Hyperpartisan News Detection

Bachelor Thesis

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submitted to the
Data and Web Science Group
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Juli 2019

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Glossary

BERT Bidirectional Encoder Representations from Transformers. ii, 14, 23, 25

CBOW Continous Bag of Words. 3, 4, 16

IDF Inverse Term Frequency. 2, 14, 15

LSTM Long Short-Term Memory. 25

Multinomial NB Multinomial Naives Bayes. iii, v, 19, 20

RNN Recurrent Neural Network. iv, 23, 24

TF Term Frequency. 2, 14, 15

TF-IDF Term Frequency-Inverse Term Frequency. iii, v, 2, 14–16

Chapter 1

Introduction

1.1 Problem Statement

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Chapter 2

Fundamentals

2.1 Term Frequency-Inverse Document Frequency

Term frequency (TF) is a measure that denotes how frequently a term t appears a the document d. One way to compute TF is [8]:

$$tf(t,d) = \frac{1 + \log(tf_{t,d})}{1 + \log(ave_{t \in d}(tf_{t,d}))}$$

where $1 + \log(tf_{t,d})$ reflects how many times the term t appears in document d and $1 + \log(ave_{t \in d}(tf_{t,d}))$ is the highest occurrence of any term in document d_i.

Inverse Doucment Frequency (IDF) points to the assumption that the informativeness of the term t is inversely proportional to the number of documents in the collection in which the term appears [8].

$$idf(t_i) = log\frac{N}{d \in D : t \in d}$$

Where N is the total amount of doucments in a document set and $d \in D : t \in d$ is the amount how many times the term t appears in the document set.

To compute the weight for the term t_i within the document d_j we simply multiply the *TF* and *IDF* components:

$$w_{ij} = tf(t_i, d_j) \cdot idf(t_i)$$

Therefore, TF-IDF indicates how significant a word is to a document in a collection or corpus. It is regularly used as a weighting factor in Information Retrieval and Text Mining. *The TF-IDF value increases proportionally to the number of times a word appears in the document, but is offset by the frequency of the word in the corpus, which helps to control the fact that some words are usually more common than others.*
TF-IDF is easy to compute. In addition, it is possible to extract the most descriptive

terms, as well as to calculate the similarity between 2 terms. However, TF-IDF is based on the bag-of-words (BoW) model, which is why it disregards aspects such as text position, semantics and co-occurrence.

2.2 Word Embeddings

Word Embeddings are based on the approach of Harris' Distributional Hypothesis [16] from 1951, which states, that words that occur in the same contexts tend to have similar meanings.
A Word Embedding provides a word vector for each word. This is done by extracting features from that word within the context in which it appears and assigning it a place within the vector space. Two similar words will occupy locations near one another within this vector space, while words that differ will have positions much further apart. This makes it possible to calculate the distance calculation by computing cosine distance.

2.2.1 Word2Vec

Word2Vec is a "2-Model Architecture for computing continuous vector representations of words from very large dataset"[42] that creates an n-dimensional vector space in which each word is represented as a vector. Word2Vecs 2 learning models are the CBOW and Skip-Gram-Model.

CBOW uses the context word to predict the target word. The input is a one-hot encoded vector. The weights between the input layer and the output layer can be represented by a $V \cdot N$ matrix W where "each row of W is the N-dimension vector representation w_v of the associated word of the input layer" [32]. The hidden-layer h is computed by multiplying the one-hot encoding vector of the input word w_I with the weight matrix W [32].

$$h = W^T x = W^T_{(k,\cdot)} := v^T_{w_I}$$

Next we have another weight matrix $W' = w'_{ij}$ which is an $N \cdot V$ matrix. With these weights we can finally compute a score u_j for each word in the vocabulary [32]

$$u_j = v'^T_{w_j} h$$

where v'_{w_j} is the j-th column of the matrix W'. Afterwards "we can use *softmax*, which is a log-linear classification model, to obtain the posterior distribution of words" [32].

$$p(w_j | w_I) = y_j = \frac{exp(u_j)}{\sum_{j'=1}^{V} exp(u_{j'})}$$

In contrast to the CBOW model, Skip-Gram uses the target word to predict the

context words. The input is still a one-hot encoding vector, the hidden layers definition stays the same as in the CBOW model, each output is still using the same hidden layer to output matrix as in the CBOW model $p(w_{c,j} = w_{O,c}|w_I) = y_{c,j} = p(w_j|w_I) = y_j$ and the function for $u_j = u_{c,j}$ stays the same [32]. However in the output layer, we are now outputting C multinomial distributions.

2.3 Multinomial Naive Bayes Classifier

The Naive Bayes classifier is based on Bayes' theorem [3], which comes from the probability calculus and describes the calculation of conditional probability. Each object in this classification approach is assigned to the class for which the highest probability was computed or for which the lowest costs arise in this assignment.
The Multinomial Naive Bayes classifiers assumes that the position of the word does not matter, as well as that the feature probabilities $Pr(t_i|c)$ are independent given a class c. The probability of a class value c given a test document t_i is computed as [22]

$$Pr(c|t_i) = (\sum_n f_{ni})! \prod_n \frac{Pr(w_n|c)^{f_{ni}}}{f_{ni}!}$$

where f_{ni} is the number of times a word n occurs in document t and $Pr(t_i|c)$ is the probability of observing word n given class c.

2.4 Logistic Regression Classifier

Logistic Regression is like Naive Bayes, a probabilistic classifier, and thus classifies by estimating the probability $P(Y|X)$ that the object belongs to a particular class. It can be derived analogously to the linear regression model [15],

$$P(Y|X) = Pr(Y = 1) = X\beta$$

where X is the vector of predictors $\{X_1, ..., X_n\}$ and β is the model's parameter vector. In contrast to linear regression, in logistic regression only values between 0 and 1 are obtained, which can be attributed to the addition of the sigmoid function [5] (Figure 2.1).

$$p(\bar{x}_i) = \sigma(\bar{x}_i) = \frac{1}{1 + e^{-z_i}}$$

, where $z_i = \log(\frac{p(\bar{x}_i)}{1-p(\bar{x}_i)})$.
So if the probability distribution is modelled with a sigmoid, the following is obtained [5]:

$$z_i = \log(\frac{p(\bar{x}_i)}{1 - p(\bar{x}_i)}) = \log(\frac{\frac{1}{1+e^{-z_i}}}{1 - \frac{1}{1+e^{-z_i}}}) = \log(\frac{1}{e^{-z_i}}) = z_i$$
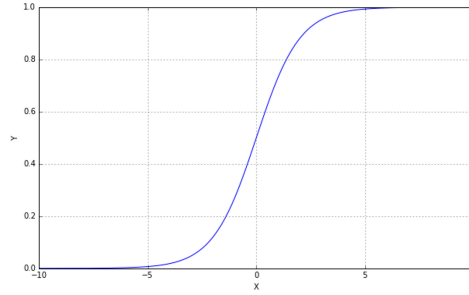
Figure 2.1: Sigmoid Function [4]

This opens up the possibility of defining the probability that a sample belongs to a class [5]:

$$p(y|\bar{x}_i) = \sigma(\bar{x}_i, \bar{\Theta})$$

where Θ is a single parameter vector. To find the optimal Θ by which we get an $p(y|\bar{x}_i)$ that is close to 0 or 1, we maximize the log-likelihood relying upon the output class, therefore the optimization problem can be expressed, utilizing the indicator notion as the minimization of the loss function [4]:

$$l(\Theta) = -\sum_i y_i \log(\sigma(z_i)) + (1 - y_i) \log(1 - \sigma(z_i))$$

This implies, if $y = 0$, the first term ends up 0 and the second $\log(1-\sigma(z_i))$, which results in a log-likelihood of 0. In the event that $y = 1$, the second term ends up 0 and the first one corresponds to the log-likelihood of z. Along these lines, both cases are integrated into a solitary articulation.

