### Global Optimum Search in Quantum Deep Learning

Lanston Hau Man Chu, Tejas Bhojraj, Rui Huang

Project of CS 880: Quantum Computing

Instructor: Dieter van Melkebeek

May 8, 2020

### Overview

- Introduction and Theory
  - Gradient Descent and Its Drawbacks
  - Overview of the Two Approaches
- Approach 1: Average Approach
- 3 Approach 2: Partial Swap Test Cut-off Method (PSTC)
- 4 Equivalency Discussion
- Summary
- 6 Extensions and Future Work
  - Additional Restriction
  - Adversarial Example
- Notations Table

• Gradient descent (GD) is an essential algorithm widely used in machine learning to optimize the objective function

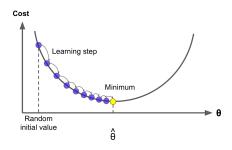
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$$\theta^* = \operatorname*{argmin}_{\theta \in \Theta} \mathcal{L}(\theta)$$

$$\mathcal{L}(\theta) = \frac{1}{N} \sum_{j=1}^{N} \ell(\theta, x_j)$$

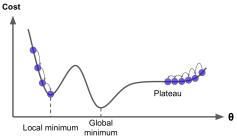
$$\theta_{k+1} = \theta_k - \eta \frac{\partial \mathcal{L}_{\theta}}{\partial \theta} \Big|_{\theta = \theta_k}$$



## Drawbacks of Gradient Descent

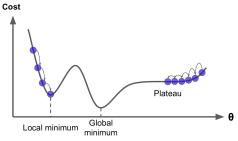
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 The upper bound or expected number of iterations to reach convergence is difficult to determine.

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- Two quantum approaches (Average Approach and PSTC Approach) to find the global optimum (instead of a local optimum) for optimizing machine learning models.
- Theoretical analyses to show that the expected cost for both approaches are  $O(\sqrt{|\Theta|}N)$ .
- Novel objective function maximizing the number of "cut-off indicators  $\mathbb{E}_{\theta i}$ " to fit the property of quantum computing in optimizing machine learning models
- Potential for PSTC to reduce the cost further to  $O(\sqrt{|\Theta|} \cdot sublinear(N))$  in future work

$$\begin{split} |\theta\rangle|j\rangle|\mathbf{0}\rangle & \xrightarrow{\text{Quantum parallelism with cost }O(N)} |\theta\rangle|j\rangle|\sum_{j=1}^N \ell(\theta,x_j)\rangle \\ \theta_{avg}^* &= \operatorname*{argmin}_{\theta\in\Theta} \mathcal{L}_{\theta}^{avg} = \operatorname*{argmin}_{\theta\in\Theta} \frac{1}{N}\sum_{j=1}^N \ell(\theta,x_j) \end{split}$$

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- Utilize Durr & Hoyer (DH) algorithm to find the global minimum
- Expected number of steps:  $O(N\sqrt{|\Theta|})$

$$\begin{split} |\theta\rangle|j\rangle|\mathbf{0}\rangle & \xrightarrow{\mathsf{Quantum parallelism with cost } O(1)} |\theta\rangle|j\rangle|E_{\theta j}\rangle \\ \theta^*_{\mathsf{PSTC}} &= \operatorname*{argmax}_{\theta\in\Theta} \mathcal{L}^{\mathsf{PSTC}}_{\theta} = \operatorname*{argmax}_{\theta\in\Theta} \frac{1}{N} \sum_{i=1}^N \mathbb{1}\big[\ell(\theta,x_j) \leq \ell_{threshold}\big] \end{split}$$

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- $\bullet$  Enable future improvement to maybe  $O(sublinear(N) \cdot \sqrt{|\Theta|})$

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# Approach 1: Average Approach

- **①** Consider the function  $f(i) = f(\theta_i) := \sum_j \ell(\theta_i, x_j)$
- ② Use the DH algorithm [2] to find the minimum of the set  $\{f(i)\}_i$  with a slight modification: When the DH algorithm queries f(i) for some i (i.e. when the algorithm makes a query on  $|i\rangle|0^t\rangle$  and needs  $|i\rangle|f(i)\rangle$  as output), do the following subroutine:
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  - Return  $U(|i\rangle|0^t\rangle)$  to the DH algorithm.

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- So, the cost is  $O(\sqrt{|\Theta|}N)$ .
- Also, we know that the DH algorithm succeeds with probability 0.5 and so by running it many times, we get the parameter minimizing the loss with arbitrarily good accuracy at the cost  $O(\sqrt{|\Theta|}N)$ .

