



College of

Information Science and Technology

Ph.D. Proposal Defense: Semantic Annotation and Summarization of Biomedical Literature

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Overview

- Hypothesis / Approach / Contribution
- Background
 - Unified Medical Language System (UMLS)
- Literature Review
- Approach
- Evaluation
- Research Plan

Hypothesis

- The use of domain-specific concepts can be used to identify important areas within a text in order to perform extractive text summarization.

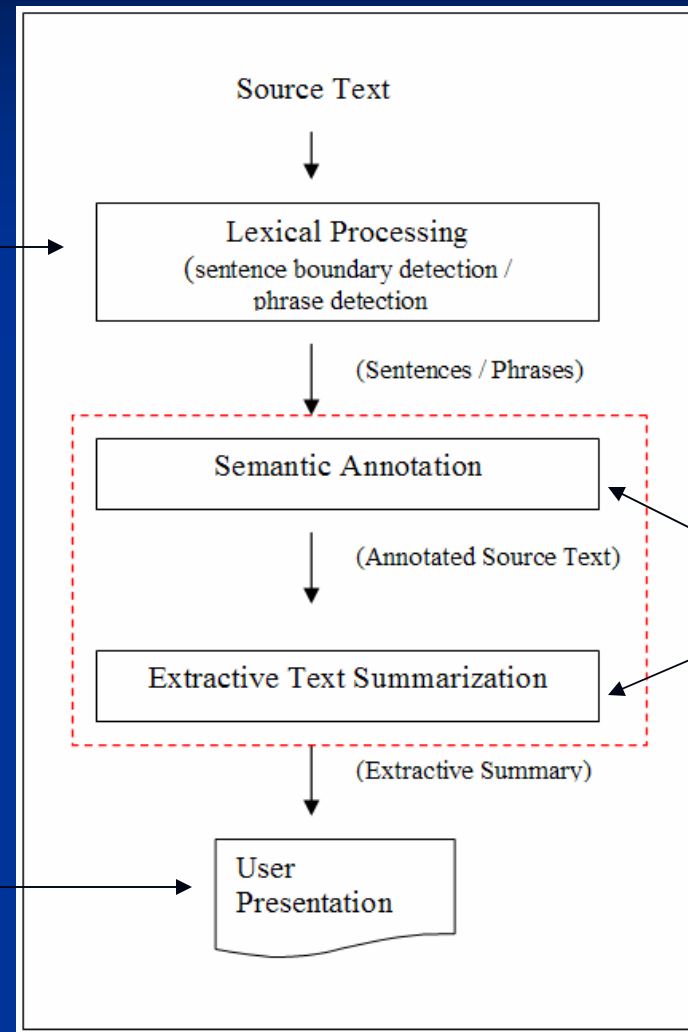
Research Questions

- Biomedical Semantic Annotation
 - Can biomedical semantic annotation time be improved for use in an online environment?
- Biomedical Text Summarization:
 - Can the UMLS Semantic Network and UMLS Metathesaurus be used in the biomedical domain to identify important areas within a biomedical text?
 - Can existing text summarization approaches be adapted in new ways to utilize domain-specific concepts?

Proposed Integrated System

MetaMap,
LingPipe,
Sliding Window,
other methods

Text File



Focus of
proposed research

Expected Contributions

- Biomedical Semantic Annotation:
 - New design approach: concept filtering
 - Use two new methods for:
 - Coverage metric: IDF-based scoring method
 - Coherence metric: skip-bigram method to allow inexact matches
 - Competitive annotation time over existing approaches
- Biomedical Text Summarization
 - Use domain concepts to identify relevant sentences for extraction
 - Develop two novel algorithms:
 - Concept Chaining
 - Frequency Distribution
 - Explore the characteristics of biomedical text (e.g., summary size, sections)
- Generate summary-model corpus of biomedical text & use for evaluation of summarization

Expected Significance

- Biomedical Semantic Annotation:
 - Improved time to perform biomedical text annotation will allow use in an online environment
 - Support additional services: text summarization, semantic indexing and retrieval
- Biomedical Text Summarization:
 - New algorithms using domain-specific concepts improve system-generated summaries over existing summarization approaches
 - Personalization: concepts, rather than terms, can be used to generate custom biomedical summaries

Motivation

■ Biomedical Semantic Annotation

- *Purpose:* add machine-understandable meaning by finding domain-specific concepts within free-form texts
- *Uses:*
 - (a) synonymous phrase merging, and
 - (b) semantic filtering
- *How:* Domain-specific resources: ontology, thesaurus

■ Text Summarization

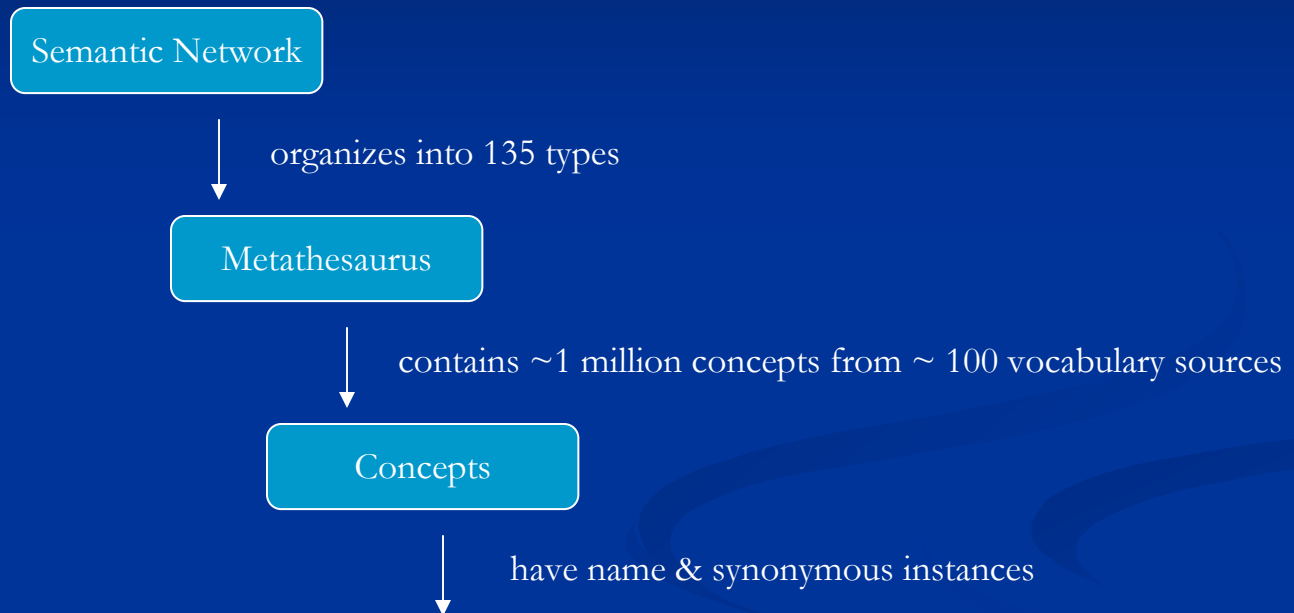
- *Purpose:* Reduce amount of data required to understand main points of a text
- *Uses:* Quick overviews of research, focus on certain details
- *How:* Extract subset of sentences from source text

