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SCHOOL OF MEDICINE
AND PUBLIC HEALTH

Topological State-Space Estimation of Dynamically Changing Functional Brain Networks

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Acknowledgement

Felipe Branco De Paiva, Camille Garcia Ramos, Zijian Chen,
Tahmineh Azizi, D. Vijay Anand, Soumya Das, Tananun
Songdechakrakut, Vivek Prabharakaren, Veena A. Nair,
Elizabeth Meyerand, Bruce P. Hermann, Aaron F. Struck, Ian
C. Carroll, H. Hill Goldsmith, Seth Pollack, Richard Davdison
Univ. of Wisconsin-Madison

Jeffrey R. Binder, Medical College of Wisconsin (MCW), US

Vince D. Calhoun TRenDs, Georgia State, Georgia Tech,
Emory State University, Georgia

Grants: NIH U01NS093650, NS117568, EB022856, EB028753, NSF DMS-2010778

Acknowledgement

James Gee, University of Pennsylvania

Jamie Hanson University of Pittsburgh

Shih-Gu Huang, Anqi Qiu National University of Singapore

Anass El Yaagoubi Bourakna, Hernando Ombao KAUST,
Saudi Arabia

Sunah Choi, Minah Kim, Hyekyoung Lee, Dong Soo Lee,
Jun Soo Kwon Seoul National University, Korea
Ilwoo Lyu UNIST, Korea

Grants: NIH U01NS093650, NS117568, EB022856, EB028753, NSF DMS-2010778

Abstract

A new data-driven topological approach is presented for estimating state spaces in dynamically changing functional brain networks of humans. The approach penalizes the topological distance between networks and clusters, dynamically changing brain networks into topologically distinct states. The method considers the temporal dimension of the data through the Wasserstein distance between networks. The method is shown to outperform the widely used k-means clustering often used in estimating the state space in brain networks. The method is applied to accurately determine the state spaces of dynamically changing functional brain networks. Subsequently, the question of if the overall topology of brain networks is a heritable feature using the twin study design is addressed. The talk is based on [arXiv:2201.00087](https://arxiv.org/abs/2201.00087)(under review in *PLOS Computational Biology*)

Basics on Neuroimages

Magnetic resonance imaging (MRI)



Wisconsin Twin Brain Imaging Study done here

3T GE Discovery X750
Waisman Brain Imaging Laboratory
University of Wisconsin-Madison

Magnetic Resonance Imaging (MRI)

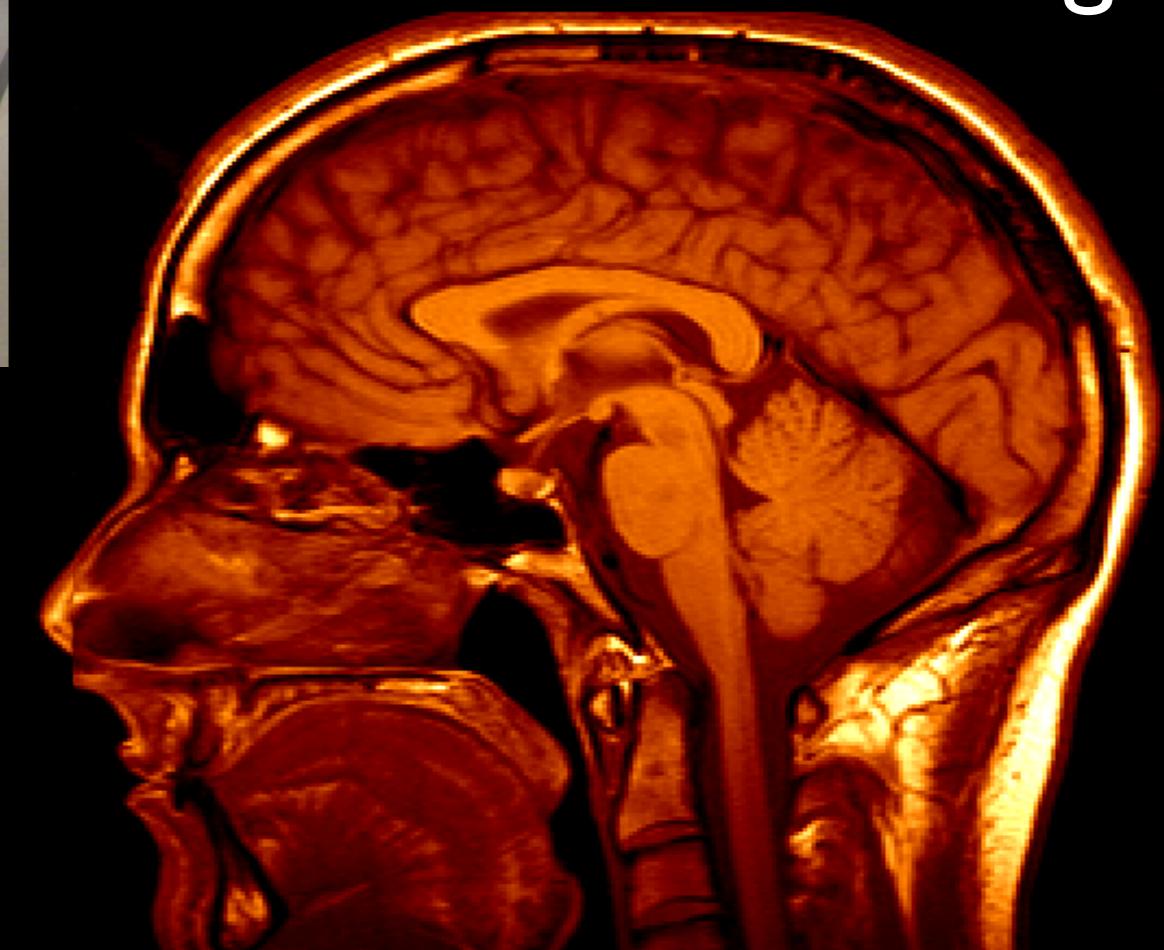


3.0 Tesla GE Scanner

Soft tissues: brain

Soft tissues

3D image

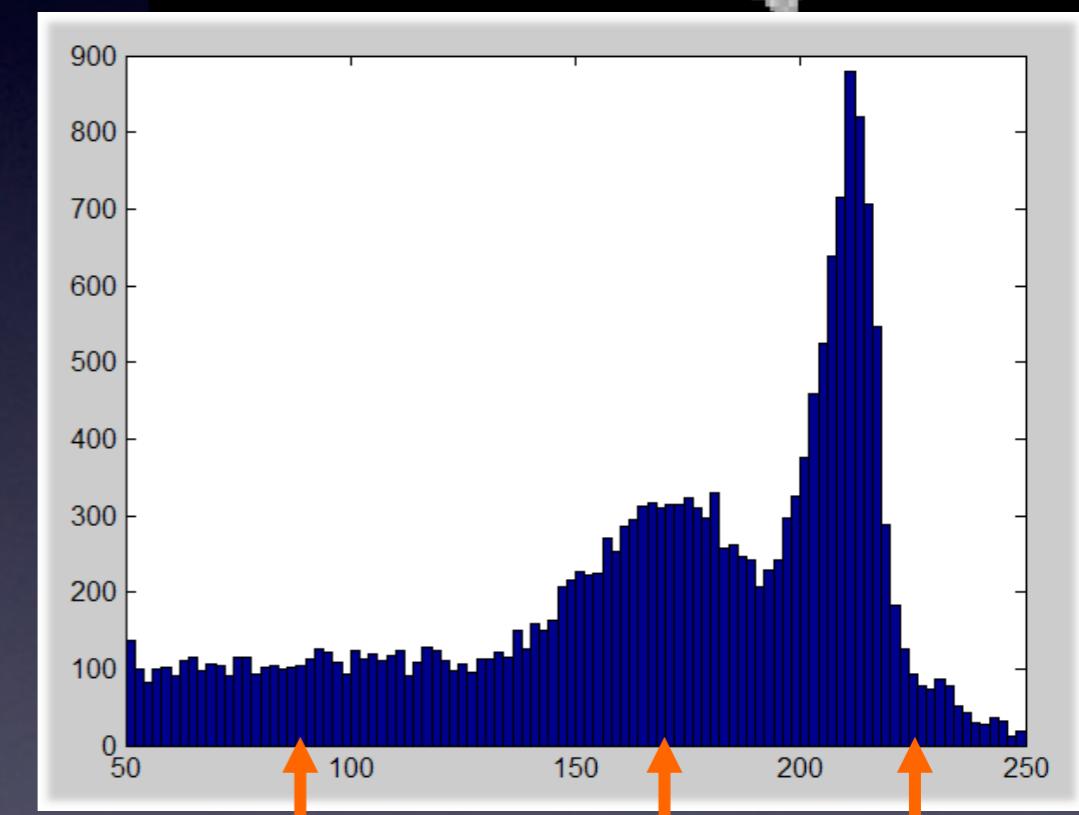
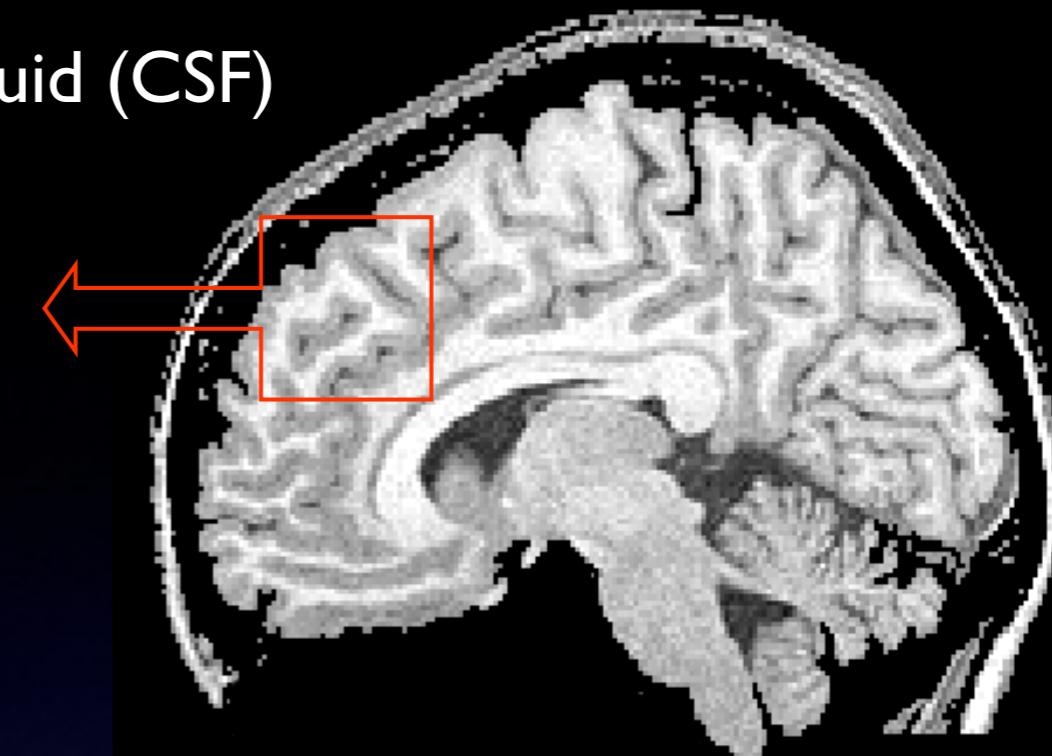
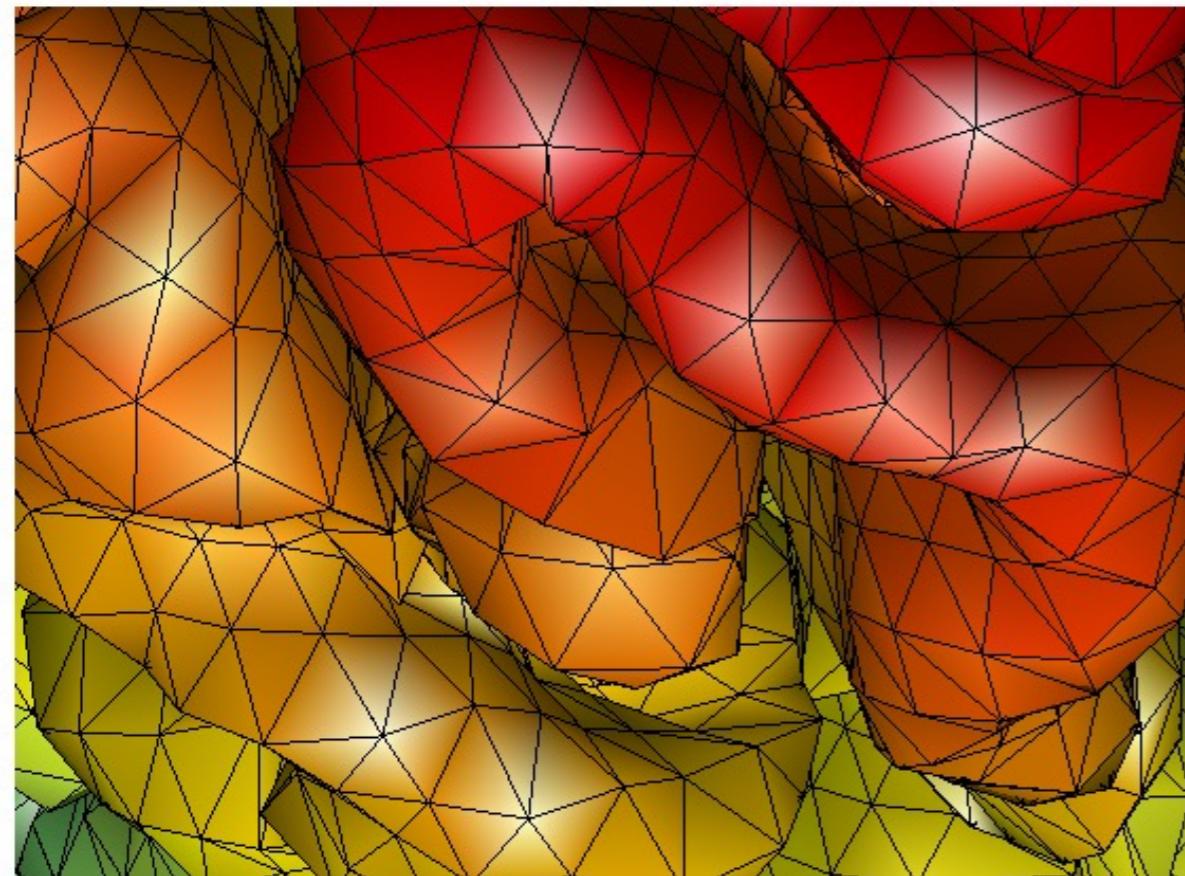
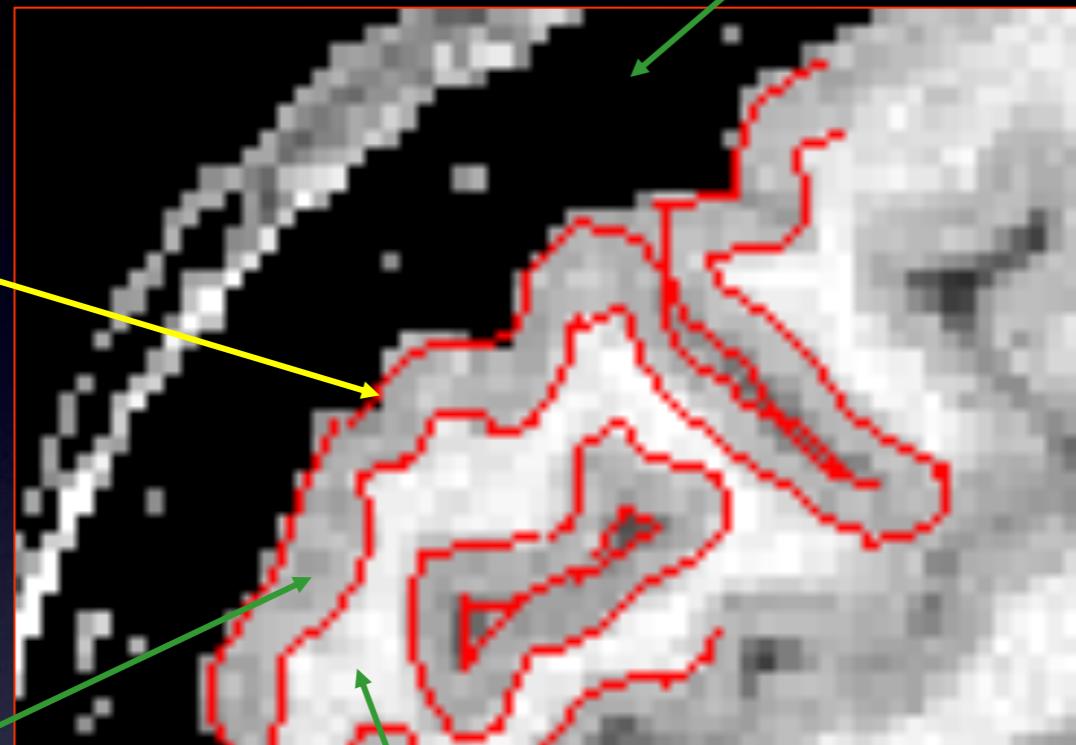


Basic brain anatomy

Cerebral Spinal Fluid (CSF)

Outer
Cortical
Surface

Gray



CSF

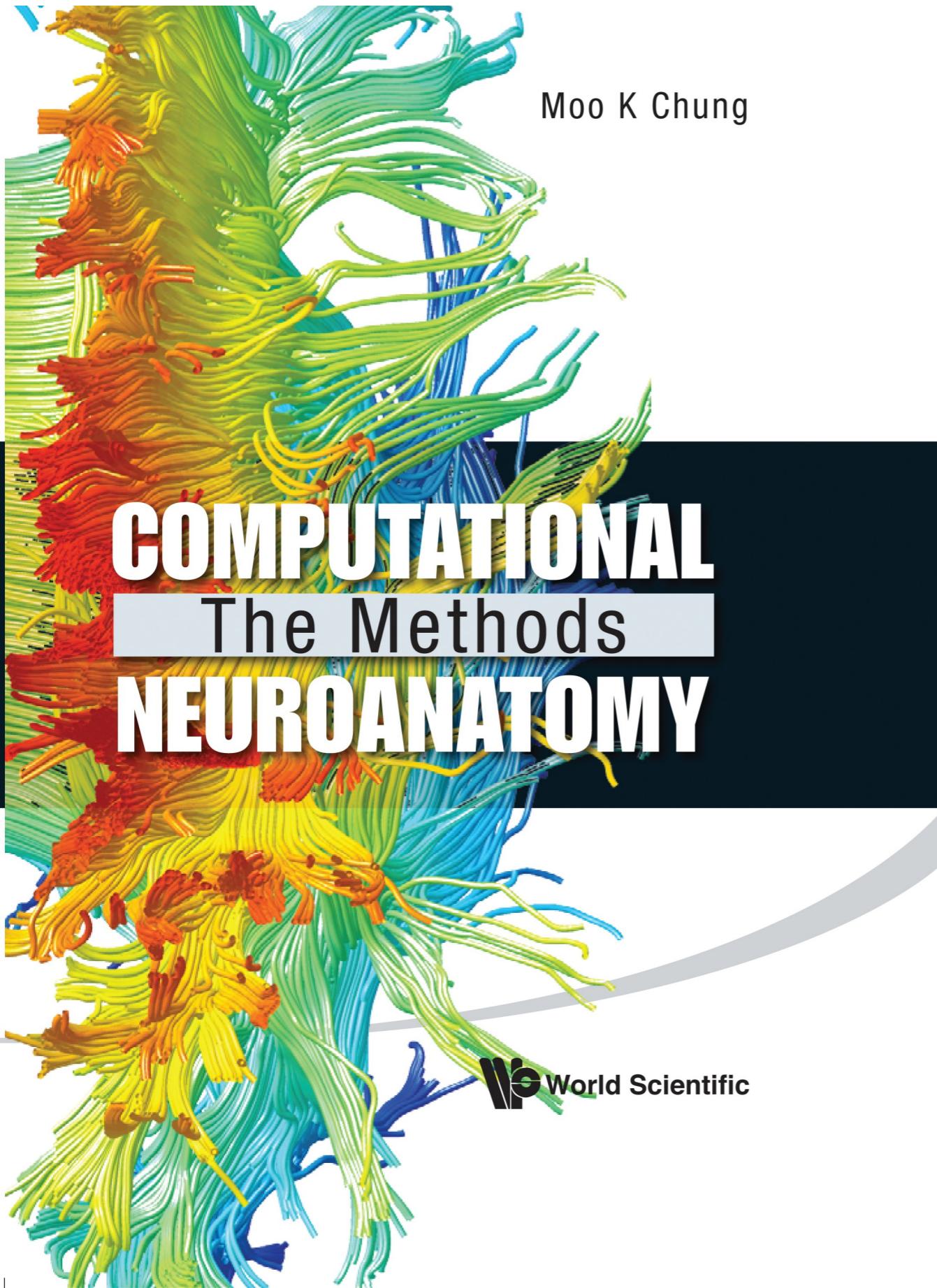
Gray

White

3 component Gaussian mixture

computational neuroanatomy

COMPUTATIONAL NEUROANATOMY



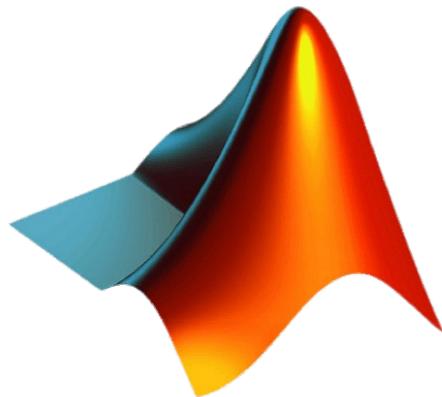
Mathematics
Computer Science
Statistics
Neuroimaging



