	ADYG	ADYG	late)	M-KH	FOR	MAL-P	MAL-P	WEMP	WEMP	isolate)	田		W		CHUK	KORAL	KORAL	日	S	ESKI	ESKI	REMB	HIMON	ALBA	ALBA	ARME	BALT
	Family	(Pidgin and creoles)	AB-AD	AB-AD	AB-AD	AB-AD	AINU (isolate)	AUA	AUN	AUN	AUN	AUN	AUN	BASQUE (	C-SUD	CARIBAN	CHAD	CHAP	CHU CHUK	CHU	CHU	CUSH	DRAV	ESK-A	ESK-A	GUNW	HM-MI	E	E	Œ	田

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	Reference	Press 2005	Ternes 1992	Thomas 1992a	Thomas 1992b	Thomson 1992b	Dochartaigh 1992	Phillips 2004	own knowledge	Lund 1932	Lockwood 1955	Kress 1982	own knowledge	own knowledge	Åström 1893	Donaldson 1997	own knowledge	Tiersma 1985	own knowledge	Reese 2006	Matras & Reershemius 2003	Schanen & Zimmer 2005-2006	Katz 1987	Ruge 1986	Oranskaja 2001	Halwachs & Wogg 2002	Cech 2006	Tenser 2005	Cech & Heinschink 2003	Holzinger 1995	Aygen 2007
Noun phrase type(s)	#1/#2(#3)[#4]	ACAgr/HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr/Juxt	HDAgr[Nmlz]	HDAgr	ACAgr+HDAgr/HDAgr[Nmlz]	HDAgr[Nmlz]	ACAgr+HDAgr/HDAgr[Nmlz]	ACAgr+HDAgr/HDAgr[Nmlz]	Inc/HDAgr	ACAgr[Nmlz]	Inc[Nmlz]	ACAgr	ACAgr[Nmlz]	ACAgr	ACAgr	ACAgr	ACAgr(Nmlz+HDAgr)	HDAgr(Nmlz+HDAgr)	ACAgr/Juxt	HDAgr(Nmlz+HDAgr)	HDAgr	HDAgr	HDAgr	HDAgr	Constr
ing	W	1	ı	1	ı	ı	ı	ı	ı	1	ı	1	1	1	1	1	×	1	ı	1	1	1	1	×	ı	1	1	1	ı	1	1
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Geographic sampling	NA	ı	ı	1	1	ı	ı	ı	ı	ı	ı	1	1	ı	1	1	1	ı	ı	1	ı	ı	1	1	×	ı	ı	1	ı	ı	1
Geog	EU	×	×	×	×	×	×	×	×	ı	×	×	×	×	×	×	×	×	×	×	×	×	×	×	1	×	×	×	×	×	×
cal affiliation	Language	LITHUANIAN	Breton	Cornish	Welsh	GAELIC (SCOTS)	Irish	Manx	DANISH	DANISH (W-JUTLANDIC)	Faroese	ICELANDIC	Norwegian	Swedish	SWEDISH (VÄSTERBOTTEN)	Dutch	English	Frisian (West)	GERMAN	GERMAN (ALEMANNIC)	GERMAN (LOW)	LUXEMBOURGEOIS	Yiddish (East)	Greek	Parya	ROMANI (BURGENLAND)	Romani (Doplenjska)	Romani (Lithuanian)	ROMANI (SEPECIDES)	Romani (Sinte)	Kurdish (Northern)
Genealogical affi	ranch	ы	BRIT	BRIT	BRIT	GAE	GAE	GAE	z	z	z	z	z	z	Z	M	M	M	M	M	M	M	M	GREE	I-ARY	I-ARY	I-ARY	I-ARY	I-ARY	I-ARY	IRAN
5	(Sub-)Branch	BALT	CELT	CELT	CELT	CELT	CELT	CELT	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	GER	HELL	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA
	Family	田	E	E	E	田	田	田	田	E	田	田	E	田	田	E	Œ	田	田	田	田	田	E	E	E	E	田	E	E	田	Œ

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	Reference	Abaev 1964	Mahootian 1997	Payne 1989	Payne 1989	Ido 2005	Schulze 2000	Džidalaev 2000	Payne 1989	Beyer, Bochmann & Bronsert 1987	M. Harris 1997	Pérez Bouza 1996	Maiden & Robustelli 2000	Gärtner 1998	Haiman 1997	Torrego 1998	Hualde 1992	Giacomo-Marcellesi 1997	Jones 1997	Mayo 1993	own knowledge	Shevelov 1993	own knowledge	Friedman 2002	Kordic 1997	Priestly 1993	Janda & Townsend 2000	Stone 1993a	Feldstein & Franks 2002	Short 1993	Stone 1003h
Noun phrase type(s)	#1/#2(#3)[#4]	Juxt(Constr)	Constr	AConstr(Constr)	HDAgr	Constr	AConstr	Constr	HDAgr	HDAgr(Nmlz+HDAgr)	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	ACAgr	HDAgr	HDAgr	HDAgr	HDAgr(ACAgr)	HDAgr(ACAgr)[Nmlz+HDAgr]	HDAgr	HDAgr	HDAgr	HDAgr	HDA
ling	M	-	×	ı	ı	ı	1	1	ı	ı	1	ı	×	ı	1	ı	ı	ı	ı	1	×	ı	1	1	1	ı	1	1	1	ı	
samp	NE	×	ı	ı	ı	×	×	ī	×	×	ı	ı	×	1	ı	1	ı	1	×	ı	×	×	×	ı	1	1	1	ı	1	ı	×
Geographic sampling	NA	ı	ı	×	×	×	ı	ı	×	ı	ı	ı	ı	1	ı	1	ı	1	ı	1	ı	ı	1	1	1	1	1	1	1	ı	ı
Geo	EU	×	ı	1	ı	ı	×	×	1	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	>
Genealogical affiliation	Language	OSSETIC	Persian	Roshanī	SHUGHNĪ	TAJIK	Talysh (Northern)	TATI	Yazghūlāmī	Rumanian	French	Galician	Italian	Portuguese	Romansch	SPANISH (CASTILIAN)	Spanish (Catalan)	Corsican	Sardinian	Belorussian	Russian	Ukrainian	Bulgarian	MACEDONIAN	Serbo-Croatian	SLOVENE	Сzесн	KASHUBIAN	Роціян	Slovak	SOPPLAN (I OWED)
ealogical	ranch	IRAN	IRAN	IRAN	IRAN	IRAN	IRAN	IRAN	IRAN	ы	M-LI	M-LI	M-LI	M-LI	M-LI	M-LI	M-LI	S	S	щ	ш	ш	S	S	S	S	8	M	M	M	///
Gen	(Sub-)Branch	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	I-IRA	ROM	ROM	ROM	ROM	ROM	ROM	ROM	ROM	ROM	ROM	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	SLAV	CI AW
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	Gene	Genealogical aff	ffliation	Geog	Geographic sampling	sampl	ing	Noun phrase type(s)	Lá
Family	(Sub-)Branch	ıch	Language	EU	NA	Œ	×	#1/#2(#3)[#4]	Reference
E	SLAV	M	Sorbian (Upper)	×	1	ı	1	HDAgr	Schaarschmidt 2004
IROQ	Z	2N	CAYUGA	1	ı	1	×	Inc	Mithun & Henry 1982
JAPANESE (isolate	(isolate)		JAPANESE	ı	×	×	×	AConstr/Juxt	Backhouse 1984
K-KRO	KRON		Krongo	ı	ı	ı	×	ACAgr	Reh 1985
KAMCH	W		ITELMEN	1	×	×	×		Georg & Alexander P. Volodin 1999
KARTV	GEOR		Georgian	×	ı	×	×	Juxt(AHDAgr)	Cherchi 1999
KARTV	SVAN		SVAN	×	ı	×	1		A. C. Harris 1991c
KARTV	ZAN		LAZ	×	ı	×	1		Holisky 1991
KARTV	ZAN		Mingrelian	×	ı	1	1	Juxt(HDAgr)	A. C. Harris 1991a
KOIS	C	KHOE	Nama	1	ı	1	×	Juxt	Hagman 1977
KOM	ARAP		ARAPESH (BUKIYIP)	1	I	ı	×	Juxt	Conrad 1991
KOREAN (isolate)	isolate)		Korean	1	×	×	×		Martin & Lee 1969
MAPUDUN	MAPUDUNGUN (isolate)	te)	MAPUDUNGUN	ı	ı	ı	×	ACAgr/Juxt	Zúñiga 2000
MONG	DAG		DAGUR	ı	×	×	1	Juxt	Tsumagari 2003
MONG	MOGH		Мобног	ı	×	ı	×	Juxt	Weiers 2003
MONG	MONGO		BURYAT	ı	×	1	ı	Juxt	Skribnik 2003
MONG	MONGO		KALMYK	ı	×	1	1	Juxt	Bläsing 2003
MONG	MONGO		Кнагкна	1	×	×	×	Juxt	Svantesson 2003
MONG	MONGO		Mongol (Khamnigan)	1	×	1	1	Juxt	Janhunen 2005
MONG	MONGO		OYRAT	1	ı	1	1	Juxt	Birtalan 2003
MONG	MONGU		MANGGHUER	1	ı	1	1	Juxt	Slater 2003
MUSK	ы		Koasati	1	ı	1	×	Nmlz	Kimball 1991
NA-DA	DAGH	AAT	Акнуакн	×	ı	1	1	HDAgr	Magomedbekova 2000
NA-DA	DAGH	AAT	Andi	×	I	ı	1	HDAgr	P. A. Saidova 2000
NA-DA	DAGH	AAT	Avar	×	1	ı	×	HDAgr(Juxt)	Alekseev & Ataev 1998
NA-DA	DAGH	AAT	BAGVALAL	×	ı	1	1	HDAgr	Magomedova 2000a
NA-DA	DAGH	AAT	Вехнта	×	ı	1	1	HDAgr	Kibrik & J. G. Testelets 2004
NA-DA	DAGH	AAT	Вотикн	ı	ı	ı	1	HDAgr	Azaev 2000
NA-DA	DAGH	AAT	CHAMALAL	×	I	ı	ī	HDAgr	Magomedova 2004
NA-DA	DAGH	AAT	Godoberi	×	I	×	1	HDAgr	Patimat A. Saidova 2004
NA-DA	DAGH	AAT	Німикн	×	ı	ı	1	HDAgr	Isakov & Xalilov 2004

