

INDUCED REPRESENTATIONS AND THEIR CHARACTERS

These notes contain an exposition of the Borel-Weil-Bott theorem, which identifies irreducible representations of a compact Lie group G as sections of certain line bundles over the flag variety G/T (“induced” from representations of T). We also include an exposition of the Weyl character formula as a character formula for the induced representation.

All representations will be finite dimensional over \mathbf{C} .

1. LIE THEORY

We will be switching between three types of objects:

- (1) Complex semisimple Lie algebras $\mathfrak{g}_{\mathbf{C}}$.
- (2) Complex Lie groups $G_{\mathbf{C}}$ whose Lie algebra is semisimple.
- (3) Real, compact, Lie groups G whose complexified Lie algebra are semisimple.

Imposing the condition that objects in (2) and (3) are simply connected, these will be classified by Dynkin diagrams and have essentially the same representation theories. Otherwise, the representation theories can be slightly different (see Remark 1.4). Our focus will be the representation theory of (3), but we need (1) and (2) as convenient models.

Here are some common examples, where $G_{\mathbf{C}}$ is the complexification of G and $\mathfrak{g}_{\mathbf{C}}$ is the Lie algebra of $G_{\mathbf{C}}$ (notice that $\mathrm{SO}(n)$ and $\mathrm{SO}(n, \mathbf{C})$ are not simply connected):

G	$G_{\mathbf{C}}$	$\mathfrak{g}_{\mathbf{C}}$	Type
$\mathrm{SU}(n)$	$\mathrm{SL}(n, \mathbf{C})$	$\mathfrak{sl}(n, \mathbf{C})$	A_n
$\mathrm{Spin}(n)$	$\mathrm{Spin}(n, \mathbf{C})$	$\mathfrak{so}(n, \mathbf{C})$	B_n (n odd) or D_n (n even)
$\mathrm{SO}(n)$	$\mathrm{SO}(n, \mathbf{C})$	$\mathfrak{so}(n, \mathbf{C})$	B_n (n odd) or D_n (n even)
$\mathrm{Sp}(n)$	$\mathrm{Sp}(2n, \mathbf{C})$	$\mathfrak{sp}(n, \mathbf{C})$	C_n

We will abbreviate \mathfrak{g} for $\mathfrak{g}_{\mathbf{C}}$ from now on.

Choose $\mathfrak{h} \subseteq \mathfrak{g}$ a **Cartan subalgebra** (a maximal subalgebra such that the adjoint representation is diagonalizable). This corresponds to choosing a maximal torus $T < G$ or $T_{\mathbf{C}} < G_{\mathbf{C}}$, which is unique up to conjugation. Just like every representation of T splits as a direct sum of 1-dimensional irreducible representations, every representation of \mathfrak{h} also splits as a direct sum of such irreducible representations. In particular, this applies to the adjoint action $\mathfrak{h} \curvearrowright \mathfrak{g}$:

$$\mathrm{ad} : \mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{g}_{\mathbf{C}}), \quad \mathrm{ad}(t) = [t, -].$$

Under this action, \mathfrak{g} has a **Cartan decomposition**

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\substack{\alpha \in \Phi \subseteq \mathfrak{h}^* \\ \alpha \neq 0}} \mathfrak{g}_{\alpha}.$$

Here \mathfrak{g}_α is the **weight space** (common eigenvector) of the \mathfrak{h} action with **weight** (common eigenvalue) α : namely, for $x \in \mathfrak{g}_\alpha$ and $h \in \mathfrak{h}$,

$$[h, x] = \alpha(h)x.$$

Here $\Phi \subseteq \mathfrak{h}^*$ is the set of weights with non-empty weight spaces, called the **roots** of \mathfrak{g} .

