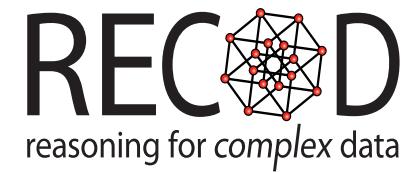


# Adversarial Attacks on Variational Autoencoders

George Gondim-Ribeiro, *Pedro Tabacof & Eduardo Valle*



# WHO AM I?

- ❖ PhD student at the University of Campinas in Brazil
- ❖ Data scientist at Nubank, credit card fintech
- ❖ Co-authored a few other papers on adversarial attacks, mostly during the Masters:
  - Exploring the space of adversarial images, 2016 IJCNN, with Eduardo Valle (55 citations)
  - Adversarial images for variational autoencoders, 2016 NIPS Adversarial Learning workshop, with Julia Tavares and Eduardo Valle (11 citations)
- ❖ Also interested in Bayesian deep learning and uncertainty in machine learning (current research topic)



# ADVERSARIAL IMAGES



# ADVERSARIAL IMAGES

$$\underset{\mathbf{d}}{\text{minimize}} \quad \|\mathbf{d}\|$$

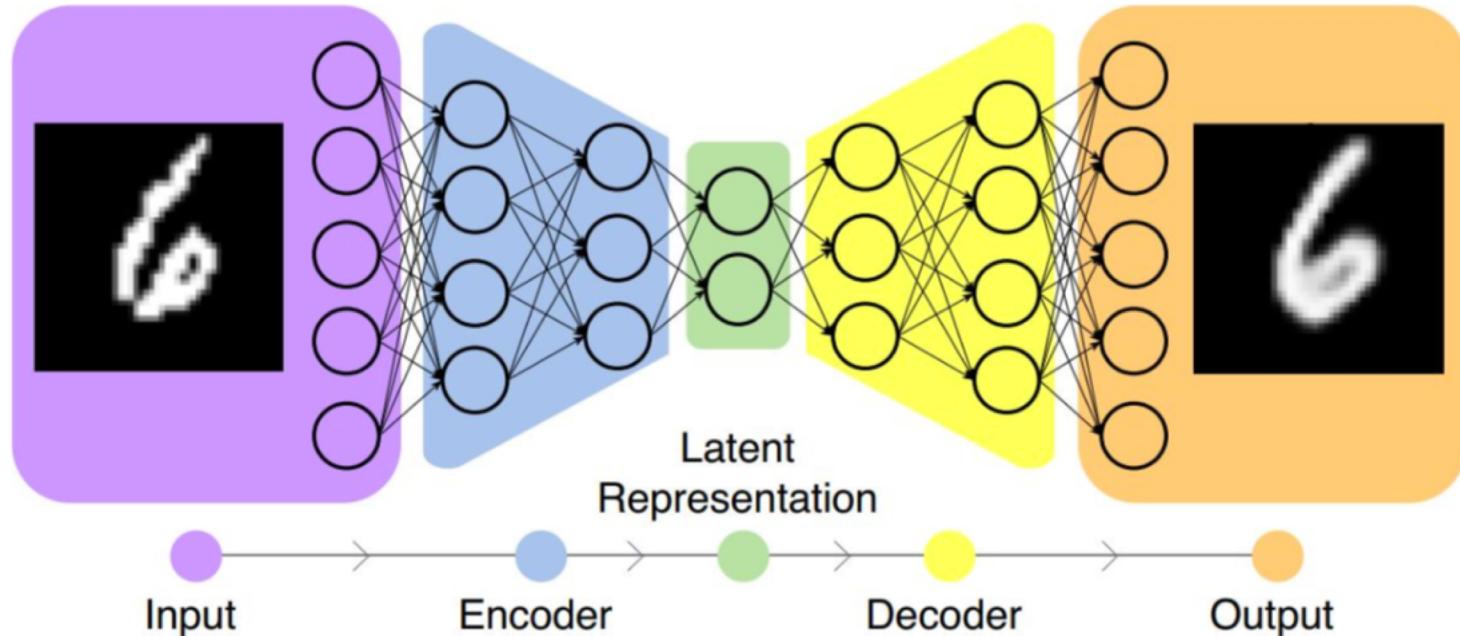
$$\text{subject to} \quad L \leq \mathbf{x} + \mathbf{d} \leq U$$

$$\mathbf{p} = f(\mathbf{x} + \mathbf{d})$$

$$\max(p_1 - p_c, \dots, p_n - p_c) > 0$$

*x is the original image, d is the distortion, x+d is the adversarial input, f is the classifier, p<sub>i</sub> are the scores for each class (where c is the correct class), and L and U are the bounds for the input space.*