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Background - UMLS

(Unified Medical Language System – U.S. National Library of Medicine)

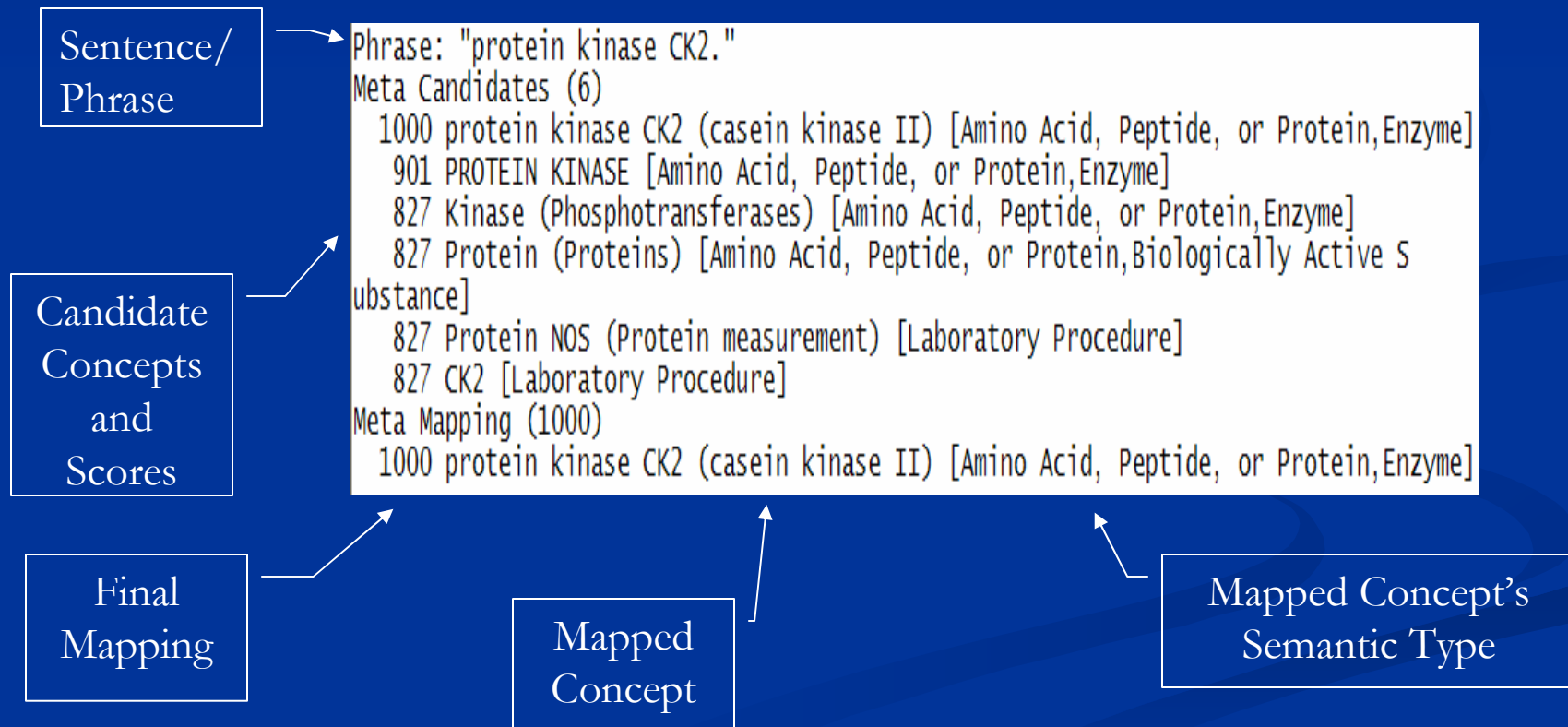


| Concept Name | Concept Instances |
|------------------|---------------------|
| Multiple Myeloma | Multiple Myeloma |
| | Myeloma |
| | Plasma Cell Myeloma |
| | Myelomatosis |
| | Plasmacytic myeloma |


Background – UMLS - MetaMap

(U.S. National Library of Medicine)

- Maps free form text into UMLS concepts



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Literature Review of Semantic Annotation

Biomedical Annotation Systems

| System | Unit of Analysis | Phrase Identification Method | Mapping |
|--|------------------|---------------------------------|--------------------------|
| SAPHIRE (W. R. Hersh, 1990) | Sentence | Text block | Simple, Partial |
| MetaMap Transfer (Aronson, 1996, 2001) | Phrase | NLP | Simple, Partial, Complex |
| SENSE (Zieman & Bleich, 1997) | Phrase | User-specified Query | Simple |
| Concept Locator (P. Nadkarni et al., 2001) | Phrase | NLP Heuristics | Simple, Partial |
| Dynamic Taxonomy (Wollersheim et al., 2002) | Phrase | Moving Window | Simple |
| PhraseX (Srinivasan et al., 2002) | Phrase | NLP | Simple |
| KnowledgeMap (J. C. Denny et al., 2003) | Phrase | NLP | Simple, Partial |
| IndexFinder (Zou et al., 2003) | Unordered Terms | All words, excluding stop words | Simple, Partial |

None: no match of terms; *Simple*: exact match; *Partial*: one or more terms do not match exactly; *Complex*: two or more sets of terms map to distinct concepts.