A major issue in machine learning is the aspect of overfitting. Overfitting tends to adjust the model too much to the training data. This happens when a model learns the details in the training data so that the performance of the model is contrairily influenced by new data. This implies that noise or random fluctuations in the training data are recorded and learned as concepts by the model. The issue is, that these concepts do not apply to new data and negatively impact the generalization of the model. Therefore, there is the process of *regularization*, which is a form of regression that decreases the coefficient estimation to 0 and assumes that smaller weights generate simpler models and thus helps avoid overfitting.
Two of the most commonly used regularization techniques are *Lasso Regression (L1)* and *Ridge Regression (L2)*, which differ mainly in the penalty term.
The *L1* loss function minimizes the sum of the absolute differences between the target value and the estimated values. If input characteristics have weights closer to zero, this results in a sparse L1 standard. In the Sparse solution, most of the input features have zero weights and very few features have non-zero weights. The L1 regulation offers a function selection. This is done by associating insignificant input features with zero weights and useful features with a non-zero weight. *L1*

solves the regularized logistic regression by minimizing the following cost function:

$$\min_{w,c} \quad \|w\|_1 + C \sum_{i=1}^{n} \log(\exp(1 + e^{-y_i x^T x_i})$$

whereas *L2* solves it the following way:

$$\min_{w,c} \quad \frac{1}{2} w^T w + C \sum_{i=1}^{n} \log(1 + e^{-y_i w^T x_i})$$

,where $C > 0$ is the penalty parameter, w is the vector, w^T is an additional dimension, x_i is an instance of the vector and y_i is an instance label pair $\in \{-1, +1\}$ [13].

The L2 loss function is essentially about minimizing the sum of the square of the differences between the nominal value and the estimated values. L2 control forces the weights to small weights, but does not make them zero and leads to a not sparse solution. In addition, L2 is not robust against outliers, since square terms inflate the error differences of the outliers and the regularization term tries to correct them by punishing the weights.

2.5 Decision Trees and Random Forest Classifier

2.5.1 Decision Trees

Starting from the root node of a tree, a feature is evaluated from which a branch is subsequently selected. This process is repeated until the last node in the tree (leaf) is reached, which supplies the corresponding class. Different approaches have been developed in Decision Trees. One of the first is called *Iterative Dichotomizer (ID3)*. However, this algorithm had the disadvantage that explicit functions were necessary, which led to the development of C4.5. Like ID3, C4.5 manages continuous values. In return to ID3, C4.5 could furthermore manage summarized and decreed values and transform a tree into a set of conditional expressions. Nevertheless, the most recent development called CART (Classification and Regression Trees) was introduced, which allows the use of absolute and numeric values, as well as the non-use of standard sets. In addition, CART trees can be utilized for characterization and fallback assignments. [5]

The algorithm uses impurity measures to select a particular branch from a leaf. Among others, the two most common measures are *Gini Impurity* and *Cross Entropy* Index [4]. These impurity measures are applied to each candidate subset, and the resulting values are combined (e.g., averaged) to provide a measure of the quality of the split,

$$I_{Gini}(j) = \sum_{i} p(i|j)(1 - p(i|j))$$

$$I_{Cross-entropy}(j) = -\sum_{i} p(i|j) log(p(i|j))$$

where j is a certain node, $p(i|j)$ is the probability with $i \in [1, n]$ associated with each class.

The *Gini* Index measures how often a randomly selected element from the set would be mislabelled ift it were randomly chosen according to the distribution of labels in the subset. The minimum index (0,0) is achieved when all examples are classified into a solitary class.

"*Cross-Entropy* is based on information theory, and assumes null values only when samples belonging to a single class are present in a split, while it is maximum when there's a uniform distribution among classes. This index is very similar to the Gini impurity, even though, more formally, the cross-entropy allows to select the split that minimizes the uncertainty about the classification, while the *Gini* impurity minimizes the probability of misclassification" [4].

2.5.2 Random Forest Classifier

The Random Forest classifier is a classification technique that creates multiple decision trees from randomly selected subsets of training data. Each tree in this process may make a decision, these votes are then aggregated to determine the final class. According to Breiman [6] the Random Forest algorithm is as follows:

Algorithm 1: Random Forest

---

1 Set the number of decision trees N_c
2 **for** $i \leftarrow 1$ **to** N_c **do**
3   Create a dataset D_i sampling with replacements from the original dataset X

4 Set the number of features to consider during each split N_f
5 Set an impurity measure
6 Define an optimal maximum for each tree
7 **for** $i \leftarrow 1$ **to** N_c **do**
8   Random Forest: Train the decision tree $d_i(x)$ using the dataset D_i and selecting the best split among *Nf* features randomly sampled
9   Extra-trees: Train the decision tree $d_i(x)$ using the dataset D_i computing before each split n random thresholds and selecting the one that yield the least impurity
10 Define an output function averaging the single outputs or employing a majority vote

---

2.6 Cross Validation

Cross Validation is a model validation technique used to survey how the result of measurable statistical analysis generalizes into an independent dataset. The idea is to divide the entire dataset into a moving test and training set. The size of the test set is determined by the quantity of folds, so that at *k* emphases the test set covers the whole original dataset.

A round of Cross Validation comprises of separating a sample of data into corresponding subsets, performing the analysis on the training set, and validating the analysis on the test set. To decrease fluctuation, most strategies perform several rounds of *Cross Validation* utilizing various partitions and combine the validation result over the rounds to obtain an estimate of the predictive performance of the

model.

2.7 Evaluation

In order to evaluate how well a classifier works, several procedures exist. The ones used during the competition are *Accuracy*, *Recall*, *Precision* and *F1-Score*, for which the Confusion Matrix [39] (Table 2.1) forms the basis. Each column of the matrix represents the instances of a predicted class, while each row represents the instances of the actual class.

	Predicted Class	
	positive	**negative**
positive$'$	True Positives	False Negatives
negative$'$	False Positives	True Negatives

Actual Class

Table 2.1: Confusion Matrix

True Positive means that the classifier predicted a class which actually corresponds to it, whereas *False Positive* means that a class was predicted that does not correspond to the actual class. In contrast, there are the *False Negatives*, where the classifier predicted a class as not belonging, although the instance actually belongs to it whereas *True Negative* means that the class was correctly classified as not belonging.
The four evaluation metrics are computed as follows [4]:

- Accuracy: $\frac{TP+TN}{TP+TN+FP+FN}$
  Defines the correct classification to the total number of cases

- Precision: $\frac{TP}{TP+FP}$
  Defines the correct classification of cases predcited to be positive

- Recall: $\frac{TP}{TP+FN}$
  Defines the correct positive classification of cases that are actually positive

- F1-Score: $2 \cdot \frac{Precision \cdot Recall}{Precision + Recall}$
  Defines the average of precision and recall, where an F1 value reaches its best value at 1 and worst at 0

Chapter 3

Data

3.1   Data Description

The given data, the model is build on, was provided by zenodo.org as part of SemEvals Task 4 [https://pan.webis.de/semeval19/semeval19-web/] and consists of 2 independent datasets, which in turn have been divided into a GroundTruth-, Training- and Validation set.
The first dataset, recognizable by the term 'byPublisher', reflects the publisher's general bias set forth by BuzzFeed journalists or MediaBiasFastCheck.com beforehand. It consists of a total of 750,000 items, of which 600,000 belong to the Training- and 150,000 to the Validation set.
In return, the second dataset, recognizable by the term 'byArticle', was scrapped by crowdsourcing at hand and therefore consists of only 645 items without a Validation set.