	Genealc	Genealogical affiliation	ation	Geo	Geographic sampling	samp	ling	Noun phrase type(s)	
Family	(Sub-)Branch	anch	Language	EU	NA	Œ	×	#1/#2(#3)[#4]	Reference
NA-DA	DAGH	AAT	HUNZIB	×	ı	ı	1	HDAgr	van den Berg 1995
NA-DA	DAGH	AAT	Karata	×	ı	ı	1	HDAgr	Magomedbekova 1971
NA-DA	DAGH	AAT	TINDI	×	ı	ı	1	HDAgr	Magomedova 2000b
NA-DA	DAGH	AAT	TSEZ	×	ı	×	1	ACAgr/Juxt(Nmlz)	Alekseev & Radžabov 2004
NA-DA	DAGH	DARG	Dargwa	×	I	×	ī	ACAgr(Juxt)	Isaev 2004
NA-DA	DAGH	LAK	LAK	×	ı	×	×	HDAgr(ACAgr)	Abdullaev 2000
NA-DA	DAGH	LEZG	Agur	×	ı	ı	1	Juxt	Šaumjan 1941
NA-DA	DAGH	LEZG	Archi	×	ı	×	1	HDAgr	Kibrik 1994a
NA-DA	DAGH	LEZG	Вирикн	×	I	ı	1	Juxt	Alekseev 1994a
NA-DA	DAGH	LEZG	KHINALUG	×	ı	ı	1	Juxt	Deseriev 1959
NA-DA	DAGH	LEZG	Kryz	×	I	1	1	Juxt	Saadiev 1994
NA-DA	DAGH	LEZG	Lezgian	×	ı	×	×	Juxt	Haspelmath 1993
NA-DA	DAGH	LEZG	RUTUL	×	I	×	1	AConstr	Alekseev 1994b
NA-DA	DAGH	LEZG	TABASARAN	×	ı	×	ı	HDAgr/Juxt	Kurbanov 1986
NA-DA	DAGH	LEZG	TSAKHUR	×	ı	×	1	ACAgr/Juxt	Schulze 1997
NA-DA	DAGH	LEZG	Upi	×	ı	ı	ı	Juxt	Schulze-Fürhoff 1994
NA-DA	NAGH	BATS	BATS	×	ı	×	1	HDAgr	Holisky & Gagua 1994
NA-DA	NAGH	CH-IN	CHECHEN	×	ı	×	×	HDAgr	Nichols 1994a
NA-DA	NAGH	CH-IN	Ingush	×	ı	ı	1	HDAgr	Nichols 1994b
NA-DE	ATHA		SARCEE	1	ı	ı	×	Inc	Cook 1984
NIG-C	ATLA	z	FULA (GOMBE)	ı	I	ı	×	HDAgr	Arnott 1970
NIG-C	ATLA	S	Kısı	ı	ı	ı	1	HDAgr	Tucker 1995
NIG-C	BE-CO	BANT	BABUNGO	ı	ı	ı	1	HDAgr	Schaub 1985
NIG-C	BE-CO	BANT	Sesotho	ı	ı	ı	1	HDAgr	Guma 1971
NIG-C	BE-CO	BANT	SWAHILI	ı	I	ı	×	HDAgr	Gromova & Ochotina 1995
NIG-C	BE-CO	YOR	YORUBA	ı	ı	ı	×	Juxt	Bamgbose 1966
NIG-C	MAND	M	BAMBARA	1	I	ı	×	Juxt	Brauner 1974
NIL	S		Endo	ı	I	ı	×	ACAgr+Nmlz	Zwarts 2003
NIL	M	LWO	Lango	1	ı	ı	×	Nmlz	Noonan 1992
NIVKH (isolate)	solate)		Nivкн	ı	×	×	×	HDAgr	Gruzdeva 2003

	Gene	Genealogical affiliation	liation	Geo	graphi	Geographic sampling	ling	Noun phrase type(s)	Lar
Family	(Sub-)Branch	ıch	Language	EU	NA	NE	M	#1/#2(#3)[#4]	Reference
S-BOU	NASI		Nasioi	ı		ı	×	ACAgr/Juxt	Rausch 1912
SE-RA	L-SEP	YI-KA	YIMAS	ı	ı	ı	×	HDAgr	Foley 1991
SEM	C		ASSYRIAN	×	I	×	1	HDAgr	Krotkoff 1982
SEM	W		ARABIC (CYPRIOT)	×	I	ı	1	HDAgr	Alexander Borg 1985 du
SEM	W	C	ARABIC (EGYPTIAN)	ı	ı	ı	×	HDAgr	Gary & Gamal-Eldin 1982
SEM	W	C	Maltese	×	ı	×	ı	HDAgr	Albert Borg & Azzopardi-Alexender 1996
SEM	W		AMHARIC	ı	I	ı	×	Juxt(ACAgr)	Leslau 1995
I-NIS	L-BUR	BURM	BURMESE	ı	ı	ı	×	Juxt/Nmlz	Wheatley 1987
I-NIS	L-BUR		LAHU	ı	ı	ı	1	Nmlz	Matisoff 1973
I-NIS	SIN		Dungan	ı	×	×	ı	Juxt[Nmlz]	Kalimov 1968
I-NIS	SIN		Mandarin	ı	ı	ı	×	Nmlz(Juxt)	Li & Thompsen 1981
SONG	S		Koyra Chiini	1	1	1	×	AConstr	Heath 1998
TAI-K	TAI		Nung	ı	ı	ı	ı	Juxt(Nmlz)	Saul & Freiberger Wilson 1980
TAI-K	TAI		Тнаг	ı	1	ī	×	Juxt	Hudak 1987
TANG	S	S-WEL	KAYARDILD	1	1	1	×	HDAgr	Evans 1995
TIWI (isc	olate)		Tiwi	1	ı	ı	×	HDAgr	Osborne 1974
TUNG	AMUR		Nanay	ı	×	ı	ı	Juxt	Avrorin 1968
TUNG	TUNG AMUR	NOU	Окок	ı	×	×	ı	HDAgr	Petrova 1967
TUNG	AMUR		Ulcha	ı	ı	×	1	Juxt[Nmlz]	Sunik 1985
TUNG	AMUR		Окосн	1	×	×	1	Juxt(MHPAgr)	Avrorin & Lebedeva 1967
TUNG	AMUR		Udege	ı	×	×	×	HDAgr/MHPAgr	I. Nikolaeva & Tolskaya 2001
TUNG	MANCH		Manchu	ı	×	×	1	Juxt	Avrorin 2000
TUNG	Z		Even	1	×	×	×	HDAgr(MHPAgr)	Malchukov 1995
TUNG	z		Evenki	1	×	×	ı	HDAgr/Juxt/Nmlz(MHPAgr)	Nedjalkov 1997
TUNG	z		NEGIDAL	ı	×	×	ı	Juxt	Nedjalkov 2001
TUNG	Z		Solon	ı	×	ī	ı	Juxt	Cincius 1997
TURK	BULG		Сничаян	×	1	×	×	Juxt(Nmlz)	Clark 1998a
TURK	COM		ALTAY (SOUTHERN)	ı 	×	×	1	Juxt	Baškakov 1997
TURK	COM		Kirghiz	1	×	ı	1	Juxt	Kara 2003
TURK	COM	KARL	Uygur	ı	×	1	ı	Juxt[Nmlz]	Nadžip 1991

	Reference	Boeschoten 1998	Poppe 1964	Seegmiller 1996	Kacaoğlu 2002	Baškakov 2001	Kara 2002	Kadyradžiev 2000	Baskakov 1940	Poppe 1963	Ubrjatova 1985	Krueger 1964	Budagova 1982	Pokrovskaja 1997	Kornfilt 1997	Clark 1998b	Anderson & Harrison 1999	Anderson 2002	Donidze 1997	Whorf 1946	Campbell 1985	Viitso 1998	E. Buchholz 2004	Laanest 1997	Zajkov 1999	Moseley 2002	Zajceva 1981	I. [ Nikolaeva 1997	Kenesei, Vago & Fenyvesi 1998	I. Nikolaeva 1999	I. Nikolaeva 1999
Noun phrase type(s)	#1/#2(#3)[#4]	Juxt[Nmlz]	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt[Nmlz]	Juxt	Juxt	Juxt	Juxt	DConstr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	HDAgr	Juxt	Juxt	Juxt
ing	M	-	ı	1	1	1	ı	1	1	1	ı	1	ı	1	×	1	1	1	ı	×	×	ı	×	ı	1	1	ı	1	×	1	1
sampl	NE	×	ı	ı	ı	ı	×	ı	ı	ı	ı	×	ı	ı	×	ı	×	×	ı	ı	ı	ı	×	ı	ı	ı	ı	ı	×	×	×
Geographic sampling	NA	×	×	ı	1	×	×	ī	1	ı	×	×	×	1	ı	×	×	×	×	ı	1	1	ı	1	ı	ı	ı	ı	1	×	×
Geog	EU	ı	ı	×	×	ı	ı	×	×	×	1	ı	ı	×	×	1	ı	ı	ı	ı	ı	×	×	×	×	×	×	×	×	ı	ı
filiation	Language	Uzвек	BASHKIR	Karachay-Balkar	Karaim	Karakalpak	Kazakh	Kumyk	Nogax	Tatar	Dolgan	ЅАКНА	Azerbaijani	GAGAUZ	Turkish	Turkmen	Tuvan	Khakaz	SHOR	Hopi (Toreva)	Pipil	Estonian	FINNISH	Ingrian	KARELIAN	Livonian	VEPSIAN	Votian	Hungarian	KHANTY (EASTERN)	KHANTY (NORTHERN)
Genealogical affiliation	anch	KARL	KIPCH	KIPCH	KIPCH	KIPCH	KIPCH	KIPCH	KIPCH	KIPCH	LENA	LENA	OGUZ	OGUZ	OGUZ	OGUZ	SAY	YEN	YEN												
Ger	(Sub-)Bra	COM	COM	COM	COM	COM	COM	COM KI	COM	COM	COM	COM	COM	COM	COM	COM	COM	COM	COM	z	S	FINN	FINN	FINN	FINN	FINN	FINN	FINN	HUNG	KHANT	KHANT
	Family	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	TURK	U-AZT	U-AZT	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL

