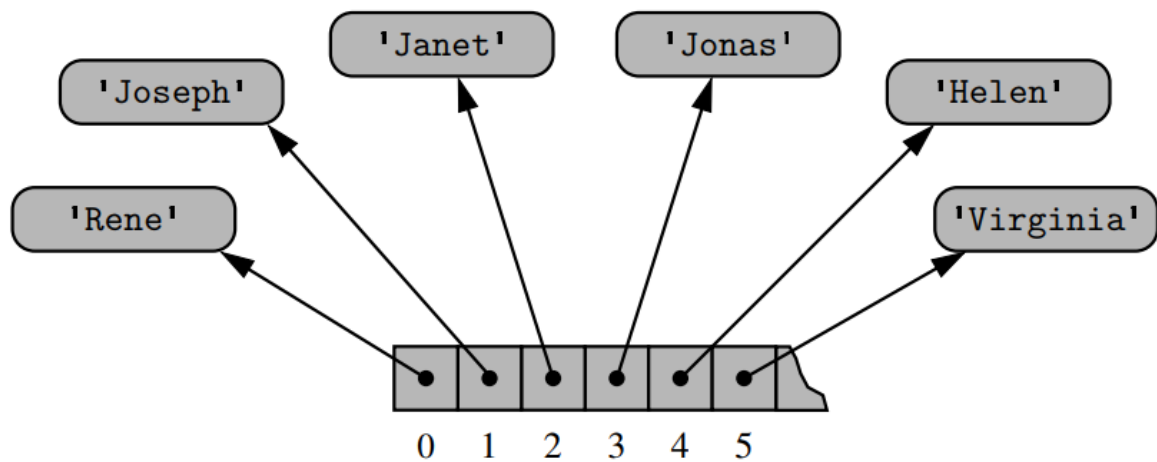


- Array
- Stack
- Queue
- Deque
- Linked List
  - Singly Linked List
  - Circularly Linked List
  - Doubly Linked List
  - Positional List
- Array-based vs. Linked-based
- Tree
  - General Tree
  - Binary Tree
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    - Linked Binary Tree
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    - Postorder (General Tree)
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    - Inorder (Binary Tree)
- Priority Queue
  - Unsorted Priority Queue
  - Sorted Priority Queue
- Heap
  - Heap-based Priority Queue

# Array

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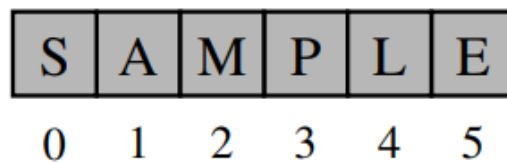
**Referential array.** Array of object references.



**Figure 5.4:** An array storing references to strings.

E.g., Python lists, tuples.

**Compact array.** Array that store bits representing primary data.



**Dynamic array.** Resizable array that grows or shrinks based on the number of items it contains, so that its operations can have amortized  $O(1)$  runtime.

E.g., Python list is implemented using dynamic array.

# Stack

---

Method	Description	Runtime
<code>S.push(e)</code>	Add e to top of stack.	$O(1)^*$
<code>S.pop()</code>	Remove and return item from top of stack.	$O(1)^*$
<code>S.top()</code>	Return reference to item at top of stack.	$O(1)$
<code>S.is_empty()</code>	True if the stack is empty.	$O(1)$
<code>len(S)</code>	Return the number of items in the stack.	$O(1)$

\*If implemented using a Python list, these operations are amortized.

Applications:

1. Reverse a list (push all items in and pop them one by one, first in last out).
2. Parenthesis matching.

# Queue

---

Method	Description	Runtime
<code>Q.enqueue(e)</code>	Add <code>e</code> to end of queue.	$O(1)^*$
<code>Q.dequeue()</code>	Remove and return item from front of queue.	$O(1)^*$
<code>Q.first()</code>	Return item at front of queue.	$O(1)$
<code>Q.is_empty()</code>	True if the queue is empty.	$O(1)$
<code>len(Q)</code>	Return the number of items in the queue.	$O(1)$

\*If implemented using a Python list (circular, wraps around when reaching end of list), these operations are amortized.

# Deque

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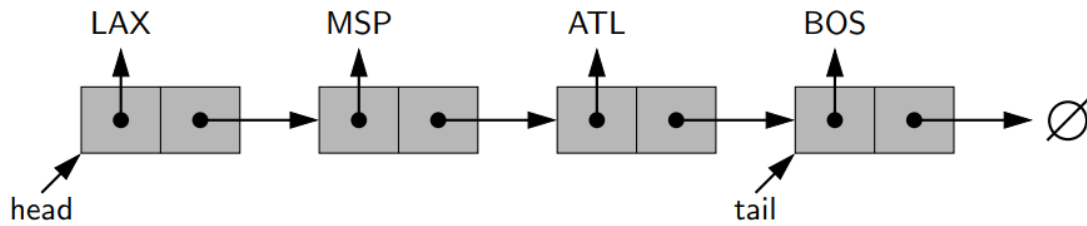
Double-ended queue. ADT that can add and remove elements from both ends of the queue.