# VARIATIONAL AUTOENCODERS



# VARIATIONAL AUTOENCODERS

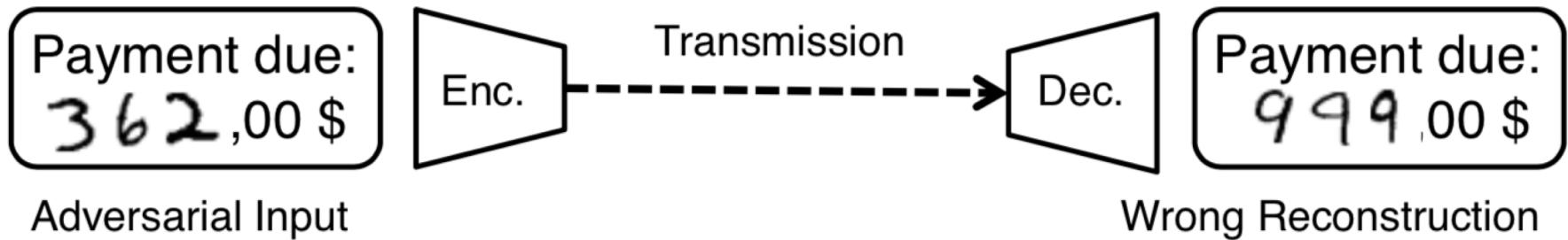
Maximize the Evidence Lower Bound (ELBO)

$$\log p_{\theta}(\mathbf{x}) \geq \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\psi}(\mathbf{x}|\mathbf{z})] - D_{KL}(q_{\phi}(\mathbf{z}|\mathbf{x}) \parallel p(\mathbf{z}))$$

Reconstruction

Regularization

# MOTIVATION

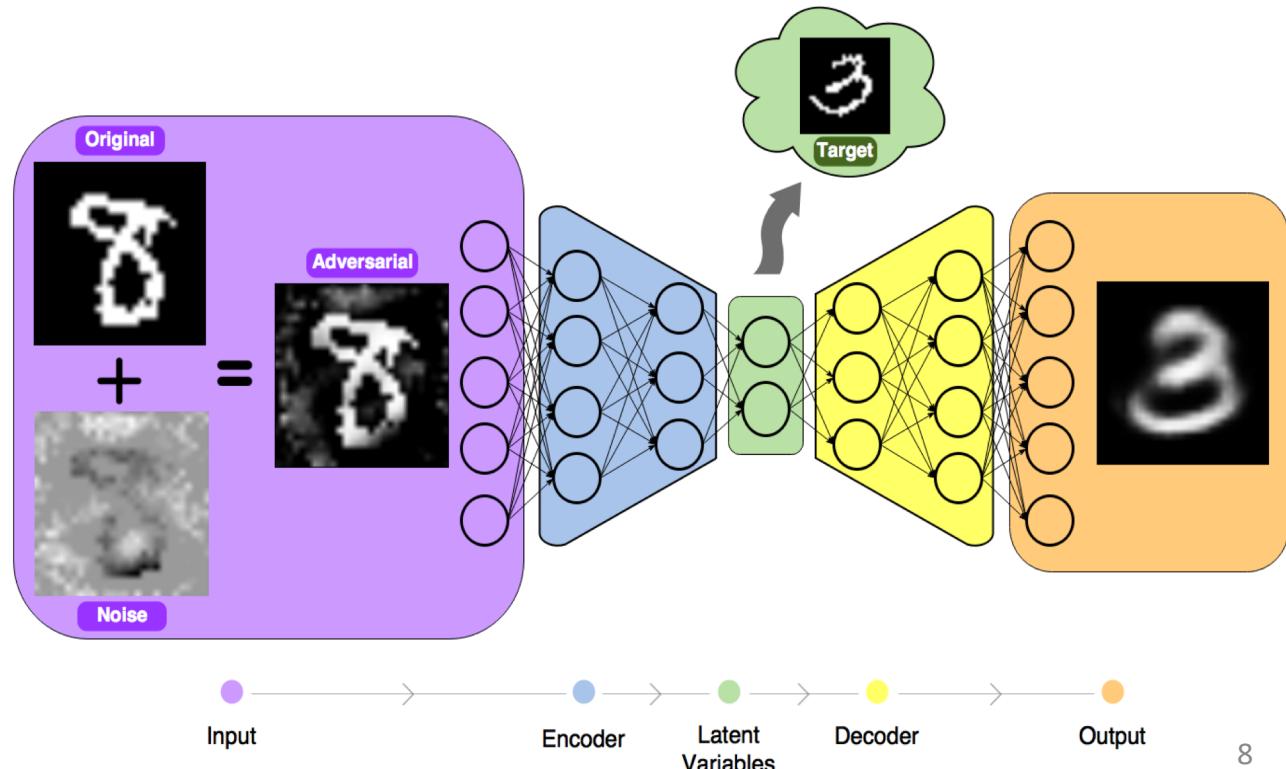


Scenario inspired from *Jernej Kos, Ian Fischer, and Dawn Song. Adversarial examples for generative models. 2017.*

# MAIN IDEA

We attack variational autoencoders with adversarial images. We aim not only to disturb the reconstruction, but also to fool the autoencoder into reconstructing a completely different target image.

