Machine Translation

CMSC 473/673 - NATURAL LANGUAGE PROCESSING

Slides modified from Dr. Yulia Tsvetkov & Dr. Diyi Yang

Learning Objectives

Compare the Noisy Channel Model to Direct Modeling

Consider what to do about uneven parallel corpora

Discover issues with word alignment

5/6/2025

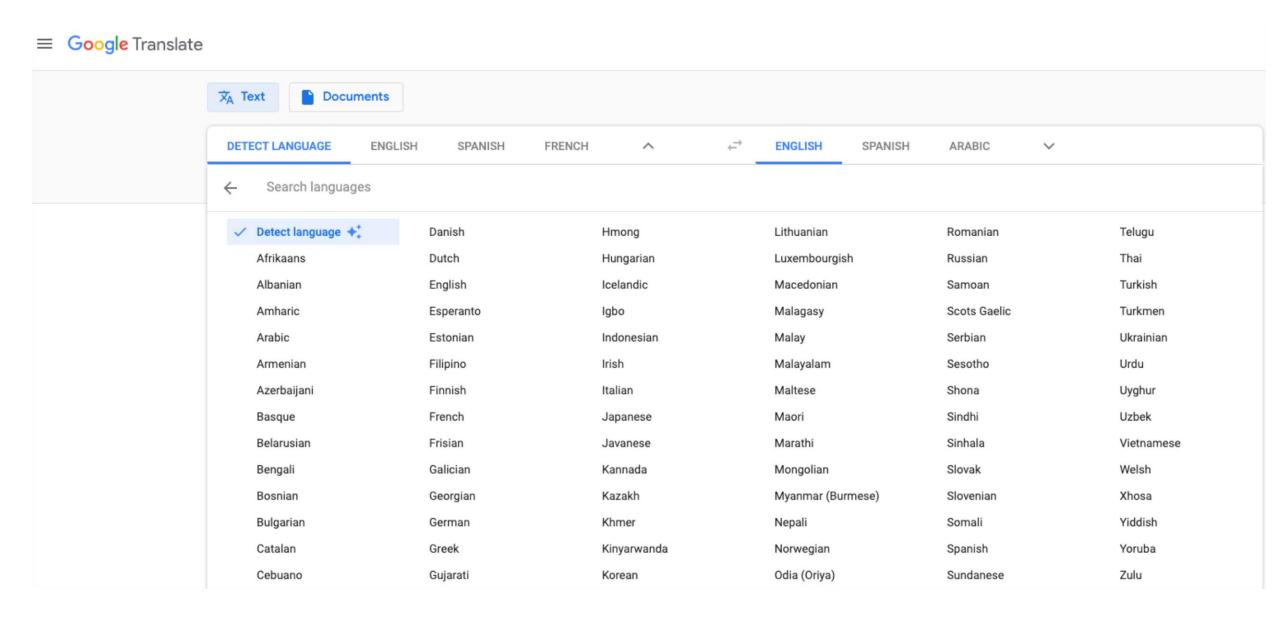
Machine Translation





Tower of Babel

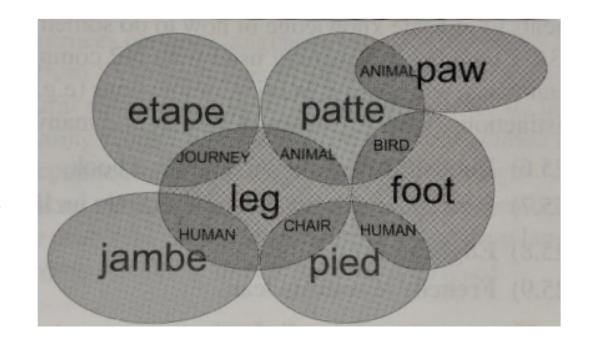
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Dictionaries

English: leg, foot, paw

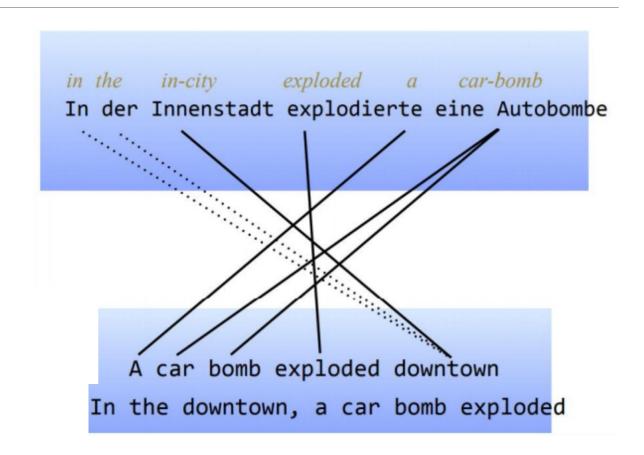
French: jambe, pied, patte, etape



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Challenges

- Ambiguities
 - Words
 - Morphology
 - Syntax
 - Semantics
 - Pragmatics
- Gaps in data
 - Availability of corpus
 - Commonsense knowledge
- Understanding of context, connotation, social norms, etc



Research Problems

- How can we formalize the process of learning to translate from examples?
- How can we formalize the process of finding translations for new inputs?
- If our model produces many outputs, how do we find the best one?
- If we have a gold standard translation, how can we tell if our output is good or bad?

Two Views Of MT

MT as Code Breaking

One naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.'