gua	ıge	Sá	ım	ple	e a.	nd 19	1961	ap	S																			
	Reference	Riese 2003	Riese 2003	Alhoniemi 1993	Alhoniemi 1993	Zavodova & Koljadenkov 19	Zavodova & Koljadenkov	Lytkin 1966a	Kokkonen 1984	Winkler 2001	Olthuis 2000	own knowledge	own knowledge	own knowledge	Spiik 1989	own knowledge	Lehtiranta 1992	Bergsland 1994	own knowledge	Künnap 1999	Décsy 1966	Helimski 1998a	Helimski 1998b	Helimski 1998b	Helimski 1998b	Vajda 2004	Maslova 2003a	
Noun phrase type(s)	#1/#2(#3)[#4]	Juxt	Juxt	Juxt[Nmlz+AHDAgr]	Juxt[Nmlz+AHDAgr]	Juxt	Juxt	Juxt(Nmlz+AHDAgr)	Juxt(Nmlz+AHDAgr)	Juxt(Nmlz+AHDAgr)[AHDAgr]	AConstr/Juxt	AConstr/Juxt[HDrAgr]	AConstr/Juxt	AConstr/Juxt	AConstr/Juxt	AConstr/DConstr/HDAgr/Juxt	AConstr/Juxt	AConstr/Juxt	AConstr/Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt	Juxt(HDAgr)[Nmlz]	AConstr/Inc	
ing	≽	ı	ı	1	ı	ı	1	ı	ı	ı	ı	ı	ı	ı	ı	×	ı	ı	1	1	×	ı	ı	1	ı	×	ı	
sampl	NE E	×	×	×	ı	×	1	ı	ı	×	ı	ı	ı	ı	ı	×	ı	ı	ı	×	×	×	×	×	×	×	ı	
Geographic sampling	NA	×	×	1	ı	ı	1	ı	ı	ı	ı	ı	ı	ı	ı	ı	1	ı	ı	×	×	×	×	×	×	×	×	
Geogr	EU	1	ı	×	×	×	×	×	×	×	ı	×	ı	ı	ı	×	1	×	ı	ı	1	ı	ı	1	ı	ı	1	
(filiation	Language	MANSI (EASTERN)	MANSI (NORTHERN)	Mari (Hill)	Mari (Meadow)	Mordvin (Erzya)	Mordvin (Moksha)	Komi-Permyak	Komi-Zyrian	UDMURT	SAAMI (INARI)	SAAMI (KILDIN)	SAAMI (SKOLT)	SAAMI (TER)	SAAMI (LULE)	SAAMI (NORTHERN)	SAAMI (PITE)	SAAMI (SOUTHERN)	SAAMI (UME)	ENETS (FOREST)	NENETS (TUNDRA)	Nganasan	Selkup (Central)	Selkup (Northern)	Selkup (Southern)	Ket	YUKAGHIR (KOLYMA)	
Genealogical a	anch										ы	ы	ы	ы	M	Μ	M	M	M	ENE	NENE	NGAN	SELK	SELK	SELK			
Ger	(Sub-)Branch	MANS	<b>MANS</b>	MARI	MARI	MORD	MORD	PERM	PERM	PERM	SAAM	SAAM	SAAM	SAAM	SAAM	SAAM	SAAM	SAAM	SAAM	SAMO	SAMO	SAMO	SAMO	SAMO	SAMO	Z		
	Family	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	URAL	YEN	YUK	

Figure 2: Adjective attribution marking in the world's languages; (unbalanced) sample of 71 languages coded for main morpho-syntactic types

Figure 3: Adjective attribution marking in the languages of North Eurasia; 85 languages representing all genera of the

Draft of Monday 21st March, 2016, 16:59

Figure 4: Adjective attribution marking in the languages of North Eurasia; 85 languages representing all genera of the area coded for main morpho-syntactic types

Figure 8: Adjective attribution marking in the languages of Europe; 123 languages coded for main morpho-syntactic types

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11 1	
alakpárjainak kérdéséhez, 205	gen im Nordgermanischen, 189,
Abriß der tschuktischen Grammatik	196, 197, 225, 226
auf der Basis der Schriftsprache,	Die Krongo-Sprache (Nìinò Mó-dì): Beschrei
84	bung, Texte, Wörterverzeich
Achvachskij jazyk, 246	niß, 246
Albanische Grammatik, 16	Die Morphosyntax des "Adjektivs" im
Altajskij jazyk, 248	Sprachvergleich, 89
Andijskij jazyk, 246	Die Sprache der Cou: Untersuchungen
Arvanitika: die albanischen Sprachreste	zur Synchronie einer austrone-
in Griechenland, 136, 137, 243	sischen Sprache auf Taiwan,
Avarskij jazyk, 246	243
Azerbajdžanskij jazyk (kratkij očerk),	Die Sprache von Südost-Bougainville,
249	Deutsche Salomoninseln, 248
Bagvalinskij jazyk, 246	Die itelmenische Sprache: Grammatik
Baltisch und Slavisch: die Fiktion von	und Texte, 85, 86, 246
der baltisch-slavischen Sprachein-	Dunganskij jazyk, 90–92, 248
heit: erster Teil, 183	Eine slavisch-germanische syntaktis-
Botlichskij jazyk, 246	che Parallele, 182, 187
Burgenland-Romani, 138, 139, 244	El Gallego, 245
Chrestomathia Votiacica, 129	Fremdes oder Eigenständiges? Zum his-
Das Tschuwaschische, 180	torischen Hintergrund der At
Deiktikon, Artikel, Nominalphrase: zur	tributivkongruenz in uralis-
Emergenz syntaktischer Struk	chen Sprachen, 214, 216
tur, 51, 52, 56, 59, 69, 123,	From Proto-Indo-European to Proto-Germanic.
134, 136, 174, 198, 217	188, 189
Der samische Einfluss auf die skandi-	Funkcional'no-grammatičeskaja parametrizacija
navischen Sprachen: Beitrag	prilagatel'nogo (po dannym
zur nordgermanischen Sprachgesch	ichte, polevogo issledovanija dugan-
192, 203, 218	skogo jazyka), 91, 92
Deskriptiv svensk grammatik, 17	Gagauzskij jazyk, 249

A lapp melléknevek attributív-predikatív Die Evolution attributiver Markierun-

Grammaire du vieux-perse, 185	Altirischen, Gotischen, Althochdeutschen
Grammatik der finnischen Sprache, 249	Litauischen und Altkirchenslavis
Grammatik der portugiesischen Sprache.	chen, 184, 217
245	Handbuch der altbulgarischen (altkirchenslavis
Grammatik der rumänischen Sprache	chen) Sprache: Grammatik,
der Gegenwart, 16, 153–155,	Texte, Glossar, 182
245	Hmong Njua: syntaktische Analyse einer
Grammatik des Neugriechischen: Laut	gesprochenen Sprache mithilfe
lehre, Formenlehre, Syntax,	datenverarbeitungstechnischer
54, 151, 244	Mittel und sprachvergleich-
Grammatik des Tscheremissischen (Mari).	ender Beschreibung des südostasi
179, 250	atischen Sprachraumes, 243
	-
Grammatika azerbajdžanskogo jazyka	Isländische Grammatik, 35, 244
(fonetika, morfologija i sin-	Istoričeskaja morfologija permskich jazykov.
taksis), 106	179
Grammatika chinalugskogo jazyka, 247	Itel'menskij jazyk, 28, 85
Grammatika karel'skogo jazyka (fonetika	Ižorskij jazyk, 249
i morfologija), 249	Jazyk i fol'klor aljutorcev, 84
Grammatika man'čžurskogo pis'mennogo	Jazyk noril'skich dolgan, 249
jazyka, 248	Jazyk orokov (ul'ta), 98, 248
Grammatika mordovskich (mokšanskogo	Jenisejskie jazyki, 101
i ėrzjanskogo) jazykov, 250	Johdatus lapin kielen historiaan, 200
Grammatika vepsskogo jazyka: fonetika	Karakalpakskij jazyk, 249
i morfologija, 249	Karatinskij jazyk: grammatičeskij analiz
Grammatika čukotskogo jazyka: fonetika	teksty, slovar', 247
i morfologija imennych častej	Karay: the Trakai dialect, 249
reči, 82, 83, 243	Kategorija vydelenija v sovremennom
Grammatičeskij očerk agul'skogo jazyka	čuvašskom jazyke, 180
s tekstami i slovarem, 247	Komi-Permjak, 250
Gramática didáctica del Español, 245	Komi-Zyrjanskij jazyk, 29, 126, 234
Grundriss der vergleichenden Gram-	Komisyrjäänin kielioppia ja tekstejä,
matik der indogermanischen	250
Sprachen: kurzgefasste Darstel	Korjakskij jazyk, 243
lung der Geschichte des Al-	Kumykskij jazyk, 249
tindischen, Altiranischen (Avestis-	Kurmanjî Kurdish, 244
chen und Altpersischen), Al-	Kategorien des Nomens: Schnittstellen
tarmenischen, Altgriechischen,	und Ökonomie, 40
	eh <b>l</b> kskij jazyk: fonetika i morfologija,
•	, , , , , , , , , , , , , , , , , , ,