The GroundTruth-, Validation- and Training sets were each provided as XML documents. While the Training- and Validationset contain the articles, the GroundTruth file contains the attributes *article-url*, *labeled-by*, *id* and *hyperpartisan*. The main distinction between the by Article and by Publisher labelled datasets is that the by Publisher labelled GroundTruth dataset contains an additional attribute named *bias*. In this context, the *article-url* provides the URL of the article, the characteristic *labeled-by* reflects whether the article belongs to the publisher or article dataset, *id* represents a unique ID for the article, *hyperpartisan* reflects whether the article was labeled als Hyperpartisan or not and the additional attribute *bias* in the publisher record, states whether the article belongs to the "left", "left-center", "least", "right-center" or "right" sector.
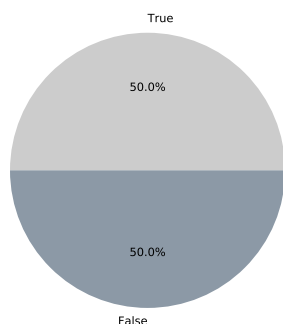
3.1.1 Dataset labelled by Publisher

Figure 3.1: Hyperpartisan Distribution by Publisher

Publisher	Bias	Amount
Fox Business	Left	96175
CounterPunch	Left	39832
Mother Jones	Left	36730
Truthdig	Left	25056
Daily Wire	Right	18570

Table 3.1: Publishers with the highest proportion of Hypeprartisan articles

As mentioned above, this dataset consists of a total of 750,000 articles and is divided into a training record consisting of 600,000 articles and a validation set consisting of 150,000 articles. The main difference between this dataset and the by articel labelled one is the type of classification. This is because these articles were not labelled as Hyperpartisan based on their content, but due to the publisher (Table 3.1). This means, that a publisher who has been designated as right or left by the MediaBiasFastCheck.com will naturally publish articles which are Hyperpartisan. This manifests itself in a different form of features, which becomes apparent in the further course of this thesis. This likewise influences the distribution of Hyperpatisan articles. Out of a total of 750,000 items, 375,000 assume the value 'True', while the remaining 375,000 have the value 'False'(Figure 3.1). Furthermore, the classification is expressed by the distribution of the additionally contained GroundTruth attribute *Bias*, which informs about the general bias of the publisher. All 375,000 Hyperpartisan labelled all are assigned to either the left or right sectors, but none are right-centre, least or left-centre and are again 50:50 distributed. The other 50% are split between the remaining bias, with 'Least' owning the largest share at 37%. The publicity data is distributed over the years 1964-2018, with most of the data coming from 2012-2018.

3.1.2 Dataset labelled by Article

As already described in the previous section, the distribution of the by Article dataset is different from the by Publisher one. The distribution is no longer 50:50, but only 36.9% were classified as Hyperpartisan (Figure 3.2). Moreover, in this dataset, the distribution of publication data is not mainly from the years 2012-2018, but in 2016-2018, with the largest number of articles dating back to 2017 at just under 60% as we can see in figure 3.3. Altogether all 645 articles date from the years 1902-2018.
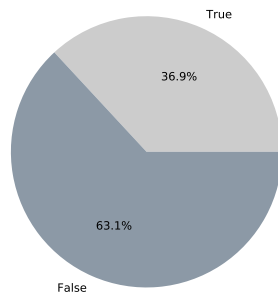
Figure 3.2: Hyperpartisan Distribution by Article
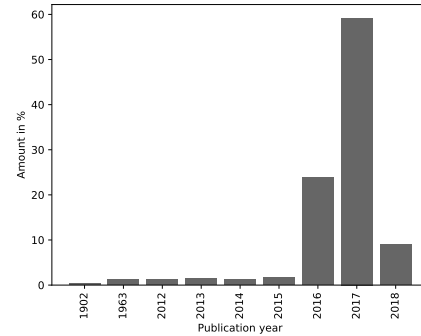
Figure 3.3: Publishing Years Distribution by Article

3.2   Data Preparation

In order to be able to work with the existing data in the further course of this project, several preprocessing steps are necessary. In the preprocessing phase of my bachelor's thesis, the data therefore went through the following steps:

1. File Parsing.

2. Information Filtering.

3. Combine Data.

4. Special Characters and Stop Word Removal.

5. Tokenization and Stemming.

As a result, in the following section, I will go further into detail how I preprocessed my data.

3.2.1   File Parsing

Since it is difficult to work with the given data in an XML format, the first challenge is to convert these files into a format which allows work with them more easily. The particular challenge here is the size of the dataset labelled by publisher. A standard algorithm for reading XML files is provided by pythons DOM library *ElementTree*, called *ElementTree.parse*[11]. This method returns an ElemenTree type, which "is a flexible container object, designed to store hierarchical data structures in memory" [http://effbot.org/zone/element.htm]. Meaning, that this library forms the entire model in the memory which can pose a problem with very large files, such as ours. As a substitute, I therefore use the method *ElemenTree.iterparse*, which can process XML documents in a streaming fashion, retaining in memory only the most recently examined parts of the tree[24].

3.2.2 Information Filtering

As mentioned in Chapter 2.1 Data Description, the XML files include various features, which is why it is necessary to extract these features from the XML files. In order to do this, an algorithm is passed the, by the *iterparse* method read content, which then passes through this algorithm in a double for-loop and checks for each item of an element in the content which "key" is currently processed. I then save this in an array, so that the features which have already been parsed, can be used later.

Algorithm 2: Parse Ground-Truth File

    Input: Ground-Truth File
    Output: Trained Classifier

```
1  for event, elem in content do
2  │   for key, value in elem.items() do
3  │   │   if key == 'id' then
4  │   │   │   id_array.append(str(value))
5  │   │   else if key == 'published-at' then
6  │   │   │   published_at_array.append(value)
7  │   │   else if key == 'title' then
8  │   │   │   title_array.append(value)
```

3.2.3 Combine Data

Since both, the Groundtruth- and Trainingdata contain important information, it is necessary to merge them into one file. I decided to use Python's library *pandas* [25] to combine both files into a single one. This, and especially Pandas, allows us to read the file more quickly as well as to access individual rows and columns of the merged file in a targeted manner.

Algorithm 3: Merge Groundtruth- and Trainingdatasets

```
1   content = content_parser.parse_content(content_training)
2   a_id = feature_extraction.get_id_array()

3   published = feature_extraction.get_published_at_array()
4   title = feature_extraction.get_title_array()
5   bias = groundtruth_parser.get_bias_array()
6   hyperpartisan = groundtruth_parser.get_hyperpartisan_array()

7   columns = {"ArticleID": a_id, "PublishedAt": published, "Title": title, "Bias": bias, "Content":
        content "Hyperpartisan": hyperpartisan}

8   tf = Pd.DataFrame(columns)
9   tf = tf[['ArticleID','Published', Title','Bias','Content','Hyperpartisan']]
10  tf.to_csv(titlecsv,encoding='utf-8',index=False)
```

3.2.4 Special Characters and Stop Word Removal

Since the GroundTruth- and Trainingdatasets have been combined into a single file, the next step is to remove special characters and stop words. Especially the removal of stopwords is necessary since not all words presented in a document, such

as auxiliary verbs, conjunctions and articles [19] are useful for training a classifier.

Algorithm 4: Remove special characters and stop words

---

1 stop = stopwords.words('english')

2 df.Content = df.Content.apply(lambda x: ''.join([item for item in x.split() if item not in stop]))
3 df.Content = df.Content.map(lambda x: re.sub(r''[^ a-zA-Z0-9]+'', '', x))

3.2.5 Tokenization and Stemming of the datasets

After cleaning the dataset, the words are tokenized in order to convert them into numerical vectors so that a classifier is able to work with them. Tokenization is definied as "The process of demarcating and possibly classifying sections of a string of input characters". The resulting tokens are then passed on to some other form of processing. The process can be considered a sub-task of parsing input. Stemming is the procedure of reducing the word to its grammatical root. The result is not necessarily a valid word of the language. For example, "recognized" would be converted to "recogniz". Still, the basic word almost always contains the very meaning of the word. Stemming is advantageous in that the algorithm used later now only has to fall back on a few different words instead of many, all of which have the same meaning.

In order to implement Stemming and Tokenization, *NLTK* [40] package provides two functions, due to which only 3 lines of code are necessary.