Biomedical Annotation Systems

| System | Phrase Scoring Method |
|--|--|
| SAPHIRE (W. R. Hersh, 1990) | Combines measures of term overlap, term proximity, and length of term matches |
| MetaMap Transfer (Aronson, 1996, 2001) | Combines several measures: <i>Centrality</i> – is source phrase head term used in concept phrase <i>Variation</i> – how far is term source phrase variant from concept phrase term <i>Coverage</i> – overlap between source phrase and concept phrase terms, ignoring gaps <i>Coherence</i> – find term sequence overlaps between source phrase and concept phrase |
| SENSE (Zieman & Bleich, 1997) | Translates source and concept phrase to low-level semantic factors, then performs exact matching of the semantic factors |
| Concept Locator (P. Nadkarni et al., 2001) | Sub-divide phrase & look for exact match |
| Dynamic Taxonomy (Wollersheim et al., 2002) | Normalize source phrase using UMLS tools; find exact match |
| PhraseX (Srinivasan et al., 2002) | Exact matching |
| KnowledgeMap (J. C. Denny et al., 2003) | Exact match, followed by variant-generation and re-match |
| IndexFinder (Zou et al., 2003) | Find all matching words, regardless of location |

Biomedical Annotation Process

- Construct a unit of analysis by generating subsets of words in the source text (e.g., phrase, sentence)
- (Optional) Normalize the source text unit using UMLS (United States National Library of Medicine, 2005d) by:
 - (a) removing possessives,
 - (b) replacing punctuation with spaces,
 - (c) removing stop words,
 - (d) convert words to lower-case,
 - (e) breaking a string into constituent words, and (f) sorting words into alphabetical order
- For each word in the input phrase, build a set of all concepts containing each word;
- Find the intersection of the concept sets;
- (Optional) Find the best matching concept based on the common word membership between the source text and concept text

Literature Review of Text Summarization

Summarization Model

- Three phrase model (Sparck Jones, 1999)
 - Interpretation
 - Text analysis
 - Transformation
 - Content Selection, Concept Generalization
 - Generation
 - Generates output from Transformation intermediate form

Summarization Methods

(Mani & Maybury, 1999)

■ Surface-level

- Statistical analysis (Luhn, 1958), (A. Nenkova & Vanderwende, 2005) , (Vanderwende & Suzuki, 2005)
- Cue Phrases (Pollock & Zamora, 1975), (Kupiec, Pedersen, & Chen, 1999)
- Term Locations (Edmundson, 1999) , (Teufel & Moens, 1999)

■ Entity-level

- Lexical Chaining (Barzilay & Elhadad, 1997) , (Morris & Hirst, 1991), (Silber & McCoy, 2002), (Galley & McKeown, 2003)

■ Discourse-level

- Text structure (Strzalkowski, Stein, & Wang, 1999)
 - Text format, threads of topics, rhetorical structure

Extractive Summarization Process

- Score each sub-unit of the text (e.g., sentence, phrase) using some method which identifies important text
- Find the highest-scoring sub-units
- Extract the sub-units
 - Order the sub-units in their order of appearance

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Approach:

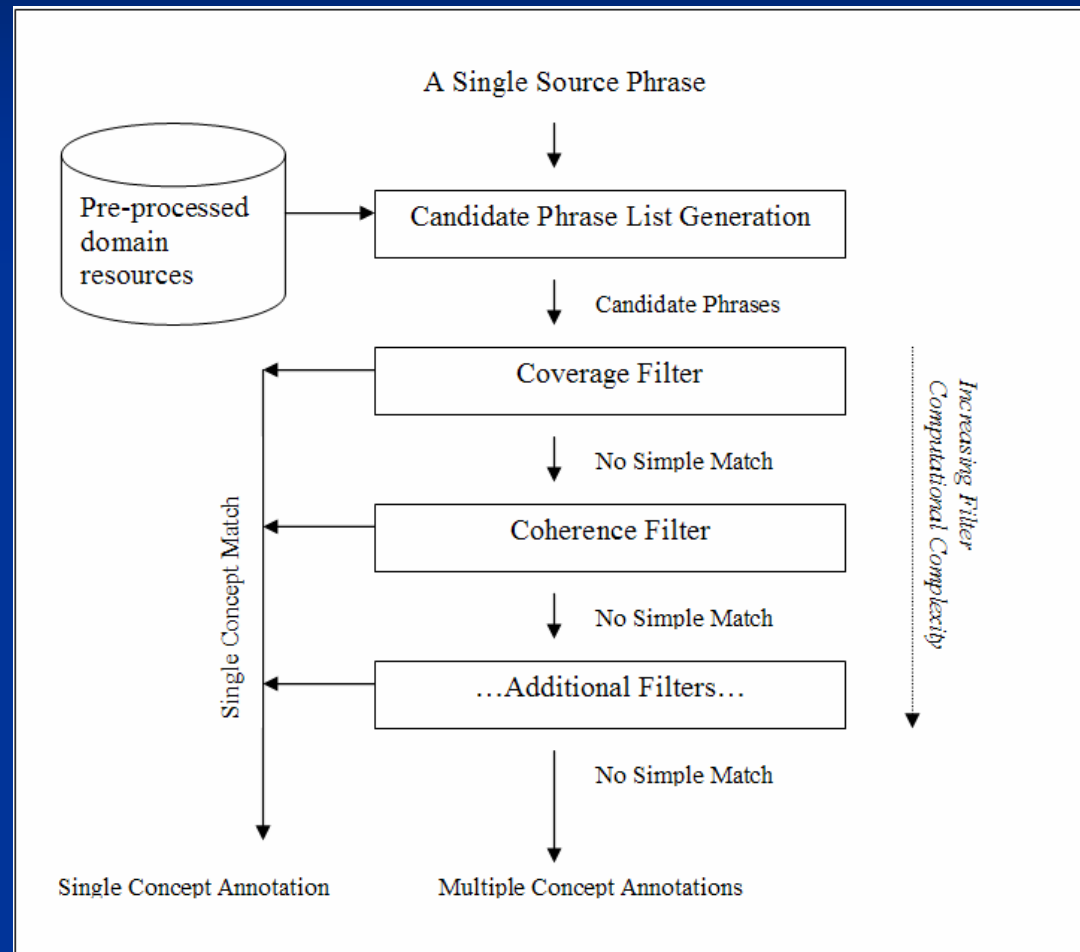
Biomedical Semantic Annotation

(Can biomedical semantic annotation time be improved for use in an online environment?)

Preliminary Work

- Biomedical Annotator Design
- Developed Evaluation Corpus
- Publications:
 - Lawrence Reeve and Hyoil Han (2006). A Comparison of Semantic Annotation Systems for Text-based Web Documents. Web Semantics and Ontology, David Tanian and J. Wenny Rahayu (Eds.), Idea Group Publishing. Refereed.
 - Lawrence Reeve and Hyoil Han (2005). Survey of Semantic Annotation Platforms. Proceedings of the 20th Annual ACM Symposium on Applied Computing, Web Technologies and Applications track. Presentation. Refereed - 37% acceptance.
 - Lawrence Reeve, Hyoil Han, and Chaomei Chen (2005). Information Visualization and the Semantic Web. Visualizing the Semantic Web, Vladimir Geroimenko and Chaomei Chen (Eds.), Springer. Refereed.
 - Lawrence Reeve and Hyoil Han (2005). Semantic Annotation for Semantic Social Networks Using Community Resources. AIS SIGSEMIS Bulletin, Vol. 2, Issue (3&4), pp: 52-56.

Biomedical Annotator Design



Biomedical Annotator: Pre-processing

Pre-processing: Overview

- Purpose:
 - Reduce runtime calculations and parsing of UMLS phrases
- How:
 - Convert UMLS resources for efficient in-memory lookup
 - Convert words to base forms:
 - {*eyes, eyed, eying*} → {*eye*}
 - Calculate IPF values for all UMLS phrase words

Pre-processing: IPF Calculation

- Gives indication of word importance based on its usage within all UMLS concept phrases
- Pre-processing calculates IPF value of each word in UMLS phrases

$$\text{inverse phrase frequency} = \log \frac{N}{n_i}$$

N = total # of phrases in UMLS

n_i = total # phrases word i appears in

Biomedical Annotator: Candidate Phrase List Generation

Candidate Phrase List Generation

- Generates a list of concept phrases having at least one word in common with a source phrase
 - It is a pool of possible concept matches
- Goal at each stage is to:
 - find a concept phrase match with a source phrase, or
 - reduce the size of candidate list passed to the next stage

Candidate Phrase List Generation

(Short Source Phrase < 5 words)

- Find all concept phrases having at least one word in common with the source phrase

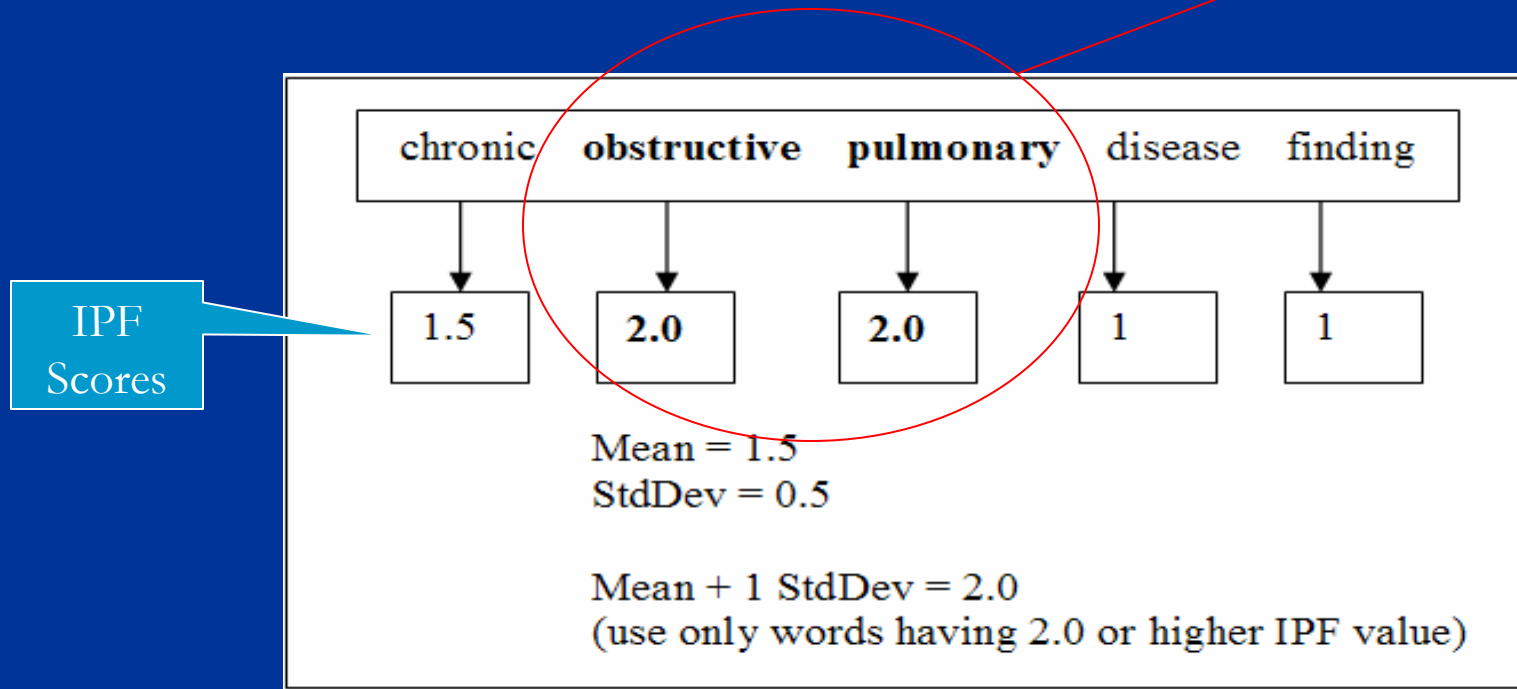
| Source Phrase: 'lung cancer' | | |
|------------------------------|--|-------------------------------------|
| Concept Id | Concept Name | Concept Phrase |
| 0024109 | Lung | Lung |
| 0024117 | Chronic Obstructive Airway Disease | Chronic Obstructive Lung Disease |
| 0242379 | Malignant Neoplasm of the Lung | Lung Cancer |
| 0684249 | Carcinoma of the Lung | Cancer of the Lung |
| 0279000 | Liver and Intrahepatic Biliary Tract Carcinoma | Liver Cancer |