109, 110	Om uttalet av runan R och några nordiska
Lakskij jazyk, 247	lånord i lapskan, 201
Lappische Grammatik mit Lesestücken	Omistussuhteen ulokkeita: komin pos
211	sessiivisuffiksin ei-possessiivista
Lehrbuch des Bambara, 247	funktioista, 218
Litauisches Lesebuch mit Grammatik	On samiskans attributiva adjektivform,
und Wörterbuch, 182	42, 130, 175, 199, 206, 210,
Lithuanian Romani, 244	212
Loanwords in Kildin Saami, a Uralic	Očerk grammatiki al'utorskogo jazyka
language of northern Europe	= Grammatical outline of Alu
226	tor, 83, 84, 243
Low German, 244	Par'ja jazyk, 244
Lulesamisk grammatik, 250	Proto-Mongolian, 93
Lëtzebuergesch Grammaire, 244	Referenzgrammatik des Hausa: Zur Be
Mongol'skie jazyki, 30	gleitung des Fremdsprachenun
Morfologija tabasaranskogo jazyka (poso	terrichts und zur Einführung
bie dlja učitelja, 112, 247	in das Selbststudium, 243
Morsing målets Lyd- og Formlære, 193,	Rhaeto-Romance, 245
244	Samiskt och finskt substrat i nordskan-
NP structures, 26, 37, 42, 60, 78, 80,	dinaviska dialekter, 194, 195
238, 239	Sepecides - Romani, 244
Nanajskij jazyk, 248	Serbo-Croatian, 159, 245
Negidal'skij jazyk, 248	Serbo-Croat, 160
Niedersorbische Grammatik: für den	Solonskij jazyk, 248
Gebrauch der Sorbischen Er-	Sovremennyj ujgurskij jazyk, 248
weiterten Oberschule, 33, 34,	Sravnitel'no-istoričeskaja grammatika
156	finno-ugorskich jazykov: stanovle
Nogajskij jazyk i ego dialekty: gram-	nie sistemy padežej, 205
matika, teksty i slovar', 249	Standard Lithuanian, 244
Nominaldetermination im Polnischen	Studien zum bestimmten Artikel in den
die primären Ausdrucksmit-	germanischen Sprachen, 143
tel, 45, 183, 217, 218	182, 185, 188, 189, 191, 192,
Northern Talysh, 140, 245	217
O grammatičeskoj kategorij opredelen	Substantivacija v jenisejskich jazykach
nosti i neopredelennosti v uralo	(na materiale ketskogo, jugskogo
altajskich jazykach, 175	i kottskogo jazykov), 103
O složeném adjektivu baltoslovanském	Substantivböjningen i Västerbottens folk
47	mål, 31, 32

Tatskij jazyk, 245	chen, 183, 184
Tindinskij jazyk, 247	Zur nominalen Determination I, 47, 182.
Tunguso-man'čžurskie jazyki, 94	187
Udmurtin kielioppia ja harjoituksia, 175. 176, 178	[1940] Das Possessivsuffix der dritten Person, 96, 105–107
Ul'čskij jazyk: issledovanija i materi- aly, 98, 248	[1996] Ett polysyntetiskt drag i sven- ska dialekter, 31
Upper Sorbian, 246	[1996] Vad är det för särskilt med nordsven
Urslavische Grammatik: Einführung	ska nominalfraser?, 150, 151
in das vergleichende Studium der slavischen Sprachen. III.	Über die Verwendung der Numerusze- ichen in den uralischen Sprachen.
Formenlehre, 189	214
Vergleichende Syntax der indogerman	Šorskij jazyk, 249
ischen Sprachen, 184	2: Attributives, 108
Vestjysk stød, 148  Vodskij jazyk, 249  Vydelitel'no-ukazatel'naja kategorija v udmurtskom jazyke, 175  Weiterungen des relativen Attributivkon nexes. Die westslavischen Ad verbialadjektiva auf -ej's-, 184- 187  Yhteissaamelainen sanasto, 201, 203  Značenie polnych i kratkich form pri- lagatelnych v staroslavjanskom jazyke, 183  Zum romanischen Artikel und Posses- sivpronomen, 53, 191, 217, 218  Zur Frage der Kongruenz des Adjekti- vattributs im Finnischen, 214  Zur Komplexion attributiv bestimmter Nomina und zur Frage der "bestimmten Adjektiva", 182 184, 185, 189  Zur Nominalphrasenstruktur des Kir-	A (phrasal) affix analysis of the Persian Ezafe, 220 A grammar of Basque, 162, 243 A grammar of Boraane Oromo (Kenya) 243 A grammar of Chukchi, 85 A grammar of Hunzib (with texts and lexicon), 247 A grammar of Kayardild: with historical comparative notes on Tangkic, 248 A grammar of Kisi: a Southern Atlantic language, 247 A grammar of Kolyma Yukaghir, 99–101, 250 A grammar of Koyra Chiini, 248 A grammar of Longo, 247 A grammar of Modern Indo-European language and culture: writ-
mancî, 41, 223 Zur Vorgeschichte des relativen Attributkon- nexes im Baltischen und Slavis	ing system and phonology: mor- phology: syntax, 35 A grammar of Udihe, 96, 97, 248 A grammar of Yoruba, 247

A grammatical sketch of Ossetic, 140, Archi, 112, 247 141, 245 Arjeploginsaamen äänne- ja taivutu-A handbook of Vlax Romani, 139 sopin pääpiirteet, 250 A note on the origin of attributive forms Armenian, 137, 138, 243 in Lapp, 206, 210, 211 Arnott, David W., 247 A reference grammar of Modern Ital-Aronoff, Mark, 15 Aronson, Howard I., 119 ian, 245 A short sketch of Tajik grammar, 140 Article-noun order, 53 A typology of grammatical features, Asher, Ron E., 243 15, 22 Aspects of the theory of morphology, A Cayuga grammar, 246 19, 218 A Neo-Aramaic dialect of Kurdistan: Ataev, Boris M., 246 text, grammar, and vocabu-Atányi, István, 205 lary, 122, 248 Autotyp, 78 A Sarcee grammar, 247 Autotypologizing databases and their Abaev, Vasilij I., 140, 141, 245 use in fieldwork, 3, 42 Abaza, 117, 243 Auwera, Johan van der, 54, 145 Abdullaev, I. Ch., 247 Avrorin, Valentin A., 97, 248 Abkhaz, 117, 243 Aygen, Gülşat, 244 About the non-personal definite func-Azaev, Ch. G., 246 tion of the Uralic 3rd person Azzopardi-Alexander, Marie, 122, 248 possessive suffix, 179, 210 Babungo, 247 Agreement, 15, 33, 34 Backhouse, A. E., 88, 246 Ajello, Roberto, 137, 138, 243 Baerman, Matthew, 15 Alatyrev, Vasilij I., 175 Bamgbose, Ayo, 247 Albanian, 135, 136, 243 Bartens, Hans-Hermann, 211 Alekseev, Michail E., 109, 114, 115, 197. Bashkir manual, 7, 249 246, 247 Baskakov, N. A., 249 Alhoniemi, Alho, 179, 250 Basque, 162 Amharic and Argobba, 218, 219 Baškakov, Nikolaj A., 248, 249 An Analytical Grammar of the Hun-Bechert, Johannes, 142 garian Language, 125 Beginning Korean, 90, 246 An introduction to Modern Faroese, 244 Belorussian, 245 An outline of Bukiyip grammar, 246 Benzing, Johannes, 96, 105-107, 180 An outline structure of Southern Sotho Berbice Dutch Creole, 243 247 Berg, Helma van den, 247 Anderson, Gregory David, 249 Bergsland, Knut, 204, 205, 211, 250 Aquilina, Joseph, 122, 123 Beyer, Arthur, 16, 153-155, 245

Bezhta, 246	Chuvash manual: introduction, gram
Bibiko, Hans-Jörg, 78	mar, reader, and vocabulary,
Bickel, Balthasar, 3, 15, 26, 33, 37, 42,	105
60, 73, 78, 80, 233, 238, 239	Cincius, Vera I., 248
Birtalan, Ágnes, 246	Clark, Larry, 104, 248, 249
Bläsing, Uwe, 246	Colarusso, John, 118, 243
Bochmann, Klaus, 16, 153–155, 245	Comrie, Bernard, 35, 83, 117
Boeschoten, Hendrik, 107, 249	Conrad, Robert J., 246
Bogoras, Waldemar, 85	Cook, Eung-Do, 247
Borg, Albert, 122, 248	Corbett, Greville G., 15, 33, 34
Borg, Alexander, 248	Corse, 245
Brauner, Siegmund, 247	Csúcs, Sándor, 129
Bronsert, Siegfried, 16, 153-155, 245	Cypriot Arabic: a historical and com-
Browne, Wayles, 160	parative investigation into the
Brugmann, Karl, 184, 217	phonology and morphology
Buchholz, Eva, 249	of the Arabic vernacular spo-
Buchholz, Oda, 16	ken by the Maronites of Ko-
Budagova, Zarifa, 249	rmakiti village in the Kyre-
Budukh, 197, 247	nia district of North-Western
Bulatova, Nadezhda, 94, 96	Cyprus, 248
Burmese, 248	Czech, 245
Buryat, 246	
Börjars, Kersti, 148	Dagur, 93, 246
	Dahl Östen, 218
Cairene Egyptian Colloqial Arabic, 248	Dahl, Östen, 31, 45, 46, 142, 192, 193,
Campbell, Lyle, 249	195, 218, 238
Carstairs-McCarthy, Andrew, 15	Dargwa, 111, 247
Cassubian, 245	Definite articles in Scandinavian: com-
Catalan, 245	peting grammaticalization pro-
Category and feature, 15	cesses in standard and non-
Cech, Petra, 244	standard varieties, 31, 46, 192,
Chamalal, 246	193, 195, 218
Chang, Suk-Jin, 90	Definiteness and article systems, 142
Chechen, 36, 116, 247	Degerforsmålets formlära, 193, 244
Cherchi, Marcello, 246	Delbrück, Berthold, 184, 217
Chinese dialects: grammar, 90	Delsing, Lars-Olof, 151, 193, 194, 197
Chirikba, Viacheslav A., 243	Demiraj, Shaban, 135, 136, 243
Chukchee, 85	Dependency reversal in noun-attributive
Chuvash, 104, 248	constructions: towards a ty-

pology, 37, 38, 84, 96–98, 238 *Framework-free grammatical theory,* Derbyshire, Desmond C., 6, 243 Deseriev, Junus D., 247 Franks, Steven, 245 Dochartaigh, Cathair Ó, 143, 244 Freiberger Wilson, Nancy, 248 Dolenjska Romani: the dialect of the French, 245 Dolenjski Roma in Novo Mesto Friedman, Victor A., 245 and Bela Krajina, Slovenia, Frisian reference grammar, 244 244 Gagua, Rusudan, 116, 247 Donaldson, Bruce, 244 Gamal-Eldin, Saad, 248 Donidze, Gajoz I., 249 Gamillscheg, Ernst, 53, 191, 217, 218 Double articulation, 54, 148 Gary, Judith Olmsted, 248 Double definiteness in Scandinavian, *Genitives, adjectives and relative clauses.* 68-71, 117, 234, 240, 243 Dryer, Matthew S., 4, 51, 53, 78 Georg, Ralf-Stefan, 85, 86, 246 Dunn, Michael, 85 Georgian, 246 Dutch: a comprehensive grammar, 244 Ghodoberi, 246 Décsy, Gyula, 125, 133, 174, 210, 225, Giacomo-Marcellesi, Mathée, 245 250 Gil, David, 68-71, 117, 234, 240, 243 Džidalaev, Nurislam S., 245 Grammar of the Yiddish language, 244 Grammaticalization in the North: noun East Circassian (Kabardian dialect), 118 phrase morphosyntax in Scan Enets, 250 dinavian vernaculars, 31, 45, Estonian, 249 Europe and North Asia, 77, 81, 82, 85, 46, 142, 218, 238 86, 92, 97, 99, 101, 104, 107, Grenoble, Lenore, 94, 96 Gromova, Nelli V., 247 108, 111, 115, 116, 118, 123, 125 133 Gruzdeva, Ekaterina, 86, 87, 247 Evans, Nicholas D., 248 Guma, Samson Mbizo, 247 Even, 94-96, 98, 248 Gärtner, Eberhard, 245 Evenki, 94, 96, 248 Hagman, Roy Stephan, 246 Everett, Daniel L., 239, 243 Haider, Hubert, 220 Features, 15 Haiman, John, 245 Feldstein, Ronald, 245 Hajdú, Péter, 214 Halwachs, Dieter W., 138, 139, 244 Fenyvesi, Anna, 249 Fiedler, Wilfried, 16 Hancock, Ian, 139 Finnish: an essential grammar, 28 Harriehausen, Bettina, 243 Foley, William A., 248 Harris, Alice C., 118, 120, 121, 221, 246 Fortescue, Michael, 243 Harris, Martin, 245

