

# ML相关分享



向诗雨 赵焱阳

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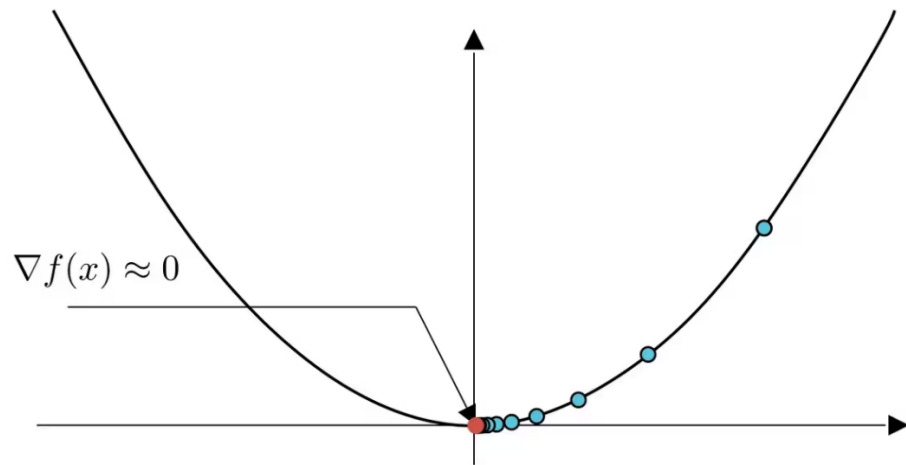
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# ML基础知识介绍

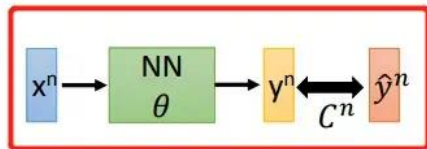
第一单元

# 梯度下降



# Backpropagation

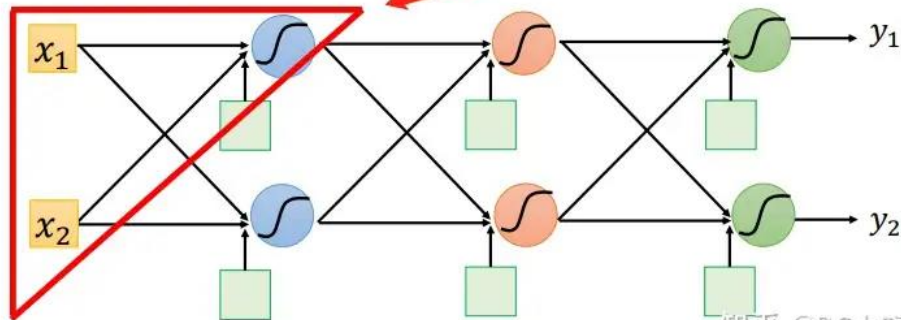
模型



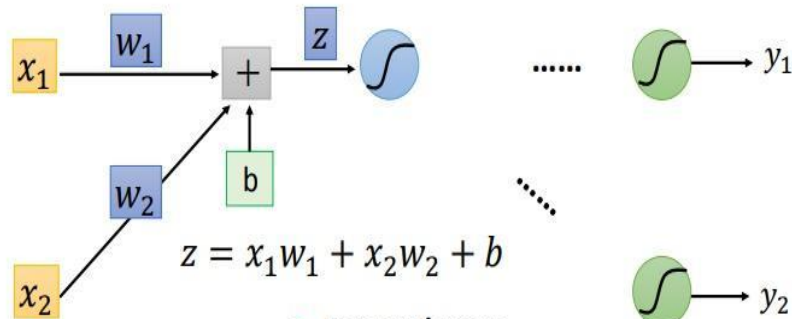
损失函数

交叉熵

$$L(\theta) = \sum_{n=1}^N C^n(\theta) \Rightarrow \frac{\partial L(\theta)}{\partial w} = \sum_{n=1}^N \frac{\partial C^n(\theta)}{\partial w}$$



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**Forward pass:**

Compute  $\partial z / \partial w$  for all parameters

$$\frac{\partial C}{\partial w} = ? \quad \left[ \frac{\partial z}{\partial w} \right] \left[ \frac{\partial C}{\partial z} \right]$$

(Chain rule)

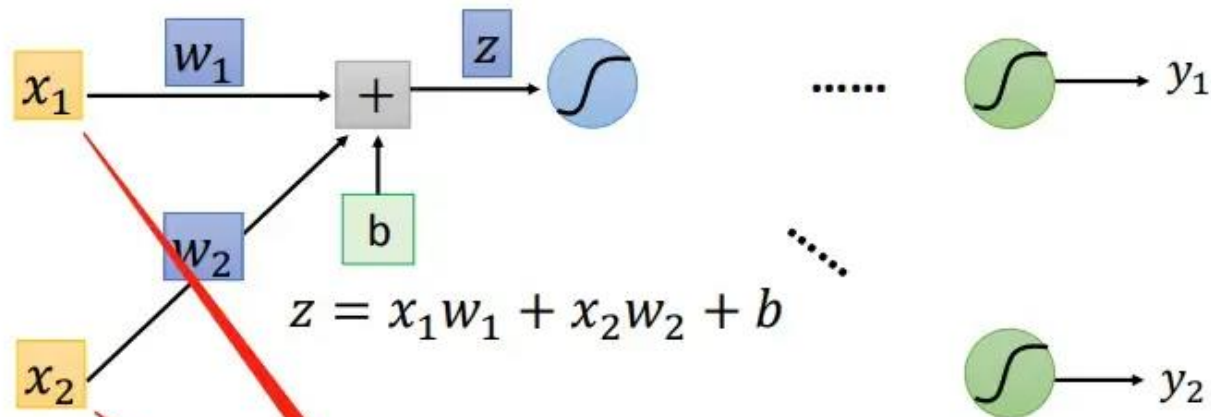
**Backward pass:**

Compute  $\partial C / \partial z$  for all activation function inputs z

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# 前向传播

Compute  $\partial z / \partial w$  for all parameters



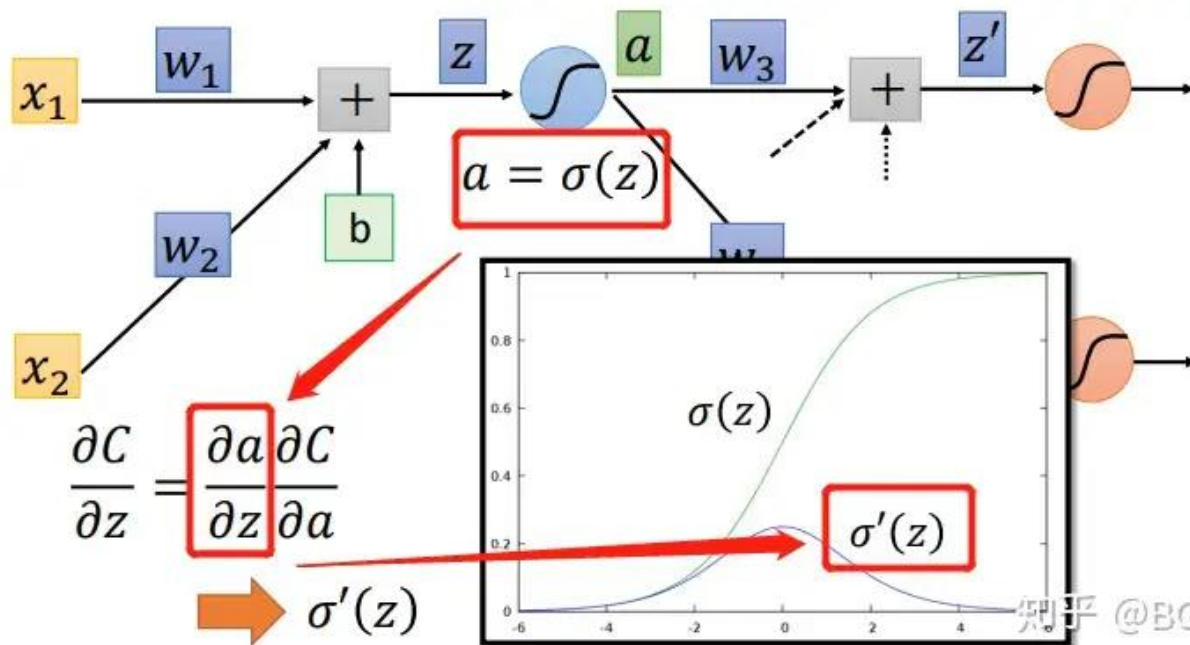
$$\partial z / \partial w_1 = ? \quad x_1$$