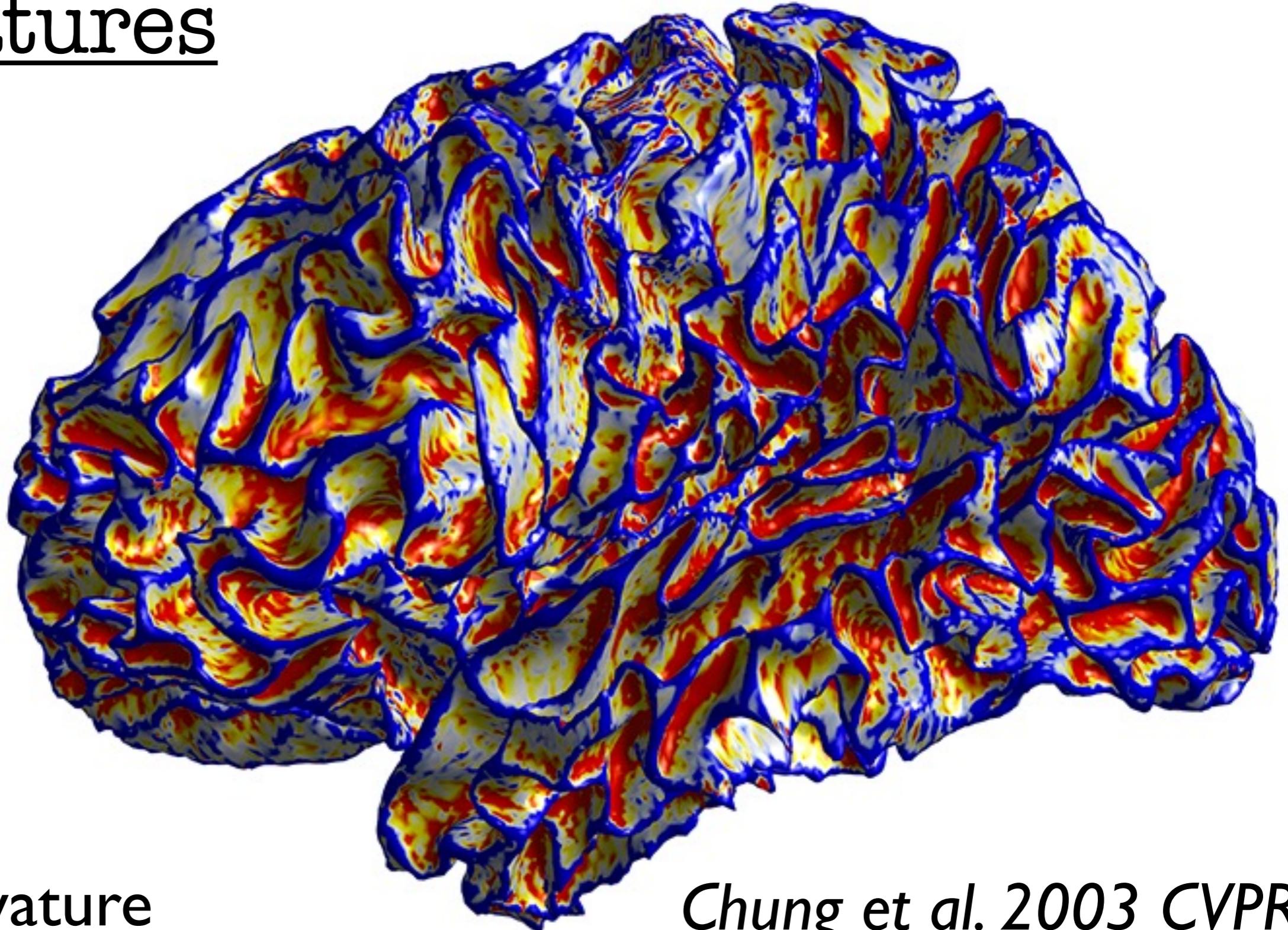
Quantification of
spatiotemporal dynamics
of anatomical shapes and
objects biomedical
images through
differential geometry

Code and sample data

[https://github.com/laplcebeltrami/
curvatures](https://github.com/laplcebeltrami/curvatures)



MATLAB®



Mean curvature

Chung et al. 2003 CVPR

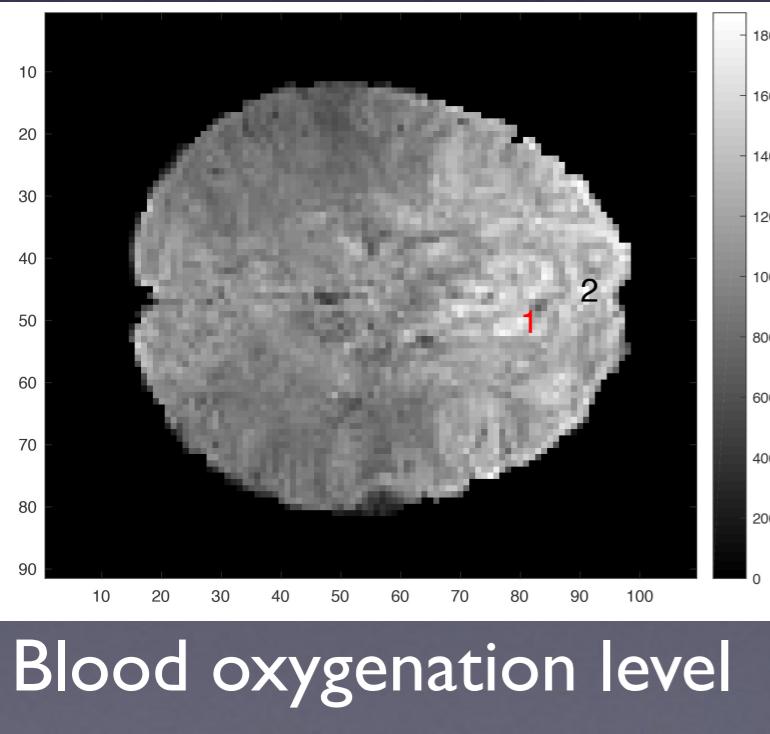
Functional Magnetic Resonance Imaging (fMRI)



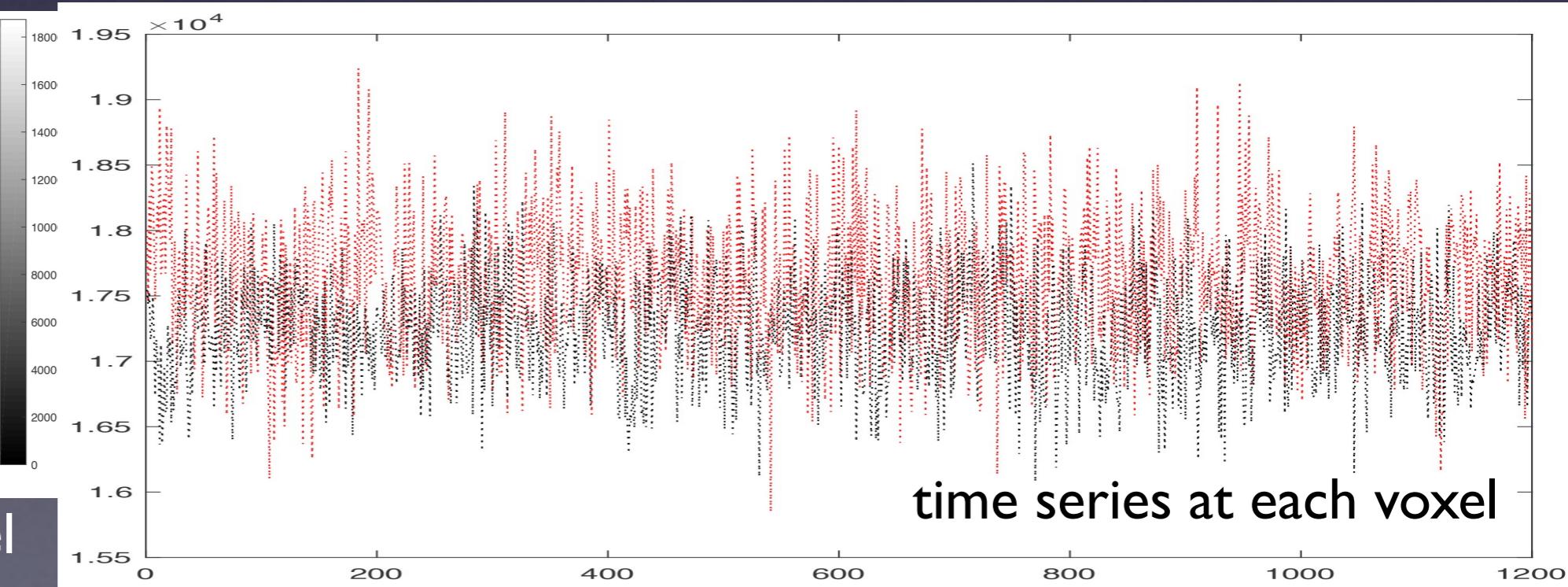
Resting-state fMRI at 300000 voxels per subject measured over 14min 33 seconds inside a scanner

416 subjects (131 MZ twins
77 DZ twins) \times 2GB = **832GB** data

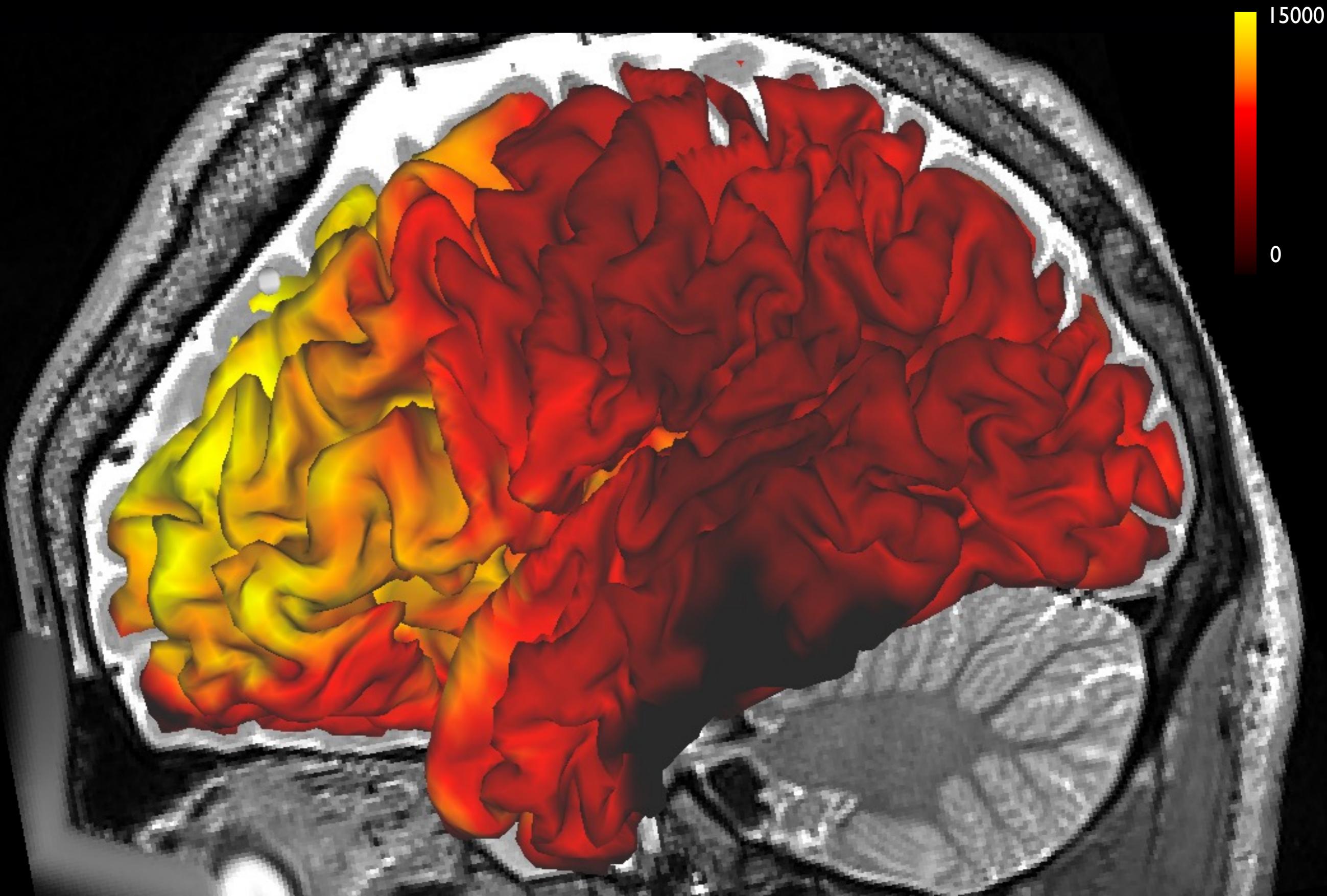
3.0 Tesla GE Scanner



Blood oxygenation level

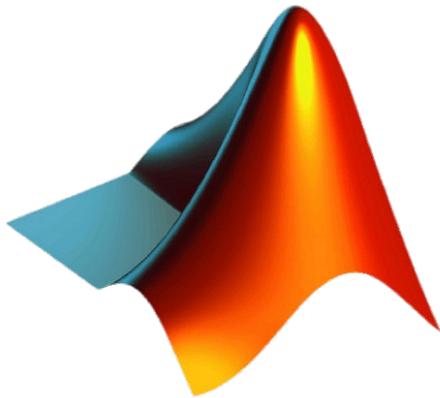


Typical rs-fMRI (every 30 second)