Moreover there is a symmetric bilinear form on \mathfrak{g} called the **Killing form**:

$$\kappa : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbf{C}, \quad \kappa(x, y) = \text{tr}(\text{ad}(x)\text{ad}(y)).$$

It turns out that $\kappa|_{\mathfrak{h}}$ is non-degenerate, and this provides a canonical isomorphism $\mathfrak{h}^* \cong \mathfrak{h}$, sending

$$\lambda \mapsto t_\lambda \text{ such that } \kappa(t_\lambda, -) = \lambda.$$

Furthermore, one “transports” the bilinear form κ to \mathfrak{h}^* by defining

$$(\lambda, \mu) := \kappa(t_\lambda, t_\mu).$$

Consider the \mathbf{Q} -span of the roots Φ in \mathfrak{h}^* , denoted $\mathfrak{h}_{\mathbf{Q}}^*$. It turns out that this is a \mathbf{Q} -vector space of dimension $\dim_{\mathbf{C}}(\mathfrak{h}^*)$. Furthermore, the bilinear form $(-, -)$ restricts to $\mathfrak{h}_{\mathbf{Q}}^*$ is positive definite. The triple $(\mathfrak{h}_{\mathbf{Q}}^*, \Phi, (-, -))$ together consist a **root system**. Irreducible root systems are classified by **Dynkin diagrams**.

Example 1.1. I will illustrate this and many other general concepts by the example of $\mathfrak{sl}_3(\mathbf{C})$.

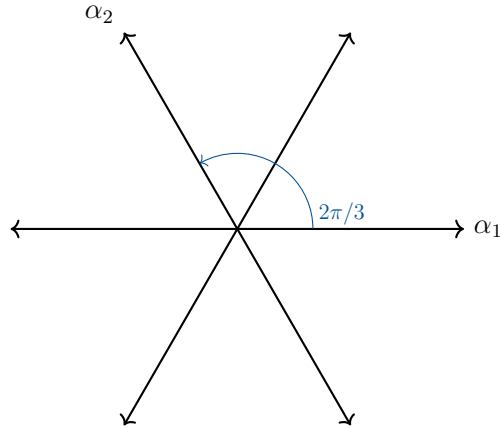


FIGURE 1. Root system of $\mathfrak{sl}_3(\mathbf{C})$, which has type A_2 . Two positive roots α_1 and α_2 are chosen.

Identify $\mathfrak{sl}_3(\mathbf{C})$ with traceless 3×3 complex matrices. Choose the Cartan subalgebra $\mathfrak{h} \subseteq \mathfrak{sl}_3(\mathbf{C})$ to be the diagonal matrices in $\mathfrak{sl}_3(\mathbf{C})$, which has dimension 2. There are 6 roots $\varepsilon_i - \varepsilon_j$ for $1 \leq i, j \leq 3$ and $i \neq j$. The 1-dimensional space \mathfrak{g}_α corresponding to the root α is spanned by the elementary matrix E_{ij} .

Here are some general notions applicable to any root system that can be illustrated in this special case:

- (1) **Weyl group**: The group

$$W = \langle s_\alpha : \alpha \in \Phi \rangle$$

generated by s_α 's, where s_α is reflection along the hyperplane orthogonal to α

$$s_\alpha(v) = v - 2 \frac{(v, \alpha)}{(\alpha, \alpha)} \alpha.$$

- (2) **Simple roots:** A collection of roots $\Delta \subset \Phi$ such that Δ is a \mathbf{Q} -basis of \mathfrak{h}^* and $\Phi \subset \mathbf{Z}\Delta$. There are many potential choices of Δ , and different choices differ by a Weyl group action. This choice splits $\Phi = \Phi^+ \cup \Phi^-$ where $\Phi^+ := \mathbf{N}\Delta \cap \Phi$ is the **positive roots**.
- (3) **Weight lattice:** For each $\alpha \in \Phi^+$, there exist unique $e_\alpha \in \mathfrak{g}_\alpha, e_{-\alpha} \in \mathfrak{g}_{-\alpha}$ and $h_\alpha = [e_\alpha, e_{-\alpha}] \in \mathfrak{h}$ such that $\{e_\alpha, e_{-\alpha}, h_\alpha\}$ satisfy the $\mathfrak{sl}_2(\mathbf{C})$ -relations. Define the weight lattice and the positive weight lattice to be, respectively,

$$P := \{\lambda \in \mathfrak{h}^* : \lambda(h_\alpha) \in \mathbf{Z} \ \forall \alpha \in \Phi\}$$