$$\partial z / \partial w_2 = ? \quad x_2$$

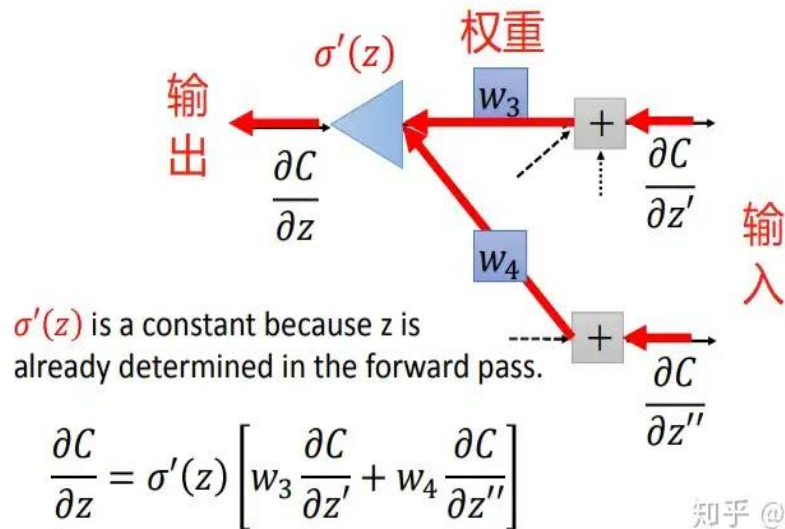
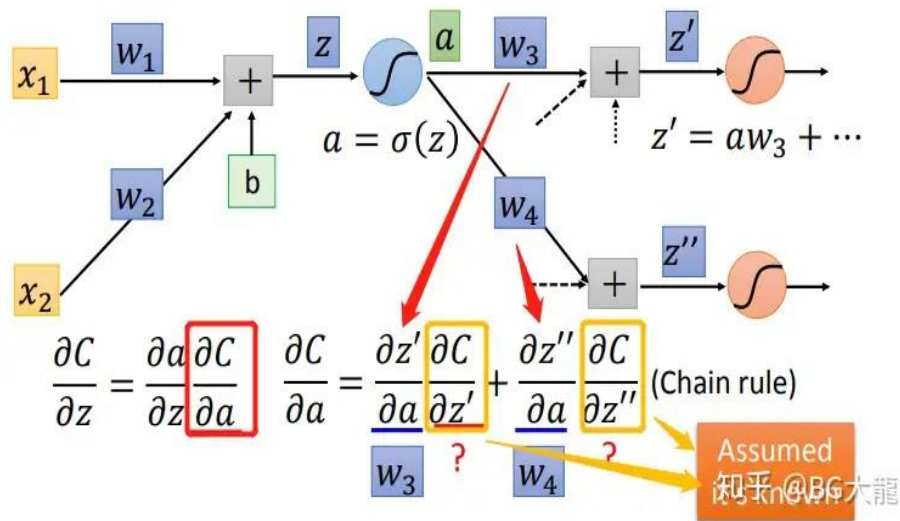
The value of the input  
connected by the weight

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# 反向传播



# 反向传播-对 $\partial C/\partial a$ 进行处理



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# Linear & Non-Linear Layers

## **Linear Layers:**

- **dense/fully-connected layers (matrix multiplication)**
- **2D convolution.**

## **Common Kinds of Non-Linear Layers:**

- **Activation layers**
- **Pooling layers**
- **Normalization layers**
- **The output layer computes the inference output based on all its inputs, e.g., softmax or argmax.**

(注：此来自SoK: Cryptographic Neural-Network Computation的分类)

# convolution

1 <small>x1</small>	1 <small>x0</small>	1 <small>x1</small>	0	0
0 <small>x0</small>	1 <small>x1</small>	1 <small>x0</small>	1	0
0 <small>x1</small>	0 <small>x0</small>	1 <small>x1</small>	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

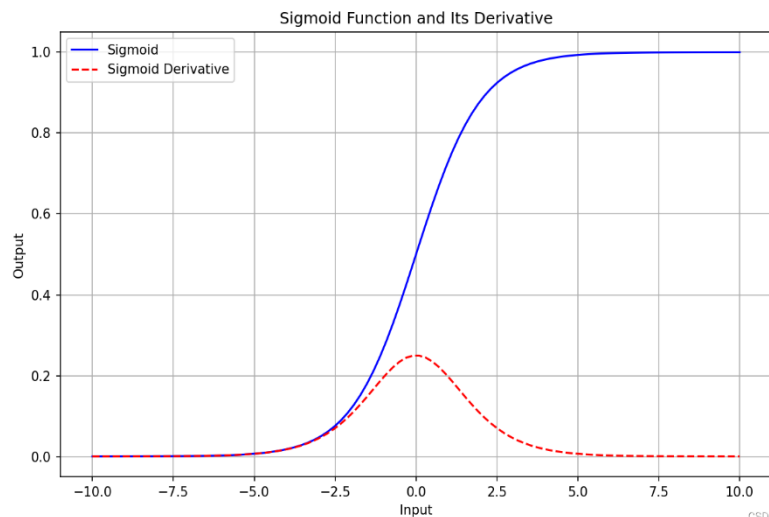
Convolved  
Feature

Filter:

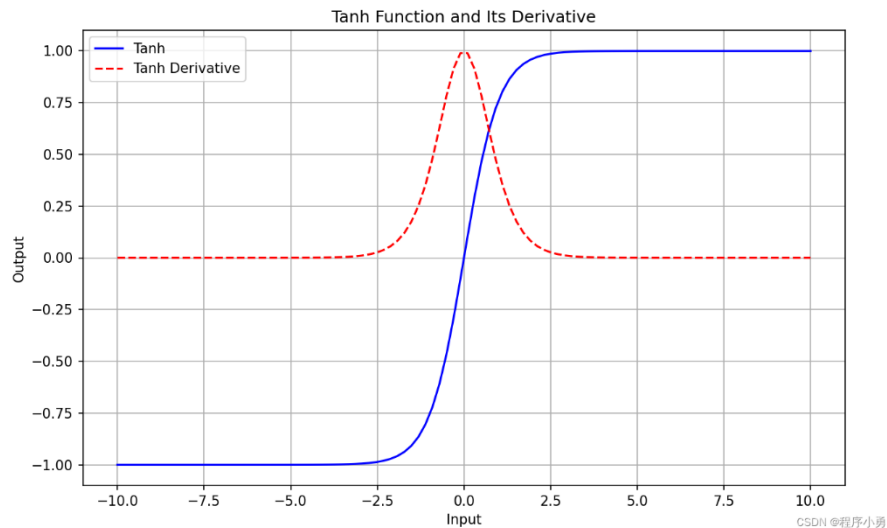
1	0	1
0	1	0
1	0	1

# Activation layers

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

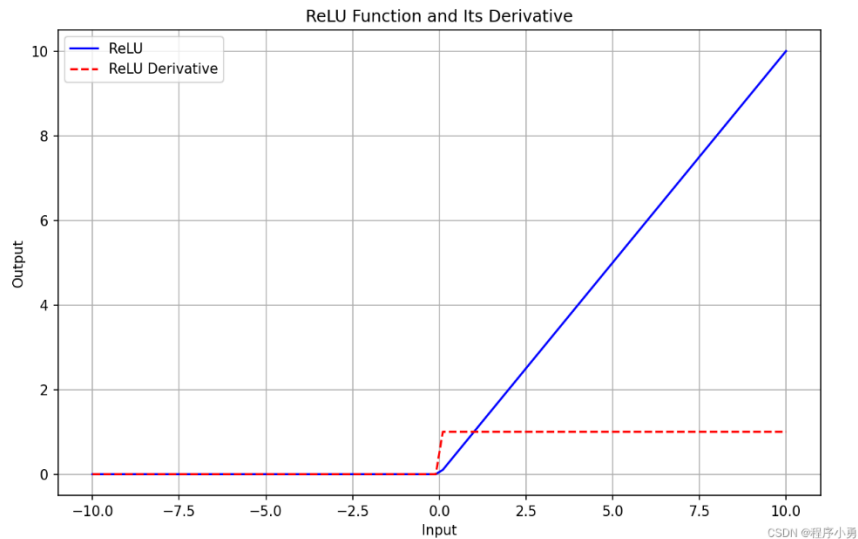


$$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

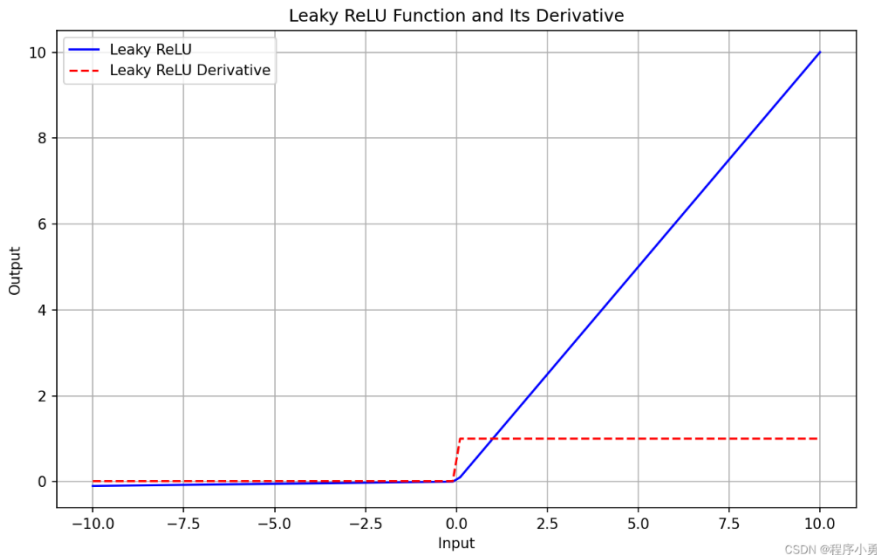


# Activation layers

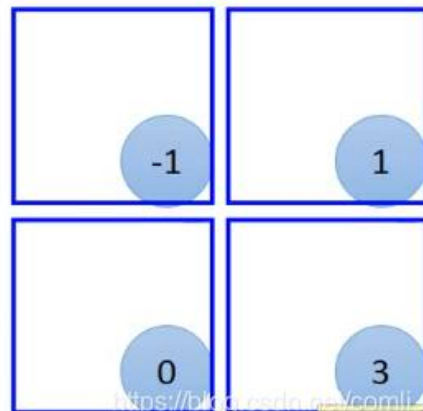
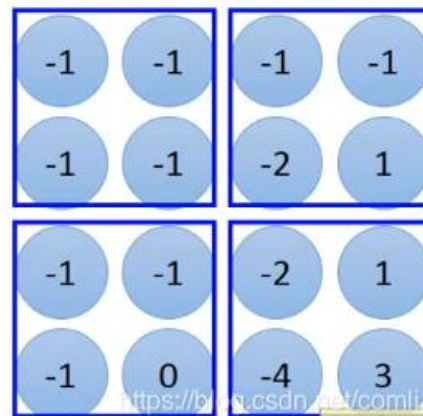
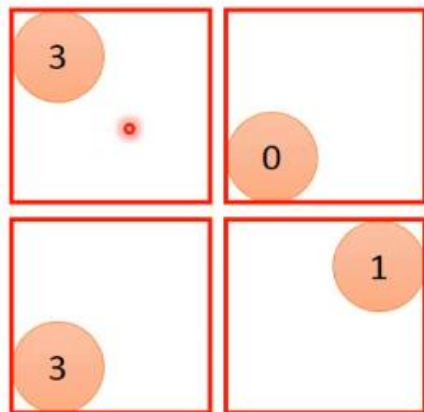
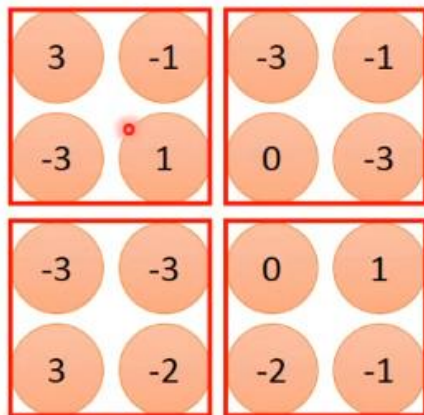
$$\text{ReLU}(x) = \max(0, x)$$



$$\text{LeakyReLU}(x) = \max(\alpha x, x)$$



# Pooling layers



Created with EverCa

Created with EverCa

# Batch Normalization

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{ mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{ mini-batch variance}$$

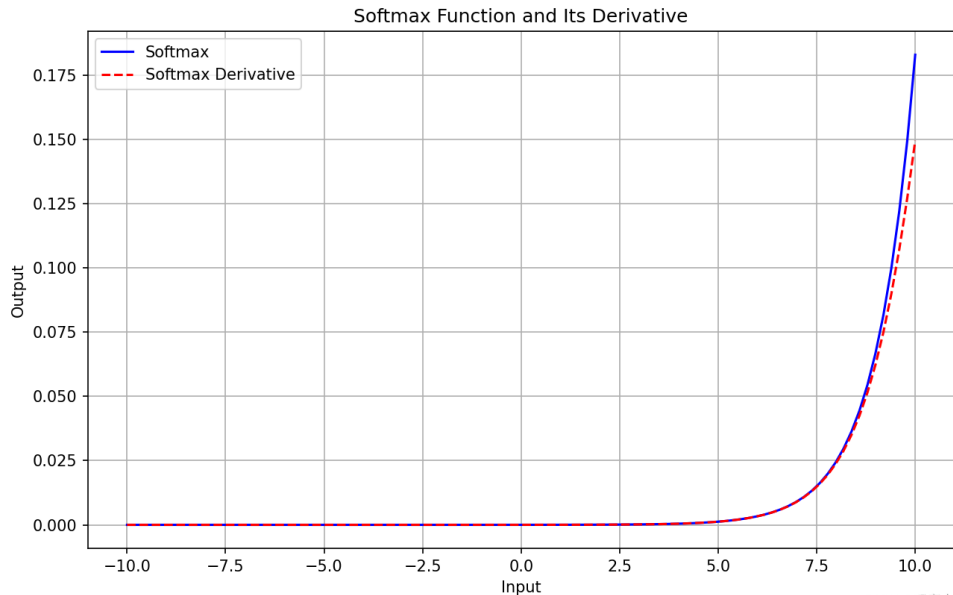
$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{ normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{ scale and shift}$$

**Algorithm 1:** Batch Normalizing Transform, applied to activation  $x$  over a mini-batch.

# Softmax

$$\text{softmax}(x_i) = \frac{e^{x_i}}{\sum_{j=1}^K e^{x_j}}$$



CSDN @程序小勇

For the above nonlinear operations, **BatchNorm** needs private division  $\frac{1}{y}$ , inverse square root  $\frac{1}{\sqrt{y}}$ ; and softmax needs exponential function  $e^x$ .

## 总结

- 实际上在做 Backword Pass 的时候，就是建立一个反向的 neural network 的过程，对损失函数求导 = 前向传播 \* 后向传播
- 链式法则将计算  $\partial C / \partial w$  拆成前向过程与后向过程。
- 前向过程计算的是  $\partial z / \partial w$ ，这里  $z$  是  $w$  所指 neuron 的 input，计算结果是与  $w$  相连的值。
- 后向过程计算的是  $\partial C / \partial z$ ，这里  $z$  仍是  $w$  所指 neuron 的 input，计算结果通过从后至前递归得到。



# 论文阅读1 SecureNN

## 3-Party Secure Computation for Neural Network Training

第二单元

## ● Main contribution

To construct new and efficient protocols for non-linear functions such as ReLU and Maxpool that completely avoid the use of garbled circuits and give at least  $8\times$  improvement in communication complexity.

## ● Protocols Structure

- **Supporting Protocols:** Matrix Multiplication, Select Share, Private Compare, Share Convert, Compute MSB
- **Main Protocols :** Linear and Convolutional Layer, Derivative of ReLU, ReLU, Division, Maxpool, Derivative of Maxpool

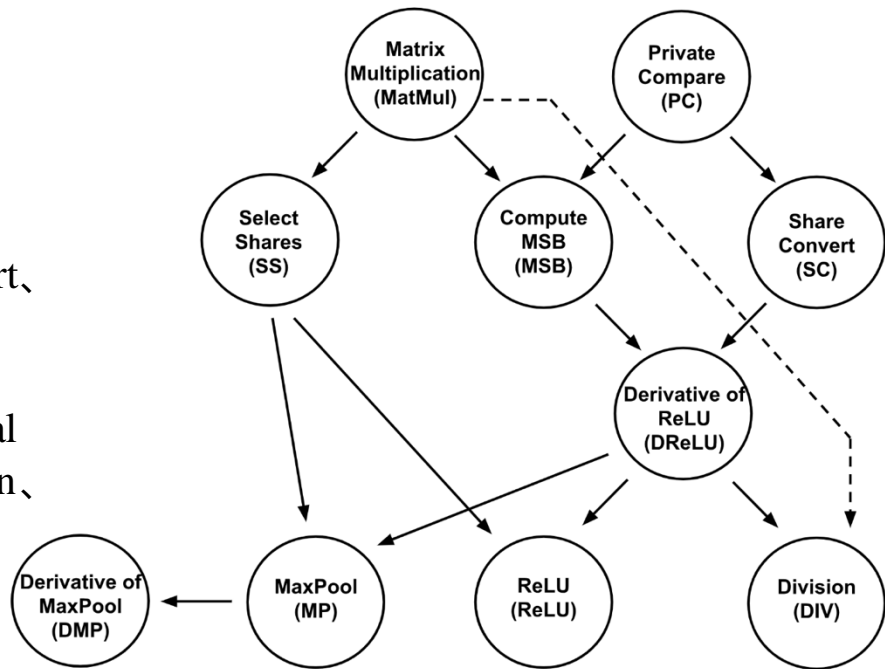


Fig. 3. Functionality dependence of protocols in SecureNN

# notation

## 1. 环的定义:

- $Z_L$ : 模  $L$  的整数环, 其中  $L = 2^\ell$ .
- $Z_{L-1}$ : 模  $L - 1$  的整数环, 是一个奇数大小的环。
- $Z_p$ : 模  $p$  的整数环, 其中  $p$  是一个素数, 构成一个域。

## 2. 秘密共享类型:

- $\langle x \rangle_0^t$  和  $\langle x \rangle_1^t$ : 在  $Z_t$  上  $x$  的两个加法份额。
- $Share^t(x)$ : 算法, 用于生成  $x$  在  $Z_t$  上的两个份额。
- $Reconst^t(x_0, x_1)$ : 算法, 用于使用两个份额  $x_0$  和  $x_1$  重建  $x$  的值。