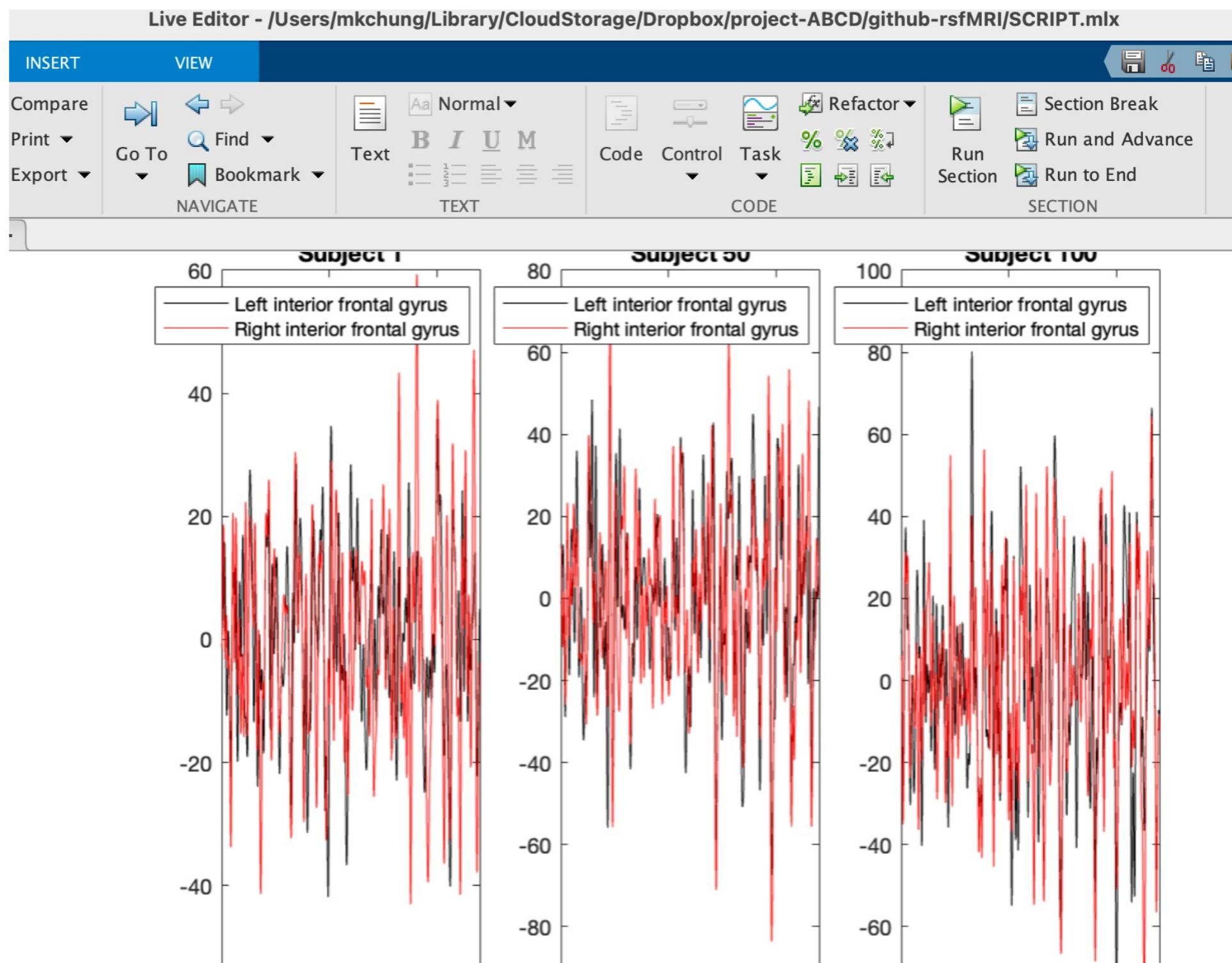


<https://github.com/laplcebeltrami/>

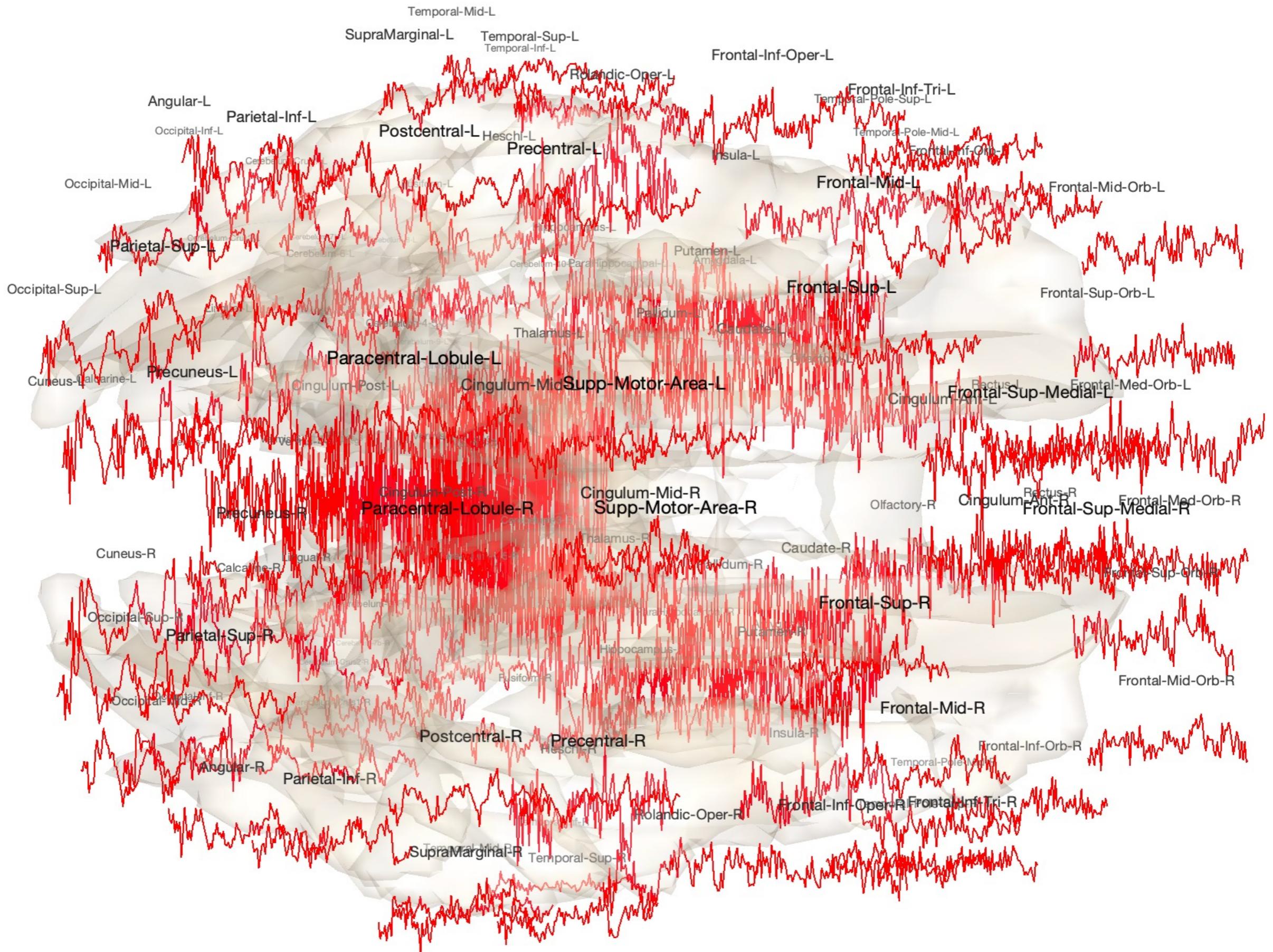
rsfMRI



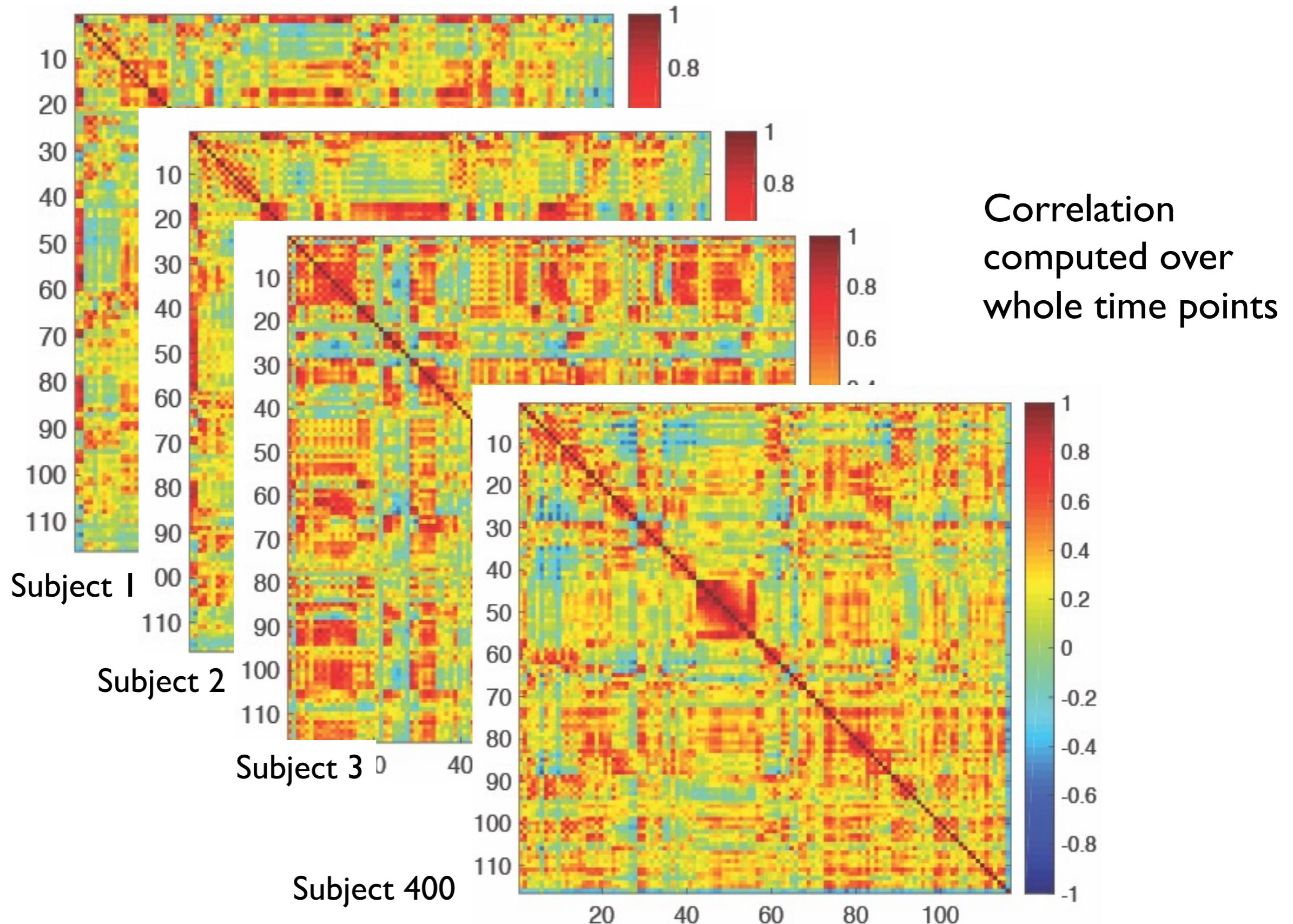
MATLAB®



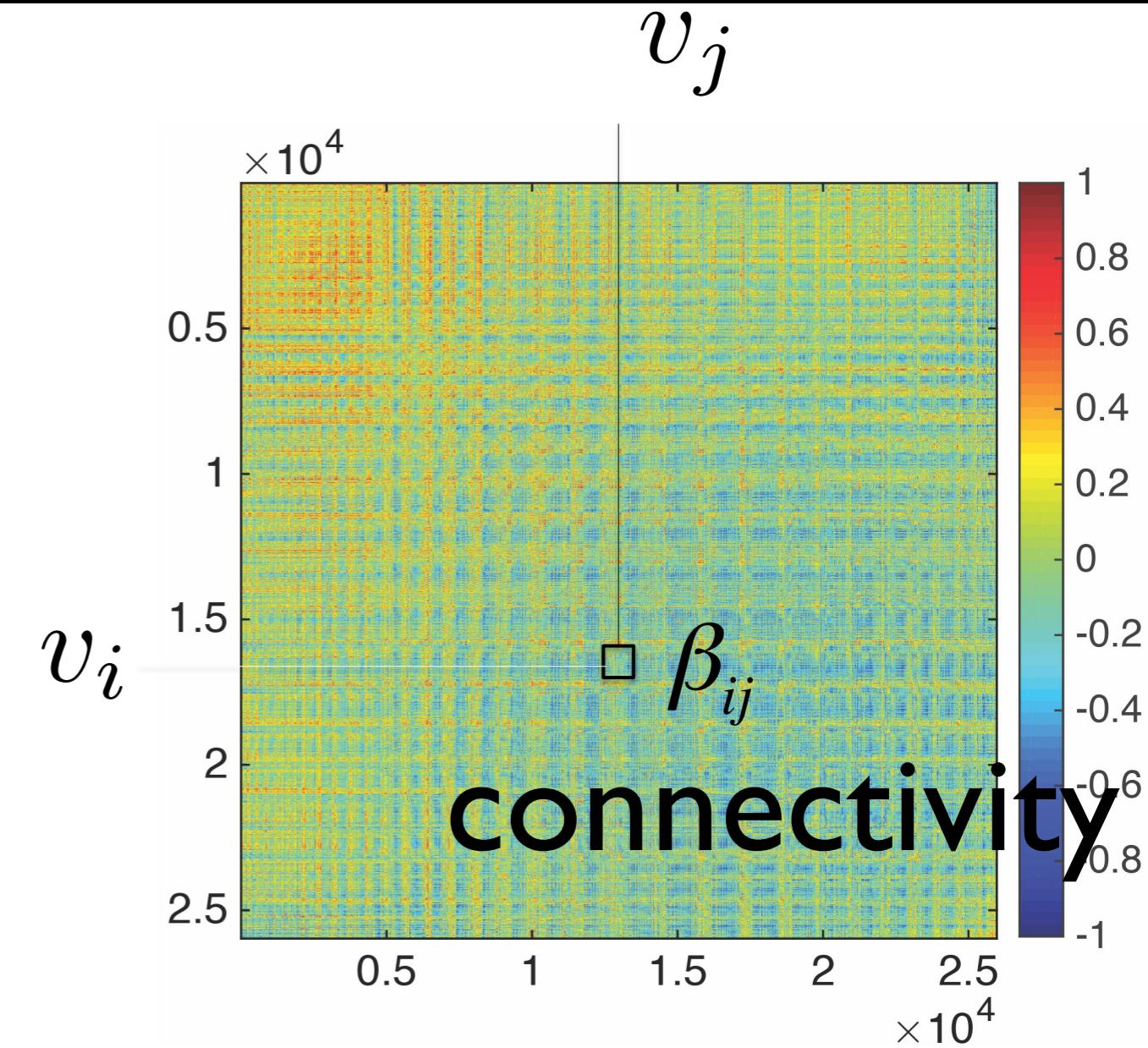
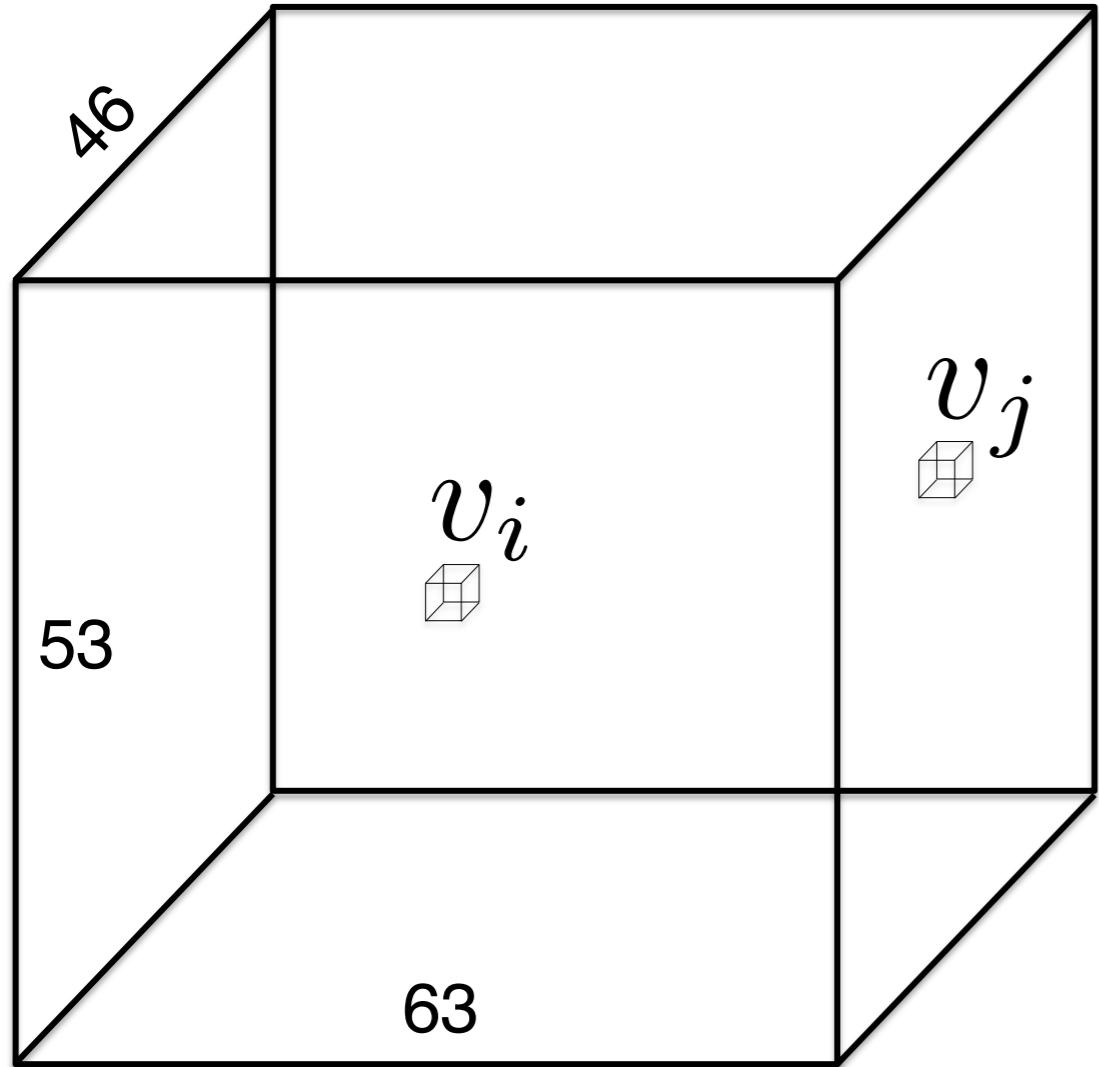
Time series averaged into 116 brain regions



Subject level brain connectivity matrix

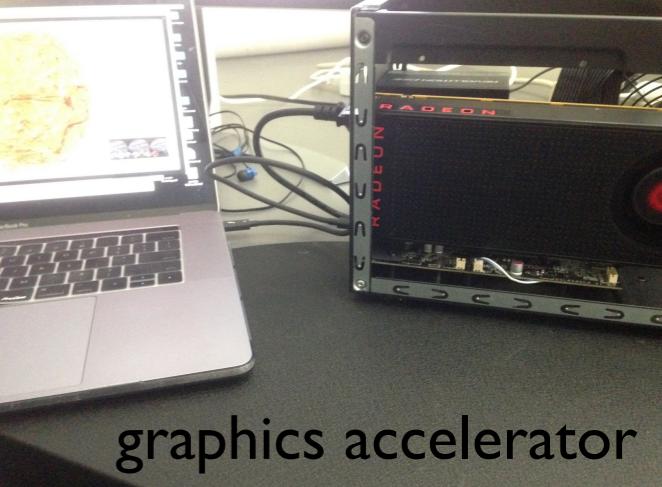
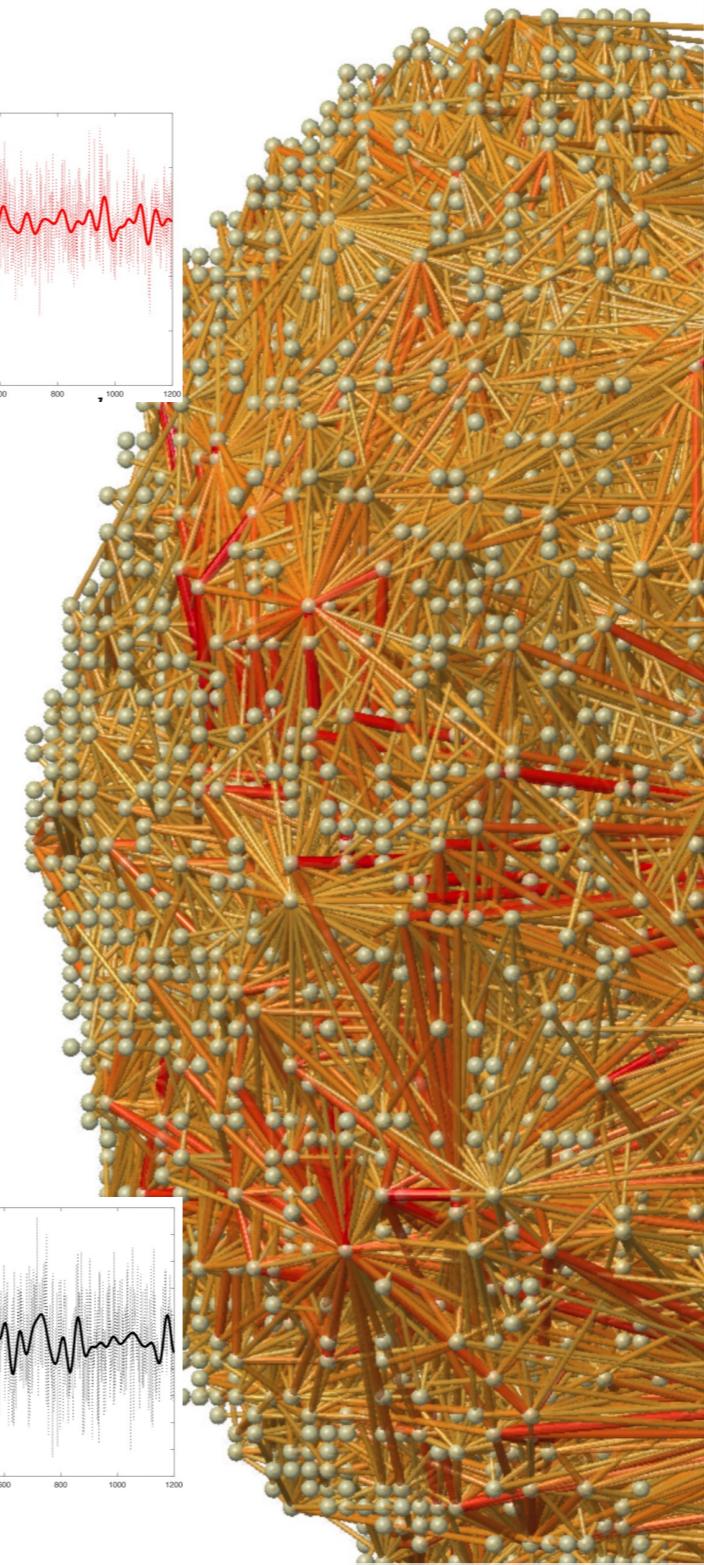
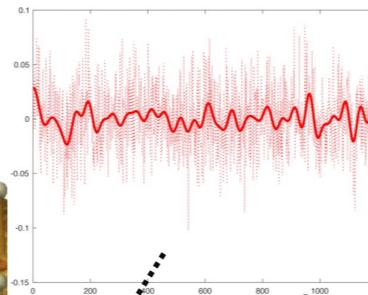
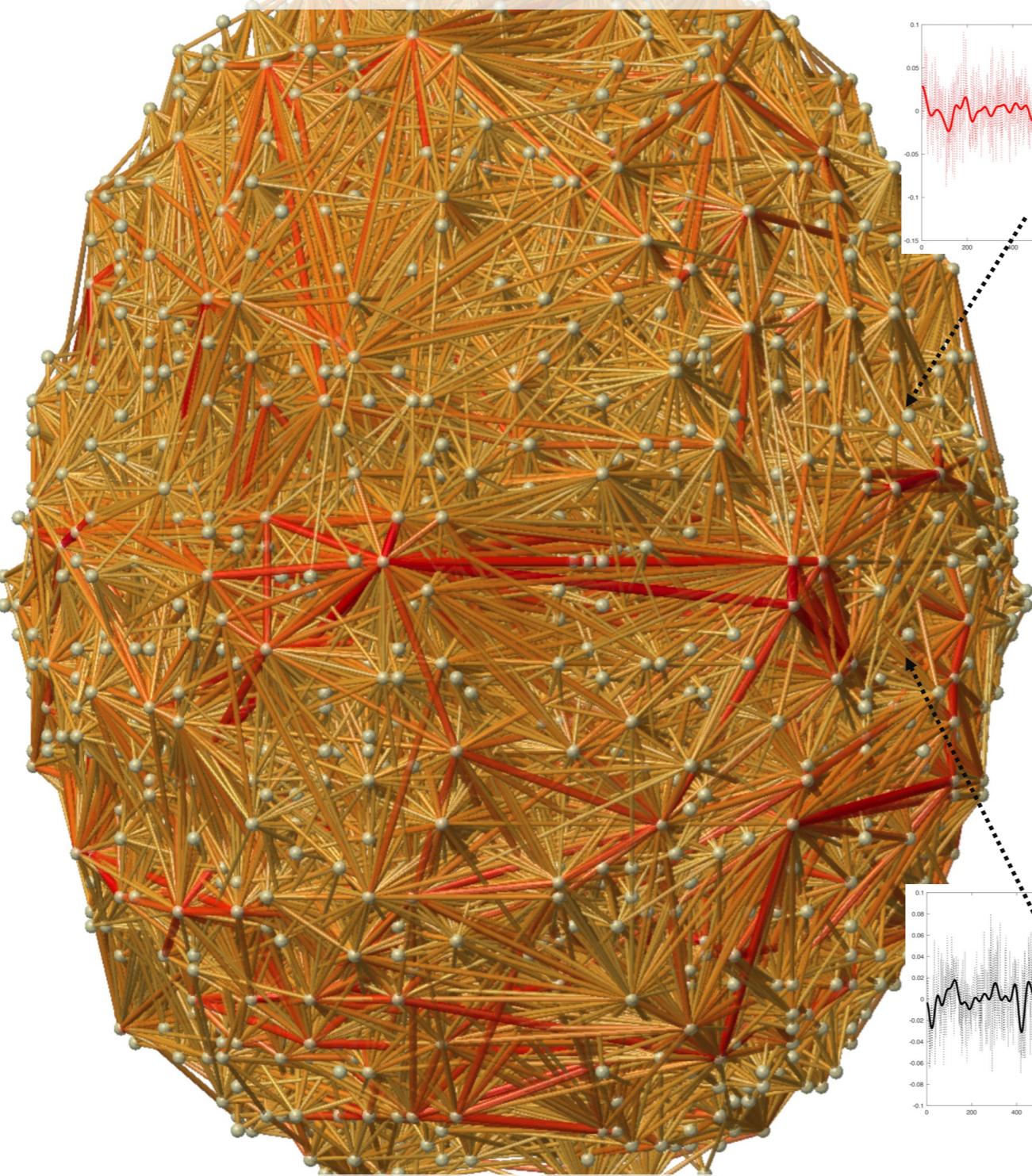


How big is fMRI connectivity?



