

## Lecture 2:

Professor Sampath Kannan

Zach Schutzman

**NB:** These notes are from CIS511 at Penn. The course followed Michael Sipser's *Introduction to the Theory of Computation* (3ed) text.

## 2.1 More Finite Automata

Recall: every DFA recognizes some (regular) language, a language is regular if there exists some DFA recognizing it. NFAs also recognize regular languages (every DFA is also an NFA).

Question: are NFAs more powerful than DFAs? They are certainly a broader class of machines, given that the set of all DFAs is a proper subset of the set of all NFAs.

**Theorem 2.1** *NFAs recognize exactly the class of regular languages.*

**Proof:** (A rough sketch)

Consider an NFA  $M$  without  $\epsilon$ -transitions. We can imagine a string's traversal as maintaining a set of possible states you are in, and the NFA accepts if and only that set contains a final state at the conclusion of reading the string. Let's consider the set of states at each step.

Create a DFA  $M'$  with states corresponding to subsets of states of the NFA. Now, add transitions in  $M'$  corresponding to the set of possible transitions in  $M$ . Formally, let  $S \subseteq Q$ , then  $\delta'(S, a) = \bigcup_{q \in S} \delta(q, a)$ . These subsets  $S$  correspond to states in  $M'$ .

The start state of  $M'$ ,  $q'_0$  is the state corresponding to  $\{q_0\}$ . The final states of  $M'$ ,  $F'$  are the subsets of  $Q$  containing at least one element of  $F$ .

We can deal with  $\epsilon$ -transitions by extending  $\delta'$  to also include all states reachable from reading  $a$  and a following  $\epsilon$ . Formally, define  $E(q)$  as the set of states reachable from  $q$  without consuming an input character (i.e. 0 or more  $\epsilon$ -transitions). Then make  $\delta'(S, a) = \bigcup_{p \in E(q) : q \in S} \delta(p, a)$ .

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