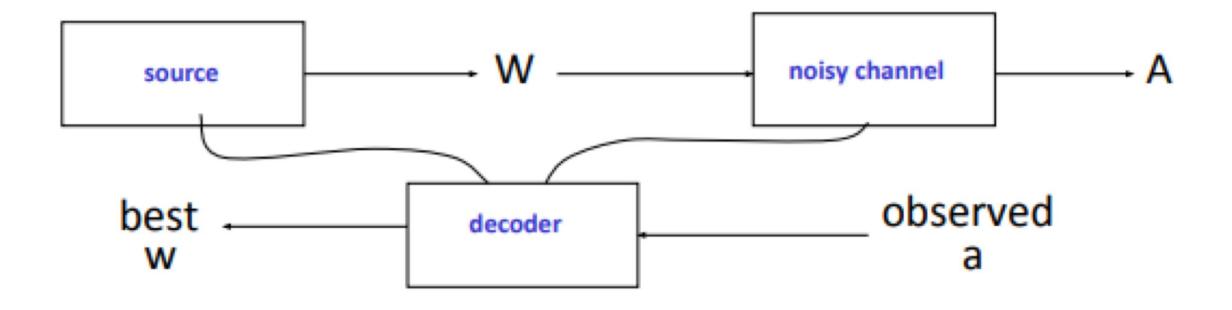


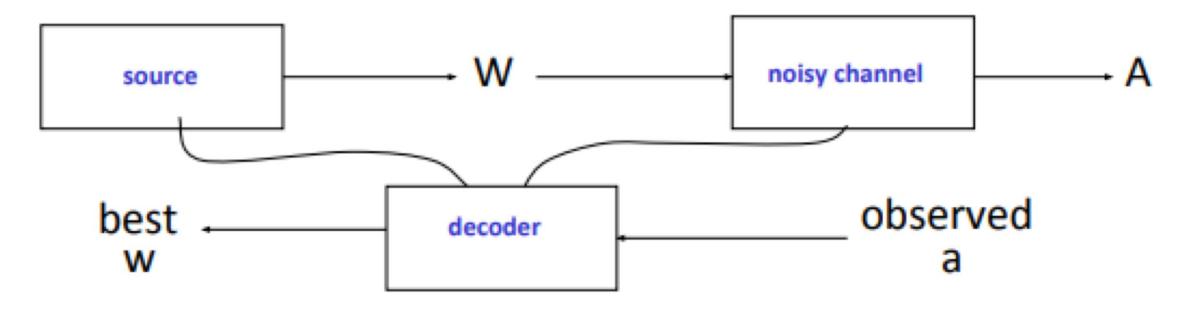
Warren Weaver to Norbert Wiener, March, 1947



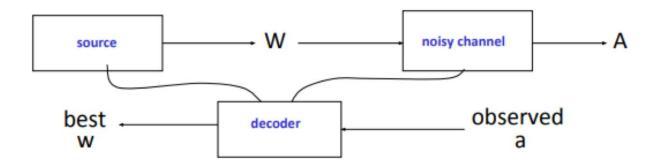


Claude Shannon. "A Mathematical Theory of Communication" 1948.



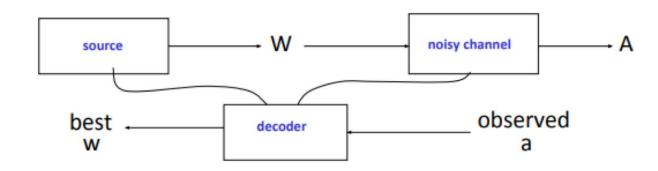


We want to predict a sentence given acoustics: $w^* = \underset{w}{\operatorname{arg max}} P(w|a)$



```
w^* = \arg \max_{w} P(w|a)
= \arg \max_{w} P(a|w)P(w) / P(a)
= \arg \max_{w} P(a|w)P(w)
Channel model

Source model
```



```
w^* = \arg\max_{w} P(w|a)
= \arg\max_{w} P(a|w)P(w) / P(a)
= \arg\max_{w} P(a|w)P(w)
Likelihood

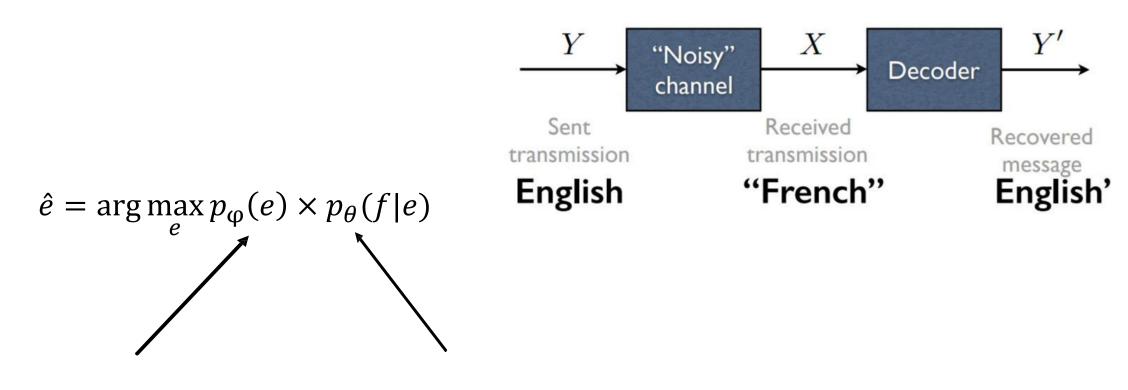
Acoustic model (HMMs)

Translation model

Prior

Language model: Distributions over sequence of words
```