Method	Description	Runtime
<code>D.add_first(e), D.add_last(e)</code>	Add <code>e</code> to front/back of dequeue.	$O(1)^*$
<code>D.delete_first(e), D.delete_last(e)</code>	Remove and return item from front/back of dequeue.	$O(1)^*$
<code>D.first(), D.last()</code>	Return and return item at the front/back of dequeue.	$O(1)$
<code>D.is_empty()</code>	True if the dequeue is empty.	$O(1)$
<code>len(D)</code>	Return the number of items in the dequeue.	$O(1)$

\*If implemented using a Python list (circular, wraps around), these operations are amortized.

# Linked List

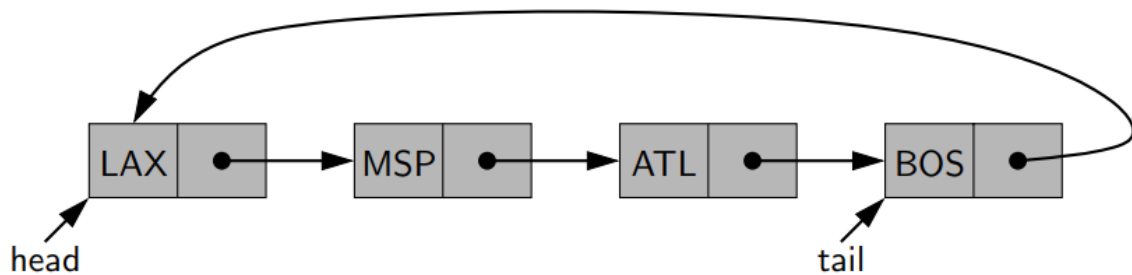
## Singly Linked List



Applications:

1. Implement the Stack ADT, all operations are worst-case  $O(1)$ .
2. Implement the Queue ADT, all operations are worst-case  $O(1)$ .

## Circularly Linked List

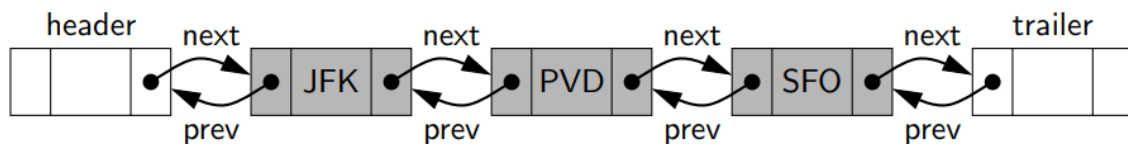


**Figure 7.7:** Example of a singly linked list with circular structure.

Applications:

1. Implement the Queue ADT, with more efficient method for wrapping around.

## Doubly Linked List

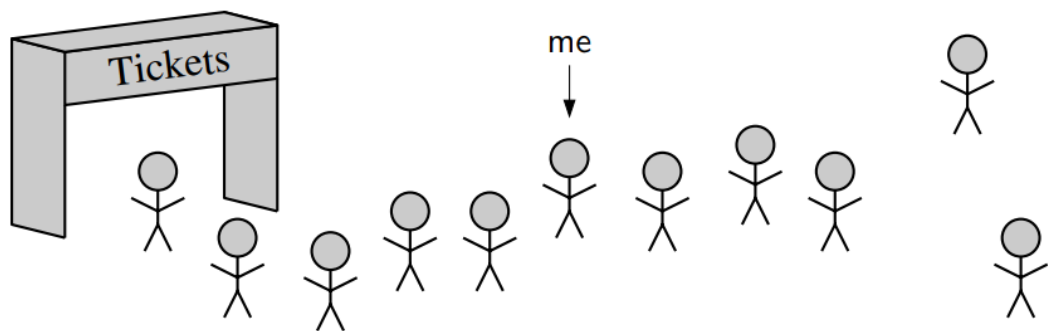


**Figure 7.10:** A doubly linked list representing the sequence { JFK, PVD, SFO }, using sentinels header and trailer to demarcate the ends of the list.

Applications:

1. Implement the Deque ADT.
2. Implement the Positional List ADT.

# Positional List



**Figure 7.14:** We wish to be able to identify the position of an element in a sequence without the use of an integer index.