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- $\bullet \text{ Want some function } f \colon \sum_{j=1}^N |\theta\rangle|j\rangle|\mathbf{0}\rangle \xrightarrow{\mathsf{Cost}\ \mathcal{O}(1)} \sum_{j=1}^N |\theta\rangle|j\rangle|f(\theta,x_j)\rangle$
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Easy summation (by inner product):

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• New objective function (want larger  $\mathcal{L}_{\theta}^{\mathsf{PSTC}}$ ):

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$$\text{Define } \begin{cases} |\phi_{\theta}\rangle \triangleq \frac{1}{\sqrt{N}} \sum\limits_{j=1}^{N} |j\rangle |E_{\theta j}\rangle \\ |\psi\rangle \triangleq \frac{1}{\sqrt{N}} \sum\limits_{k=1}^{N} |k\rangle |1\rangle \end{cases}$$

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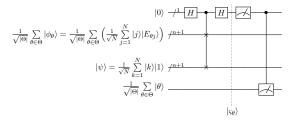
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$$|\varsigma_{\theta}\rangle$$

$$\frac{1}{\sqrt{|\Theta|}} \sum_{\theta \in \Theta} |\varsigma_{\theta}\rangle |\theta\rangle = \frac{1}{\sqrt{|\Theta|}} \sum_{\theta \in \Theta} \frac{1}{2} |0\rangle \Big( |\phi_{\theta}\rangle |\psi\rangle + |\psi\rangle |\phi_{\theta}\rangle \Big) |\theta\rangle + \frac{1}{2} |1\rangle \Big( |\phi_{\theta}\rangle |\psi\rangle - |\psi\rangle |\phi_{\theta}\rangle \Big) |\theta\rangle$$

(1)



(2)

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$$\implies \text{Lemma 2.2: } \mathbb{P}\Big[\text{1st qubit} = 0\Big] = \frac{1}{2} + \frac{1}{2|\Theta|} \sum_{\theta \in \Theta} |\langle \phi_\theta, \psi \rangle|^2$$

(2)

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• Want larger  $\mathcal{L}_{\theta}^{\mathsf{PSTC}}$ 

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- Want larger  $\mathcal{L}_{a}^{\mathsf{PSTC}}$
- The better  $\theta$  we want, the higher chance we can observe  $\theta$ !

(2)

### $A_{\mathsf{Boost}}$ : Amplitude Amplification

Table: Amplitude amplification for the PMF( $\theta$ )  $\propto \xi(\theta)$ 

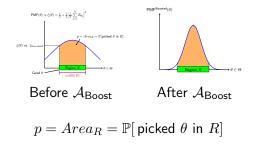
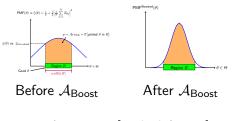


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$$p = Area_R = \mathbb{P}[ \text{ picked } \theta \text{ in } R]$$

ullet In the manner  $\sim$  Grover's Search [1]

$$\#(Q_{\text{1-query}}) = O(\frac{1}{p}) \quad \underset{\text{via classic algorithm}}{\varprojlim} \quad \#(Q_{\text{1-query}}) = O(\frac{1}{\sqrt{p}})$$

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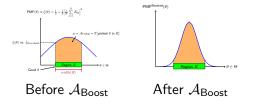
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• Checking process: To determine whether  $\theta \in R \leftarrow \mathsf{Cost}\ O(N)$ 

$$\mathcal{A}_{\mathsf{PSTM}}$$

Lemma 2.5: 
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i.e. 
$$Cost_{\mathsf{Final\ iteration}} + \ldots + Cost_{\mathsf{1st\ iteration}} = O(\sqrt{|\Theta|} + \frac{\sqrt{|\Theta|}}{2} + \frac{\sqrt{|\Theta|}}{4} + \ldots) = O(\sqrt{|\Theta|})$$

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- I.e., are there constants C,c such that on any sample set and any choice of  $\ell$ ,

$$c\theta_{PSTC}^* \le \theta_{avg}^* \le C\theta_{PSTC}^*.$$

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• Here,  $\theta_{avg}^* = \operatorname*{argmin} \frac{1}{3} \sum_{j=1}^3 \ell_{\theta j} = C+1$ , but  $\theta_{PSTC}^* = \operatorname*{argmax} \frac{1}{3} \sum_{j=1}^3 \mathbb{1} [\ell_{\theta j} \leq \ell_{threshold}] = 1 \ .$ 

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• So,  $C+1 \leq C$  gives a contradiction.

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- Future work: to lower the cost of PSTC to  $O(\sqrt{|\Theta|} \cdot sublinear\ N)$  by enhancing the checking process

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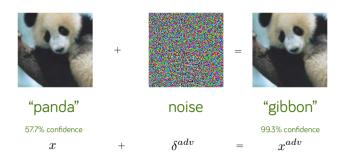
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• In view of this, we can generalize the cut-off indicator  $E_{\theta j}$  to  $E^A_{\theta j}$ :

$$\mathcal{L}_{\theta}^{A,\mathsf{PSTC}} \triangleq \frac{1}{N} \sum_{i=1}^{N} E^{A}_{\theta j} \text{, where } E^{A}_{\theta j} = \mathbb{1}[\,\ell(\theta,x_{j}) \leq \tilde{\ell} \text{ and } \theta \in A]$$

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- Generalize our cut-off-qubit from  $E_{\theta j}$  to  $E_{\theta j}^A = E_{\theta j}^{\|\delta\| \le \epsilon}$ , where  $E_{\theta j}^{\|\delta\| \le \epsilon} = \mathbb{1}[\ell(\theta, x_j) \ge \tilde{\ell} \text{ and } \|\delta\| \le \epsilon]$

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- Apply PSTC by searching for "good"  $\delta \in X$  and we will be able to obtain an adversarial example in the cost of  $O(\sqrt{|X|})$ .