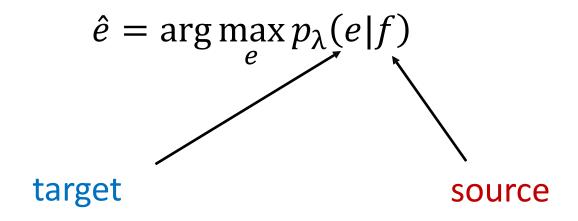
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Language model

Translation model

MT as Direct Modeling



- One model does everything
- Trained to reproduce a corpus of translations

Two Views of MT

- Code breaking (aka the noisy channel, Bayes rule)
 - I know the target language
 - I have example translations texts (example enciphered data)
- Direct modeling (aka pattern matching)
 - I have really good learning algorithms and a bunch of example inputs (source language sentences) and outputs (target language translations)

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Which is Better?

- Noisy channel $p_{\phi}(e) \times p_{\theta}(f|e)$
 - Easy to use monolingual target language data
 - Search happens under a product of two models (individual models can be simple, product can be powerful)
- Direct Model $-p_{\lambda}(e|f)$
 - Directly model the process you care about
 - Model must be very powerful

Where are we in 2025?

- Direct modeling is where most of the action is
 - Neural networks (e.g., transformers) are very good at generalizing and conceptually very simple
 - Inference in "product of two models" is hard
- Noisy channel ideas are incredibly important and still play a big role in how we think about translation

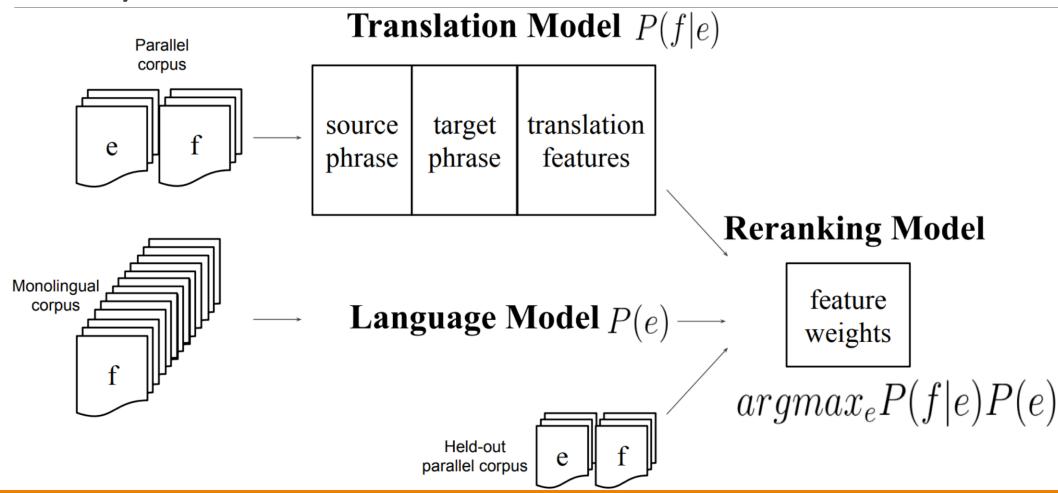
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Two Views of MT

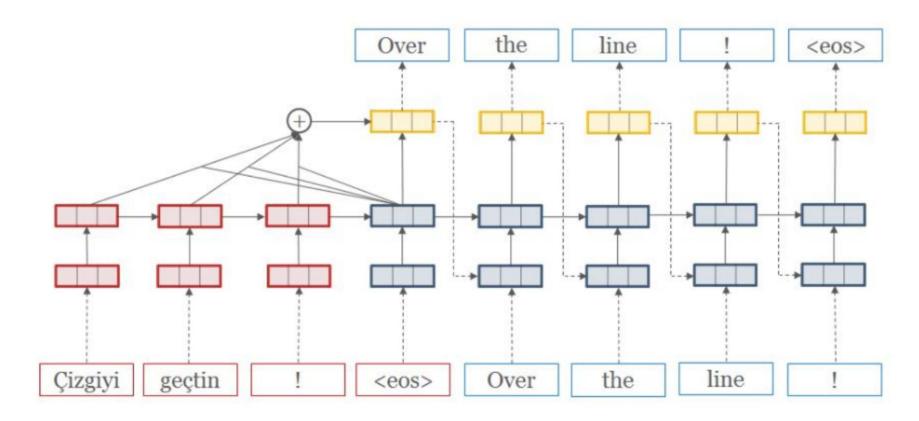
Noisy channel
$$\hat{e} = \arg \max_{e} p_{\phi}(e) \times p_{\theta}(f|e)$$

Direct
$$\hat{e} = \arg \max_{e} p_{\lambda}(e|f)$$

Noisy Channel: Phrase-Based MT



Neural MT: Conditional Language Modeling



http://opennmt.net/

A Common Problem

Noisy channel
$$\hat{e} = \arg \max_{e} p_{\phi}(e) \times p_{\theta}(f|e)$$

Direct $\hat{e} = \arg \max_{e} p_{\lambda}(e|f)$

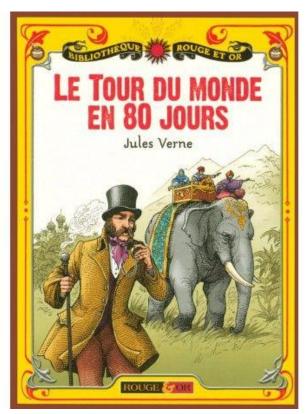
Both models must assign probabilities to how a sentence in one language translates into a sentence in another language