Algorithm 5: Special Character and Stopword Removal with Pandas and NLTK

---

1 import nltk
2 df.Content = df.Content.apply(nltk.word_tokenize)
3 df.Content = df.Content.apply(lambda x: [stemmer.stem(y) for y in x])

Chapter 4

Methodology

The primary procedure in Text Classification consist of 7 steps [19]. These include reading the dataset, tokenization, stemming, removing stop words, represent the text using vectors, feature extraction and selection, as well as applying classification algorithms. Since the first five steps have already been covered in Chapter 2, I will discuss the remaining three in this section. Especially, step 7 – applying classification algorithms – plays an important role. As already mentioned in Chapter 1 - Introduction, we build the classifier using BERTs-Embeddings. In order to compare how the model performs, I will therefore focus on classical text classification algorithms.

4.1   Vector Representation of the Text

In order for the classifier to be able to work with the text, the first step is to transform the words into a feature vector representation. A document is a sequence of words [21] so a document can be presented by a One-Hot encoded vector, assigning the value 1 if the document contains the feature-word or 0 if the word does not appear in the document [19]. However, using this technique for word representation, resolves in a $V \cdot V$ Matrix, as we have V-dimensional vector for each out of V words which can lead to huge memory issues. In addition this does not notion similarity between words. Therefore I will go into further detail for better approaches in the next 2 subchapters.

4.1.1   Term Frequency-Inverse Document Frequency

A comparative approach I used in the course of my Bachelor Thesis is Term Frequency - Inverse Document Frequency. Using TF-IDF allows to represent a word as a vector by assigning it weight which is computed through Term-Frequency multiplied with Inverse-Term-Frequency. Table 4.1 shows the 10 terms which have been assigned the highest TF-IDF weights. Python's library *scikit-learn* [29] provides two ways to implement TF-IDF without having to program TF and IDF by

---

Algorithm 6: TF-IDF

Input: Text Corpus

1 count_vect = CountVectorizer()

2 content_counts = count_vect.fit_transform(corpus)

3 tfidf_transformer = TfidfTransformer()

4 content_tfidf = tfidf_transformer.fit_transform(content_counts)

---

itself. In order to get a generally better overview, I will now explain the 2-step implementation, but note that the class *sklearn.feature_extraction.text.TfidfVectorizer* enables implementation in just one.

Term-Frequency, as mentioned in "Chapter 1 – Principles", is a measure that denotes how often a term appears in a document. Inverse Document Frequency, on the other hand, reflects the importance of a term throughout a document corpus. To implement the TF-IDF measure, *scikit-learn* provides the classes *CountVectorizer* and *TfidfTransformer* of the submodule *sklearn.feature_extraction.text* [34]. As an input example, I use the first article of the "byArticle" labelled dataset.
In order to calculate the TF measure, the method *fit_transform()* of the class *CountVectorizer* can be used, which the document corpus is passed as a parameter.

fit_transform() learns a vocabulary dictionary of all tokens and then counts how many times a term *t* occurs in a document *d* and converts the text document into a token matrix. The calculation of the IDF-measure in the second step is similar to the calculation of the TF-measure. Again, the method *fit_transform()* is called. In contrast to the method of the *CountVectorizer*, the text document is no longer passed as a parameter, but the token matrix of *CountVectorizer*.
It should be noted that the shape of the finalized TF-IDF matrix depends on the document corpus. The first time the conversion is carried out, the two objects of the respective classes learn the respective vocabulary through the keyword *"fit_"*. If a second text document should be converted to TF-IDF vectors afterwards, which is supposed to be used in connection with the already converted text document, it is necessary to use the same *CountVectorizer* and the same *TfidfTransformer*. This is, because otherwise the error message "Dimension Mismatch" would occur in later prediction calls. Let's take the following example:
If the two datasets labelled by article and publisher are converted with the same *CountVectorizer* and *TfidfTransformer*, we obtain the following dimensions:

- By Publisher: (600000, 708863)

- By Article: (645, 708863)

If the two methods *fit_transform()* would be called for both datasets, *CountVectorizer* and *TfidfTransformer* would be initialized each time, which would result in the following dimensions:

By Article	TF-IDF Weight	By Publisher	TF-IDF Weight
trump	0.091165	balls	0.057251
bannon	0.065129	said	0.051183
president	0.061829	medicare	0.047450
kimmel	0.052415	martin	0.046519
money	0.051647	school	0.044264
americans	0.049132	university	0.043572
people	0.047342	says	0.042079
obamacare	0.042512	trump	0.041235
wall	0.042117	carrier	0.040903
control	0.039068	degree	0.040704

Table 4.1: Top 10 terms by TF-IDF weight

- By Publisher: (600000, 708863)

- By Article: (645, 11485)

4.1.2 Word Embeddings

Word2Vec

For a further comparison and an approximation to the the later on used classification model, I did not only uf TF-IDF as a Vector representation method as part of my Bachelor Thesis, but also Word Embeddings - especially the *Word2Vec* model. Word2Vec represents words as vectors. Unlike the TF-IDF method, however, not only word frequencies and -priorities are considered, but also the connection of individual words to others. Again, several methods of implementation exist. As part of my Bachelor Thesis, I decided to use the library *gensim* [31] to implement my Word2Vec model. With *gensim* it is possible to do unsupervised semantic modelling from plain text. This makes it possible to implement a Word"vec model using only a few lines of code without having to program Skip-Gram of CBOW yourself.
Algorithm 7 shows that the implementation of the model is straightforward, as

---

Algorithm 7: Word2Vec with gensim

---
1 model = gensim.models.Word2Vec(vocab, min_count=10, window=10, size=300, iter=10)

---

it is pretty much the only step we need to program. By default, *gensim* uses CBOW which can be changed by adding the following parameter to the parameters list: *sg=1*. As for the other parameters, 18 more exist which can be viewed at https://radimrehurek.com/gensim/models/word2vec.html, but I decided to focus only on the important ones. *Vocab* is our text corpus, which needs to be transformed into a list of tokenized sentences. *Size* determines the dimension of the word vectors, *window* the maximum distance between the current and predicted

By Article	Similarity	By Publisher	Similarity
president	0.9992413520812988	obama	0.5890584588050842
donald	0.9992144107818604	clinton	0.5385712385177612
election	0.9980630874633789	bannon	0.5277745127677917
presidential	0.9978925585746765	priebus	0.5117671489715576
campaign	0.9978247880935669	hillary	0.48596829175949097

Table 4.2: Most similar word to 'trump'

word within a sentence, *min_count* how often a word must occur to be included in the vocabulary and *iter* how many iterations should be performed on the corpus. What exactly happens here is that a neural network with a single hidden layer is trained to predict the current word based on the context. The resulting vector consists of several features that describe the target word.

After the model has been trained it is possible to get information about the similarity of two words by calling the method $model.wv.similarity(word_1, word_2)$. Table 4.2 shows the 6 most similar words to "trump" of both datasets. This is possible by calculating the cosine similarity of two words in the vector space. As the range of the cosine similarity can go from [-1 to 1], words that are completely the same are assigned the value *1* and words that are not similar at all are given the value *-1*.

The resulting word vectors now have the dimension defined in the parameter *size*. In order to be able to form features from them, I averaged the Word Embeddings of all words in a sentence (algorithm 8).

Algorithm 8: sent_vectorizer

    Input: text corpus, trained model
1 sent_vec = []
2 numw = 0
3 **for** *w in sent* **do**
4   **if** *numw == 0* **then**
5   sent_vec = model[w]
6   **else**
7   sent_vect = np.add(sent_vec, model[w])
8 **return** np.asarray(sent_vec) / numw

4.2 Classification Algorithms

Classification is about predicting a particular outcome based on given training data. For this prediction, a classification algorithm processes the training data set, which consists of a set of features and the respective predictions. The algorithm attempts

to discover relationships between given features of the instances and the associated classes to learn a function which makes it possible to predict the correct class based on the features of an instance. Thereafter, the algorithm receive a test dataset which it has not seen before. This dataset contains the same features as the training set but not the corresponding class names. With the previously learned function, the algorithm now assigns a class name to each instance of the test record.

