# Formalisation of p-adic L-functions in Lean 3

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#### 4 — Abstract

- This paper decribes formalisation of p-adic L-functions in an interactive theorem prover Lean 3. p-adic L-functions are fundamental
- 6 number theoretic objects. These special functions emerge from the special values they take at negative integers in terms of generalized
- <sup>7</sup> Bernoulli polynomials. We formalise their definition in terms of a p-adic integral with respect to the Bernoulli measure, and prove
- that they take the required values at negative integers.
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- 4 Software: https://github.com/laughinggas/p-adic-L-functions
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## 1 Introduction

We are working on formalising mathematics in an interactive theorem prover called Lean. Formal verification involves the use of logical and computational methods to establish claims that are expressed in precise mathematical terms [1]. Lean is a powerful tool that facilitates formalisation of a system of mathematics supported by a basic set of axioms. There is a large mathematical library of theorems verified by Lean called mathlib, maintained by a community of computer scientists and mathematicians. One can then formally verify proofs of new theorems dependent on preexisting theorems in mathlib mathlib currently contains 100579 theorems(as of early October 2022). It would be impossible to construct such a vast library without a highly collaborative spirit and a communal decentralized effort, one of Lean's best features.

p-adic L-functions are a well studied number theoretic object. They were initially constructed by Kubota and Leopoldt in [3]. Their motivation was to construct a meromorphic function that helps study the Kummer congruence for Bernoulli numbers, and gives information regarding p-adic class numbers. As a result, these functions take twisted values of the Dirichlet L-function at negative integers, and are also related to the generalized Bernoulli numbers and the p-adic zeta function. There are several different ways of constructing p-adic L-functions, we refer to the constructions given in Chapter 12 of [5]. This has never been done before in any theorem prover. As a result, one needs to build a lot of background (in the maximum possible generality) before embarking on the main goal.

We first give a mathematical overview in this section. The following tools are needed to construct p-adic L-functions: Dirichlet characters, Bernoulli numbers and polynomials, locally compact Hausdorff totally disconnected spaces, and the p-adic integers and its topological properties. We introduce these in Section 2, define the p-adic L-function in Section 3, and evaluate it at negative integers in Section 4, finishing with a summary in Section 5.

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### 1.1 Mathematical overview

We give a brief overview of the mathematics formalised in this project. L-functions are a fundamental object, appearing almost everywhere in modern number theory. The Dirichlet L-function associated to a Dirichlet character  $\chi$  is given by

$$L(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_{p \text{ prime}} \frac{1}{1 - \chi(p)p^{-s}}$$

where s is a complex variable with Re(s) > 1. This can be analytically extended to the entire complex plane, with a simple pole at s = 1 when  $\chi = 1$ . Note also that L(s, 1) is the same as the Reimann zeta function. Moreover, it is known that  $L(1 - n, \chi) = -\frac{B_{n,\chi}}{n}$ , where  $B_{n,\chi}$  are the generalized Bernoulli numbers.

In this paper, we construct, for an integer prime p, a p-adic analogue of  $L(s,\chi)$ , called the Kubota-Leopoldt p-adic L-function, denoted  $L_p(s,\chi)$ . This is generally done by continuously extending the function  $L_p(1-n,\chi) := (1-\chi(p)p^{n-1})L(1-n,\chi)$  to the complete p-adic space  $\mathbb{C}_p$ . In fact,  $L_p(s,1)$  is analytic except for a pole at s=1 with residue  $1-\frac{1}{n}$  (Theorem 5.11, [5]).

Formalisation of the p-adic L-functions via analytic continuation was hard, since  $\mathbb{C}_p$  did not exist in mathlib at the time. Following [5], we define it in terms of a "p-adic integral" with respect to the Bernoulli measure. We explain these terms below.

A profinite space is a compact, Hausdorff and totally disconnected space. The p-adic integers  $\mathbb{Z}_p$ , which are the completion of the integers  $\mathbb{Z}$  with respect to the valuation  $\nu_p(p^\alpha\prod_{p_i\neq p}p_i^{\alpha_i})=\alpha$  are a profinite space. One may also think of them as the inverse limit of the discrete topological spaces  $\mathbb{Z}/p^n\mathbb{Z}$ , that is,  $\mathbb{Z}_p=\operatorname{proj}\lim_n\mathbb{Z}/p^n\mathbb{Z}$ . A corollary of this is that  $\mathbb{Z}_p$  has a topological basis of clopen sets (both open and closed),  $(\{x\in\mathbb{Z}_p|x\equiv a(\operatorname{mod}p^n)\})_{n,a}$ , for  $n\in\mathbb{N}$  and  $a\in\mathbb{Z}/p^n\mathbb{Z}$ .

Locally constant functions are those for which the preimage of any set is open. Given a profinite space X and a normed ring R, one can show that the locally constant functions from X to R (denoted LC(X,R)) are dense in the space of continuous functions from X to R (denoted C(X,R)).

Given an abelian group A, a distribution is defined to be an A-linear map from LC(X,A) to A. A p-adic measure  $\phi$  is defined to be a bounded distribution, that is,  $\forall f \in LC(X,R)$ ,  $\exists K > 0$  such that  $||\phi(f)|| \leq K||f||$ , where  $||f|| = \sup_{x \in X} ||f(x)||$ . An example of a p-adic measure is a Bernoulli measure. Given a natural number d coprime to p and a clopen set  $U_{n,a}$  of  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , the characteristic function  $\chi_{n,a}$  (defined to be 1 on  $U_{n,a}$  and 0 otherwise) is a locally constant function. Given a natural number c that is coprime to d and p, we then define the Bernoulli measure  $E_c$  by :

$$E_c(U_{n,a}) := \left\{ \frac{a}{dp^{n+1}} \right\} - c \left\{ \frac{c^{-1}a}{dp^{n+1}} \right\} + \frac{c-1}{2}$$

Given a p-adic measure  $\mu$ , we define the p-adic integral with respect to  $\mu$  to be  $\int f d\mu := \mu(f)$  for any locally constant function f, and extending this definition to C(X,R). In fact, this is an R-linear map.

Finally, the p-adic L-function is defined to be a p-adic integral with respect to the Bernoulli measure. The characterizing property of the p-adic L-function is its evaluation at negative integers :

$$L_p(1-n,\chi) = -(1-\chi\omega^{-n}(p)p^{n-1})\frac{B_{n,\chi\omega^{-n}}}{n}$$

for  $n \ge 1$ . If defined as an analytic continuation, this would follow directly. However, when defined as a p-adic integral, additional work is needed to prove this. The equivalence of these two definitions is proved in Theorem 12.2 of [5]. The same theorem also proves the independence of the definition from the additional variable c.

Our contributions to this theory include a formalised definition of the p-adic L-function in generality, taking values in a normed complete non-Archimedean  $\mathbb{Q}_p$ -algebra, instead of just  $\mathbb{C}_p$ . Further, it takes as input continuous monoid homomorphisms, also known as elements of the weight space. We have also developed an extensive theory for Dirichlet characters, Bernoulli numbers and polynomials, generalized Bernoulli numbers, properties of p-adic integers and modular arithmetic.

#### 1.2 Lean and mathlib

Lean 3 is a functional programming language and interactive theorem prover based on dependent type theory. This project is based on Lean's mathematical library mathlib 3, which is characterized by its decentralized nature with over 300 contributors. Thus, it is impossible to cite every author who contributed a piece of code that we used.

We assume the reader is familiar with structures such as def, abbreviation, lemma, theorem, which are used constantly. An important property of Lean is its typeclass inference system - Lean "remembers" properties given to a structure or class embedded in an instance structure. This is explained in detail in [4]. We shall also use several tactics in proofs, such as rw, apply, conv and refine 1.

#### 2 **Preliminaries**

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#### 2.1 Modular arithmetic and $\mathbb{Z}_n$

Some fundamental objects with which we shall work throughout are the finite spaces  $\mathbb{Z}/n\mathbb{Z}$ . Given  $n \in \mathbb{N}$ ,  $\mathbb{Z}/n\mathbb{Z}$  is the same as fin n, the set of natural numbers upto n. This has a natural group structure, and is given the discrete topology, making it a topological group. Some of the maps used constantly include val:zmod  $n \to \mathbb{N}$ , which takes any element to its smallest nonnegative reprentative less than n; and cast\_hom: zmod n - R, a coercion to a ring, obtained by composing the canonical coercion with val. If R has characteristic dividing n, the map is a ring homomorphism. Given coprime natural numbers m and n, an important equivalence is : chinese\_remainder:zmod (m \* n)  $\simeq$ +\* zmod m \* zmod n. About 30 additional lemmas were required, which have been put in a separate file, zmod\_properties.lean.

We assume p is a prime and d is a positive natural with qcd(d,p)=1. The ring of p-adic integers, denoted  $\mathbb{Z}[p]$ , is the completion of  $\mathbb{Z}$  by the p-adic valuation. It has the following properties:

- As a profinite limit,  $\mathbb{Z}_p = \varprojlim \mathbb{Z}/p^n\mathbb{Z}$ . As a result, one can find (compatible) surjective ring homomorphisms to\_zmod\_pow :  $\mathbb{Z}_{[p]} \rightarrow +*$  zmod (p^n) for all n.
- $\mathbb{Z}_p$  has the profinite topology, induced by the discrete topology on  $\mathbb{Z}/p^n\mathbb{Z}$ . Hence,  $\mathbb{Z}_p$  is a compact, Hausdorff totally disconnected space with a clopen basis of the form  $(\{a+p^n\mathbb{Z}_p\})_{n,a}$  for  $a\in\mathbb{Z}/p^n\mathbb{Z}$ . For p>2,  $\mathbb{Z}_p^\times\simeq (\mathbb{Z}/p\mathbb{Z})^\times\times (1+p\mathbb{Z}_p)^2$ . Also,  $(\mathbb{Z}/d\mathbb{Z})^\times\times \mathbb{Z}_p^\times\simeq (\mathbb{Z}/dp\mathbb{Z})^\times\times (1+p\mathbb{Z}_p)$

These properties characterize the p-adic integers, and are integral to this work. While some preexisted in mathlib, about 40 additional lemmas have been proved in padic\_int\_properties.lean. Moreover, lots of facts regarding the clopen basis given above have been utilized and proved in padic\_int\_clopen\_properties.lean. (add links)

#### Dirichlet characters and the Teichmüller character 2.2

An important task was to formalise Dirichlet characters and its properties. They are an integral part of the definition of the p-adic L-function. The Teichmüller character, especially, plays a significant role. This is novel, in the sense that Dirichlet characters are often not found to be defined in this technical manner in most texts. Another addition is the definition of Dirichlet characters of level and conducor 0. We also generalize the definition from group homomorphisms to C to monoid homomorphisms on any comm\_monoid\_with\_zero. In this section, the words character and Dirichlet character are used interchangeably.