Learning From Data

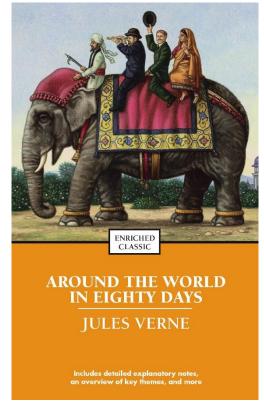
Parallel Corpora



https://en.wikipedia.org/wiki/Rosetta_Stor



https://www.maarifculture.com



https://www.simonandschuster.co.in/books/Around-the-World-in-Eight Days/Jules-Verne/Enriched-Classics/9781416534723

Parallel Corpora

| | | | | | CLASSIC SOUPS Sm | ı. Lg. |
|---|----------|-----|-----|-------|--------------------------------------|--------|
| ታ | 燩 | 雞 | 8 | 57. | House Chicken Soup (Chicken, Celery, | |
| | | | | | Potato, Onion, Carrot) | 0 2.75 |
| 雞 | Ŕ | 反 | 2 | 58. | Chicken Rice Soup | |
| 雞 | 3 | 4 | * | 59. | Chicken Noodle Soup | |
| 廣 | 東 | 李 | 吞 | 60. | Cantonese Wonton Soup | |
| ¥ | 茄 | 季 | - 8 | 61. | Tomato Clear Egg Drop Soup | |
| 雲 | 2 | 5 | * | 62. | Regular Wonton Soup | |
| 酸 | 身 | 束 | * | 63. ₹ | Hot & Sour Soup | |
| 委 | Ŧ | Ė | * | 64. | Egg Drop Soup1.10 | 0 2.10 |
| 李 | 7 | 5 | * | 65. | Egg Drop Wonton Mix1.10 | 0 2.10 |
| 豆 | 腐 | 茱 | * | 66. | Tofu Vegetable SoupN/ | |
| 雞 | 王 | 米 | * | 67. | Chicken Corn Cream SoupNA | A 3.50 |
| 磐 | 肉 3 | E 米 | 湯 | 68. | Crab Meat Corn Cream Soup NA | A 3.50 |
| 海 | 1 | ¥ | * | 69. | Seafood SoupNA | |

Parallel Corpora (mining parallel data from microblogs Ling et al., 2013)

| | ENGLISH | MANDARIN |
|---|--|-------------------------------------|
| 1 | i wanna live in a wes anderson world | 我想要生活在Wes Anderson的世界里 |
| 2 | Chicken soup, corn never truly digests. TMI. | 鸡汤吧, 玉米神马的从来没有真正消化过.恶心 |
| 3 | To DanielVeuleman yea iknw imma work on that | 对DanielVeuleman说,是的我知道,我正在向那方面努力 |
| 4 | msg 4 Warren G his cday is today 1 yr older. | 发信息给Warren G, 今天是他的生日, 又老了一岁了。 |
| 5 | Where the hell have you been all these years? | 这些年你TMD到哪去了 |
| Π | ENGLISH | ARABIC |
| 6 | It's gonna be a warm week! | الاسبوع الياي حر |
| 7 | onni this gift only 4 u | أوني هذة الهدية فقط لك |
| 8 | sunset in aqaba :) | غروب الشمس في العقبة:) |
| 9 | RT @MARYAMALKHAWAJA: there is a call for widespread protests in #bahrain tmrw | هناك نداء لمظاهرات في عدة مناطق غدا |

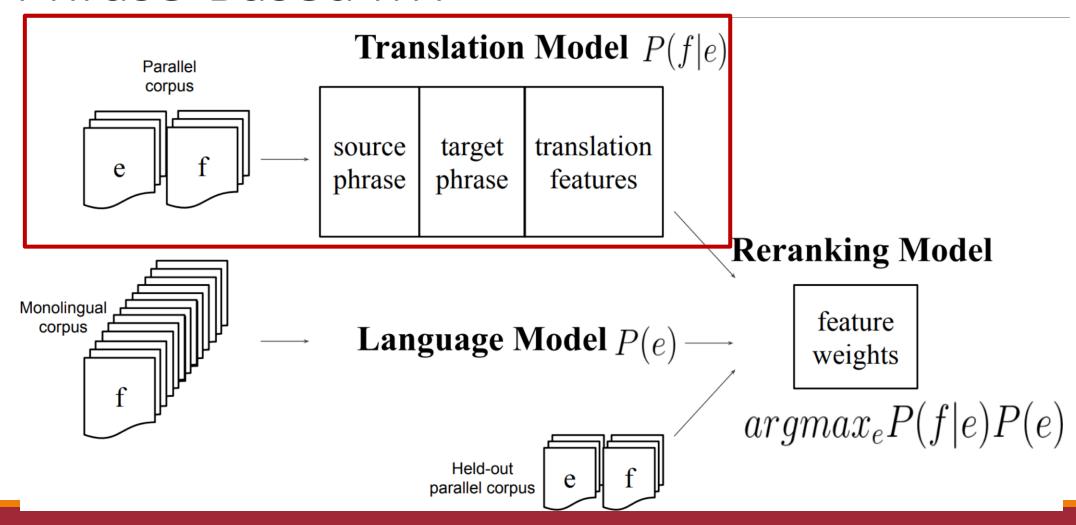
Table 2: Examples of English-Mandarin and English-Arabic sentence pairs. The English-Mandarin sentences were extracted from Sina Weibo and the English-Arabic sentences were extracted from Twitter. Some messages have been shorted to fit into the table. Some interesting aspects of these sentence pairs are marked in bold.