Method	Description
<code>L.first(), L.last()</code>	Return the position of the first/last item.
<code>L.before(p), L.after(p)</code>	Return the position immediately before/after position <code>p</code> .
<code>L.is_empty()</code>	True if the positional list is empty.
<code>len(L)</code>	Return the number of items in the positional list.
<code>iter(L)</code>	Return a forward iterator of items in the positional list.
<code>L.add_first(e), L.add_last(e)</code>	Add <code>e</code> to the front/back of the positional list.
<code>L.add_before(p, e), L.add_after(p, e)</code>	Add <code>e</code> before/after position <code>p</code> .
<code>L.replace(p, e)</code>	Replace the item at position <code>p</code> with <code>e</code> .
<code>L.delete(p)</code>	Remove and return the item at position <code>p</code> .

Applications:

- 1. Maintain access frequencies.

# Array-based vs. Linked-based

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Metrics	Array-based	Linked-based
access based on index	$O(1)$	$O(n)$
insertion, deletion	$O(n)$ worst case	$O(1)$ at arbitrary position
memory usage	$2n$ worst case (after resize)	$2n$ for singly-linked lists $3n$ for doubly-linked lists



# Tree

---

## General Tree

A tree  $T$  is set of nodes storing elements such that the nodes have a parent-child relationship that satisfies the following properties:

- If  $T$  is nonempty, it has a special node, called the root of  $T$ , that has no parent.
- Each node  $v$  of  $T$  different from the root has a unique parent node  $w$ ; every node with parent  $w$  is a child of  $w$ .

**Sibling.** Two nodes are siblings if they have the same parent node.

**External.** A node is external if it has no children. A.k.a leaves.

**Internal.** A node is internal if it has  $\geq 1$  children.

**Edge.** An edge of tree  $T$  is a pair of nodes  $(u, v)$  such that  $u$  is the parent of  $v$ , or vice versa.

**Path.** A path of  $T$  is a sequence of nodes such that any two consecutive nodes in the sequence form an edge.

**Ordered Tree.** A tree is ordered if there is a meaningful linear order among the children of each node.

**Depth of node.** The depth of a node is the number of its ancestors, excluding itself.

**Depth of node (recursive).** If  $p$  is the root, then its depth is 0. Otherwise, the depth of  $p$  is  $1 + \text{depth of } p\text{'s parent}$ .

**Height of node (recursive).** If  $p$  is a leaf, then its height is 0. Otherwise, the height of  $p$  is  $1 + \text{the maximum of } p\text{'s children's heights}$ .

**Height of tree.** The height of a tree is the height of its root.

Method	Description
<code>T.root()</code>	Return the position of the tree's root.
<code>T.is_root(p)</code>	True if position <code>p</code> is the tree's root.
<code>T.parent(p)</code>	Return the position of <code>p</code> 's parent.
<code>T.num_children(p)</code>	Return the number of <code>p</code> 's children.
<code>T.children(p)</code>	Generate an iteration of position <code>p</code> 's children.
<code>T.is_leaf(p)</code>	True if position <code>p</code> does not have any children.
<code>len(T)</code>	Return the number of positions in the tree.
<code>T.is_empty()</code>	True if the tree does not contain any position.
<code>T.positions()</code>	Generate an iteration of the positions in the tree.
<code>iter(T)</code>	Generate an iteration of the elements in the tree.

Method	Description
<code>T.depth(p)</code>	Return the depth of <code>p</code> .
<code>T.height(p)</code>	Return the height of <code>p</code> .

**Proposition.** The height of a nonempty tree is the maximum of its leaves' depths.

**Proposition.** In a tree with  $n$  nodes, the sum of the number of children of all nodes is  $n - 1$ .

**Proof.** Every node except for the root is some other node's child.

## Binary Tree

**Binary tree.** A binary tree is an ordered tree such that:

1. Every node has at most two children.
2. Each child node is either a left child or a right child.
3. A left child precedes a right child in the order of children of a node.

**Binary tree (recursive).** A binary tree is either empty or consists of:

- A node  $r$ , called the root of  $T$ , that stores an element
- A binary tree (possibly empty), called the left subtree of  $T$
- A binary tree (possibly empty), called the right subtree of  $T$

Method	Description
<code>T.left(p)</code> , <code>T.right(p)</code>	Return the position of <code>p</code> 's left/right child.
<code>T.sibling(p)</code>	Return the position of <code>p</code> 's sibling.

