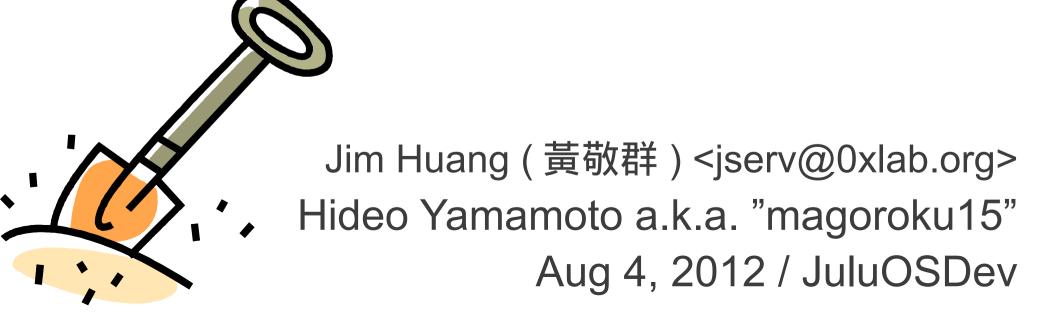
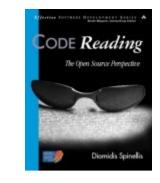


反璞歸真系列之

探究 UNIX v6 作業系統設計



"I wonder how many great novelists have never read someone else's work, ... painters ... another's brush strokes, ... skilled surgeons ... learned by looking over a colleague's shoulder, 767 captains ... in the copilot's seat ..."



(沒有研讀過其他作家作品的偉大作家,沒有研究過其他畫家筆法的偉大畫家,沒有盜取過並肩作戰的同事的技術的技巧高明的外科醫生,沒有在副駕駛的位置積累實際經驗的波音 767的機長,在現實生活中真的會存在他們這樣的人嗎?)

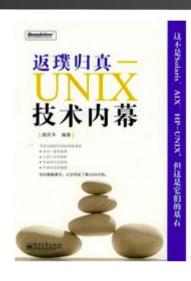
Dave Thomas 在《 Code Reading- The Open Source Perspective 》的序文 http://www.spinellis.gr/codereading/foreword.html

教材

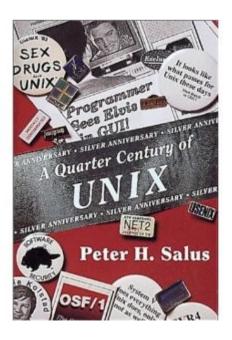
- Unix version 6
 - Lions Commentary on UNIX
- 線上版本
 - http://v6.cuzuco.com/v6.pdf
 - http://www.lemis.com/grog/Documentation/Lions/book.pdf
- PDP-11/40
 - PDP-11 Processor Handbook
 - http://pdos.csail.mit.edu/6.097/readings/pdp11-40.pdf

參考書目

- · 《返璞歸真 -UNIX 技術內幕》
- 《 A Quarter Century of UNIX 》
- 《黑客列傳:電腦革命俠客誌》







承先啟後的四個世代

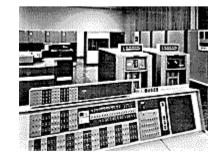
- 1st system (CTSS)
 - terrified of failure
 - simplified to bare bones
 - successful beyond its intended
 life-span
- 2nd system (Multics)
 - hugely ambitious
 - usually conceived by academics
 - many good ideas
 - a little ahead of its time
 - doomed to fail

- 3rd system (Unix)
 - pick and choose essence
 - usually made by good hackers
 - emphasize elegance and utility over performance and generality
 - become widely adopted
- 4th systems (BSD)
 - maturation

Source: CS560 – Advanced Operating Systems, Lecture 2: Multics and Unix

CTSS (Compatible Time-Sharing System; 1961-1973)

- MIT 推動 MAC 計畫 (Mathematics and Computation), 在 IBM 7090 主機上開發
- 可支援 32 使用者同時使用
- 實現了互動使令操作與除錯功能
- 硬體:
 - 0.35 MIPS; USD \$3.5 million (IBM 7094)
 - 32 KB Memory made up of 36-bit words
 - Monitor uses 5KB words, leaving 27KB for users
 - User memory swapped between memory and fast drum
- Multi-level feedback queue scheduling
 Source: http://www.multicians.org/thvv/7094.html



CTSS 的軟體特色

- 自批次系統移轉到多人分時
- 線上工作環境並提供線上儲存機制(雲端概念?)
- 互動的 debugging, shell, editor
- 多個使用者間沒有保護機制

Multics (1964-1969-1985)

- 最初作為 CTSS 系統的延伸
- 由 MIT, GE (正在發展大型主機), Bell Labs (不能販售電腦卻人才濟 濟的單位,負責承包軟體) 等單位合作開發

• 願景:

"As larger systems become available, they will be connected by telephone wires to terminals in offices and homes throughout the city. The operating system would be a time-sharing system running continuously with a vast file system, just like electricity and water services"

Multics

- 最初在 GE645 工作站開發
- 提出一套通用的 framework ,採用 PL/1 高階程式語言 開發
- 重新設計每項系統(《人月神話》的第二系統效應)
 - 客製化的硬體 + 新的程式語言 + 新的作業系統
- ・影響
 - 雖然從未被廣泛採納,但探索了扣除電腦網路以外, 幾乎所有作業系統設計的議題

PL/1 程式語言

- http://en.wikipedia.org/wiki/PL/I
- first specified in detail in the manual "PL/I Language Specifications. C28-6571" written in New York from 1965
- Sample program:

```
Hello2: proc options(main);
    put list ('Hello, world!');
end Hello2;
```

Multics 四大準則

- Naming and addressing
 - Combine virtual memory and file system
 - Filename + offset == Segment ID + offset
- Fine-grained sharing
 - Sharing procedures, not complete programs
- Dynamic Linking
 - Recompile without relinking
- Autonomy
 - Independent addresses for each application

UNIX



UNIX 公諸於世

"Perhaps the most important achievement of Unix is to demonstrate that a powerful operating system for interactive use need not be expensive...it can run on hardware costing as little as \$40,000." and less than two man-years were spent on the main system software."

- The UNIX Time-Sharing System, D. M. Ritchie and
 K. Thompson (1974),對 Multics的反思
 - http://cm.bell-labs.com/who/dmr/cacm.html

UNIX 兩大巨人

Kenneth Thompson

Born in New Orleans in 1943

Navy Brat

Graduates with B.S. and M.S.

(no Doctorate!) from UC

Berkley in 1966

Joins Bell Labs to work on

Multics

A mainframe timesharing

operating system

Dennis Ritchie

Born in Bronxville N.Y. in 1941

Graduates with B.S. in Physics,

M.S. and Doctorate in Applied

Math from Harvard in 1968

Doctoral Thesis was on

"Subrecursive Hierarchies of

Functions."

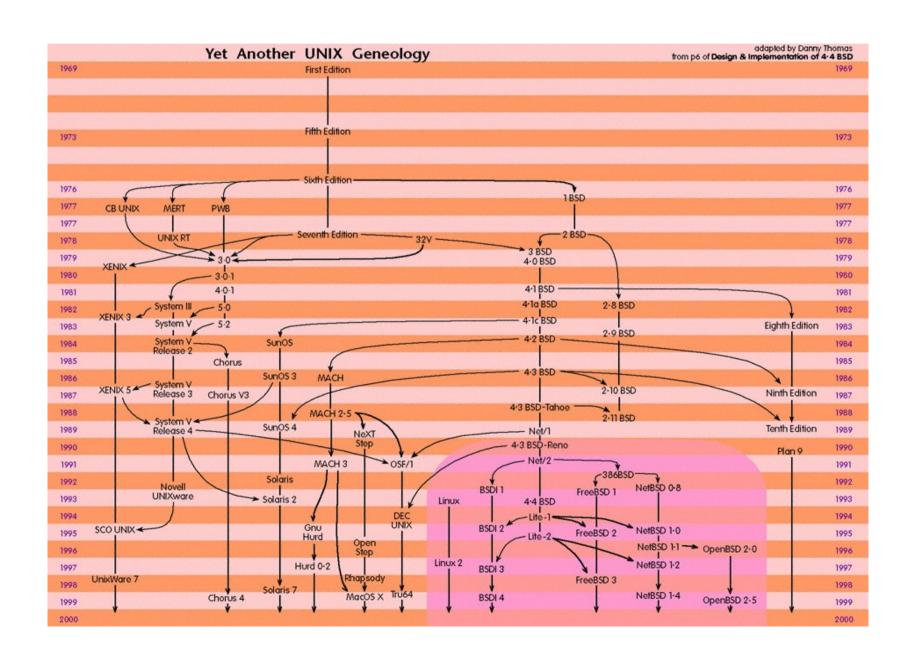
Followed his father to work at

Bell Labs



"My undergraduate experience convinced me that I was not smart enough to be a physicist, and that computers were quite neat" ~Dennis Ritchie

UNIX 家族



UNIX 背景

· 網路農夫的〈UNIX系統簡介〉:

```
http://www.farmer.idv.tw/?viewDoc=479
```

Micro implementations of Unix:

```
http://www.robotwisdom.com/linux/nonnix.html
```

The Strange Birth and Long Life of Unix

```
http://spectrum.ieee.org/computing/software/
the-strange-birth-and-long-life-of-unix/0
```

UNIX初期自由發展的背景

- 依據 1958 年為了解決反托拉斯法案達成的和解協議, AT&T 不 得進入電腦相關的商業領域,所以 UNIX 不能成為一種商品
- 並且依據和解協議, Bell Labs 必須將非電話業務的技術許可, 提供給任何提出要求者
- AT&T 的主管階層對當時 UNIX 的發展未有太多支持與干預
- 為了應付 Bell Labs 內各部門日益增加的 UNIX 使用者與相關技 術支援需求,成立 USG (UNIX System Group)

UNIX v6 廣泛的學術研究

"After 20 years, this is still the best exposition of the workings of a 'real' operating system."

Ken Thompson

Lions'

Commentary

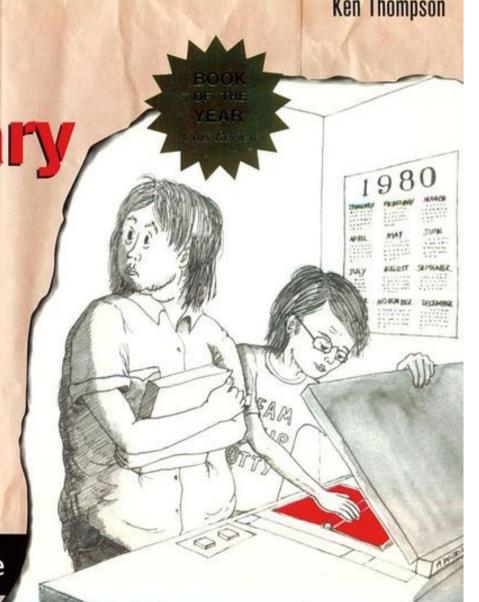
on UNIX®

6th Edition

with Source Code

John Lions

Foreword by Dennis Ritchie



UNIX v6 廣泛的學術研究

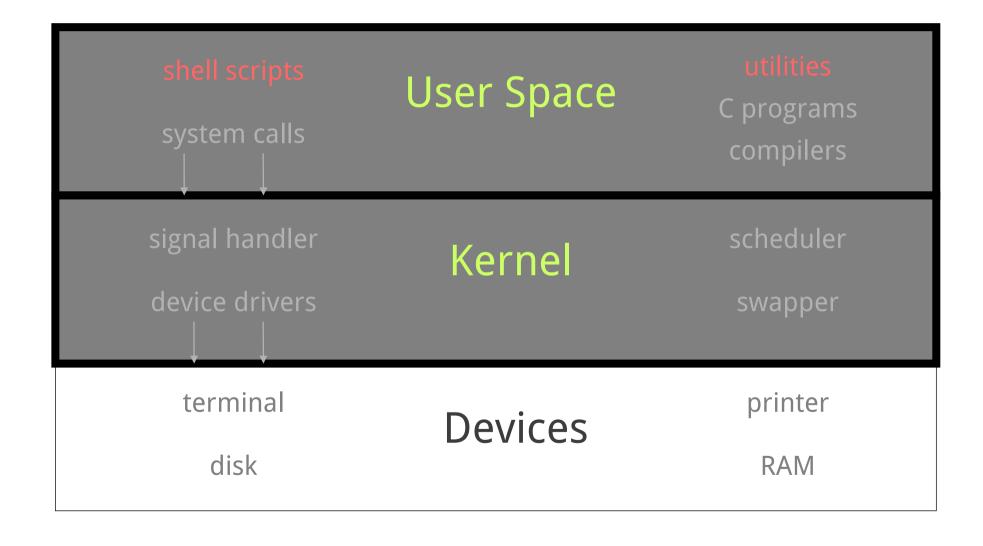
- 1976年新南威爾斯大學(也是催生 OKL4 與一系列重要作業系統研究的學術殿堂) John Lions 教授以註解的 UNIX v6 原始程式碼作為課堂教材,揭露 UNIX 簡潔又強大的設計
- AT&T 對 UNIX v7(含)之後的版本採取嚴苛的授權條件,而 v6 則是允許課堂使用的最後一個版本
- 在 1996 年再次印刷,至今仍販售
- 2002 年一月份, Caldera International (目前為 SCO)對以下
 UNIX 版本重新授權,變更為 BSD License,自此研究不再受限
 - UNIX/32V, UNIX Version 1-7
 - http://en.wikipedia.org/wiki/Ancient_UNIX

Mutlics 與 UNIX 簡短比較

- 研發資源投入量
 - ? Work years vs. 2 man-years
- 關鍵概念

Feature	Multix	Unix			
Key abstraction	Unify file = memory	Unify I/O = file			
Protection	rings (jump to memory)	suid (execute file)			
Sharing	N segments;	3 segments (text, heap, stack)			
	arbitrary sharing	text is shared (RO);			
		Communication between domains			
		via files, pipes			

UNIX 結構



UNIX 原始硬體組態

- 最早在 DEC PDP-7 主機上開發,稍候移到 PDP-11/20
- 在 PDP-11 之前的硬體並非以 byte (8 bits) 為基礎
 - PDP-7: 18-bit
 - PDP-6: 36-bit
 - PDP-5: 12-bit
- 為什麼當初會有 18-bit 與 36-bit 的系統呢?
 - "Many early computers aimed at the scientific market had a 36-bit word length. ...just long enough to represent positive and negative integers to an accuracy of ten decimal digits... allowed the storage of six alphanumeric characters encoded in a six-bit character encoding."