Discussion

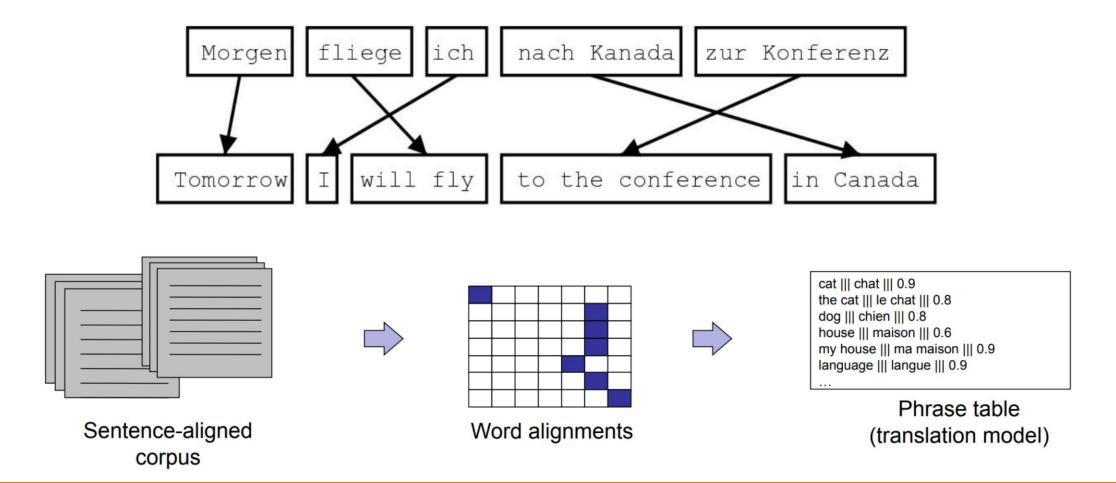
- There is a lot more monolingual data in the world than translated data
- Easy to get about 1 trillion words of English by crawling the web
- With some work, you can get 1 billion translated words of English-French
 - What about Japanese-Turkish?

How can you get around uneven amounts of data?

Phrase-Based MT



Construction of t-table



Word Alignment Models

Lexical Translation

■ How do we translate a word? Look it up in the dictionary

Haus – house, building, home, household, shell

- Multiple translations
 - Some more frequent than others
 - Different word senses, different registers, different functions
 - *House, home* are common
- *Shell* is specialized (the Haus of a snail is a shell)

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How Common is Each?

Look at a parallel corpus (German text along with English translation)

| Translation of Haus | Count |
|---------------------|-------|
| house | 8000 |
| building | 1600 |
| home | 200 |
| household | 150 |
| shell | 50 |

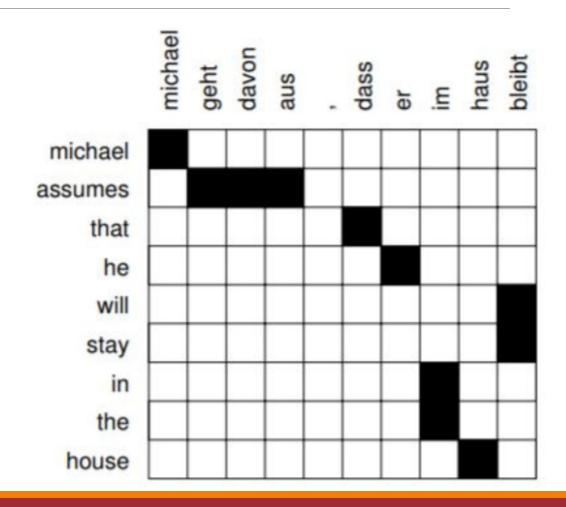
Estimate Translation Probabilities

Maximum likelihood estimation

$$\hat{p}_{\mathrm{MLE}}(e \mid \mathtt{Haus}) = \begin{cases} 0.8 & \text{if } e = \mathtt{house}, \\ 0.16 & \text{if } e = \mathtt{building}, \\ 0.02 & \text{if } e = \mathtt{home}, \\ 0.015 & \text{if } e = \mathtt{household}, \\ 0.005 & \text{if } e = \mathtt{shell}. \end{cases}$$

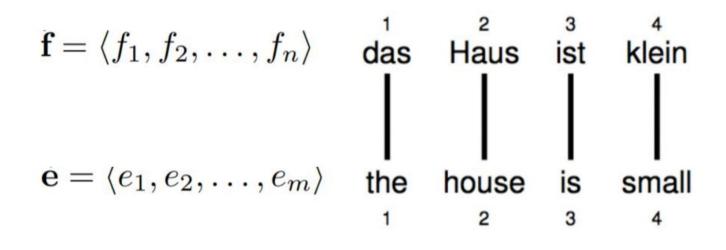
Word Alignment:

Given a sentence pair, which words correspond to each other?