$p=25972$ voxels (1.5T at 3mm resolution) in the brain
 $25972 \times 25972 = 0.67$ billion connections
5.2GB data

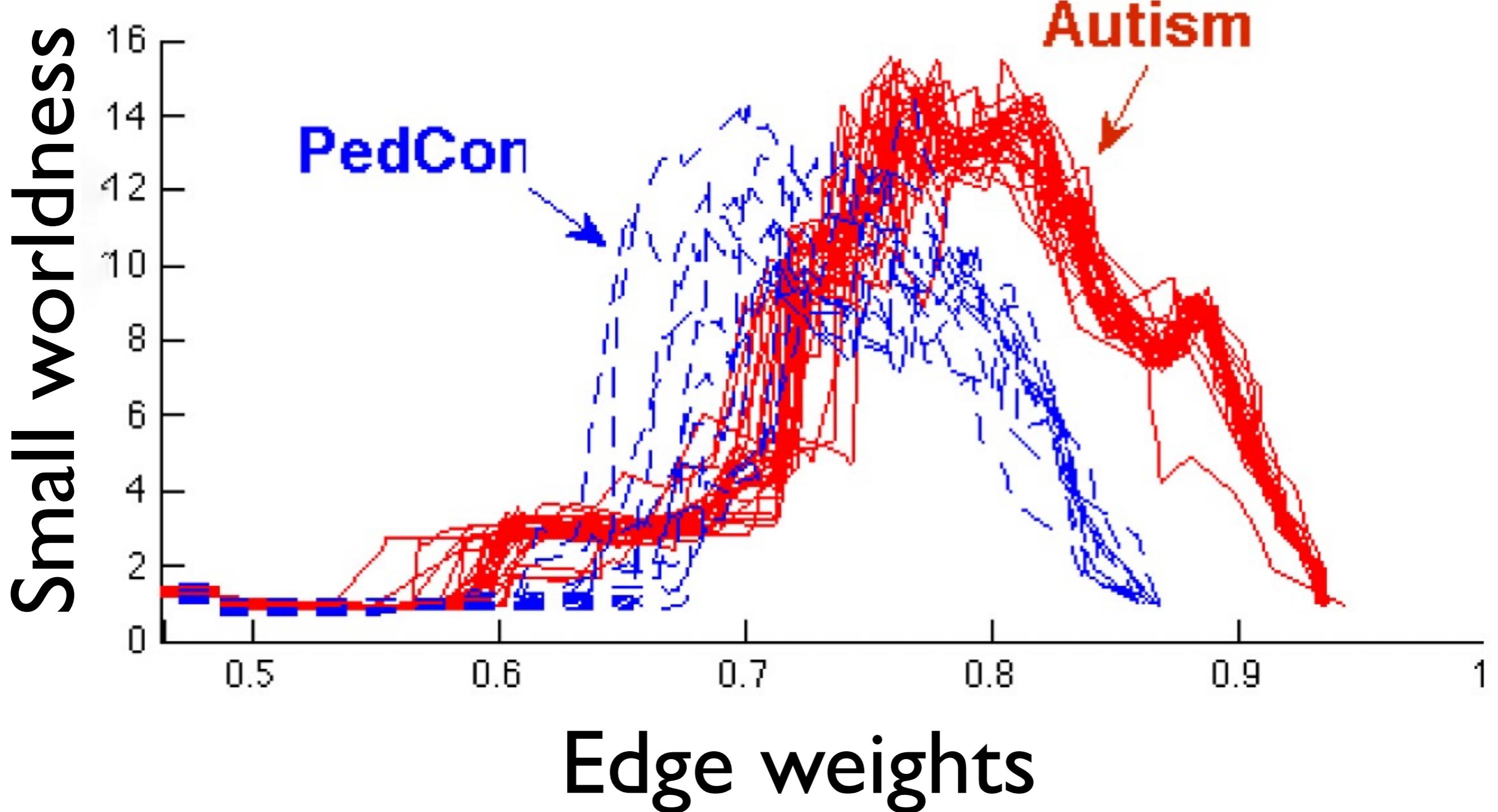
Big data computation



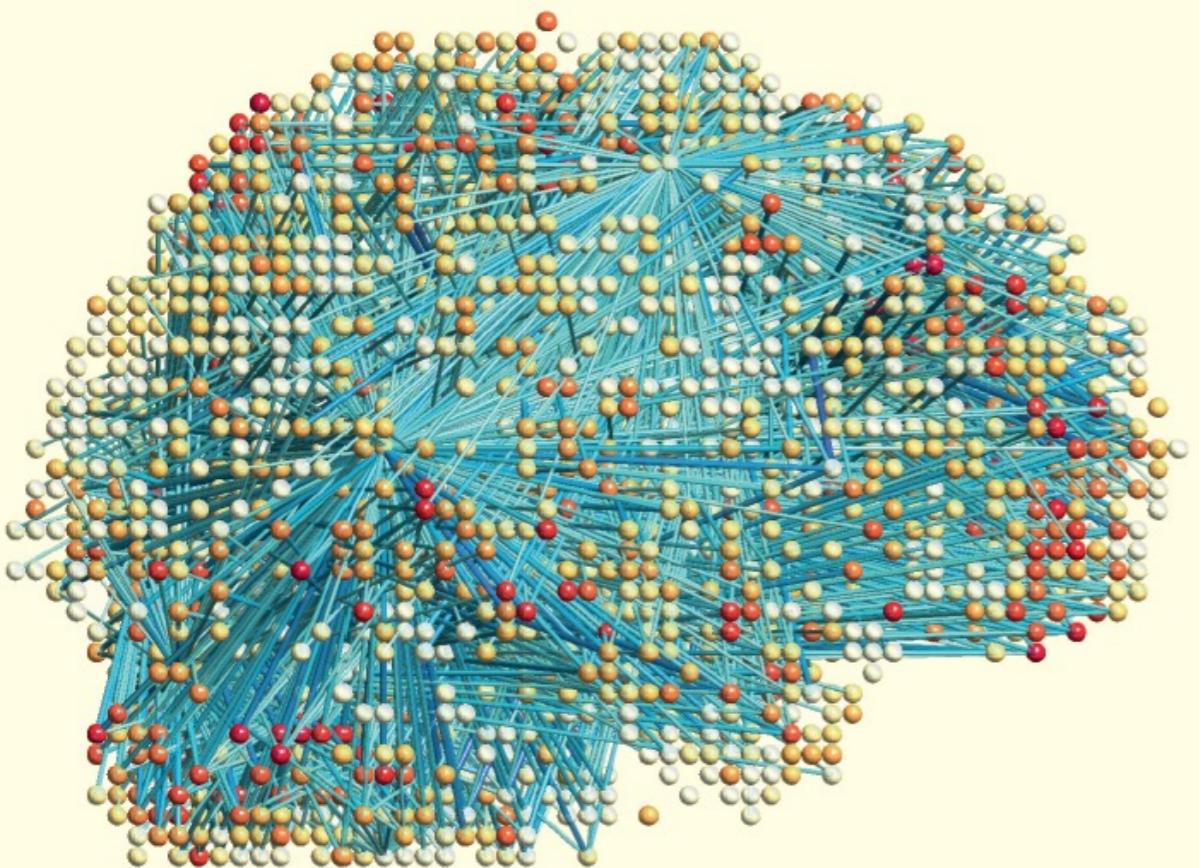
graphics accelerator

Resting state brain network obtained from functional-MRI.
Correlation of **300000** time series in the brain.
For 3D rendering, subsampled at **25000** nodes.

Graph theory features → Often incorrect conclusion



BRAIN NETWORK ANALYSIS



Moo K. CHUNG

Book writing with
Cambridge University
Press on tutorial style
applied-TDA

Chung, 2019
Cambridge University Press

Topological data analysis (TDA)

Completely data driven!
No model!
No distributional assumption!

Chung et al., 2009
Information Processing
in Medical Imaging
(IPMI) 5636:386-397.

Surface Data

L. Kim⁴

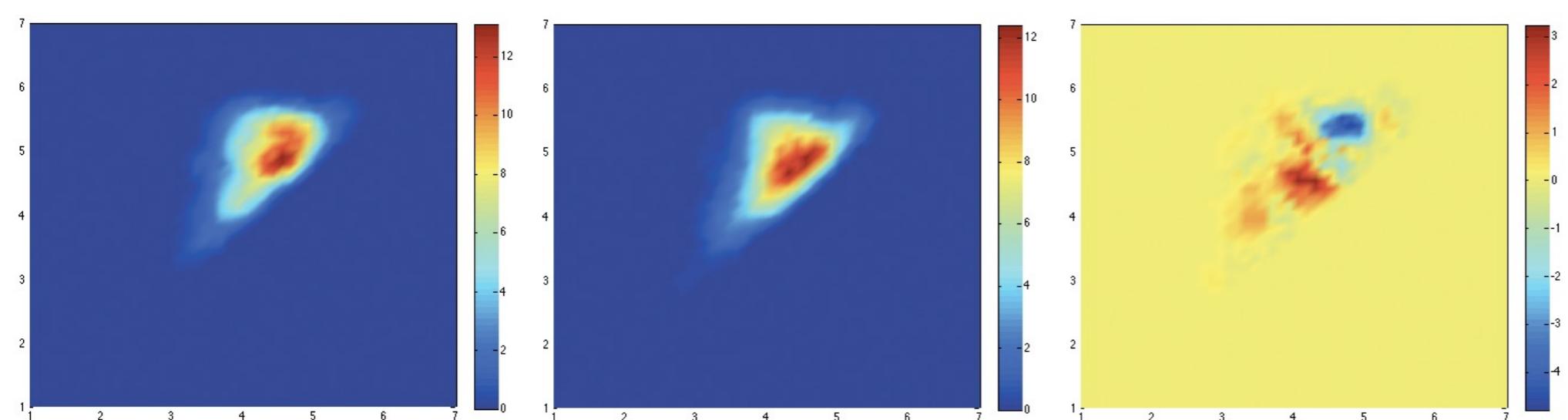
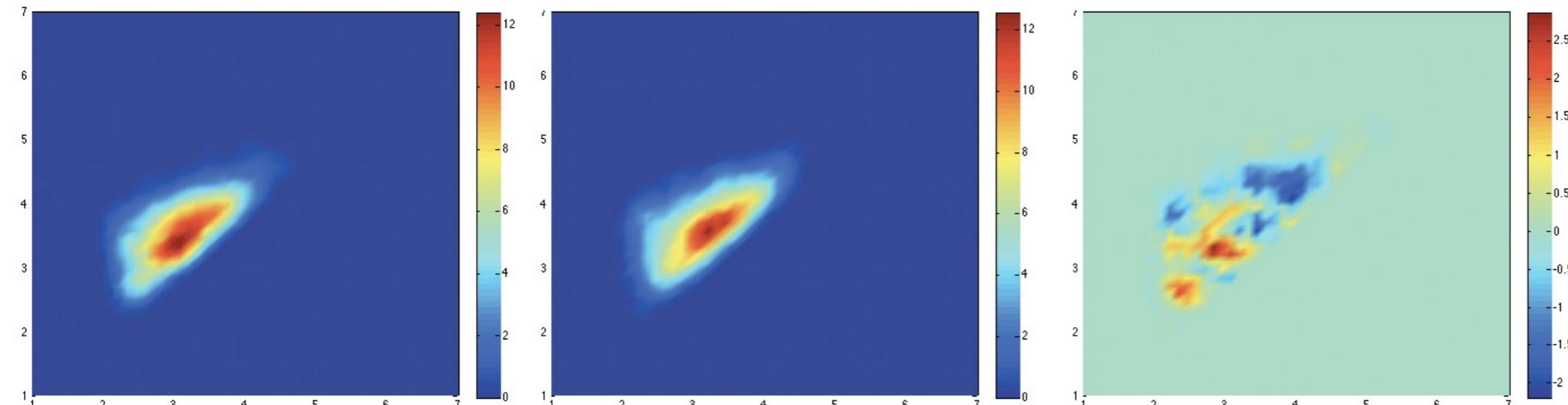
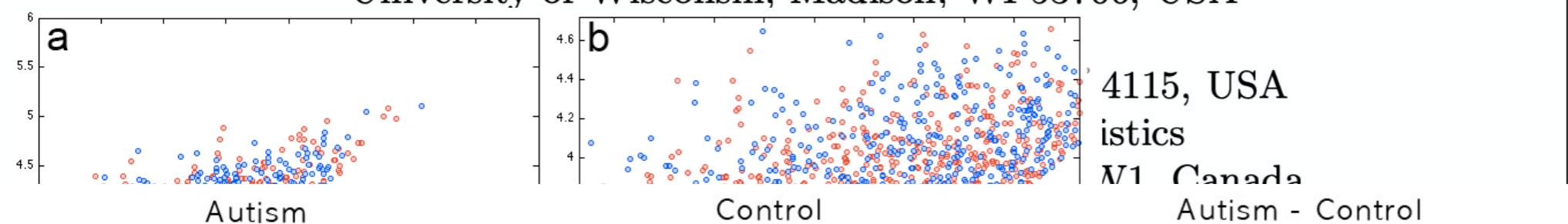
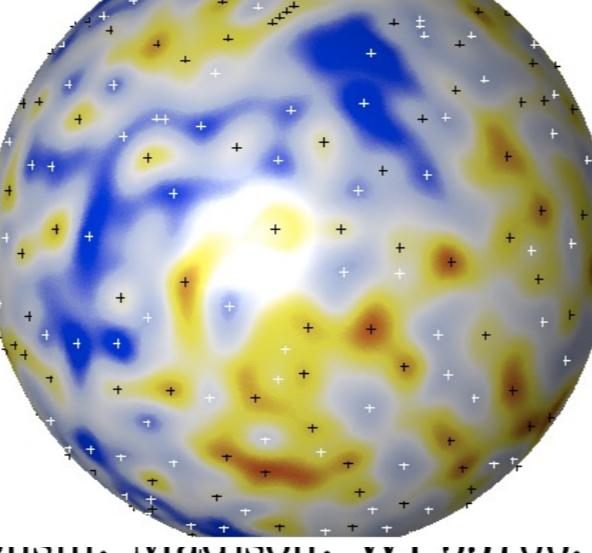
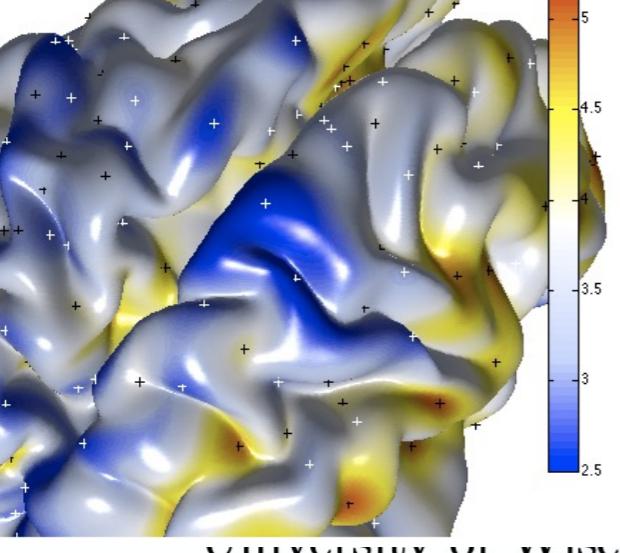
natics
behavior
JSA

4115, USA

istics

M1 Canada

Autism - Control



First TDA paper
in medical
imaging

After 14 years
and 50 TDA
papers later ...