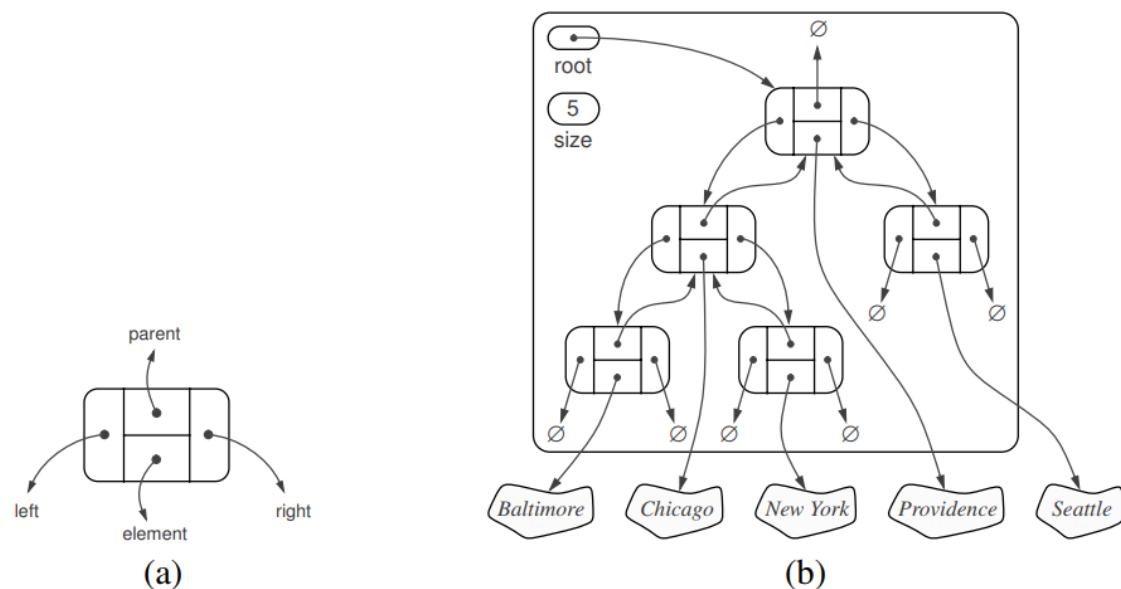
**Proper/Full.** A binary tree is proper or full if each node has either zero or two children. That is, all its internal nodes have two children.

**Proposition.** In a nonempty proper binary tree  $T$ , with  $n_E$  external nodes and  $n_I$  internal nodes, we have  $n_E = n_I + 1$ .

**Proof.** If  $h$  is  $T$ 's height, then  $n_E = 2^h$ ,  $n_I = 2^0 + 2^1 + 2^2 + \dots + 2^{h-1} = 2^h - 1$ .

## Implementations

### Linked Binary Tree



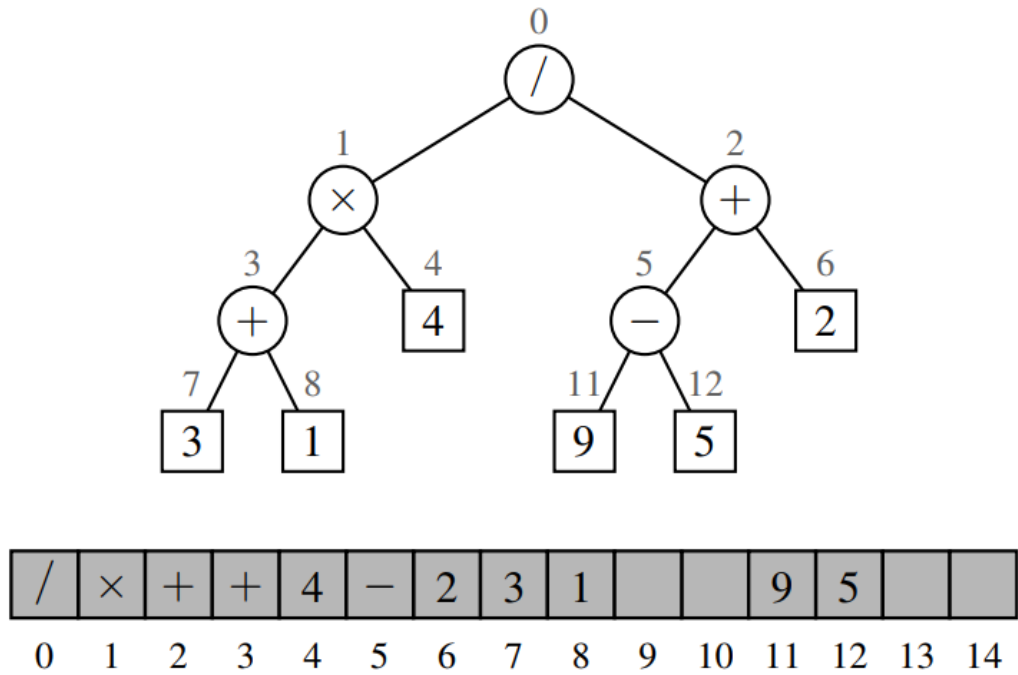
**Figure 8.11:** A linked structure for representing: (a) a single node; (b) a binary tree.