## 3. 份额表示:

- $x[i]$ : 表示  $\ell$  位整数  $x$  的第  $i$  位比特。
- $\{\langle x[i] \rangle^t\}_{i \in [\ell]}$ : 表示  $x$  的比特份额在  $Z_t$  上的集合。
- $\langle X \rangle_0^t$  和  $\langle X \rangle_1^t$ : 表示通过逐元素秘密共享  $m \times n$  矩阵  $X$  的元素创建的矩阵。
- $Reconst^t(X_0, X_1)$ : 算法, 用于重建秘密共享的矩阵  $X$ , 定义为逐元素重建。

## 下面让我们来想想DReLU的计算...

1. 计算目标: 给定整数  $a$ ,  $f(a) = 1$  if  $a \geq 0$  else 0
2. 补码表示下进一步可以转换为求符号位  $MSB$  ( $f(a) = 1$  if  $MSB(a) = 0$  else 0)
3. 奇数环下有  $MSB(a) = LSB(2a)$

证明: 假设  $MSB(a) = 1$

$$\rightarrow a > n/2$$

$$\rightarrow n > 2a - n > 0$$

$\rightarrow 2a - n$  为奇数

$$\rightarrow LSB(2a - n) = 1$$

$$\rightarrow LSB(2a) = 1$$

#### 4. LSB的计算和环绕问题

- $P_0$  和  $P_1$  双方本地计算  $y = 2a$ , 则  $MSB(a) = LSB(y) = y[0]$  (此时  $n$  为奇数)
- $P_2$  随机选择整数  $x$  并将其share(以及  $x[0]$  的share)发送给  $P_0$  和  $P_1$ ,  $P_0$  和  $P_1$  本地计算  $r = y + x$
- $y[0] = r[0] \oplus x[0] \oplus \text{wrap}(x, y, n)$

其中  $\text{wrap}(x, y, n) = 1$  if  $x + y \geq n$  else 0

证:  $x + y < n$  时  $y[0] = r[0] \oplus x[0]$

$x + y \geq n$  时  $y[0] = r[0] \oplus x[0] \oplus 1$

故定义  $\text{wrap}(x, y, n) = 1$  if  $x + y \geq n$  else

- 如何求wrap?

当  $x + y \geq n$  时  $r = x + y - n \rightarrow n - y = x - r$

$y < n \rightarrow x > r$

故  $\text{wrap}(x, y, n) = (x > r)$

SecureNN主要用到了三个环:  $Z_L$ 、 $Z_{L-1}$ 、 $Z_p$ , 其中  $L = 2^q$ ,  $q$  是个小素数, 在实验中设定  $q = 64, p = 67$ 。

# Matrix Multiplication

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**Algorithm 1** Mat. Mul.  $\Pi_{\text{MatMul}}(\{P_0, P_1\}, P_2)$ :

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**Input:**  $P_0$  &  $P_1$  hold  $(\langle X \rangle_0^L, \langle Y \rangle_0^L)$  &  $(\langle X \rangle_1^L, \langle Y \rangle_1^L)$  resp.

**Output:**  $P_0$  gets  $\langle X \cdot Y \rangle_0^L$  and  $P_1$  gets  $\langle X \cdot Y \rangle_1^L$ .

**Common Randomness:**  $P_0$  and  $P_1$  hold shares of zero matrices over  $\mathbb{Z}_L^{m \times v}$  resp.; i.e.,  $P_0$  holds  $\langle 0^{m \times v} \rangle_0^L = U_0$  &  $P_1$  holds  $\langle 0^{m \times v} \rangle_1^L = U_1$

- 1:  $P_2$  picks random matrices  $A \xleftarrow{\$} \mathbb{Z}_L^{m \times n}$  and  $B \xleftarrow{\$} \mathbb{Z}_L^{n \times v}$  and generates for  $j \in \{0, 1\}$ ,  $\langle A \rangle_j^L, \langle B \rangle_j^L, \langle C \rangle_j^L$  and sends to  $P_j$ , where  $C = A \cdot B$ .
- 2: For  $j \in \{0, 1\}$ ,  $P_j$  computes  $\langle E \rangle_j^L = \langle X \rangle_j^L - \langle A \rangle_j^L$  and  $\langle F \rangle_j^L = \langle Y \rangle_j^L - \langle B \rangle_j^L$ .
- 3:  $P_0$  &  $P_1$  reconstruct  $E$  &  $F$  by exchanging shares.
- 4: For  $j \in \{0, 1\}$ ,  $P_j$  outputs  $-jE \cdot F + \langle X \rangle_j^L \cdot F + E \cdot \langle Y \rangle_j^L + \langle C \rangle_j^L + U_j$ .

$$P_0: X_0 \cdot F + E \cdot Y_0 + C_0 + U_0$$

$$P_1: -E \cdot F + X_1 \cdot F + E \cdot Y_1 + C_1 + U_1$$

$$\begin{aligned} P_0 + P_1: & -E \cdot F + X \cdot F + E \cdot Y + C + U \\ & = -(X - A)(Y - B) + X(Y - B) + \\ & \quad (X - A)Y + AB + U \\ & = XY \end{aligned}$$

# Select Share

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**Algorithm 2** SelectShare  $\Pi_{SS}(\{P_0, P_1\}, P_2)$ :

---

**Input:**  $P_0, P_1$  hold  $(\langle \alpha \rangle_0^L, \langle x \rangle_0^L, \langle y \rangle_0^L)$  and  $(\langle \alpha \rangle_1^L, \langle x \rangle_1^L, \langle y \rangle_1^L)$ , resp.

**Output:**  $P_0, P_1$  get  $\langle z \rangle_0^L$  and  $\langle z \rangle_1^L$ , resp., where  $z = (1 - \alpha)x + \alpha y$ .

**Common Randomness:**  $P_0$  and  $P_1$  hold shares of 0 over  $\mathbb{Z}_L$  denoted by  $u_0$  and  $u_1$ .

- 1: For  $j \in \{0, 1\}$ ,  $P_j$  compute  $\langle w \rangle_j^L = \langle y \rangle_j^L - \langle x \rangle_j^L$
- 2:  $P_0, P_1, P_2$  invoke  $\Pi_{MatMul}(\{P_0, P_1\}, P_2)$  with  $P_j, j \in \{0, 1\}$  having input  $(\langle \alpha \rangle_j^L, \langle w \rangle_j^L)$  and  $P_0, P_1$  learn  $\langle c \rangle_0^L$  and  $\langle c \rangle_1^L$ , resp.
- 3: For  $j \in \{0, 1\}$ ,  $P_j$  outputs  $\langle z \rangle_j^L = \langle x \rangle_j^L + \langle c \rangle_j^L + u_j$ .

$$(1 - \alpha)x + \alpha y = x + \alpha(y - x)$$

# Private Compare

- 1: Let  $t = r + 1 \bmod 2^\ell$ .
- 2: For each  $j \in \{0, 1\}$ ,  $P_j$  executes Steps 3–14:
- 3: **for**  $i = \{\ell, \ell - 1, \dots, 1\}$  **do**
- 4:     **if**  $\beta = 0$  **then**
- 5:          $\langle w_i \rangle_j^p = \langle x[i] \rangle_j^p + jr[i] - 2r[i]\langle x[i] \rangle_j^p$
- 6:          $\langle c_i \rangle_j^p = jr[i] - \langle x[i] \rangle_j^p + j + \sum_{k=i+1}^{\ell} \langle w_k \rangle_j^p$
- 7:     **else if**  $\beta = 1$  **AND**  $r \neq 2^\ell - 1$  **then**
- 8:          $\langle w_i \rangle_j^p = \langle x[i] \rangle_j^p + jt[i] - 2t[i]\langle x[i] \rangle_j^p$
- 9:          $\langle c_i \rangle_j^p = -jt[i] + \langle x[i] \rangle_j^p + j + \sum_{k=i+1}^{\ell} \langle w_k \rangle_j^p$
- 10:     **else**
- 11:         If  $i \neq 1$ ,  $\langle c_i \rangle_j^p = (1 - j)(u_i + 1) - ju_i$ , else  $\langle c_i \rangle_j^p = (-1)^j \cdot u_i$ .
- 12:     **end if**
- 13: **end for**
- 14: Send  $\{\langle d_i \rangle_j^p\}_i = \pi \left( \left\{ s_i \langle c_i \rangle_j^p \right\}_i \right)$  to  $P_2$
- 15: For all  $i \in [\ell]$ ,  $P_2$  computes  $d_i = \text{Reconst}^p(\langle d_i \rangle_0^p, \langle d_i \rangle_1^p)$  and sets  $\beta' = 1$  iff  $\exists i \in [\ell]$  such that  $d_i = 0$ .
- 16:  $P_2$  outputs  $\beta'$ .

**Algorithm 3** PrivateCompare  $\Pi_{\text{PC}}(\{P_0, P_1\}, P_2)$ :

**Input:**  $P_0, P_1$  hold  $\{\langle x[i] \rangle_0^p\}_{i \in [\ell]}$  and  $\{\langle x[i] \rangle_1^p\}_{i \in [\ell]}$ , respectively, a common input  $r$  (an  $\ell$  bit integer) and a common random bit  $\beta$ .