Graph Filtrations

Weighted complete graph

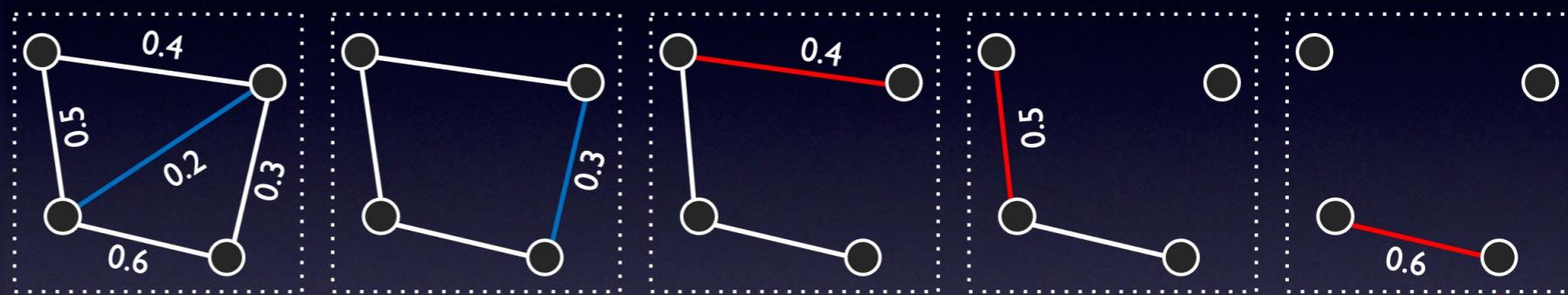
$$\mathcal{X} = (V, w) \quad w = (w_{ij})$$

Node set Edge weight

Binary graph

$$\mathcal{X}_\epsilon = (V, w_\epsilon)$$

$$w_{\epsilon,ij} = \begin{cases} 1 & \text{if } w_{ij} > \epsilon; \\ 0 & \text{otherwise.} \end{cases}$$



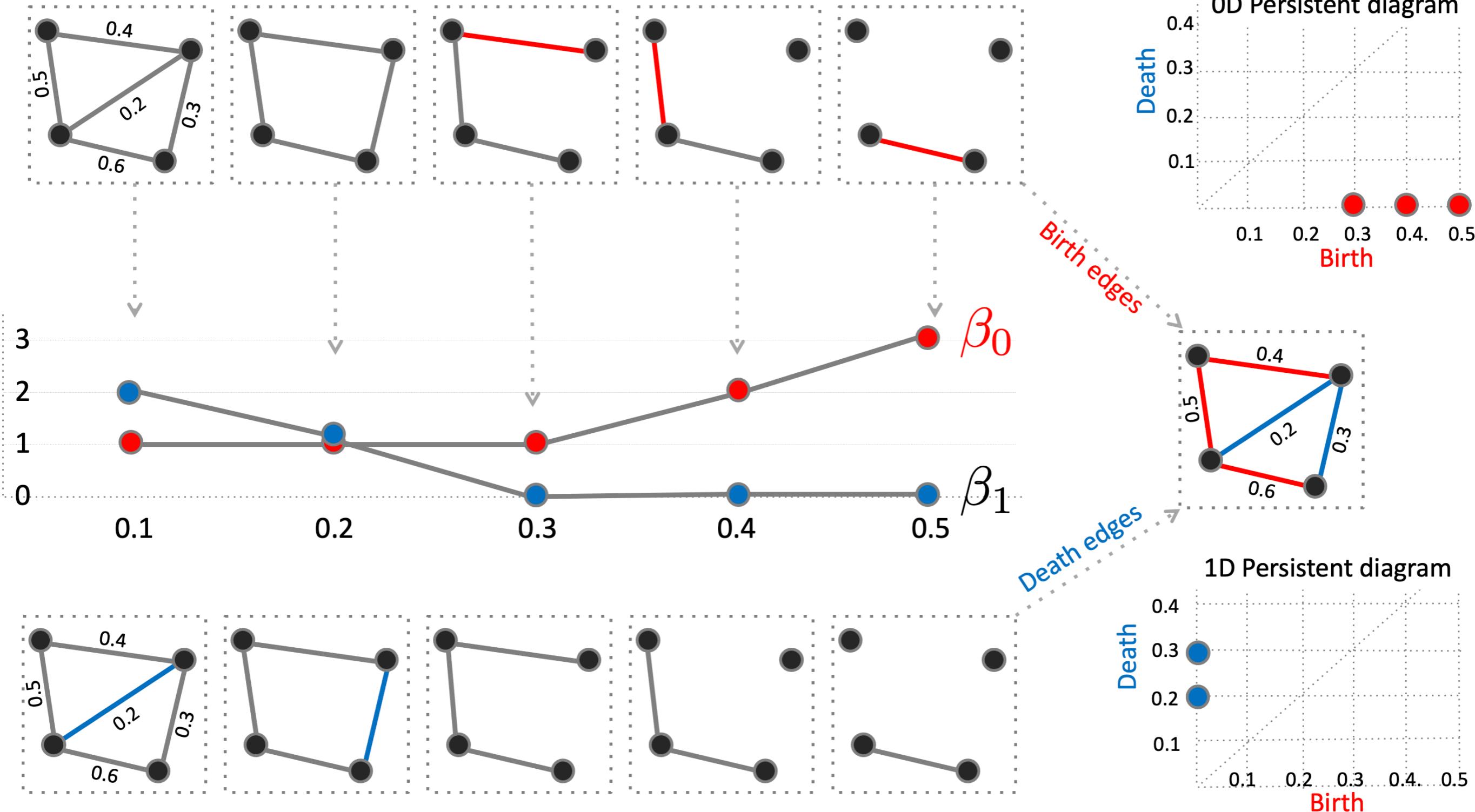
Graph filtration

$$\mathcal{X}_{\epsilon_0} \supset \mathcal{X}_{\epsilon_1} \supset \mathcal{X}_{\epsilon_2} \supset \dots$$

for increased edge weights
 $\epsilon_0 < \epsilon_1 < \epsilon_2 < \dots$

Equivalent to Rips filtration on radius $1 - \epsilon$ on 1-skeleton

Theorem: Birth & death decomposition



2-Wasserstein distance between scatter points

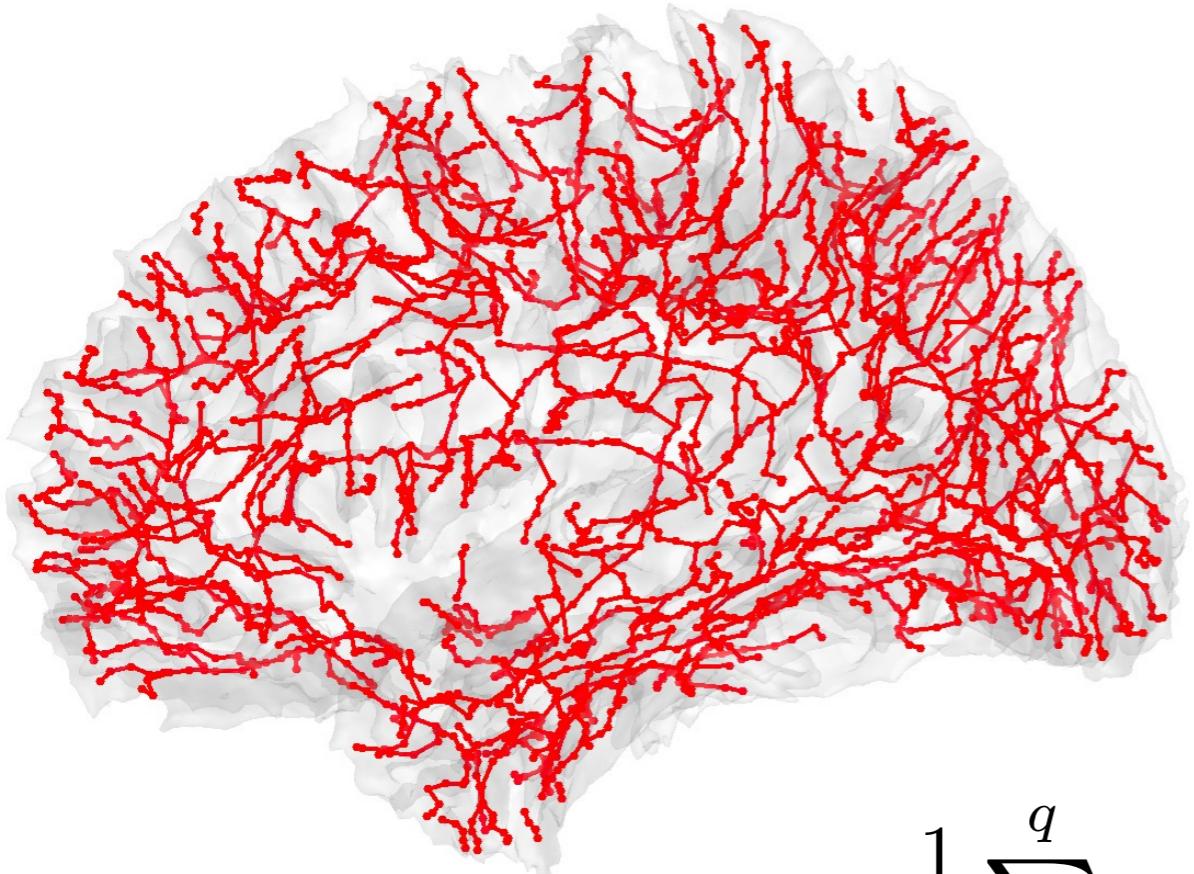
Random variables:

$$X \sim f_1$$

$$Y \sim f_2$$

2-Wasserstein distance:

$$\mathcal{D}(X, Y) = \left(\inf \mathbb{E} \|X - Y\|^2 \right)^{1/2}$$



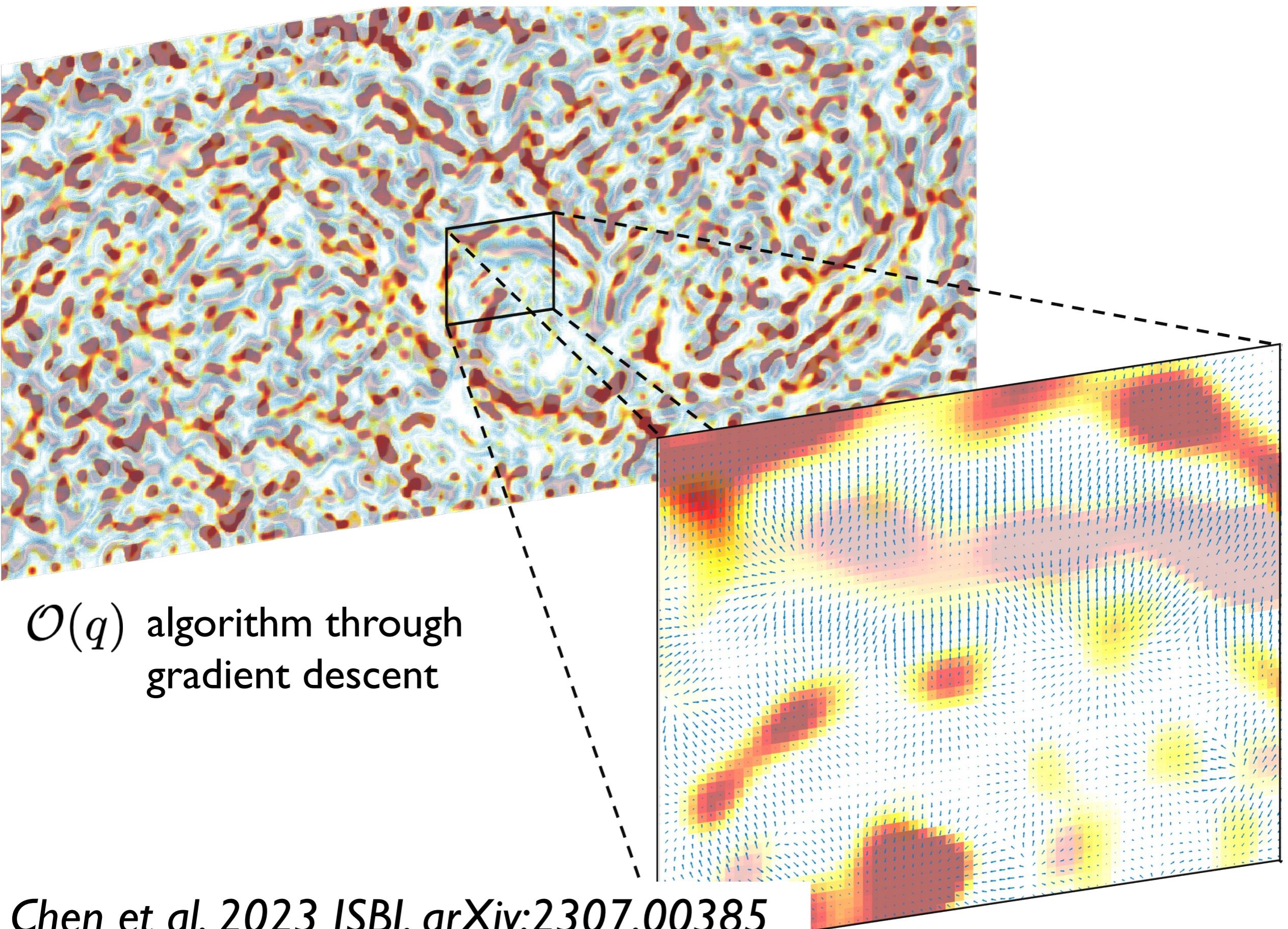
$$f_1(x) = \frac{1}{q} \sum_{i=1}^q \delta(x - x_i)$$

$$f_2(y) = \frac{1}{q} \sum_{i=1}^q \delta(y - y_i)$$

$$\mathcal{L}(P_1, P_2) = \inf_{\psi: P_1 \rightarrow P_2} \left(\sum_{x \in P_1} \|x - \psi(x)\|^2 \right)^{1/2}$$

Hungarian algorithm in $\mathcal{O}(q^3)$

<https://github.com/laplcebeltrami/sulcaltree>



Theorem: Wasserstein distance on graph filtrations

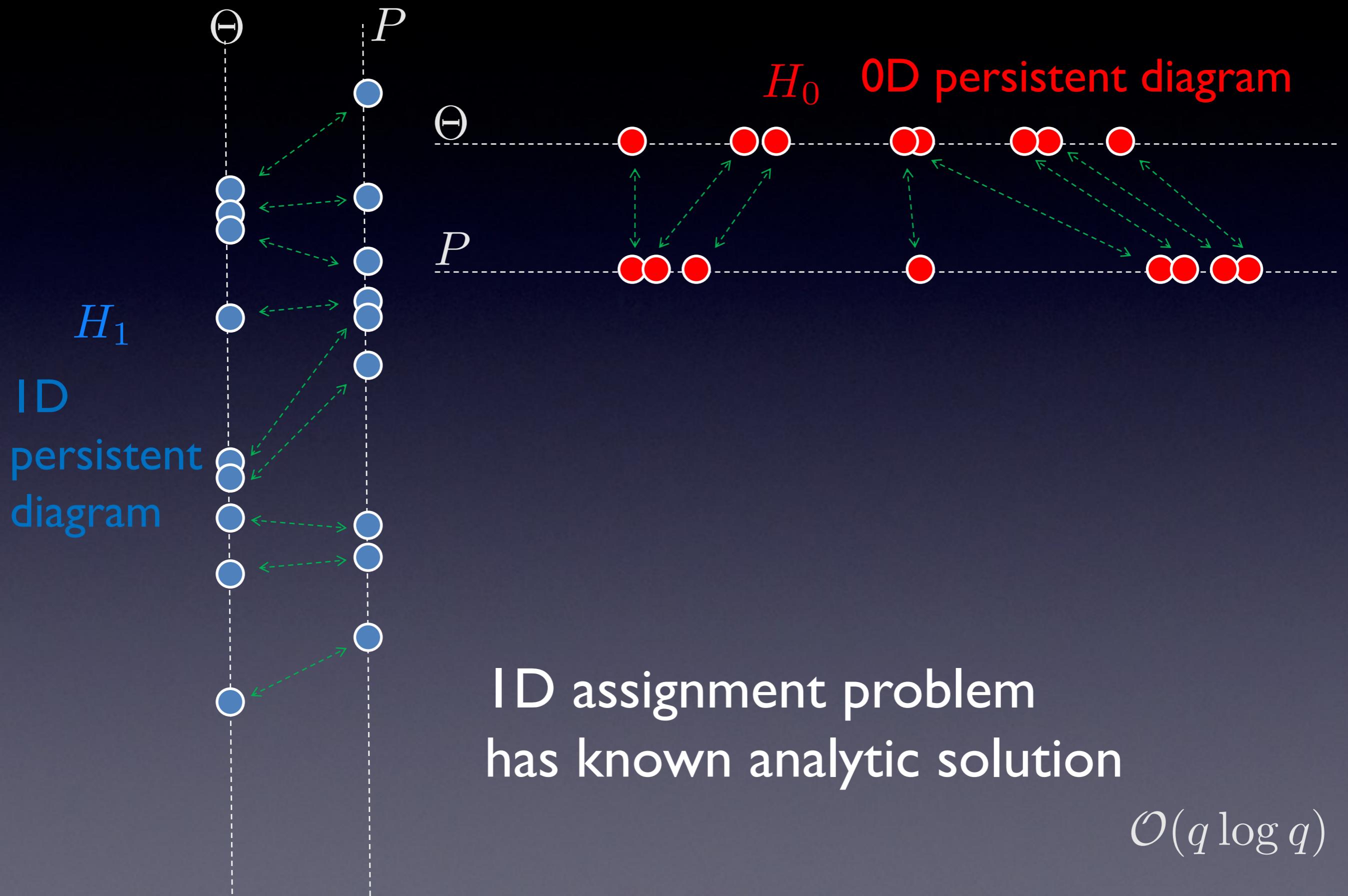
$$\begin{aligned}\mathcal{L}_{0D}(\Theta, P) &= \min_{\tau} \sum_{\substack{b \in E_0 \\ \text{Birth set}}} [b - \tau(b)]^2 \\ &= \sum_{\substack{b \in E_0}} [b - \tau_0^*(b)]^2\end{aligned}$$

τ_0^* :The i -th smallest birth value to the i -th smallest birth value

$$\begin{aligned}\mathcal{L}_{1D}(\Theta, P) &= \min_{\tau} \sum_{\substack{d \in E_1 \\ \text{Death set}}} [d - \tau(d)]^2 \\ &= \sum_{\substack{d \in E_1}} [d - \tau_1^*(d)]^2\end{aligned}$$

τ_1^* :The i -th smallest death value to the i -th smallest death value

Wasserstein distance for graph filtrations



Topological Inference *Clustering*

distance-based inference (our approach)

vs.

feature-based inference (other group's
approach)

Ratio statistic for Wasserstein distances

$$C_1 \cup C_2 = \{\mathcal{X}_1, \dots, \mathcal{X}_n\}, \quad C_1 \cap C_2 = \emptyset$$

Between-group distance

$$l_B \propto \sum_{i \in C_1, j \in C_2} \mathcal{L}(\mathcal{X}_i, \mathcal{X}_j) \quad \text{<---- 0D, ID and combined distances}$$

Within-group distance

$$l_W \propto \sum_k \sum_{i, j \in C_k} \mathcal{L}(\mathcal{X}_i, \mathcal{X}_j) \quad \text{-----> Statistic} \quad \phi = \frac{l_B}{l_W}$$

Small $\phi \rightarrow$ small group separation

Large $\phi \rightarrow$ large group separation

*More complex
ANOVA-type
inference can
be easily done*

Topological clustering

$$C_1 \cup C_2 \cup \dots \cup C_k = \{\mathcal{X}_1, \dots, \mathcal{X}_n\}, \quad C_i \cap C_j = \emptyset$$

Minimize the within cluster distance

$$l_W \propto \sum_k \sum_{i,j \in C_k} \mathcal{L}(\mathcal{X}_i, \mathcal{X}_j)$$

Theorem: Topological clustering converges locally.

Algebraic proof: [arXiv: 2302.06673](https://arxiv.org/abs/2302.06673)

Geometric proof: [arXiv: 2201.00087](https://arxiv.org/abs/2201.00087)

Geometry of Wasserstein distance

Birth values are points in the convex polytope

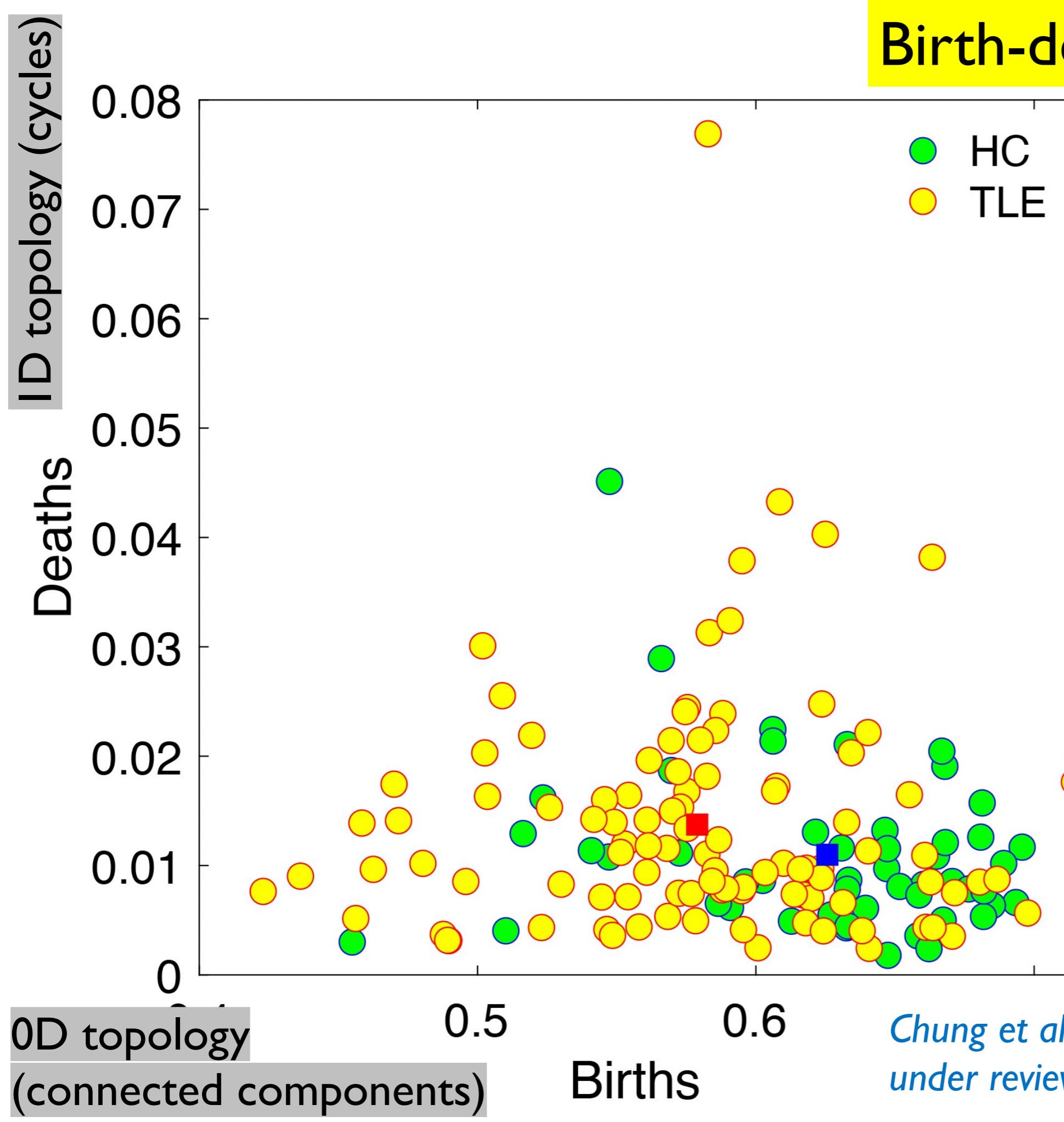
$$\mathcal{T}_0 = \{(x_1, x_2, \dots, x_{q_0}) \mid x_1 < x_2 < \dots < x_{q_0}\} \subset \mathbb{R}^{q_0}$$