- (4) **Dominant Weights:** We call the set

$$P^+ := \{\lambda \in \mathfrak{h}^* : \lambda(h_\alpha) \in \mathbf{N} \ \forall \alpha \in \Phi^+\} \subset P$$

the dominant weights, and the set $P^- := -P^+$ the anti-dominant weights.

Theorem 1.2. *Finite dimensional irreducible representations of \mathfrak{g} are in bijective correspondence with dominant integral weights in P^+ . For any $\lambda \in P^+$, there is a unique irreducible representation with highest weight λ . Equivalently, finite dimensional irreducible representations of \mathfrak{g} are classified by lowest weights in P^- . The representation with lowest weight $-\lambda$ is the dual representation of the one with highest weight λ .*

We will denote $R^+(\lambda)$ for the representation with highest weight λ , and $R^-(\lambda)$ for the one with lowest weight λ .

Example 1.3. Examples for $\mathfrak{sl}_3(\mathbf{C})$: the adjoint representation and the standard representation. See Figure 2.

Remark 1.4. The finite dimensional irreducible representations of G and $G_{\mathbf{C}}$ are also determined by highest weight theory. However, the classification of those representations can be slightly different.

If G is a real compact Lie group whose complexified Lie algebra is \mathfrak{g} but G is not simply connected, then there is a sub-lattice $L \subset P$ in \mathfrak{h} such that $L^+ := L \cap P^+$ correspond bijectively to irreducible representations of G . This L corresponds to the dual lattice \hat{T} of the maximal torus $T < G$ (the **analytically integral weights**). In fact, $P/L \cong \pi_1(G)$. The same happens for $G_{\mathbf{C}}$.

2. MOTIVATING EXAMPLE: FINITE GROUPS

With the understanding that finite dimensional irreducible representations of G are classified by highest weights, our goals will be:

- (1) Find an explicit construction of irreducible representations using data from just the Lie group G .

