

Vector Spaces

Monday, September 9, 2024

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8.1 Vector spaces

A set of objects (vectors) $\mathbf{a}, \mathbf{b}, \mathbf{c}, \dots$ is said to form a *linear vector space* V if:

$$\mathbf{a} + \mathbf{b} = \mathbf{b} + \mathbf{a}, \quad (8.2)$$

$$(\mathbf{a} + \mathbf{b}) + \mathbf{c} = \mathbf{a} + (\mathbf{b} + \mathbf{c}); \quad (8.3)$$

(ii) the set is closed under multiplication by a scalar (any complex number) to form a new vector $\lambda\mathbf{a}$, the operation being both distributive and associative so that

$$\lambda(\mathbf{a} + \mathbf{b}) = \lambda\mathbf{a} + \lambda\mathbf{b}, \quad (8.4)$$

$$(\lambda + \mu)\mathbf{a} = \lambda\mathbf{a} + \mu\mathbf{a}, \quad (8.5)$$

$$\lambda(\mu\mathbf{a}) = (\lambda\mu)\mathbf{a}, \quad (8.6)$$

where λ and μ are arbitrary scalars;

(iii) there exists a *null vector* $\mathbf{0}$ such that $\mathbf{a} + \mathbf{0} = \mathbf{a}$ for all \mathbf{a} ;

(iv) multiplication by unity leaves any vector unchanged, i.e. $1 \times \mathbf{a} = \mathbf{a}$;

(v) all vectors have a corresponding *negative vector* $-\mathbf{a}$ such that $\mathbf{a} + (-\mathbf{a}) = \mathbf{0}$.

It follows from (8.5) with $\lambda = 1$ and $\mu = -1$ that $-\mathbf{a}$ is the same vector as $(-1) \times \mathbf{a}$.

When λ, μ
 ↗ are real \rightarrow real vector space
 ↗ are complex \rightarrow complex vector space

If V is an N -dimensional vector space then any set of N linearly independent vectors

$$\{\vec{e}_i\}_{i=1}^N = \{\vec{e}_1, \vec{e}_2, \dots, \vec{e}_3\}$$

forms a basis for V .

Thus, for any vector $\vec{v} \in V$, we can write

$$\vec{v} = \sum_{n=1}^N v_n \vec{e}_n$$

Note: the basis vector is not unique.

Choosing a different basis will NOT change \vec{v} , it will change the components

$$\{\vec{e}_i\}_{i=1}^N, \quad \vec{v} = \sum_{n=1}^N v_n \vec{e}_n$$

We may denote a vector using an array of numbers.

Consider an orthogonal basis set $\{\hat{e}_i\}_{i=1}^N$, we can write

$$\hat{e}_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad \hat{e}_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad \hat{e}_i = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ 0 \end{pmatrix} \text{ } i^{\text{th}} \text{ entry}, \quad \hat{e}_N = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

then a $\vec{v} \in V$

$$\vec{v} = \sum_{n=1}^N v_n \hat{e}_n = v_1 \hat{e}_1 + v_2 \hat{e}_2 + \dots + v_N \hat{e}_N = \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_N \end{pmatrix}$$

The inner product (generalization of scalar product)

The usual scalar product (of real vector space)

can be represented by

$$\vec{a} \cdot \vec{b} = \vec{a}^\top \vec{b} = \underbrace{\langle a_1, a_2, \dots, a_N \rangle}_{\text{row vector}} \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_N \end{pmatrix}$$

$$= a_1 b_1 + a_2 b_2 + \dots + a_N b_N$$

where \top is for transpose.

For complex vector space, the scalar product is

$$\vec{a} \cdot \vec{b} = \vec{a}^\dagger \vec{b} = \underbrace{\langle a_1^*, a_2^*, \dots, a_N^* \rangle}_{\text{row vector}} \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_N \end{pmatrix} \quad \|a\|^2 = \vec{a}^\dagger \vec{a} \geq 0$$

Here, $+$ (dagger) is transpose conjugate.

$$= a_1^* b_1 + a_2^* b_2 + a_3^* b_3 + \dots + a_N^* b_N$$

In bra-ket notation,

$$|\alpha\rangle = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{pmatrix}$$

$$|\alpha\rangle = \left[\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{pmatrix} \right]^\dagger$$

$$\langle \alpha | = \underbrace{\langle a_1^* a_2^* \dots a_N^* \rangle}_{\text{row vector}}$$

$\langle \alpha | \beta \rangle$ can be complex

$$\langle \alpha | \alpha \rangle =$$

Gram-Schmidt Orthogonalization

Example: (ordinary vectors)

$$\|\vec{e}_1\| = \sqrt{\vec{e}_1 \cdot \vec{e}_1}$$

Form a set of orthonormal vectors from

$$\vec{e}_1 = \begin{pmatrix} 1 \\ \frac{1}{2} \end{pmatrix}, \quad \vec{e}_2 = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}, \quad \vec{e}_3 = \begin{pmatrix} \frac{1}{2} \\ 0 \end{pmatrix}$$

$$\hat{A} = \frac{\vec{A}}{\sqrt{\vec{A} \cdot \vec{A}}}$$

Note that the above vectors are not orthogonal.