Method	Description
<code>T.add_root(e)</code>	Add root <code>e</code> to an empty tree.
<code>T.add_left(p, e)</code> , <code>T.add_right(p, e)</code>	Add <code>e</code> as left/right child to <code>p</code> .
<code>T.replace(p, e)</code>	Replace element at position <code>p</code> with <code>e</code> .
<code>T.delete(p)</code>	Remove the node at position <code>p</code> and replace it with its only child.
<code>T.attach(p, T1, T2)</code>	Attach <code>T1</code> , <code>T2</code> as left and right subtree of the leaf <code>p</code> .
Operation	Runtime
<code>len</code> , <code>is_empty</code>	$O(1)$
<code>root</code> , <code>parent</code> , <code>left</code> , <code>right</code> , <code>sibling</code> , <code>children</code> , <code>num_children</code>	$O(1)$
<code>is_root</code> , <code>is_leaf</code>	$O(1)$
<code>depth(p)</code>	$O(d_p + 1)$
<code>height</code>	$O(n)$
<code>add_root</code> , <code>add_left</code> , <code>add_right</code> , <code>replace</code> , <code>delete</code> , <code>attach</code>	$O(1)$

## Array-based Binary Tree

For every position  $p$  of  $T$ , let  $f(p)$  be the integer defined as follows. • If  $p$  is the root of  $T$ , then  $f(p) = 0$ . • If  $p$  is the left child of position  $q$ , then  $f(p) = 2f(q) + 1$ . • If  $p$  is the right child of position  $q$ , then  $f(p) = 2f(q) + 2$ .

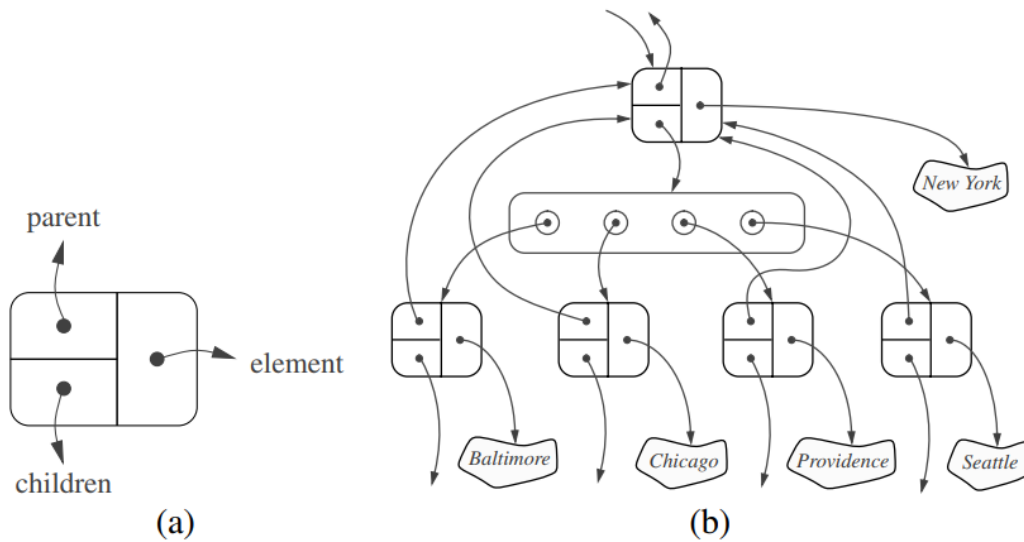
**Array-based binary tree.** An array-based structure  $A$  (such as a Python list), with the element at position  $p$  of  $T$  stored at  $A[f(p)]$ .



**Figure 8.13:** Representation of a binary tree by means of an array.

`delete` is  $O(n)$  as all the node's descendants need to be shifted in the array.

### Linked General Tree



**Figure 8.14:** The linked structure for a general tree: (a) the structure of a node; (b) a larger portion of the data structure associated with a node and its children.

Operation	Runtime
<code>len, is_empty</code>	$O(1)$
<code>root, parent, is_root, is_leaf</code>	$O(1)$
<code>children(p)</code>	$O(c_p + 1)$
<code>depth(p)</code>	$O(d_p + 1)$

Operation	Runtime
height	$O(n)$

## Tree Traversal Algorithms

Traversals are  $O(n)$  as they must visit every node in the tree.

Binary search is  $O(\log n)$  in a proper binary tree.

### Preorder (General Tree)

Visit node, then visit node's children.