The Dirichlet characters are usually defined as group homomorphisms from  $\mathbb{Z}/n\mathbb{Z}$  to  $\mathbb{C}$  for some natural number n. We can then assign levels to Dirichlet characters and relate Dirichlet characters of different levels, constructing a "compatible" system of characters for certain values of n.

For any two monoids M and M',  $M \to M'$  denotes the type of monoid homomorphisms from M to M'. Thus, making a separate def for Dirichlet characters does not make sense. Instead, we use :

https://leanprover-community.github.io/mathlib\_docs/tactics.html has a full list of tactics in Lean

 $<sup>\</sup>mathbb{Z}_2^{\times} \cong \{\pm 1\} \times (1 + 4\mathbb{Z}_2)$ 

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In other words, given a monoid R, the space of Dirichlet characters of level n is the space of monoid homomorphisms from  $(\mathbb{Z}/n\mathbb{Z})*$  to R\*. Given a Dirichlet character  $\chi:(\mathbb{Z}/n\mathbb{Z})*\to R*$ ,  $\chi$ .lev returns the level n. Note that the linter returns an extra unused argument warning for the latter definition.

Another way of thinking about the Dirichlet characters of level n is to think of them as multiplicative functions on  $\mathbb{Z}$  which are periodic with period dividing n. To incorporate that definition, we defined:

```
noncomputable abbreviation asso_dirichlet_character {R : Type*} [monoid_with_zero R] {n : \mathbb{N}} (\chi : dirichlet_character R n) : zmod n \rightarrow* R := { to_fun := function.extend (units.coe_hom (zmod n)) ((units.coe_hom R) \circ \chi) 0, map_one' := _, map_mul' := _, }
```

Given a Dirichlet character  $\chi$ , asso\_dirichlet\_character  $\chi$  returns a monoid homomorphism from  $\mathbb{Z}/n\mathbb{Z}$  to R, which is  $\chi$  on the units and 0 otherwise. Most of our work is on  $\mathbb{Z}/n\mathbb{Z}$  instead of its units, hence this is a vital definition. The following useful theorem relates the two definitions:

```
lemma asso_dirichlet_character_eq_char {R : Type*} [monoid_with_zero R] {n : \mathbb{N}} (\chi : dirichlet_character R n) (a : units (zmod n)) : asso_dirichlet_character \chi a = \chi a
```

## 2.2.1 Changing levels, primitivity and multiplication

As mentioned earlier, one would like to shift between compatible Dirichlet characters of different levels. For this, we have the following tools:

```
/-- Extends the Dirichlet character \chi of level n to level m, where n | m. -/

def change_level {m : N} (hm : n | m) : dirichlet_character R m :=

\chi.comp (units.map (zmod.cast_hom hm (zmod n)))

/-- \chi_0 of level d factors through \chi of level n if d | n and \chi_0 = \chi o (zmod n \rightarrow zmod d). -/

structure factors_through (d : N) : Prop :=

(dvd : d | n)

(ind_char : \exists \chi_0 : dirichlet_character R d, \chi = \chi_0.change_level dvd)
```

classical.some makes an arbitrary choice of an element from a space, if the space is nonempty, and classical.some\_spec lists down the properties of this random element coming from the space. In particular, we can use classical.some ( $\chi$ .factors\_through d).ind\_char to extract  $\chi_0$ , and classical.some\_spec ( $\chi$ .factors\_through d).ind\_char to get  $\chi = \chi_0$ .change\_level dvd.

With the assistance of a few lemmas, it is easy to translate between change\_level and factors\_through. The notion of primitivity and conductor of a Dirichlet character now follows easily:

```
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       /-- The set of natural numbers for which a Dirichlet character is periodic. -/
145
    def conductor_set : set \mathbb{N} := \{x : \mathbb{N} \mid \chi.\text{factors\_through } x\}
146
     /-- The minimum natural number n for which a Dirichlet character is periodic.
148
       The Dirichlet character \chi can then alternatively be reformulated on \mathbb{Z}/n\mathbb{Z}. -/
149
    noncomputable def conductor : \mathbb{N} := Inf (conductor\_set \chi)
150
151
     /-- A character is primitive if its level is equal to its conductor. -/
152
    def is_primitive : Prop := \chi.conductor = n
153
154
    /-- The primitive character associated to a Dirichlet character. -/
155
```

```
noncomputable def asso_primitive_character : dirichlet_character R \chi.conductor := classical.some (\chi.factors_through_conductor).ind_char
```

Note that our definition of Dirichlet characters holds also for n = 0, ie, on  $\mathbb{Z}$ . This is easily separated from the rest by the lemma :

```
{\tt lemma} \  \, {\tt conductor\_eq\_zero\_iff\_level\_eq\_zero} \  \, : \  \, \chi.{\tt conductor} \, = \, 0 \, \leftrightarrow \, n \, = \, 0
```

An issue with the definition is\_primitive is that it equates the levels of of two Dirichlet characters, however, this does not imply the types are equal. As an example, consider natural numbers a and b such that a = b. Then Lean does not identify dirichlet\_character R a and dirichlet\_character R b as the same. In fact, it is best avoided to make lemmas about equality of types. One way to deal with this is to use the subst tactic, which substitutes a with b everywhere, however, this does not work here. Also, we can show that they are multiplicatively equivalent (this is a bijection which preserves multiplication):

```
/-- If m = n are positive natural numbers, then their Dirichlet character spaces are equivalent. -/ def equiv \{a\ b\ :\ \mathbb{N}\}\ (h\ :\ a\ =\ b)\ : dirichlet_character R a \simeq * dirichlet_character R b
```

This is especially problematic when we must prove a theorem for a general n, because, for n = 0, Lean does not automatically identify zmod n with  $\mathbb{Z}$ . Once we have the notion of primitivity, we can define multiplication of Dirichlet characters. Traditionally, this is defined only for primitive characters. We extend the definition for any two characters:

```
\begin{array}{l} \textbf{noncomputable def mul } \{\texttt{m n} : \mathbb{N}\} \ (\chi_1 : \texttt{dirichlet\_character R n}) \\ (\chi_2 : \texttt{dirichlet\_character R m}) := \\ \texttt{asso\_primitive\_character (change\_level } \chi_1 \ (\texttt{dvd\_lcm\_left n m}) * \\ \texttt{change\_level } \chi_2 \ (\texttt{dvd\_lcm\_right n m})) \end{array}
```

This takes as input characters  $\chi_1$  and  $\chi_2$  of levels n and m respectively, and returns the primitive character associated to  $\chi'_1\chi'_2$ , where  $\chi'_1$  and  $\chi'_2$  are obtained by changing the levels of  $\chi_1$  and  $\chi_2$  to nm.

## 2.2.2 Additional properties

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Finally, one needs the notion of odd and even characters. A character  $\chi$  is odd if  $\chi(-1) = -1$ , and even otherwise (in this case,  $\chi(-1) = 1$ ). If the target is a commutative ring, then any character is either odd or even:

```
lemma is_odd_or_is_even {S : Type*} [comm_ring S] [no_zero_divisors S] {m : \mathbb{N}} (\psi : dirichlet_character S m) : \psi.is_odd \vee \psi.is_even
```

The proof is simple:  $\psi(-1)^2 = 1$  must imply that  $\psi(-1)^2 - 1^2 = (\psi(-1) - 1)(\psi(-1) + 1)$ . This lemma, called sq\_sub\_sq is where commutativity of the ring is needed. To conclude that one of the factors must be 0, we need the lemma mul\_eq\_zero, which requires that S has no zero divisors. An important consequence of this is:

```
lemma asso_odd_dirichlet_character_eval_sub (x : zmod m) (h\psi : \psi.is_odd) : asso_dirichlet_character \psi (m - x) = -(asso_dirichlet_character \psi x) lemma asso_even_dirichlet_character_eval_sub (x : zmod m) (h\psi : \psi.is_even) : asso_dirichlet_character \psi (m - x) = (asso_dirichlet_character \psi x)
```

Other important properties include:

```
/-- Dirichlet characters are continuous. -/ lemma dirichlet_character.continuous {R : Type*} [monoid R] [topological_space R] {n : \mathbb{N}} (\chi : dirichlet_character R n) : continuous \chi
```

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```
/-- Associated Dirichlet characters are continuous. -/
    lemma dirichlet_character.asso_dirichlet_character_continuous
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       {R : Type*} [monoid_with_zero R] [topological_space R] {n : N}
210
       (\chi : dirichlet\_character R n) : continuous (asso_dirichlet\_character <math>\chi)
     /-- Associated Dirichlet characters are bounded. -/
212
    lemma dirichlet_character.asso_dirichlet_character_bounded {R : Type*}
213
       [monoid_with_zero R] [normed_group R] \{n : \mathbb{N}\} [fact (0 < n)]
214
       (\chi: dirichlet\_character R n): \exists M: \mathbb{R},
215
       \|(\langle 	ext{asso\_dirichlet\_character } \chi, \; \chi. 	ext{asso\_dirichlet\_character\_continuous} 
angle :
         C(zmod n, R)) | < M
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```

In the last lemma, the norm is the sup norm on  $C(\mathbb{Z}/n\mathbb{Z}, R)$ . These are all the ingredients we needed. Let us now define a special Dirichlet character, the Teichmüller character.

### 2.2.3 Teichmüller character

The initial effort was to formalise the definition of the Teichmüller character directly. However, it was discovered that Witt vectors, and in particular Teichmüller lifts had previously been added to mathlib. This was used and was very helpful. It reiterates the importance of the collaborative spirit of Lean, and of making definitions in the correct generality.

It is beyond the scope of this text to define Witt vectors and do it justice. For a commutative ring R and a prime number p, one can obtain a ring of Witt vectors  $\mathbb{W}(R)$ . When we take  $R = \mathbb{Z}/p\mathbb{Z}$ , we get that

```
\frac{^{227}}{^{228}} \quad \frac{\text{def equiv}}{} : \mathbb{W} \text{ (zmod p)} \simeq +* \mathbb{Z}_[p]
```

One also obtains the Teichmüller lift  $R \to \mathbb{W}(R)$ . Given  $r \in R$ , the 0-th coefficient is r, and the other coefficients are 0. This map is a multiplicative monoid homomorphism and is denoted teichmuller.