$$\vec{e}_1 \cdot \vec{e}_2 = \underbrace{\frac{1}{2} \cdot \frac{1}{2}}_{1+1} \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} = 1 + 1 + 2 = 4 \neq 0$$

$$\hat{A} \cdot \hat{A} = \frac{\vec{A}}{\sqrt{\vec{A} \cdot \vec{A}}} \cdot \frac{\vec{A}}{\sqrt{\vec{A} \cdot \vec{A}}}$$

$$\vec{e}_1 \cdot \vec{e}_3 = \underbrace{\frac{1}{2} \cdot \frac{1}{2}}_{1+0} \begin{pmatrix} \frac{1}{2} \\ 0 \end{pmatrix} = 1 + 0 + 2 = 3 \neq 0$$

$$= \frac{\vec{A} \cdot \vec{A}}{\vec{A} \cdot \vec{A}}$$

$$\vec{e}_2 \cdot \vec{e}_3 = \underbrace{\frac{1}{2} \cdot \frac{1}{2}}_{1+0+4} \begin{pmatrix} \frac{1}{2} \\ 0 \end{pmatrix} = 1 + 0 + 4 = 5 \neq 0$$

$$= 1$$

SOLUTION:

① Normalize the 1st vector:

$$\hat{e}_1 = \frac{\vec{e}_1}{\sqrt{\vec{e}_1 \cdot \vec{e}_1}} = \frac{1}{\sqrt{1^2 + \frac{1}{2}^2 + \frac{1}{2}^2}} \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

$$\hat{e}_1 = \frac{1}{\sqrt{3}} \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

② Construct a vector, using \vec{e}_2 , that is orthogonal to \hat{e}_1 :

$$\vec{e}_2 = \vec{e}_2 - (\hat{e}_1 \cdot \vec{e}_2) \hat{e}_1 = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} - \frac{\frac{1}{2} \cdot \frac{1}{2}}{\sqrt{3}} \cdot \frac{1}{\sqrt{3}} \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} - \frac{1}{6} \\ \frac{1}{2} - \frac{1}{6} \end{pmatrix} = \begin{pmatrix} \frac{1}{3} \\ \frac{1}{3} \end{pmatrix}$$

$$\vec{e}_2 = -\frac{1}{3} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}$$

Notice that by construction, \vec{e}_2 is already orthogonal to \hat{e}_1 .
 $\vec{e}_2 = \vec{e}_2 - (\hat{e}_1 \cdot \vec{e}_2) \hat{e}_1$
 $\vec{e}_2 \cdot \hat{e}_1 = \vec{e}_2 \cdot \hat{e}_1 - (\hat{e}_1 \cdot \vec{e}_2)(\hat{e}_1 \cdot \hat{e}_1)$
 $= \vec{e}_2 \cdot \hat{e}_1 - \hat{e}_1 \cdot \vec{e}_2 = 1$ \hat{e}_1 is already normalized
 $= 0$

③ Normalize \vec{e}_2 :

$$\hat{e}_2 = \frac{\vec{e}_2}{\sqrt{\vec{e}_2 \cdot \vec{e}_2}} = \frac{-\frac{1}{3} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}}{\sqrt{\frac{2}{3}}} = \frac{-\frac{1}{3} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}}{\sqrt{\frac{2}{3}}} = \frac{1}{\sqrt{\frac{2}{3}}} \cdot \frac{1}{3} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix} = -\frac{1}{\sqrt{6}} \cdot \frac{1}{3} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix} = -\frac{1}{\sqrt{6}} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}$$

④ Construct the third vector from \vec{e}_3 that is orthogonal to both \hat{e}_1 & \hat{e}_2

$$\vec{e}_3 = \vec{e}_3 - (\hat{e}_1 \cdot \vec{e}_3) \hat{e}_1 - (\hat{e}_2 \cdot \vec{e}_3) \hat{e}_2$$

$$\text{ensures orthogonality of } \vec{e}_3 \text{ with } \hat{e}_1 \quad \text{ensures orthogonality of } \vec{e}_3 \text{ with } \hat{e}_2$$

$$= \left(\frac{1}{2} \right) - \left(\frac{1}{6} \right) (1+0-4) \left(-\frac{1}{6} \right) \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix} = \frac{1}{2} - \frac{1}{6} [1+0+2] \left(\frac{1}{6} \right) \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}$$

$$= \left(\frac{1}{2} \right) + \frac{2}{6} \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix} - \left(\frac{1}{6} \right) \begin{pmatrix} \frac{1}{2} \\ -2 \end{pmatrix}$$

$$\vec{e}_3 = \begin{pmatrix} \frac{1}{2} \\ -\frac{1}{2} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \frac{1}{2} \\ -1 \end{pmatrix}$$

⑤ Last step: normalize \vec{e}_3

$$\hat{e}_3 = \frac{\vec{e}_3}{\sqrt{\vec{e}_3 \cdot \vec{e}_3}}$$

$$= \frac{1}{2\sqrt{2}} \begin{pmatrix} \frac{1}{2} \\ -1 \end{pmatrix}$$

Q2. Consider the following non-orthogonal basis set

$$\hat{e}_1 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \quad \hat{e}_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \quad \hat{e}_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \quad \hat{e}_4 = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.$$

orthogonalize them by keeping the form of \hat{e}_1 .