Death values are points in the convex polytope

$$\mathcal{T}_1 = \{(x_1, x_2, \dots, x_{q_1}) \mid x_1 < x_2 < \dots < x_{q_1}\} \subset \mathbb{R}^{q_1}$$

The Wasserstein distance is equivalent to the Euclidean distance in the convex set $\mathcal{T}_0 \otimes \mathcal{T}_1$

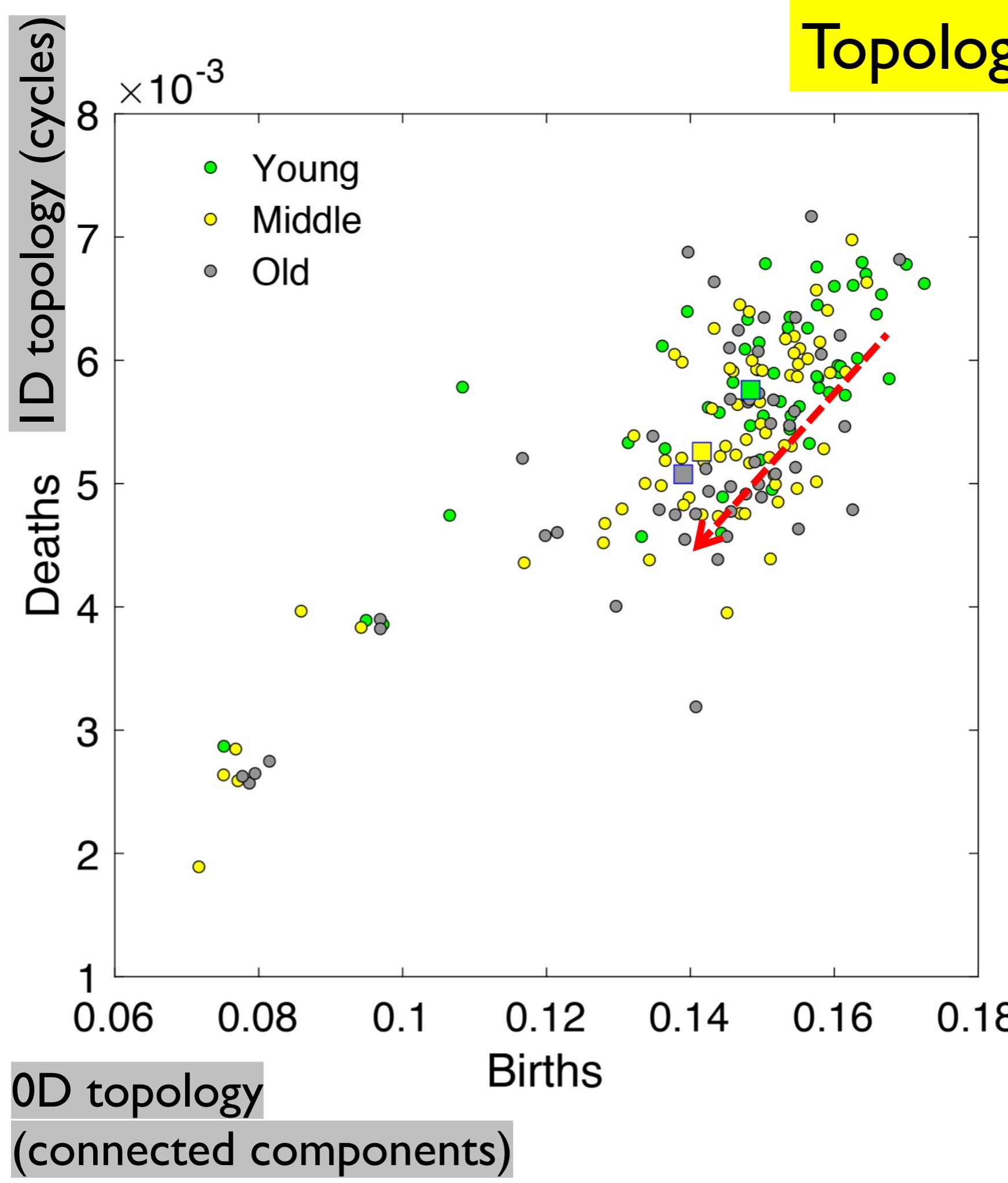
Birth-death embedding



Displays
the relative
topological
spread from
the cluster
centroid

Chung et al. 2023 [arXiv:2302.06673](https://arxiv.org/abs/2302.06673)
under review in *NeuroImage*

Topological gradient in aging



Matlab toolbox PH-STAT

Statistical Inference on Persistent Homology
Code written with Chat-GPT

<https://github.com/laplcebeltrami/PH-STAT>

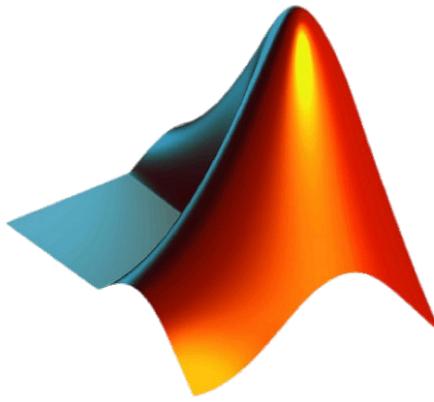
Manual:

Chung (and CHAT-GPT) 2023, PH-STAT [arXiv:2304.05912](https://arxiv.org/abs/2304.05912)

The codes are used to publish topological data analysis papers in leading journals and conferences since 2009:

IEEE Transactions on Medical Imaging, NeuroImage, Human Brain Mapping, Network Neuroscience, Annals of Applied Statistics, Information Processing in Medical Imaging (IPMI), MICCAI, ISBI

<https://github.com/laplcebeltrami/PH-STAT>



MATLAB®

Live Editor - /Users/mkchung/Library/CloudStorage/Dropbox/PH-STAT/SCRIPT.mlx

R INSERT FIGURE VIEW

FILE Compare Go To Find Bookmarks

NAVIGATE

Text Normal B I U M

TEXT

CODE Control Task

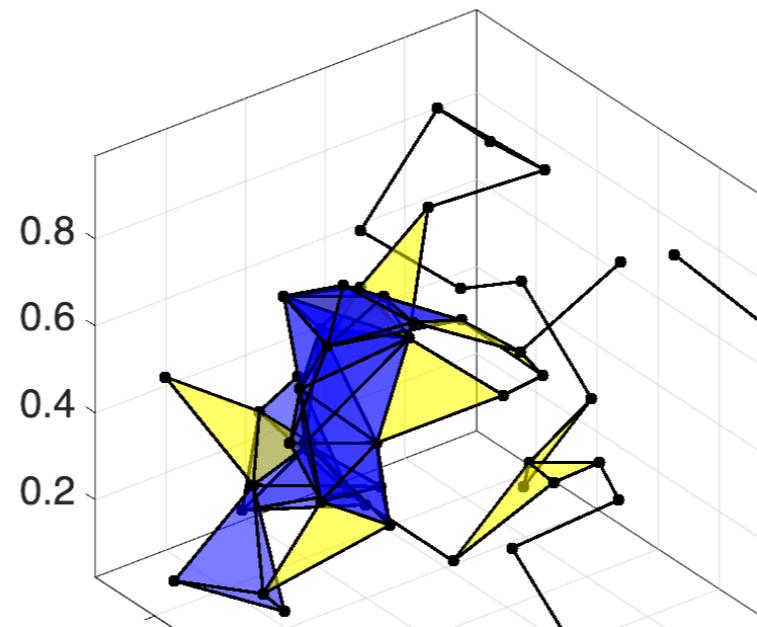
SECTION

Run

```
%Display Rips complex
PH_rips_display(X,S);
%labels = cellstr(num2str((1:p)', '% d'));
%text(X(:,1)+0.01, X(:,2)+0.01, X(:,3)+0.01, labels, 'Color', 'r', 'FontSize',16)

% Boundary matrices
B = PH_boundary(S);
betti = PH_boundary_betti(B);
title(['Betti numbers=' num2str(betti)])
```

Betti numbers=3 4 0

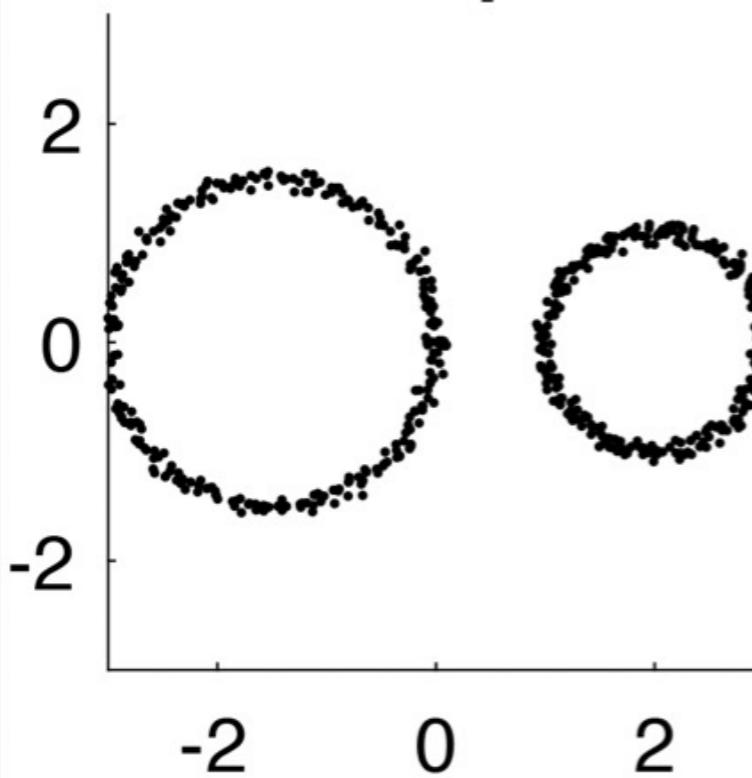


Validation

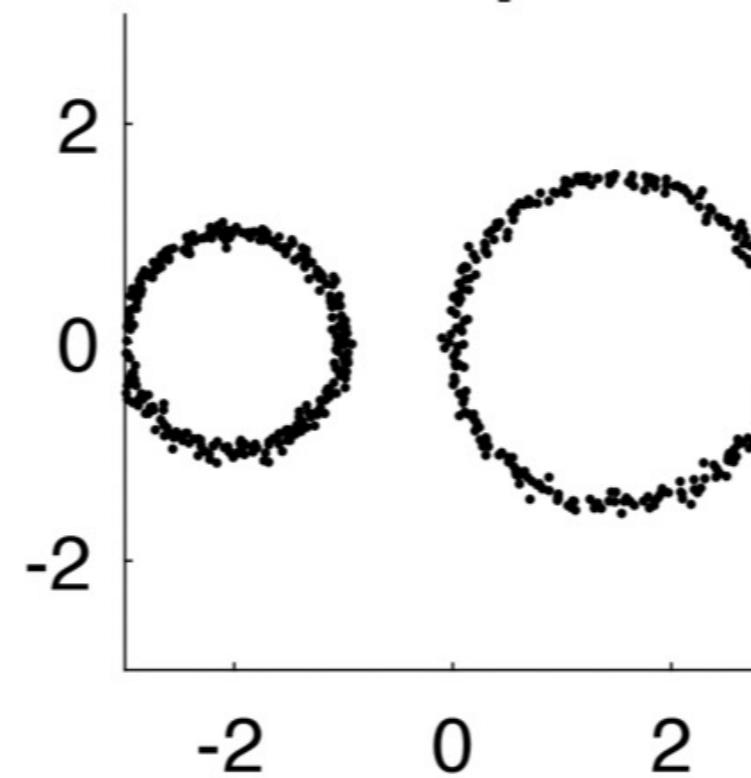
Testing if we are detecting topological false positives

$\sigma = 0.05$

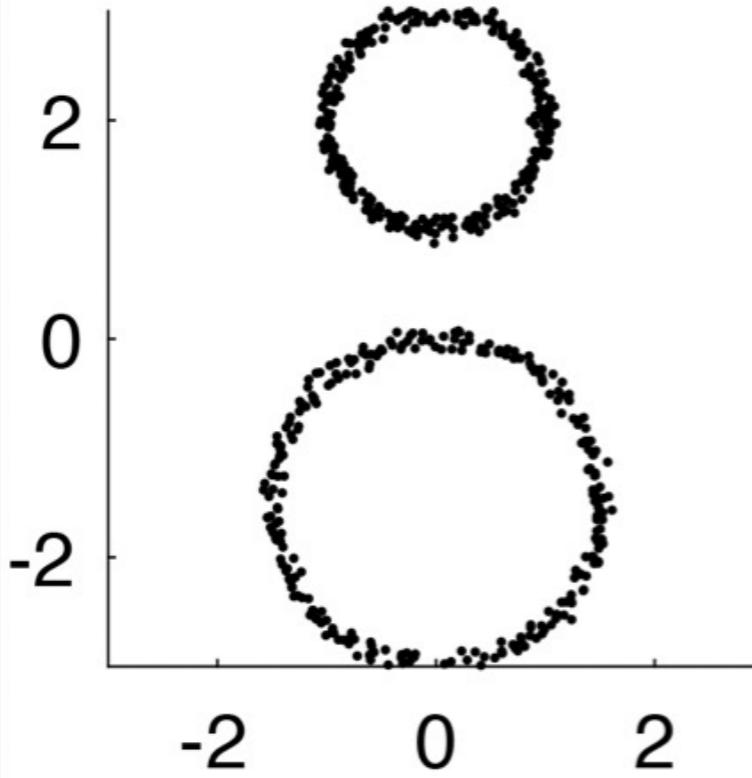
Group 1



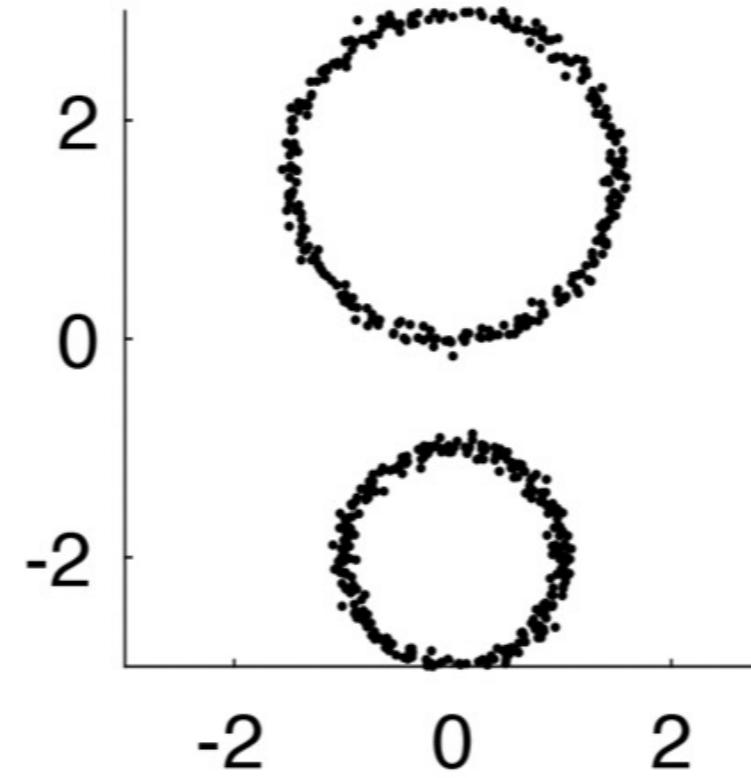
Group 2



Group 3



Group 4



All false positives

K-means
clustering

0.98 ± 0.01

Hierarchical
clustering

1.00 ± 0.00

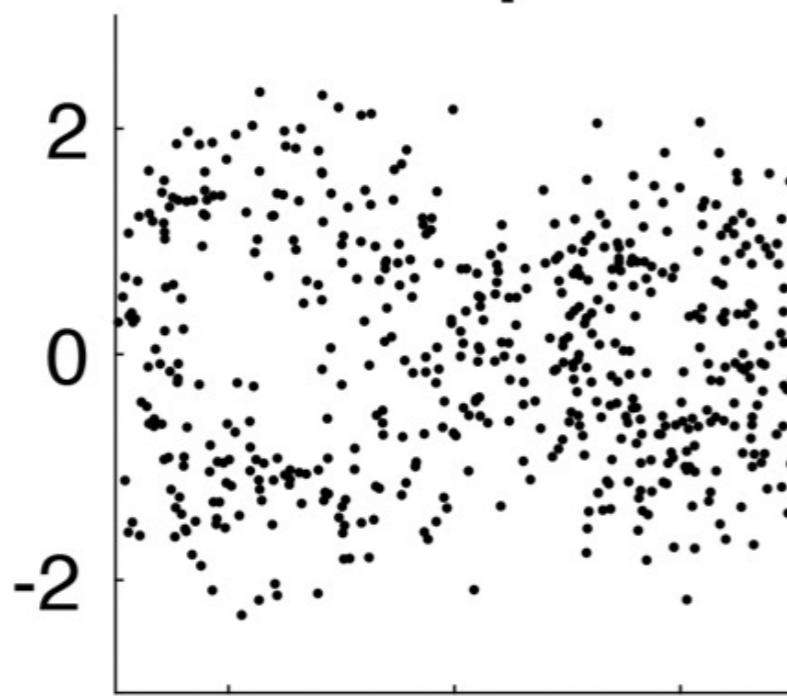
Topological
clustering

0.63 ± 0.04

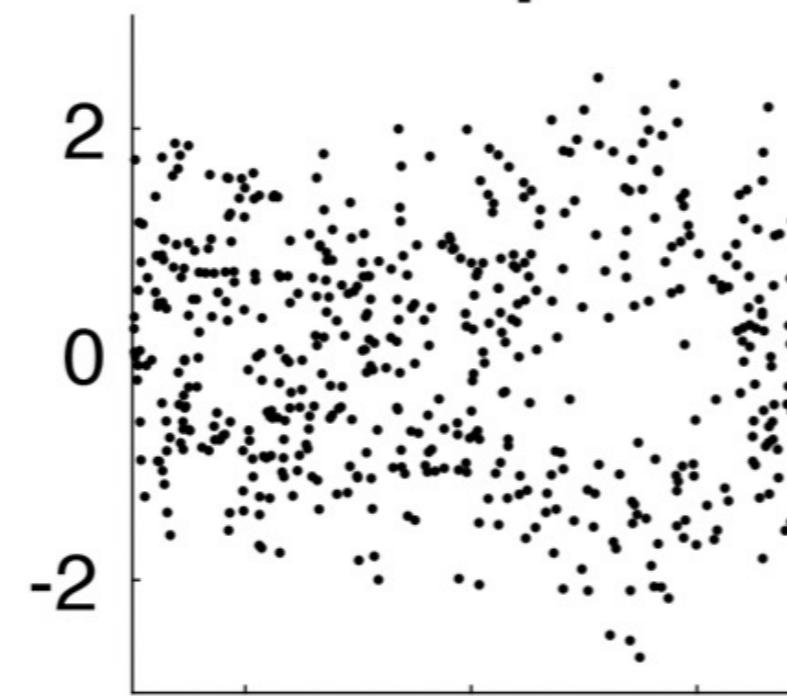
Testing if we are detecting topological false positives