**Output:**  $P_2$  gets a bit  $\beta \oplus (x > r)$ .

**Common Randomness:**  $P_0, P_1$  hold  $\ell$  common random values  $s_i \in \mathbb{Z}_p^*$  for all  $i \in [\ell]$  and a random permutation  $\pi$  for  $\ell$  elements.  $P_0$  and  $P_1$  additionally hold  $\ell$  common random values  $u_i \in \mathbb{Z}_p^*$ .

**主要思想:** 比较大小也就是判断从最高有效位往右数的第一个不同比特位谁为1

$P_0$  和  $P_1$  握有整数  $x (x \in \mathbb{Z}_L, L = 2^\ell)$  中每一比特在  $\mathbb{Z}_p$  上的 share, 并持有一个  $q$  比特的公共整数  $r$  和公共随机比特  $\beta$ , 执行该算法后,  $P_2$  获得

$$\beta' = \beta \oplus (x > r) (x > r \in \{0, 1\})$$

- 如果  $\beta = 0$ , 则  $\beta' = (x > r)$ ,
  1. 从左到右遍历每一个比特 (step3), 计算  $w_i = x[i] \oplus r[i] = x[i] + r[i] - 2x[i]r[i]$  (step5) (证明:  $w_i \in \{0, 1\}$ ,  $-2x[i]r[i]$  是为了防止  $x[i] + r[i]$  的情况。也可以使用真值表)
  2. 计算  $c[i] = r[i] - x[i] + 1 + \sum_{k=i+1}^{\ell} w_k$  (step6)  
如果  $x$  和  $r$  第  $i$  个比特不同时,  $(x[i], r[i] \in \{0, 1\})$ 
    - $x[i] = 1 \rightarrow c[i] = 0$  (此时  $r[i] = 0, x[i] = 1, \sum_{k=i+1}^{\ell} w_k = 0$ ) ,
    - $x[i] = 0 \rightarrow c[i] = 2$ , 同时后续的所有  $c[i]$  都会大于等于1 (因为  $\sum_{k=i+1}^{\ell} w_k \geq 1$ ) ,  
所以最后只需要判断是否存在一个  $c[i] = 0$  即可 (step15), 为了不泄露敏感信息给  $P_2$ ,  $P_0$  和  $P_1$  在发送  $c[i]$  的 share 给  $P_2$  之前乘了个随机掩码  $s_i$  并作集合位置随机置换  $\pi$  (step14);
- 如果  $\beta = 1$  且  $r \neq 2^\ell - 1$ , 则  $\beta' = (x \leq r) = (x < r + 1)$ , 计算逻辑是一样的 (step8-9);
- 如果  $\beta = 1$  且  $r = 2^\ell - 1$ , 则  $(x \leq r)$  必成立, 此时随便置个  $c[i] = 0$  即可, 算法里选的是  $i = 1$  (step11)



# Share Convert

**Algorithm 4** ShareConvert  $\Pi_{SC}(\{P_0, P_1\}, P_2)$ :

**Input:**  $P_0, P_1$  hold  $\langle a \rangle_0^L$  and  $\langle a \rangle_1^L$ , respectively such that  $\text{Reconst}^L(\langle a \rangle_0^L, \langle a \rangle_1^L) \neq L - 1$ .

**Output:**  $P_0, P_1$  get  $\langle a \rangle_0^{L-1}$  and  $\langle a \rangle_1^{L-1}$ .

**Common Randomness:**  $P_0, P_1$  hold a random bit  $\eta''$ , a random  $r \in \mathbb{Z}_L$ , shares  $\langle r \rangle_0^L, \langle r \rangle_1^L, \alpha = \text{wrap}(\langle r \rangle_0^L, \langle r \rangle_1^L, L)$  and shares of 0 over  $\mathbb{Z}_{L-1}$  denoted by  $u_0$  and  $u_1$ .

1: For each  $j \in \{0, 1\}$ ,  $P_j$  executes Steps 2–3

2:  $\langle \tilde{a} \rangle_j^L = \langle a \rangle_j^L + \langle r \rangle_j^L$  and  $\beta_j = \text{wrap}(\langle a \rangle_j^L, \langle r \rangle_j^L, L)$ .

3: Send  $\langle a \rangle_j^L$  to  $P_2$ .

4:  $P_2$  computes  $x = \text{Reconst}^L(\langle \tilde{a} \rangle_0^L, \langle \tilde{a} \rangle_1^L)$  and  $\delta = \text{wrap}(\langle \tilde{a} \rangle_0^L, \langle \tilde{a} \rangle_1^L, L)$ .

5:  $P_2$  generates shares  $\{\langle x[i] \rangle_j^p\}_{i \in [\ell]}$  and  $\langle \delta \rangle_j^{L-1}$  for  $j \in \{0, 1\}$  and sends to  $P_j$ .

6:  $P_0, P_1, P_2$  invoke  $\Pi_{PC}(\{P_0, P_1\}, P_2)$  with  $P_j, j \in \{0, 1\}$  having input  $(\{\langle x[i] \rangle_j^p\}_{i \in [\ell]}, r - 1, \eta'')$  and  $P_2$  learns  $\eta'$ .

7: For  $j \in \{0, 1\}$ ,  $P_2$  generates  $\langle \eta' \rangle_j^{L-1}$  and sends to  $P_j$ .

8: For each  $j \in \{0, 1\}$ ,  $P_j$  executes Steps 9–11

9:  $\langle \eta \rangle_i^{L-1} = \langle \eta' \rangle_i^{L-1} + (1 - j)\eta'' - 2\eta''\langle \eta' \rangle_i^{L-1}$

10:  $\langle \theta \rangle_j^{L-1} = \beta_j + (1 - j) \cdot (-\alpha - 1) + \langle \delta \rangle_j^{L-1} + \langle \eta \rangle_j^{L-1}$

11: Output  $\langle y \rangle_j^{L-1} = \langle a \rangle_j^L - \langle \theta \rangle_j^{L-1} + u_j$  (over  $L - 1$ )

$$\theta = \text{wrap}(\langle a \rangle_0^L, \langle a \rangle_1^L, L)$$

$$r = \langle r \rangle_0^L + \langle r \rangle_1^L - \alpha L \quad (1)$$

$$\langle \tilde{a} \rangle_j^L = \langle a \rangle_j^L + \langle r \rangle_j^L - \beta_j L \quad (2)$$

$$x = \langle \tilde{a} \rangle_0^L + \langle \tilde{a} \rangle_1^L - \delta L \quad (3)$$

$$1\{x > r - 1\}$$

$$\begin{aligned} \eta &= 1\{x > r - 1\} & 1 - \eta &= 1\{x < r\} \\ x &= a + r - (1 - \eta)L \end{aligned} \quad (4)$$

$$a = \langle a \rangle_0^L + \langle a \rangle_1^L - \theta L \quad (5)$$

$$\theta = (1) - (2) - (3) + (4) + (5)$$

# Compute MSB

**Algorithm 5** ComputeMSB  $\Pi_{\text{MSB}}(\{P_0, P_1\}, P_2)$ :

**Input:**  $P_0, P_1$  hold  $\langle a \rangle_0^{L-1}$  and  $\langle a \rangle_1^{L-1}$ , respectively.

**Output:**  $P_0, P_1$  get  $\langle \text{MSB}(a) \rangle_0^L$  and  $\langle \text{MSB}(a) \rangle_1^L$ .

**Common Randomness:**  $P_0, P_1$  hold a random bit  $\beta$  and random shares of 0 over  $L$ , denoted by  $u_0$  and  $u_1$  resp.

- 1:  $P_2$  picks  $x \xleftarrow{\$} \mathbb{Z}_{L-1}$ . Next,  $P_2$  generates  $\langle x \rangle_j^{L-1}$ ,  $\{\langle x[i] \rangle_j^p\}_i$ ,  $\langle x[0] \rangle_j^L$  for  $j \in \{0, 1\}$  and sends to  $P_j$ .
- 2: For  $j \in \{0, 1\}$ ,  $P_j$  computes  $\langle y \rangle_j^{L-1} = 2\langle a \rangle_j^{L-1}$  and  $\langle r \rangle_j^{L-1} = \langle y \rangle_j^{L-1} + \langle x \rangle_j^{L-1}$ .
- 3:  $P_0, P_1$  reconstruct  $r$  by exchanging shares.
- 4:  $P_0, P_1, P_2$  call  $\Pi_{\text{PC}}(\{P_0, P_1\}, P_2)$  with  $P_j, j \in \{0, 1\}$  having input  $(\{\langle x[i] \rangle_j^p\}_{i \in [\ell]}, r, \beta)$  and  $P_2$  learns  $\beta'$ .
- 5:  $P_2$  generates  $\langle \beta' \rangle_j^L$  and sends to  $P_j$  for  $j \in \{0, 1\}$ .
- 6: For  $j \in \{0, 1\}$ ,  $P_j$  executes Steps 7–8
- 7:  $\langle \gamma \rangle_j^L = \langle \beta' \rangle_j^L + j\beta - 2\beta\langle \beta' \rangle_j^L$
- 8:  $\langle \delta \rangle_j^L = \langle x[0] \rangle_j^L + jr[0] - 2r[0]\langle x[0] \rangle_j^L$
- 9:  $P_0, P_1, P_2$  call  $\Pi_{\text{MatMul}}(\{P_0, P_1\}, P_2)$  with  $P_j, j \in \{0, 1\}$  having input  $(\langle \gamma \rangle_j^L, \langle \delta \rangle_j^L)$  and  $P_j$  learns  $\langle \theta \rangle_j^L$ .
- 10: For  $j \in \{0, 1\}$ ,  $P_j$  outputs  $\langle \alpha \rangle_j^L = \langle \gamma \rangle_j^L + \langle \delta \rangle_j^L - 2\langle \theta \rangle_j^L + u_j$ .

