Target: music

- A: Hey, how are you doing?
- H: I'm well, thanks. Working on a party I'm planning.
- A: I am sitting here listening to **pearl jam**, my favorite **band**.
- H: Super cool! Do you sing? I was just singing in my shower.
- A: No, but I was in jazz band in hs.
- H: Congrats! I love **music** and playing my **guitar** and **violin**.
- A: That's awesome! However, my favorite is **country music**.

Table 7: Case study from self-play simulations on ConvAI2. *A* denotes our model and *H* denotes the base model.

related CKG triplets into utterance representation learning; and 2) we propose an additional keyword matching module to match the predicted keywords with candidate keywords, whereas baselines directly match predicted keywords with candidate utterances.

#### **Keyword-Guided Conversation**

The self-play simulation results for keyword-guided conversation are presented in Table 4. DKRN performs best among all baselines, which can be primarily attributed to its strategy of selecting keyword-related responses. This strategy requires a pool of confident candidates to select from. A larger pool will lead to higher success rate but lower smoothness because potentially less likely candidates can be selected. In all experiments, we set the pool size to 100. Our model also leverages this strategy but instead use weighted path lengths to measure keyword relatedness. Our model outperforms all baselines in both metrics on both datasets. Note that the success rates on ConvAI2 are consistently larger than that on Reddit across all models, which can be partially due to the higher next-turn keyword prediction accuracy on ConvAI2.

The human evaluation results are presented in Table 5. The results for success rate are similar to that in self-play simulations. Among all baselines, DKRN has slightly more robust performance in smoothness on both datasets. Our model obtains consistently better performance in both success rate and smoothness on both datasets, suggesting that our model can select confident candidates that are also related to the target keyword.

## **Model Analysis**

Table 6 presents the ablation study of our model across multiple tasks on the ConvAI2 test set. In both next-turn keyword prediction and keyword-augmented response retrieval, removing concepts representation from our model leads to degraded performance in R@1, suggesting that CKG triplets are helpful in learning the semantic representation of utterances. In keyword-augmented response retrieval, unlike other baselines that do not leverage keywords effectively, our model performs noticeably worse when keywords are removed, showing that our design of matching keywords separately indeed contribute to the overall matching. Finally, we examine the impact of our CKG-guided keyword selection strategy on self-play simulations. The results in Table 6 show that replacing our CKG-based strategy by the embedding-based strategy (??) leads to worse performance in both success rate and number of turns.

# **Case Study**

We present a case study from our self-play simulations in Table 7. Our model can lead the conversation from a starting keyword "party" to the target keyword "music" smoothly and fast.

#### Limitations

One major limitation of existing approaches including ours is the mediocre accuracy of retrieving keyword-related responses (this is different from keyword-augmented response retrieval where the ground-truth responses do not necessarily correlate with the input keywords), which bottlenecks the overall target success rate. In fact, for both DKRN and our model, the target keyword can be successfully selected most of the time during self-play simulations, however, both models can not retrieve the keyword-related responses given the selected target keyword accurately. A potential solution to this problem is to train the keyword-augmented response retrieval model on datasets where input keywords and ground-truth responses are correlated, which is left to future work.

### Conclusion

We study the problem of imposing conversational goals/keywords on open-domain conversational agents. The keyword transition module in existing approaches suffer from noisy datasets and unreliable transition strategy. In this paper, we propose to ground keyword transitions on commonsense and propose two GNN-based models for the tasks of next-turn keyword transition and keywordaugmented response retrieval, respectively. Extensive experiments show that our proposed model obtains substantially better performance on these two tasks than competitive baselines. In addition, the model analysis suggests that CKG triplets and our proposed CKG-guided keyword selection strategy are helpful in learning utterance representation and keyword transition, respectively. Finally, both self-play simulations and human evaluations show that our model can achieve better success rate, reach the target keyword faster, and produce smoother conversations than baselines.

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