Classic classification algorithms include *Multinomial Naive Bayes*, *Support Vector Machines*, *Random Forest* and *Logistic Regression*, which is why, in the following chapter, I will explain the basic procedure for implementing these algorithms. In addition, I will discuss the aspect of *Grid Search*, which gives us the optimal parameter assignment to a given set of data for these algorithms.

4.2.1 Multinomial Naive Bayes Classifier

The *sklearn.naive_bayes.MultinomialNB* class of the library *scikit-learn* implements the Naive Bayes algorithm for multinomial distributed data [37]. Here Θ is estimated by a smoothed version of the maximum likelihood

$$\hat{\Theta}_{yi} = \frac{N_{yi} + \alpha}{N_y + \alpha n}$$

where $N_{yi} = \sum_{x \in T} x_i$ is the number of times a feature i appears in a sample of class y in the training set, $N_y = \sum_{i=1}^{n} N_{yi}$ is the total count of all features for class y and α incorporates the smoothing parameter due to which zero probabilities will be prevented.

The *MultinomialNB* classifier includes the parameters *alpha*, *fit_prior* and *class_prior*, where *alpha* specifies the smoothing value,. *fit_prior* specifies whether the class probalilities should be learned in advance and *class_prior* specifies the prior probabilities of the classes.

```
from sklearn.naive_bayes import MultinomialNB
MultinomialNB(alpha=0.5, fit_prior=True)
```

4.2.2 Random Forest Classifier

As described in Chapter 2.6.2, the Random Forest Classifier is a classification technique that creates multiple decision trees from randomly selected subsets of training data. For implementing the Random Forest Classifier, *scikit-learn* provides the class *sklearn.ensemble.RandomForestClassifier* [33]. Unlike the original publication [6], the *scikit-learn* classifier determines the final class by averaging the probabilistic forecasts as opposed to having each classifier vote in favour of a solitary class.

The Random Forest classifier includes 17 parameters, of which I have included 6 in my classification.

```
from sklearn.ensemble import RandomForestClassifier
RandomForestClassifier(min_samples_leaf=1, n_estimators=500, criterion='
    gini', max_depth=15, max_features='auto', bootstrap=True)
```

where *n_estimators* determines the number of trees in the forest, *criterion* which impurity measure to utilize, *max_depth* determines the maximum depth of a tree, *min_samples_leaf* determines the base number of samples to be at a leaf node, *max_features* the quantity of features that must be considered in the search for the best partition and *bootstrap* indicates whether bootstrap models are utilized when making trees.

4.2.3 Logistic Regression Classifier

The Logistic Regression classifier is a model for classification, where the probabilities depicting the potential results of a solitary class are modelled utilizing a logistic function. The provided classifier of *scikit-learn* offers the possibility not only to classify binary, but also One-vs-Rest and multinomial. There are several solvers available for this, yet since our classification problem only refers to binary classification, I will only discuss those aspects of the logistic regression classifier in the following section.

Scikit learns Logistic Regression Classifier provides L1, L2 and Elastic-Net Regularization [35].

A solvers, *liblinear*, *newton-cg*, *lbgfs*, *sag* and *saga* are available. *Scikit-learn* points out, that *liblinear* is a good algorithm for small datasets, whereas *sag* and *saga* are faster for large datasets. Also, *newton-cg*, *lbgfs* and *sag* only handle *L2* penalty, whereas *liblinear* also handles *L1* penalty and *saga* in addition *elasticnet*. *Liblinear* uses a coordinate descent algorithm, the *sag* solver a Stochastic Average Gradient descent, *saga* is a variant of *sag* and therefor supports the non-smooth penalty *L1* and *elasticnet* and the *lbfgs* solver is an optimization algorithm that approximates the Broyden–Fletcher–Goldfarb–Shanno algorithm

4.2.4 Grid Search

Parameter	Result by Article	Result by Publisher
alpha	0.7	0.5
fit_prior	False	True

Table 4.3: Grid Search Result of the Multinomial NB Classifier

A significant perspective in machine learning is the aspect of hyperparameters. These are parameters that are not determined by the learning algorithm, but those that must be determined beforehand. In the above mentioned algotihms, for example, theses are the parameters to be passed to the classifier. For instance, which size *n_estimators* in the *Random Forest* classifier should assume. To solve this issue the

algorithm *GridSearch* exists. This algorithm is used to find the optimal hyperparameters of a model, which then leads to the most accurate predictions.

The procedure is as follows: A set of parameters is defined witch which Grid-Search trains the given classifier for all possible combinations and measures the performance by cross-validation. This ensures that our trained model has received the most samples from our training data set.

A simple implementation of GridSearch is provided by *scikit-learn* through the class *sklearn.model_selection.GridSearchCV* [36]. This class evaluates all possible combinations of parameters when calling the method *fit( )* and keeps the best combination.

The parameters that can be passed to the class include *estimator*, which specifies the classifier, *param_grid*, which is the parameter set, *scoring*, which specifies the measure to evaluate the test set, and *cv* how many cross-validation splits to perform. Algorithm 8 shows a practical example of how to use *GridSearchCV* while table 4.4 shows the corresponding results.

Algorithm 9: Grid Search for Multinomial NB classifier

---

1 from sklearn.model_selection import GridSearchCV

2 from sklearn.naive_bayes import MultinomialNB

3 parameter_candidates = {'alpha': np.linspace(0.5, 1.5, 6), 'fit_prior':
   [True, False]}

4 clf = GridSearchCV(estimator=MultinomialNB(),
   param_grid=parameter_candidates, scoring='accuracy', cv=10)

5 clf.fit(features, labels)

4.2.5 Training and Classification

Classifiers are algorithms which map input data to a specific type of category. Using the classifier consists of two basic steps: training and classification. Training is the process of recording content known to belong to certain class and creating a classifier based on that known content. Classification is the process by which a classifier is created with such a training content and is run on unknown content to determine the class affiliation for the undefined dataset. The above mentioned

---

Algorithm 10: Classifier Training

Input: Classifier model, Features, Labels

Output: Trained Classifier

1 classifier = model

2 classifier.fit(features, labels)

---

classifiers all follow the same scheme of classification (algorithm 10). To train

these classifiers, the method *fit( )* is called at the defined classification algorithm, to which the training data and its associated labels are passed on. Depending on the algorithm and the size of the data set, this can take different lengths of time. For example, the *RandomForest* Classifier classifies the by Article labelled data within X minutes, whereas it needs Y minutes for the by Publisher labelled data. Afterwards it is possible to make predictions with the trained classification. This is done by calling the *predict( )* method to which the test data is passed (algorithm 11).

Algorithm 11: Make Predictions
Input: Trained model, testset
1 trained_model.predict(testset)

Finally, I consider it important to mention that it is possible to save trained classifiers and models in order to access them later on without having to train a classifier each time. For this purpose the module *pickle* of Python's standard library is available (algorithm 12), as well as for word vector models, the module *models.keyedvectors* (algorithm 13) of the library *gensim*. The *pickle* module implements binary protocols for serializing and deserializing a Python object structure, whereas *models.keyedvectros* implements word vectors and their similarity lookups.

Algorithm 12: Saving a trained model
Input: Trained model, Final filename
1 filename = file pickele.dump(trained model, filename)

"The pickle module implements binary protocols for serializing and de-serializing a Python object structure. 'Pickling' is the process whereby a Python object hierarchy is converted into a byte stream, and "unpickling" is the inverse operation, whereby a byte stream (from a binary file or bytes-like object) is converted back into an object hierarchy" [30].