Note that given a multiplicative homomorphism  $R \to *$  S for monoids R and S, one can obtain a multiplicative homomorphism units  $R \to *$  units S. This translation is done by units.map. Combining this with the previous two definitions, we obtain our definition of the Teichmüller character from  $(\mathbb{Z}/p\mathbb{Z})^{\times} \to \mathbb{Z}_p$ :

Often we shall view this as taking values in a  $\mathbb{Q}_p$ -algebra R, by composing it with the algebra map  $algebra_map$   $\mathbb{Z}_[p]$  R, which identifies elements of  $\mathbb{Q}_p$  in R.

One of the important properties of Teichmüller characters is that for odd primes p, the character is primitive:

```
lemma is_primitive_teichmuller_character_zmod_p (p : N) [fact (nat.prime p)]
(hp : 2 < p) : (teichmuller_character_mod_p p).is_primitive
```

The proof is as follows: The conductor must divide the level p, hence it must be 1 or p. Thus it suffices to prove that for 2 < p, the conductor is not 1. The result then follows from: The proof of the latter uses the fact that the Teichmüller character is injective (follows from properties of the Teichmüller lift), while 1 is not. For p = 2, we know the Teichmüller character is 1:

```
lemma teichmuller_character_mod_p_two : teichmuller_character_mod_p 2 = 1
```

## 2.3 Bernoulli polynomials and the generalized Bernoulli number

The Bernoulli polynomials, an important number theoretic object, are a generalization of Bernoulli numbers. They occur as special values of p-adic L-functions. The Bernoulli numbers  $B_n$  are generating functions given by :

$$\sum B_n \frac{t^n}{n!} = \frac{t}{e^t - 1}$$

Note that several authors think of Bernoulli numbers  $B'_n$  to be defined as:

$$\sum B_n' \frac{t^n}{n!} = \frac{t}{1 - e^{-t}}$$

The difference between these two is :  $B'_n = (-1)^n B_n$ , with  $B_1 = -\frac{1}{2}$ . On using the Taylor series expansion for  $e^t$  and equating coefficients, one gets,

$$B_n = 1 - \sum_{k=0}^{n-1} \binom{n}{k} \frac{B_k}{n-k+1}$$

In mathlib,  $B_n$  was already defined (by Johan Commelin) as:

```
bernoulli' n = 1 - \Sigma k : fin n, n.choose k / (n - k + 1) * bernoulli' k
```

However, we needed  $B'_n$ , which was then defined as:

```
\mathtt{def} bernoulli (n : \mathbb{N}) : \mathbb{Q} := (-1)^n * bernoulli' n
```

The Bernoulli polynomials, denoted  $B_n(X)$ , a generalization of the Bernoulli numbers, are generating functions:

$$\sum_{n=0}^{\infty} B_n(X) \frac{t^n}{n!} = \frac{te^{tX}}{e^t - 1}$$

A calculation similar to the one above gives :

$$B_n(X) = \sum_{i=0}^n \binom{n}{i} B_i X^{n-i}$$

We now define the Bernoulli polynomials as:

```
def polynomial.bernoulli (n : \mathbb{N}) : polynomial \mathbb{Q} := \Sigma i in range (n + 1), polynomial.monomial (n - i) ((bernoulli i) * (choose n i))
```

Here, polynomial.monomial n a translates to  $aX^n$ . A small aspect of this naming convention is that if the namespaces for Bernoulli numbers and polynomials are both open (which is often the case), in order to use the Bernoulli numbers, one needs to use  $\_root\_.bernoulli$ . We shall use them interchangeably here, when the context is clear.

### 2.3.1 Properties

The following properties of Bernoulli polynomials were proved:

1.  $B_0(X) = 1$ :

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```
lemma polynomial.bernoulli_zero : bernoulli 0 = 1
```

For a polynomial f over a ring R, f.eval x translates to f(x).

**2.**  $B_n(0) = B_n$ :

```
lemma polynomial.bernoulli_eval_zero (n : N) :
(bernoulli n).eval 0 = bernoulli n
```

3.  $B_n(1) = B'_n$ :

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```
lemma polynomial.bernoulli_eval_one (n : N) :
(bernoulli n).eval 1 = bernoulli' n
```

The theorem power\_series.mk (abbreviated as mk) defines a formal power series in terms of its coefficients, that is,  $\sum_{n=0}^{\infty} a_n X^n$  translates to mk ( $\lambda$  n, a\_n).

**4.** For a commutative  $\mathbb{Q}$ -algebra A and  $t \in A$ ,

$$\left(\sum_{n=0}^{\infty} B_n(t) \frac{X^n}{n!}\right) (e^X - 1) = Xe^{tX} :$$

```
theorem polynomial.bernoulli_generating_function (t : A) :

mk (\lambda n, aeval t ((1 / n! : \mathbb{Q}) \cdot bernoulli n)) * (exp A - 1) = X * rescale t (exp A)
```

The symbol · represents scalar multiplication of  $\mathbb{Q}$  on  $\mathbb{Q}$ -polynomials. The exponential function  $e^x$ , which is defined as a Taylor series expansion, takes as input A, but not x. In order to define  $e^{tx}$ , we need to use (the ring homomorphism) rescale y, which, for an element y of a commutative semiring B, takes a formal power series over B, say f(X), to f(yX).

The proof of the last theorem involves equating the  $n^{th}$  coefficients of the RHS and the LHS. After differentiating between n zero and nonzero, one requires the following lemma to complete the nonzero case:

```
theorem polynomial.sum_bernoulli (n : \mathbb{N}) : 
 \Sigma k in range (n + 1), ((n + 1).choose k : \mathbb{Q}) · bernoulli k = polynomial.monomial n (n + 1 : \mathbb{Q})
```

The proof of this theorem follows from the following property of Bernoulli numbers :

```
theorem sum_bernoulli (n : \mathbb{N}):
\Sigma \text{ k in range n, (n.choose k : } \mathbb{Q}) \text{ * bernoulli k = if n = 1 then 1 else 0}
```

This follows from the analogous theorem sum\_bernoulli', whose proof follows from rearranging sums and the definition of bernoulli'.

In order to prove properties of generalized Bernoulli numbers, we needed the following theorem:

```
/-- Bernoulli polynomials multiplication theorem :

For k \ge 1, B_m(k*x) = \Sigma i in range k, B_m(x + i / k). -/

theorem bernoulli_eval_mul' (m : \mathbb{N}) {k : \mathbb{N}} (hk : k \ne 0) (x : \mathbb{Q}) :

(bernoulli m).eval ((k : \mathbb{Q}) * x) =

k^n = 1 : \mathbb{Z} * \Sigma i in range k, (bernoulli m).eval (x + i / k)
```

There were several different approaches to the proof. Induction on any of the 3 variables did not work out either. We did end up with a proof using Faulhaber's theorem (the proof has been formalised in Lean) for  $x:\mathbb{N}$ , which could not be easily generalized to  $x:\mathbb{Q}$ . Finally, we used the generating function equality, which makes the proof calculation heavy. That is, it suffices to show that

$$\sum_{n} \sum_{i=0}^{k-1} \frac{k^{n-1}}{n!} B_n \left( x + \frac{i}{k} \right) = (e^{kx} - 1) \sum_{n} \frac{B_n(kx)}{n!}$$

We can now define the generalized Bernoulli numbers, the special values p-adic L-functions take at negative integers.

## 2.3.2 Generalized Bernoulli numbers

Given a primitive Dirichlet character  $\chi$  of conductor f, let us now define the generalized Bernoulli numbers (section 4.1, [5]):

$$\sum_{n=0}^{\infty} B_{n,\chi} \frac{t^n}{n!} = \sum_{a=1}^{f} \frac{\chi(a)te^{at}}{e^{ft} - 1}$$

For any multiple F of f, Proposition 4.1 of [5] gives us

$$B_{n,\chi} = F^{n-1} \sum_{a=1}^{F} \chi(a) B_n \left(\frac{a}{F}\right)$$

This is much easier to work with, modulo a dependency on the argument F. Taking F = f, we chose to formalise this to be our definition in Lean:

```
def general_bernoulli_number {S : Type*} [comm_semiring S] [algebra \mathbb Q S] {n : \mathbb N} (\psi : dirichlet_character S n) (m : \mathbb N) : S := (algebra_map \mathbb Q S ((\psi.conductor)^(m - 1 : \mathbb Z)))*(\Sigma a in finset.range \psi.conductor, asso_dirichlet_character (asso_primitive_character \psi) a.succ * algebra_map \mathbb Q S ((polynomial.bernoulli m).eval (a.succ / \psi.conductor : \mathbb Q)))
```

This definition is for all characters, contrary to the typical definition, which is only for primitive characters. Note that the sum ranges from 0 to f. This does not make a difference since the values of the summand at 0 and f are the same (zero). Also note that the Dirichlet character  $\psi$  takes values in a general commutative semiring (explain semiring) which is a  $\mathbb{Q}$ -algebra, and (the ring homomorphism) algebra\_map  $\mathbb{Q}$  S identifies elements of  $\mathbb{Q}$  in S. One had to also explicitly mention that m-1 must be taken to have type  $\mathbb{Z}$ , since Lean would otherwise infer it to have type  $\mathbb{N}$ , which might have caused errors (subtraction on  $\mathbb{N}$  and  $\mathbb{Z}$  are different). Automatically, the power changes from nat.pow to zpow. Finally, note that the primitive Dirichlet character associated to  $\psi$  was chosen; we would have obtained incorrect values if we chose  $\psi$ .asso\_dirichlet\_character instead.