Source: http://en.wikipedia.org/wiki/36-bit

PDP-11 系列的硬體

- 高度正規化指令集的設計
 - 指令集中的定址模式可以視為一種「基底」
 - 指令集中的 opcode 則是另一個基底
- 16-bit 硬體,為 DEC 第一款使用 byte 操作的系統
- Unibus: CPU 對所有設備的存取皆經由單一 Bus
 - 所有設備都具有統一的定址規則,包含 memory, register, device register 等都具有統一的地址
- UNIX "First Edition" 運作於 PDP-11/20 型電腦
 - 512K bytes 硬碟
 - UNIX 提供 16K bytes 給系統、 8K bytes 給程式,檔案上線為
 64K bytes

程式語言變遷

- http://en.wikipedia.org/wiki/BCPL
- BCPL 最早用於撰寫其他程式語言的 compiler, 前身為
 CPL(Combined Programming Language), CPL 在 1960 年代前期提出,但首個編譯器在 1970 年實做)。 1967 年早期, Martin Richards 移除 CPL 之中不易實做的特性,在 IBM 7094 / CTSS 環境實做出第一個 BCPL 語言編譯器



BCPL程式語言

- Typeless
 - Everything an n-bit integer (a machine word)
 - Pointers (addresses) and integers identical
- Memory is an undifferentiated array of words
- Natural model for word-addressed machines
- Local variables depend on frame-pointer-relative addressing: dynamically-sized automatic objects not permitted
- Strings awkward
 - Routines expand and pack bytes to/from word arrays

Source: The C Language, Prof. Stephen A. Edwards

從B語言演化

- (from Wikipedia) Like BCPL and FORTH, B had only one datatype: the computer word. Most operators (e.g., +, -, *, /) treated this as an integer, but others treated it as a memory address to be dereferenced.
- The typeless nature of B made sense on older computers, but was a problem on the PDP-11 because it was difficult to elegantly access the character data type that the PDP-11 and most modern computers fully support.
- During 1971 and 1972 B evolved into "New B" and then C, with the preprocessor being added in 1972. (B lacks of structures.)

```
extrn putchar;
auto a;
```

PDP-11 was byte-addressed (now standard).
Meant BCPL's word-based model was insufficient

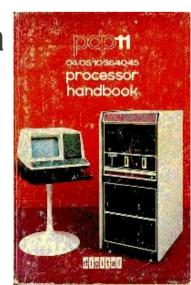
```
if(a=n/b) /* assignment, not test for equality */
    printn(a, b); /* recursive */
putchar(n%b + '0');
```

C語言與 PDP-11

- PDP-11 was byte-addressed.
- Pointer arithmetic is natural: everything is an integer int *p, *q;
 *(p+5) equivalent to p[5]
- If p and q point into same array, p q is number of elements between p and q.
- Accessing fields of a pointed-to structure has a shorthand:

```
p->field means (*p).field
```

Mapped to PDP-11 instructions transparently



C 語言與 PDP-11

透過 PDP-11 的暫存器定址模式的增值 / 減值語法,在
 C 語言中,若 i 與 j 都是 register variable,那麽
 *(--i) = *(j++)

指令可編譯為單一機器碼指令

- 由於 PDP-11 對單精確與雙精確浮點數沒有不同的運算碼, 造成 C 語言中缺乏單精確浮點數運算的運算模式
- 由 BCPL, B, 一直過渡到 C 語言的設計考量,可見
 Dennis Ritchie 撰寫的〈 The Development of the C
 Language〉
 - http://cm.bell-labs.com/cm/cs/who/dmr/chist.html

V7: Portable UNIX

- 執行於 PDP-11 及 Interdata 8/32 型電腦
- Porting required:
 - write a C compiler for the new machine,
 - write device drivers for the new machine's I/O devices such as printers, terminals, disks
 - Small amount of machine-dependent code such as the interrupt handlers, memory management routines must be rewritten, usually in assembly code
- Problem: Ritchie's compiler good, but produced PDP-11 object code.
 - Steve Johnson at Bell Labs implemented portable C compiler, called also Johnson's compiler

UNIX V32 與 DEC VAX

- 當時 DEC 公司推出了一款 32-bit supermini 主機 VAX, 搭配的 VAX 的作業系統為 VMS
- Bell Labs 的工程師們寧願使用 UNIX ,移植工作由 John Reiser 和 Tom London 共同完成。以 V7 為基礎移植 UNIX 到 VAX 電腦 上使用,這個版本稱為 UNIX V32



System introduced in 1977

VAX – the "Virtual Address eXtension" of the PDP-11's 16-bit architecture to a 32 bit architecture

作業系統尺寸比較

Year	AT&T		BSD		MINIX	Linux		Solaris	Win	Win NT	
1976	V6	9K									
1979	V7	21K									
1980			4.1	38K							
1982	Sys III	58K									
1984			4.2	98K							
1986			4.3	179K							
1987	SVR3	92K			1.0 13K						
1989	SVR4	280K									
1991						0.01	10K				
1993			Free 1.0	235K				5.3 850K	3.1	6M	
1994			4.4 Lite	743K		1.0	165K		3.5	10M	
1996						2.0	470K		4.0	16M	
1997					2.0 62K			5.6 1.4M			
1999						2.2	1 M				
2000			Free 4.0	1.4M				5.8 2.0M	2000	29M	

UNIX 標準化

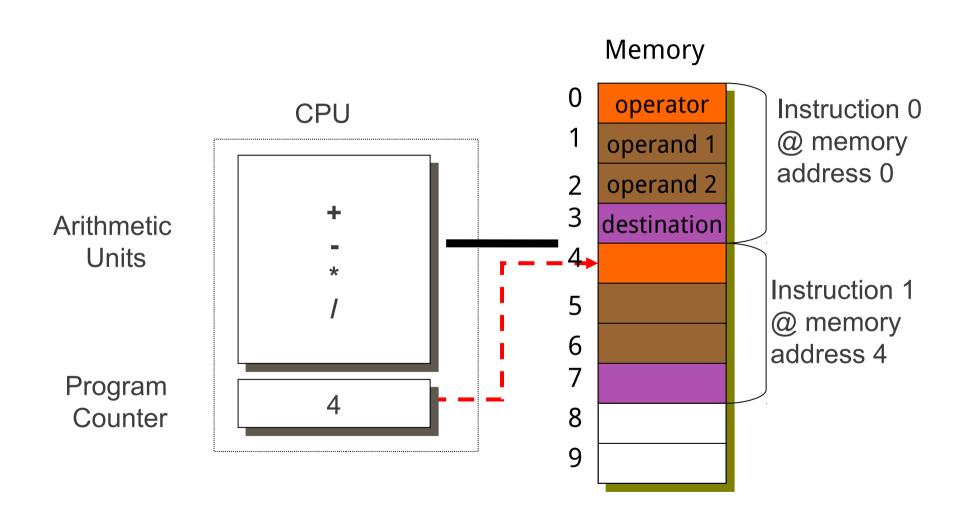
- POSIX: Portable Operating System Interface
- POSIX is a popular standard for Unix-like operating systems.
- POSIX is actually a collection of standards that cover system calls, libraries, applications and more...
- POSIX 1003.1 defines the C language interface to a Unix-like kernel.

基礎 PDP-11 指令集

系統程式開發的觀點,看電腦組成

- CPU
 - Register
 - General-purpose register
 - Stack pointer: The top of the stack position
 - Program counter: Position of the instruction to be processed next
 - Flag register: Part of the operation result storage
 - Control / Status Register
- Memory
- 外部記憶

電腦模型概念



簡化的 CPU 保護模式

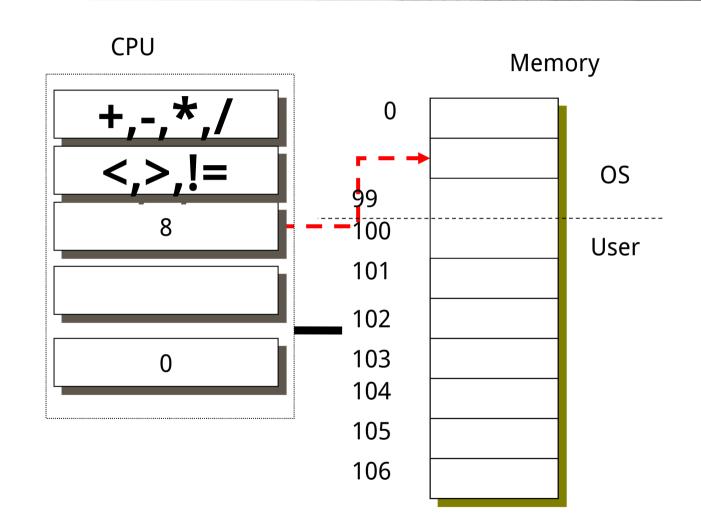
Arithmetic Units

Logic Units

Program Counter

Registers 0-31

Mode register



Examples: Data Movement

Instruction	Meaning	Machine
MOV A, B	Move 16 bits from memory location A to Location B	VAX11
LDA A, Addr	Load accumulator A with the byte at memory M6800 location Addr	M6800
lwz R3, A	Move 32-bit data from memory location A to register R3	PPC601
li \$3, 455	Load the 32-bit integer 455 into register \$3	MIPS R3000
mov R4, dout	Move 16-bit data from R4 to output port dout	DEC PDP11
IN, AL, KBD	Load a byte from in port KBD to accumulator	Intel Pentium
LEA.L (A0), A2	Load the address pointed to by A0 into A2	M6800

Source: Computer Systems Design and Architecture by V. Heuring and H. Jordan

Examples: ALU

Instruction	Meaning	Machine
MULF A, B, C	multiply the 32-bit floating point values at mem loc'ns. A and B, store at C	VAX11
nabs r3, r1	Store abs value of r1 in r3	PPC601
ori \$2, \$1, 255	Store logical OR of reg \$ 1 with 255 into reg \$2	MIPS R3000
DEC R2	Decrement the 16-bit value stored in reg R2	DEC PDP11
SHL AX, 4	Shift the 16-bit value in reg AX left by 4 bit pos'ns	Intel 8086

Examples: Branch

Instruction	Meaning	Machine
BLSS A, Tgt	Branch to address Tgt if the least significant bit of mem loc'n. A is set (i.e. = 1)	VAX11
bun r2	Branch to location in R2 if result of previous floating point computation was Not a Number (NAN)	PPC601
beq \$2, \$1, 32	Branch to location (PC + 4 + 32) if contents of \$1 and \$2 are equal	MIPS R3000
SOB R4, Loop	Decrement R4 and branch to Loop if R4 ≠ 0	DEC PDP11
JCXZ Addr	Jump to Addr if contents of register CX ≠ 0	Intel 8086

PDP-11/40

- Register
 - 通用 16bit register 共 8 組: r0-r7
 - r6 is the stack pointer (sp)
 - r7 is the program counter (pc)
- Processor Status Word
 - Flag register + status register
- Memory
 - virtual address width: 16bit
 - physical address width: 18bit

為有效使用 physical 記憶體空間, 透過 Page 機制,將 virtual 記憶體 對映到 physical 空間

最大 64Kbyte

最大 256Kbyte

Register r0-r5

- r0, r1
 used as temporary accumulators during expression evaluation, to return
 results from a procedure, and in some cases to communicate actual
 parameters during a procedure call
- r2, r3, r4
 used for local variables during procedure execution. Their
 values are almost always stored upon procedure entry, and re-
- stored upon procedure exit
- r5 (frame pointer)
 used as the head pointer to a "dynamic chain" of procedure
 activation records stored in the current stack. It is referred to as
 the "environment pointer".

Register r6-r7

r6 (stack pointer)

used as the stack pointer. The PDP11/40 processor incorporates two separate registers which may be used as "sp", depending on whether the processor is in kernel or user mode. No other one of the general registers is duplicated in this way

r7 (pc)
 used as the program instruction address register.

PDP-11 記憶體定址

- 16-bit words, 8-bit bytes; byte-addressable to 64 K bytes; little-endian
- "effective address" (EA)
 - Given an ADD instruction, with two operands,
 - we get two effective addresses, and the meaning of the instruction will be EA1 <- [EA1]+[EA2].

name	assembly syntax	EA and other semantics
register	Ri	Ri
autoincrement	(Ri)+	[Ri], then Ri<-[Ri]+2 or 1
autodecrement	-(Ri)	first Ri<-[Ri]-2 or 1, then EA=new [Ri]
index	n(Ri)	[[R7]]+[Ri], then inc PC by 2 (n follows in next memory word)

++/--operator的由來

- 〈 The Development of the C Language 〉的解釋:
 - "Thompson went a step further by inventing the ++ and -- operators, which increment or decrement; their prefix or postfix position determines whether the alteration occurs before or after noting the value of the operand. They were not in the earliest versions of B, but appeared along the way. People often guess that they were created to use the auto-increment and auto-decrement address modes provided by the DEC PDP-11 on which C and Unix first became popular."
- ++ / -- operator 設計動機肇因於 PDP-11 的 auto-increment

C語言版本之最大公因數(輾轉相除法)

```
int gcd(int m, int n)
  int r;
  while ((r = m % n) != 0) {
    m = n;
    n = r;
                                     Excess
                                     arguments
                                     simply ignored
  return n;
                          n
                          m
         Frame
                        ret addr
         pointer
                                     Stack
                                     pointer
```

Automatic variable

Storage allocated on stack when function entered, released when it returns.

All parameters, automatic variables accessed without frame pointer.

Extra storage needed while evaluating large expressions also placed on the stack

C語言版本之 GCD

```
int gcd(int m, int n)
{
  int r;
  while ( (r = m % n) != 0) {
    m = n;
    n = r;
  }
  return n;
```

Each function returns a single value, usually an integer. Returned through a specific register by convention.

High-level control-flow statement. Ultimately becomes a conditional branch.

Supports "structured programming"

GCD 在 PDP-11 架構的編譯輸出

```
.globl _gcd
                     / PC is r7, SP is r6, FP is r5
.text
gcd:
  jsr r5, rsave / save sp in frame pointer r5
L2:mov 4(r5), r1 / r1 = n
  sxt r0
                   / sign extend
                                        int gcd(int m, int n)
  div 6(r5), r0 / m / n = r0, r1
                                          int r;
  mov r1,-10(r5) / r = m % n
                                          while ((r = m % n) != 0) {
                                           m = n;
  jeq L3
                                           n = r;
  mov 6(r5), 4(r5) / m = n
                                          return n;
  mov -10(r5), 6(r5) / n = r
  jbr L2
L3:mov 6(r5),r0 / return n in r0
  jbr L1
L1:jmp rretrn
                 / restore sp ptr, return
```

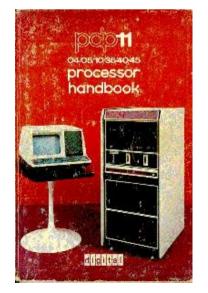
GCD 在 PDP-11 架構的編譯輸出

```
.globl _gcd
.text
gcd:
   jsr r5, rsave
L2:mov 4(r5),r1
   sxt r0
   div 6(r5),r0
       r1,-10(r5)
  mov
   jeq L3
        6(r5), 4(r5)
  mov
        -10(r5),6(r5)
  mov
       L2
   jbr
L3:mov 6(r5),r0
   jbr
        L1
L1:jmp
        rretrn
```

Very natural mapping from C into PDP-11 instructions.

Complex addressing modes make frame-pointer-relative accesses easy.

Another idiosyncrasy: registers were memory-mapped, so taking address of a variable in a register is straightforward.



Processor Status Word (PSW)

```
CURRENT MODE*

PREVIOUS MODE*

PRIORITY

CONDITION CODES

* MODE: 900-KERNEL

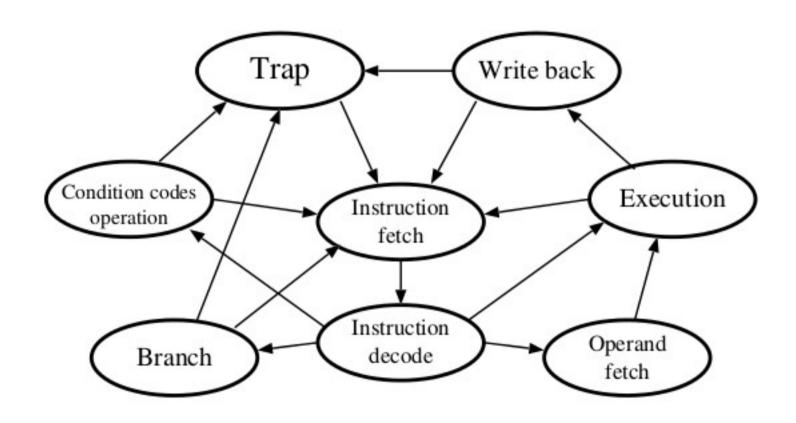
11 *USER
```

```
14-15
        current mode (00 = \text{kernel}; 11 = \text{user})
12-13
        previous mode
5-7
        processor priority (range 0..7)
4
        trap bit
3 N
        set if the previous result was negative
2 Z
        set if the previous result was zero
1 V
        set if the previous result gave an overflow
        set if the previous operation gave a carry
0 C
```

CPU Processing

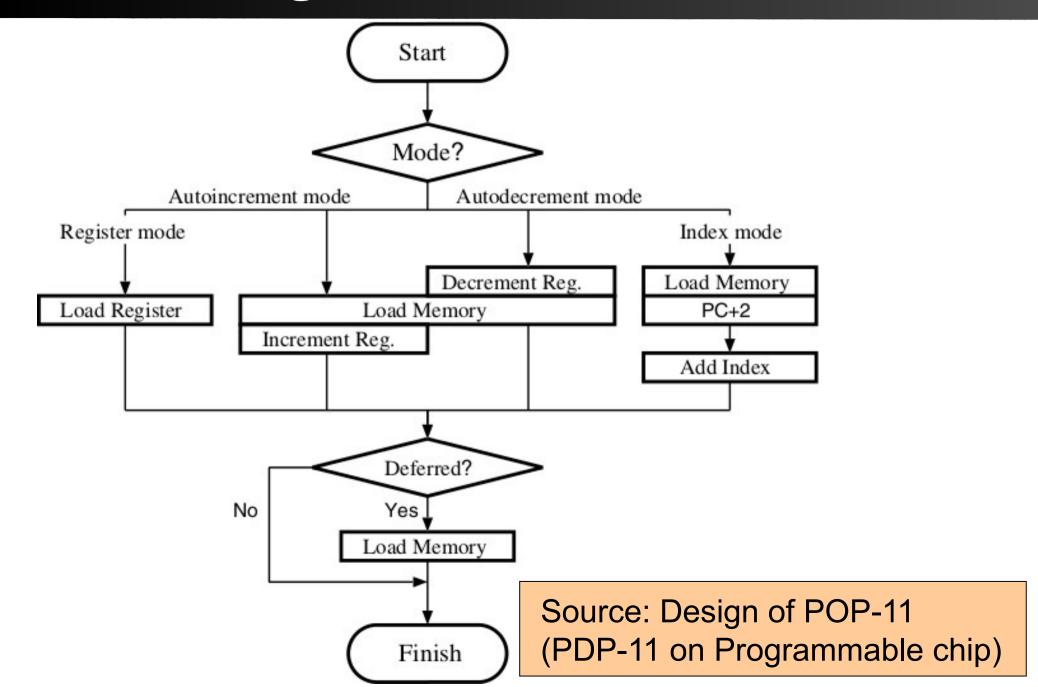
- Repeat the following
 - Fetch instructions from the location (address) indicated by the PC
 - Decode
 - Execute
- The following events occur as a side effect of execution
 - Rewrites PSW
 - Rewrite PC
 - Rewrite register
 - Or rewrite the contents of the memory