$P_2$  picks a random number  $x$ ,  $P_2$  gives secret shares of  $x$  as well as shares of  $x[0]$  to  $P_0, P_1$

$$y = 2a \quad r = y + x$$

$$1\{x > r\}$$

$$\begin{aligned} \beta' &= \beta \oplus (x > r) \\ \gamma &= \beta' \oplus \beta = (x > r) \end{aligned}$$

$$\delta = x[0] \oplus r[0]$$

$$y[0] = x[0] \oplus r[0] \oplus \text{wrap}(x, y, L) = \gamma \oplus \delta$$

# FSS和DPF的构造

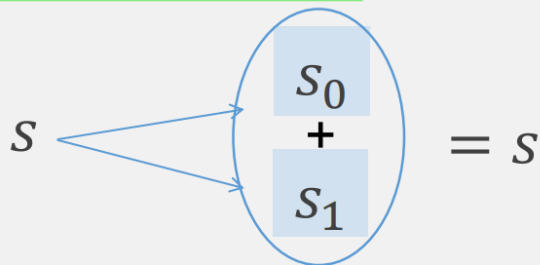
第三单元

# FSS(function secret sharing)

## ● 直觉

### ■ Additive Secret Sharing

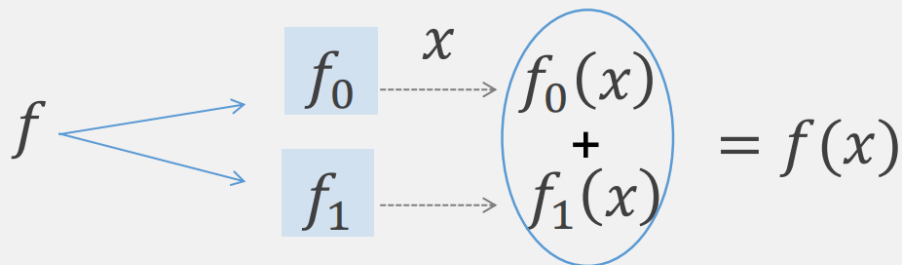
#### ■ Elements in Abelian group $G$



■ Secrecy:  $s_i$  hides  $s$

■ Reconstruction:  $s_0 + s_1 = s$  (in  $G$ )

### ■ Additive Secret Sharing of Functions



■ Secrecy:  $f_i$  (computationally) hides  $f$

■ Reconstruction:  $f_0(x) + f_1(x) = f(x)$

■ Efficiency:  $|f_i| \sim f$

# DPF和DCF

**DPF:**  $f_{(\alpha, \beta)} : \{0,1\}^l \rightarrow G$ , 当  $x = \alpha$  时有  $f_{\alpha, \beta}(x) = \beta$ ,  
当  $x \neq \alpha$  时有  $f_{\alpha, \beta}(x) = 0$

**DCF:**  $f_{(\alpha, \beta)} : \{0,1\}^l \rightarrow G$ , 当  $x < \alpha$  时有  $f_{\alpha, \beta}(x) = \beta$ ,  
当  $x \geq \alpha$  时有  $f_{\alpha, \beta}(x) = 0$

## 1. 密钥生成:

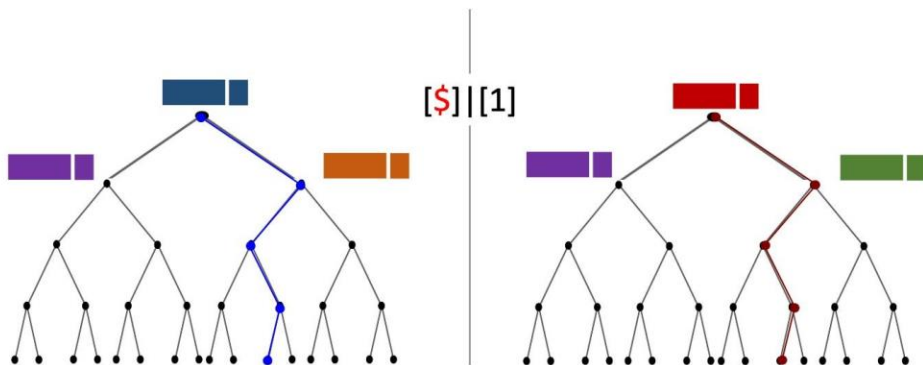
- 构建  $n$  位输入树，确定一条特殊路径  $\alpha$ 。
- 路径  $\alpha$  上的节点包含随机种子和控制比特，其他节点为 0。
- 每层添加纠正词，确保左孩子节点和右孩子节点中，一个节点的信息为 0，另一个节点的随机种子为随机串与 1 的组合。

## 2. 函数评估:

- 双方使用随机种子分片和控制比特通过伪随机生成器（PRG）生成子树。
- 根据控制比特决定是否添加纠正词。
- 沿着路径  $\alpha$  评估函数，保持节点信息为 1，偏离路径则节点信息为 0。

# DPF的构造

## DPF Construction from PRGs



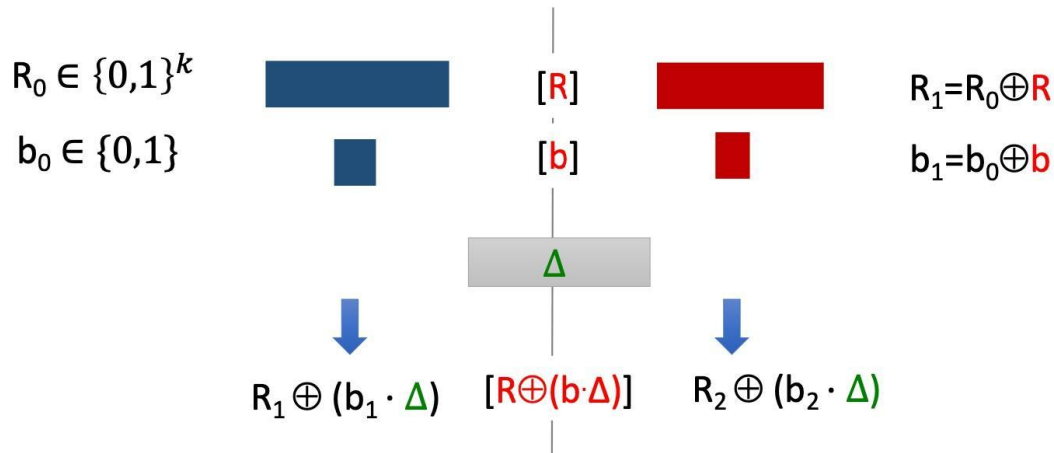
Invariant for Eval:

For each node  $v$  on evaluation path we have  $[S]||[b]$

- $v$  on special path:  $S$  is pseudorandom,  $b=1$
- $v$  off special path:  $S=0$ ,  $b=0$

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## Gadget: Conditional Correction



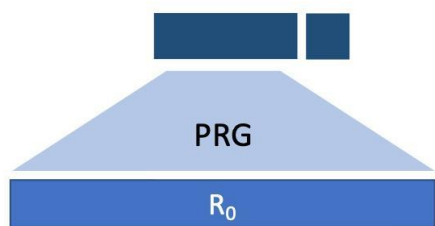
Test yourself:

- $R=0, b=0 \Rightarrow$  generate shares of... 0!
- $\Delta=R, b=1 \Rightarrow$  generate shares of... 0!