We attack the latent representation, attempting to match it to the target image's, while keeping the input distortion as small as possible.



# THE ATTACK

We attack the *latent layer* — which is the information bottleneck of the autoencoder— with the optimization at the right.

The  $\Delta$  function we used was the KL divergence.

$$\begin{aligned} \min_{\mathbf{d}} \quad & \Delta(\mathbf{z}_a, \mathbf{z}_t) + C\|\mathbf{d}\| \\ \text{s.t.} \quad & L \leq \mathbf{x} + \mathbf{d} \leq U \\ \mathbf{z}_a = & \text{encoder}(\mathbf{x} + \mathbf{d}) \end{aligned}$$

# THE ATTACK

We also attack the *output reconstruction* — with the optimization at the right.

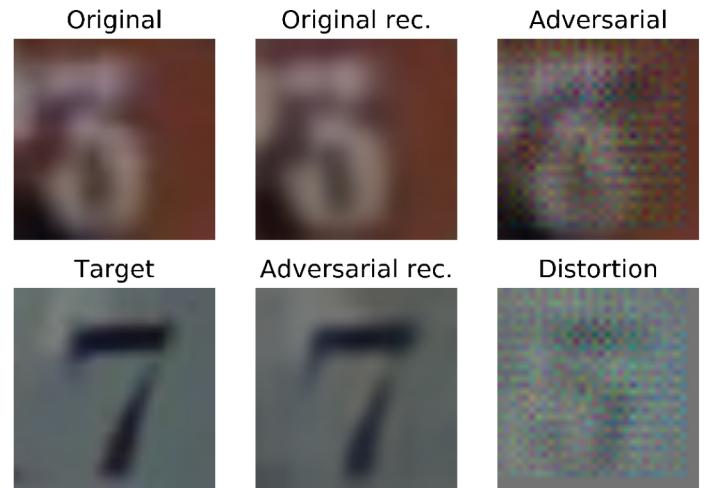
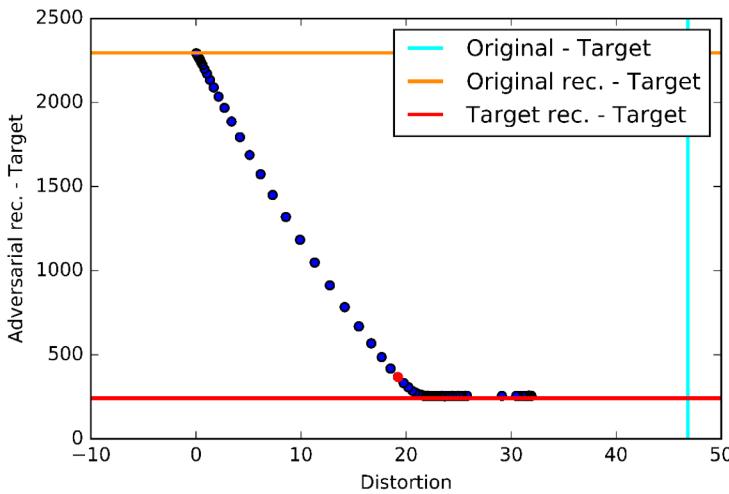
The  $\Delta$  function is the  $\ell_2$ –norm.

$$\begin{aligned} \min_{\mathbf{d}} \quad & \Delta(\mathbf{r}_a, \mathbf{I}_t) + C \|\mathbf{d}\| \\ \text{s.t.} \quad & L \leq \mathbf{x} + \mathbf{d} \leq U, \\ & \mathbf{z}_a = \text{encoder}(\mathbf{x} + \mathbf{d}), \\ & \mathbf{r}_a = \text{decoder}(\mathbf{z}_a) \end{aligned}$$

# THE ATTACK

Decreasing the regularizer C allows for bigger distortions...