**Algorithm** preorder( $T, p$ ):

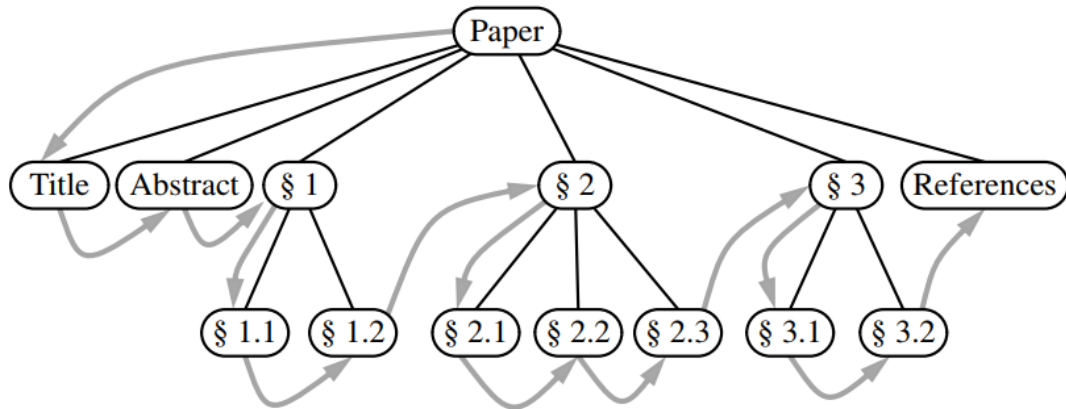
perform the “visit” action for position  $p$

**for** each child  $c$  in  $T.children(p)$  **do**

    preorder( $T, c$ )                      {recursively traverse the subtree rooted at  $c$ }

**Code Fragment 8.12:** Algorithm preorder for performing the preorder traversal of a subtree rooted at position  $p$  of a tree  $T$ .

Figure 8.15 portrays the order in which positions of a sample tree are visited during an application of the preorder traversal algorithm.



**Figure 8.15:** Preorder traversal of an ordered tree, where the children of each position are ordered from left to right.

### Postorder (General Tree)

Visit node's children, then visit node.

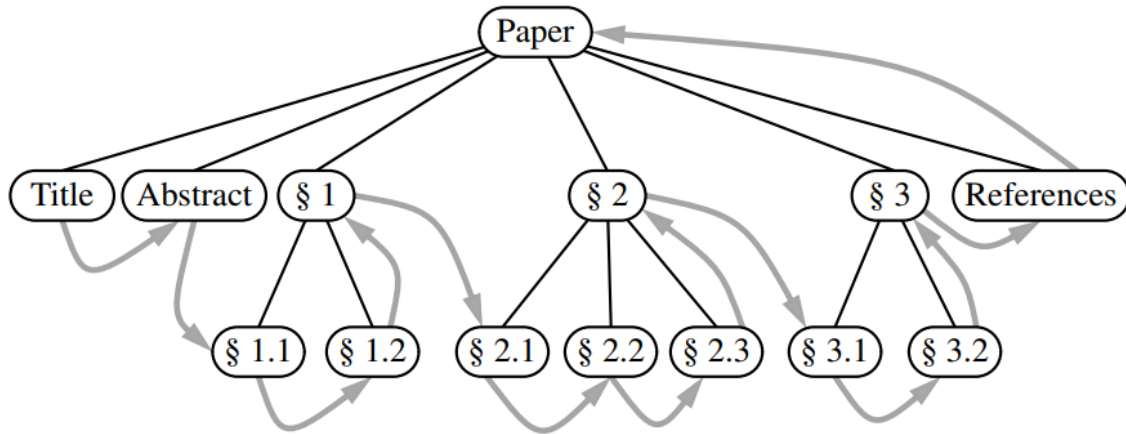
**Algorithm** postorder( $T, p$ ):

**for** each child  $c$  in  $T.children(p)$  **do**

    postorder( $T, c$ )                      {recursively traverse the subtree rooted at  $c$ }

  perform the “visit” action for position  $p$

**Code Fragment 8.13:** Algorithm postorder for performing the postorder traversal of a subtree rooted at position  $p$  of a tree  $T$ .

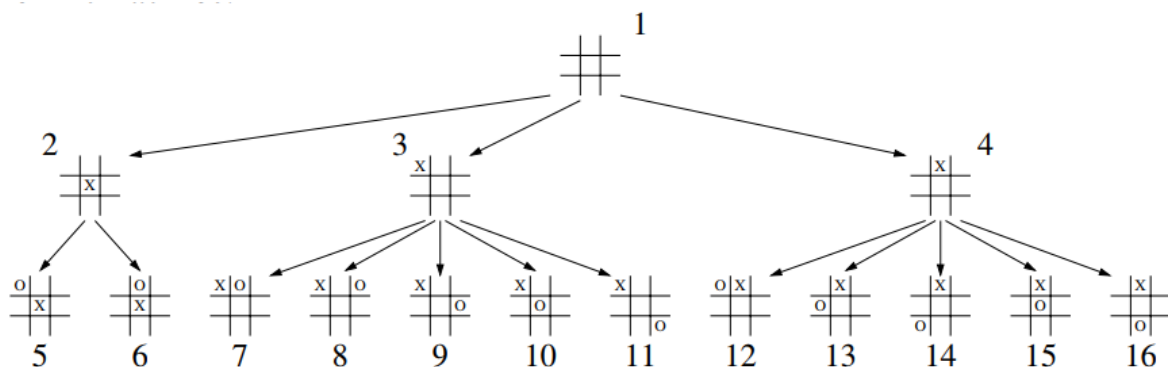


**Figure 8.16:** Postorder traversal of the ordered tree of Figure 8.15.

Breadth-first (General Tree)

Visit nodes level by level.