The following are some important properties of generalized Bernoulli numbers:

```
/-- B_{n,1} = B_n, where 1 is the trivial Dirichlet character of level 1. -/ lemma general_bernoulli_number_one_eval \{n:\mathbb{N}\}: general_bernoulli_number (1 : dirichlet_character S 1) n = algebra_map \mathbb{Q} S (bernoulli'n) /-- Showing that the definition of general_bernoulli_number is independent of F, where F is a multiple of the conductor. -/ lemma eq_sum_bernoulli_of_conductor_dvd \{F:\mathbb{N}\} [hF : fact (0 < F)] (m : \mathbb{N}) (h : \psi.conductor | F) : general_bernoulli_number \psi m = (algebra_map \mathbb{Q} S) (F^(m - 1 : \mathbb{Z})) * (\Sigma a in finset.range F, asso_dirichlet_character \psi.asso_primitive_character a.succ * algebra_map \mathbb{Q} S ((polynomial.bernoulli m).eval (a.succ / F : \mathbb{Q})))
```

The latter lemma proves Proposition 4.1 of [5]. An important ingredient of the proof is :

```
/-- '\Sigma_{a = 0}^{m*n - 1} f a = \Sigma_{i = 0}^{n - 1} (\Sigma_{a = m*i}^{m*(i + 1)} fa)'. -/ lemma finset.sum_range_mul_eq_sum_Ico {m n : N} (f : N \rightarrow S) : \Sigma a in finset.range (m * n), f a = \Sigma i in finset.range n, (\Sigma a in finset.Ico (m * i) (m * i.succ), f a)
```

The proof is as follows: since F is a multiple of f, we use the above lemma to unfold the RHS, and eval\_bernoulli\_mul' (discussed in the previous section) to expand the LHS. The hypothesis that F > 0 is needed so we can cancel the  $f^{m-1}$  terms from both sides. Moreover, this theorem is false for F = 0, since there is no dependency of the LHS on F.

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An important property of these numbers is (from Proposition 7.2 of [5]):

$$\lim_{n \to \infty} \frac{1}{dp^n} \sum_{0 < a < dp^n; (a, dp) = 1} \chi \omega^{-m}(a) a^m = (1 - \chi \omega^{-m}(p) p^{m-1}) B_{m, \chi \omega^{-m}}$$

This is used heavily in the proof of the special values of the p-adic L-functions theorem (cite). We shall discuss its proof in Section 4 (link).

## 2.4 Filters and convergence

None of the mathematical proofs require filters on paper, however, we find that working with them makes formalising these proofs significantly less cumbersome. We shall not delve into the details of what a filter is, but instead explain how we use them to formalise convergence and limits.

Often, we have expressions of the form  $\lim_{n\to\infty} f_n(x) = a$  for a sequence of functions  $(f_n)_n$ . This is represented in Lean as:

```
filter.tendsto (\lambda n : \mathbb{N}, f_n) filter.at_top (nhds a)
```

Here, filter.at\_top (for the naturals) is the filter on  $\mathbb{N}$  generated by the collection of up-sets  $\{b|a \leq b\}$  for all  $a \in \mathbb{N}$ .

There is a large library of lemmas regarding filter.tendsto in mathlib. Some important properties that we use frequently include:

```
376
        lemma filter.tendsto.const_mul \{M : Type\} \{\alpha : Type u_1\} \{\beta : Type\}
377
         [topological_space lpha] [has_mul M lpha] [has_continuous_const_mul M lpha]
378
        \{f: \beta \to \alpha\} \{1: filter \beta\} \{a: \alpha\} (hf: tendsto f l (nhds a)) (c: M):
        tendsto (\lambda x, c * f x) l (nhds (c * a))
        lemma filter.tendsto.add \{\alpha : \text{Type}\}\ \{M : \text{Type u_1}\}\ [topological_space M]
381
         [has_add M] [has_continuous_add M] {f g : \alpha \rightarrow M} {x : filter \alpha} {a b : M},
382
         (hf : tendsto f x (nhds a)) (hg : tendsto g x (nhds b)) :
383
        tendsto (\lambda (x : \alpha), f x + g x) x (nhds (a + b))
        lemma filter.tendsto_congr {\alpha : Type} {\beta : Type u_1} {f_1 f_2 : \alpha \rightarrow \beta}
385
        \{l_1 : filter \alpha\} \{l_2 : filter \beta\} (h : \forall (x : \alpha), f_1 x = f_2 x) :
386
        tendsto f_1 l_1 l_2 \leftrightarrow tendsto f_2 l_1 l_2
        {\tt lemma} \ \ {\tt tendsto\_zero\_of\_tendsto\_const\_smul\_zero} \ \ [{\tt algebra} \ \ \mathbb{Q}\_[{\tt p}] \ \ {\tt R}] \ \ \{{\tt f} \ : \ \mathbb{N} \ \to \ {\tt R}\}
388
        {1 : filter \mathbb{N}} {c : \mathbb{Q}_{p}} (hc : c \neq 0) (hf : tendsto (\lambda y, c · f y) 1 (hds 0)):
389
        tendsto (\lambda x, f x) 1 (nhds 0)
        lemma filter.tendsto_congr' {\alpha : Type} {\beta : Type u_1} {f_1 f_2 : \alpha \rightarrow \beta}
391
        \{l_1 : filter \alpha\} \{l_2 : filter \beta\} (h : f_1 = [l_1] f_2) :
392
        tendsto f_1 l_1 l_2 \leftrightarrow tendsto f_2 l_1 l_2
393
```

The last lemma is particularly useful. it shows that sequences that are eventually equal (the same after finitely many elements) have the same limit. In particular, given  $f_1$   $f_2$ :  $\mathbb{N} \to \mathbb{R}$ ,  $f_1 = [at_top]$   $f_2$  is equivalent to saying that  $\exists$  (a :  $\mathbb{N}$ ),  $\forall$  (b :  $\mathbb{N}$ ),  $b \ge a$ ,  $f_1$   $b = f_2$  b.

We aim to use these lemmas as much as possible in order to avoid messy calculations with inequalities on norms. The only places they are not used are when it is necessary to deal with the inequalities, specifically when the non-Archimedean condition on the ring needs to be used.

# **3** Construction of the p-adic L-function

## 3.1 Profinite spaces

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## 3.1.1 Density of locally constant functions

In Proposition 12.1 of [5], Washington defines the p-adic integral on  $C(X, \mathbb{C}_p)$ , the Banach space of continuous functions from a profinite space X to  $\mathbb{C}_p$ . This is an extension of a function defined on a dense subset of  $C(X, \mathbb{C}_p)$ , the locally constant functions from X to  $\mathbb{C}_p$ . In fact, for any compact Hausdorff totally disconnected space X and a commutative normed ring A, LC(X, A) is a dense subset of C(X, A).

The mathematical proof is the following: For  $f \in C(X,A)$ , we want to prove that, for any  $\epsilon > 0$ , we have  $R = \bigcup_{x \in R} B(x,\epsilon)$ . Since X is compact, one can find finitely many open sets  $U_1, \ldots, U_n$  such that  $U_i = f^{-1}(B(x_i,\epsilon))$  for  $x_1, \ldots, x_n$  in R. One needs the fact that compact Hausdorff totally disconnected spaces have a clopen basis. We then find a finite set of disjoint clopen sets  $C_1, \ldots, C_m$  which form a basis, such that each  $C_j$  is contained in some  $U_i$ . Then, we pick elements  $a_1, \ldots, a_m$  in  $C_1, \ldots, C_m$ , and construct the locally constant function  $g(x) := \sum_{j=1}^m f(a_j)\chi_{C_j}(x)$ , where  $\chi_U$  is the characteristic (locally constant) function taking value 1 on every element of U and 0 otherwise. It then follows that  $||f-g|| = \sup_{x \in X} ||f(x) - g(x)|| < \epsilon$ , as required.

formalising this took about 500 lines of code. Let us show that locally compact Hausdorff totally disconnected spaces have a clopen basis :

```
lemma loc_compact_Haus_tot_disc_of_zero_dim {H : Type*} [topological_space H]
[locally_compact_space H] [t2_space H] [totally_disconnected_space H] :
   is_topological_basis {s : set H | is_clopen s}
```

The mathematical proof is: We want to show that for every  $x \in H$  and open set U such that  $x \in U$ , there exists a clopen set C such that  $x \in C$  and  $C \subseteq U$ . Since H is a locally compact space, we can find a compact set s such that  $s \in C$  and  $s \in$ 

```
lemma compact_exists_clopen_in_open \{x:\alpha\} \{U:set\ \alpha\} (is_open: is_open U) (memU: x\in U): \exists (V:set\ \alpha) (hV: is_clopen V), x\in V\ \land\ V\subseteq U
```

This implies that we can find a clopen set  $V \subseteq$  (interior s) of s, with  $x \in V$ . Since V is closed in s(compact, hence closed), V is closed in H. Since  $V \subseteq$  (interior s) is open in s, hence in (interior s), V is open in H, thus we are done.

This turned out to be harder to formalise than expected. Lean gives a subset V of s

(compact\_space  $s \longleftrightarrow is\_compact$  (s:set H)) the type V:set s; however, Lean does not recognize V as a subset of H. As a result, I must construct V':set H to be the image of V under the closed embedding coe:s  $\to$  H. This process must be repeated each time a subset of H, which is also a topological subspace, is considered. Finally, it must be shown that all these coercions match up in the big topological space H.

## 3.1.2 Clopen sets of the p-adic integers

As mentioned before,  $\mathbb{Z}_p$  is a profinite space. Since it is the inverse limit of finite discrete topological spaces  $\mathbb{Z}/p^n\mathbb{Z}$  for all n, it has a clopen basis of the form  $U_{a,n}:=proj_n^{-1}(a)$  for  $a\in\mathbb{Z}/p^n\mathbb{Z}$ , where  $proj_n$  is the canonical projection ring homomorphism to\_zmod\_pow n: $\mathbb{Z}_[p] \to +*$  zmod (p^n).