Algorithm 13: Saving a trained Word2Vec model
Input: Word2Vec model, Final filename with ending "kv"
1 word_vectors = model.wv
2 filename = "vectors.kv"
3 word_vectors.save(filename)

KeyedVectors "is essentially a mapping between entities and vectors. Each entity is identified by its string id, so this is a mapping between a string and a 1D numpy array. The entity typically corresponds to a word, but for some models, the key can also correspond to a document, a graph node etc. To generalize over different use-cases, this module calls the keys entities. Each entity is always represented by

its string id, no matter whether the entity is a word, a document or a graph node"
[27].

Chapter 5

Bidirectional Encoder Representations from Transformers

Bidirectional Encoder Representations from Transformers (BERT) [9] is a novel model that was introduced in October 2018 by researches at Google AI Language and has since caused a stir in the field of machine learning by representing state-of-the-art results in a variety of Natural Languag Processing tasks. The special feature of this model, unlike previous efforts, is the technical innovation of bi-directional training of transformers.

In order to understand how the actual model, and thus the classification model applied in this thesis, works, I will discuss the basics and the actual BERT model in the following chapter before I explain how this model can be applied to the data sets.

5.1 Recurrent Neural Networks

Recurrent Neural Networks (RNN) are a kind of artificial neural network, which considers time and order. Unlike Feedforward algorithms [2], which use only the current example as input, RNNs also use the Input Example, which they perceived in previous steps (Figure 5.1). This sequential information is retained over many time steps in the hidden state of the RNN as it cascades forward to affect the processing of each new example. This means that the RNN finds correlations between events that are separated by many steps. These relationships are referred to as *long-term dependencies* because a subordinate event is dependent on one or more preceding events.

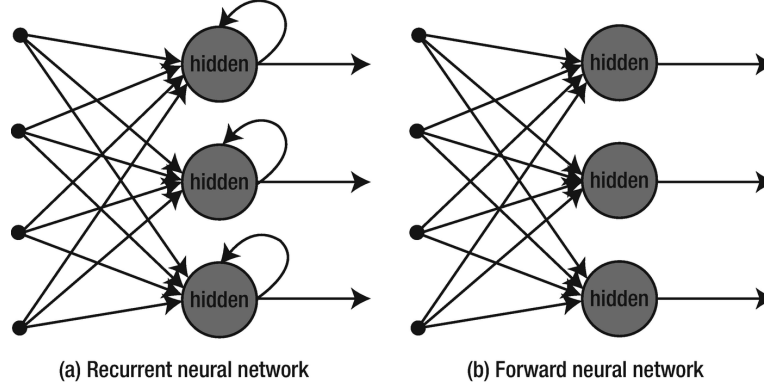(a) Recurrent neural network   (b) Forward neural network

Figure 5.1: RNN and Feedforward Neural Network [14]

Mathematically, a *simple recurrent neural network* [12] can be represented as follows [26]:

$$x(t) = w(t) + s(t-1)$$

$$s_j(t) = f(\sum_i x_i(t)u_{ji})$$

$$y_k(t) = g(\sum_j s_j(t)v_{kj})$$

Where $x(t)$ is the input-, $s(t)$ the hidden- and $y(t)$ the output layer. s_t is the function of the input at the same time step t, modified by a weight matrix w, which has been added to the hidden states previous step $s(t-1)$ and multiplied by its own hidden-state-to-hidden-state matrix u. The weight matrices w and u are filters that determine the importance of matching the current input as well as the past hidden-state. These generate errors which return via backpropagation and are used to adjust their weights until the error can no longer be reduced. The sum of the weight input and hidden state is squashed by the functions f or g, where $f(z)$ represents the sigmoid function

$$f(z) = \frac{1}{1 + e^{-z}}$$

and $g(z)$ the softmax function

$$g(z_m) = \frac{e^{zm}}{\sum_l e^z k}$$

The initial value $s(0)$ can take the values 0 or 1, whereas in all further steps $s(t+1) = s(t)$.

A problem of RNNs is the disappearance and explosion of the gradient. Since the layers and time steps of deep neural networks are wired together by multiplication, derivatives are susceptible to disappearance or explosion. With an exploding gradient, the weights at the upper end become saturated, whereas with disappearing gradients, the final value will tend to 0.
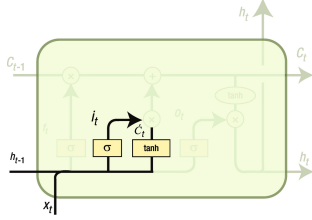
5.1.1 Long Short-Term Memory
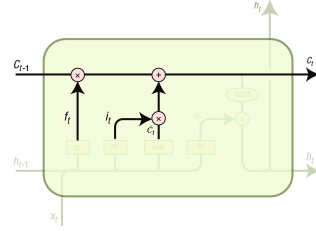

Figure 5.2: Input gate$_1$ [14]
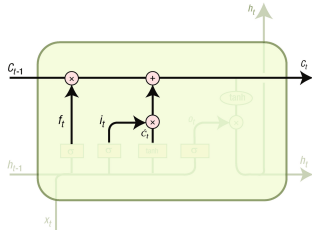

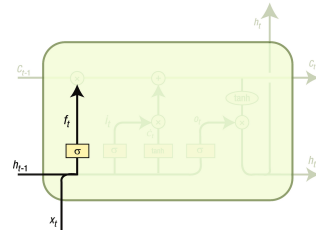Figure 5.3: Input gate$_2$ [14]

Figure 5.4: Forget gate [14]

Figure 5.5: Output gate [14]

Long Short-Term Memory (LSTM) units [18] are a modification of the RNNs which represent a problem elimination for the disappearing gradient. Unlike standard RNNs, LSTMs no longer consist of a single neural network layer, but of four instead. LSTMs are formed by multiple gates which close and open (Figure 5.2 - 5.5). This allows a cell to make decisions about what to back up and when to read, write or delete. Within a cell, information can be saved, written or read from. Gates act on the signals they receive and block or route the data they filter with their own weights based on their strength and import. These weights are tailored via the learning process of the recurring networks. This means that cells learn when to enter, leave or remove facts by iteratively making assumptions, propagating errors backwards and adapting weights via gradient descent.

The different gates of LSTMs are Input-, Forget- and Output Gate, where the Input Gate controls the contribution of a new input to the memory, the Forget Gate controls the limits up to which a value remains in the memory, and the Output Gate controls the limit up to which the memory in the activation block of the output contributes. Mathematically, the different gates can be represented as follows [14]:

- Input Gate - Figure 5.2:

  - $i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$
  - $\acute{C} = \tanh(W_C \cdot [h_{t1}, x_t] + b_c)$
  - where x_t is the time step at t, h_{t-1} denotes the hidden state at time step $t-1$, i_t is the input gate layer output at step t, $\acute{C}$ refers to candidate values to be added to the input gates output at time t, b_i and b_c denote the bias for the input gate layer and the candidate value computation

and W_i and W_c denote the weights for the input gate layer and the candidate value computation.

- Input Gate - Figure 5.3:
    - $C_t = f_t * C_{t-1} + i_t * C_t$
    - Where C_i denotes the cell state after time step i and F_t is the forget state at step t.

- Forget Gate - Figure 5.4:
    - $f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$
    - Where f_t denotes the forget state at time step t and W_f and b_f are the weights and bias for the forget state at step t.

- Output Gate - Figure 5.4:
    - $o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o)$
    - $h_t = o_t * \tanh(C_t)$
    - Where o_t is the output gates output at time step t and W_o and b_o denote the weights and bias for the output gate at time step t.

"Today, LSTM networks have become a more popular choice than basic RNNs, as they have proven to work tremendously on diverse sets of problems. Most remarkable results are achieved with LSTM networks than RNNs, and now the phenomenon has extended such that wherever an RNN is quoted, it usually refers to LSTM network only" [14].