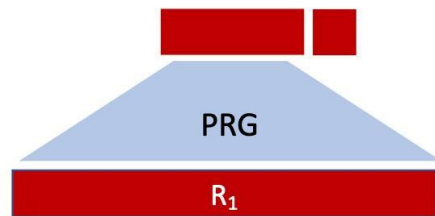
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# DPF的构造

## Building the Correction Word $\Delta$



$s$  |  $[1]$



$s_L$  |  $b_L$  |  $s_R$  |  $b_R$

$\Delta =$   $s_L$  |  $b_L$  |  $s_R \oplus s'$  |  $\neg b_R$

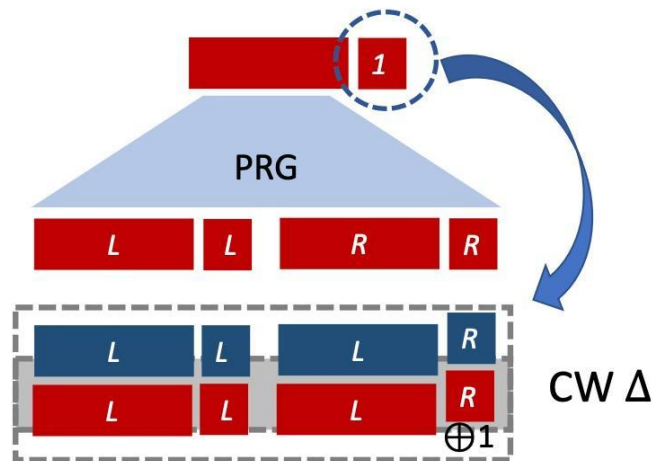
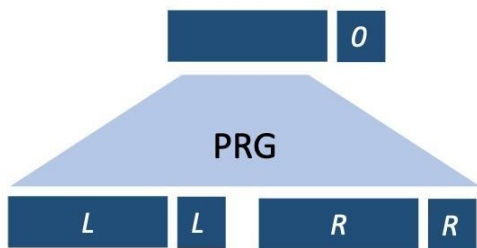
Goal =  $0$  |  $0$  |  $s'$  |  $1$

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# DPF的构造

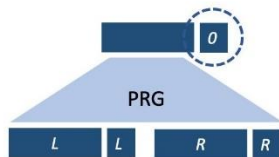
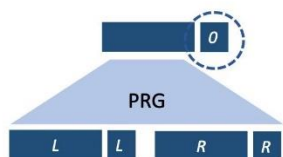
## Using the CW $\Delta$ : On-Path



# DPF的构造

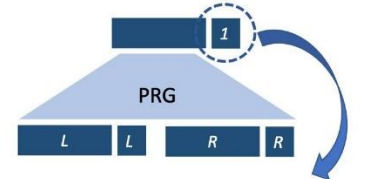
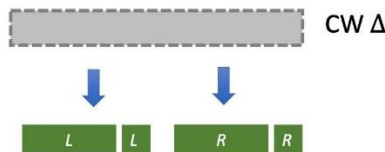
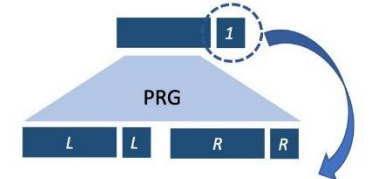
(1) 两边的控制比特都是0

Using the CW  $\Delta$  : Off-Path



(2) 两边的控制比特都是1

Using the CW  $\Delta$  : Off-Path



# DPF的构造 (BGI 15, P12/BGI16b, P5)

## Optimized Distributed Point Function (Gen<sup>•</sup>, Eval<sup>•</sup>)

Let  $G : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{2(\lambda+1)}$  a pseudorandom generator.

Let  $\text{Convert}_{\mathbb{G}} : \{0, 1\}^\lambda \rightarrow \mathbb{G}$  be a map converting a random  $\lambda$ -bit string to a pseudorandom group element of  $\mathbb{G}$ .

Gen<sup>•</sup>( $1^\lambda, \alpha, \beta, \mathbb{G}$ ):

- 1: Let  $\alpha = \alpha_1, \dots, \alpha_n \in \{0, 1\}^n$  be the bit decomposition.
- 2: Sample random  $s_0^{(0)} \leftarrow \{0, 1\}^\lambda$  and  $s_1^{(0)} \leftarrow \{0, 1\}^\lambda$
- 3: Sample random  $t_0^{(0)} \leftarrow \{0, 1\}$  and take  $t_1^{(0)} \leftarrow t_0^{(0)} \oplus 1$
- 4: **for**  $i = 1$  to  $n$  **do**
- 5:  $s_0^L || t_0^L || s_0^R || t_0^R \leftarrow G(s_0^{(i-1)})$  and  $s_1^L || t_1^L || s_1^R || t_1^R \leftarrow G(s_1^{(i-1)})$ .
- 6: **if**  $\alpha_i = 0$  **then** Keep  $\leftarrow L$ , Lose  $\leftarrow R$
- 7: **else** Keep  $\leftarrow R$ , Lose  $\leftarrow L$
- 8: **end if**
- 9:  $s_{CW} \leftarrow s_0^{\text{Lose}} \oplus s_1^{\text{Lose}}$
- 10:  $t_{CW}^L \leftarrow t_0^L \oplus t_1^L \oplus \alpha_i \oplus 1$  and  $t_{CW}^R \leftarrow t_0^R \oplus t_1^R \oplus \alpha_i$
- 11:  $CW^{(i)} \leftarrow s_{CW} || t_{CW}^L || t_{CW}^R$
- 12:  $s_b^{(i)} \leftarrow s_b^{\text{Keep}} \oplus t_b^{(i-1)} \cdot s_{CW}$  for  $b = 0, 1$
- 13:  $t_b^{(i)} \leftarrow t_b^{\text{Keep}} \oplus t_b^{(i-1)} \cdot t_{CW}^{\text{Keep}}$  for  $b = 0, 1$
- 14: **end for**
- 15:  $CW^{(n+1)} \leftarrow (-1)^{t_1^{(n)}} [\beta - \text{Convert}(s_0^{(n)}) + \text{Convert}(s_1^{(n)})]$ ,  
with addition in  $\mathbb{G}$
- 16: Let  $k_b = s_b^{(0)} || t_b^{(0)} || CW^{(1)} || \dots || CW^{(n+1)}$
- 17: **return** ( $k_0, k_1$ )

Eval<sup>•</sup>( $b, k_b, x$ ):

- 1: Parse  $k_b = s^{(0)} || t^{(0)} || CW^{(1)} || \dots || CW^{(n+1)}$
- 2: **for**  $i = 1$  to  $n$  **do**
- 3: Parse  $CW^{(i)} = s_{CW} || t_{CW}^L || t_{CW}^R$
- 4:  $\tau^{(i)} \leftarrow G(s^{(i-1)}) \oplus (t^{(i-1)} \cdot [s_{CW} || t_{CW}^L || s_{CW} || t_{CW}^R])$
- 5: Parse  $\tau^{(i)} = s^L || t^L || s^R || t^R \in \{0, 1\}^{2(\lambda+1)}$
- 6: **if**  $x_i = 0$  **then**  $s^{(i)} \leftarrow s^L, t^{(i)} \leftarrow t^L$
- 7: **else**  $s^{(i)} \leftarrow s^R$  and  $t^{(i)} \leftarrow t^R$
- 8: **end if**
- 9: **end for**
- 10: **return**  $(-1)^b [\text{Convert}(s^{(n)}) + t^{(n)} \cdot CW^{(n+1)}] \in \mathbb{G}$

# Orca: FSS-based Secure Training and Inference with GPUs

2024 S&P

## Main idea

- Function secret sharing (FSS) based secure 2-party computation (2PC) protocols in the pre-processing model
- Key feature: **reduce online communication** while increasing compute and storage

# Contributions

**Accelerate compute:** GPU micro-architecture

- use GPU' s scratchpad memory
- optimize data layout

**Reducing time to read FSS keys:**

bottleneck: read the FSS keys from the storage (SSD) to GPU' s memory

- reduce key size

## System optimizations

**Novel protocols:**

- Stochastic truncations
- ReLUs
- maxpools
- floating-point softmax

## Cryptographic improvements

## Secure 2PC with pre-processing using FSS

secure 2PC protocol using FSS ( BGI, TCC 2019 Boyle et al.(2020) )

Offline Phase:

- For each wire  $w_i$  in the computation circuit, randomly sample a mask  $r_i$
- For each gate  $g$ , with input wire  $w_i$  and output wire  $w_j$
- generate FSS keys  $(k_0^g, k_1^g)$  for the **offset function**,  $g^{[r_i r_j]}(x) = g(x - r_i) + r_j$ , and provide party  $b$  with  $k_b^g$
- For input and output wires  $w_i$  owned by party  $b$ , party  $b$  learns the corresponding mask  $r_i$

## Secure 2PC with pre-processing using FSS

Online Phase:

- For each input wire  $w_i$  with value  $x_i$  owned by party  $b$ , the party  $b$  calculates masked wire value  $\hat{x}_i = x_i + r_i$  and sends it to the other party
- From the input gates, the two parties process gates in topological order to receive masked output wire values
- To process a gate  $g$ , with input wire  $w_i$ , output wire  $w_j$ , and masked input wire value  $\hat{x}_i = x_i + r_i$ , party  $b$  uses **Eval** with  $k_b^g$  and  $\hat{x}_i$  to obtain a share of the masked output wire value  $\hat{x}_j = g^{[r_i r_j]}(\hat{x}_i) = g(\hat{x}_i - r_i) + r_j = g(x_i) + r_j = x_j + r_j$