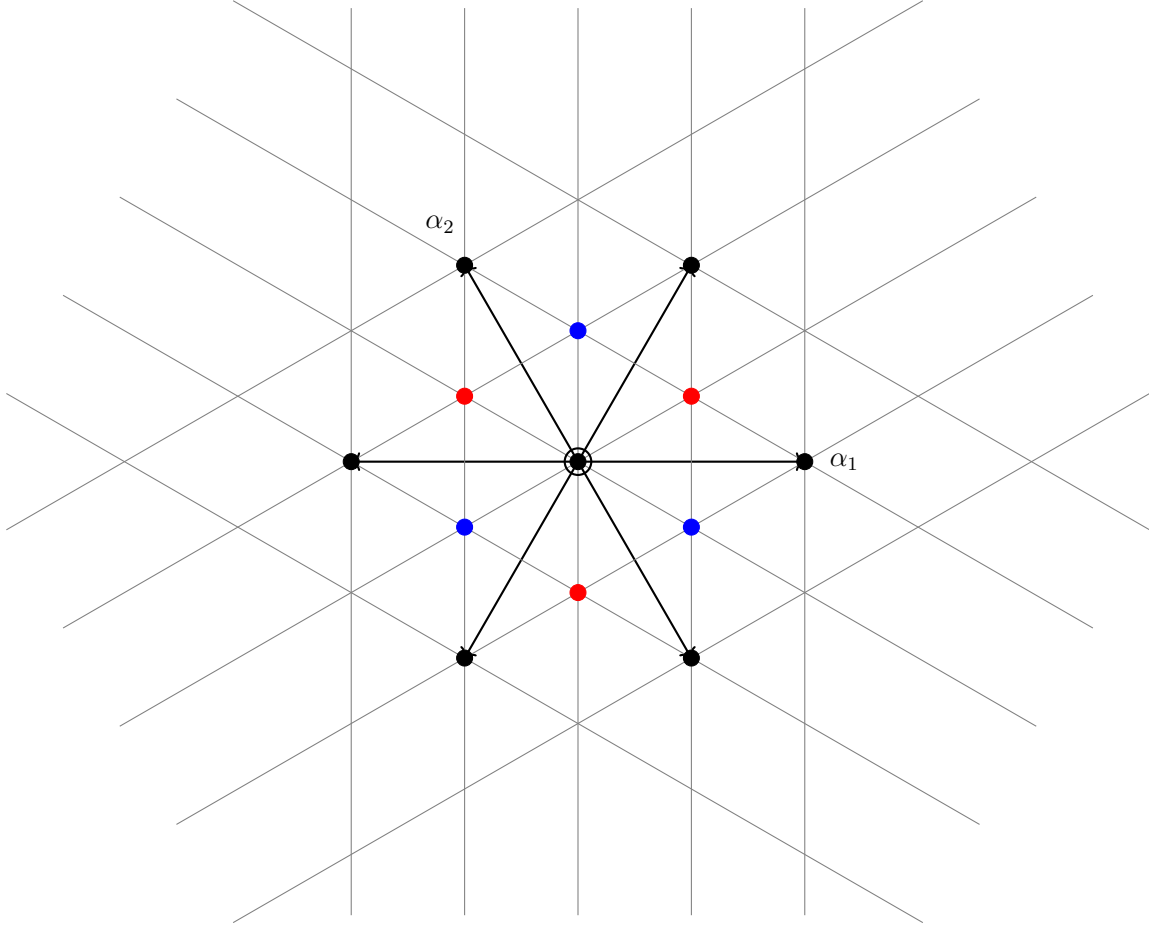


FIGURE 2. Three representations: the standard representation (blue), its dual (red) and the adjoint representation (black). Double dot means the weight space is 2-dimensional.

- (2) Find an expression for the character of the representation, using just the highest weight and data from root system.

Both of these goals can be accomplished by constructing representations of G using representations of $T < G$, which is an abelian group and hence significantly easier. Namely, we seek a map

$$\text{Rep}(T) \rightarrow \text{Rep}(G).$$

A first attempt would be to mimic the **induced representation** for finite groups $H < G$

$$\text{Ind}_H^G : \text{Rep}(H) \rightarrow \text{Rep}(G).$$

which is a left adjoint to $\text{Res}_G^H : \text{Rep}(G) \rightarrow \text{Rep}(H)$. For finite groups, this is accomplished by considering the $\mathbf{C}[G]$ -module

$$\text{Ind}_H^G(V_\rho) := \mathbf{C}[G] \otimes_{\mathbf{C}[H]} V_\rho \cong \Gamma(G/H, G \times_H V_\rho)$$

where for $s \in \Gamma(G/H, G \times_H V_\rho)$, G acts via

$$(g.s)([x]) = s(g^{-1}x).$$

Notice that G/H discrete, so there is no need to specify what type of section we are taking.

Moreover, we have the **Frobenius formula** for the induced representation

$$\chi_{\text{Ind}_H^G(V_\rho)}(g) = \sum_{x \in F} \chi_\rho(x^{-1}gx)$$

where F is the fixed point set of the action of g on G/H , or equivalently the set $\{x \in G : x^{-1}gx \in H\}$. It will turn out that fixed point considerations for Lie groups will lead to the Weyl character formula.