5.1.2 RNN Encoder-Decoder

Classic RNNs and LSTMs have the problem that the input and output length of the sequences may vary. This led to the development of the RNN Encoder-Decoder [7], which consists of 2 recurring neural networks that function as a pair of encoders and decoders. Here the encoder maps a variable length input sequence to a fixed length vector, whereupon the decoder maps the vector representation to a variable length output sequence. *Cho et. al* states, that "the encoder is an RNN that reads each symbol of an input sequence x sequentially. After finishing the reading process of a sequence, the hidden state of the RNN is a summary c of the complete input sequence" [7]. This means that at the end of the training, the encoder provides an input feature vector that can be used by the decoder to construct the input with those aspects that are most essential to make the reconstructed input noticeable as the actual input. The paper also states, that the "decoder represents another RNN that has been trained to generate the output sequence by predicting the next symbol y_t under the condition of the hidden state h_t. In contrast to typical RNNs, y_t and h_t are also dependent on each other by y_{t-1} and the combination c in this case".
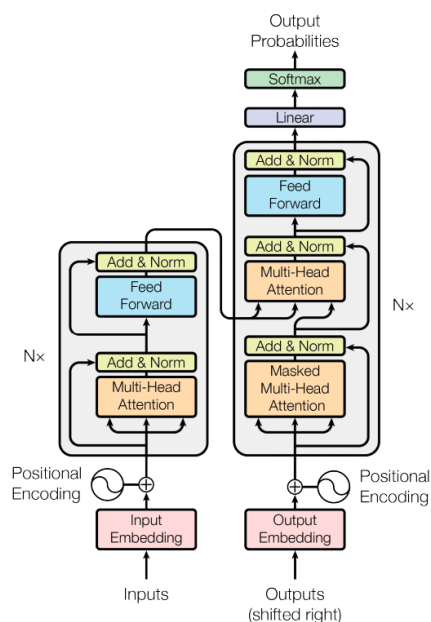
5.2 Transformer and Attention

Figure 5.6: The Transformer - model architecture [43]

RNNs handle the order of entries word for word. This reduces the parallelization of the process. For example, if the sentence "The cat eats the mouse" is to be translated into the German language, the RNN produces six hidden states (including s(0)) solely to represent the English sentence and has 8 as soon as it reaches the translation of the word "eats". This is not a major problem with sentences as small as this one. Now, for example, sentences with a length of 50 words exist. This becomes problematic with RNN's. The attention mechanism is dedicated to solve this problem. This technique was introduced by Bahdanau et al. [10] and Luong et al. [23] and allows the model to concentrate on the relevant parts of the input sequence. This means that the mechanism concentrates on human thinking. If, for example, a human being needs to find information in a text, it is usually skimmed in order to find the important information. And this is exactly what an attention mechanism does.

In 2017 the paper "Attention is all you need" by Ashish Vaswani et al. [43] was published which suggests to neglect RNNs and instead proposes a new model architecture called "Transformer". As the title of the paper indicates, this model uses attention mechanisms. Like LSTM, Transformer is also an architecture for transforming one sequence into another using an Encoder and Decoder, but differs from the previously existing sequence-to-sequence models since it does not include re-
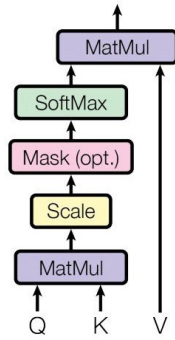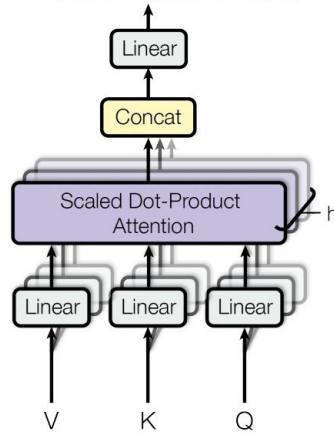
Figure 5.7: Scaled Dot-Product Attention   Figure 5.8: Multi-Head Attention

current networks. Furthermore, the Attention Mechanism model has been extended by using self-attention and point-wise, fully connected layers for Encoders and Decoders. The attention mechanism used in the paper includes a query Q, a set of key K and a set ov values V. Here the function connects the query vector with the set of key-value pairs to an output in which query, keys, values and output are all vectors.

The Encoder of this model, consists of $N = 6$ layers of *Multi-Head Attention* and *Position-Wise Feed Forward* networks with *residual connections* [17] employed around each of the two sublayers, followed by a layer of Normalization [20], whereby dropouts [38] are also added to the output of each sublayer before they are normalized. The Encoders input is added by creating an Input Embedding plus its Position Encoding. For each word, *self-attention* aggregates information from all other words in the context of the sentence, creating a new representation for each word, which is a visited representation of all other words in the sequence. This is repeated for each word in a sentence successively building newer representations on top of previous ones several times. The model's Decoder includes $N = 6$ layers as well, consisting of *Masked Multi-Head Attention*, *Multi-Head Attention* and *Position-Wise Feed Forward* networks with residual connections around them, followed by a layer of normalization. Here the input is the Output Embedding plus its positional encoding, which is offset by one to ensure that the prediction for position i depends only on the positions ahead of i. Masked-Multi-Head Attention is necessary to prevent future words from being part of the attention. Following here is the Position-Wise Feed Forward Normalization. The Decoder generates one word after the other from left to right, where the first word is based on the final representation of the Encoder, offset by one position. Each predicted word then takes care of the previously generated words of the Decoder on that layer, as well as the final representation of the Encoder.

The intention behind Self-Attention is to avoid long-term dependencies by learning the attention distribution with each additional word for each representation of an input word and using that distribution with each word pair as the weight of a linear layer to calculate a new representation for each input representation. In this way, the input representation possesses global level information about every other token in the sequence not only at the connection between the encoder and decoder, but also at the beginning. This kind of attention is described in the Transformer Paper as "Scaled Dot-Product" (Figure 5.7) and is calculated as follows:

$$Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$$

Where Q is the query of dimension d_k, K are are the keys of dimension d_k and V are the values of dimension d_v.

Another special feature of the Attention Art described in the paper is the fact that not only single attention, i.e. weighted sum values, but Multi-Head Attention (Figure 5.8) is calculated, which means that this mechanism coaculates multiple attention weighted sums. Each of these multiple heads is a linear transformation of the initial representation. This is done so that different parts of the input representation can interact with different parts of the other representation with which it is compared in the vector space. This provides the model to capture different aspects of the input and improve its expressiveness.

Since neither recurrence nor convolution are used in this model, the Transformer takes advantage of *Positional Encodings*, which make it possible to use the order of the sequences. These inhabit the same dimension d_{model} as the embeddings, in order to execute a summation. For these positional encodings the Transformer uses sine and cosine functions with different frequencies:

$$PE_{((pos,2i)} = \sin(\frac{pos}{10000^{\frac{2i}{d_{model}}}})$$

$$PE_{(pos,2i+1)} = \cos(\frac{pos}{10000^{\frac{2i}{d_{model}}}})$$

where pos is the position and i is the dimension. Therefore the resulting wavelengths have a geometric progression from 2π to $1000 \cdot 2\pi$.

5.3 BERT Model

Bidirectional Encoder Representations from Transformer [9], short BERT, is a new language representation model that, unlike previous efforts, which look at text sequences exclusively through pure left-to-right or combined left-to-right and right-to-left, applies bidirectional training of Transformer.

The BERT model exists in two versions. BERT$_{Base}$, which consists of 12 transformer layers, a hidden size of 768 and 12 self-attention heads, whereas BERT$_{Large}$
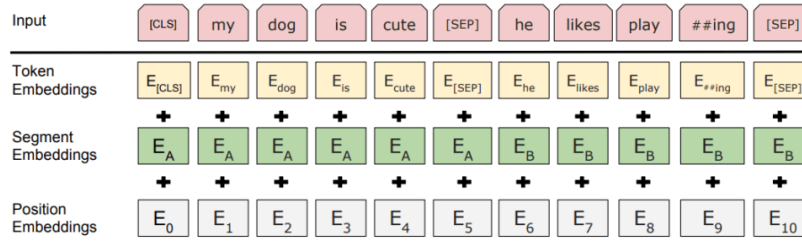
Figure 5.9: BERT Input Representations [9]

consists of 24 layers, a hidden size of 1020 and 16 self-attention heads. In this thesis the $BERT_{Base}$ model was used, since my existing resources would not have been sufficient for the $BERT_{Large}$ model.