$\sigma = 0.5$

Group 1

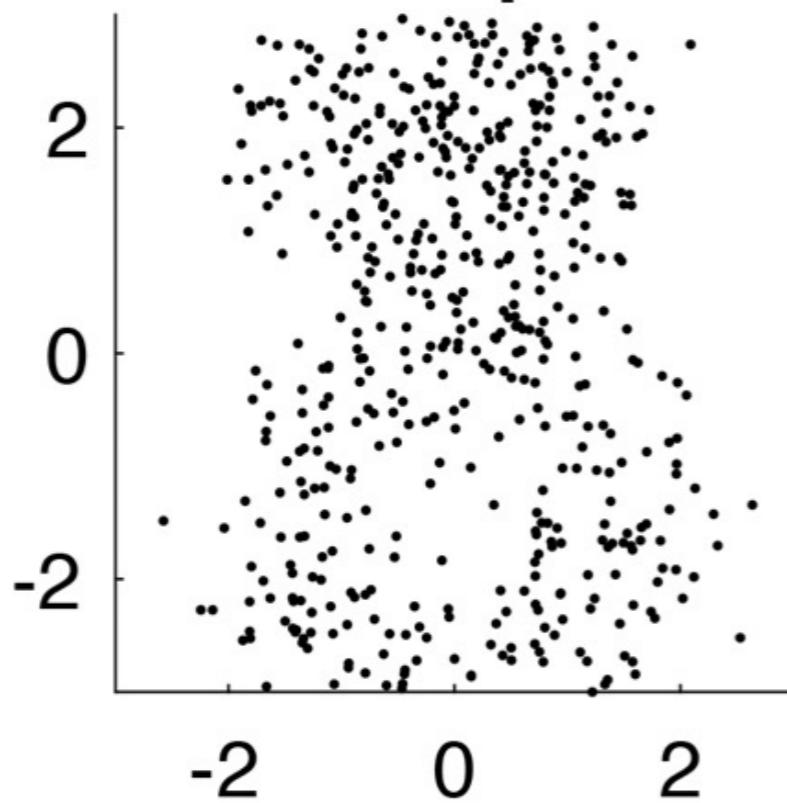


Group 2

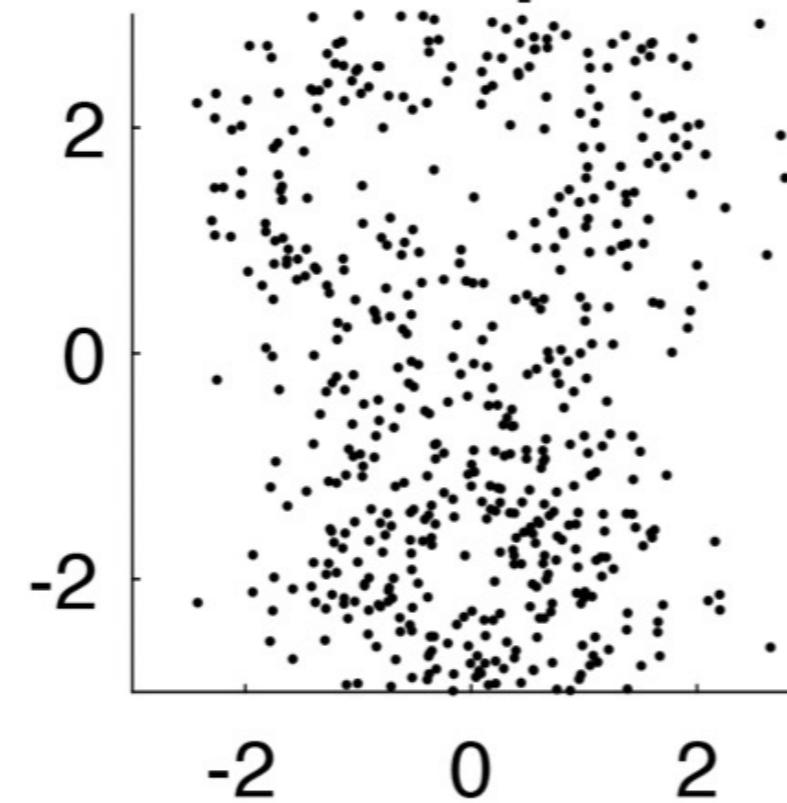


K-means
clustering
0.81 +/- 0.02

Group 3



Group 4

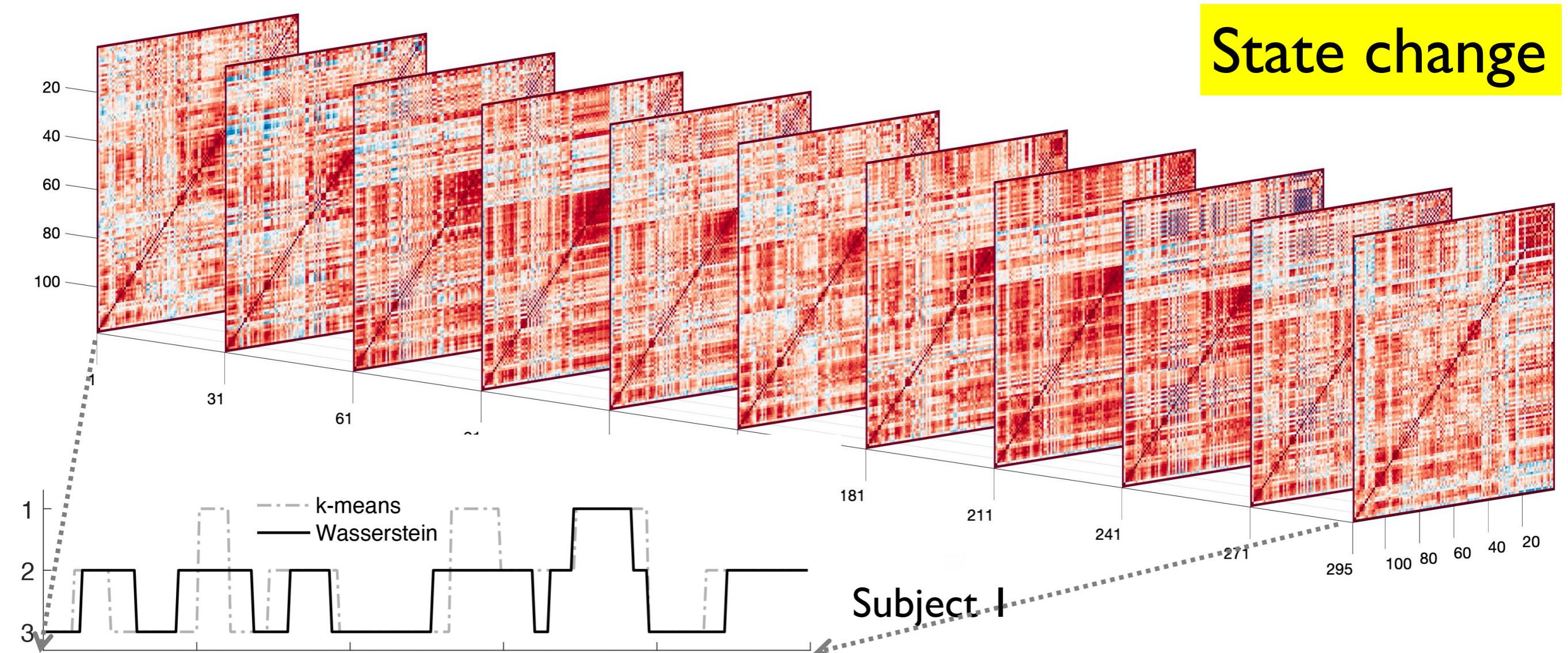


Hierarchical
clustering
1.00 +/- 0.00

Topological
clustering
0.44 +/- 0.04

Results

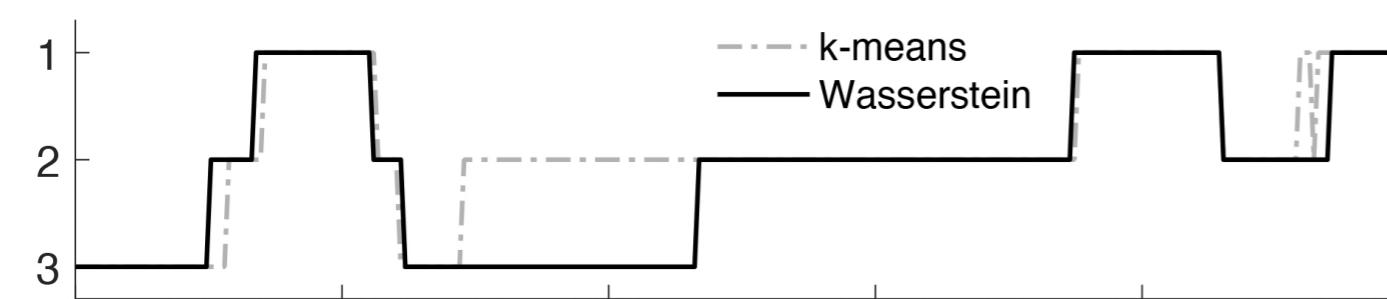
State change



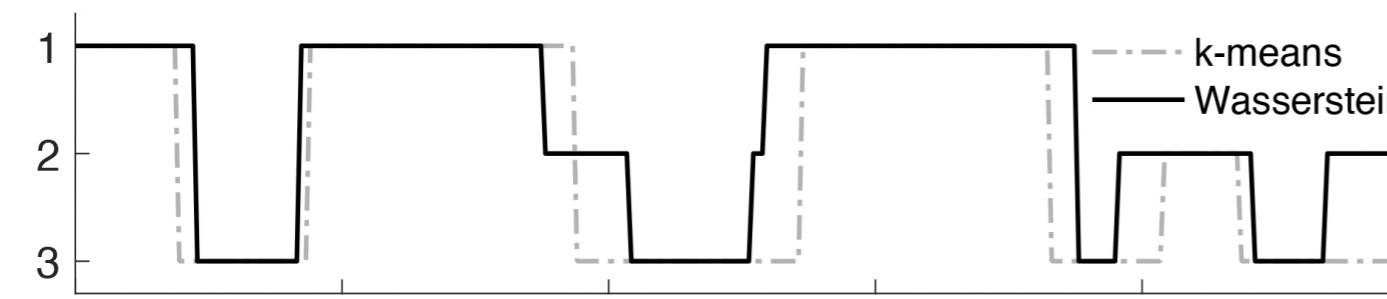
Subject 1

Clustering on
479 subjects

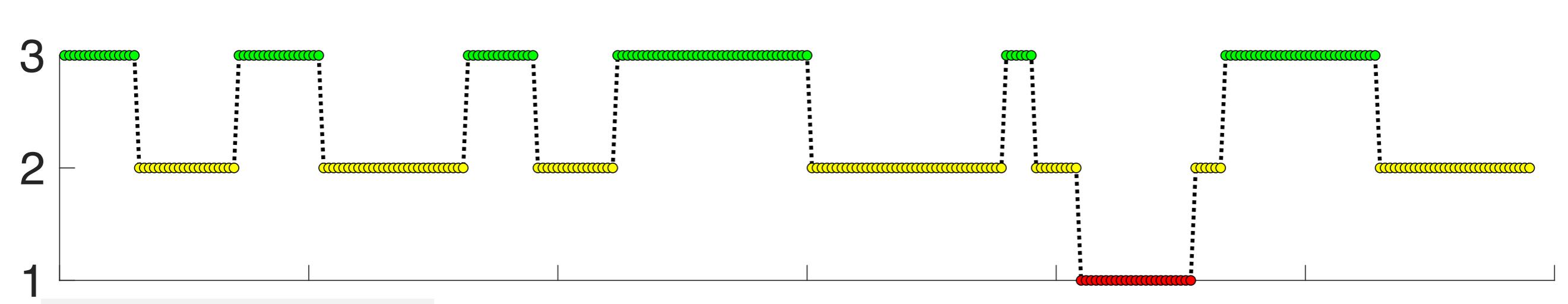
Subject 2



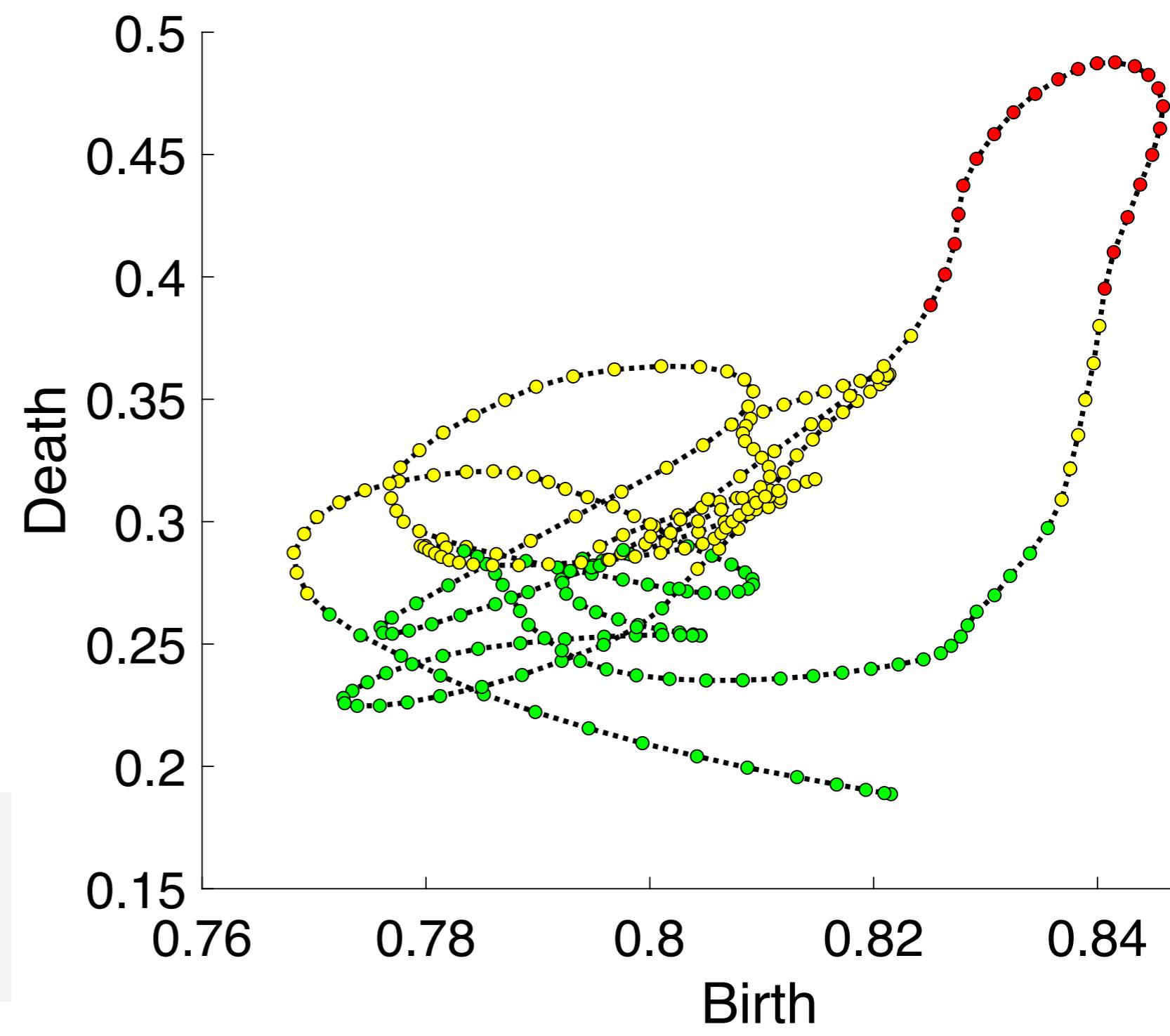
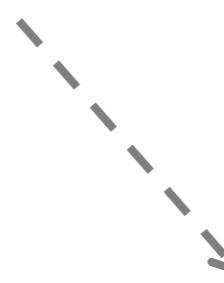
Subject 3