For Lie groups the situation is more complicated. Given $H < T$ and an H -representation V_ρ , one may still take continuous or C^∞ -sections

$$\Gamma(G/H, G \times_H V_\rho),$$

but this would be an infinite dimensional representation in general. However, in the case of $H = T$, there is a **complex structure** (see §3) and one can take the subspace of holomorphic sections

$$\Gamma_{\text{hol}}(G/T, G \times_T V_\rho) = H^0(G \times_T V_\rho).$$

This also has higher derived functors (Dolbeault cohomology groups)

$$H^i(G \times_T V_\rho) \cong H^{0,i}(G/T, G \times_T V_\rho).$$

One may collect all the higher derived functor together to get a map

$$\text{Rep}(T) \rightarrow \text{Rep}(G), \quad V_\rho \mapsto \sum_i (-1)^i H^i(G \times_T V_\rho).$$

This alternating sum of sheaf cohomologies turns out to be the pushforward in equivariant K-theory:

$$\text{Rep}(T) \cong K_T(*) \cong K_G(G/T) \xrightarrow{\pi_!} K_G(*) \cong \text{Rep}(G).$$

Indeed, the corresponding complex is $(\Omega^{0,\bullet}(G \times_T V_\rho), \bar{\partial})$ and the result follows from equivariant index theorem. We will construct irreducible representations using this pushforward map. To rewrite the two goals:

- (1) Identify the image of $K_T(*) \rightarrow K_G(*)$, i.e., the objects $\sum_i (-1)^i H^i(G \times_T V_\rho)$, in terms of highest weight theory (this is the content of the Borel-Weil-Bott theorem).
- (2) Compute the image of V_ρ under $K_T(*) \rightarrow K_G(*) \rightarrow K_T(*)$, i.e., the character of the image (this is the content of the Weyl character formula).

3. FLAG VARIETIES

We discuss briefly the complex structure on G/T . Let $B^+ < G_{\mathbb{C}}$ be the **Borel subgroup** satisfying

$$\text{Lie}(B^+) = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Delta^+} \mathfrak{g}_\alpha.$$

One then has a diffeomorphism

$$G/T \cong G_{\mathbb{C}}/B^+$$

This quotient is called the **flag variety** of G (or $G_{\mathbb{C}}$). This diffeomorphism endows G/T a complex structure coming from that on $G_{\mathbb{C}}/B^+$.

Example 3.1. Take $G = \mathrm{SU}(n)$ and $G_{\mathbf{C}} = \mathrm{SL}(n, \mathbf{C})$. One may choose the positive roots so that $B^+ < G_{\mathbf{C}}$ is the upper-triangular matrices.

Let V be an n -dimensional vector space and choose a basis for V so that we get an isomorphism $V \cong \mathbf{C}^n$. Let G and $G_{\mathbf{C}}$ act on V by matrix multiplication.

Define

$$\mathrm{Fl}(V) := \{(V_i)_{i=0}^n \mid V_i \subset V_{i+1} \subseteq V, \dim(V_i) = i\}.$$

Namely, this is the space of **flags** in V . The group $G_{\mathbf{C}}$ acts on $\mathrm{Fl}(V)$ transitively, and its stabilizer at $p = (0, \mathbf{C}\{e_1\}, \mathbf{C}\{e_1, e_2\}, \dots, V)$ is exactly B^+ . Thus $G_{\mathbf{C}}/B^+ \cong \mathrm{Fl}(V)$. Similarly, $\mathrm{SU}(n)$ acts on $\mathrm{Fl}(V)$ with stabilizer at p being exactly T , so $G/T \cong \mathrm{Fl}(V)$, and hence we get an isomorphism $G_{\mathbf{C}}/B^+ \cong G/T$.

Remark 3.2. In the literature, people sometimes use $G_{\mathbf{C}}/B^-$ which is also diffeomorphic to G/T . This identification changes the complex structure. This convention leads to a formulation of the Borel-Weil-Bott theorem different from the one we give below.