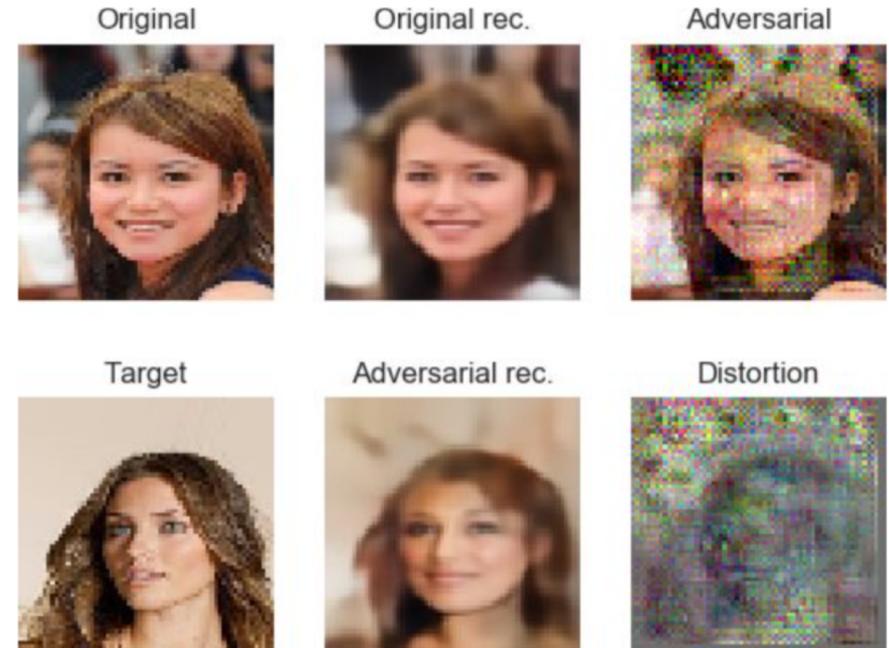
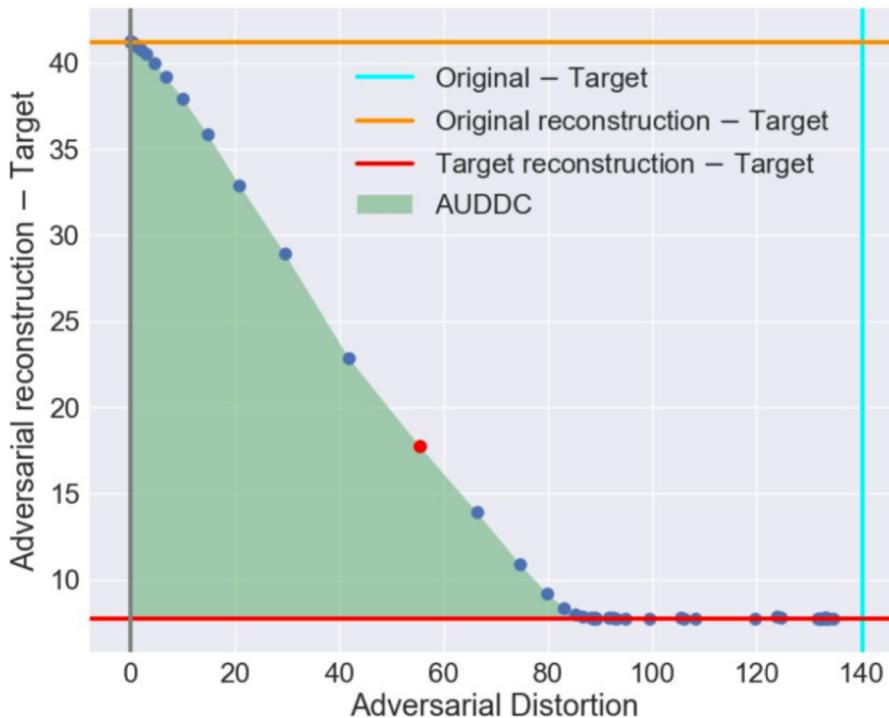
...bringing the adversarial reconstruction closer to the target