State transition of PDP-11/40



Source: Design of POP-11 (PDP-11 on Programmable chip)

Addressing model of PDP-11/40



CPU Processing: Misleading point

- PC is also a kind of register
 - Jump to rewrite the PC and processing (r7)
- Affected by Comparisons and another branch instruction

原始 C 語言與 ANSI C 的落差

原始C語言:運算符號與型態長度

- · +=, -=, /= 一類的運算符號寫法不同, 記為 =+, =-, =/
- 當時沒有 long 型態,為描述長度大於 1 個 word (16-bits) 的資料時,往往藉由 2 個變數來存放。如 inode.h

```
5659: struct inode {
...

5670: char i_size0; /* most significant of size */
5671: char *i_size1; /* least sig */
```

• 或者用兩個 word 來模擬一個 32-bit 整數

原始C語言:指標操作

- ANSI C 語言為強制型態的程式語言,但原始的 C 語言則略為鬆散。型態的落差,反映於指標 (pointer) 的操作
- 對指標型態鬆散處理的好處是,使用可以很靈活,如:

 PS僅是個常數地址,無需定義即可透過指標方式進行 存取,並且透過一個匿名 struct, PS 得到指標類型: 指向 int 的指標

原始 C 語言:鬆散的指標型態

• 指標型態鬆散處理的另一個例子: (slp.c)

```
2156: setpri(up)
2157: {
2158:          register *pp, p;
2159:
2160:          pp = up;
2161:          p = (pp->p_cpu & 0377)/16;
2162:          p =+ PUSER + pp->p_nice;
```

• 指標 register *pp 在未作任何轉型的狀態,即可存取 到 p_ 開頭的結構成員,而這原本是定義在 struct proc (位於 proc.h)

原始C語言:鬆散的指標型態

- 依據 UNIX Version 6 的 C Reference Manual, 作以下解說:
 - 7.1.8 primary-expression -> member-of-structure
 The primary-expression is assumed to be a pointer which points to an object of the same form as the structure of which the member-of-structure is a part. The result is an Ivalue appropriately offset from the origin of the pointed-to structure whose type is that of the named structure member. The type of the primary-expression need not in fact be pointer, it is sufficient that it be a pointer, character, or integer.
- 與 ANSI C 的規範不同,原始 C 語言的 -> 操作著重於「基於特定 offset 的資料存取」,而成員名稱只是用以表示 offset

Function call & Stack

C語言的記憶體配置

- text
 - 保存執行的 instructions
 - 禁止改寫
- data
 - data area is initialized with a non-zero
- bss
 - data area is initialized with 0
- Stack
 - 保存自動 (auto) 變數
 - Register save area at the time of the function call

text
data
bss

stack

宣告變數時的記憶體配置

```
a.out 格式
                                               執行時期
             // Bss
int i;
                                               (記憶體)
int j = 1; // Data
                                    header
                                     text
                                                text
main()
                                     data
                                                data
                                                bss
    static int k = 1; // Data
    int l = 1;
                       // Stack
                         // Stack
    int m;
                                               stack
```

Function calls and stack in C

```
main()
                          int c, d;
    int e, f, r;
                          func(a, b)
    e = 1;
    f = 2;
                               c = a;
    r = func(e, f);
                              d = b;
                               return c + d;
```

Function and stack in namelist

```
# nm -n a.out
000000a 0x00 crt0.0
000000t start
000004a a
000006a b
000030T 0x18 main
000030a src.o
000030t ~main
000102T 0x42 func
000102t ~func
000140T 0x60 exit
000140a exit.o
000152T 0x6a csv
000152a csv.o
```

```
000170T cret 0x78
000206B _c
000210B d
000212B savr5
177764a r
177766a f
177770a e
```

Function and stack in Assembly

```
.globl main
                                       .globl
                                       .comm _c,2
.text
main:
                                       .globl _d
                                       .comm _d,2
~~main:
~e=177770
                                       .globl func
\sim f = 177766
                                       .text
\sim r = 177764
                                       func:
jsr r5,csv
                                       ~~func:
sub $6, sp
                                       \sima=4
   $1,-10(r5)
                                       \simb=6
mov
    $2,-12(r5)
                                       jsr r5,csv
mov
    -12(r5), (sp)
                                       mov 4(r5), c
mov
    -10(r5), -(sp)
                                       mov 6(r5), d
mov
    pc,*$_func
jsr
                                              c,r0
                                       mov
                                              d,r0
tst
    (sp)+
                                       add
   r0,-14(r5)
                                       jbr
                                              L2
mov
L1:
      jmp cret
                                       L2:
                                              jmp cret
                                       .globl
                                       .data
```

csv: Function entry

```
CSV:
       main:
                                                r5, r0
                                         mov
                                                 sp,r5
                                         mov
                jsr r5,csv
                                                 r4,-(sp)
                                         mov
                                                r3, -(sp)
                                         mov
                                                 r2, -(sp)
                                         mov
                                         tst
                                                 -(sp)
                                         qmŗ
                                                 (r0)
     r0 r1 r2 r3 r4 r5 r6:sp r7:pc
--- 0484, 0000, 0000, 0000, 0000, 0000, 047e, 0018 jsr r5,006a
--- 0484, 0000, 0000, 0000, 0000, 001c, 047c, 006a mov r5,r0
---- 001c, 0000, 0000, 0000, 001c, 047c, 006c mov sp,r5
--- 001c, 0000, 0000, 0000, 0000, 047c, 047c, 006e mov r4,-(r6)
-z-- 001c, 0000, 0000, 0000, 0000, 047c, 047a, 0070 mov r3,-(r6)
-z-- 001c, 0000, 0000, 0000, 047c, 0478, 0072 mov r2,-(r6)
-z-- 001c, 0000, 0000, 0000, 0000, 047c, 0476, 0074 tst -(r6)
-z--001c, 0000, 0000, 0000, 0000, 047c, 0474, 0076 jmp (r0)
```

csv: Function exit

```
r0 r1 r2 r3 r4 r5 r6:sp r7:pc
---- 0003, 0462, 0000, 0000, 0000, 047c, 046e, 003e jmp 0078
---- 0003, 0462, 0000, 0000, 0000, 047c, 046e, 0078 mov r5,r1
---- 0003, 047c, 0000, 0000, 0000, 047c, 046e, 007a mov -(r1),r4
-z-- 0003, 047a, 0000, 0000, 0000, 047c, 046e, 007c mov -(r1),r3
-z-- 0003, 0478, 0000, 0000, 0000, 047c, 046e, 007e mov -(r1),r2
-z-- 0003, 0476, 0000, 0000, 0000, 047c, 046e, 0080 mov r5,r6
---- 0003, 0476, 0000, 0000, 0000, 047c, 047c, 0082 mov (r6)+,r5
-z-- 0003, 0476, 0000, 0000, 0000, 047e, 047e, 0084 rts 0084
```

JSR: Jump to SubRoutine

- jsr src,dst
- 等價於以下指令
 - MOV src,-(R6) src (push onto the stack)
 - MOV PC,src (Transfer to PC of the next instruction src)
 - JMP dst (jump to the dst)
- jsr r5, 0x006a 作以下處理
 - push r5, saves the return address (= PC, the address of the next instruction) in r5
 - jumps to the address of 0x006a
 - It follows that if r5 is the PC, jsr simply pushes the PC and jumps.

RTS – ReTurn from Subroutine

rts src

等價於以下指令

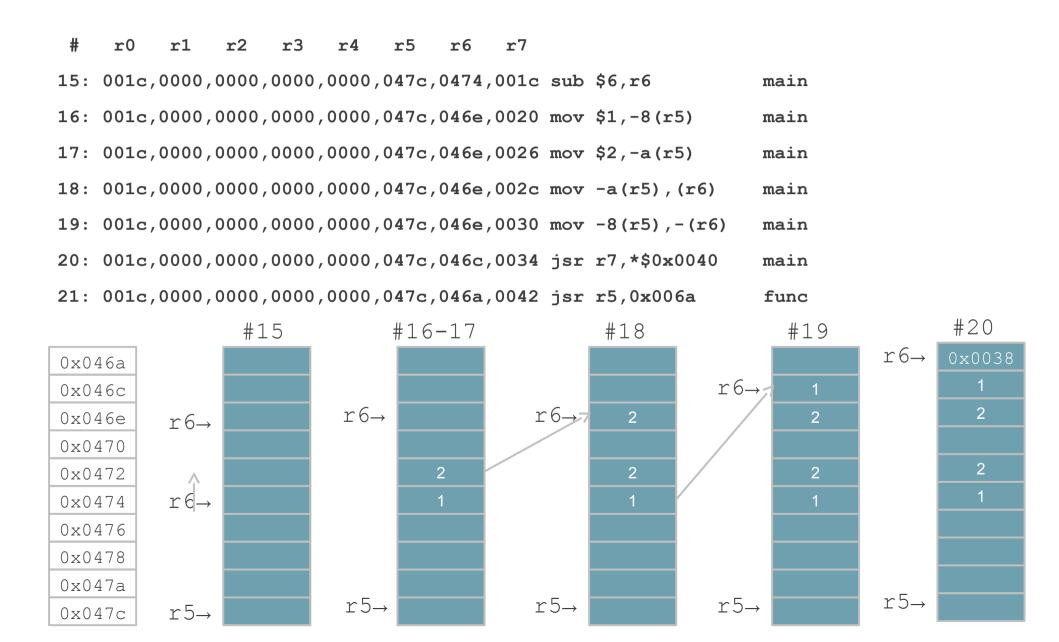
- MOV src,PC
- MOV (R6)+,src
- rts r7 作以下處理
 - restores the PC from r7 and pops r7.
 - It follows that if r7 is the PC, rts just pops it.
 - Jump by rewriting the pc

Subroutine Linkage and Jump

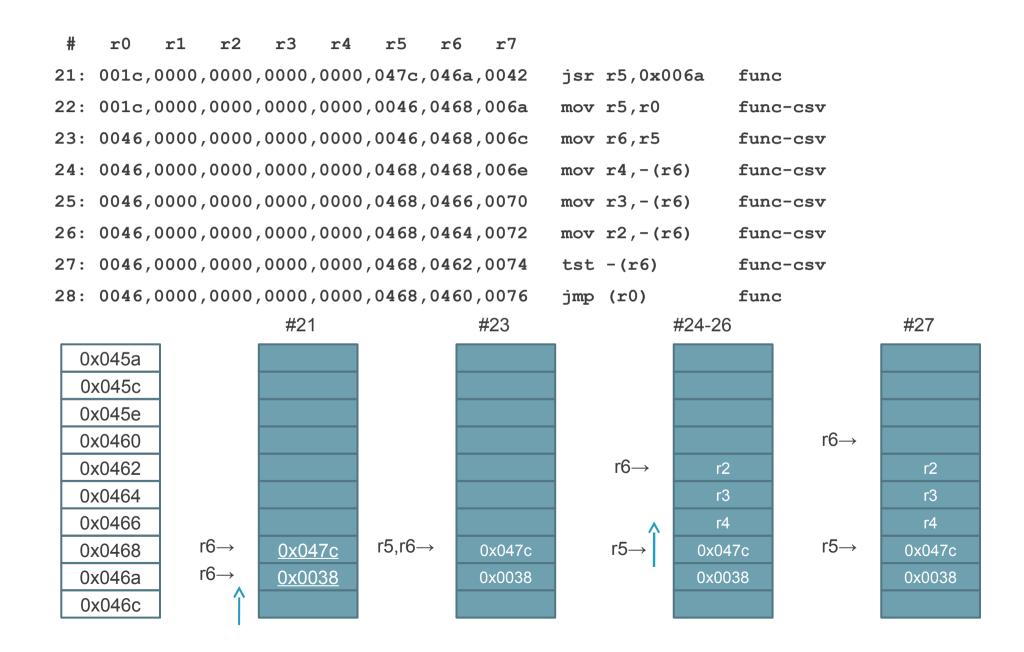
Instruction	Read + Machine code	Operations in C
jmp	jump 0001 dd	PC = &d (a-mode > 0)
rts	return from subroutine 00020 r	PC = R ; R = *SP++
jsr	jump subroutine 004 r dd	*SP = R ; R = PC ; PC = &d (a-mode > 0)
mark	mark 0064 nn	SP = PC + 2 * nn ; PC = FP ; FP = *SP++
sob	subtract one 077 r nn	R = R - 1; if $R! = 0 : PC = PC - 2 * nn$

Source: PDP-11 - CPU, BA Stuttgart

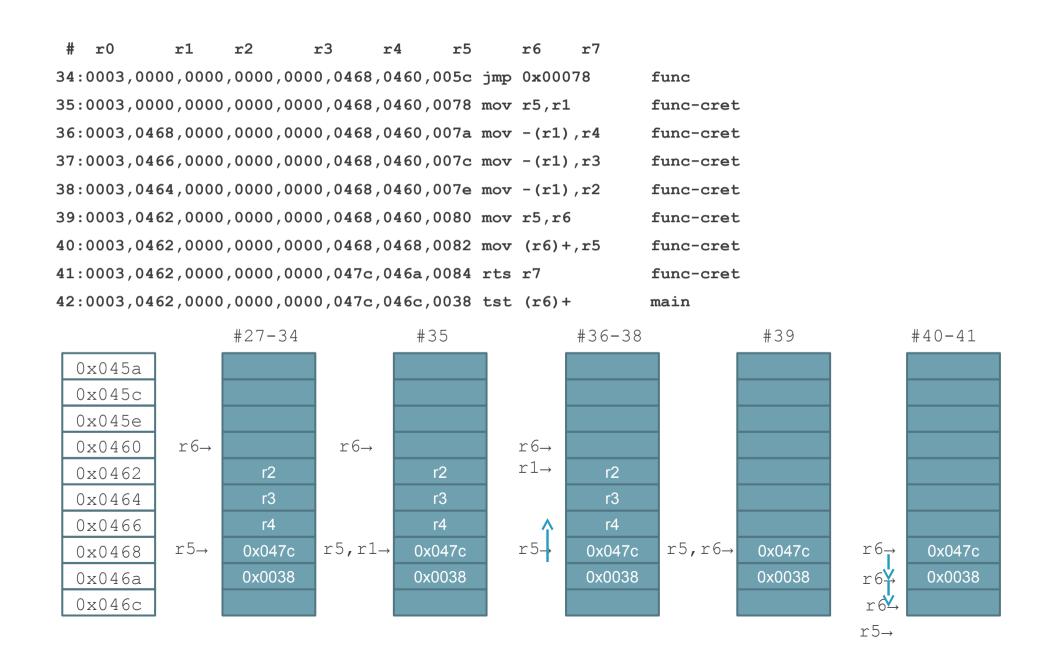
main 引數的設定



func 進入點 (func-csv)

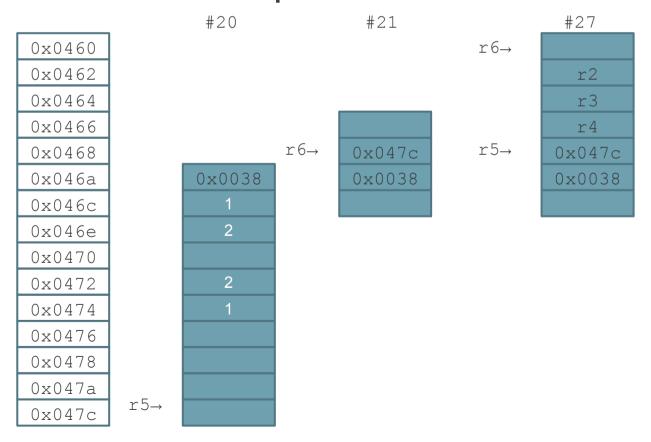


func 離開點 (func-cret)