4. THE BOREL-WEIL-BOTT THEOREM

Let $\lambda : T \rightarrow \mathbb{T}$ and denote

$$L_{\lambda} := G \times_T \mathbf{C}_{-\lambda}$$

to be the associated line bundle on G/T . Notice the minus sign here.

Theorem 4.1 (Borel-Weil-Bott). *Let $\lambda \in L \subseteq P$ be an integral weight. One has*

- (1) *If $\lambda \in P_{\mathrm{sing}}$, then $H^i(G/T, L_{\lambda}) = 0$ for all $i \geq 0$.*
- (2) *If $\lambda \in P_{\mathrm{reg}}$, then $H^{\ell(w_{\lambda})}(G/T, L_{\lambda})$ is the irreducible representation with lowest weight $-\lambda$ (or equivalently, the dual of the irreducible representation with highest weight λ), and H^i*

Some notations here need further explanation. The **Weyl vector** is the half sum of all positive roots:

$$\rho := \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha.$$

On the space \mathfrak{h}^* there is a ***-action** of W given by the usual action of W conjugated by a shift:

$$w * \lambda = w(\lambda + \rho) - \rho.$$

For a weight $\lambda \in P$, say λ is **singular** if there exists a non-identity $w \in W$ such that $w * \lambda = \lambda$. Otherwise there exists a unique $w_{\lambda} \in W$ such that $w_{\lambda} * \lambda$ is dominant, and λ is **regular**. The element w_{λ} has a **length** (the minimal number of s_{α} 's such that their composition is w). Write $P = P_{\mathrm{sing}} \cup P_{\mathrm{reg}}$ as a disjoint union of singular and regular weights.

Example 4.2. Example of $\mathrm{SL}_3(\mathbf{C})$: see Figure 3.