Candidate Phrase List Generation

(Long Source Phrase ≥ 5 words)

- Use only the important words to find candidate phrases
 - only the most likely candidate phrases will be placed into the candidate phrase list

Most significant words



Biomedical Annotator: Coverage Filter

Coverage Filter

- How well do words overlap between the source phrase and the UMLS concept phrase?
- Use IPF to find best overlap of most meaningful words:

$$\text{PhraseCoverageIPF} = \sum_{i=1}^N \text{IPF}_i$$

IPF = Inverse Phrase Frequency values of word

PhraseCoverageIPF = sum of common word IPF values

N = total # of common words between source phrase and candidate phrase

i = common word instance between source phrase and candidate phrase

Coverage Filter Example

| Source Phrase: <i>lung cancer disease</i> (IPF values: lung=0.30, cancer=0.30, disease=0.30, total=0.90) | | |
|---|-------------------------|-------------|
| Candidate Phrase | PhraseCoverageIPF value | |
| Lung | 0.30 | |
| Chronic Obstructive Lung Disease | 0.60 | ≥ 0.58 |
| Liver Cancer | 0.30 | |
| Lung Cancer | 0.60 | ≥ 0.58 |
| Cancer | 0.30 | |
| <p>Scoring Details:</p> <p>Mean PhraseCoverageIPF value = 0.42</p> <p>StdDev of PhraseCoverageIPF values = 0.16</p> <p>Mean PhraseCoverageIPF value + 1 StdDev = 0.58</p> <p>Two PhraseCoverageIPF values ≥ 0.58 are passed to Stage 2 filter: <i>Chronic Obstructive Lung Disease</i> <i>Lung Cancer</i></p> | | |

Biomedical Annotator: Coherence Filter

Coherence Filter

- Measures word *order* , not just *overlap*
 - Idea: Do the overlapping words make sense when put together?
- Measure using Skip-bigrams
 - Permits inexact string matching, but enforces word order

Phrase: ‘peripheral plasma cell myeloma’

peripheral plasma
peripheral cell
peripheral myeloma
plasma cell
plasma myeloma
cell myeloma

Complete Skip-bigram List

peripheral plasma
plasma cell
cell myeloma

Gap-Zero Skip-bigram List

peripheral plasma
peripheral cell
plasma cell
plasma myeloma
cell myeloma

Gap-One Skip-bigram List

Coherence Filter

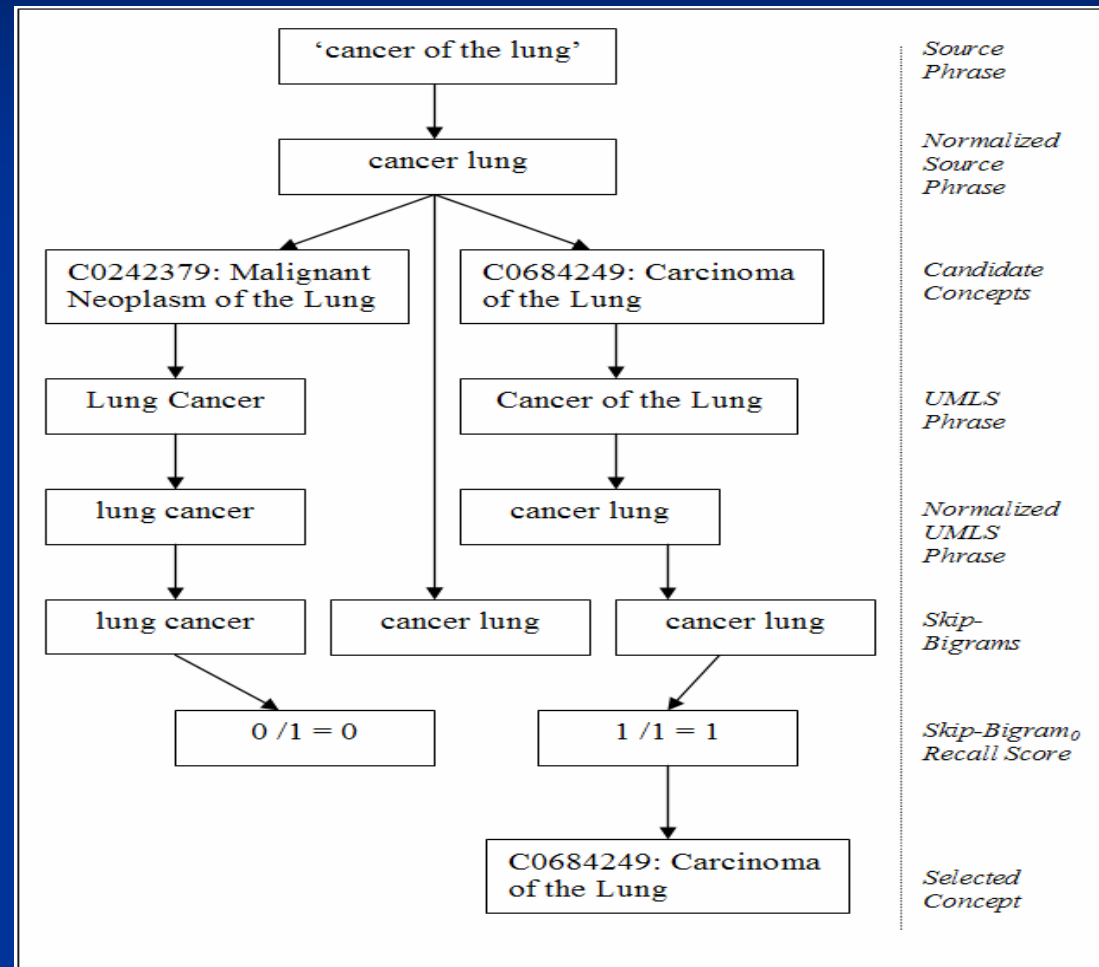
(Measured Using Skip-bigrams)