Word Alignment

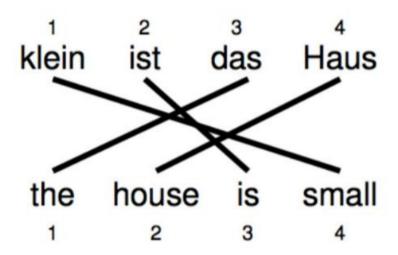
Alignment can be visualized by drawing links between two sentences, and they are represented as vectors of positions



$$\mathbf{a} = (1, 2, 3, 4)^{\top}$$

Reordering

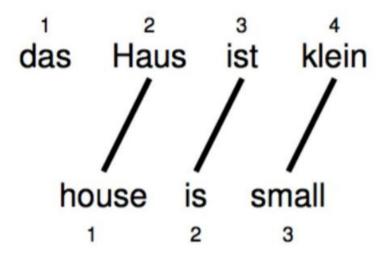
Words may be reordered during translation



$$\mathbf{a} = (3, 4, 2, 1)^{\top}$$

Word Dropping

A source word may not be translated at all



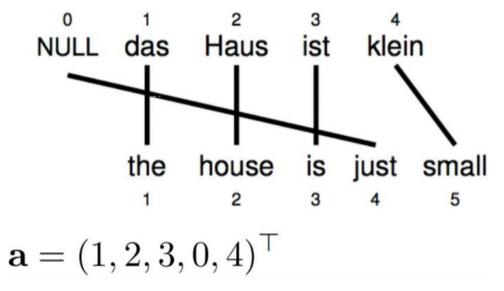
$$\mathbf{a} = (2, 3, 4)^{\top}$$

Word Insertion

- Words may be inserted during translation
 - English just does not have an equivalent

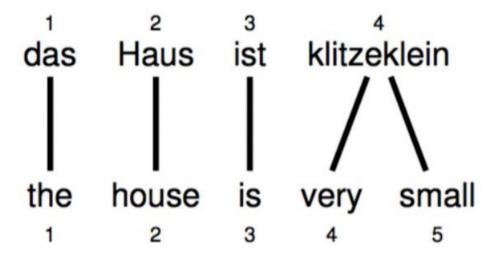
■ But it must be explained – we typically assume every source sentence contains a

NULL token



One-to-many Translation

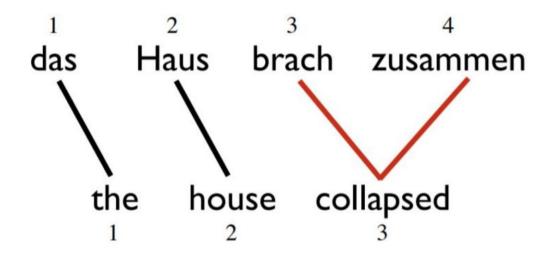
A source word may translate into more than one target word



$$\mathbf{a} = (1, 2, 3, 4, 4)^{\mathsf{T}}$$

Many-to-one Translation

More than one source word may not translate as a unit in lexical translation



$$\mathbf{a} = ???$$
 $\mathbf{a} = (1, 2, (3, 4)^{\top})^{\top}$?

Computing Word Alignments

- Word alignments are the basis for most translation algorithms
- Given two sentences F and E, find a good alignment
- But a word-alignment algorithm can also be part of a mini-translation model itself
- One the most basic alignment models is also a simplistic translation model

IBM Model 1

- Generative model: break up translation process into smaller steps
- Simplest possible lexical translation model
- Additional assumptions
 - All alignment decisions are independent
 - \blacksquare The alignment distribution for each a_i is uniform over all source words and NULL

Lexical Translation

- Goal: a model p(e|f,m)
 - Where **e** and **f** are complete English and Foreign sentences

$$\blacksquare e = \langle e_1, e_2, ..., e_m \rangle$$

$$\blacksquare f = \langle f_1, f_2, ..., f_n \rangle$$

Lexical Translation

- Goal: a model p(e|f,m)
 - Where **e** and **f** are complete English and Foreign sentences
- Lexical translation makes the following assumptions
 - \blacksquare Each word e_i in \boldsymbol{e} is generated from exactly one word in \boldsymbol{f}
 - Thus, we have an alignment a_i that indicates which word e_i "came from", specifically it came from f_{a_i}
 - Given the alignments \mathbf{a} , translation decisions are conditionally independent of each other and depend only on the aligned source word f_{a_i}

Lexical Translation

Putting our assumptions together, we have:

$$p(\boldsymbol{e}|\boldsymbol{f},m) = \sum_{\boldsymbol{a} \in [0,n]^m} p(\boldsymbol{a}|\boldsymbol{f},m) \times \prod_{i=1}^m p(e_i|f_{a_i})$$

Alignment × Translation | Alignment

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IBM Model 1: P(E|F)

- Translation probability
 - \blacksquare For a foreign sentence $\mathbf{f} = (f_1, ..., f_{l_f})$ of length I_f
 - lacktriangledown To an English sentence $oldsymbol{e}=(e_1$,... , $e_{l_e})$ of length $\mathbf{l_e}$
 - With an alignment of each English word e_j to a foreign word f_i according to the alignment function $a: j \rightarrow l$

$$p(e, a|f) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

Parameter ϵ is a normalization constant

Computing P(E|F) in IBM Model 1

$$p(e, a|f) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

- lacksquare A normalization factor, since there are $(l_f+1)^{l_e}$ possible alignments
- \blacksquare Parameter ϵ is a normalization constant
- The probability of an alignment given the foreign sentence