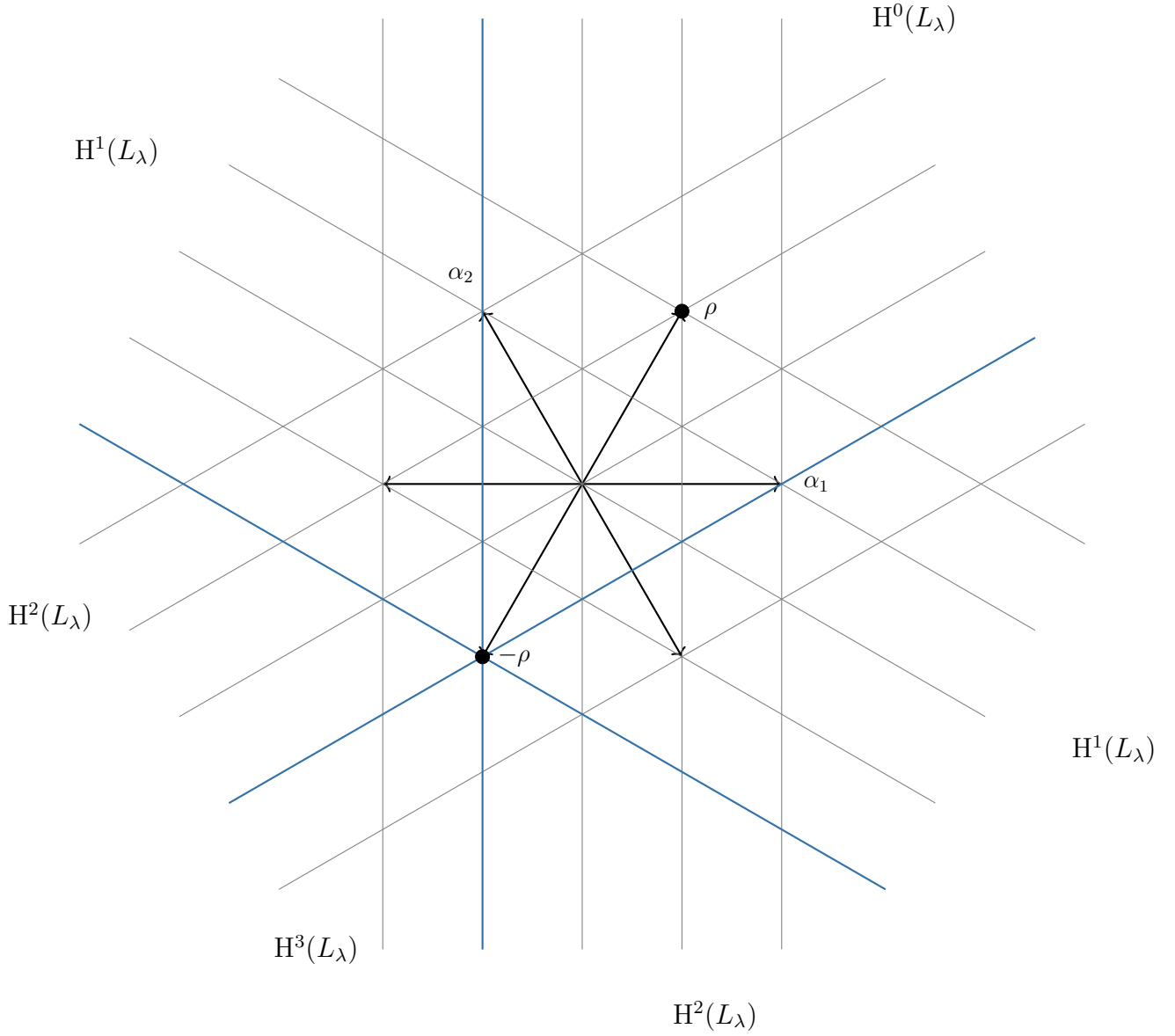


FIGURE 3. The root system of $\mathfrak{sl}_3(\mathbf{C})$ with simple roots α_1 and α_2 . Reflections along the blue lines generate the $*$ -action of Weyl group. P_{sing} is the intersection of P with the blue lines.

5. THE WEYL CHARACTER FORMULA

We now turn to the Weyl character formula. There are two “topological” methods to derive this: either from the localization theorem or from the Lefschetz fixed point formula. In both cases, the key is to compute the fixed point of the left T -action on G/T : they correspond bijectively to elements in $W \cong N(T)/T$.

Theorem 5.1 (Weyl Character Formula). *Fix an integral weight λ . Recall that $R^-(\lambda)$ is the irreducible representation with lowest weight λ . One has*

$$\text{ch}(R^-(\lambda)) = \sum_{w \in W} w \cdot \left(\frac{e^\lambda}{\prod_{\alpha \in \Delta^+} (1 - e^\alpha)} \right).$$

Here $w \in W$ acts via the usual action (instead of the $*$ -action).

One proof of this is by applying the Lefschetz fixed point formula to the complex $(\Omega^{0,\bullet}(L_\lambda), \bar{\partial})$. Noticing that the left T -action on G/T has fixed point set given exactly by $N(T)/T \cong W$, one has

$$\begin{aligned} \text{tr} \left(t \mid \sum (-1)^i H^i(L_\lambda) \right) &= \text{tr} \left(t \mid \sum (-1)^i \Omega^{0,i}(L_\lambda) \right) \\ &= \sum_{w \in W} w. \left(\frac{e^\lambda}{\det(1 - d\ell_t)} \right) (t) \\ &= \sum_{w \in W} w. \left(\frac{e^\lambda}{\prod_{\alpha \in \Delta^+} (1 - e^\alpha)} \right) (t). \end{aligned}$$

This is the approach taken in [1]. For a proof using Euler class and localization theorem in K-theory, see [3].

Remark 5.2. This can be generalized to allow any $H < G$ such that G/H admits a complex structure. In the case of finite groups it recovers the Frobenius formula.