- Compare source phrase skip-bigrams with candidate phrase skip-bigrams using traditional precision/recall measures
- Recall used in Coherence Filter as candidate phrase score
 - shown in machine translation to correlate well with human evaluations

$$\text{Recall} = \frac{\text{CommonSkipBigramsWithinGap}(\text{SourcePhrase}, \text{CandidatePhrase})}{\text{CountSkipBigramsWithinGap}(\text{CandidatePhrase})}$$

Coherence Filter

(Example Evaluation Showing Significance of Word Order)



Possible Additional Filters

■ Concept Disambiguation

- Annotate exact source phrase matches in source text, then iteratively disambiguate other source phrases based on concept co-occurrence probability

■ Language Modeling

- Use all synonymous phrases for a concept and generate a language model for each concept
- Compare source phrase language model to concept language model

| Concept Name | Concept Instances |
|------------------|---------------------|
| Multiple Myeloma | Multiple Myeloma |
| | Myeloma |
| | Plasma Cell Myeloma |
| | Myelomatosis |
| | Plasmacytic myeloma |

Approach:

Biomedical Text Summarization

- 1) Can the UMLS Semantic Network and UMLS Metathesaurus be used in the biomedical domain to identify important areas within a biomedical text?
- 2) Can existing text summarization approaches be adapted in new ways to utilize domain-specific concepts?

Preliminary Work

- Developed Evaluation Corpus
- Implemented and Evaluated Concept Chaining Summarizer:
 - Lawrence Reeve, Hyoil Han and Ari D. Brooks (2007). Biomedical Text Summarization Using Concept Chains, International Journal of Data Mining and Bioinformatics. Refereed. Accepted.
 - Lawrence Reeve, Hyoil Han, and Ari D. Brooks (2006). BioChain: Using Lexical Chaining Methods for Biomedical Text Summarization. Proceedings of the 21st Annual ACM Symposium on Applied Computing, Bioinformatics track. Refereed - 32% acceptance.
- Implemented and Evaluated Frequency Distribution Summarizer:
 - Lawrence Reeve, Hyoil Han and Ari D. Brooks. (2007) The Use of Domain-Specific Concepts in Biomedical Text Summarization, Journal of Information Processing and Management. Refereed. Accepted.
 - Lawrence H. Reeve, Hyoil Han, Saya V. Nagori, Jonathan C. Yang, Tamara A. Schwimmer, and Ari D. Brooks (2006). Concept Frequency Distribution in Biomedical Text Summarization. Proceedings of the 15th Conference on Information and Knowledge Management. Refereed - 15% acceptance.

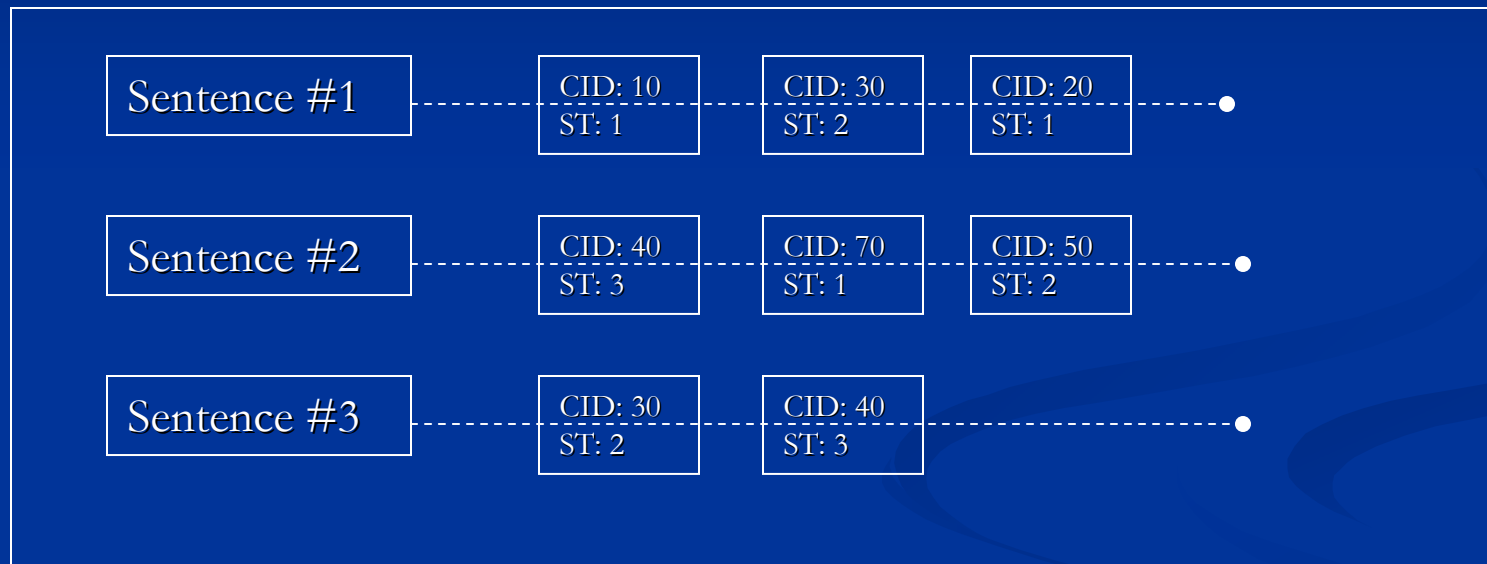
Concept Chaining Summarizer

Concept Chaining Process

- 1) Chain together related concepts using UMLS Semantic Network
- 2) Identify strongest chains
- 3) Find strongest concepts within strongest chains
- 4) Score each sentence based on number of strong concepts it contains
- 5) Extract highest-scoring sentences