Thank you!

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#### Notations Table: Basics

Notation	Space	Meaning
θ	Θ	Parameter of model $h_{ heta}(\cdot)$
$h_{ heta}(\cdot)$	$X \to Y$	Model with parameter $ heta$
x	X	An input
y	Y	A label
S	$S \subseteq X$	$\{x_1,, x_N\}$
N	$\mathbb{Z}^+$	$\#(samples\;in\;S)$
n	$\mathbb{Z}^+$	$N=2^n$
m	$\mathbb{Z}^+$	$ \Theta  = 2^m$
$\ell(\cdot,\cdot)$ , or $loss(\cdot,\cdot)$	$\Theta \times X \rightarrow \mathbb{R}^+$	Loss function
	(or $Y \times Y \to \mathbb{R}^+$ )	(depending on context)
$\ell_{ij}$ , or $\ell_{\theta_i j}$ , or $\ell_{\theta j}$	$\mathbb{R}^+$	$\ell_{\theta_i j} = \ell(\theta_i, x_j) = \ell(h_{\theta_i}(x_j), y_j)$
$\mathcal{L}_{ heta}^{avg}$ or $\mathcal{L}^{avg}( heta)$	$\mathbb{R}^+$	Average loss $\frac{1}{N}\sum_{j=1}^N \ell_{\theta j}$ ; The smaller the better
$\mathcal{L}_{ heta}^{ extsf{PSTC}}$ or $\mathcal{L}^{ extsf{PSTC}}( heta)$	$\mathbb{R}^+$	Cut-off loss $rac{1}{N}\sum_{j=1}^{N}\mathbb{1}[\ell_{ heta j}\leq ilde{\ell}]$ ; The larger the better
$\tilde{\ell}$ , or $\ell_{threshold}$	$\mathbb{R}^+$	Threshold value for the cut-off approach
$E_{\theta j}$	{0, 1}	Cut-off indicator: Value $\mathbb{1}[\ell_{\theta j} \leq \tilde{\ell}]$ to be stored in 1 qubit
$ \phi angle,  \psi angle$	$\mathbb{C}^{n+1}$	Pure states used in PSTC

#### Notations Table: PSTC

$Q_{1 ext{-query}}$	Q-Circuits	The Q-circuit for partial swap test
$ \varsigma_{ heta}\rangle$	$\mathbb{C}^{2n+3}$	The pure state after the 2nd Hadamard gate in $Q_{ extstyle 1 ext{-query}}$ given $ heta$
$ \varsigma_{\theta}^{(0)}\rangle,  \varsigma_{\theta}^{(1)}\rangle$	$\mathbb{C}^{2n+2}$	The $ 0\rangle$ and $ 1\rangle$ parts of $ \varsigma_{\theta}\rangle$
$PMF(\cdot)$	$\Theta \rightarrow [0,1]$	Probability mass function (PMF) of $\theta$
$A_{1 ext{-query}}$ , $A_{\xi}$ , $A_{ ext{Boost}}$ , $A_{ ext{PSTC}}$	Algorithms	Names of some cut-off approach algorithms
$\theta_{1 ext{-query}}$ , $\theta_{ ext{Boost}}$ , $\theta_{ ext{Best}}$	Θ	heta being used in the respective algorithms
$flag(\cdot)$	$\Theta \rightarrow \{0,1\}$	Flag to indicate whether $\theta$ is good or bad
$O_{flag}$	$\mathbb{C}^{m \times m}$	Gate of oracles on $flag(\theta)$
$\mathcal{R}$	$\mathbb{C}^{m \times m}$	Gate of "keeping zero, flipping else"
R	$R \subseteq \Theta$	Region $R$ that "good" $ heta$ s concentrate
$ref( B\rangle)$	$\mathbb{C}^{m \times m}$	Reflection on the "Bad" vector in $\mathcal{A}_{Boost}$
$ref( U\rangle)$	$\mathbb{C}^{m \times m}$	Reflection on the "Uniform" vector in $\mathcal{A}_{Boost}$
p	$[0,1] \in \mathbb{R}$	$\mathbb{P}[picked\; \theta \;in\; R]$
$\xi(\cdot)$	$\Theta \to \mathbb{R}^+$	A function that proportional to pdf of $\theta$ : $P[\text{observe }\theta \text{1st qubit}=0]$
$\tilde{\xi}$ , or $\xi_{threshold}$	$\mathbb{R}^+$	Threshold value of $\xi$ for region $R$
$ 0\rangle$	Cnot care	High dimensional $ 0 angle$ with dimension not mentioned
$x^{adv}$	X	Adversarial example
$\delta^{adv}$	X	Perturbation
$ \chi^{Type\ I}\rangle$ , $ \chi^{Type\ II}\rangle$	$\mathbb{C}^{ ext{depends}}$	Pure states of $x$ referring to Type I and Type II uniformity.

#### References

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