Remark 5.3. This form of the Weyl character formula is a slight rewriting of

$$\text{ch}(R^-(\lambda)) = \frac{\sum_{w \in W} (-1)^{\ell(w)} e^{w(\lambda - \rho) + \rho}}{\prod_{\alpha \in \Phi^+} (1 - e^\alpha)}$$

which is more common in the literature. To recover this formula, use that

$$A := e^{-\rho} \prod_{\alpha \in \Phi^+} (1 - e^\alpha) = \prod_{\alpha \in \Phi^+} (e^{-\alpha/2} - e^{\alpha/2})$$

satisfies

$$w.A = (-1)^{\ell(w)} A.$$

Thus, we obtain

$$\sum_{w \in W} w. \left(\frac{e^\lambda}{\prod_{\alpha \in \Delta^+} (1 - e^\alpha)} \right) = \sum_{w \in W} w. \left(\frac{e^{\lambda - \rho}}{A} \right) = \frac{\sum_{w \in W} (-1)^{\ell(w)} e^{w(\lambda - \rho)}}{A} = \frac{\sum_{w \in W} (-1)^{\ell(w)} e^{w(\lambda - \rho) + \rho}}{\prod_{\alpha \in \Phi^+} (1 - e^\alpha)}$$

as desired.

6. EXAMPLE OF $\text{SU}(2)$

Take $G_{\mathbf{C}} = \text{SL}(2, \mathbf{C})$ and $G = \text{SU}(2)$. Choose the positive root to be $\alpha = \varepsilon_1 - \varepsilon_2$ so that $\rho = \alpha/2$. Notice that in this case the representation with highest weight λ coincides with the representation with lowest weight $-\lambda$. Also, $L = P = \mathbf{Z}\rho$. One has

$$G/T \cong G_{\mathbf{C}}/B^+ \cong \mathbf{CP}^1.$$

Indeed, the flag variety for a 2-dimensional vector space is the same as the collection of 1-dimensional subspaces, i.e., \mathbf{CP}^1 . Line bundles over \mathbf{CP}^1 are the twisted sheaves $\mathcal{O}(n)$ for $n \in \mathbf{Z}$. In fact, we have

$$L_{n\rho} \cong \mathcal{O}_{\mathbf{CP}^1}(n)$$

as line bundles. Thus,

$$H^0(L_{n\rho}) = H^0(\mathcal{O}_{\mathbf{CP}^1}(n)) = \begin{cases} \mathbf{C}\{x^j y^{n-j} \mid 0 \leq j \leq n\}, & n > 0, \\ 0, & \text{otherwise.} \end{cases}$$

The action of $SU(2)$ (or $SL(2)$) is by regarding $f(x, y) := x^j y^{n-j}$ as a function in (x, y) and $g.f(x, y) = f(g^{-1} \cdot (x, y))$.

The maximal torus of $SU(2)$ is the collection of matrices

$$T \cong U(1) = \left\{ \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \right\}.$$

One may explicitly calculate the character of this representation using the eigenvectors $\{x^j y^{n-j}\}$

$$\text{ch}(R^{-}(-n\rho)) \left(\begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \right) = e^{-in\theta} + e^{-i(n-2)\theta} \dots + e^{i(n-2)\theta} + e^{in\theta} = \frac{\sin(n+1)\theta}{\sin \theta}.$$

On the other hand, denote

$$h := \begin{pmatrix} i\theta & 0 \\ 0 & -i\theta \end{pmatrix}.$$

Notice that

$$\alpha \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right) = 2,$$

and hence the Weyl character formula yields

$$\text{ch}(R^{-}(-n\rho))(h) = \frac{e^{-n\rho(h)} - e^{(n+2)\rho(h)}}{1 - e^{2\rho(h)}} = \frac{e^{-in\theta} - e^{i(n+2)\theta}}{1 - e^{i2\theta}} = \frac{\sin(n+1)\theta}{\sin \theta}$$

which recovers the previous computation.

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