UW-Madison
Twin study

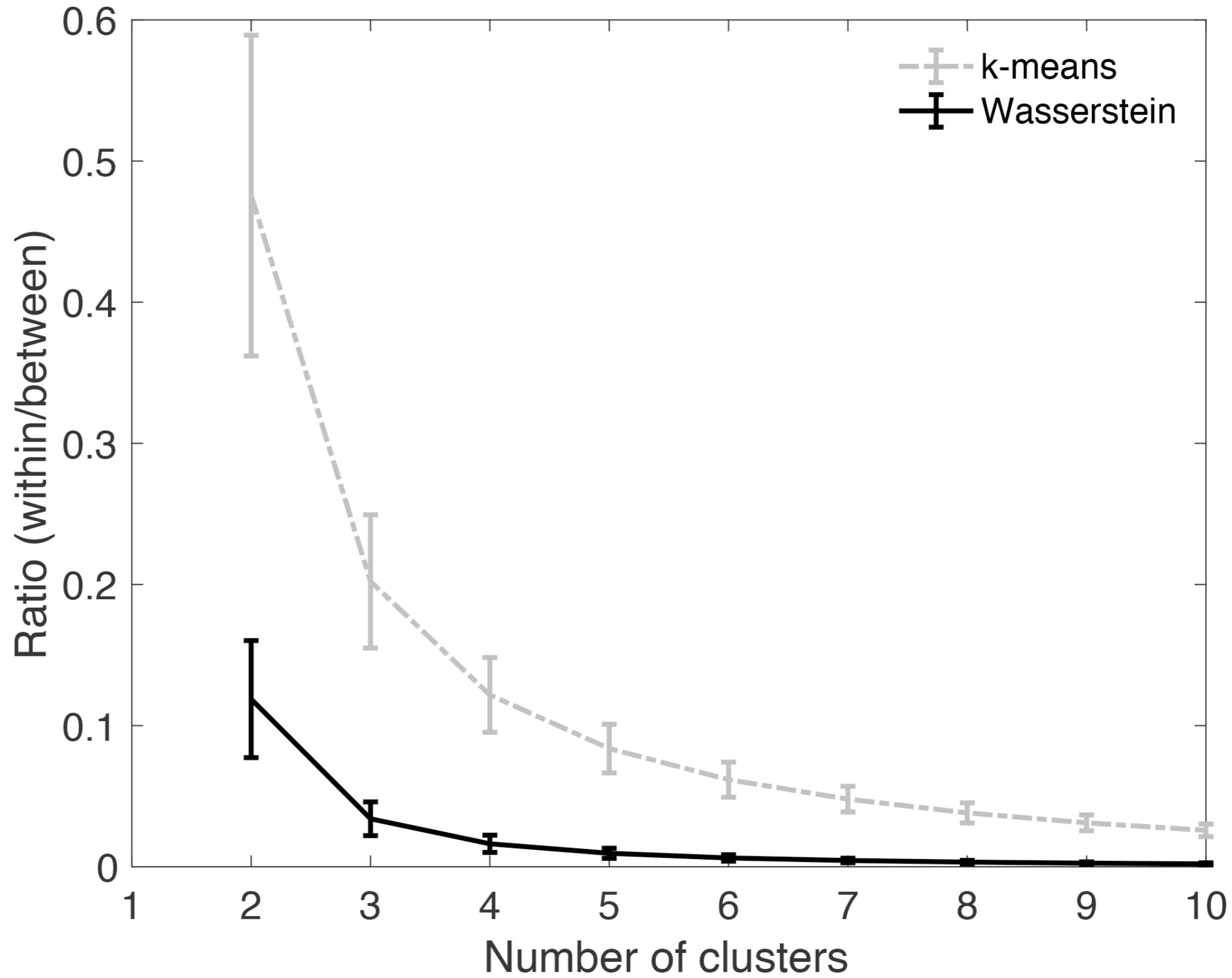


State change



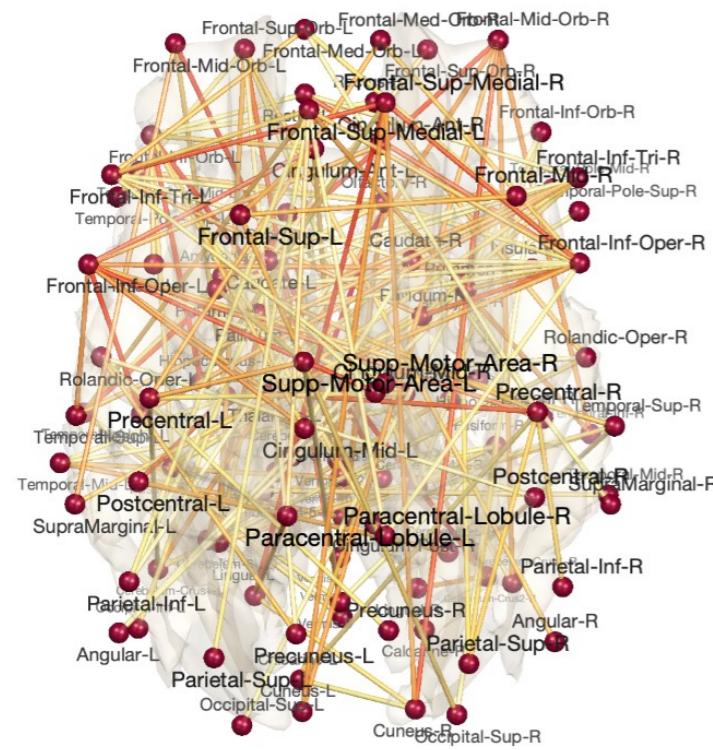
Topological
embedding

$$\frac{1}{\phi} = \frac{l_W}{l_B}$$

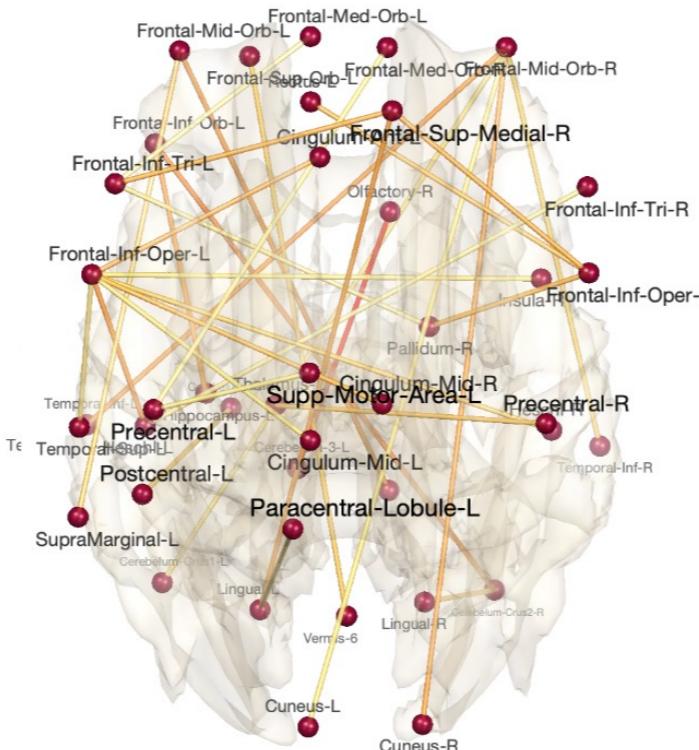


The within cluster variance **6 times** smaller

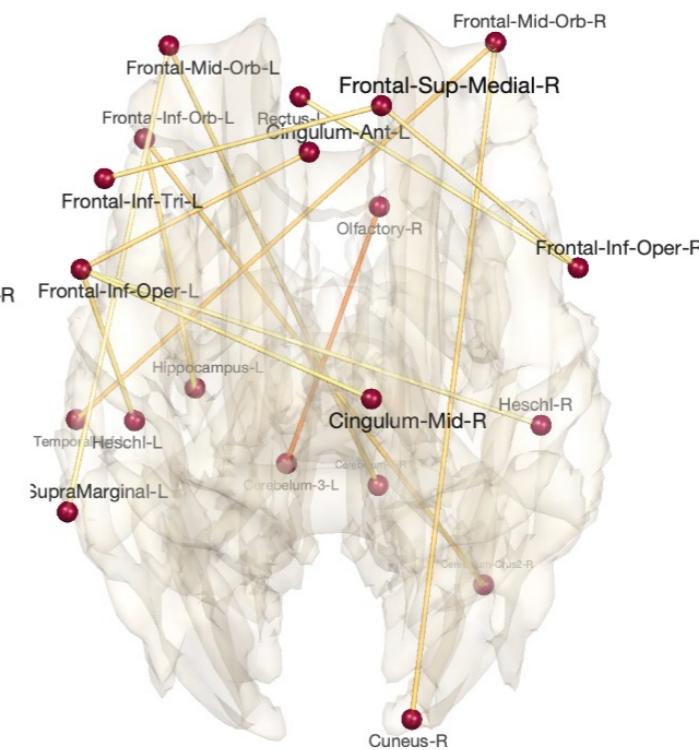
State 1



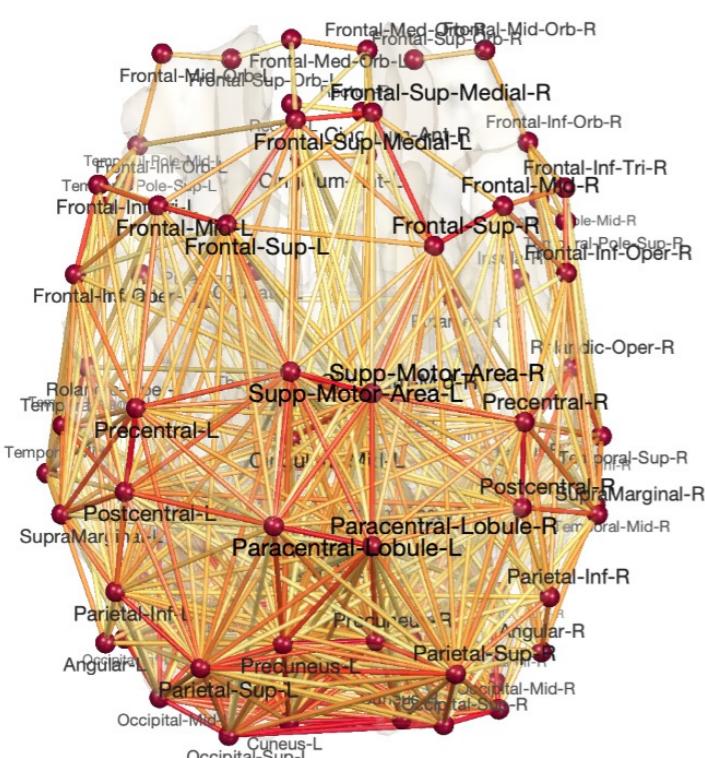
State 2



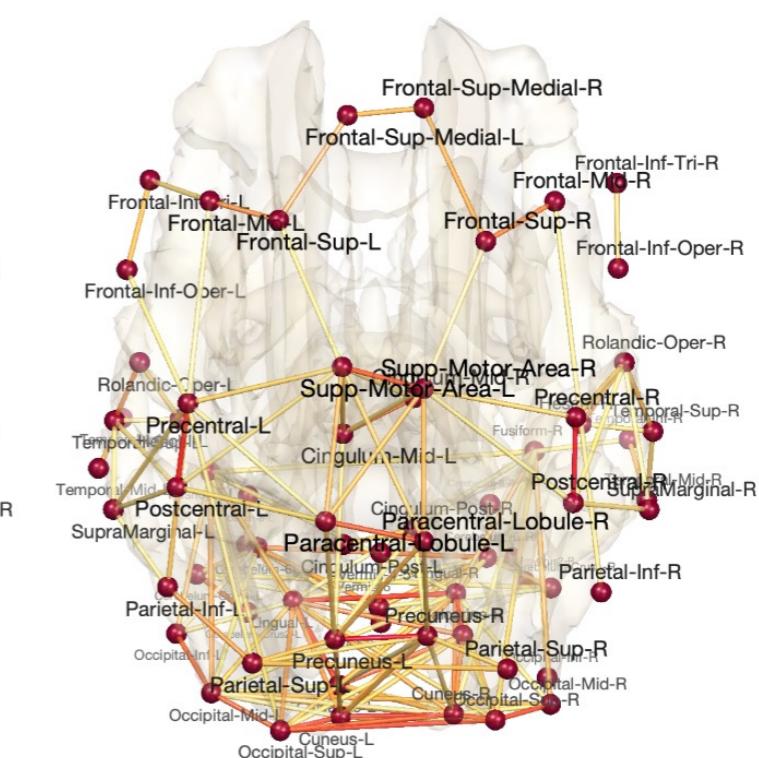
State 3



k-means



Sample mean in each state

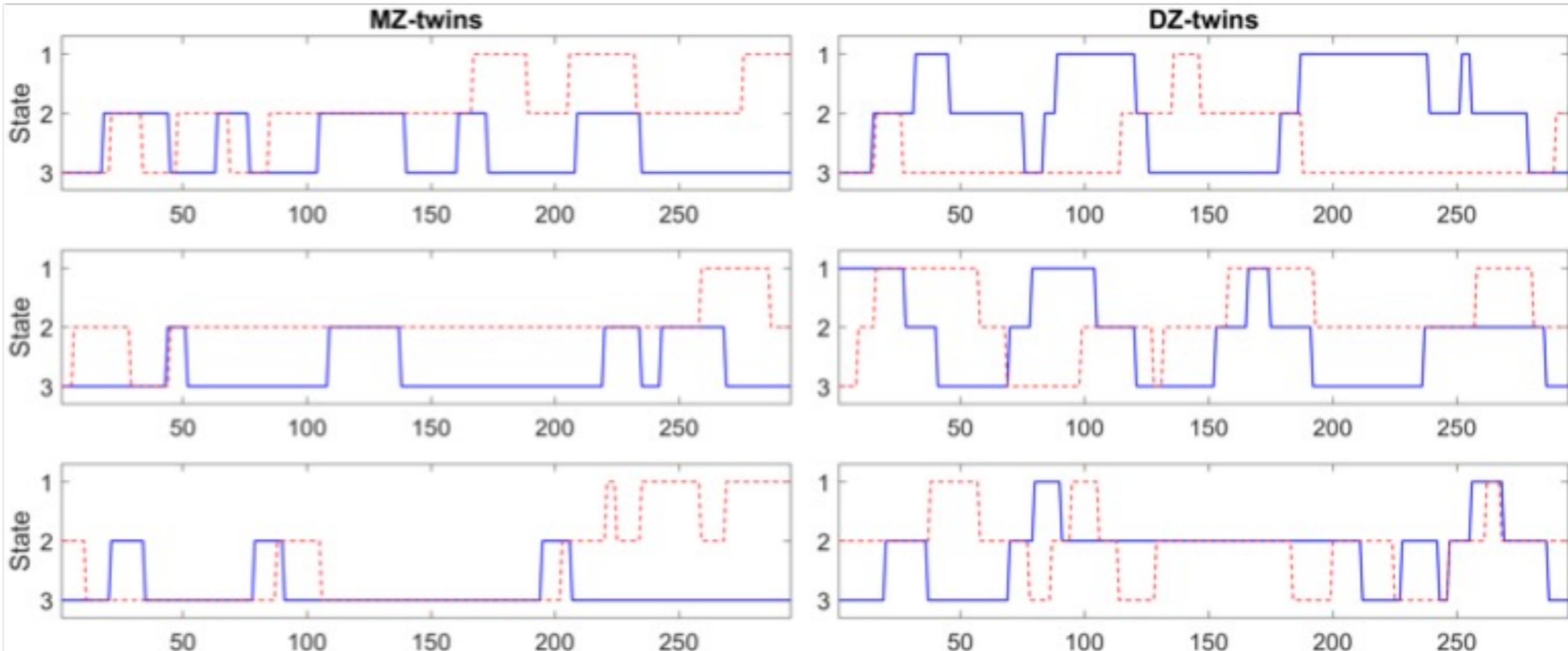


Wasserstein

Topological mean in each state

State space estimation on 479 subjects

Is the state-change heritable?

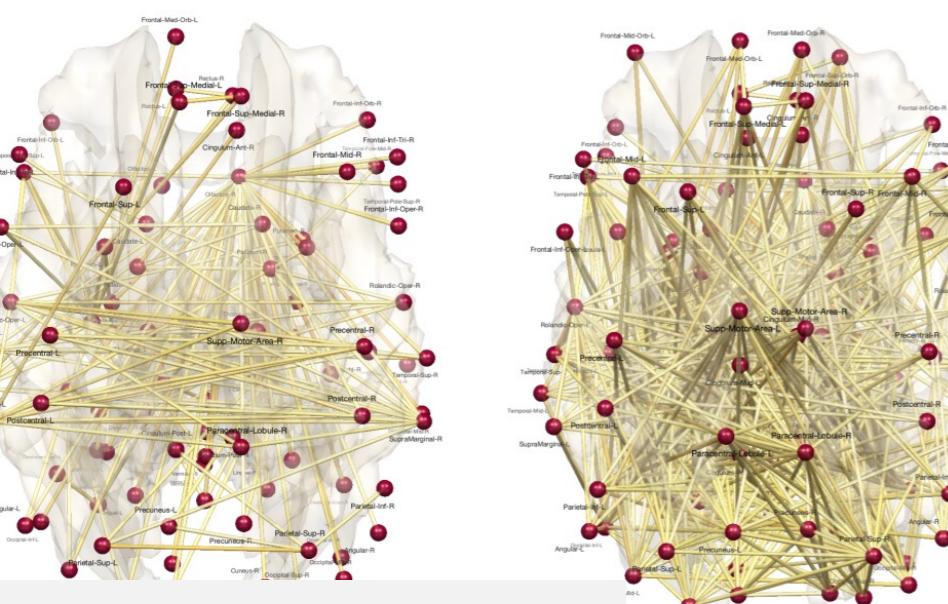


UW-Madison twin study (200 twin pairs)

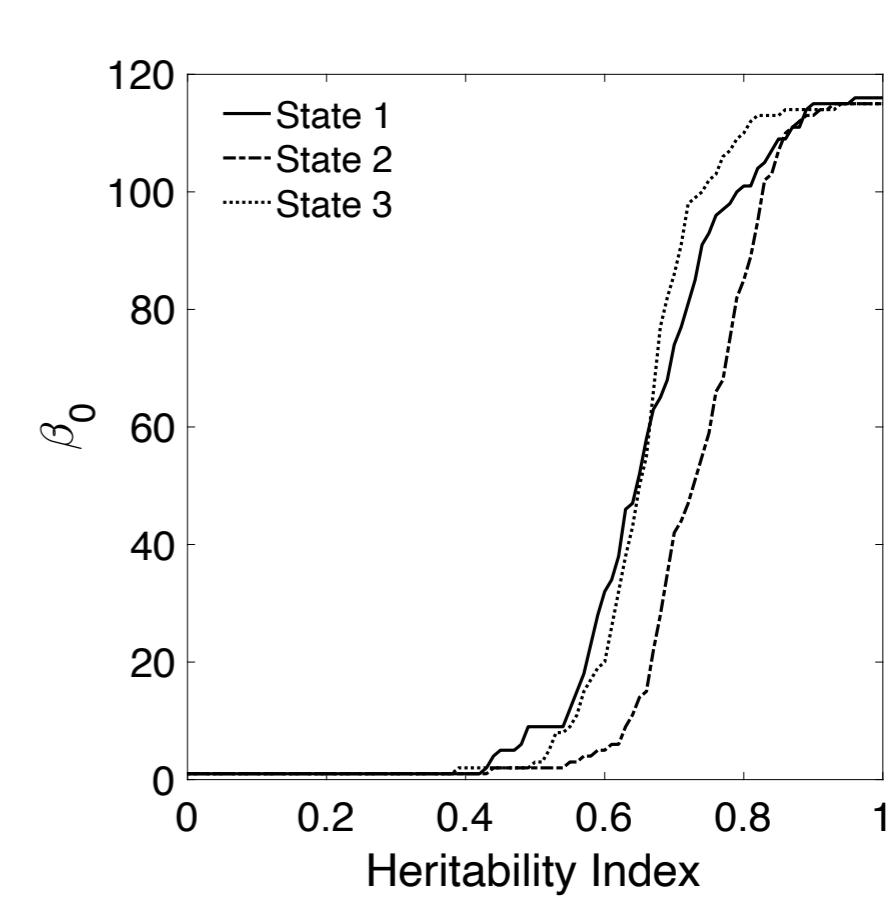
State 1

State 2

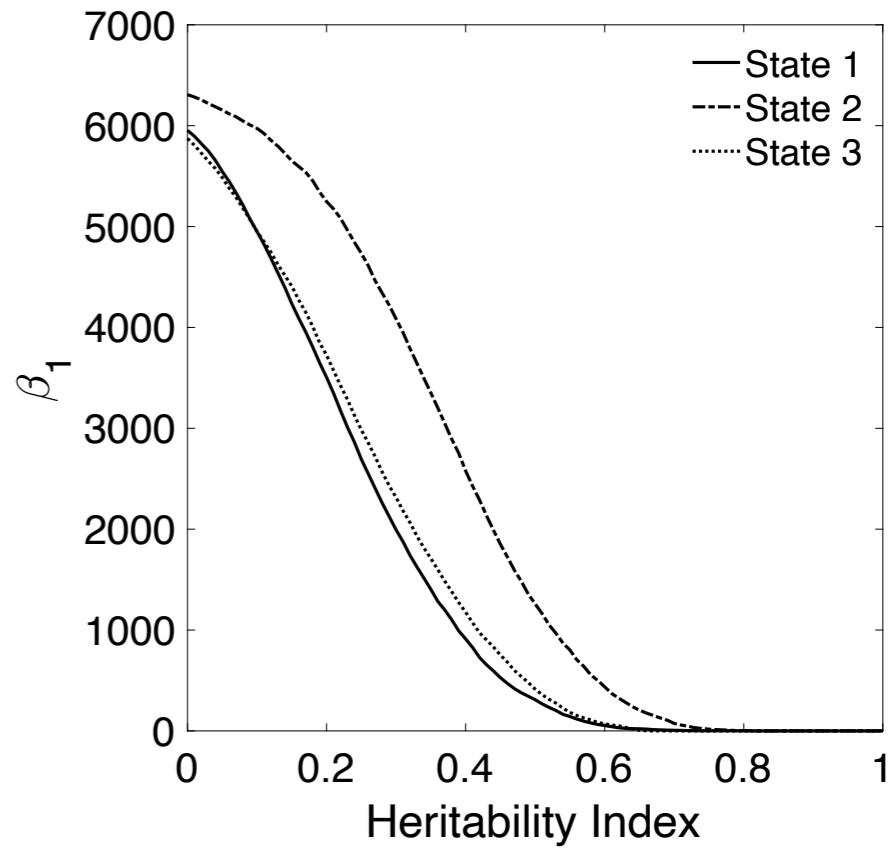
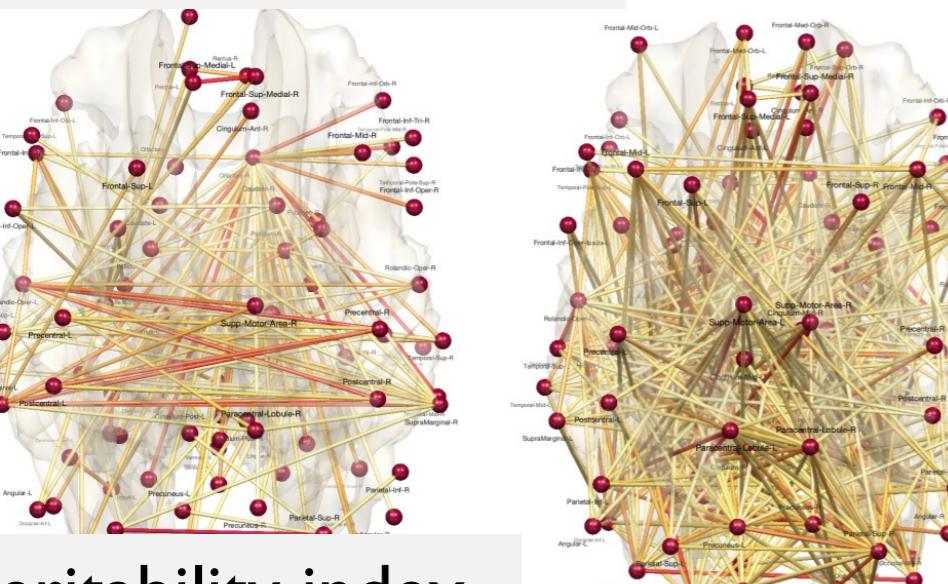
State 3



MZ-twin correlation



DZ-twin correlation



Heritability index

Minisymposium Topological Data Analysis and Machine Learning August 20-25, 2023 Tokyo, Japan



10th International Congress on Industrial and Applied Mathematics

ICIAM 2023 TOKYO

Organizer(s) : Jae-Hun Jung, Shizuo Kaji, Moo K. Chung

Speakers:

- Tomoo Yokoyama (Gifu University)
- Jongbaek Song (KIAS)
- Mason Poter (UCLA)
- Keunsu Kim (POSTECH)
- Peter Bubenik (University of Florida)
- Soham Mukherjee (Purdue University)
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Thank you.



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