

# Riffled Independence for Ranked Data

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# Presentation Outline

Introduction

A Short Detour to Group Theory

Properties of Riffle Independence

Fourier Domain Algorithms

Questions and discussion

# High-Level Idea

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- ▶ Yes!

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A distribution over  $S_n$  is a function  $h : S_n \rightarrow \mathbb{R}$  such that:

- ▶ for all  $\sigma \in S_n$ ,  $h(\sigma) \geq 0$
- ▶  $\sum_{\sigma \in S_n} h(\sigma) = 1$

# Full Independence

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This is a *very* strong assumption to make for ranked data



# Riffle Independence

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- ▶ if  $\alpha = .5$ , we get the uniform distribution
- ▶ if  $\alpha = 1$  we ‘interleave’ by putting all the items in  $p$  first, then all the items in  $q$  ( $m(123 \dots n) = 1$ )
- ▶ if  $\alpha = 0$ , we put all the items in  $q$  first



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The authors present **Fourier-domain algorithms** to analyze and interpret riffle distributions

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  - ▶ Associativity: for all  $f, g, h \in G$ ,  $f \circ (g \circ h) = (f \circ g) \circ h$

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- ▶ **Example:** The permutation 15324 can be written as  $(1)(245)(3)$
- ▶ The identity element is  $(1)(2) \dots (n)$

# Group Representations

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A **representation** of a group is a function  $\rho : G \rightarrow \mathbb{C}^{k \times k}$  such that for all  $g, h \in G$ ,  $\rho(gh) = \rho(g)\rho(h)$

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A representation is **irreducible** if it cannot be written as the direct sum of two other representations

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$\pi$

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$\pi$	$g$
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213	(12)
132	(23)
321	(13)
231	(132)
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$\pi$	$g$	$\rho_{triv}$
123	$()$	1
213	$(12)$	1
132	$(23)$	1
321	$(13)$	1
231	$(132)$	1
312	$(123)$	1

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$\pi$	$g$	$\rho_{triv}$	$\rho_{sgn}$
123	$()$	1	1
213	$(12)$	1	-1
132	$(23)$	1	-1
321	$(13)$	1	-1
231	$(132)$	1	1
312	$(123)$	1	1

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$\pi$	$g$	$\rho_{triv}$	$\rho_{sgn}$	$\rho_{std}$
123	()	1	1	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
213	(12)	1	-1	$\begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix}$
132	(23)	1	-1	$\begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix}$
321	(13)	1	-1	$\begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$
231	(132)	1	1	$\begin{pmatrix} -1 & 1 \\ -1 & 0 \end{pmatrix}$
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If  $f$  is a distribution ( $h$  from before), then  $\hat{h}$  at the irreducibles systematically encodes  $h$ .

# A simple worked example:

$\pi$   
( )  
(12)  
(23)  
(13)  
(132)  
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$$\hat{h}_{\rho_{triv}} = \frac{2}{12} [1] + \frac{2}{12} [1] + \frac{3}{12} [1] + \frac{1}{12} [1] + \frac{1}{12} [1] + \frac{3}{12} [1] = [1]$$

$\pi$	$h(\pi)$
$()$	$2/12$
$(12)$	$2/12$
$(23)$	$3/12$
$(13)$	$1/12$
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$$\hat{h}_{\rho_{std}} = \frac{2}{12} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \frac{2}{12} \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} + \frac{3}{12} \begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix} + \frac{1}{12} \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} + \frac{1}{12} \begin{pmatrix} -1 & 1 \\ -1 & 0 \end{pmatrix} + \frac{3}{12} \begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix} = \frac{1}{12} \begin{pmatrix} 2 & -1 \\ 4 & -2 \end{pmatrix}$$

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$$\hat{h}_{\rho_{sgn}} = \frac{2}{12} [1] + \frac{2}{12} [-1] + \frac{3}{12} [-1] + \frac{1}{12} [1] + \frac{1}{12} [1] + \frac{3}{12} [-1] = \frac{1}{12} [0]$$

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Let's glue them together and change the basis

$$\frac{1}{12} \begin{pmatrix} \mathbf{0} & 0 & 0 & 0 \\ 0 & \mathbf{2} & -\mathbf{1} & 0 \\ 0 & \mathbf{4} & -\mathbf{2} & 0 \\ 0 & 0 & 0 & \mathbf{1} \end{pmatrix}$$

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$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & -1 & 1 & 1 \\ 0 & 0 & -1 & 1 \end{pmatrix} \frac{1}{12} \begin{pmatrix} \mathbf{0} & 0 & 0 & 0 \\ 0 & \mathbf{2} & -\mathbf{1} & 0 \\ 0 & \mathbf{4} & -\mathbf{2} & 0 \\ 0 & 0 & 0 & \mathbf{1} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & -1 & 1 & 1 \\ 0 & 0 & -1 & 1 \end{pmatrix}^{-1}$$

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# Example, interpreted:

Distribution:

$\pi$	$h(\pi)$
()	2/12
(12)	2/12
(23)	3/12
(13)	1/12
(132)	1/12
(123)	3/12

## Example, interpreted:

Distribution: Recall we had  $\hat{h}_{\rho_{triv}} = (1)$ . This confirms the values of  $h$  sum to 1.

$\pi$	$h(\pi)$
$()$	$2/12$
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$(132)$	$1/12$
$(123)$	$3/12$

Our transformed matrix is

$$\frac{1}{12} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 5 & 3 & 4 \\ 0 & 5 & 3 & 4 \\ 0 & 2 & 6 & 4 \end{pmatrix}$$

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Riffle independent:  $h = m * (f \cdot g)$

$\Omega_{p,q}$  is the set of interleavings

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Let's quickly remind ourselves:

Fully independent:  $h = f \cdot g$

Riffle independent:  $h = m * (f \cdot g)$

$\Omega_{p,q}$  is the set of interleavings

Riffle independence is a generalization of full independence.

Indeed, if  $m$  is a delta distribution, we recover full independence.

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What if we want to think about preferences across groups?

The authors introduce the idea of a **biased riffle shuffle**, with bias parameter  $\alpha$ .

This weights objects in the  $p$ -subset proportional to  $\alpha p$ .

# Between conditional and full independence

Let's recall that to specify a full distribution over  $S_n$  we need  $n!$  parameters.

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Nevertheless, we can do things like MAP estimation and probabilistic decomposition over the  $f$  and  $g$  riffle factors as if we had conditional independence.

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Properties of Riffle Independence

Fourier Domain Algorithms

Questions and discussion

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I hope that the example from before at least convinces you that such a decomposition may be possible in the Fourier domain.

# Some experiments

The authors tested their algorithm on two data sets: the American Psychological Association election and the Sushi Data Set.

# Experiment 1: APA Election

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The results: The authors find that C1,C3 and C4,C5 are nearly riffle independent, and that fitting a mixture-of-riffles model yields bias parameters that strongly suggest their hypothesis is correct.

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The results: Assuming riffle independence significantly lowers the sample complexity required to learn the distribution.

Biased riffle shuffles are a useful tool for learning on small samples.

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What are some problems where riffle independence might lend some new insight?

What if the riffle factors are non-obvious?

How about if there are  $3+$  subsets we want to study?

Anything else?