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Computing P(E|F) in IBM Model 1

$$p(a|f) p(e|f,a)$$

$$p(e,a|f) = \frac{\epsilon}{(l_f+1)^{l_e}} \prod_{j=1}^{l_e} t(e_j|f_{a(j)})$$

$$p(e|f) = \sum_{a} p(e, a|f) = \sum_{a} p(a|f) \times \prod_{j=1}^{l_e} p(e_j|f_{a_j})$$

Example

das

| e | t(e f) |
|-------|--------|
| the | 0.7 |
| that | 0.15 |
| which | 0.075 |
| who | 0.05 |
| this | 0.025 |

Haus

| e | t(e f) | | | | |
|-----------|--------|--|--|--|--|
| house | 0.8 | | | | |
| building | 0.16 | | | | |
| home | 0.02 | | | | |
| household | 0.015 | | | | |
| shell | 0.005 | | | | |

ist

| e | t(e f) |
|--------|--------|
| is | 0.8 |
| 's | 0.16 |
| exists | 0.02 |
| has | 0.015 |
| are | 0.005 |

klein

| t(e f) | | | | |
|--------|--|--|--|--|
| 0.4 | | | | |
| 0.4 | | | | |
| 0.1 | | | | |
| 0.06 | | | | |
| 0.04 | | | | |
| | | | | |

$$\begin{split} p(e,a|f) &= \frac{\epsilon}{4^3} \times t(\text{the}|\text{das}) \times t(\text{house}|\text{Haus}) \times t(\text{is}|\text{ist}) \times t(\text{small}|\text{klein}) \\ &= \frac{\epsilon}{4^3} \times 0.7 \times 0.8 \times 0.8 \times 0.4 \\ &= 0.0028 \epsilon \end{split}$$

Estimate Translation Probabilities

Maximum likelihood estimation

$$\hat{p}_{\mathrm{MLE}}(e \mid \mathtt{Haus}) = \begin{cases} 0.8 & \text{if } e = \mathtt{house}, \\ 0.16 & \text{if } e = \mathtt{building}, \\ 0.02 & \text{if } e = \mathtt{home}, \\ 0.015 & \text{if } e = \mathtt{household}, \\ 0.005 & \text{if } e = \mathtt{shell}. \end{cases}$$

Estimate Alignments Given t-table

■ If we have translation probabilities...

| das | | | |
|-------|--------|--|--|
| e | t(e f) | | |
| the | 0.7 | | |
| that | 0.15 | | |
| which | 0.075 | | |
| who | 0.05 | | |
| this | 0.025 | | |

| Haus | | | | | |
|-----------|--------|--|--|--|--|
| e | t(e f) | | | | |
| house | 0.8 | | | | |
| building | 0.16 | | | | |
| home | 0.02 | | | | |
| household | 0.015 | | | | |
| shell | 0.005 | | | | |

| 151 | | | |
|--------|--------|--|--|
| e | t(e f) | | |
| is | 0.8 | | |
| 's | 0.16 | | |
| exists | 0.02 | | |
| has | 0.015 | | |
| are | 0.005 | | |

| Klein | | | |
|--------|--------|--|--|
| e | t(e f) | | |
| small | 0.4 | | |
| little | 0.4 | | |
| short | 0.1 | | |
| minor | 0.06 | | |
| petty | 0.04 | | |
| | | | |

1.1 -:--

■ The goal is to find the most probable alignment given a parameterized model

$$p(e, a|f) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

Estimating the Alignment

$$a^* = \arg \max_{a} p(e, a | f)$$

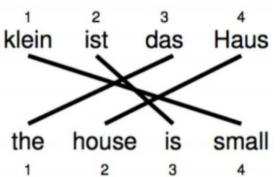
$$= \arg \max_{a} x \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

$$= \arg \max_{a} x \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

Since translation choice for each position is independent, the product is maximized by maximizing each term:

$$a_i^* = \arg\max_{a_i=0}^n t(e_i | f_{a_i})$$





- We'd like to estimate the lexical translation probabilities t ($e \mid f$) from a parallel corpus but we do not have the alignments
- Chick and egg problem
 - If we had the alignments, we could estimate the parameters of our generative model (MLE)
- If we had the parameters, we could estimate the alignments

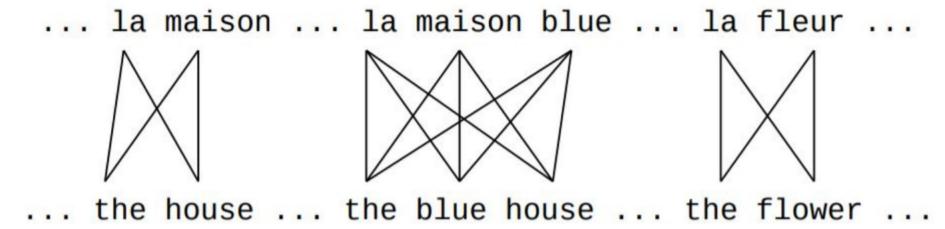
klein

| e | t(e f) |
|--------|--------|
| small | 0.4 |
| little | 0.4 |
| short | 0.1 |
| minor | 0.06 |
| petty | 0.04 |