# THE METRIC

AUDDC: Area Under the Distortion-Distortion Curve

*From 0 (easiest attack possible) to 100 (hardest attack possible)*



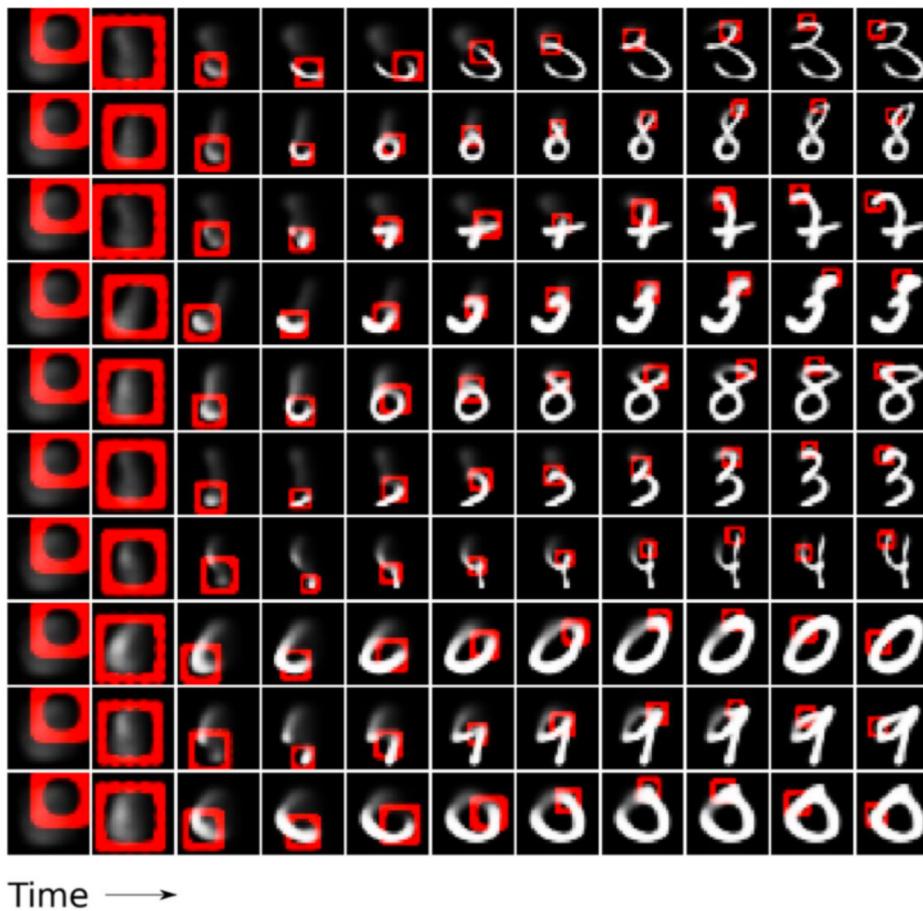
# METHODOLOGY

- Three datasets: MNIST, SVHN, and CelebA



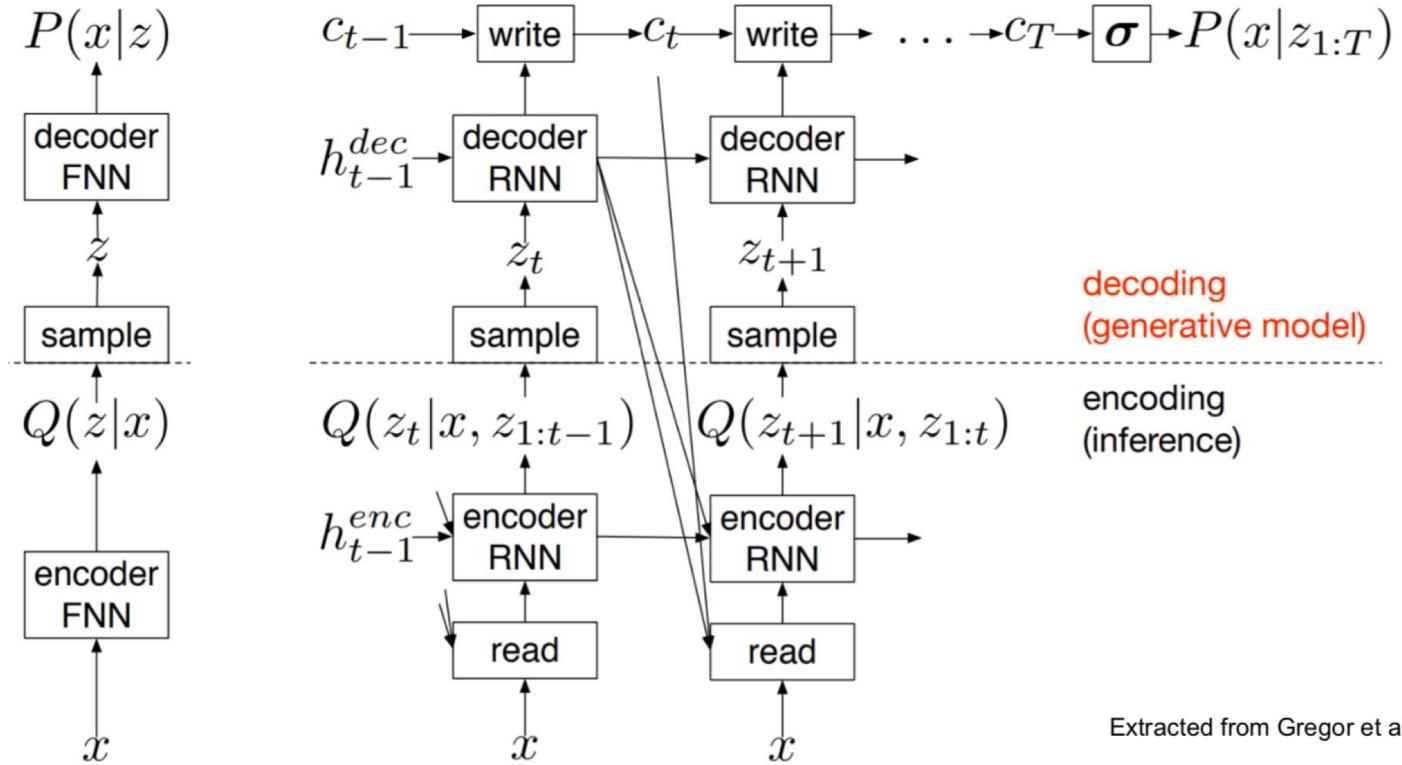
- Models: fully-connected VAEs, convolutional VAEs (CVAE), and DRAW
- A point in the Distortion-Distortion Curve is the average of 128 attacks