Laanest, Arvo, 249

Kibort, Anna, 15, 17, 22, 34, 232 Language contact and grammatical change. Kibort??, 21 226 Kibrik, Aleksandr E., 84, 112, 197, 246 Lappáliák, 214 Larsson, Seth, 31, 32 247 Kiekbaev, Džalil' G., 175 Latvian, 243 Kielâoppâ, 250 Laz, 246 Kimball, Geoffrey, 246 Lebedeva, Elena P., 97, 248 Klychev, Rauf, 117, 243 Lee, Young-Sook, 90, 246 Koasati grammar, 246 Lehrbuch der saamischen (lappischen **I** Koch, Christoph, 183–187 Sprache, 211 Kodzasov, Sandro V., 84 Lehtiranta, Juhani, 201, 203, 250 Kokkonen, Paula, 250 Leinonen, Marja, 218 Leskien, August, 182 Koljadenkov, M. N., 250 Koptjevskaja-Tamm, Maria, 225 Leslau, Wolf, 248 Kordic, Snjezana, 159, 245 Li, Charles N., 50, 68, 248 Korean, 90 Linguistic Diversity in Space and Time. Korhonen, Mikko, 200 Kormušin, Igor' V., 94 Livonian, 249 Kornfilt, Jaklin, 249 Lockwood, William B., 244 Kouwenberg, Silvia, 243 Lomtatidze, Ketevan, 117, 243 Krejnovič, Eruchim A., 100 Lu, Bingfu, 72 Lund, Jens, 193, 244 Kress, Bruno, 35, 244 Krjukova, Elena A., 103 Lytkin, Vasilij I., 29, 126, 234, 250 Krotkoff, Georg, 122, 248 Macedonian, 245 Krueger, John Richard, 105, 249 Magomedbekova, Zagidat M., 246, 247 Kryts, 247 Magomedova, Patimat T., 246, 247 Krámský, Jiří, 47, 154, 159, 184 Mahootian, Shahrzad, 18, 40, 69, 245 Kurbanov, Kazi K., 112, 247 Maiden, Martin, 245 Kurs obščej morfologii. 2. Morfologičeskie Malchukov, Andrej L., 94, 96, 98, 248 značenija, 46 Malchukov, Andrej L., 94, 95 Kusmenko, Jurij, 192, 203, 218 Malchukov, Andrej L., 37, 38, 84, 96-Kuteva, Tania, 197n13, 226 98, 238 Kutsch Lojenga, Constance, 243 Maltese, 122, 248 Kyrgyz, 248 Mandarin Chinese: a functional refer-Kämpfe, Hans-Rainer, 84 ence grammar, 50, 68, 248 Künnap, Ago, 179, 210, 250 Manx grammar, 244 Kūriákī, Kárlos, 35 Mapudungun, 246

Khinalug, 197

Nichols, Johanna, 3, 5, 15, 26, 33, 36, Margetts, Anna, 39 Martin, Samuel E., 90, 246 37, 42, 59, 60, 78, 80, 115, 116, Marušič, Franc, 159–161 170, 238, 239, 247 Masica, Colin P., 138 Nickel, Klaus Peter, 133 Maslova, Elena, 99-101, 250 Nielsen, Konrad, 206, 210, 211 Matisoff, James A., 73, 248 Nikolaeva, Irina, 96, 97, 248, 249 Matras, Yaron, 244 Nikolaeva, Irina [A.], 249 Mayo, Peter, 245 Nivkh, 86, 87, 247 Meillet, Antoine, 185 Nocentini, Alberto, 189, 191, 217 Mel'čuk, Igor, 19, 46, 218 Nominal phrases from a Scandinavian Mel'čuk??, 19 perspective, 31 Mendoza, Imke, 45, 183, 217, 218 Nominalfrassyntax i skandinaviska di alekter, 151, 194, 197 Merlan, Franceska, 243 Nominalization and focus constructions Mikkola, Jooseppi Julius, 189 in some Kiranti languages, 73. Mingrelian, 121, 246 Mithun, Marianne, 246 233 Modern Georgian, 119 Noonan, Michael, 247 Modification: a syntactic analysis and Nordische Lehnwörter im Lappischen, its consequences, 58 Moghol, 93, 246 North Scandinavian and Saami – two Morphology by itself, 15 morphosyntactic parallels, 194. Mosel, Ulrike, 38, 39, 243 195 Moseley, Christopher, 249 Notes on Gilyak, 86 Murav'eva, Irina A., 84 Noun phrase structure, 51 Márk, Tamás, 214 Nung grammar, 248 Nylund, Elizabeth, 17 Nadžip, Ėmir N., 248 O differencjal'nych morfologičeskich Nagayama, Yukari, 83, 84, 243 priznakach imeni prilagatel'nogo Nama Hottentott grammar, 246 v saamskom jazyke (na ma-

Nagayama, Yukari, 83, 84, 243

Nama Hottentott grammar, 246

Nau, Nicole, 243

Nedjalkov, Igor, 248

Nedjalkov, Vladimir, 84

Ngalakan grammar, texts, and vocabulary, 243

O differencjal'nych morfologičeskich
priznakach imeni prilagatel'no
v saamskom jazyke (na materiale notozerskogo dialekta)

132

Ochotina, N. V., 247
Oirat, 246

Nganasan, 250 Ngiti: a Central-Sudanic language of Zaire, 243

Nguyễn, Đình-Hoà, 243

Olthuis, Marja-Liisa, 250
On the foreign contacts of the Uralic languages, 214
On the relation between the definite

article and the long-form ad-

jectives in Slovenian, 159–161	Prince, Ellen F., 54, 145
On the structure of Proto-Uralic, 210,	Prolegomena to a typology of morpho-
225	logical features, 15
Oranskaja, Tat'jana I., 244	Proto-Indo-European: comparison and
Oročskij jazyk, 97, 248	reconstruction, 133, 225
Ortmann, Albert, 40	Pustet, Regina, 89
Osborne, Charles R., 248	Pérez Bouza, José A., 245
Ossetic, 141	
Ostyak, 249	Qu, Zhenglin, 72
Overview on the history of the Kartvelian	Qvigstad, Just Knud, 201
languages, 118, 221	Radžabov, Ramazan N., 109, 247
Pamir languages, 245	Rastorgueva, Vera S., 140
Paper, Herbert H., 140	Rausch, Peter J., 248
Papp, István, 214	Ravila, Paavo, 214
Paris, Catherine, 243	Reershemius, Gertrud, 244
Parts-of-speech systems and word or-	Reese, Johannes, 244
der, 6, 7	Reference grammar of Amharic, 248
Pavlov, I. P., 180	Refsing, Kirsten, 30, 88, 243
Payne, John, 245	Reh, Mechthild, 246
Persian, 18, 40, 69, 245	Relatively attributive - The 'ezafe'-construction
Petrova, Taisija I., 98, 248	from Old Iranian to Modern
Philippi, Julia, 189, 191, 217	Persian, 220
Phillips, John D., 244	Reuse, Willem Joseph de, 81, 243
Plank, Frans, 54, 148	Riese, Timothy, 250
Pohl, Heinz Dieter, 183	Rießler, Michael, 26, 37, 42, 60, 78,
Pokrovskaja, Ljudmila A., 249	80, 130, 175, 189, 194-197, 199
Polish, 245	206, 210, 212, 225, 226, 238,
Poppe, Nicholas, 7, 249	239
Posner, Rebecca, 152–154	Rijkhoff, Jan, 6, 7, 13, 51, 53, 84-86,
Possessive like attributive constructions	117
in the Oceanic languages of	Ringe, Don, 188, 189
Northwest Melanesia, 38, 238,	Ringgaard, Kristian, 148
243	Robert A. Hall, Jr., 125
Predicative and attributive forms of	Robustelli, Cecilia, 245
adjectives in Kola and Skolt	Romanes, 244
Sami dialects, 200	Ross, Malcolm, 38, 238, 243
Press, Ian, 244	Rubin, Edward J., 58
Priestly, Tom M. S., 160, 245	Ruge, Hans, 54, 151, 244