Concept Chain - Example

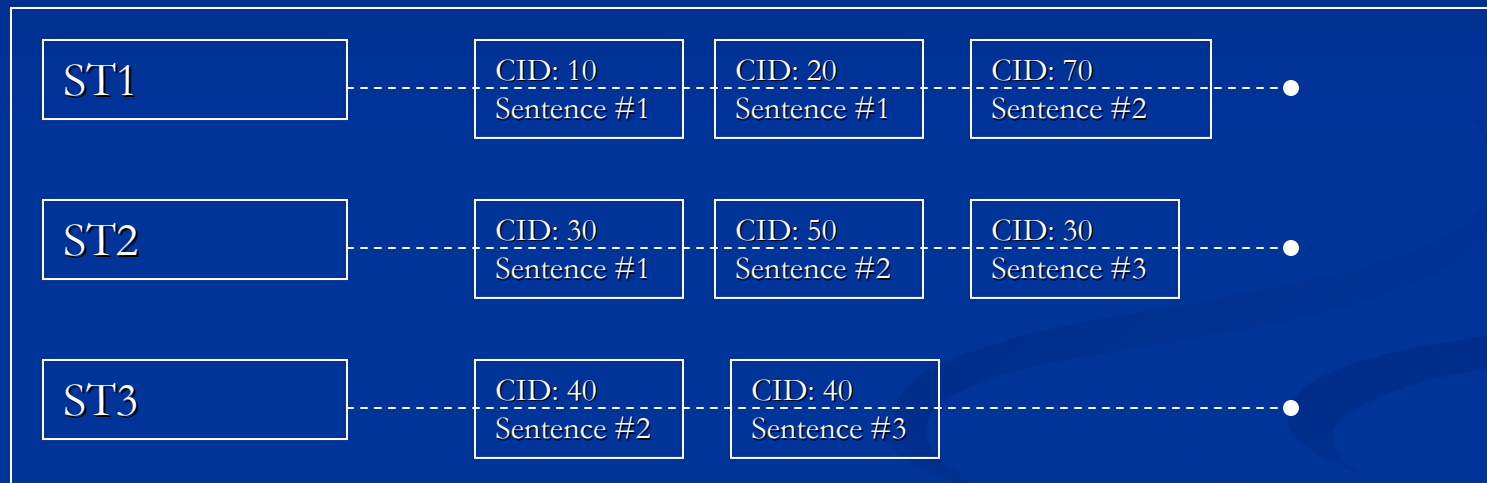
Source Text:



CID = UMLS Concept Id
ST = UMLS Semantic Type

Concept Chain – Example cont'd

Concept Chains (by Semantic Type):



CID = Concept Id
ST = Semantic Type

Strong Chain Identification

- The strongest chain(s) indicate the set of concepts most representative of the source text

- 1) Compute Chain score:

- Uses reiteration and length

$$\text{Score}(\text{Chain}) = \text{Frequency of most frequent concept} * \text{number of distinct concepts}$$

- 2) Find the strongest chains based chain scores:

$$\text{Strong}(\text{Chain}) = \text{Score}(\text{Chain}) > (\text{Average}(\text{Scores}) + 2 * \text{StandardDeviation}(\text{Scores}))$$

(Barzilay & Elhadad, 1997)

Strong Concept Identification

- Perform frequency count on concepts within strongest chain
 - concepts with highest frequency are top concepts for each chain
- Score each sentence based on number of top concepts it contains

Concept Frequency Distribution Summarizer

Concept Frequency Distribution

- Match distribution of concepts in source text to summary
 - System-generated summary concept distribution should be close to source-text concept distribution
- Main problem: how to determine concept distribution similarity between the two

Concept Frequency Distribution Summarization Algorithm (FreqDist)

1. Build frequency model of full-text
2. Iteratively select sentences from full-text:
 - Take a sentence, add it to current summary
 - This is a candidate summary
 - Compare candidate summary distribution model for similarity to full-text distribution model
 - Select sentence which, when added to summary, best models the full-text
3. Repeat #2 until desired summary size reached

Concept Distribution Similarity

- Problem: how to determine similarity between summary and full-text?
- Model system-generated summary and full-text as vector of concepts
- Evaluate five similarity functions for the vectors:
 - *Cosine*: Calculate angle between the two vectors
 - *Dice*: Consider vector membership commonality
 - *Euclidean Distance*: Sum of squared distances
 - *Unit Item Frequency*: Fast simulation of cosine
 - *Vector Subtraction*: Subtract the two vectors

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Evaluation: Corpus

Evaluation Corpus

- Selected 24 random papers from a database of ~1,200 oncology randomized controlled trial publications Brooks, A. D., & Sulimanoff, I. (2002).

- Why 24 papers?