Function & Stack

- SP: Stack now r6
- R5 previous stack
- chain with a pair of r6: r5



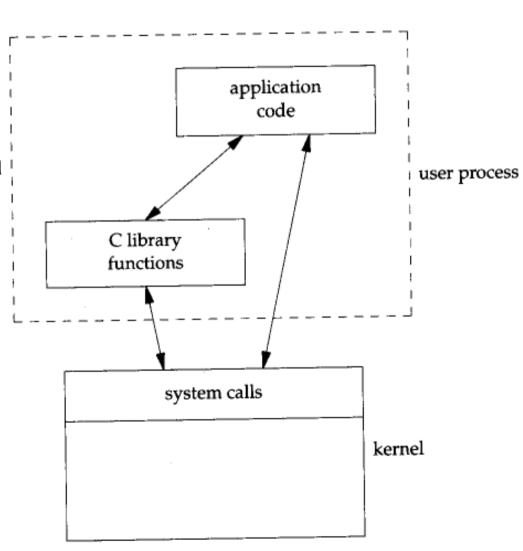
Assembly language & C execution environment

- asm instruction sequence generated from the stack does not require extra stack
- Instruction sequence generated from the C language,
 you need a stack at run time
 - Passing Arguments use area
 - Area of "auto" variable
 - Save area (r2, r3, r4) register the caller
 - Save area of the return address from the callee

System Call

System Call

- The kernel implements a set of special routines
- A user program invokes a routine in the kernel by issuing a hardware TRAP
- The trap switches the CPU into a privileged mode and the kerne executes the system call
- The CPU goes back to user mode
- A C language API exists for all system calls



C function call: getpid(2)

```
main()
{
    int i;
    i = getpid();
}
```

getpid(2) 對應的組合語言

```
.globl main
.text
   main:
   ~~main:
   ~i=177770
   jsr r5,csv
   tst -(sp)
   jsr pc,_getpid
   mov r0,-10(r5)
L1:
   jmp
         cret
.globl
.data
```

getpid.s [/usr/source/s4/getpid.s]

```
getpid = 20.
.globl _getpid
getpid:
       mov r5,-(sp)
             sp,r5
       mov
             getpid
       sys
           (sp)+,r5
       mov
       rts
                pc
```

getpid.s 的執行追蹤

```
3: 001c, 0000, 0000, 0000, 0000, 0446, 043c, 001e jsr r7, 0x00034
4: 001c, 0000, 0000, 0000, 0000, 0446, 043a, 0034 mov r5, -(r6)
5: 001c, 0000, 0000, 0000, 0000, 0446, 0438, 0036 mov r6,r5
6: 001c, 0000, 0000, 0000, 0000, 0438, 0438, 0038 sys getpid
7: 001c, 0000, 0000, 0000, 0000, 0438, 0438, 003a mov (r6)+, r5
8: 001c, 0000, 0000, 0000, 0000, 0446, 043a, 003c rts r7
```

C function call: open(2)

```
main()
{
    int f;
    f = open("hoge", 2);
}
```

open(2) 對應的組合語言

```
.globl main
.text
   main:
   ~~main:
   ~f=177770
   jsr r5,csv
   tst -(sp)
         $2,(sp)
   mov
         $L2,-(sp)
   mov
   jsr
         pc,*$ open
            (sp)+
   tst
             r0,-10(r5)
   mov
L1: jmp cret
.globl
.data
L2:.byte 150,157,147,145,0
```

Open.S [/usr/source/s5/open.s]

```
globl _open, cerror
open:
    mov r5, -(sp)
    mov sp,r5
    mov 4(r5),0f
    mov 6(r5), 0f+2
    sys 0; 9f
    bec 1f
    jmp cerror
1:
    mov (sp) + r5
    rts pc
.data
9:
sys open;
0: ..;
```

open.s 的執行追蹤

```
#
                      r3
                                  r5
    r0
          r1
                r2
                            r4
                                        r6
                                              r7
1: 001c, 0000, 0000, 0000, 0000, 0482, 0474, 0034
                                                   mov r5, -(r6)
2: 001c, 0000, 0000, 0000, 0000, 0482, 0472, 0036
                                                    mov r6,r5
3: 001c, 0000, 0000, 0000, 0000, 0472, 0472 0038
                                                    mov 4(r5), 0x008e
4: 001c, 0000, 0000, 0000, 0000, 0472, 0472, 003e
                                                    mov 6(r5), 0x0090
5: 001c, 0000, 0000, 0000, 0000, 0472, 0472, 0044
                                                    sys indir 0x0008c
6: 0003, 0000, 0000, 0000, 0000, 0472, 0472, 0048
                                                   bcc 0x0004e
7: 0003, 0000, 0000, 0000, 0000, 0472, 0472, 004e
                                                   mov (r6) +, r5
8: 0003, 0000, 0000, 0000, 0000, 0482, 0474, 0050
                                                    rts
```

System call & library call

- Library
 - Manual section 3
 - 例 fopen(3)
 - Behavior in the space of the user program
 - System calls as necessary
- System Call
 - Manual section 2
 - 例: open(2)
 - 呼叫 OS 內部處理
 - How to call and Call / Return different from normal

Exception & Interrupt

Exceptions & Interrupts

Trap

- Result of unexpected internal CPU events like hardware or power failures.
- A user mode program can explicitly use trap as part of a system call.
- Ranked top priority.

Interrupt

- Controllers of peripheral devices interrupt CPU for some operating system service.
- This is caused by an event external to CPU.
- Handled by a priority based scheme.
- Teletype, paper tape, line printer, magnetic disk, clock

Trap/Exception

Flow of exception

- Interrupt / exception
- Save the context
 - Temporarily stored on the PC and PSW internal CPU
 - Identify the factors of development, factors: search (vector) of the address table
 - Sets the PC to the vector
 - Saved in the kernel stack (push) PC had been stored temporarily, the PSW
- Execution of handler
- Restore the context
 - Instruction is executed in the state rtt PC, PSW is stored in the kernel stack
 - instructions from the kernel stack rtt restore (pop) PC, the PSW
- Execute the next instruction of an interrupt occurs

Trap

- Also called software interrupts
 - Bus errors
 - Illegal instructions
 - Segmentation exceptions
 - Floating exceptions
 - System calls
- The operating system
 - Captures the trap
 - Identifies the trap
 - If system calls, performs the requested tasks
 - Possibly sends a signal back to the user program.

Exception vectors

Vector Location	Trap type	Priority
004	Bus timeout	7
010	Illegal instruction	7
014	bpt-trace	7
020	iot	7
024	Power failure	7
030	Emulator trap	7
034	Trap instruction/ system entry	7
114	11/70 parity	7
240	Programmed interrupt	7
244	Floating point error	7
250	Segmentation violation	7

Hint: obtain Lions' source listing: http://www.tom-yam.or.jp/2238/src/

Line #500 [low.s]

```
0500 / low core
0501
0505 \text{ br7} = 340
0506
0507 . = 0^{.}
0508 br 1f
0509 4
0510
0511 / trap vectors
0512
      trap; br7+0. / bus error
0513
      trap; br7+1. / illegal instruction
0514
      trap; br7+2. / bpt-trace trap
0515
      trap; br7+3. / iot trap
0516
      trap; br7+4. / power fail
0517
     trap; br7+5. / emulator trap
0518
      trap; br7+6. / system entry
```

Line #752 [m40.s]

```
0752 .globl trap, call
0753 /* ----- */
0754 .globl _trap
0755 trap:
0756
     mov PS, -4(sp)
0757 tst nofault
0758
     bne 1f
0759
     mov SSR0,ssr
0760
     mov SSR2,ssr+4
0761
     mov $1,SSR0
0762 jsr r0, call1; trap
0763 / no return
```

Line #2693 [trap.c]

```
2693 trap(dev, sp, r1, nps, r0, pc, ps)
2694 {
2695
        register i, a;
2696
        register struct sysent *callp;
2697
2698
        savfp();
2699
        if ((ps&UMODE) == UMODE)
2700
            dev = | USER; 2750
2702
        switch(dev) {
2715
       default:
2716
           printf("ka6 = %oYn", *ka6);
2717
           printf("aps = %o\formation\formation", &ps);
2718
           printf("trap type %o\u00ean", dev);
2719
           panic("trap");
2721
       case 0+USER: /* bus error *
2722
            i = SIGBUS;
2723
           break;
```

Line #2751 [trap.c]

```
2751 case 6+USER: /* sys call */
2752 u.u_error = 0;
2753 ps =& ~EBIT;
2754 callp = &sysent[fuiword(pc-2)&077];
```

- PC is the address following the instruction fell into the "trap"
- Pull the two 2-byte instruction
- Reading (2 bytes) word from user space
- Retrieve the lower 6 bits
- Looking at the index sysent then this value

Line #2906 [sysent.c]

```
2906 * to the appropriate routine for processing a system call.
2907 * Each row contains the number of arguments
2908 * and a pointer to the routine.
2909 */
2910 int sysent[]
2911 {
2912 0, &nullsys, /* 0 = indir */
2914 0, &fork, /* 2 = fork */
2915 2, &read, /* 3 = read */
2916 2, &write, /* 4 = write */
2917 2, &open, /* 5 = open */
```

System call 號碼

- indir = 0.
- exit = 1.
- fork = 2.
- read = 3.
- write = 4.
- open = 5.
- close = 6.
- wait = 7.
- creat = 8.
- . . .

System call 處理方式

- C 語言敘述 open("aa", 2)
- 透過 libc 的 sys 5 來執行
 - sys 為 emulator trap instruction
- 切換到 kernel space , 並取得系統呼叫號碼 5
- Locate and open process in the kernel sysent in [5]
- · 呼叫核心的 open 操作

Interrupts

Interrupt vector

Vector Location	device	priority
060	Teletype input	4
064	Teletype output	4
070	Paper tape input	4
074	Paper tape output	4
100	Line clock	6
104	Programmable clock	6
200	Line printer	4
220	RK disk driver	5

Teletype?



Paper type?





Clock: line and programmable

- Line Clock
 - 電源藉由週期震盪取得
 - AC > 降壓變壓 > 整流 > 電容
 - Allowed to retrieve the pulse frequency of power
 - 20ms interval is 50HZ
 - old digital clock generates a second electrical pulse in a HZ
- Programmable clock
 - Pulses at a specified interval
- PDP-11 需要上述其一方可運作

Line #525 [low.s]

```
0525: . = 60^{\circ}.
0526: klin; br4
0527: klou; br4
0528:
0529: . = 70^{.}
0530: pcin; br4
0531: pcou; br4
0532:
0533: . = 100^{\circ}.
0534: kwlp; br6
0535: kwlp; br6
0539:
0540: . = 200^{\circ}.
0541: lpou; br4
0542:
0543: . = 220^{\circ}.
0544: rkio; br5
```

Vector	device	entry
060	Teletype input	klin
064	Teletype output	klou
070	Paper tape input	pcin
074	Paper tape output	pcou
100	Line clock	kwlp
104	Programmable clock	kwlp
200	Line printer	lpou
220	RK disk driver	rkio

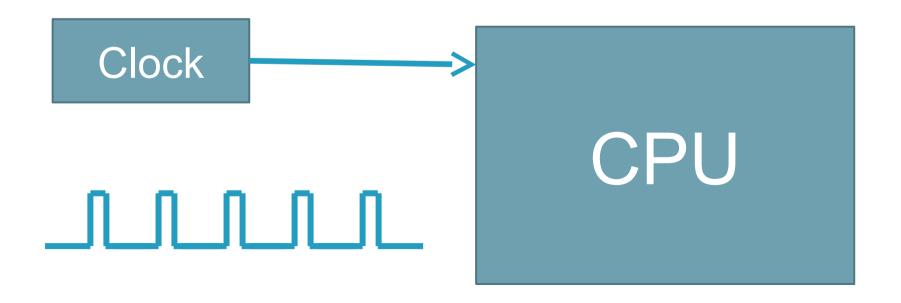
RK Disk

- RK disk storage consists of
 - disk controller RK11-D
 - a number of RK disk drives, up to eight for each disk
 - controller
 - Removable disk cartridges
- Most used in PDP11 systems



Line Clock

- 50 Pulses per second enters the CPU from the external clock
- Perform the processing of the interrupt vector to a pulse each



Line #568 [low.s]

```
0568:
0569: .globl _clock
0570: kwlp: jsr r0,call; _clock
```

0533:	. = 100^.	
0534:	kwlp; br6	
0535:	kwlp: br6	

/ector	device	entry
060	Teletype input	klin
064	Teletype output	klou
070	Paper tape input	pcin
074	Paper tape output	pcou
100	Line clock	kwlp
104	Programmable clock	kwlp
200	Line printer	lpou
220	RK disk driver	rkio

Line #3725 [clock.c]

```
3725: clock(dev, sp, r1, nps, r0, pc, ps)
3726: {
3727:
               register struct callo *p1, *p2;
3728:
               register struct proc *pp;
3729:
              /*
3730:
3731:
               * restart clock
3732:
                */
3733:
3734:
               *lks = 0115;
3735:
               /*
3736:
3737:
                * display register
                */
3738:
3739:
3740:
               display();
```

Line #3743 [clock.c]

```
3743:
                * callouts
3744:
                * if none, just return
3745:
                * else update first non-zero time
3746:
                */
3747:
               if(callout[0].c func == 0)
3748:
3749:
                       goto out;
3750:
               p2 = &callout[0];
3751:
               while (p2->c time \le 0 \&\& p2->c func!=0)
3752:
                       p2++;
3753:
               p2->c time--;
3754:
               /*
3755:
3756:
                * if ps is high, just return
3757:
                */
3758:
3759:
               if((ps&0340) != 0)
3760:
                       goto out;
```

Callout

Line #3763 [clock.c]

```
3763:
                * callout
3764:
                */
3765:
3766:
               sp15();
                                                             Callout
3767:
               if(callout[0].c time <= 0) {</pre>
3768:
                        p1 = &callout[0];
3769:
                        while (p1->c func != 0 \&\& p1->c time <= 0) {
3770:
                                 (*p1->c_func) (p1->c arg);
3771:
                                p1++;
3772:
3773:
                        p2 = &callout[0];
3774:
                        while (p2->c func = p1->c func) {
3775:
                                p2->c time = p1->c time;
3776:
                                p2->c arg = p1->c arg;
3777:
                                p1++;
3778:
                                p2++;
3779:
3780:
```

Line #3782 [clock.c]

```
3782:
               /*
                * lightning bolt time-out
3783:
3784:
                * and time of day
3785:
                */
3786:
3787: out:
3788:
               if((ps&UMODE) == UMODE) {
3789:
                       u.u utime++;
3790:
                       if(u.u prof[3])
3791:
                                incupc(pc, u.u prof);
3792:
               } else
3793:
                       u.u stime++;
3794:
              pp = u.u procp;
3795:
               if(++pp->p cpu == 0)
3796:
                       pp->p cpu--;
```

Acct

Line #3797 [clock.c]

```
3797:
               if(++lbolt >= HZ) {
                                                           sched 的
3798:
                        if((ps&0340) != 0)
3799:
                                return;
3800:
                        lbolt =- HZ;
3801:
                        if(++time[1] == 0)
3802:
                                ++time[0];
3803:
                        spl1();
3804:
                        if(time[1] == tout[1] && time[0] == tout[0])
3805:
                                wakeup(tout);
3806:
                        if((time[1]\&03) == 0) {
3807:
                                runrun++;
3808:
                                wakeup(&lbolt);
3809:
                        }
3810:
                        for(pp = &proc[0]; pp < &proc[NPROC]; pp++)</pre>
3811:
                        if (pp->p stat) {
3812:
                                if(pp->p time != 127)
3813:
                                         pp->p time++;
```

Line #3814 [clock.c]

```
3814:
                                 if((pp->p cpu & 0377) > SCHMAG)
                                          pp->p_cpu =- SCHMAG; else
3815:
3816:
                                          pp \rightarrow p cpu = 0;
3817:
                                 if(pp->p pri > PUSER)
3818:
                                          setpri(pp);
3819:
                        }
3820:
                        if(runin!=0) {
3821:
                                 runin = 0;
3822:
                                 wakeup(&runin);
3823:
3824:
                        if((ps&UMODE) == UMODE) {
3825:
                                 u.u ar0 = &r0;
3826:
                                 if(issig())
3827:
                                          psig();
3828:
                                 setpri(u.u procp);
3829:
3830:
3831: }
```

謎樣的變數

- u.u_time
- u.u_stime
- pp->p_time
- lbolt
- time[2]
- tout[2]

callout 結構

The "callout" array maintains a list of functions to be executed after "c_time" passes.

clock callout (1/2)

```
if(callout[0].c func == 0)
3748:
3749:
                         goto out;
                                         If the first callout registered,
                                           unregistered and also to
3750:
               p2 = &callout[0];
                                          determine the subsequent
                                            callout, Callout skipped
```

```
3751:
               while (p2->c time \le 0 \&\& p2->c func!=0)
3752:
                        p2++;
3753:
               p2->c time--;
3758:
               if((ps&0340) != 0)
3759:
                         goto out;
3760:
3761:
```

Locate the first c time Callout is greater than 0, c time--Previous callout will be processed after this from here

clock callout (2/2)