5.3.1 Input Representation

The input of the BERT model consists of the sum of token-, segmentation- and position embeddings (Figure 5.9). As it is not possible to consider the order of inputs due to the transformer architecture of the BERT, the model uses the same concept of position embeddings as described in the paper "Attention Is Al You Need" [43]. Here, a sequence length of 512 tokens is possible. The segmentation embeddings are necessary since BERT makes it possible to pass 2 sentence pairs as input. This helps the model to learn a unique embedding for the first and second sentence in order to distinguish between these two sentences. Additionally, the token [CLS] is inserted at the beginning of the first sentence and the token [SEP] at the end of each sentence. Here [CLS] is used as the key corresponding to the output of the transformer as an aggregated sequence representation for classification tasks, while [SEP] is an additional help for the model to distinguish two sentence pairs.

5.3.2 Pre-training and Fine-Tuning

BERT is a pre-trained model, which has been trained on two unsupervised tasks, called *Masked LM* (MLM) and *Next Sentence Prediction*. The idea of pre-training is to pass start values to a neural network, which is to be trained on the basis of a new data set, which were not initialized randomly.

MLM is the main aspect of bidirectional training. In classical Masked Language models, such as Taylor's Cloze task of 1953 [41], models are trained by randomly replacing a certain percentage of words with the token [MASK] and then predicting these words from the model. BERT uses this mechanism by selecting and masking 15% of the tokens evenly and randomly. However, since the problem here is that the model only tries to predict when the token [MASK] is present in the input, which means that the hidden state of the input token may not be as rich as it could be, BERT extends the Masked Language Model. In this extension, 15%

of the tokens are selected randomly, whereby not all selected words are provided with [MASK] any more, but in 10% of the cases, the selected token is replaced by a random word, in further 10% the token remains intact, while in the remaining 80% the token is replaced by [MASK]. This enables the model to realize which information to use by deriving which words are absent.

In addition to ML models, BERT uses *Next Sentence Prediction*, which allows subsequent sentences to be predicted. In this training process, the model receives pairs of sentences as input and learns to predict whether the second sentence in the pair is the following sentence in the original document. Here half of the inputs are a pair in which the second sentence is the next sentence in the original document, while in the remaining 50% a random sentence is chosen as the successor from the corpus. This allows the model to develop an understanding of relationships between two sentences.

Fine-tuning involves the process of using an already pre-trained neural networks for another similar task. This way, it is possible to use the extracted feature of the already trained model without having to develop a feature extraction from scratch on the new model. The authors of the paper claim that it is possible to fine tune the BERT model using a single additional output layer. Different approaches are used for different Language Tasks. In the case of a classification task, like the one in this thesis, the last hidden state [CLS] is used, whereupon a classification layer is added.

5.4 Application

In the official github repository (https://github.com/google-research/bert) both models, BERT_{Base} and BERT_{Large}, were published. This includes the TensorFlow [1] code, pre-trained checkpoints for the lowercase and uppercase versions of BERT_{Base} and BERT_{Large}, as well as the TensorFlow code for replication of the most important fine tuning experiments from the paper. The existing pre-trained models consist of a *.zip* file, which contains The TensorFlow checkpoint for intitializing the pre-trained weights, a vocab file to map WordPiece to word id, as well as a config file, which describes the hyperparameters of the model. Meanwhile many more possibilities are available to use the Bert model, including TensorFlow Hub or a PyTorch [28] interface, whereby in this thesis the original GitHub Code was used. A total of 15 python files have been published on GitHub, of which *_init_.py*, *modeling.py*, *optimization.py*, *tokenization.py* and *run_classifier.py* are required for classification tasks. Here *run_classifier.py* is the main file which has to be executed in order to perform fine-tuning and consists of the fine_tuning code for the BERT model, as well as various classes. These different classes have been implemented specifically for the tasks mentioned in the paper and specify how to access the training-, development- and test data, which labels exist and creates the input examples for the BERT model. Since these classes have

---

Algorithm 14: Create_examples in BERT

Input: self, lines, set_type

1 examples = []
2 **for** *i,line in enumerate(lines)* **do**
3   **if** *i == 0* **then**
4   continue
5   guid = %s-%s" % (set_type, line[0])
6   text_a = line[1]
7   label = line[2]
8   examples.append(InputExample(guid=guid, text_a=text_a,
         text_b=None, label=label))
9 **return** examples

---

to be implemented in a differentiated way, it is therefore necessary to implement a separate class, which can be used within the framework of the data sets available in this thesis. However, it is largely possible to fall back on already implemented classes. For example, of class *ColaProcessor*, only the methods *create_examples* (Algorithm 14), as well as get_labels must be modfied. The class is given a slightly modified version of the combined GroundTruth- and Training datasets, since oly the article content, ids and lablel are required for the to be generated input examples. In addition the BERT model uses .tsv as input by default, therefor an adapted version is transferred here. In the methode *create_examples*, *guid* represents the articles id, *text_a* the content and *label* the corresponding label. In addition, the flags *task_name, do_train, do_eval, data_dir, task_name, vocab_file, bert_config_file, output_dir, init_checkpoint, max_seq_length, train_batch_size, learning_rate* and *num_train_epochs* must be passed when calling the file *run_classifier* (Listing 5.2). Here *task_name* describes, the name of the class processor, *do_train*, if training should be done, *do_eval* if training should be evaluated with a dev set, *data_dir* describes the directory path to the folder of training-, dev- and test data, *vocab_file* describes where the file *vocab.txt* is located, *bert_config_file* where the file *bert_config.json*, *init_checkpoint* in which folder the pre-trained weights *bert_model.ckpt* are located, or, if weights have already been trained, it specifies the path in which they are located, *out_dir*, in which directory path the checkpoints are written, and *max_seq_length* to *num_train_epochs* the hyperparameters of the model.

```
INFO:tensorflow:guid: train-15
INFO:tensorflow:tokens: [CLS] president elect donald trump reached
    agreement united technologies utc keep 1 000 carrier jobs state
    indiana according cn [...] ##ce plan holding event carrier plant
    indiana thursday [SEP]
INFO:tensorflow:input_ids: 101 2343 11322 6221 [...] 24897 24370 10660
    8144 3685 24763 2094 2292 2894 11674 2460 2180 1056 5814 8028 2008
    1055 8667 3959 9432 102 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
    0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
INFO:tensorflow:input_mask: 1 1 1 1 1 1 1 1 [...] 0 0 0 0 0 0 0 0 0 0 0 0
    0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
    0 0 0 0 0
INFO:tensorflow:segment_ids: 0 0 0 0 0 0 0  [...] 0 0 0 0 0 0 0 0 0 0 0 0
    0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
    0 0 0 0 0 0 0 0 0 0 0
INFO:tensorflow:label: True (id = 0)
```

Listing 5.1: TensorFlow Output for InputExampels

```
export BERT_BASE_DIR=/path_to_bert_model/uncased_L-12_H-768_A-12
export DATA_DIR=/path_to_input_data/

export CUDA_VISIBLE_DEVICES=2 && python run_classifier.py \
--task_name=ba \
--do_train=true \
--do_eval=true \
--data_dir=$DATA_DIR \
--vocab_file=$BERT_BASE_DIR/vocab.txt \
--bert_config_file=$BERT_BASE_DIR/bert_config.json \
--init_checkpoint=$BERT_BASE_DIR/bert_model.ckpt \
--max_seq_length=512 \
--train_batch_size=2 \
--learning_rate=3e-5 \
--num_train_epochs=4.0 \
--output_dir=/path_for_output_data/
```

Listing 5.2: Example: Calling *run_classifier* with the required flags

Chapter 6

Evaluation

Chapter 7

Conclusion

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