- ROUGE research suggests significance @ 24 samples with 3 references (Lin, C. 2004)
 - Manual processing constraints on selecting more

Evaluation Corpus

- Model Summaries:
 - Author's abstract +
 - Three DUCoM student medical researchers
 - Generated an extractive summary of each paper
- Why four model summaries per paper?
 - More references give a consensus
 - References in DUC 2001: 3, 2002: 2, 2003-2006: 4
 - ROUGE research used 3 references (Lin, C. 2004)

Evaluation: Biomedical Semantic Annotation

Semantic Annotation Evaluation

- Compare proposed annotation system to a gold standard:
 - Verify concept identification accuracy is competitive
 - See the time required to perform annotation

Semantic Annotation Evaluation

- Two types of evaluation:
 - Intrinsic:
 - Compare concept annotation output to a gold standard
 - i.e., concepts mapped by annotator to concepts mapped by MetaMap
 - Measures how well proposed annotator is to known annotator
 - Extrinsic:
 - Use the concept annotation output in a task (i.e., summarization)
 - Evaluate the task to see if there is improvement
 - Can determine if annotation has improved beyond the gold standard

Semantic Annotation Evaluation

■ Intrinsic

■ Data Set:

- Generate unique noun phrases from evaluation corpus
- Use MetaMap to generate concept(s) for each phrase

■ Evaluate against gold standard of MetaMap:

- Speed: annotation time per phrase
- Accuracy:

$$\text{Precision} = \frac{\text{\# of correct concepts}}{\text{total \# of concepts mapped}}$$

$$\text{Recall} = \frac{\text{\# of correct concepts}}{\text{total \# of MetaMap concepts}}$$

Semantic Annotation Evaluation

■ Extrinsic

- Use summarization to evaluate annotation output
 - FreqDist and modified SumBasic
 - Idea: If summarization performance using annotated concepts improves, improvement is due to better identification of concepts

Evaluation: Biomedical Text Summarization

Summarization Evaluation

- Compare proposed system's summary performance to existing systems summarizer performance
- Review results to see if proposed system's summary generation performance is competitive or improved with existing system summarizers

Summarization Evaluation

■ Approach:

- Generate ideal summaries from domain experts, use these as model summaries
- Generate system summaries and compare to model summaries using ROUGE tool
- Also use external summarizers to put our work in perspective

■ Resources:

- A corpus of 24 randomly selected biomedical texts
- Three domain experts generated extractive *model* summaries for each paper @20%
- Eight external system summarizers also generated extractive summaries @20%

Evaluation

- Use ROUGE (Recall Oriented Understudy for Gisting Evaluation) (Lin & Hovy, 2003)
 - Compares system summaries to model summaries
 - Results based on n-gram overlap between models and system-summary
- ROUGE-2
 - Bigram co-occurrence
- ROUGE-SU4
 - Skip bigram with distance of 4
 - (bigrams with no more than 4 intervening words)
- Same metrics as used in DUC-2005

Evaluation - Summarizers

- **Baseline**
 - **LEAD:** first 20% of sentences in text
 - **RANDOM:** randomly select 20% of sentences in text
- **Frequency-based:**
 - **AutoSummarize** (part of Microsoft Word)
 - **Open Text Summarizer (OTS)**
- **Multiple Feature:**
 - **MEAD:** (uses sentence position, sentence length, clustering)
 - **SWESUM:** (uses sentence position, higher weights for number values)
- **Reduce Information Redundancy**
 - **Lemur MMR**
 - **SumBasic** (frequency-based; probabilistic; state of the art)

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Research Plan

- Fall 2006
 - Defend dissertation proposal
 - Implement semantic annotator for biomedical text
- Winter 2007
 - Evaluate semantic annotator
 - Implement additional summarizers for summarization evaluation
 - Participate in DUC 2007
- Spring 2007
 - Continue work on semantic annotation and evaluation
 - Determine characteristics of biomedical text, optimal summary size, sections drawn from
 - Participate in DUC 2007
 - Begin writing final dissertation
- Summer 2007
 - Based on sections drawn from, modify concept chaining and frequency distribution summarizers to modify scoring and evaluate
 - Continue final dissertation writing
 - Defend final dissertation

Preliminary Work

Publications

- 2 Journal Papers (refereed)
- 2 Book Chapters (refereed)
- 4 Conference Papers (refereed)
- 1 Bulletin Paper

Publications

2007:

- Lawrence Reeve, Hyoil Han and Ari D. Brooks (2007). *Biomedical Text Summarization Using Concept Chains*, International Journal of Data Mining and Bioinformatics. *Refereed. Accepted.*
- Lawrence Reeve, Hyoil Han and Ari D. Brooks. *The Use of Domain-Specific Concepts in Biomedical Text Summarization*, Journal of Information Processing and Management. *Refereed. Accepted.*

2006:

- Lawrence H. Reeve, Hyoil Han, Saya V. Nagori, Jonathan C. Yang, Tamara A. Schwimmer, and Ari D. Brooks (2006). *Concept Frequency Distribution in Biomedical Text Summarization*. Proceedings of the 15th Conference on Information and Knowledge Management. *Refereed - 15% acceptance.*
- Lawrence Reeve, Hyoil Han, and Ari D. Brooks (2006). *BioChain: Using Lexical Chaining Methods for Biomedical Text Summarization*. Proceedings of the 21st Annual ACM Symposium on Applied Computing, Bioinformatics track. *Refereed - 32% acceptance.*
- Lawrence Reeve and Hyoil Han (2006). *A Comparison of Semantic Annotation Systems for Text-based Web Documents*. Web Semantics and Ontology, David Tanian and J. Wenny Rahayu (Eds.), Idea Group Publishing. *Refereed.*

Publications, continued

2005:

- Lawrence Reeve and Hyoil Han (2005). *Survey of Semantic Annotation Platforms*. Proceedings of the 20th Annual ACM Symposium on Applied Computing, Web Technologies and Applications track. Presentation. *Refereed* - 37% acceptance.
- Lawrence Reeve and Hyoil Han (2005). *Semantic Annotation for Semantic Social Networks Using Community Resources*. AIS SIGSEMIS Bulletin, Vol. 2, Issue (3&4), pp: 52-56.
- Lawrence Reeve, Hyoil Han, and Chaomei Chen (2005). *Information Visualization and the Semantic Web*. Visualizing the Semantic Web, Vladimir Geroimenko and Chaomei Chen (Eds.), Springer. *Refereed*.

2004:

- Lawrence Reeve (2004). *Adapting the TileBar Interface for Visualizing Resource Usage*. Proceedings of the 30th International Conference for the Resource Management and Performance Evaluation of Enterprise Computing Systems. Presentation. *Refereed*.

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