# DRAW



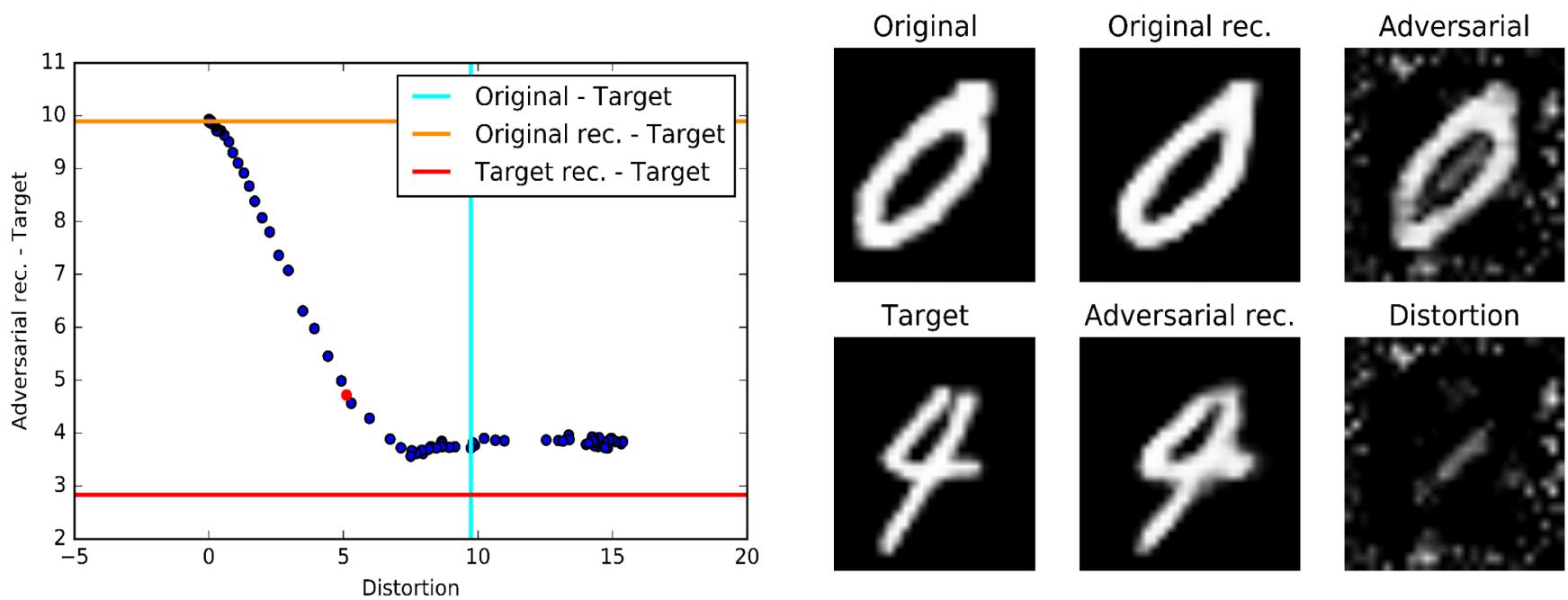
Extracted from Gregor et al., 2015

# DRAW

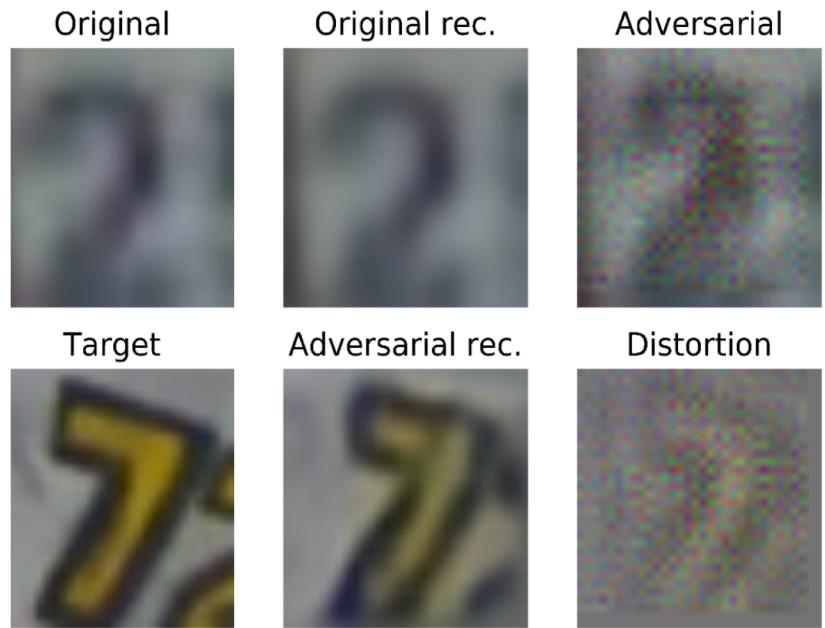
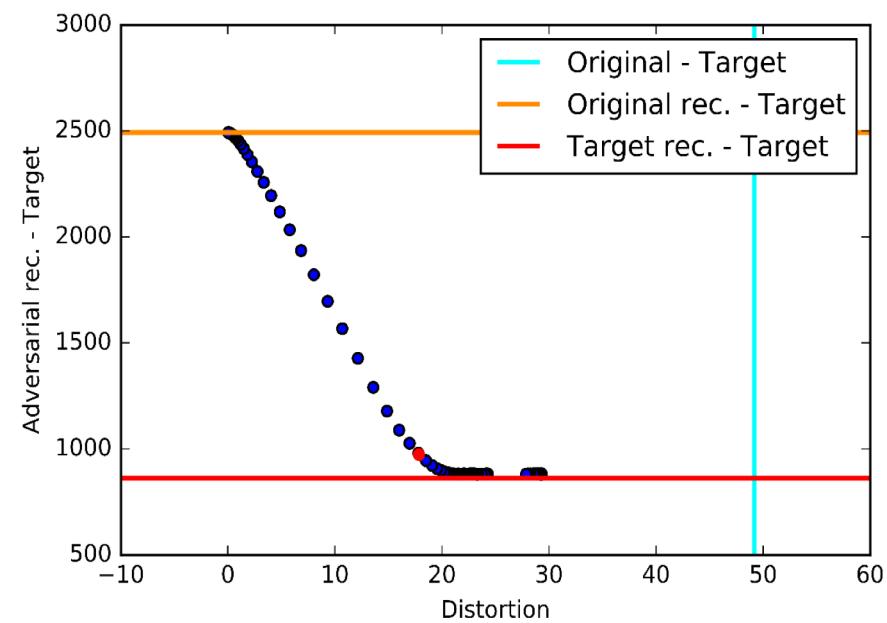