Not recursive.



**Figure 8.17:** Partial game tree for Tic-Tac-Toe, with annotations displaying the order in which positions are visited in a breadth-first traversal.

Dequeue to get node. Visit node, then enqueue node's children.

**Algorithm** breadthfirst(T):

Initialize queue Q to contain T.root()

**while** Q not empty **do**

```
p = Q.dequeue()
```

{p is the oldest entry in the queue}

perform the “visit” action for position  $p$

**for** each child  $c$  in  $T.children(p)$  **do**

Q.enqueue(c)     {add p's children to the end of the queue for later visits}

**Code Fragment 8.14:** Algorithm for performing a breadth-first traversal of a tree.

### Inorder (Binary Tree)

Visit left subtree. Visit right subtree. Visit node.

**Algorithm** inorder(p):

**if** p has a left child lc **then**

inorder(lc)

```

{recursively traverse the left subtree of p}

```

perform the “visit” action for position  $p$

**if** p has a right child rc **then**

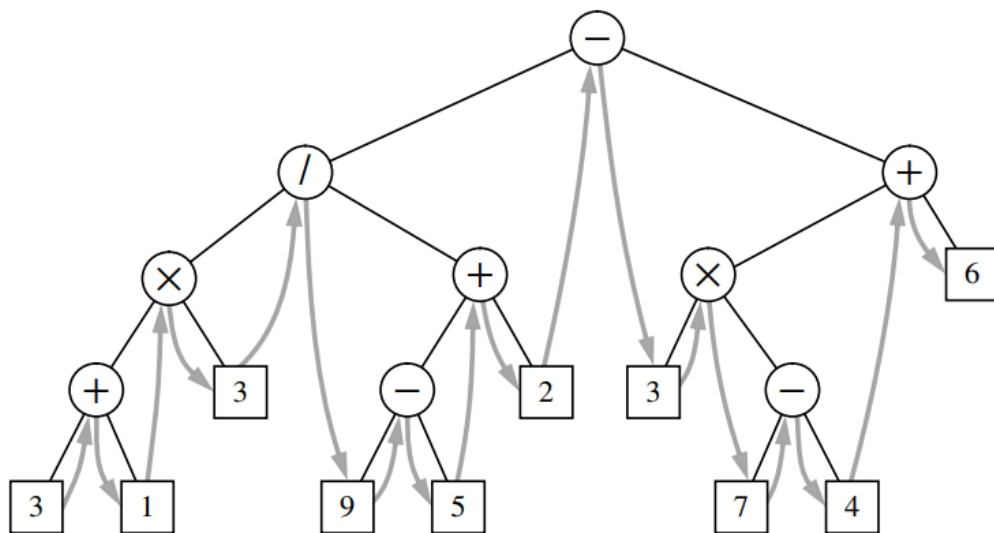
```
inorder(rc)
```

```

{recursively traverse the right subtree of p}

```

**Code Fragment 8.15:** Algorithm inorder for performing an inorder traversal of a subtree rooted at position p of a binary tree.



**Figure 8.18:** Inorder traversal of a binary tree.

# Priority Queue

---

Method	Description
<code>P.add(k, v)</code>	Add item with key <code>k</code> and value <code>v</code> into the priority queue.
<code>P.min()</code>	Return item with the minimum key in the priority queue.
<code>P.remove_min()</code>	Remove and return an item with the minimum key in the priority queue.
<code>P.is_empty()</code>	True if the priority queue is empty.
<code>len(P)</code>	Return the number of items in the priority queue.

## Unsorted Priority Queue

Add item to the end of the priority queue, find minimum to remove in  $O(n)$ .

$O(1)$  insertions,  $O(n)$  removals (best-case, because it always takes  $O(n)$  to find the minimum).

Method	Runtime
<code>P.add(k, v)</code>	$O(1)$
<code>P.min()</code>	$O(n)$
<code>P.remove_min()</code>	$O(n)$
<code>P.is_empty()</code>	$O(1)$
<code>len(P)</code>	$O(1)$

## Sorted Priority Queue

Maintain sortedness when inserting items, minimum is at front of the priority queue,

$O(n)$  insertions (best-case is  $O(1)$ , because the items may come in as sorted),  $O(1)$  removals.