Rutul, 114, 115, 197, 247	and its contacts with Chuk-
Røros-lappisk grammatikk: et forsøk	chi, 81, 243
på strukturell språkbeskriv-	Siewierska, Anna, 6, 7
else, 204	Silvestri, Domenico, 152
	Skorik, Petr Ja., 82, 83, 243
Saadiev, Š. M., 247	Skribnik, Elena, 246
Saidova, P. A., 246	Sköld, Tryggve, 201
Saidova, Patimat A., 246	Slater, Keith W., 93, 246
Saliba, 38, 39, 243	Slovak, 245
Salminen, Tapani, 77, 81, 82, 85, 86,	Slovene, 160, 245
92, 97, 99, 101, 104, 107, 108,	Sorbian, 245
111, 115, 116, 118, 123, 125, 133	Spesies suomessa ja germaanisissa kielissä,
Saltarelli, Mario, 162	17
Samisk grammatikk, 133	Spiik, Nils Eric, 250
Sammallahti, Pekka, 201, 203–206, 208	Steele, Susan, 33
Samvelian, Pollet, 185, 220	Stilo, Donald, 195
Sandström, Göran, 31, 150, 151	Stone, Gerald, 245
Sardinian, 245	Stroomer, Harry, 243
Sarv, Marju, 200	Structural Tendencies in Uralic Lan-
Sasse, Hans-Jürgen, 136, 137, 243	guages, 179
Saul, Janice E., 248	Sunik, Orest P., 94, 98, 248
Schaarschmidt, Gunter, 246	
Schachter, Paul, 243	Svan, 120, 246
Schanen, François, 244	Svantesson, Jan-Olof, 93, 246
Schaub, Willi, 247	Swedish double determination in a Eu
Schmidt, Karl Horst, 182, 184, 185, 189	ropean typological perspective.
Schroeder, Christoph, 41, 223	148
Schulze, Wolfgang, 140, 245, 247	Swiss German: the modern Aleman-
Schulze-Fürhoff, Wolfgang, 111, 113,	nic vernacular in and around
247	Zurich, 244
Seegmiller, Steve, 249	Sydsamisk grammatikk, 250
Selkup, 250	Szakos, József, 243
Senkevič-Gudkova, Viktorija V., 132	Tagalog, 243
	Tajik, 245
Serebrennikov, Boris A., 179	Talibov, Bukar B., 113
Severt'an, É. V., 106	
Shevelov, George Y., 245	Tamil, 243
Short, David, 245	Tatar manual: descriptive grammar
Siberian Yupik Eskimo: the language	and texts with a Tatar-English
	glossary, 249

Tatevosov, Sergej G., 108 mixed grammar and vocab-Tauli, Valter, 179, 214 ulary, 122, 123 Tenser, Anton, 244 The Typology of Adjectival Predication Teoretičeskaja grammatika jazyka suachili 6, 7 247 The World Atlas of Language Struc-Ternes, Elmar, 143, 244 tures Online, 4, 78 Testelets, Jakov G., 246 The Ainu language: the morphology Testelets, Yakov G., 14, 120 and syntax of the Shizunai Thai, 248 dialect, 30, 88, 243 The article and the concept of definite-The Breton language, 143, 244 ness in language, 47, 154, 159. The Cornish language, 143, 244 The Ezafe as a head-marking inflec-184 tional affix: evidence from Per-The circle that won't come full: two potential isoglosses in the Circum sian and Kurmanji Kurdish, Baltic area, 225 185, 220 The geometry of grammatical mean-The Hopi language, Toreva dialect, 57, ing: semantic maps and cross linguistic comparison, 71 The Indo-Aryan languages, 138 The Grammar of Lahu, 73, 248 The Indo-European linguistic family: The interactive reference tool for the Genetic and typological per-World Atlas of Language Strucspectives, 35 The Indo-European protolanguage: a tures, 78 *The internal structure of noun phrases* computational reconstruction. in the Scandinavian languages. 133, 225 a comparative study, 193 The Irish language, 143, 244 The languages of the Soviet Union, 83, The Italic languages, 152 The Manx language, 143 The Pipil language of El Salvador, 249 The morphology-syntax interface, 15 The Romance languages, 152-154 The nominal and verbal systems of Fula. The Saami languages: an introduction. 247 201, 203, 204, 206, 208 The noun phrase, 6, 7, 13, 51, 53, 84-86, 117 The Scottish Gaelic language, 142, 244 The Tiwi language, 248 The phonology of Endo, 48, 247 The rise of the article in the Germanic The Turkic protolanguage: a computalanguages, 189, 191, 217 tional reconstruction, 174 The Structure of the Serbian Noun Phrase. The Uralic protolanguage: a comprehensive reconstruction, 125, 174. The structure of Maltese: a study in 210, 225

The Welsh language, 143, 244 Uralic languages in Sprachbünde: areal connections within and across The Yimas language of New Guinea, 248 the family, 180 Thomas, Alan R., 143, 244 Urbina, Jon Ortiz de, 162, 243 Thompsen, Sandra A., 50, 68, 248 Uzbek, 107, 249 Thomson, Robert L., 142, 143, 244 Vago, Robert M., 249 Thordarson, Fridrik, 141 Vaida, Edward J., 102, 103, 250 Tiersma, Peter Meijes, 244 Valence and transitivity in Saliba, an Tolskaya, Maria, 96, 97, 248 Oceanic language of Papua Tolstoj, Nikita I., 183 New Guinea, 39 Torrego, Leonardo Gómez, 245 Veselinova, Ljuba, 78 Towards a typology of grammatical Vietnamese, 243 features, 15, 17, 22, 34, 232 Viitso, Tiit-Rein, 249 Townsend, Charles E., 245 Vogul (Mansi), 250 Trost, Pavel, 47 Volodin, Aleksandr P., 28, 85 Trosterud Trond, 200 Volodin, Alexander P., 84-86, 246 Tsakhur, 113, 247 Tsez, 109, 247 Wari': the Pacaas Novos language of Tsova-Tush (Batsbi), 116, 247 Western Brazil, 239, 243 Tsumagari, Toshiro, 93, 246 Watkins, Calvert, 133, 225 Tucker, Childs G., 247 Weiers, Michael, 93, 246 Tuite, Kevin, 119, 120, 221 Werner, Heinrich, 101 Tundra Yukaghir, 99, 101, 250 West Circassian (Adyghe: Abzakh di-Tunguso-man'čžurskie jazyki (vvedealect), 243 nie), 94 West Greenlandic, 243 Turkish, 249 Wetzer, Harrie, 6, 7 Turkmen reference grammar, 249 Wheatley, Julian K., 248 Typology and genesis of the article in Whorf, Benjamin L., 57, 249 the European languages, 189, Wijk, Nicolaas van, 182, 187 191, 217 Winkler, Eberhard, 126-129, 175, 178, Typology in the 21st century, 3 215, 216, 250 Tyvan, 249 Wissemann, Heinz, 47, 182, 187 Wogg, Michael, 138, 139, 244 Ubrjatova, Elizaveta I., 249 Wolff, Ekkehard H., 243 Udi, 111, 113, 247 Word order variation in some SOV lan

■ Udmurt, 126-129, 175, 178, 215, 216, guages of Europe, 14, 120 250 Word order variation: a typological study Ukrainian, 245 33

## Wortart und Kongruenz, 214

Xakas, 249 Xalilov, Madžid Š., 246

Yakut manual: area handbook, grammar, graded reader and glossery.

Yiddish, 54, 145 Yue, Anne O., 90 Yurak chrestomathy, 250

Zajceva, Marija I., 249
Zajkov, Petr M., 249
Zavodova, Raisa A., 250
Zevachina, Tat'jana S., 91, 92
Zimmer, Jacqui, 244
Zlatić, Larisa, 159
Zwanziger, Ronald, 220
Zwarts, Joost, 48, 247
Zúñiga, Fernando, 246

Åström, Per, 193, 244

Šaumjan, R. M., 247 Širaliev, M. Š., 106 Žaucer, Rok, 159–161 Žirkov, L. I., 109, 110 Žukova, A. N., 243

# Language index

Abaza, 117, 243	Bukiyip, 246
Abkhaz, 117, 243	Archi, 111-112, 197, 247
Abkhaz languages, 116, 167	Armenian languages, 137-138, 167
Abkhaz-Adyghe languages, 77, 116-	Aromunian, 79n4
118, 165, 167	Arvanitika, 134, 136–137, 243
Adyge, 32, 117	Aryan, see Indo-Iranian languages
Abzakh, 243	Assyrian, 121–122, 248
Adyghe, see Circassian languages	Kurdistan, 122
Agul, 111, 247	Avar, 108, 246
Ainu, 7, 30, 71, 77, 87-88, 164, 165,	Avar-Andi-Tsezic languages, 108–109,
243	168
Shizunai, 30, 88	Azerbaijani, 106, 107, 249
Akhvakh, 108, 246	D. 1
Albanian, 16-17, 28, 56, 62, 79, 134-	Babungo, 247
137, 163, 243	Badukh, 111
Albanian languages, 134-137, 164, 167,	Bagvalal, 108, 246
174, 217	Balkan languages, 16
Alemannic, 244	Balkan Romance languages, 79n4
Altaic languages, 78	Balkan Romani languages, 138
Altay	Baltic Finnic languages, see Finnic lan-
Southern, 248	guages
Altay Turkic languages, 104, 107, 168	Baltic languages, 45–47, 141–142, 167,
Alutor, 82–85, 243	173, 174, 181–190, 197, 214, 216–
Amharic, 218-220, 238, 248	218, 220, 225–226, 231, 238
Amur Tungusic languages, 97–99, 168	Baltic/Slavic languages, 231
Ancient Greek, 182, 189	Bambara, 247
Andi, 108, 246	Bashkir, 180n5, 249
Andi languages, 108	Basque, 7, 77, 161–162, 164, 165, 243
Arabic, 123	Bats, 115–116, 247
Arabic languages, 121–123	Bats languages, 168
Arapesh	Batsbi, see Bats
<u>*</u>	

Belorussian, 156, 245 Common Kartvelian, 118, 221 Common North Germanic, 201 Berbice Dutch Creole, 243 Bezhta, 108, 246 Common Saamic, 204 Botlikh, 108, 246 Common Turkic languages, 104, 106-Breton, 143, 244 107, 168 Brittonic languages, 142–143, 167 Cornish, 143, 244 Budukh, 197, 247 Corsican, 245 Bulgar Turkic languages, 104-106, 168 Cypriot Arabic, 122, 248 Bulgarian, 14, 16–19, 155, 158, 184, 218 Czech, 245 245 Daco-Rumanian, see Rumanian Burgenland Romani, 138-139 Daghestanian languages, 107-115, 168. Burmese, 248 Buryat, 246 Dagur, 92, 246 Castilian, 245 Dagur languages, 93, 168 Catalan, 245 Danish, 36, 46n19, 147, 193, 194, 244 Caucasian languages, 7 W-Jutlandic, 147, 192, 244 Caucasus, 118 Dargwa, 110-111, 247 Cayuga, 246 Dargwa languages, 168 Celtic languages, 142-143, 167 Dido, see Tsez Central Romani languages, 138 Dolgan, 249 Central Selkup, 124, 250 Dungan, 77, 90-92, 248 Central Semitic languages, 121–123 Dunganese, see Dungan Central Siberian Yupik, 81-82, 243 Dutch, 244 Chamalal, 108, 246 East Baltic languages, 141–142 Chechen, 36, 115-116, 247 East Germanic languages, 143 Chechen-Ingush languages, 115–116, East Romance languages, 167 East Saamic languages, 130-132, 168 Chukchi, 32, 63, 83-85, 164, 230, 243 East Slavic languages, 156-157, 167 Chukchi languages, 82, 167 Eastern Armenian, 137-138, 243 Chukchi-Koryak, see Chukotkan lan-Eastern Circassian, see Karbadian guages Eastern Khanty, 125, 249 Chukotkan languages, 77, 82–85, 165 Eastern Mansi, 125, 250 167 Eastern Mari, 125 Chukotko-Kamchatkan languages, 78 Egyptian Arabic, 248 Chuvash, 79, 104-107, 180-181, 197, Endo, 48-50, 62, 247 211, 248