Extracted from Gregor et al., 2015

# MAIN FINDINGS



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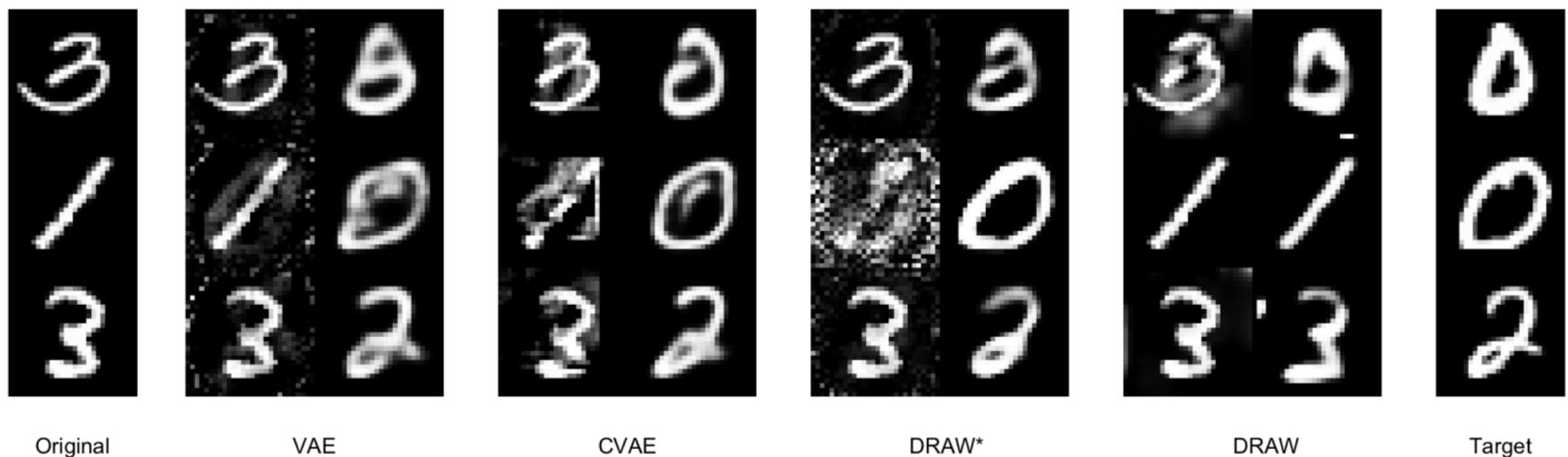