3780:

```
3766:
              sp15();
                                               Callout registration time
3767:
              if(callout[0].c time <= 0) {</pre>
                                                has passed to the top
3768:
                       p1 = &callout[0];
3769:
                       while (p1->c func != 0 && p1->c time <= 0) {
3770:
                                (*p1->c func) (p1->c arg);
3771:
                               p1++;
                                                  一旦有效則個別處理
3772:
3773:
                       p2 = &callout[0];
3774:
                       while (p2->c func = p1->c func) {
3775:
                               p2->c time = p1->c time;
3776:
                               p2->c arg = p1->c arg;
3777:
                               p1++;
                                                   Pack array Callout
3778:
                               p2++;
3779:
```

clock acct

```
3787: out:
                                               If the previous mode was
                                              USER mode, increment the
3788:
              if((ps&UMODE) == UMODE) {
                                                      user time.
3789:
                       u.u utime++;
3790:
                       if(u.u prof[3])
3791:
                                incupc(pc, u.u prof);
3792:
               } else
                                      If the previous mode was KERNEL
3793:
                       u.u stime++;
                                       mode, increment the system time.
```

```
3794: pp = u.u_procp;
3795: if(++pp->p_cpu == 0)
3796: pp->p_cpu--;
```

Add the p_cpu of Proc. Adjusted to avoid overflow

pp is a pointer structure proc, u is the structure user.
One by one to a process management structure of both process

clock sched 的進入點 (1/2)

```
3797:
              if(++lbolt >= HZ) {
                                            Continue if Ibolt has reached
3798:
                       if((ps&0340) != 0)
                                            60.(也就是經過1秒週期)
3799:
                               return;
3800:
                       lbolt =- HZ;
                                                         重設 Ibolt
3801:
                       if(++time[1] == 0)
                               ++time[0];
3802:
3803:
                       spl1();
3804:
                       if(time[1] == tout[1] && time[0] == tout[0])
3805:
                                                     Process causes
                               wakeup(tout);
                                                         sleeping
3806:
                       if((time[1]\&03) == 0) {
3807:
                               runrun++;
                                                 Cause the processes
3808:
                               wakeup(&lbolt);
                                                   that are waiting in
3809:
                                                  Ibolt every 4 seconds
```

Flow without hesitation this time

clock sched 的進入點 (2/2)

```
for(pp = &proc[0]; pp < &proc[NPROC]; pp++)</pre>
3810:
3811:
                       if (pp->p stat) {
3812:
                                if(pp->p time != 127)
3813:
                                         pp->p time++;
3814:
                                if((pp->p cpu & 0377) > SCHMAG)
3815:
                                         pp->p cpu =- SCHMAG; else
3816:
                                         pp->p cpu = 0;
3817:
                                if(pp->p pri > PUSER)
3818:
                                         setpri(pp);
3819:
                        }
3820:
                       if(runin!=0) {
3821:
                                runin = 0;
3822:
                                wakeup(&runin);
3823:
                        }
3824:
                       if((ps&UMODE) == UMODE) {
3825:
                                u.u ar0 = &r0;
3826:
                                if(issig())
3827:
                                         psig();
3828:
                                setpri(u.u procp);
3829:
3830:
```

structure of the process

Scan the array of management

When runin is true, wakeup a process that is waiting in runin

謎樣的變數之解釋

- u.u time: USER 時間
- u.u_stime: SYSTEM時間
- pp->p time: 啟動 Process 之後經歷的時間
- lbolt: 每秒遞增 60 次 (Milliseconds)
- time[2]: 自 1970 年以來的秒數
- tout [2]: time of next sleep(2)

UNIX的PPDA (PerProcDataArea)

• User 結構體: user.h

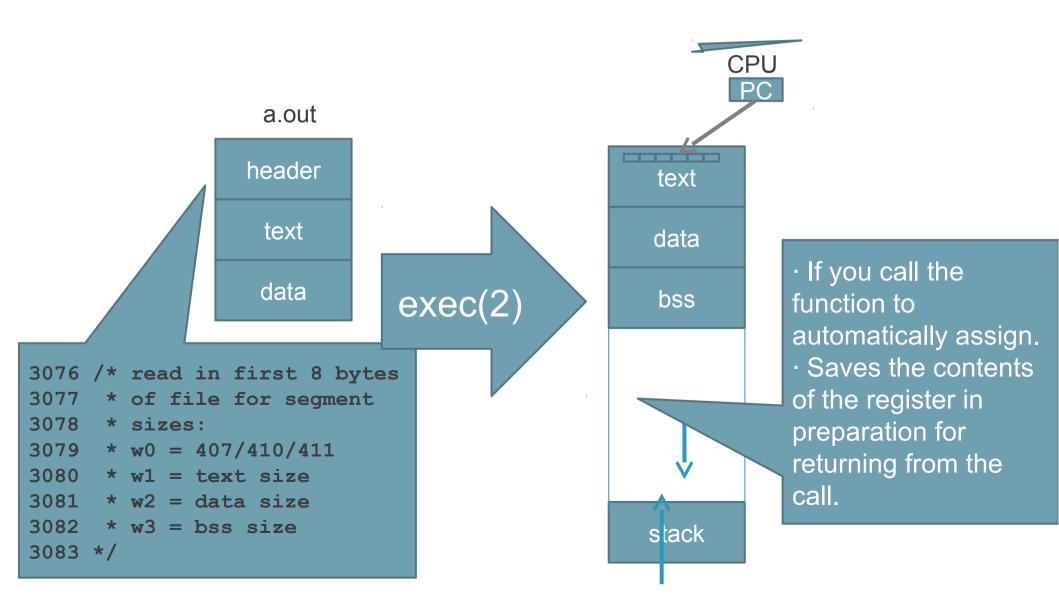
The user structure. One allocated per process. Contains all per process data that doesn't need to be referenced while the process is swapped.

• Proc 結構體: proc.h

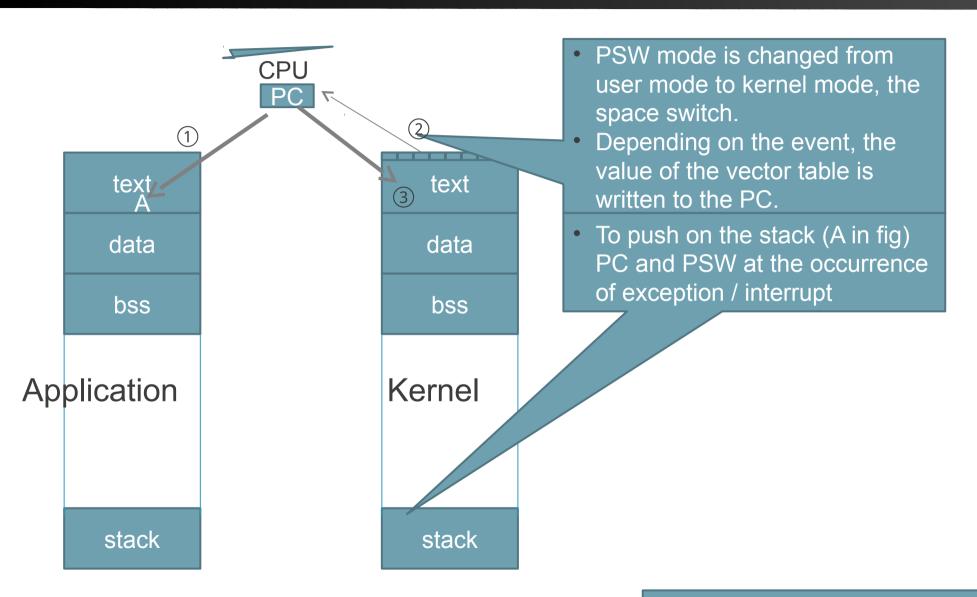
One structure allocated per active process. It contains all data needed about the process while the process may be swapped out. Other per process data (user.h) is swapped with the process.

定址模式

a.out 的定址模式



一<u>旦</u> Exception/Interrupt 觸發



Applications and Kernel.
Two spaces?

Virtual address & physical address

- Virtual memory of the current general
 - Physical address > Virtual address
 - Amount of physical memory > amount of virtual memory
 - Restored when out of memory when the real need is saved to secondary storage in units (pages) fixed length

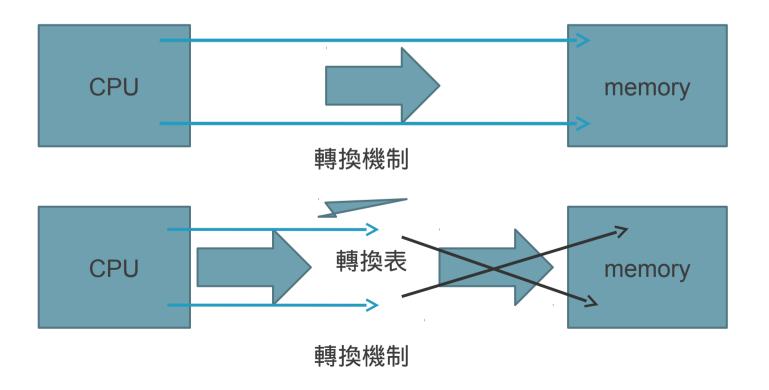
→Paging

- PDP-11 virtual memory
 - Virtual address < physical address
 - amount of virtual memory < amount of physical memory
 - Out of memory when the real deterrent is saved to secondary storage to run the entire process, to be run to restore the whole after a period of time

→Swapping

virtual memory 機制

- Hardware support is required
- Translation mechanism of the virtual address
 → Physical address



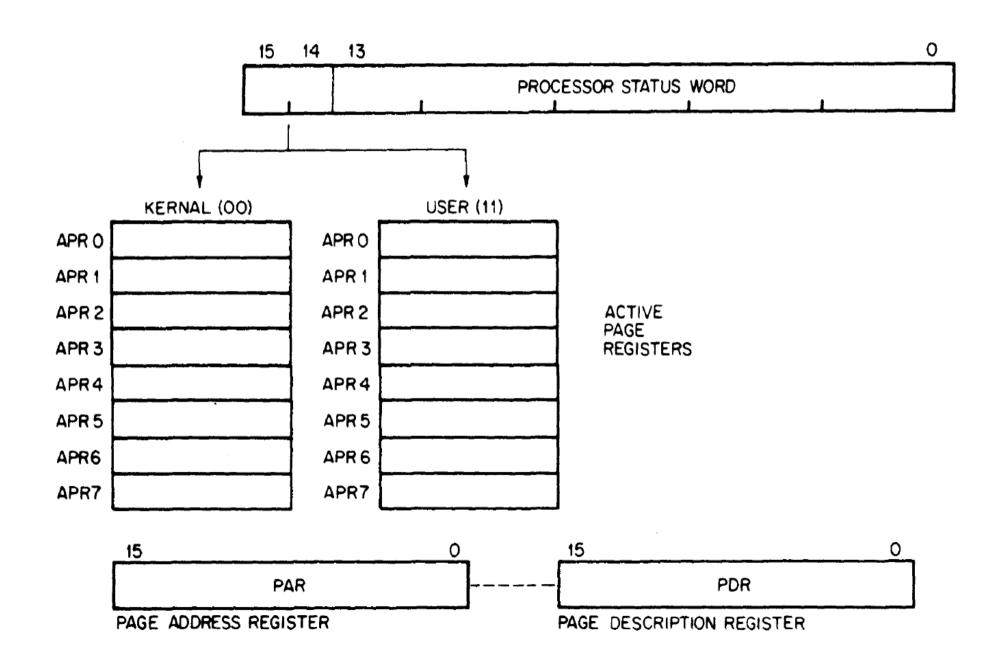
Control of address translation

- After reset, the translation mechanism operates in OFF
- In the program during the initialization of the OS
 - Initialize the translation table
 - Enable the translation mechanism
- At the time of acquisition and memory formation of a new process
 - OS modifies translation table
- When the switching process
 - Swap the translation table

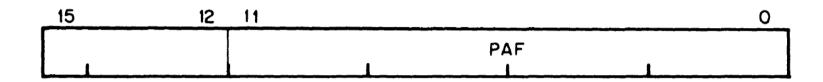
Memory management of PDP-11/40

- 8個 Kernel-mode page
- 8個 user-mode page
- Variable length of up to one page 8Kbyte
- Switching mode is coupled to the mode of PSW

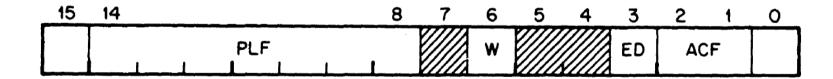
Active Page Registers (APR)



Pair of APR-PAR and PDR

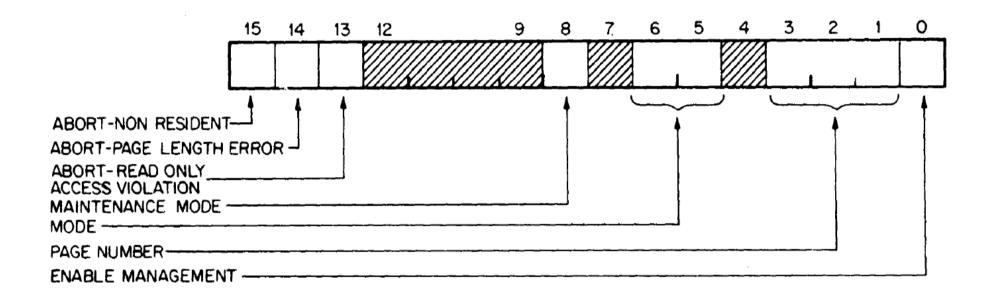


PAR specify the base address



PDR attribute specifies the memory

Enable virtual memory



valid for 1 bit0: enable management

重要位址定義 [m40.s]

```
Size of User Block (*64 = 1024 B)
USTZE = 16
PS = 177776
                  Program Status Word
SSR0 = 177572
                  Status Register
KISA0 = 172340
                  Kernel Segment Address Register #0
KISA6 = 172354
                  Kernel Segment Address Register #6
KISD0 = 172300
                  Kernel Segment Descriptor Register #0
UISA0 = 177640
                  User Segment Address Register #0
UISA1 = 177642
                  User Segment Address Register #1
UISD0 = 177600
                  User Segment Descriptor Register #0
UISD1 = 177602
                  User Segment Descriptor Register #1
IO = 7600
                  I/O Segment Register
```

start: configure virtual space [m40.s]

```
0612: start:
0613:
              bit
                       $1,SSR0
0614:
                                                 / loop if restart
              bne
                       start
0615:
               reset
0616:
0617: / initialize systems segments
0618:
0619:
                       $KISA0,r0
               mov
0620:
                       $KISD0,r1
               mov
0621:
                       $200,r4
               mov
0622:
               clr
                       r2
0623:
                       $6,r3
               mov
0624: 1:
0625:
                       r2, (r0) +
               mov
0626:
                       $77406, (r1)+
                                                 / 4k rw
               mov
0627:
               add
                       r4,r2
0628:
               sob
                       r3,1b
```

start: initialize userspace [m40.s]

```
0630: / initialize user segment
0631:
0632:
                     $ end+63.,r2
             mov
0633:
                     $-6,r2
             ash
                     $!1777,r2
0634:
             bic
0635:
             mov r2,(r0)+
                                             / ksr6 = sysu
                     size-1 < 8 | 6, (r1) +
0636:
             mov
0637:
0638: / initialize io segment
0639: / set up counts on supervisor segments
0640:
0641:
                     $10,(r0)+
             mov
                     $77406, (r1) +
0642:
                                            / rw 4k
             mov
0643:
0644: / get a sp and start segmentation
0645:
0646:
                     $ u+[usize*64.],sp
             mov
0647:
             inc
                     SSR0
```

start: clear bss and u [m40.s]

```
0646:
                       $ u+[usize*64.],sp
              mov
0647:
                       SSR<sub>0</sub>
              inc
0648:
0649: / clear bss
0650:
0651:
                       $ edata,r0
              mov
0652: 1:
0653:
              clr (r0) +
                       r0,$ end
0654:
              cmp
0655:
              blo
                       1b
0656:
0657: / clear user block
0658:
0659:
                       $ u,r0
              mov
0660: 1:
0661:
              clr (r0) +
                       r0,$ u+[usize*64.]
0662:
              cmp
0663:
              blo
                       1b
```

start: 呼叫 main 函式 [m40.s]

建立首個 Process [main.c]

sched();

1637:

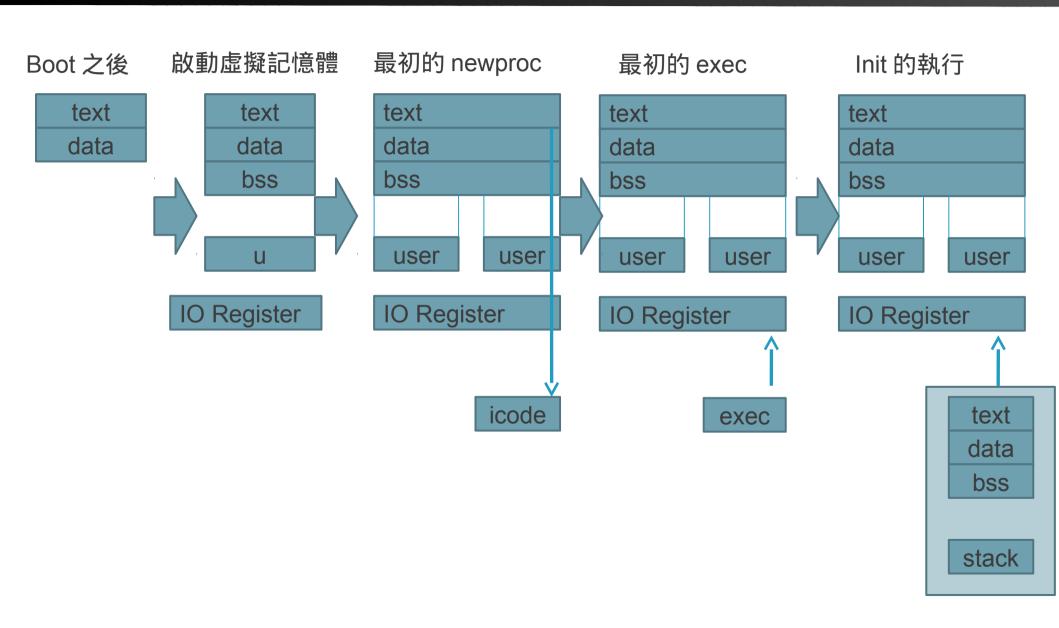
```
1627:
               if(newproc()) {
1628:
                       expand(USIZE+1);
1629:
                       estabur(0, 1, 0, 0);
1630:
                       copyout(icode, 0, sizeof icode);
                        /*
1631:
1632:
                         * Return goes to loc. 0 of user init
1633:
                         * code just copied out.
                         */
1634:
1635:
                       return;
1636:
```

icode is th bootstrap program executed in user mode to bring up the system.

icode [main.c]

```
1511: /*
1512: * Icode is the octal bootstrap
1513: * program executed in user mode
1514: * to bring up the system.
1515: */
1516: int
             icode[]
1517: {
1518:
             0104413,
                             /* sys exec; init; initp */
1519:
             0000014,
1520:
             0000010,
                            /* br . */
1521:
             0000777,
                             /* initp: init; 0 */
1522:
             0000014,
1523:
             0000000,
                            /* init: </etc/init\0> */
1524:
             0062457,
1525:
             0061564,
1526:
             0064457,
1527:
             0064556,
1528:
             0000164,
                                        char* init = "/etc/init";
1529: };
                                        main() {
                                           execl( init, init, 0 );
                                           while(1);
```

Boot space initialization



UNIX 的定址模式

- Address area of application and kernel separation
 - Little change in full virtual memory support later
 - Separate space for each application
- The kernel space
 - Text, Data, Bss share, except for some
 - Stack is a separate application for each
 - Stack the second half of the page struct user

Saving and restoring of context

PPDA - Per Processor Data Area

- Focus on the first structure of OS
- Space allocated to each unit of execution of processes, etc.
- UNIX user and proc

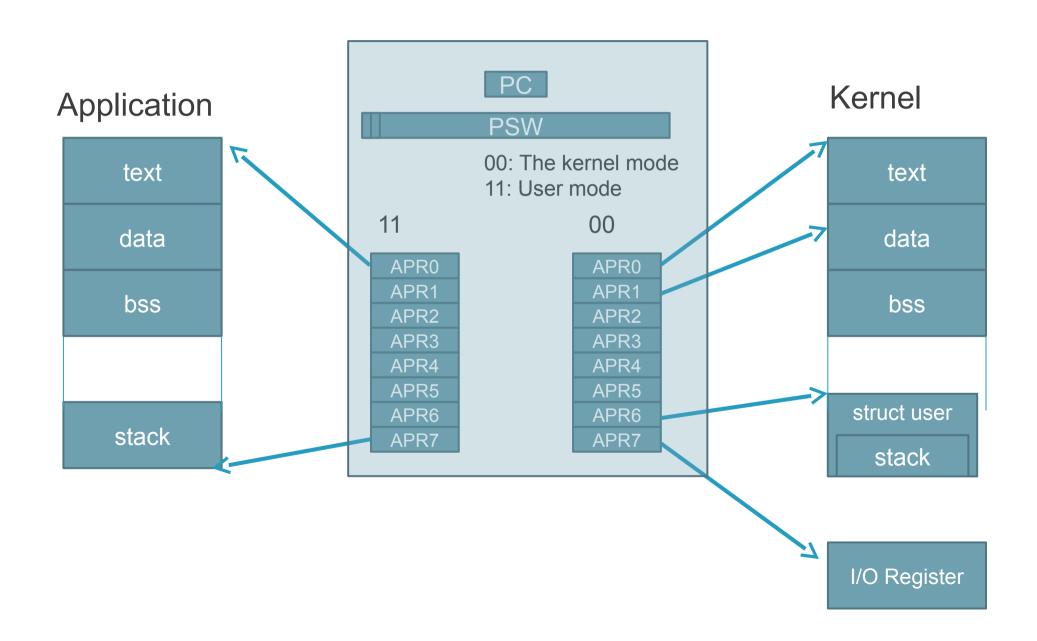
struct user [user.h]

```
0413 struct user
0414 {
        int u rsav[2]; /* save r5,r6 when exchanging stacks */
0415
        int u fsav[25];  /* save fp registers */
0416
        /* rsav and fsav must be first in structure */
0417
       char u segflg; /* flag for IO; user or kernel space */
0418
0419 char u error; /* return error code */
       char u uid; /* effective user id */
0420
        char u_gid; /* effective group id */
0421
        char u ruid; /* real user id */
0422
       char u rgid; /* real group id */
0423
        int u procp;  /* pointer to proc structure */
0424
        int u uisa[16]; /* prototype of segmentation addresses */
0436
        int u uisd[16]; /* prototype of segmentation descriptors */
0437
```

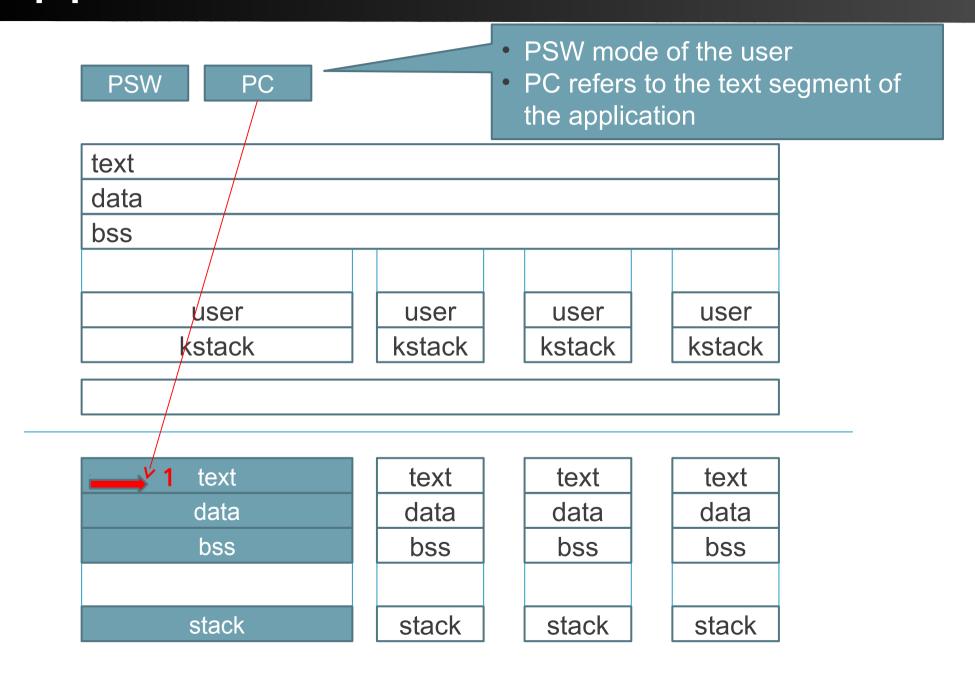
struct proc [proc.h]

```
0358 struct proc
0359 {
0360
        char p stat;
0361
        char p flag;
                          /* priority, negative is high */
0362
        char p pri;
                          /* signal number sent to this process */
0363
        char p sig;
        char p uid;
                         /* user id, used to direct tty signals */
0364
        char p_time;
                          /* resident time for scheduling */
0365
0366
        char p_cpu;
                        /* cpu usage for scheduling */
        char p nice;
                       /* nice for scheduling */
0367
        int p ttyp;
                         /* controlling tty */
0368
0369
        int p pid;
                          /* unique process id */
        int p_ppid;
                         /* process id of parent */
0370
                        /* address of swappable image */
0371
        int p addr;
        int p size;
                        /* size of swappable image (*64 bytes) */
0372
        int p wchan;
                       /* event process is awaiting */
0373
                      /* pointer to text structure */
        int *p textp;
0374
0376 } proc[NPROC];
```