We first define the collection of sets  $(U_{a,n})_{a,n}$ :

```
def clopen_basis : set (set \mathbb{Z}_{p}) :=
{x : set \mathbb{Z}_{p} | \exists (n : \mathbb{N}) (a : zmod (p^n)),

x = set.preimage (padic_int.to_zmod_pow n) {a} }
```

We now want to show that clopen\_basis forms a topological basis and that every element is clopen:

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```
theorem clopen_basis_clopen :

topological_space.is_topological_basis (clopen_basis p) ∧

∀ x ∈ (clopen_basis p), is_clopen x
```

The mathematical proof is to show that for any  $\epsilon$ -ball, one can find  $U_{a,n}$  inside it. This is true because, given  $n \in \mathbb{N}$  and  $x \in \mathbb{Z}/p^n\mathbb{Z}$ , the preimage of x under to\_zmod\_pow n is the same as the ball centered at x (now considered as an element of  $\mathbb{Z}_p$ ) with radius  $p^{1-n}$ :

```
lemma preimage_to_zmod_pow_eq_ball (n : \mathbb{N}) (x : zmod (p^n)) : (to_zmod_pow n) ^{-1}, {(x : zmod (p^n))} = metric.ball (x : \mathbb{Z}_{[p]}) ((p : \mathbb{R}) ^{^{1}} (1 - (n : \mathbb{Z})))
```

Notice that, in the RHS, we must specify  $\mathbf{n}:\mathbb{Z}$ . If that is not done, Lean interprets  $1 - \mathbf{n}:\mathbb{N}$ . This is not the same as  $1 - \mathbf{n}:\mathbb{Z}$ , since subtraction is defined differently for the naturals. Proving this lemma was fairly straightforward using the expansion of a p-adic integer, that is, every  $a \in \mathbb{Z}_p$  can be written as  $\sum_{n=0}^{\infty} a_n p^n$ , with  $a_n \in \mathbb{Z}/p^n \mathbb{Z}$ . Approximating a by  $\sum_{n=0}^{m} a_n p^n$  is done by the function  $\operatorname{appr}:\mathbb{Z}_p \to \mathbb{N} \to \mathbb{N}$ . Note that  $\operatorname{appr}$  returns a natural number, with  $a_n$  being the smallest natural number in the  $\mathbb{Z}/p^n \mathbb{Z}$  equivalence class. This turned out to be very useful, along with the following lemmas:

```
lemma appr_spec (n : \mathbb{N}) (x : \mathbb{Z}_{p}) :

x - appr x n \in (ideal.span {p^n} : ideal \mathbb{Z}_{p})

lemma has_coe_t_eq_coe (x : \mathbb{Z}_{p}) (n : \mathbb{N}) :

(((appr x n) : zmod (p^n)) : \mathbb{Z}_{p}) = ((appr x n) : \mathbb{Z}_{p})
```

In the latter lemma, the LHS is a coercion of appr x n, which has type  $\mathbb{N}$ , to  $\mathbb{Z}_p$ . The RHS is a coercion of appr x n to zmod (p ^ n) to  $\mathbb{Z}_p$ . This statement is not true in general, that is, given any natural number n, it is not true that the lift of n to  $\mathbb{Z}_p$  is the same as the composition of its lift to  $\mathbb{Z}/p^n\mathbb{Z}$  and  $\mathbb{Z}_p$ . It works here because the coercion from  $\mathbb{Z}/p^n\mathbb{Z}$  to  $\mathbb{Z}_p$  is not the canonical lift. It is a composition of a coercion from  $\mathbb{Z}/p^n\mathbb{Z}$  to  $\mathbb{N}$ , which takes  $a \in \mathbb{Z}/p^n\mathbb{Z}$  to the smallest natural number in its  $\mathbb{Z}/p^n\mathbb{Z}$  equivalence class.

One can similarly show that the sets  $U_{b,a,n} := proj_1^{-1}(b) \times proj_{2,n}^{-1}(a)$  form a clopen basis for  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , where  $proj_1$  is the first canonical projection on  $b \in \mathbb{Z}/d\mathbb{Z}$  and  $proj_{2,n}$  the composition of the second projection on  $a \in \mathbb{Z}_p$  with  $proj_n$  descried above. We call this set clopen\_basis' p d. For the sake of simplicity, we shall discuss the case d = 1 in this article.

## 3.2 p-adic distributions and measures

In this section,  $X = \varprojlim_{i \in \mathbb{N}} X_i$  denotes a profinite space with  $X_i$  finite and projection maps  $\pi_i : X \to X_i$  and surjective maps  $\pi_{ij} : X_i \to X_j$  for all  $i \geq j$ . We use G to denote an abelian group, A for a commutative normed ring, R for a commutative complete normed ring having a coercion from  $\mathbb{Z}_p$ , and LC(X,Y) for the space of locally constant functions from X to Y. We fix a prime p and an integer d such that gcd(d,p) = 1.

We begin by defining distributions on profinite sets. In Section 12.1 of [5], Washington gives 3 equivalent definitions of a distribution:

1. A system of maps  $\phi_i: X_i \to G$  such that  $\forall i \geq j$ ,

$$\phi_j(x) = \sum_{\pi_{ij}(y) = x} \phi_i(y)$$

- 2. A G-linear function  $\phi: LC(X,G) \to G$ .
- 3. A finitely additive function from the compact open sets of X to G.

Since switching between definitions is cumbersome, and(at that point of time), mathlib had no notion of thinking about profinite sets as inverse limits of finite sets, we chose to work with the second definition. However, this is already a Type, hence there is no need to redefine it.

The topology on C(X, A) comes from its normed group structure induced by the norm on  $A: ||f-g|| = \sup_{x \in X} ||f(x) - g(x)||$ . In fact, this topology is the same as the topology defined on bounded functions on X, since X is a compact space. Since the API for bounded continuous functions on compact spaces was developed at around the same time (created by Oliver Nash), we simply used the existing lemmas along with the equivalence bounded\_continuous\_function.equiv\_bounded\_of\_compact.

While showing that,  $\forall f \in C(X, A), ||f|| = 0 \implies f = 0$ , it suffices to show  $||f|| \le 0 \implies \forall x \in X, ||f(x)|| \le 0$ . We then use the following lemma, which requires a nonempty assumption on X:

```
theorem cSup_le_iff \{\alpha: \text{Type*}\} [conditionally_complete_lattice \alpha] \{s: \text{set } \alpha\} \{a: \alpha\} (hb: bdd_above s) (ne: nonempty s): Sup s \leq a \leftrightarrow (\forall b \in s, b \leq a)
```

The case that X is empty is separately (and trivially) solved.

We can now define p-adic measures. Measures are bounded distributions. Note that the p-adic measures are not to be confused with measures arising from measure theory. The key difference lies in the fact that the clopen sets of a profinite space do not, in general, form a  $\sigma$ -algebra.

```
def measures [nonempty X] := \{\varphi: (\text{locally\_constant X A}) \to_l [A] \text{ A // } \exists \text{ K : } \mathbb{R}, \text{ O < K } \land \forall \text{ f : (locally\_constant X A), } \|\varphi \text{ f}\| \leq \text{ K * } \|\text{inclusion X A f}\| \}
```

The boundedness of the distribution is needed to make the measure continuous :

```
lemma integral_cont (\varphi : measures X A) : continuous \Uparrow \varphi
```

The proof is straightforward : for  $b \in LC(X, A)$ , given  $\epsilon > 0$ , there exists a  $\delta > 0$  such that for all  $a \in LC(X, A)$  with  $||b-a|| < \delta$ ,  $||\phi(a) - \phi(b)|| < \epsilon$ . Since  $\phi$  is a measure, it suffices to prove that  $K * ||inclusion(a-b)|| < \epsilon$ . Choosing  $\delta = \epsilon/K$  gives the desired result.

The Bernoulli measure is an essential p-adic measure. We make a choice of an integer c with gcd(c, dp) = 1, and  $c^{-1}$  is an integer such that  $cc^{-1} \equiv 1 \mod dp^{2n+1}$ . For  $x_n \in (\mathbb{Z}/dp^{n+1}\mathbb{Z})^{\times}$ , the (first) Bernoulli measure is defined by

$$E_{c,n}(x_n) = B_1\left(\left\{\frac{x_n}{dp^{n+1}}\right\}\right) - cB_1\left(\left\{\frac{c^{-1}x_n}{dp^{n+1}}\right\}\right)$$

The system  $(E_{c,n})_{n\in\mathbb{N}}$  forms a distribution according to the first definition given above. We want to get an equivalent reformulation in terms of the second definition. We know that, since X is compact, every locally constant function can be written in terms of a finite sum of a characteristic function of a basis element multiplied by a constant. Since X is profinite, from the previous section, we know that there exists a clopen basis of the form set.preimage (padic\_int.to\_zmod\_pow n) a for  $a \in \mathbb{Z}/dp^n\mathbb{Z}$ . For the sake of simplicity, let us assume d=1. Thus, for a clopen set  $U_{a,n}:=$  set.preimage (padic\_int.to\_zmod\_pow n) a, we define

$$E_c(\chi_{U_{a,n}}) = E_{c,n}(a)$$

In Lean, this translates to (note that fract x represents the fractional part of x):

```
def E_c (hc : gcd d p = 1) := \lambda (n : \mathbb{N}) (a : (zmod (d * (p^n)))), fract ((a : \mathbb{Z}) / (d*p^(n + 1))) - c * fract ((a : \mathbb{Z}) / (c * (d*p^(n + 1)))) + (c - 1)/2
```

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### 3.3 The Bernoulli measure

Throughout this section, we assume that R is a normed commutative ring which is a  $\mathbb{Q}_p$ -algebra,  $\mathbb{Q}$ -algebra, and has a nonarchimedean norm, that is, for any finite set of elements  $(r_i)_{i=1}^n$  of R,  $\sum_{i=1}^n \| r_i \| \le \sup \| r_i \|$ .

The original plan was to define a set of the form:

```
def bernoulli_measure (hc : c.gcd p = 1) := 

{x : locally_constant (zmod d \times \mathbb{Z}_{[p]}) R \rightarrow_l[R] R | \forall (n : \mathbb{N})

(a : zmod (d * (p^n))), x (char_fn R (is_clopen_clopen_from p d n a)) = 
(algebra_map \mathbb{Q} R) (E_c p d hc n a) }
```

and to show that it is nonempty. However, this is quite a roundabout way, since one then has to use classical.some to extract the Bernoulli measure. We use an elegant way to tackle the problem.

First, we define eventually\_constant\_seq to be the type of sequences satisfying:

```
/-- A sequence has the 'is_eventually_constant' predicate if all the elements of the sequence are eventually the same. -/

def is_eventually_constant \{\alpha: \text{Type*}\}\ (a: \mathbb{N} \to \alpha): \text{Prop}:=
\{n \mid \forall \text{ m, } n \leq \text{m} \to a \text{ (nat.succ m)} = a \text{ m} \}. \text{nonempty}
```

Then, given a locally constant function f from  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$  to R, we define the eventually constant sequence g to be:

$$g(n) = \sum_{a \in \mathbb{Z}/(d*p^n)\mathbb{Z}} f(a) E_{c,n}(a)$$

for all natural numbers n. We shall look into the proof of g being eventually constant later. We now define the Bernoulli distribution to be the limit of this sequence g.

The proof of this distribution being closed under addition and scalar multiplication follows easily from these definitions and lemmas:

```
550
     /-- The smallest number 'm' for the sequence 'a' such that 'a n = a (n + 1)' for all 'n \geq m'. -/
551
     noncomputable def sequence_limit_index' \{\alpha : \text{Type*}\}\ (a : @eventually_constant_seq \alpha) : \mathbb{N} :=
552
       Inf { n \mid \forall m, n \leq m \rightarrow a.to\_seq m.succ = a.to\_seq m }
553
554
     /-- The limit of an 'eventually_constant_seq'. -/
555
     noncomputable def sequence_limit \{\alpha : \text{Type*}\}\ (a : @eventually_constant_seq \alpha) :=
556
       a.to_seq (sequence_limit_index' a)
557
558
     lemma sequence_limit_eq \{\alpha : \text{Type*}\}\ (a : @eventually_constant_seq \alpha) (m : \mathbb{N})
559
        (hm : sequence_limit_index' a \leq m) : sequence_limit a = a.to_seq m
560
561
```

Now, given a locally constant function f: locally\_constant (units (zmod d) × units  $\mathbb{Z}_[p]$ ) R, the bernoulli\_mean is given by:

```
bernoulli_distribution p d R (loc_const_ind_fn _ p d f)
```

where loc\_const\_ind\_fn is a locally constant function on  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$  that takes value f on the units of the domain, and 0 otherwise. We shall skip the proof that this function is locally constant, because it is long and cumbersome. For every open set s, one must look at the case when 0 is contained in s separately. We (prove and) use the fact that coe: units  $(zmod\ d) \times z_[p] \to (zmod\ d) \times z_[p]$  is an open embedding heavily.

We must now prove that bernoulli\_measure is indeed a measure, that is, it is bounded. The bound we choose is  $1+\parallel c\parallel+\parallel\frac{c-1}{2}\parallel$ . The proof is as follows: let BD denote the Bernoulli distribution, and  $\phi$  denote loc\_const\_ind\_fn. We want to show that:

$$\parallel BD(\phi(f)) \parallel \leq K \parallel inclusion(f) \parallel$$

where K is the constant given above. We know that, one can find an n such that

$$\phi(f) = \sum_{a \in \mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}/p^n\mathbb{Z}} \phi(f)(a) \dot{\chi}_{n,a}$$

Since BD is a linear map, it suffices to show that  $||BD(\phi(f)(a)\chi_{n,a})|| \le K || inclusion f ||$  for some a (the supremum is achieved since the set is finite). If a is not a unit,  $\phi(f)(a) = 0$ ; by using the linearity of BD, we are done. In the case that a is a unit, it suffices to prove that  $||BD(\chi_{n,a})|| \le K$ , and  $||\phi(f)(a)|| \le || inclusion f ||$ . Both of these are easily verified, so we are done.

The implementation is similar, and is heavily dependent on the following lemma:

```
lemma loc_const_eq_sum_char_fn (f : locally_constant ((zmod d) \times \mathbb{Z}_{p}] R) (hd : d.gcd p = 1) : \exists n : \mathbb{N}, f = \Sigma a in (finset.range (d * p^n)), f(a) · char_fn R (is_clopen_clopen_from p d n a)
```

The machinery used in this proof is similar to the one used to prove that g is eventually constant. Let us have a look at the latter first.

We must first take a look at discrete quotients. The discrete quotient on a topological space is given by an equivalence relation such that all equivalence classes are clopen :

```
structure (X : Type*) [topological_space X] discrete_quotient :=
  (rel : X → X → Prop)
  (equiv : equivalence rel)
  (clopen : ∀ x, is_clopen (set_of (rel x)))
```

The last statement translates to,  $\forall x \in X, \{y|y \sim x\}$  is clopen. Given two discrete quotients A and B,  $A \leq B$  means that  $\forall x, y \in X, x \sim_A y \implies x \sim_B y$ .

Any locally constant function induces a discrete quotient, since each of its fibers is clopen:

```
def discrete_quotient : discrete_quotient X := { rel := \lambda a b, f b = f a, equiv := \langleby tauto, by tauto, \lambda a b c h1 h2, by rw [h2, h1]\rangle, clopen := \lambda x, f.is_locally_constant.is_clopen_fiber _ }
```

We now define a function:

In other words, for  $a=(a_1,a_2)$  and  $b=(b_1,b_2)$  in  $\mathbb{Z}/d\mathbb{Z}\times\mathbb{Z}_p$ , F(n) represents the relation

$$a \sim b \iff a_2 \pmod{p^n} = b_2 \pmod{p^n} a_1 = b_1$$

Then, given a locally constant function f on  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , we have :

```
lemma factor_F (hd : d.gcd p = 1) (f : locally_constant (zmod d \times \mathbb{Z}_[p]) R) : \exists N : \mathbb{N}, F N \leq discrete_quotient f
```

factor\_F states that, for N large enough, the fibers of  $f \mod p^N$  are contained in the basic clopen sets of  $p^N$ . Here is the proof of factor\_F: Since X is compact, there exists a finite set t in R such that  $X \subseteq \bigcup_{i \in t} f^{-1}(i)$ . Given an open set U of  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , we now define the bound\_set of U to be

 $\{n \in \mathbb{N} \mid \forall a \in U, U_{n,(a : z \mod p^n)} \subseteq U\}$ . The bound of U is then defined to be the infimum of the bound\_set U. Let n be the supremum of bound of  $f^{-1}(i)$  for all  $i \in t$ . This is the required  $\mathbb{N}$ .

## 23p**1fo**dic L-functions

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The proofs of both g being eventually constant now follows. We want to show:

$$\exists N, \forall m \ge N, \sum_{a \in \mathbb{Z}/d * p^{m+1}\mathbb{Z}} f(a) E_{c,m+1}(a) = \sum_{a \in \mathbb{Z}/d * p^m \mathbb{Z}} f(a) E_{c,m}(a)$$

The N we choose is classical.some (factor\_F f) + 1. We also define the following:

```
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618 /-- Given 'a ∈ zmod (d * p^n)', and 'n < m', the set of all 'b ∈ zmod (d * p^m)' such that 'b = a
619 mod (d * p^n)'. -/
620 def equi_class (n m : N) (h : n ≤ m) (a : zmod (d * p^n)) :=
621 {b : zmod (d * p^m) | (b : zmod (d * p^n)) = a}
```

Then, we have the following lemma:

```
lemma succ_eq_bUnion_equi_class : zmod' (d*p^(m + 1)) = (zmod' (d*p^m)).bUnion (\lambda a : zmod (d * p ^ m), set.to_finset (equi_class m (m + 1)) a)
```

This lemma says that any element of  $\mathbb{Z}/dp^{m+1}\mathbb{Z}$  comes from equi\_class m (m + 1) b for some  $b \in \mathbb{Z}/dp^m\mathbb{Z}$ . Notice that we use zmod' instead of zmod, since that has type finset, that is, the property of zmod being finite is encoded in it. This is needed since we are working with finite sums, hence Lean demands elements of type finset instead of set.

The proof is now complete with the following lemma:

```
lemma E_c_sum_equi_class (x : zmod (d * p^m)) : 

\Sigma (y : zmod (d * p ^ (m + 1))) in (\lambda a : zmod (d * p ^ m),

set.to_finset ((equi_class m (m + 1)) a)) x, (E_c (m + 1) y) = E_c m x
```

which says that, for  $x \in \mathbb{Z}/dp^m\mathbb{Z}$ ,  $E_{c,m}(x) = \sum_y' E_{c,m+1}(y)$ ,

where y belongs to equi\_class m (m + 1) x.

Finally, we turn to the proof of loc\_const\_eq\_sum\_char\_fn. We want to show that, for any locally constant function f from  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$  to R,

$$\exists n, f = \sum_{a \in \mathbb{Z}/dp^n \mathbb{Z}} f(a) \chi_{n,a}$$

We choose n to be classical.some factor\_F f. The proof now follows easily, since each element belongs to exactly one clopen set of level n. One must go between elements of  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$  and  $\mathbb{Z}/dp^n\mathbb{Z}$ . This requires use of multiple coercions, which makes the proof lengthy.

Notice that bernoulli\_distribution takes locally constant functions on  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , while

bernoulli\_measure takes locally constant functions on  $\mathbb{Z}/d\mathbb{Z}^* \times \mathbb{Z}_p^*$ . This had to be done since our clopen basis was defined on  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , and while it is easy to show the same results for the units on paper, it requires a bit of work in Lean.

## 3.4 p-adic integrals and the p-adic L-function

## $_{\scriptscriptstyle 7}$ 3.4.1 p-adic Integrals

The last piece in the puzzle is the *p*-adic integral. We use the same notation as in the previous section. Given a measure  $\mu$ , and a function  $f \in LC(X,R)$ ,  $\int f d\mu := \mu(f)$ . As in Theorem 12.1 of [5], this can be extended to a continuous R-linear map:

$$\int_X f d\mu : C(X, R) \to R$$

This follows from the fact that LC(X,R) is dense in C(X,R); as a result, the map inclusion:  $LC(X,R) \to C(X,R)$  is dense\_inducing, that is, it has dense range and the topology on LC(X,R) is the one induced by inclusion from the topology on C(X,R).

For the linearity of the map, we use dense\_range.induction\_on\_2, which states that, given a map  $e: \alpha \to \beta$  which has dense range, and a property p (taking two elements as input), in order for any two elements of  $\beta$  to satisfy p, it is sufficient to show that any two elements in the range of e satisfy p; and, the set of elements satisfying p is closed with respect to the topology of  $\beta$ :

```
lemma dense_range.induction_on<sub>2</sub> {\alpha \beta : Type*} [topological_space \beta] {e : \alpha \to \beta} {p : \beta \to \beta \to \text{Prop}} (he : dense_range e) (hp : is_closed {q:\beta \times \beta | p q.1 q.2}) (h : \forall a_1 \ a_2, p (e a_1) (e a_2)) (b<sub>1</sub> b<sub>2</sub> : \beta) : p b<sub>1</sub> b<sub>2</sub>
```

In particular, for every continuous function f, we can take the property p to be preservation of addition (and scalar multiplication respectively) under  $\mu(f)$ , and inclusion to be the map with dense range. The first condition is satisfied due to the following lemma:

```
lemma is_closed_eq [t2_space \alpha] {f g : \beta \to \alpha} (hf : continuous f) (hg : continuous g) : is_closed {x:\beta | f x = g x}
```

The second condition follows easily due to the linearity of the measure and the map inclusion.

The continuity of the extension of the integral follows from the fact that every measure  $\mu$  is uniformly continuous. Uniform continuity is a product of the boundedness of the measure: We want to show that, for any measure  $\phi$ , given an  $\epsilon > 0$ ,  $\exists \delta > 0$  such that for any  $a,b \in LC(X,R)$ , with  $||a-b|| < \delta$ ,  $||\phi(a)-\phi(b)|| < \epsilon$ . Assuming that the constant in the definition of  $\phi$  is K, it is clear that  $\epsilon/K$  is the required  $\delta$ . This is the proof of the following lemma:

```
<code>lemma</code> uniform_continuous (arphi : measures X A) : uniform_continuous \Uparrow arphi
```

## 3.4.2 Construction

There are several possible definitions for the p-adic L-functions (fixing an embedding of  $\mathbb{Q}$  into  $\mathbb{C}_p$ ), including:

1. (Theorem 5.11, [5]) The *p*-adic meromorphic function  $L_p(s,\chi)$  on  $\{s\in\mathbb{C}_p||s|< p\}$  obtained by analytic continuation, such that

$$L_p(1-n,\chi) = -(1-\chi\omega^{-n}(p)p^{n-1})\frac{B_{n,\chi\omega^{-n}}}{n}$$

for  $n \geq 1$ .

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- 2. (Proof of Theorem 5.11, [5])  $L_p(s,\chi) = \sum_{a=1,p\nmid a}^F \chi(a) H_p(s,a,F)$ , where  $H_p(s,a,F)$  is a meromorphic function satisfying  $H_p(1-n,a,F) = -\frac{F^{n-1}\omega^{-n}(a)}{n} B_n\left(\frac{a}{F}\right)$  for all natural numbers n.
- 3. (Theorem 12.2, [5]) For  $s \in \mathbb{Z}_p$ , and Dirichlet character  $\chi$  with conductor  $dp^m$ , with gcd(d,p) = 1 and  $m \ge 0$ , for a choice of  $c \in \mathbb{Z}$  with gcd(c,dp) = 1:

$$(1 - \chi(c) < c >^{s+1}) L_p(-s, \chi) = \int_{(\mathbb{Z}/d\mathbb{Z})^{\times} \times \mathbb{Z}_p^{\times}} \chi \omega^{-1}(a) < a >^s dE_c$$

where  $\langle a \rangle = \omega^{-1}(a)a$ , and  $b^s = exp(log_p(b))$  (the exponential and logarithm are defined in terms of power series expansions).

It is beyond the scope of this article to explain all the notation in the points above. I chose to define the p-adic L-function as a reformulation of (3). This is because it is the most optimal definition that helps with stating the Iwasawa Main Conjecture.

Instead of using the variable s (which takes values in a subset of  $\mathbb{C}_p$ ), we choose to use an element of the weight space. We replace  $< a >^s$  with w:weight\_space A. The advantage is that our p-adic L-function can now be defined over a more general space.

## 23p1adic L-functions

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710 711 Given a primitive Dirichlet character  $\chi$  of character  $dp^m$  with gcd(d,p)=1 and  $m\geq 0$ , we now define the p-adic L-function to be :

$$L_p(w,\chi) := \int_{(\mathbb{Z}/d\mathbb{Z})^{\times} \times \mathbb{Z}_p^{\times}} \chi \omega^{p-2}(a) w \ dE_c$$

```
def p_adic_L_function (hc : gcd c p = 1) :=
integral (units (zmod d) × units Z_[p]) R _
(bernoulli_measure_of_measure p d R hc)

((λ (a : (units (zmod d) × units Z_[p])), ((pri_dir_char_extend p d R) a) *
(inj (üTeichmller_character p a.snd))^(p - 2) * (w.to_fun a : R)),

cont_palf p d R inj w ⟩
```

Note that we have absorbed the constant term given in (3). This was done because Theorem 12.2 lets  $L_p(-s,\chi)$  take values in  $\mathbb{C}_p$ . In a general ring R, as we have chosen, division need not exist. One would then need the factor to be a unit, which may not always happen (for example, consider  $R = \mathbb{Q}_p$ ). Thus, our p-adic L-function differs from the original by a constant factor. However, this factor appears as it is in the Iwasawa Main Conjecture, and can be easily removed if one assumes R to have division.

Lean (implicitly) interprets the placeholder \_ as a proof that

 $(\mathbb{Z}/d\mathbb{Z})^{\times} \times \mathbb{Z}_p^{\times} = \text{units (zmod d)} \times \text{units } \mathbb{Z}_[p]$  is nonempty. Note that pri\_dir\_char\_extend extends  $\chi$  from  $(\mathbb{Z}/dp^m\mathbb{Z})^{\times}$  to  $(\mathbb{Z}/d\mathbb{Z})^{\times} \times \mathbb{Z}_p^{\times}$  via the restriction map.

By construction, the last term given as input to integral has type

 $C((units (zmod d) \times units \mathbb{Z}_{[p]}),R)$ . This is the term in angular brackets  $\langle \_, \_ \rangle$ , the former is the integrand, and the latter is a proof that the integrand is continuous:

```
lemma cont_paLf : continuous (\lambda (a : (units (zmod d) \times units \mathbb{Z}_{p})), ((pri_dir_char_extend p d R) a) * (inj (üTeichmller_character p (a.snd)))^(p - 2) * (w.to_fun a : R))
```

What remains to be proved is that f is invariant with respect to c. Once these are proved, we can formalise properties of p-adic L-functions. One of the most important properties is, for an integer n with  $n \ge 1$ ,

$$L_p(1-n,\chi) = -(1-\chi\omega^{-n}(p)p^{n-1})\frac{B_{n,\chi\omega^{-n}}}{n}$$

Recall that the function corresponding to 1 - n is  $< a >^{1-n}$ . One must show that  $< a >^{1-n}$  is an element of the weight space. formalising the first definition would have made showing this result a lot tougher, since showing the analytic continuation of these functions is nontrivial, even on paper.

## 4 Evaluation at negative integers

We shall now prove that our chosen definition of the p-adic L-function is equivalent to the original one, that is, it takes the same values at negative integers : for n > 1,

$$L_p(1-n,\chi) = -(1-\chi\omega^{-n}(p)p^{n-1})\frac{B_{n,\chi\omega^{-n}}}{n}$$

For this section, we assume that R is a normed commutative  $\mathbb{Q}_p$ -algebra and  $\mathbb{Q}$ -algebra, which is complete, nontrivial, and has no zero divisors. The scalar multiplication structure obtained from  $\mathbb{Q}$  and  $\mathbb{Q}_p$  are compatible, given by the condition is\_scalar\_tower  $\mathbb{Q}$   $\mathbb{Q}_p$  R (see [2]). It is also non-archimedean, which are encapsulated by the following hypotheses:

```
na: \forall (n: \mathbb{N}) (f: \mathbb{N} \to \mathbb{R}),

\|\Sigma (i: \mathbb{N}) in finset.range n, f \|i \le \bigcup (i: zmod n), \|f i.\|val

na': \forall (n: \mathbb{N}) (f: (zmod n)^{\times} \to \mathbb{R}),

\|\Sigma i: (zmod n)^{\times}, f \|i \le \bigcup (i: (zmod n)^{\times}), \|f \|i
```

The prime p is odd, and we choose positive natural numbers d and c which are mutually coprime and are also coprime to p. The Dirichlet character  $\chi$  has level  $dp^m$ , where m is positive. We also assume  $\chi$  is even and d divides its conductor. Let us first explain why we need the latter condition.

## 4.1 Factors of the conductor

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We explain here why we need d to divide the conductor of  $\chi$ . In this section, we do not differentiate between the associated Dirichlet character and the Dirichlet character.

Recall that  $\chi\omega^{-1}$  actually denotes the Dirichlet character multiplication of  $\chi$  and  $\omega^{-1}$ . As mentioned in the previous section, in order to translate between sums on  $\mathbb{Z}/dp^n\mathbb{Z}^\times$  and  $\mathbb{Z}/dp^n\mathbb{Z}$ , one needs that, for all  $x\in\mathbb{Z}/dp^n\mathbb{Z}$  such that x is not a unit,  $\chi\omega^{-k}(x)=0$  for all k>0. This is equivalent to saying,  $\forall y\in\mathbb{N}$ , such that  $\gcd(y,d)\neq 1$  and  $\gcd(y,p)\neq 1$ ,  $\gcd(y,(\chi\omega^{-k}).\operatorname{conductor})\neq 1$ .

Given coprime natural numbers  $k_1, k_2$  and a Dirichlet character  $\psi$  of level  $k_1 k_2$ , one can find primitive Dirichlet characters  $\psi_1$  and  $\psi_2$  of levels  $k_1$  and  $k_2$  respectively such that  $\psi = \psi_1 \psi_2$ :