Circassian languages, 116–118, 167 Circum-Baltic languages, 229–231, 238■ Enets languages, 124, 168

# Language index

F., aliab 2 0 0 10 12 07 00 20	105 107 201 202 212 214
English, xvn2, 8–9, 12–13, 27–28, 30,	195, 197, 201–203, 213, 214,
32, 47, 71n4, 145–148, 191, 217n20 <b>_</b>	216, 217, 225–226, 230, 231,
221, 244 Frave Mordvin, 125, 250	238 Cilvala can Nivelah
Erzya Mordvin, 125, 250	Gilyak, see Nivkh
Eskimo languages, 81–82	Godoberi, 108, 246
Eskimo-Aleut languages, 32, 77, 81–	Greek, 54, 151–152, 217, 244
82, 165	Hausa, 243
Estonian, 129, 249	Hejen, see Nanay
Võro, 129n24	Hellenic languages, 151–152, 167
Even, 94–96, 98n9, 223, 248	Hill Mari, see Western Mari, 250
Evenki, 96–97, 248	Hinukh, 246
Faroese, 148, 193, 244	Hinuq, 108
Fennic languages, see Finnic languages	Hixkaryana, 6, 243
Finnic languages, 123, 129–130, 168,	Hmong Njua, 243
173, 175, 209, 213–216, 225–	Hopi
226, 238	Toreva, 57, 249
Finnish, 17n1, 28, 34–36, 64, 129–130,	Hungarian, 123–125, 168, 204, 214, 215,
164, 208n17, 215, 230, 249	249
Kveeni, 129n24	Csángó, 124n22
Meänkieli, 129n24	Hunzib, 108, 247
Forest Enets, 124–125, 250	11011210, 100, 247
Forest Nenets, 124	Icelandic, 35, 80, 147, 193, 194, 244
Forest Yukaghir, see Kolyma Yukaghir	Inari Saami, 130, 250
French, 245	Indic, see Indo-Aryan languages
Fula	Indo-Aryan languages, 138–139, 166,
Gombe, 247	167, 186
Combe, 217	Indo-European languages, 7, 34, 35,
Gaelic languages, 142–143, 167	77, 133–161, 164–167, 169, 170
Gagauz, 249	174, 181−195, 197−199, 210, 214 <b>-</b>
Galician, 245	217, 220, 223, 225, 226, 229,
Gansu languages, 90	230, 236-238
Georgian, 13–14, 64, 118–120, 163, 221	Indo-Iranian languages, 138–141, 167,
230, 246	170, 230
Georgian languages, 167	Ingrian, 129, 249
German, 9–11, 14, 28, 47–49, 51, 52,	Ingush, 115–116, 247
71, 144–145, 148, 217n20, 244	Inkhokvari, 108
Germanic languages, xvn2, 28, 34, 143-	Iranian languages, 41, 138-141, 164,
151, 167, 170, 173, 174, 188-	166, 167, 186, 226

Irish, 244 Kisi, 247 Italian, 153–154, 245 Koasati, 246 Italo-West Romance languages, 167 Kola Saami languages, 205, 206 Kolyma Yukaghir, 99-101, 250 Itelmen, 28, 32, 85-86, 246 Iverian, see Mingrelian Komi languages, 63 Komi-Permyak, 125, 180n5, 250 Japanese, 71, 77, 88-90, 246 Komi-Zyrian, 29, 125-126, 164, 210, 218n21, 229, 234, 250 Kalmyk, 30, 92, 246 Korean, 7, 77, 90, 164, 165, 246 Kamchatkan languages, 77, 85-86, 164 Kormakiti, see Cypriot Arabic 165 Koryak, 82, 243 Kapucha, see Bezhta Koryak-Alutor languages, 82–83, 167 Karachay-Balkar, 249 Koyra Chiini, 248 Karaim, 249 Krongo, 246 Karakalpak, 249 Kryts, see Kryz Karata, 108, 247 Kryz, 111, 247 Karbardian, 117-118, 243 Kumyk, 249 Karelian, 129, 249 Kurdish languages, 63 Olonets, 129n24 Kurmanji, see Northern Kurdish Karluk languages, 104, 106-107, 168 Kartvelian languages, 77, 118–121, 164. Lahu, 72, 73, 248 165, 167, 221, 236 Lak, 109-110, 247 Kashubian, 245 Lak languages, 168 Kayardild, 248 Lango, 247 Kazakh, 249 Latin, 17n1, 46n20, 189, 217, 218 Kerek languages, 82 Classical, 153 Ket, 101-103, 250 Latvian, 45-47, 141-142, 181, 182, 185, Khakas, see Yenisey Turkic languages Khakaz, 249 Laz, 118, 120, 221, 246 Khalkha, 92, 93, 180, 246 Lena Turkic languages, 104, 107, 168 Khamnigan Mongol, 92 Lezgian, 111, 114, 247 Khanty languages, 123, 125, 168 Lezgic languages, 111-115, 168, 197-Khinalug, 247 198, 208 Khwarshi, 108 Lithuanian, 45–47, 141–142, 181, 182, Kildin Saami, 11−12, 19−21, 41, 43, 130. 184, 185, 188, 244 163, 205, 206, 212, 233, 250 Livonian, 129, 249 Kipchak languages, 104, 107, 168 Lower German, 244 Kirghiz, 248 Lower Sorbian, 33-35, 156, 245 Kirmancî, see Northern Kurdish Lude, 129

### Language index

Lule Saami, 132, 201, 202, 212, 250 Nanay, 97, 248 Luxembourgeois, 244 Nanay-Ulcha-Orok languages, 98-99 168 Macedonian, 155, 184, 245 Nasioi, 248 Maltese, 122-123, 248 Negidal, 248 Manchu, 99, 248 Nenets languages, 124, 168, 216 Manchu languages, 99, 168 Neo-Aramaic, see Assyrian Manchu-Tungus languages, see Tun-Ngalakan, 243 gusic languages Nganasan, 124, 168, 250 Mandarin, 248 Ngiti, 243 Mandarin Chinese, 50, 67-72, 91 Nivkh, 7, 71, 77, 86-87, 164, 165, 247 Mangghuer, 93n6, 246 Nogay, 249 Mansi languages, 123, 125, 168 North Caucasian languages, 78 Manx, 143, 244 North Germanic languages, 31, 80, 143 Mapudungun, 246 147-151, 167, 192-195, 201 Mari, 105, 179 North Romani languages, 138 Mari languages, 123, 125, 168, 179-North Tungusic languages, 94-97, 168 180, 216 Northern Khanty, 125, 249 Meadow Mari, see Eastern Mari, 250 Northern Kurdish, 40-41, 140, 164, 223 Megleno-Rumanian, 79n4 230, 244 Megrelian, see Mingrelian Northern Mansi, 125, 250 Middle English, 221 Northern Saami, 57, 62, 132-133, 163, Minangkabau, 68-71, 234, 243 164, 200–207, 210–212, 250 Mingrelian, 118, 120-121, 221, 246 Northern Selkup, 124, 250 Moghol, 93n6, 246 Northern Talysh, 42, 140, 245 Moghol languages, 93, 168 Northwest Caucasian, see Abkhaz-Adyghe Moksha Mordvin, 125, 250 languages Mongol Northwest Semitic languages, 121–122 Khamnigan, 246 Norwegian, 31, 148, 193, 201, 244 Mongolian languages, 93, 168 Dano Norwegian, 193n12 Mongolic languages, 7, 29, 77, 92-93, New Norwegian, 193n12 123, 164-166, 168, 170, 230 Nung, 248 Monguor languages, 93 Mordvin languages, 123, 125, 168, 180n5 Oceanic languages, 38, 239 Oghur Turkic, see Bulgar Turkic lan-Nakh languages, 107, 115-116, 168 guages Nakh-Daghestanian languages, 77, 107-Oguz languages, 104, 106, 168 116, 164, 165, 168, 170 Oirat, see Oyrat Nama, 246

Old Baltic languages, 47, 181, 183–186	Pre-Proto-Baltic/Slavic, 185-187
188, 223	Pre-Proto-Germanic, 189
Old Bulgarian, 155, 158n34, 181, 182,	Pre-Proto-Saamic, 203, 208, 212
184, 185, 188	Proto-Baltic/Slavic, 166, 182-189, 211,
Old Church Slavonic, see Old Bulgar-	218, 226, 236, 238
ian	Proto-Celtic, 142
Old East Norse, 192	Proto-Dagur, 166
Old East Slavic, 217	Proto-East Saamic, 165
Old English, xvn2	Proto-Finnic, 175, 213, 226, 237
Old Georgian, 221	Proto-Germanic, 188, 190, 191, 200, 201
Old Germanic languages, 143n28, 148,	211, 213, 226, 236
188, 190	Proto-Indo-European, 35, 133, 166, 182,
Old Icelandic, 147	185, 187, 225
Old Indo-Aryan languages, 182, 185	Proto-Moghol, 166
Old Iranian languages, 182, 185, 220,	Proto-Mongolic, 93, 166
222, 223	Proto-North Germanic, 201, 202, 204
Old Norse, xvn2, 201	Proto-Permic, 215
Old North Germanic languages, 192	Proto-Saamic, 165, 166, 175, 201-204,
Old Persian, 185, 220	206, 208, 212, 216, 221, 226,
Old Slavic languages, 45, 47, 155, 181-	237
184, 186, 188, 223	Proto-Uralic, 125, 165, 166, 200, 210,
Old West Norse, 192	213, 225
Oroch, 37–39, 84, 96–98, 164, 238, 248	D 1 4/ 00 50 4 450 I
Oroch-Udege languages, 97–98, 168	Romance languages, 46n20, 79n4, 152-
Orok, 97–98, 248	155, 167, 217, 218
Oromo	Romani
Boraana, 243	Burgenland, 244
Ossetic, 140–141, 245	Doplenjska, 244
Oyrat, 92, 246	Lithuanian, 244
Davis 120 044	Sepecides, 244
Parya, 138, 244	Sinte, 244
Permic languages, 123, 125–129, 168,	Romani languages, 138–139
175, 179, 210, 215, 216	Romansch, 245
Persian, 18–19, 39–40, 42, 43, 69–71,	Roshanī, 245
223, 232, 245	Rumanian, 16–17, 28, 152–155, 217, 245
Pipil, 249	Russian, 20–21, 28, 43–50, 61, 64, 84,
Pite Saami, 132, 250	85n2, 86, 128, 156–157, 163,
Polish, 245	174, 179n4, 181, 214, 230, 239
Portuguese, 245	245