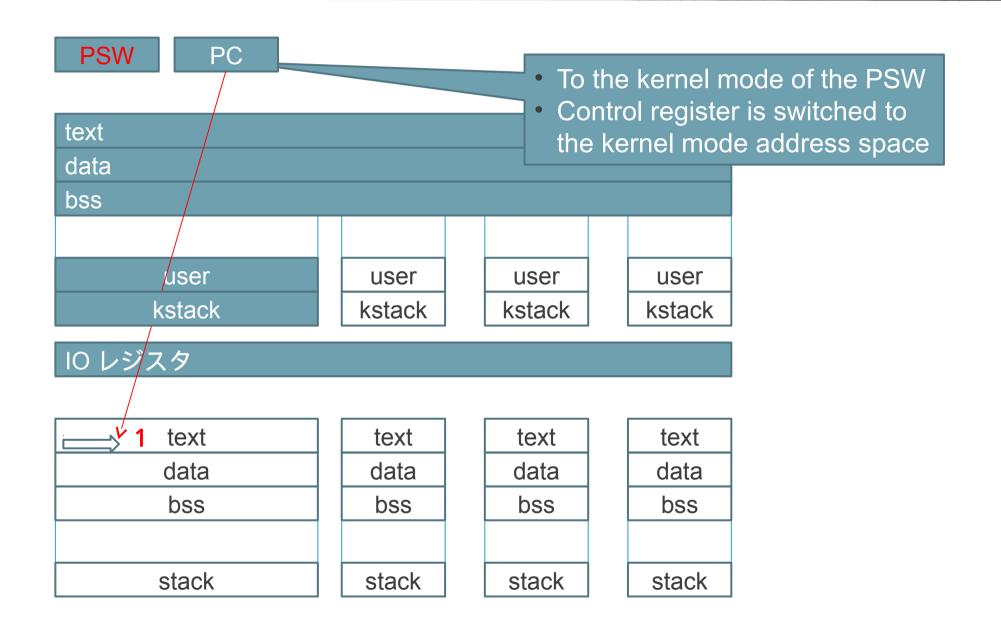
Switching mechanism



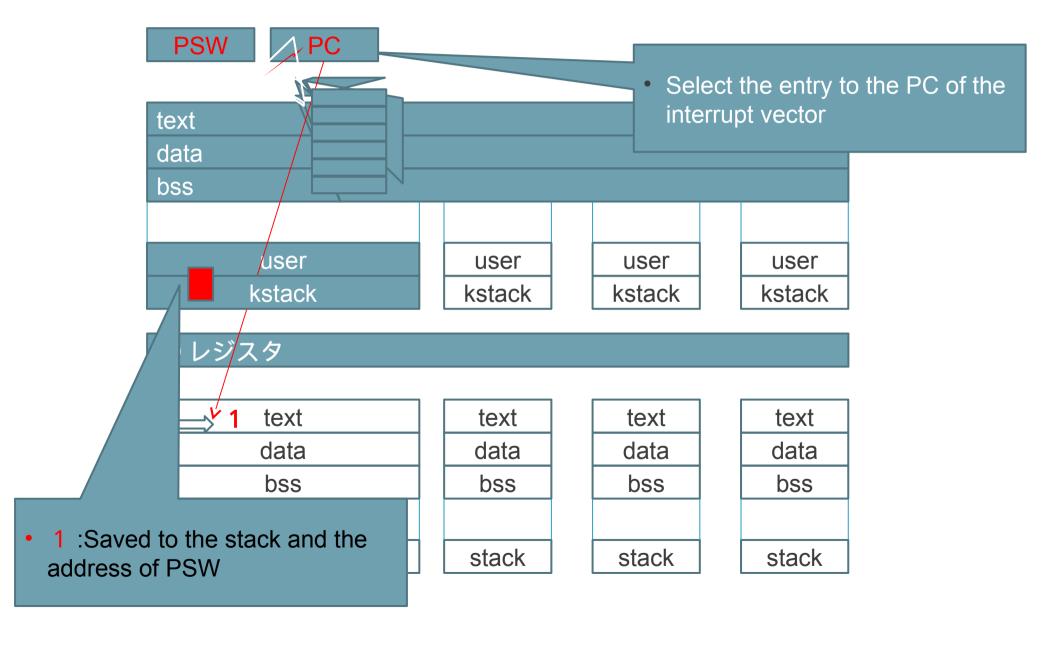
Application 運作時



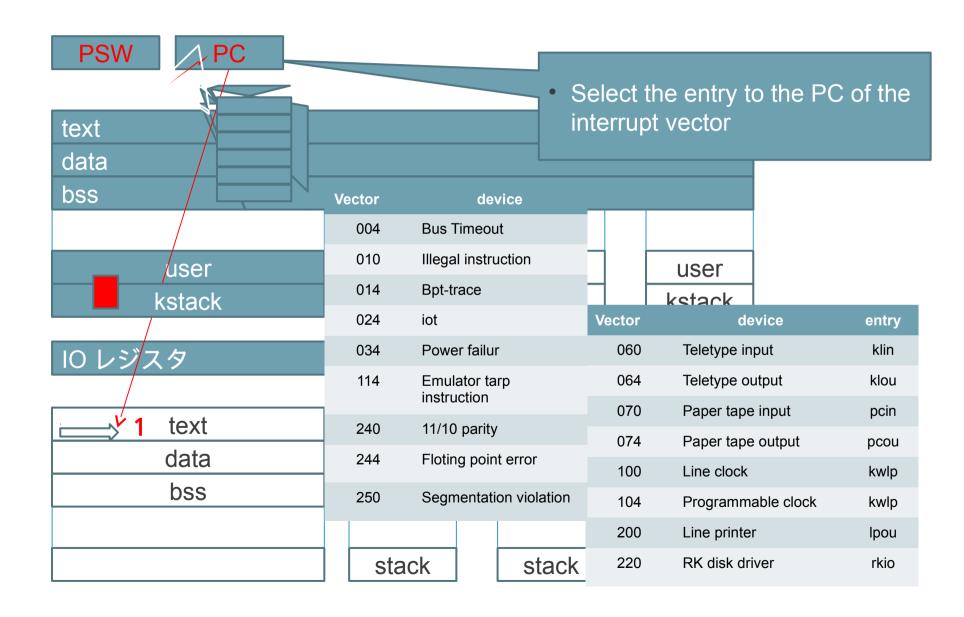
switch: interrupt 觸發時



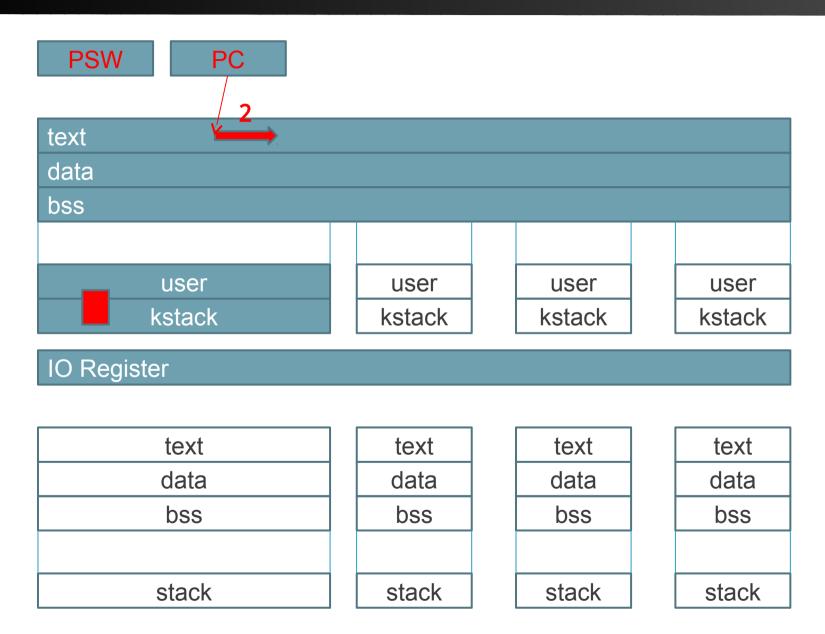
interrupt vector



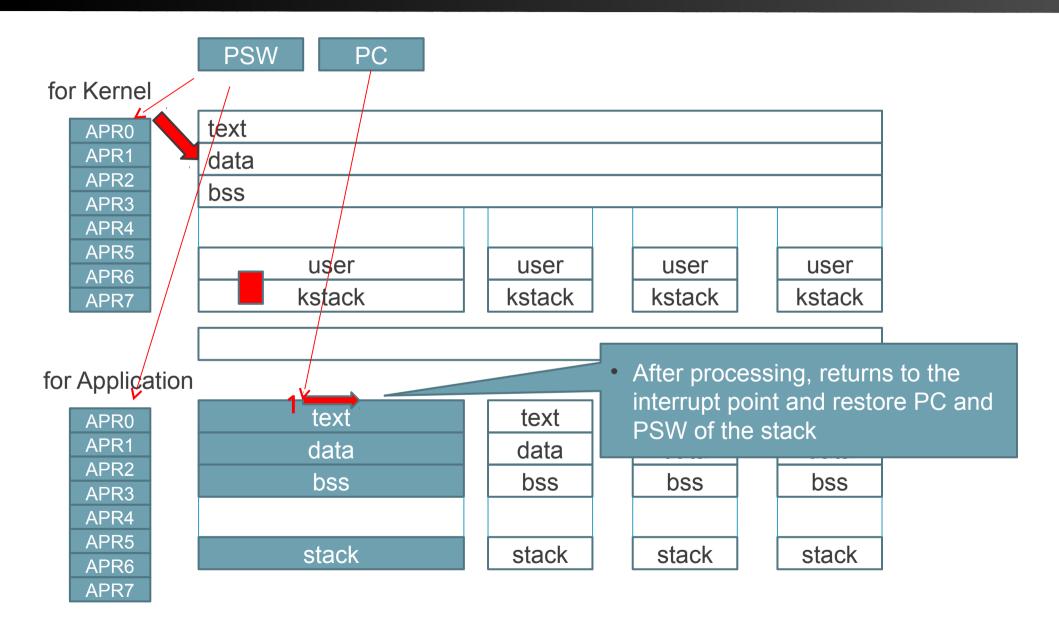
interrupt vector



interrupt handler



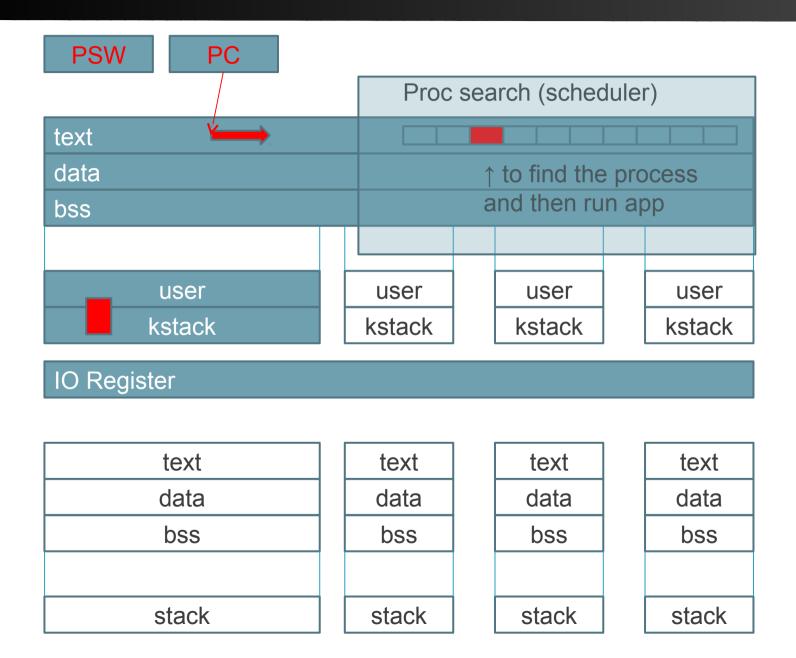
Return from interrupt



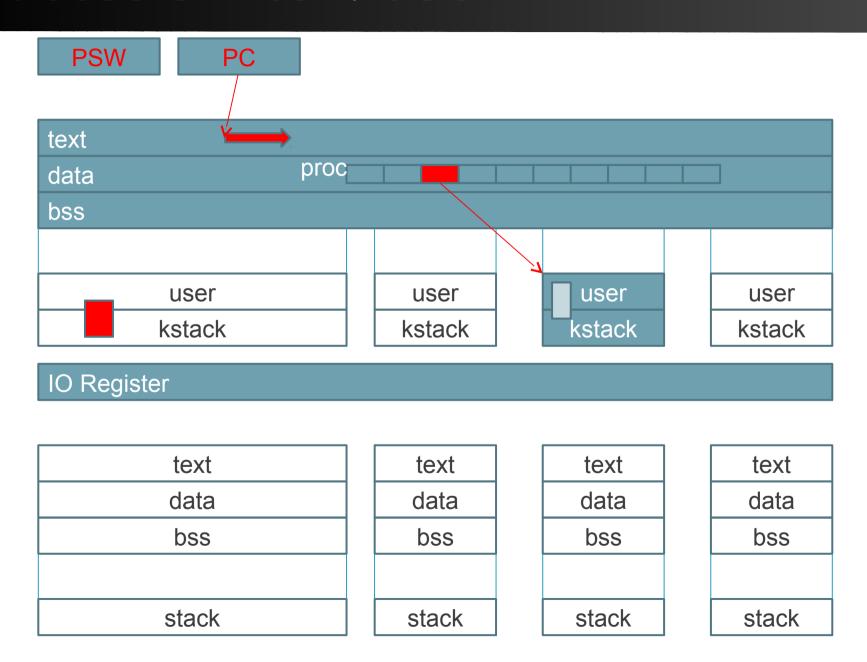
Switch

- The above description, from the time when an interrupt occurs, switching of the processes do not occur before the return
- Depending on the condition of the process switch occurs in the following processing
 - Search and selection of proc
 - switching of the user structure
 - Restore the saved state structure to + kstack User
 - Return to the application

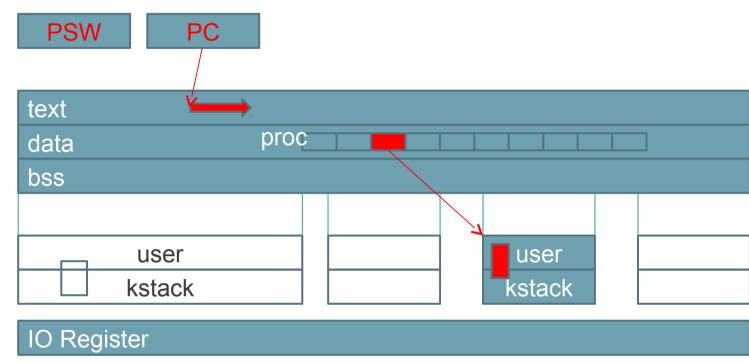
Process Switch: selection



Process Switch: user



Process Switch: user



for Application Update the register for space management

APR0
APR1
APR2
APR3
APR4
APR5
APR6
APR7

<u> </u>	
text	
data	
bss	
stack	

text	text
data	data
bss	bss
stack	stack

text
data
bss
stack

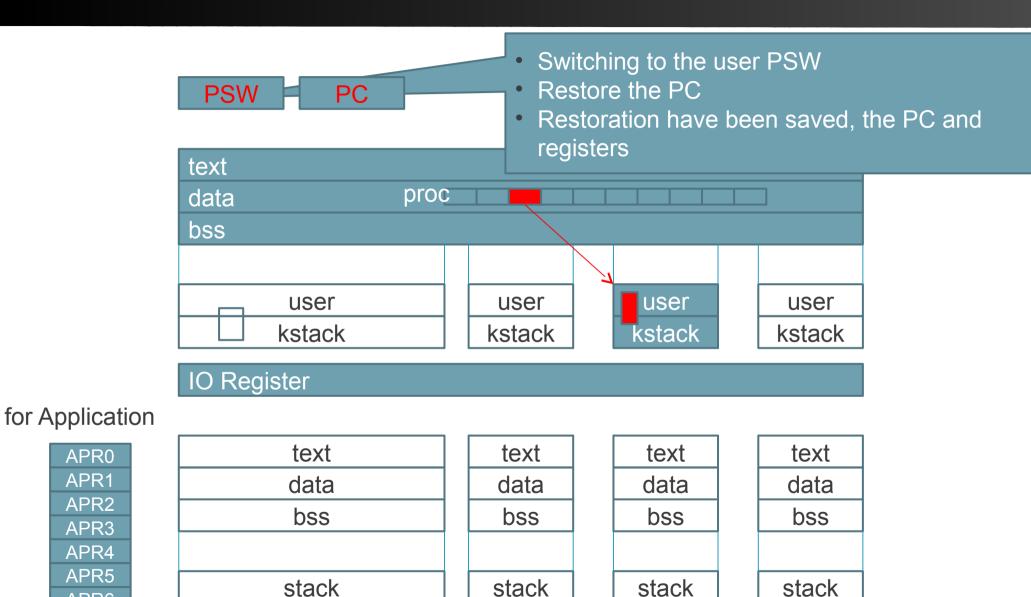
Process Switch: mode

APR0 APR1

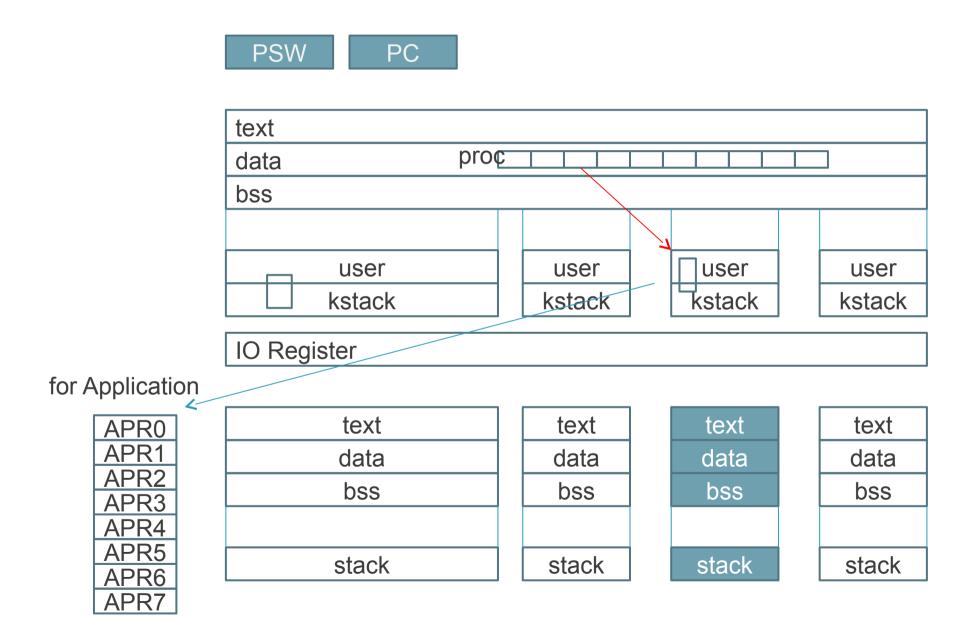
APR2

APR3 APR4 APR5

APR6 APR7



Process Switch: done



switch [slp.c]

```
2178: swtch()
2179: {
2180:
              static struct proc *p;
2181:
              register i, n;
2182:
              register struct proc *rp;
2183:
2184:
              if(p == NULL)
2185:
                       p = &proc[0];
              /*
2186:
               * Remember stack of caller
2187:
2188:
               */
              savu(u.u_rsav); /* save r5,r6 when exchanging stacks */
2189:
              /*
2190:
2191:
               * Switch to scheduler's stack
2192:
               */
              retu(proc[0].p addr); /* address of swappable image */
2193:
```

switch [slp.c]

```
2195: loop:
2196:
               runrun = 0;
2197:
               rp = p;
2198:
              p = NULL;
2199:
              n = 128;
2203:
               i = NPROC;
               do {
2204:
2205:
                        rp++;
2206:
                        if(rp >= &proc[NPROC])
2207:
                                 rp = &proc[0];
                        if(rp->p_stat==SRUN &&(rp->p_flag&SLOAD)!=0) {
2208:
2209:
                                 if(rp->p_pri < n) {</pre>
2210:
                                         p = rp;
                                          n = rp->p_pri;
2211:
2212:
2213:
2214:
               } while(--i);
```

switch [slp.c]

```
2223:
              rp = p;
2224:
              curpri = n;
2225:
              /* Switch to stack of the new process and set up
2226:
               * his segmentation registers.
2227:
               */
              retu(rp->p_addr);     /* address of swappable image */
2228:
2229:
              sureq();
2247:
              return(1);
```

Suspicious function

```
2189: savu(u.u_rsav);
```

Stores the values of r5 and r6 to u.u_rsav

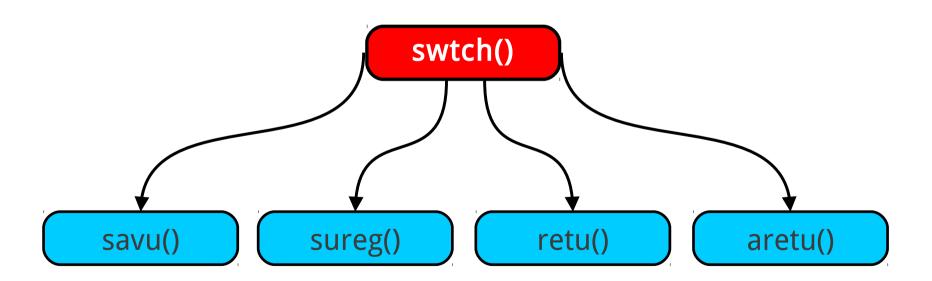
Loads values of r5 and r6 from p->addr

Resets 7th kernel segmentation address register(pc)

```
2229: sureg();
```

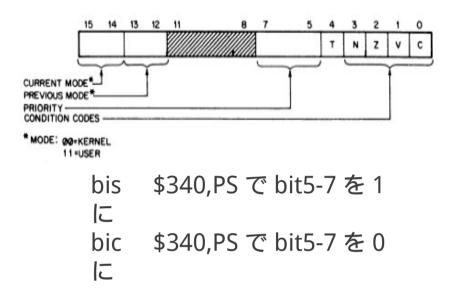
Loads physical segmentation registers with values in user data structure

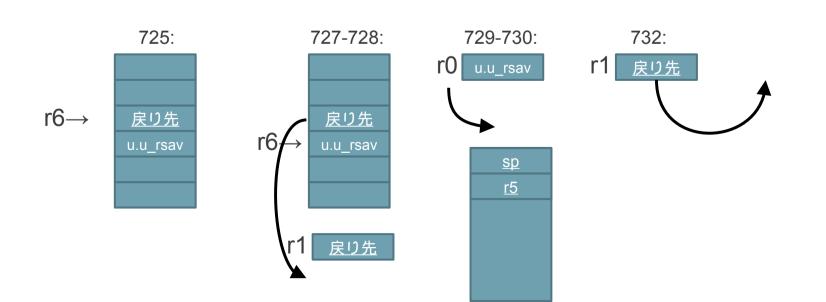
Begin Flow Chart



savu [m40.s]

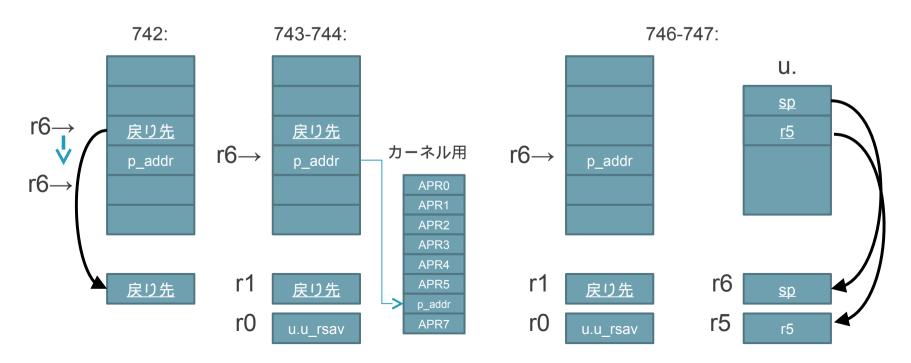
```
0725:
        savu:
0726:
                bis
                         $340,PS
0727:
                          (sp)+,r1
                mov
0728:
                          (sp), r0
                mov
0729:
                         sp,(r0)+
                mov
0730:
                         r5, (r0) +
                mov
0731:
                bic
                         $340,PS
0732:
                          (r1)
                qmj
```





retu [m40.s]

```
0740:
        retu:
0741:
                bis
                         $340,PS
0742:
                          (sp)+,r1
                mov
0743:
                          (sp), KISA6
                mov
0744:
                         $ u,r0
                mov
0745: 1:
0746:
                          (r0)+,sp
                mov
0747:
                          (r0) + , r5
                mov
0748:
                bic
                         $340,PS
0749:
                          (r1)
                qmj
```