Method	Runtime
<code>P.add(k, v)</code>	$O(n)$
<code>P.min()</code>	$O(1)$
<code>P.remove_min()</code>	$O(1)$
<code>P.is_empty()</code>	$O(1)$
<code>len(P)</code>	$O(1)$



# Heap

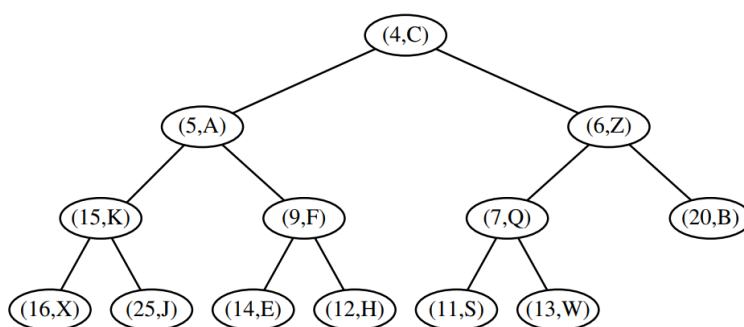
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A heap is a binary tree  $T$  that stores a collection of items at its positions and that satisfies the following two properties:

**Heap-Order Property.** (relational): In a heap  $T$ , for every position  $p$  other than the root, the key stored at  $p$   $\geq$  the key stored at  $p$ 's parent.

This implies that the minimum item is at the heap's root.

**Complete Binary Tree Property.** (structural): A heap  $T$  with height  $h$  is a complete binary tree if levels  $0, 1, 2, \dots, h - 1$  of  $T$  have the maximum number of nodes possible and the remaining nodes at level  $h$  reside in the leftmost positions.

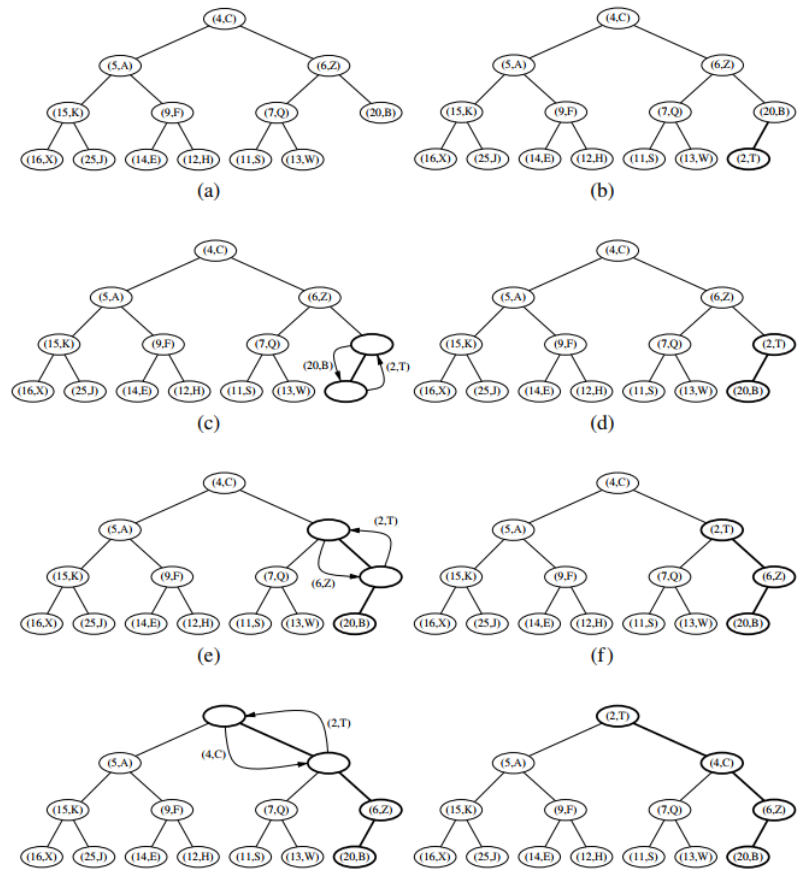


**Proposition.** A heap  $T$  storing  $n$  entries has height  $h = \text{floor}(\log n)$ .

## Heap-based Priority Queue

Add:

1. Add item to rightmost node at bottom level to maintain completeness.
2. Up-heap bubbling to swap the new node into correct position to maintain heap-order.



Remove:

1. Remove minimum item from top of heap (root).
2. Copy item at rightmost node at bottom level to root.
3. Down-heap bubbling to swap the node into correct position to maintain heap order.



Implementation/Operation	add	remove_min
Linked Heap	<ol style="list-style-type: none"> <li>1. Find last position in <math>O(\log n)</math>.</li> <li>2. Up-heap bubbling in <math>O(\log n)</math>.</li> </ol>	<ol style="list-style-type: none"> <li>1. Remove minimum at root in <math>O(1)</math>.</li> <li>2. Find last position and copy to root in <math>O(\log n)</math>.</li> <li>3. Down-heap bubbling in <math>O(\log n)</math>.</li> </ol>