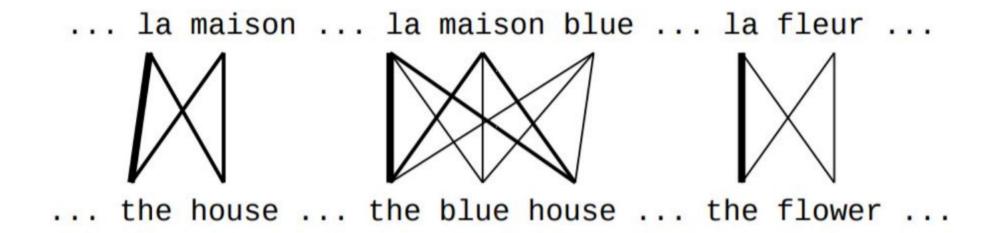
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- Incomplete data
 - If we had complete data, we could estimate the model
 - If we had the model, we could fill in the gaps in the data
- Expectation Maximization (EM) in a nutshell
 - 1. Initialize model parameters (e.g., uniform, random)
 - 2. Assign probabilities to the missing data
 - 3. Estimate model parameters from completed data
 - 4. Iterate steps 2-3 until convergence

Kevin Knight's example



- Initial step: all word alignments equally likely
- Model learns that: e.g., *la* is often aligned with *the*



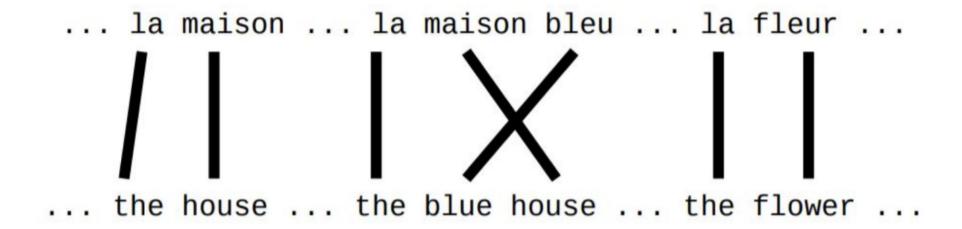
- After one iteration
- Alignments, e.g., between *la* and *the* are more likely

```
... la maison ... la maison bleu ... la fleur ...

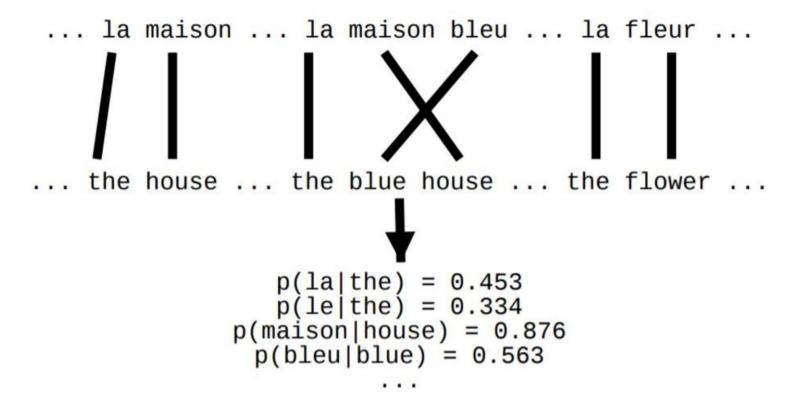
the house ... the blue house ... the flower ...
```

After another iteration

It becomes apparent that alignments, e.g., between fleur and flower are more likely



- Convergence
- Inherent hidden structure revealed by EM!



Parameter estimation from the aligned corpus

Problems with Lexical Translation

- Complexity exponential in sentence length
- Weak reordering the output is not fluent
- Many local decisions error propagation

Evaluation Metrics

- Manual evaluation is most accurate, but expensive
- Automated evaluation metrics:
 - Compare system hypothesis with reference translations
 - BiLingual Evaluation Understudy (BLEU) (Papineni et al., 2002):
 - Modified n-gram precision

 $p_n = \frac{\text{number of } n\text{-grams appearing in both reference and hypothesis translations}}{\text{number of } n\text{-grams appearing in the hypothesis translation}}$

BLEU

BLEU =
$$\exp \frac{1}{N} \sum_{n=1}^{N} \log p_n$$

- Two modifications:
 - To avoid log 0, all precisions are smoothed
 - Each n-gram in reference can be used at most once
 - Ex. Hypothesis: to to to to to vs Reference: to be or not to be should not get a unigram precision of 1
- Precision-based metrics favor short translations
 - Solution: Multiply score with a brevity penalty (BP) for translations shorter than reference, $e^{1-r/h}$

BLEU Scores

| | Translation | p_1 | p_2 | p_3 | p_4 | BP | BLEU |
|-----------|--|---------------|---------------|---------------|---------------|-----|------|
| Reference | Vinay likes programming in Python | | | | | | |
| Sys1 | To Vinay it like to program Python | $\frac{2}{7}$ | 0 | 0 | 0 | 1 | .21 |
| Sys2 | Vinay likes Python | $\frac{3}{3}$ | $\frac{1}{2}$ | 0 | 0 | .51 | .33 |
| Sys3 | Vinay likes programming in his pajamas | $\frac{4}{6}$ | $\frac{3}{5}$ | $\frac{2}{4}$ | $\frac{1}{3}$ | 1 | .76 |

Sample BLEU scores for various system outputs

■ Alternatives have been proposed:

Other Issues?

- METEOR: weighted F-measure
- Translation Error Rate (TER): Edit distance between hypothesis and reference

BLEU

Correlates somewhat well with human judgments