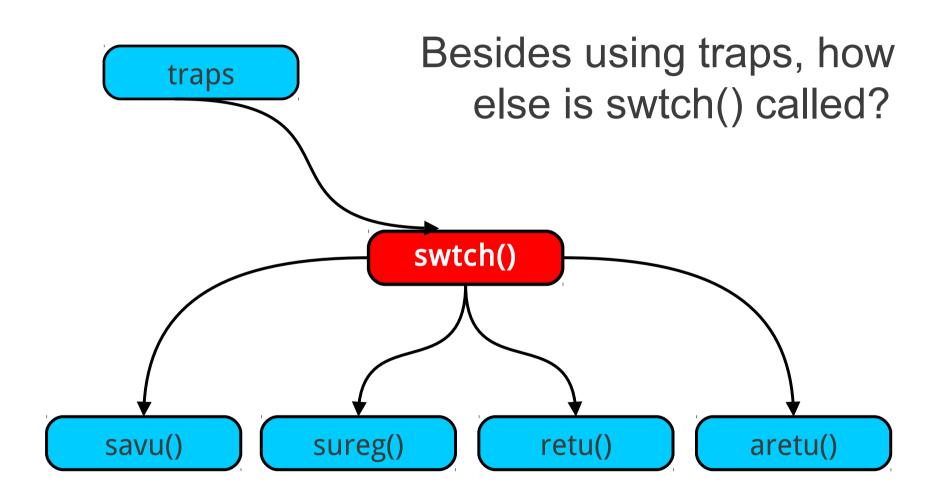
sureg [main.c]

```
1739: sureg()
1740:
1741:
               register *up, *rp, a;
1742:
1743:
               a = u.u procp->p addr;
1744:
               up = &u.u uisa[16];
1745:
               rp = \&UISA - > r[16];
1746:
               if(cputype == 40) {
1747:
                        up = -8;
1748:
                        rp = -8;
1749:
1750:
               while(rp > &UISA->r[0])
1751:
                         *--rp = *--up + a;
1754:
               up = &u.u uisd[16];
1755:
               rp = \&UISD - > r[16];
1765: }
                                        アプリ用
                                          APR0
                                          APR1
                 u.u_uisa[0-7]
                                          APR2
                                          APR3
                 u.u_uisd[0-7]
                                          APR4
```

アプリの空間を設定

APR5 APR6 APR7

Current Flow Chart



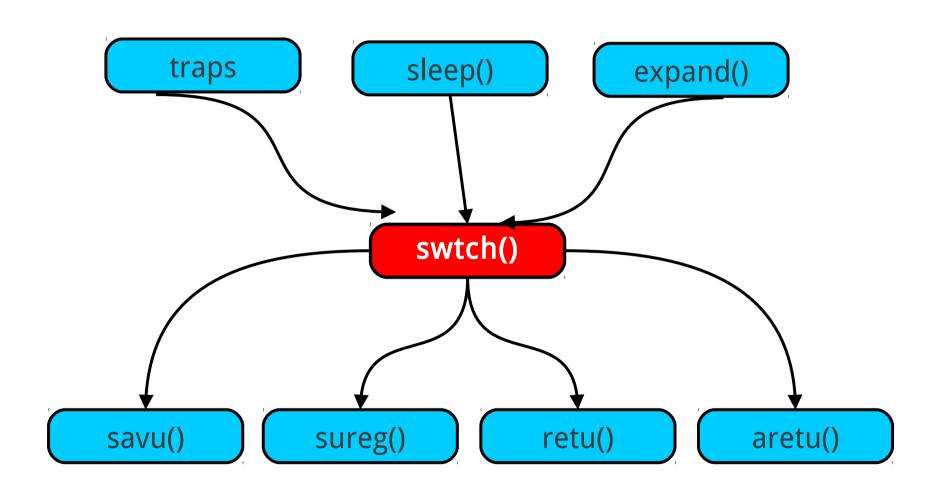
sleep()

```
sleep(chan, pri)
                                           swtch();
                                           if(issig())
  register *rp, s;
                                                  goto psig;
                                       } else {
  s = PS \rightarrow integ;
                                           sp16();
  rp = u.u procp;
                                           rp->p_wchan = chan;
  if(pri >= 0) {
                                           rp->p stat =
       if(issig())
                                       SSLEEP;
              goto psig;
                                           rp->p_pri = pri;
       sp16();
                                           sp10();
       rp->p_wchan = chan;
                                           swtch();
       rp->p_stat = SWAIT;
       rp->p_pri = pri;
                                       PS->integ = s;
       sp10();
                                       return;
       if(runin != 0) {
                                    psig:
              runin = 0;
                                       aretu(u.u qsav);
  wakeup(&runin);
```

expand()

```
expand(newsize)
                                         savu(u.u rsav);
{
                                         a2 = malloc(coremap, newsize);
                                         if(a2 == NULL) {
    int i, n;
                                                 savu(u.u ssav);
    register *p, a1, a2;
                                                 xswap(p, 1, n);
                                                 p->p_flag =| SSWAP;
                                                 swtch(); /* no return */
   p = u.u procp;
                                          }
    n = p - p \text{ size};
                                         p-p addr = a2;
   p->p size = newsize;
                                         for(i=0; i<n; i++)
                                         copyseg(a1+i, a2++); 2292
   a1 = p-p_addr;
                                         mfree(coremap, n, a1);
    if(n \ge newsize) {
                                         retu(p->p addr);
       mfree(coremap, n-newsize,
                                         sureq();
             a1+newsize);
        return;
```

Completed Flow Chart



simh 操作

Simhのインストールと起動

- □ Ubuntu の場合
- \$ sudo apt-get install simh
- □ pdp-11 のシュミレーション
- \$ pdp11
- PDP-11 simulator V3.8-1
- sim>

Unix v6 のダウンロード

- □ simh 用 Disk イメージのアーカイブ
 - http://simh.trailing-edge.com/software.html
- □ V6 のイメージは以下
 - http://simh.trailing-edge.com/kits/uv6swre.zip
- □ ダウンロード
- \$ wget http://simh.trailing-edge.com/kits/uv6swre.zip

Diskイメージの展開

\$ unzip uv6swre.zip

Archive: uv6swre.zip

inflating: README.txt

inflating: unix3_v6_rk.dsk

inflating: unix1_v6_rk.dsk

inflating: unix2 v6 rk.dsk

inflating: unix0_v6_rk.dsk

inflating: AncientUnix.pdf

設定ファイルの作成

□ uv6swre.zip を展開したディレクトリで以下のファイル unixv 6.cf を作成

\$cat unixv6.cfg

set cpu 11/40

set cpu u18

att rk0 unix0_v6_rk.dsk

att rk1 unix1_v6_rk.dsk

att rk2 unix2_v6_rk.dsk

att rk3 unix3_v6_rk.dsk

boot rk0

Simh の起動

\$ pdp11 unixv6.cfg

PDP-11 simulator V3.8-1

Disabling XQ

@unix

Login: root

#

Simh の debug 機能

□ simh モードへの移行と復帰

```
# Ctrl+E
```

Simulation stopped, PC: 021630 (MOV (SP)+,177776)

sim> c

#

- simh モードへは Ctrl+E で
- シミュレーションモードへはcで

ディバック機能を使う

```
□ カーネルのシンボルアドレスを調べる
# chdir /
# nm unix | grep savu
021636T _savu
#
  savu()のアドレスは021636, breakpointを指定する
# Ctrl+E
Simulation stopped, PC: 021630 (MOV (SP)+,177776)
sim> break 021636
sim> c
```

Breakpoint, PC: 021636 (SPL 6)

sim>

savu() で止まった

step 実行

```
Breakpoint, PC: 021636 (SPL 6)
sim>
R5: 141742
SP: 141730
PC: 021636
sim>
Step expired, PC: 021640 (MOV (SP)+,R1)
sim>
Step expired, PC: 021642 (MOV (SP),R0)
sim>
Step expired, PC: 021644 (MOV SP,(R0)+)
sim>
Step expired, PC: 021646 (MOV R5,(R0)+)
sim>
Step expired, PC: 021650 (SPL 0)
sim>
Step expired, PC: 021652 (JMP (R1))
```

```
0725: _savu:
0726:
          bis
               $340,PS
0727:
                (sp)+,r1
          mov
                (sp),r0
0728:
          mov
0729:
                sp,(r0)+
          mov
          mov r5,(r0)+
0730:
0731:
          bic
               $340,PS
0732:
                (r1)
          jmp
```

レジスタの調べ方

/usr/share/doc/simh/simh_doc.pdf で説明 e {xamine} <list> examine memory or registers sim> e state // レジスタ等すべてを表示

PC:	024620
	021630
R0:	140004
R1:	034272
R2:	005336
R3:	000200
R4:	000000
R5:	141724
SP:	141710
R00:	140004
R01:	034272
R02:	005336
R03:	000200
R04:	000000
R05:	141724
R10:	000000
R11:	000000
R12:	000000
R13:	000000
R14:	000000
R15:	000000
KSP:	141710
SSP:	000000
USP:	177756
PSW:	030000
CM:	0
DM:	-3
RS.	Û
FPD:	0
IPL:	0
T:	0
N:	0
Z:	0
<u>-</u> . V:	0
C:	0
PIRQ:	000000
STKLIM:	000000
FACOH:	000000000000
FACOL:	0000000000
FAC1H:	0000000000
FAC1H.	0000000000
FAC1L: FAC2H:	00000000000
FAC2L:	00000000000
FAC3H:	00000000000
FAC3L:	0000000000
FAC4H:	0000000000
FAC4L: FAC5H:	0000000000 00000000000 00000000000

必要な内容に絞り込む

PC,R0 ~ R5,SP(KSP,USP)

PSW

KDPAR0 ~ 7

UDPAR0 ~ 7

PC:	021630
R0:	140004
R1:	034272
R2:	005336
R3:	000200
R4:	000000
R5:	141724
SP:	141710
R00:	140004
R01:	034272
R02:	005336
R03:	000200
R04:	000000
R05:	141724
R10:	000000
R11:	000000
R12:	000000
R13:	000000
R14:	000000
R15:	000000
KSP: SSP:	141710 000000
JOP.	177756
POW:	202022
CM:	0
PM:	3
RS:	0
FPD:	0
IPL:	0
T:	0
N:	0
Z:	0
V:	
C:	
PIRQ:	000000
STKLIM:	000000
FAC0H:	0000000000
FAC0L:	0000000000
FAC1H:	0000000000
FAC1L:	0000000000
EACOLL.	0000000000

レジスタの指定方法

State の表示順に'-'で範囲を指定

sim> e pc-sp

PC: 021630

R0: 140004

R1: 034272

R2: 005336

R3: 000200

R4: 000000

R5: 141724

SP: 141710

MMU レジスタの指定方法

State の表示順に'-'で範囲を指定

sim> e KDPAR0-KDPDR7

KDPAR0: 000000

KDPDR0: 077506

KDPAR1: 000200

KDPDR1: 077506

KDPAR2: 000400

KDPDR2: 077506

KDPAR3: 000600

KDPDR3: 077406

KDPAR4: 001000

KDPDR4: 077406

KDPAR5: 001200

KDPDR5: 077406

KDPAR6: 001171

KDPDR6: 077506

KDPAR7: 007600

KDPDR7: 077506

Pdp11/40 では、 KPAR,KPDR と呼んでいたが、 simh では上位機種のレジスタ名で表示されるので、 KDPAR,KDPDRと読み替える

メモリの調べ方

2: 000004

```
sim> e 0 // アドレス 0 を表示
0: 000417
sim> e 0/4 // アドレス 0 から 4 バイトを表示
0: 000417
2: 000004
sim> e 0-2 // アドレス 0 から 2 までを表示
0: 000417
```

表示フォーマットの指定

- -a ASCII で表示
- -c 文字列で表示
- -m 命令列で表示
- -o 8進で表示
- -x 16 進で表示

sim> e -m 54104/20

54104: 000013

54106: MOV R0,-(SP)

54110: BIC #177400,(SP)

54114: JSR PC,@#22100

54120: ADD #6,SP

シンボルからアドレスを調べる

```
// back to UNIX
sim> c
# nm /unix | grep main // address of main()
022272T main
# nm /unix | grep proc
034476T newproc
005206B proc
043170T procxmt
```

examine the MMU to break in main()

```
sim>
@
Breakpoint, PC: 022272 (JSR R5,22240)
KDPAR0:
          000000
KDPDR0:
           077506
KDPAR1:
           000200
KDPDR1:
          077506
KDPAR2:
           000400
KDPDR2:
          077506
KDPAR3:
           000600
KDPDR3:
           077406
KDPAR4:
           001000
KDPDR4:
          077406
KDPAR5:
           001200
KDPDR5:
          077406
KDPAR6:
          001171
KDPDR6:
          077506
KDPAR7:
           007600
KDPDR7:
          077506
sim>
```

```
# nm /unix | grep _write
054124T write
                                      Shell place to break in to write a #
031700T _writei
                                            (entry of the kernel)
050176T writep
#
Simulation stopped, PC: 021630 (MOV (SP)+,177776)
sim> break 54124
sim> c
Breakpoint, PC: 054124 (JSR R5,22240)
sim>
```

- □ proc のアドレスは u.u_procp でわかる
- □ u は常にカーネル仮想の 140000 番地
- □ 一方で simh の debug 機能は仮想アドレスを扱えない
- □ 自分で変換する

sim> e KDPAR6

KDPAR6: 001477 // 64 バイトを1単位とした値

- □ 64 バイト=6 ビットシフト=8 進数で 00
- □ User は 00147700 にあるはず

```
sim> e 147700/100
147700: 141746 u rsav[0]
147702: 141756 u rsav[1]
147704: 000200 u fsav[0]
147706: 000000
147710: 000000 2
147712: 000000 3
147714: 000000 4
147716: 000000 5
147764: 000000 24
147766: 000000
               u segflag/u error
147770: 001400
               u uid/u gid
147772: 001400 u ruid/u rgid
147774: 005262
                u procp
147776: 177737
```

```
0413: struct user
0414: {
0415:
           int u_rsav[2];
                               /* save r5,r6 when exchanging stacks */
           int u fsav[25];
                              /* save fp registers */
0416:
                               /* rsav and fsav must be first in structure */
0417:
0418:
           char u segflg;
                              /* flag for IO; user or kernel space */
                              /* return error code */
0419:
           char u_error;
           char u uid;
0420:
                               /* effective user id */
0421:
           char u gid;
                               /* effective group id */
0422:
                               /* real user id */
           char u ruid;
                               /* real group id */
0423:
           char u rgid;
0424:
                                /* pointer to proc structure */
           int u procp;
```

```
sim> e -h 5262/40
5262:
       0103 p flag/p stat
       0064 p sig/p pri
5264:
5266:
       7F00 p time/p uid
5270:
       0000 p cpu
5272:
       42F2 p ttyp
5274:
       000E p pi
5276:
       0001 p ppi
5300:
       033F
5302:
       0048
5304:
       0000
       0EDC
5306:
       0000
5310:
                  pid は 0xe=14(10 進)
5312:
       00CE
5314:
       0000
```

5316:

5320:

0000

0000

```
0358: struct proc
0359: {
0360:
           char p stat;
0361:
           char p flag;
0362:
                              /* priority, negative is high */
           char p pri;
0363:
                              /* signal number sent to this process */
           char p sig;
0364:
           char p uid;
                              /* user id, used to direct tty signals */
0365:
           char p time;
                               /* resident time for scheduling */
0366:
                               /* cpu usage for scheduling */
           char p cpu;
0367:
                               /* nice for scheduling */
           char p nice;
0368:
                             /* controlling tty */
           int
                p ttyp;
0369:
                p pid;
                             /* unique process id */
           int
0370:
           int
                p ppid;
                              /* process id of parent */
0371:
                              /* address of swappable image */
           int
                p addr;
                             /* size of swappable image (*64 bytes) */
0372:
           int
                p size;
0373:
                               /* event process is awaiting */
           int
                p wchan;
0374:
                *p textp;
                              /* pointer to text structure */
           int
0375:
0376: } proc[NPROC];
```

```
Breakpoint, PC: 054104 (JSR R5,22240)
sim> nobreak 54104
sim> c
# ps
14 -
29 ps
#
          pid は 0xe=14(10 進)
```