# Language index

Northern, 218	Slovenian, 45, 158–161, 183
Rutul, 111, 114–115, 197, 247	Solon, 248
Saamic languages, 41–42, 63, 79n4,	South Romance languages, 167 South Slavic languages, 45, 155, 157– 161, 166, 167, 169, 199 South Tungusic, see Amur Tungusic languages Southeast Asian language, 72 Southeast Asian languages, 233 Southern Khanty, 125 Southern Mansi, 125 Southern Saami, 132, 250 Southern Selkup, 124, 250 Svan, 118, 120, 221, 246 Svan languages, 167 Swahili, 247 Swedish, xvn2, 9n4, 17n1, 31, 32, 56, 62, 80, 147–150, 163, 193, 201 208n17, 244 Västerbotten, 31–32, 70–71, 80,
Bosnian, 157	150–151, 193, 234, 244
Croatian, 157	Tobasaran 111 112 247
Serbian, 45, 158 Sesotho, 247	Tabasaran, 111–112, 247 Tagalog, 43, 58, 63, 69, 71, 164, 230,
Shor, 249	233, 243
Shughnī, 245	Tajik, 140, 245
Sibe, 99	Takia, 243
Siberian Yupik Eskimo, 77	Tamil, 243
Sino-Tibetan languages, 73n7, 77, 90-	Tatar, 71, 180n5, 249
92, 165, 233	Tati, 245
Skolt Saami, 130–132, 250	Ter Saami, 130, 250
Notozero, 131, 132	Thai, 248
Slavic languages, 34, 45, 47, 141, 155–	Tindi, 108, 247
161, 166, 167, 173, 174, 181–	Tiwi, 248
190, 197, 216, 217, 220, 225–	Tsakhur, 111–113, 247
226, 231, 238	Tsez, 108–109, 247
Slavonic, see Slavic languages	Tsezic languages, 108
Slovak, 245 Slovene, <i>see</i> Slovenian, 245	Tsou, 243 Tsova-Tush, <i>see</i> Bats
olovelle, see olovelliali, 2 15	IDOTA IADII, JUU DAID

Tundra Enets, 124, 216	Veps, 129
Tundra Nenets, 124, 250	Vepsian, 249
Tundra Yukaghir, 99–101, 250	Vietnamese, 243
Tungusic languages, 7, 77, 93–99, 164,	Vlax Romani languages, 138
165, 168, 170, 180–181, 222,	Volgaic languages, 179
223, 226, 230, 236	Votian, 249
Turkic languages, 7, 29, 77, 103-107,	Votic, 129
123, 164, 165, 168, 170, 174-	Västerbotten Swedish, 32
181, 197, 198, 206, 210, 216,	
218n21, 222, 223, 226, 230,	Wari, 243
236, 237	Wari', 238–239
Turkish, 27, 79, 106, 249	Welsh, 143, 244
Turkmen, 249	West Baltic languages, 141
Tuvan, 249	West Germanic languages, 47, 143–148, 167, 191–193, 195, 199
Ubykh languages, 116	West Romance languages, 79n4
Udege, 96, 97, 248	West Saamic languages, 132-133, 165-
Udi, 111–114, 247	169
Udmurt, 64, 125-129, 164, 173, 175-	West Slavic languages, 156, 167
180, 197, 199, 210-212, 215,	Western Frisian, 244
216, 220, 223, 230, 233, 235,	Western Greenlandic, 243
250	Western Kamchadal, see Itelmen
Uigur, 107	Western Mansi, 125
Uilta, see Orok	Western Mari, 125
Ukrainian, 156, 245	
Ulcha, 97–99, 248	Yazghūlāmī, 245
Ulta, see Orok	Yeniseian languages, 77, 101–103
Ume Saami, 132, 250	Yenisey Turkic languages, 104, 107,
Upper Sorbian, 246	168
Uralic languages, 7, 29, 35, 77, 123-	Yiddish, 46n20, 54, 64, 145, 163, 230
133, 164–166, 168–170, 174–	Eastern, 145, 244
181, 195, 197, 198, 204, 206,	Yimas, 248
208-210, 213, 214, 216, 222,	Yoruba, 247
223, 225, 226, 229, 230, 236-	Yukaghir languages, 77, 99–101, 168,
238	208
Uralo-Altaic languages, 197	Yukagir, see Yukaghir languages
Uygur, 248	Yupik languages, 81–82
Uzbek, 107, 249	Zan languages, 118, 120-121, 167

# **Subject index**

Adjective incorporation, 242	apposition, 29
adjectivizing, 131	Appositive head-driven agreement, 242
adnominal modification, 28	appositive modification, 51
clause, 27	article
possessor noun, 32n5, 42	??, 216-220
adnominal modifier	Asia, 71
adposition, 67, 70	associative marker, see anti-construct
adposition phrase, 5, 27, 40n13,	state
102, 233	attributive affix, see anti-construct state
clause, 27, 67	attributive case, see anti-construct state
demonstrative, 27, 67	Attributive nominalization, 242
noun, 27, 40n13, 72, 73	attributive nominalization, 91–92
possessor noun, 67, 70-72	attributive particle, see anti-construct
relative clause, 5, 70, 233	state
verb, 69, 70, 73	attributive state, 39–59
verb (infinitive), 40n13	Autotypology, 3
adnominal noun, 101	AUTOTYP project, 4, 26n1, 37,
adnominal noun phrase, 102	42n14,60n34,78,80,238,239
adposition head, 67	
Africa, 71	Bickel, Balthasar, 42n14
agreement, 32-39	borrowing, xiv
defect agreement paradigm, 36 dependent-driven, 37–39 head-driven, 33–37 agreement marking, 26 possession, 26 Anti-construct state, 242 anti-construct state, 41–42 Anti-construct state agreement, 242	case instrumental, 86 case marking absolutive, 85 genitive, 26 lative, 204, 205, 213 Caucasus, 77, 107, 116, 121, 170
anti-construct state agreement mark- ing, 42–50	Southern, 195n13 Circum-Baltic area, 170, 225–226 clitic, 137

concord, see agreement Gil, David, 71 constituent order, 156 grammaticalization, xiv, 173-223 construct marking, see attributive state. Head-driven agreement, 242 140 head-marking attributive state, 40-Construct state, 242 construct state, see attributive state Himmelmann, Nikolaus, 174 cross reference, see dependent-driven agreement incorporation, 30–32 Inner Asia, 90, 92, 138, 230 definite marker, xvn2 Iranian languages, 220 definite marking, 95, 96, 109, 123, 127-Izafe, see Ezafe 129, 134, 139, 144, 147-150, 154-155, 159-162 Juxtaposition, 242 definiteness marking, 16, 85, 147, 153 juxtaposition, 29-30, 220-221 definiteness marking species, 191–195 demonstrative, 6 Koch, ??, 185 dependent marking attributive state, language shift induced interference, 41 - 58derivation, xiv Linker, 242 Double-construct state, 242 linker, see anti-construct state dummy head, see headless NP linking article, see ?? emphasis, see focus marking linking article see also, 187 Europe, 71, 77, 92, 104, 122, 123, 130, locus 138, 155, 162, 242, 257, 258 on-head, on-dependent, 26 EUROTYP, 79n3 Middle East, 138 Eurotyp, 79n4, 231 modification marking, xiii, 20, 67-74, EUROTYP project, 4 126, 223, 232, 233 Ezafe, see construct marking appositional, 13–14, 119–120 head-marking attributive state, 40-Modifier-headed possessor agreement. 242 focus marking, 28, 68n1, 73, 102-104, modifier-headed possessor agreement. 107, 108n15, 109, 110, 120, 125**-■** 37 - 39129, 137, 139, 141, 151−153, 155**,** morphologization, xvn2 156, 160-161 Nichols, Johanna, 42n14 fusion nominalization, 73 free, bound, non-linear, 26 North Asia, 77, 80, 123, 170, 230, 242, genitive, see anti-construct state 255, 256

#### Subject index

numeral, 6 Volga-Kama Linguistic Area, 180 Pacific Rim area, 170 WALS position World Atlas of Language Strucpre, post, circum, 26 tures, 78 WALS database, 4 possessive declension, 98n10 possessive pronoun, 6 World Atlas of Language Structures, pragmatic marking, 92 78 predicative marking, 30, 85, 86, 91, 100, 110, 131, 134-136, 149, 156-157 re-analysis, xvn2 re-analyzis, 236 reduplication, 87 relative clause, 5, 6, 70-72, 98-101, 161 relator, see anti-construct state restrictive marking, see definite marking Siberia, 77 South Asia, 133, 138 Southeast Asian languages, 71 species, see definite marking species marking definite, 85, 216-220 definiteness, 141-142 Sprachbund, 173 stative verb, 84, 88, 100 status constructus, see attributive state syntactic behavior clitic, 26 syntactic locus, 61 syntactic pattern, 61 syntactic source, 61

TAM marking, 85 typological method, 28

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# Adjective attribution

This book is the first typological study of adjective attribution marking. Its focus lies on northern Eurasia, although it covers many more languages and presents an ontology of morphosyntactic categories relevant to noun phrase structure in general. Beside treating synchronic data, the study contributes to historical linguistics by reconstructing the origin of new types specifically in the language contact area between the Indo-European and Uralic families.