# Secure 2PC with pre-processing using FSS

## FSS Gates :

**Definition 3** (FSS Gates [3]). *Let  $\mathcal{G} = \{g : \mathbb{G}^{\text{in}} \rightarrow \mathbb{G}^{\text{out}}\}$  be a computation gate (parameterized by input and output groups  $\mathbb{G}^{\text{in}}, \mathbb{G}^{\text{out}}$ ). The family of offset functions  $\hat{\mathcal{G}}$  of  $\mathcal{G}$  is given by*

$$\hat{\mathcal{G}} := \left\{ g^{[r^{\text{in}}, r^{\text{out}}]} : \mathbb{G}^{\text{in}} \rightarrow \mathbb{G}^{\text{out}} \mid \begin{array}{l} g : \mathbb{G}^{\text{in}} \rightarrow \mathbb{G}^{\text{out}} \in \mathcal{G}, \\ r^{\text{in}} \in \mathbb{G}^{\text{in}}, r^{\text{out}} \in \mathbb{G}^{\text{out}} \end{array} \right\}$$

$$\text{where } g^{[r^{\text{in}}, r^{\text{out}}]}(x) := g(x - r^{\text{in}}) + r^{\text{out}},$$

*and  $g^{[r^{\text{in}}, r^{\text{out}}]}$  contains an explicit description of  $r^{\text{in}}, r^{\text{out}}$ . Finally, we use the term FSS gate for  $\mathcal{G}$  to denote an FSS scheme for the corresponding offset family  $\hat{\mathcal{G}}$ .*

## Secure 2PC with pre-processing using FSS



$$g(x_i) = x_j$$

$$\hat{x}_i = x_i + r_i \quad \hat{x}_j = g(x_i) + r_j$$

$$\begin{array}{lcl}
 \mathbf{P}_0 : & \hat{x}_i & \xrightarrow{k_0} f_0(\hat{x}_i) = g(\hat{x}_i - r_i) + r_j \\
 \mathbf{P}_1 : & \hat{x}_i & \xrightarrow{k_1} f_1(\hat{x}_i) = g(\hat{x}_i - r_i) + r_j
 \end{array}
 \begin{array}{c} \searrow \\ \nearrow \end{array}
 x_j$$

## Protocol for Select

**Select function:** input a selector bit  $s \in \{0, 1\}$  and an  $n$ -bit payload  $x \in U_N$ , returns  $x$  if  $s = 1$  and 0 otherwise  $\implies select_n(s, x) = s \cdot x$

offset function for  $select_n$  :

$$\begin{aligned} select_n^{[(r_1^{in}, r_2^{in}), r^{out}]}(\hat{s}, \hat{x}) &= (\hat{s} - r_1^{in} + 2 \cdot 1\{\hat{s} < r_1^{in}\}) \cdot (\hat{x} - r_2^{in}) \\ &\quad + r^{out} \mod 2^n \\ &= \hat{s} \cdot \hat{x} - r_1^{in} \cdot \hat{x} - \hat{s} \cdot r_2^{in} + r_1^{in} \cdot r_2^{in} + r^{out} \\ &\quad + 2 \cdot 1\{\hat{s} = 0 \text{ and } r_1^{in} = 1\} \cdot (\hat{x} - r_2^{in}) \mod 2^n \end{aligned}$$

Here, use the fact that  $1\{\hat{s} < r_1^{in}\} = 1\{\hat{s} = 0 \text{ and } r_1^{in} = 1\}$  as  $\hat{s}$  and  $r_1^{in}$  are single bit values

## Protocol for Select

$$\begin{aligned}\text{select}_n^{[(r_1^{\text{in}}, r_2^{\text{in}}), r^{\text{out}}]}(\hat{s}, \hat{x}) &= (\hat{s} - r_1^{\text{in}} + 2 \cdot 1\{\hat{s} < r_1^{\text{in}}\}) \cdot (\hat{x} - r_2^{\text{in}}) \\ &\quad + r^{\text{out}} \pmod{2^n} \\ &= \hat{s} \cdot \hat{x} - r_1^{\text{in}} \cdot \hat{x} - \hat{s} \cdot r_2^{\text{in}} + r_1^{\text{in}} \cdot r_2^{\text{in}} + r^{\text{out}} \\ &\quad + 2 \cdot 1\{\hat{s} = 0 \text{ and } r_1^{\text{in}} = 1\} \cdot (\hat{x} - r_2^{\text{in}}) \pmod{2^n}\end{aligned}$$

$$\begin{aligned}\text{if } \hat{s} = 0 : \quad \text{select}_n &= -r_1^{\text{in}} \cdot \hat{x} + (r_1^{\text{in}} \cdot r_2^{\text{in}} + r^{\text{out}}) + 2 \cdot \hat{x} \cdot r_1^{\text{in}} - 2 \cdot r_2^{\text{in}} \cdot r_1^{\text{in}} \pmod{2^n} \\ &= r_1^{\text{in}} \cdot \hat{x} + (r_1^{\text{in}} \cdot r_2^{\text{in}} + r^{\text{out}}) - 2 \cdot r_2^{\text{in}} \cdot r_1^{\text{in}} \pmod{2^n}\end{aligned}$$

$$\text{if } \hat{s} = 1 : \quad \text{select}_n = \hat{x} - r_1^{\text{in}} \cdot \hat{x} - r_2^{\text{in}} + (r_1^{\text{in}} \cdot r_2^{\text{in}} + r^{\text{out}}) \pmod{2^n}$$

# Protocol for Select

**Select**  $\Pi_n^{\text{select}}$

**Gen** $_n^{\text{select}}((r_1^{\text{in}}, r_2^{\text{in}}), r^{\text{out}})$  :

- 1:  $u = \text{extend}(r_1^{\text{in}}, n)$
- 2:  $w = u \cdot r_2^{\text{in}} + r^{\text{out}}$
- 3:  $z = 2 \cdot u \cdot r_2^{\text{in}}$
- 4: **share**  $(u, r_2^{\text{in}}, w, z)$
- 5: For  $b \in \{0, 1\}$ ,  $k_b = u_b || r_{2,b}^{\text{in}} || w_b || z_b$

**Eval** $_n^{\text{select}}(b, k_b, (\hat{s}, \hat{x}))$  :

- 1: Parse  $k_b$  as  $u_b || r_{2,b}^{\text{in}} || w_b || z_b$
- 2: **if**  $\hat{s} = 0$  **then**
- 3:     **return**  $\hat{y}_b = u_b \cdot \hat{x} + w_b - z_b$
- 4: **else**
- 5:     **return**  $\hat{y}_b = b \cdot \hat{x} - u_b \cdot \hat{x} - r_{2,b}^{\text{in}} + w_b$
- 6: **end if**

**if**  $\hat{s} = 0$

$$\begin{aligned}\hat{y}_b &= u_b \cdot \hat{x} + w_b - z_b \\ &= r_{1,b}^{\text{in}} \cdot \hat{x} + (r_{1,b}^{\text{in}} \cdot r_{2,b}^{\text{in}} + r_b^{\text{out}}) - 2 \cdot r_{1,b}^{\text{in}} \cdot r_{2,b}^{\text{in}}\end{aligned}$$

**if**  $\hat{s} = 1$

$$\begin{aligned}\hat{y}_b &= b \cdot \hat{x} - u_b \cdot \hat{x} - r_{2,b}^{\text{in}} + w_b \\ &= b \cdot \hat{x} - r_{1,b}^{\text{in}} \cdot \hat{x} - r_{2,b}^{\text{in}} + (r_{1,b}^{\text{in}} \cdot r_{2,b}^{\text{in}} + r_b^{\text{out}})\end{aligned}$$

**if**  $b=1$

$$\hat{y}_b = \hat{x} - r_{2,b}^{\text{in}} - r_{1,b}^{\text{in}} \cdot \hat{x} + (r_{1,b}^{\text{in}} \cdot r_{2,b}^{\text{in}} + r_b^{\text{out}})$$

**if**  $b=0$

$$\hat{y}_b = -r_{2,b}^{\text{in}} - r_{1,b}^{\text{in}} \cdot \hat{x} + (r_{1,b}^{\text{in}} \cdot r_{2,b}^{\text{in}} + r_b^{\text{out}})$$

# Evaluation

Dataset	Accuracy		Time (in min)		Comm. (in GB)	
	PIRANHA	ORCA	PIRANHA	ORCA	PIRANHA	ORCA
MNIST	96.8 (−0.3%)	97.1	56 (4.0×)	14	2,168.4 (43.2×)	50.2
CIFAR-10	55 (−4.6%)	59.6	1170 (22.5×)	52	65231.3 (98.5×)	662.4
	55 (−14%)	69	1170 (9.1×)	128	65231.3 (39.4×)	1656.1

PIRANHA is the current state-of-the-art in accelerating secure training using GPUs

ORCA compared to PIRANHA:

- higher accuracy
- generated models in 4 – 22× less time
- with 43–98× less communication

# Evaluation

Model	LLAMA	CrypTen	ORCA	
			$n = 64$	time $[n, f]$
VGG-16	54.93 (103.6 $\times$ )	13.19 (24.9 $\times$ )	1.21 (2.3 $\times$ )	0.53 [32, 12]
ResNet-50	45.83 (67.4 $\times$ )	5.76 (8.5 $\times$ )	0.93 (1.4 $\times$ )	0.68 [37, 12]
ResNet-18	12.03 (85.9 $\times$ )	2.97 (21.2 $\times$ )	0.28 (2.0 $\times$ )	0.14 [32, 10]

**Runtime** (in seconds) for secure ImageNet inference

ORCA enables **sub-second ImageNet-scale** inference of VGG-16 and ResNet-50 and outperforms state-of-the-art by an order of magnitude

## 参考资料

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