```
lemma dirichlet_character.eq_mul_of_coprime_of_dvd_conductor {m n : \mathbb{N}} [fact (0 < m * n)] (\chi : dirichlet_character R (m * n)) (h\chi : m | \chi.conductor) (hcop : m.coprime n) : \exists (\chi_1 : dirichlet_character R m) (\chi_2 : dirichlet_character R n), \chi_1.is_primitive \wedge \chi = \chi_1.change_level (dvd_mul_right m n) * \chi_2.change_level (dvd_mul_left n m)
```

Thus, given k > 0, we can find primitive Dirichlet characters  $\chi_1$  and  $\chi_2$  with conductors  $z_1$  and  $z_2$  such that  $z_1|d$  and  $z_2|p^m$  and  $\chi_1\chi_2 = \chi\omega^{-k}$ . The condition that d divides the conductor of  $\chi$  ensures that  $z_1 = d$ . As a result, if  $gcd(y, d) \neq 1$ , then  $gcd(y, z_1z_2) \neq 1$ , so  $\chi\omega^{-k}(y) = 0$ .

## 4.2 Main Result

Note that the same result holds when  $\chi$  is odd or when p=2, the proofs differ slightly. We shall skip most of the details of the proof, since these are very calculation intensive. We shall instead highlight the key concepts that are used.

The proof consists of two steps: breaking up the integral in the LHS into three sums, and evaluating each of these sums. This is very calculation intensive, and was the longest part of the project. The proof is very similar to the proof of Theorem 12.2 in [5].

Using the fact that the space of locally constant functions is dense in  $C((\mathbb{Z}/d\mathbb{Z})^{\times} \times \mathbb{Z}_p^{\times}, R)$ , we observe that the integral  $L_p(1-n,\chi)$  is the same as:

$$L_p(1-n,\chi) = \lim_{j \to \infty} \sum_{a \in (\mathbb{Z}/dp^j\mathbb{Z})^{\times}} E_{c,j}(\chi \omega^{-1}(a) < a >^{n-1})$$

$$= \lim_{j \to \infty} \left( \sum_{a \in (\mathbb{Z}/dp^j\mathbb{Z})^{\times}} \chi \omega^{-n} a^{n-1} \left\{ \frac{a}{dp^j} \right\} - \sum_{a \in (\mathbb{Z}/dp^j\mathbb{Z})^{\times}} \chi \omega^{-n} a^{n-1} \left( c \left\{ \frac{c^{-1}a}{dp^j} \right\} \right) + \left( \frac{c-1}{2} \right) \sum_{a \in (\mathbb{Z}/dp^j\mathbb{Z})^{\times}} \chi \omega^{-n} a^{n-1} \right)$$

Going from the first equation to the second took about 600 lines of code, which can be found in try.lean (add link). While the proof (on paper) is only a page long, this is very calculation heavy in Lean, because one needs to shift between elements coerced to different types, such as  $\mathbb{Z}/(dp^j)\mathbb{Z}$ ,  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}/p^j\mathbb{Z}$ ,  $\mathbb{Z}/d\mathbb{Z} \times \mathbb{Z}_p$ , R and their units. Moreover, when each of these types occur as locally constant or continuous functions, one needs to separately prove that each of these functions is also (respectively) locally constant or continuous. Some other difficulties include several different ways to write obtain the same term, such as equiv.inv\_fun, equiv.symm, ring\_equiv.symm and ring\_equiv.to\_equiv.inv\_fun. We have constructed several lemmas to simplify traversing between these terms.

Each of these sums are then evaluated separately in UVW.lean. This is dependent on the following lemma:

$$\lim_{j \to \infty} \frac{1}{dp^j} \sum_{i \in \mathbb{Z}/dp^j \mathbb{Z}} \chi \omega^{-n}(i) i^n = B_{n, \chi \omega^{-n}}$$

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for n > 1. There were two options for the finset used in finset.sum: finset.range or zmod (d \* p^j). We chose the former since it was easier to deal with, as some required lemmas regarding it had been previously formalised. This lemma is formulated in Lean as:

```
tendsto (\lambda (n : \mathbb{N}), (1 / \uparrow(d * p ^ n)) \cdot \Sigma (i : \mathbb{N}) in finset.range (d * p ^ n), (asso_dirichlet_character (\chi.mul (teichmuller_character_mod_p' p R ^ k))) \uparrowi * \uparrowi ^ k) at_top (nhds (general_bernoulli_number (\chi.mul (teichmuller_character_mod_p' p R ^ k)) k))
```

The proof of this theorem follows from the proof in Lemma 7.11 of [5]. It is very calculation intensive, since there are multiple coercions to be dealt with. Also, terms needs to be moved around to be multiplied, deleted and cancelled. Unfortunately, there is no tactic that takes care of these elementary but lengthy calculations.

This is similar to what we need to compute the first sum in (4.2):

```
tendsto (\lambda (j : \mathbb{N}), \Sigma (x : (zmod (d * p ^ j))^{\times}),

((asso_dirichlet_character (\chi.mul (teichmuller_character_mod_p' p R ^ n))) \uparrowx *

\uparrow(\uparrow x.val) ^ (n - 1)) · (algebra_map \mathbb{Q} R) (int.fract (\uparrow x / (\uparrow d * \uparrow p ^ j))))

at_top

(nhds ((1 - (asso_dirichlet_character (\chi.mul (teichmuller_character_mod_p' p R ^ n))) \uparrow p * \uparrow p ^ (n - 1)) *

general_bernoulli_number (\chi.mul (teichmuller_character_mod_p' p R ^ n)) n))
```

In order to convert this sum into a sum over finset.range, we show that:

```
lemma helper_U_3 (x : \mathbb{N}) : finset.range (d * p^x) =

set.finite.to_finset (set.finite_of_finite_inter (finset.range (d * p^x))

({x | ¬ x.coprime d})) \cup ((set.finite.to_finset (set.finite_of_finite_inter

(finset.range (d * p^x)) ({x | ¬ x.coprime p}))) \cup set.finite.to_finset

(set.finite_of_finite_inter (finset.range (d * p^x))

({x | x.coprime d} \cap {x | x.coprime p})))
```

This is equivalent to saying:

```
\mathbb{Z}/dp^k\mathbb{Z} \simeq \{x \in \mathbb{N} | gcd(x,d) \neq 1\} \cup \{x \in \mathbb{N} | gcd(x,p) \neq 1\} \cup (\mathbb{Z}/dp^k\mathbb{Z})^{\times}
```

The condition that d divides the conductor is then used to show that the associated Dirichlet character is 0 everywhere except  $(\mathbb{Z}/dp^k\mathbb{Z})^{\times}$ .

Evaluating the middle sum is the most tedious. It is first broken into two sums, so that the previous result can be used. Then, a change of variable from a to  $c^{-1}a$  is applied. The variable c is coerced to  $\mathbb{Z}/dp^{2k}\mathbb{Z}$ , increasing the number of coercions significantly, thus lengthening the calculations.

Finally, the last sum is 0. This follows by substituting a in the summand with -a. This is where one uses that  $\chi$  is even.

There were two ways to do calculations with respect to inequalities on the norm: working with lemmas regarding filter.tendsto, or using the following lemma:

```
metric.tendsto_at_top : \forall {\alpha : Type u_1} {\beta : Type} [pseudo_metric_space \alpha]

[nonempty \beta] [semilattice_sup \beta] {u : \beta \to \alpha} {a : \alpha},

tendsto u at_top (nhds a) \leftrightarrow \forall (\varepsilon : \mathbb{R}), \varepsilon > 0 \to

(\exists (N : \beta), \forall (n : \beta), n \geq N \to dist (u n) a < \varepsilon)
```

We would like to point out that working with filter.tendsto instead of metric.tendsto\_at\_top really simplified calculations. This is because, often, the  $\varepsilon$  we would choose would be complicated, making our calculations more complicated.

As an example, suppose we want to prove:

```
(\texttt{h} : \texttt{filter.tendsto} \ (\texttt{\lambda} \ \texttt{x}, \ \texttt{f} \ \texttt{x}) \ \texttt{at\_top} \ (\texttt{nhds} \ \texttt{0})) \ \rightarrow \ \texttt{filter.tendsto} \ (\texttt{\lambda} \ \texttt{x}, \ \texttt{c} \ * \ \texttt{f} \ \texttt{x}) \ \texttt{at\_top} \ (\texttt{nhds} \ \texttt{0})
```

This is a one-line proof using filter.tendsto\_const\_mul. However, if done using metric.tendsto\_at\_top, given  $\varepsilon > 0$ , we must pick an N such that  $||fx|| < \varepsilon/c$ , and use N to complete the proof. Most of such issues can be dealt with using the lemma filter.tendsto\_congr'.

Hence, we try to avoid using  $metric.tendsto_at_top$  when possible. The only cases where it is used is when direct inequalities need to be dealt with; this happens precisely when the non-archimedean condition on R needs to be used. Hence, this is a good indicator of where the non-Archimedean condition is needed.

## 5 Conclusion

## 5.1 Analysis

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We list some of the observations that arose while working on this paper. Throughout this paper, abbreviation has played an important part. They help to reduce the number of def for a prticular type. A technical difficulty is that one cannot use tactic rw to unfold the underlying definition. One must either use delta, which often slows compilation time, or make a lemma unfolding the abbreviation.

The tactic rw does not always work inside sums. As a result, one must use the conv tactic to get to the expression inside the sum. While using the conv tactic, one is said to be working in conv mode. Using the conv tactic not only lengthens the proof, but also limits the tactics one can use; the only tactics one can use inside conv mode are rw, apply\_congr (similar to apply), simp and norm\_cast. Another way around sums is to use simp\_rw, however, this increases compilation time of the proof. Moreover, simp\_rw rewrites the lemma as many times as applicable, and is an unsuitable choice if one wants to apply the lemma just once.

Another problem that was recurring was the ratio of implicit to explicit variables. The p-adic L-function, for example, has 19 arguments, of which 7 are explicit, and p, d and R are implicit. This is problematic because 7 is already a large number of hypotheses. Excluding R often means that either Lean guesses or abstracts the correct term, or it asks for them explicitly. In the latter case, one also gets as additional goals all the hypotheses that are dependent on R and implicit, such as  $normed\_comm\_ring\ R$ . Moreover, one cannot get out of conv mode unless all these goals are solved. This is difficult since  $apply\_instance$  does not work in conv mode. The other alternative is to explicitly provide terms using Q, however this leads to very large expressions.

Working with Dirichlet characters was challenging. This is because, given  $a, b \in \mathbb{N}$  such that a = b, Lean does not identify dirichlet\_character R a = dirichlet\_character R b. Tactics such as subst also fail. A workaround was putting h:a = b as a local hypothesis and then using congr'. We then end up with the goal dirichlet\_character R a == dirichlet\_character R b. The API for heq suggests that this should be avoided as much as possible. Another workaround was to define and use dirichlet\_character R a  $\simeq$  dirichlet\_character R b. However, this would slow down the compilation a lot, sometimes also leading to deterministic timeouts.

### 5.2 Statistics

When initially completed, this project consisted of around 18,000 lines of code. A major refactor was then done, keeping in mind several of the points mentioned above, specifically using properties of filters instead of metric space calculations wherever possible. All properties regarding particular types (such as Dirichlet characters) were also compiled together in separate files wherever possible. Keeping in mind the spirit of mathlib, these properties have been made in the greatest generality as much as possible. After the refactor, there are about 15000 lines of code, put into 10 files (check!).

While most properties regarding Bernoulli numbers and polynomials have been put into mathlib, the rest of the work is on a private repository. The author hopes to push the work directly to Lean 4, once the required port is complete.

#### 23p22dic *L*-functions

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### 5.3 Related and future work

There are several projects that require Dirichlet characters and properties of the *p*-adic integers. These include the project on the formalisation of Fermat's last theorem (link). There is also an effort by Prof David Loeffler which involves formalisation of the classical Dirichlet *L*-function, that is somewhat dependent on this work.

In the future, the author hopes to be able to work on Iwasawa theory, for which the *p*-adic *L*-function is a key ingredient. She also hopes to formalise more properties of Bernoulli numbers, that are a fundamental component of number theory.

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