# MAIN FINDINGS

|                                  | VAE    | CVAE   | DRAW*  | DRAW   | DRAW*  | DRAW                 |
|----------------------------------|--------|--------|--------|--------|--------|----------------------|
| Steps                            | —      | —      | 1      | 1      | 16     | 16                   |
| Attacks on latent representation |        |        |        |        |        |                      |
| MNIST                            | 27 ± 2 | 35 ± 3 | 27 ± 1 | 35 ± 3 | 71 ± 5 | <b>91 ± 3</b> 47 ± 3 |
| SVHN                             | 19 ± 1 | 18 ± 1 | 09 ± 1 | 27 ± 2 | 74 ± 6 | <b>96 ± 2</b> 41 ± 4 |
| CelebA                           | 31 ± 1 | 28 ± 1 | 21 ± 2 | 36 ± 1 | 81 ± 4 | <b>97 ± 1</b> 49 ± 4 |
|                                  | 25 ± 1 | 27 ± 2 | 19 ± 2 | 33 ± 1 | 75 ± 3 | <b>95 ± 1</b> 46 ± 2 |
| Attacks on output                |        |        |        |        |        |                      |
| MNIST                            | 35 ± 2 | 56 ± 3 | 38 ± 2 | 48 ± 4 | 29 ± 3 | <b>69 ± 4</b> 46 ± 2 |
| SVHN                             | 19 ± 1 | 19 ± 2 | 13 ± 1 | 27 ± 2 | 21 ± 2 | <b>34 ± 2</b> 22 ± 1 |
| CelebA                           | 27 ± 1 | 24 ± 1 | 31 ± 3 | 35 ± 1 | 29 ± 2 | <b>40 ± 1</b> 31 ± 1 |
|                                  | 27 ± 1 | 33 ± 3 | 27 ± 2 | 37 ± 2 | 26 ± 1 | <b>47 ± 3</b> 33 ± 1 |
| All attacks                      |        |        |        |        |        |                      |
| MNIST                            | 31 ± 2 | 45 ± 3 | 32 ± 2 | 42 ± 3 | 50 ± 5 | <b>80 ± 3</b> 47 ± 2 |
| SVHN                             | 19 ± 1 | 19 ± 1 | 11 ± 1 | 27 ± 1 | 47 ± 7 | <b>65 ± 7</b> 31 ± 2 |
| CelebA                           | 29 ± 1 | 26 ± 1 | 26 ± 2 | 36 ± 1 | 55 ± 6 | <b>68 ± 7</b> 40 ± 2 |
|                                  | 26 ± 1 | 30 ± 2 | 23 ± 1 | 35 ± 1 | 51 ± 4 | <b>71 ± 3</b> 39 ± 1 |

\* Attention mechanism disabled.

# MAIN FINDINGS



\* Attention mechanism disabled.

# MAIN FINDINGS



Original



Fully-connected VAE



DRAW



Target

# CONCLUSIONS

- ✓ We can attack autoencoders with adversarial images, by targeting their internal representations;
- ✓ The attack forces the autoencoder to reconstruct a different image;
- ✓ Autoencoders are, however, robust: success cases are hard to find and must be regularized “by hand”;
- ✓ The attack has a linear “give-and-take”: success in approaching the target output is proportional to the distortion of the input;
- ✓ The proposed metric (AUDDC) correlates well with qualitative results and provides a measure of robustness;
- ✓ DRAW is the most resistant architecture: attention and recurrence hinders the attack.

# Adversarial Attacks on Variational Autoencoders

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