Adjunctive cognition: A topos-theoretic framework for enactivism

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Abstract

This work presents a novel framework for understanding cognition through the lens of category theory and topos theory. Moving beyond traditional cognitivist models that emphasize internal representations of an external world, cognition is reimagined here as a dynamic adjunction between action and perception. By conceptualizing the self as the initial object in a category of contexts, parallels are drawn to the Buddhist concept of $\dot{sunyata}$ (emptiness), offering a bridge between Western mathematical structures and Eastern philosophical insights. Concrete examples illustrate the framework, and its implications for cognitive science, philosophy, and future research are explored.

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1 Introduction

Understanding cognition remains one of the most challenging and profound quests in both science and philosophy. Traditional Western approaches often model cognition as the manipulation of internal representations of an external world, emphasizing a computational view of the mind. In contrast, enactivism, an emerging paradigm in cognitive science, posits that cognition arises

through a dynamic interaction between an organism and its environment, without reliance on internal representations [1].

In this work, I develop a rigorous mathematical framework for enactivism using tools from category theory and topos theory. These mathematical structures illuminate the dynamic interplay between action and perception, capturing the essence of enaction as an adjunction. Morever, recognizing the self as the initial object within the category of contexts reveals a natural alignment with the Buddhist concept of $\dot{sunyata}$ or emptiness [3].

The goals in this work are threefold:

- 1. To introduce and justify the use of category theory and topos theory in modeling cognitive processes.
- 2. To offer concrete examples that demonstrate how action and perception can be modeled as functors between categories.
- 3. To explore the philosophical implications of this framework, particularly the connection between the empty self and $\delta \bar{u} ny at \bar{a}$, and its potential impact on cognitive science.

1.1 Structure of the work

- § 2. Provide philosophical motivation, discussing limitations of representational models and introducing enactivism.
- § 3. Introduce necessary mathematical architecture in category theory and topos theory.
- § 4. Express cognition as an adjunction between action and perception.
- § 5. Revisit self as initial object and explore connection to $\dot{sunyata}$.
- § 6. Discuss implications for cognitive science and philosophy.
- § 7. Relate to existing literature, highlighting similarities and differences.
- § 8. Outline future research directions, including empirical validation and theoretical refinement.
- § 9. Conclude by summarizing key findings and significance.

2 Philosophical motivation

Western philosophical thought, particularly in the works of Locke, Descartes, and later Frege and Russell, has traditionally conceived of cognition as a representational process. The mind is often viewed as a container of representations of the external world, processed in logical or computational ways.

However, modern developments, from Wittgenstein's linguistic turn to Cohen's forcing and Kripke's modal semantics, have shifted the conversation. In cognitive science, this shift mirrors a growing emphasis on relational models of cognition, such as enactivism. This work attempts to reconcile the extremes of rigid, representational models and the excessive flexibility of entirely subjective models by proposing a middle way, similar to the balance found in Eastern philosophical traditions.

2.1 Limitations of representational models

Building upon this philosophical backdrop, it's essential to scrutinize the foundational assumptions of representational models in cognitive science. Traditional cognitive science often relies on the assumption that cognition involves internal representations of an external world. This view, rooted in computationalism, treats the mind as a symbol-manipulating system [5]. However, this model faces several challenges:

- Frame Problem: Determining which aspects of a complex and ever-changing environment are relevant to a given context is computationally intractable. This leads to inefficiencies and an inability to adapt swiftly to novel situations [7].
- Embodiment: Representational models often neglect the profound influence of the body and its interactions with the environment in shaping cognitive processes. Embodied cognition research demonstrates that bodily states are integral to mental functions, a dimension inadequately captured by purely representational systems [4].
- Dynamic Interaction: Cognition is not a passive reception of representations but involves continuous and reciprocal interactions between an organism and its environment. Representational models, with their emphasis on static internal states, fail to account for this dynamic co-evolution [8].

These inherent limitations of representational models pave the way for enactivism, which emphasizes the inseparable interplay between an organism and its environment. Moreover, these critiques resonate with Eastern philosophical perspectives that challenge inherent notions of representation and existence.

2.2 Enactivism

Enactivism offers an alternative by proposing that cognition arises through an organism's active engagement with its environment. Key principles include:

- Autonomy: Cognitive systems are self-organizing and self-maintaining.
- Embodiment: The body shapes the mind, and cognition cannot be separated from the physical form.
- Embeddedness: Cognition is situated within and cannot be isolated from the environment.
- Dynamic Co-emergence: Organism and environment co-determine each other in a continuous feedback loop [1].

2.3 Category theory in enactivism

The use of category theory, particularly topos theory, offers a rigorous and formal structure to express enactivism's core principles. Enaction, at its heart, emphasizes the dynamic interplay between action and perception, a process that can be expressed as an adjunction between functors. In category theory, functors preserve structure between categories, and adjunctions reflect a reciprocal relationship. In the same way, cognition emerges — or, dependently arises — as a reciprocal relationship between an agent's actions and perceptions.

Topos theory, which generalizes set theory and logic, allows us to express contexts and behaviors as categories with their own internal logics. This brings clarity to the relational and context-dependent nature of cognition, paralleling the way enactivism rejects a static, representational self. This bridge provides a novel interdisciplinary method to formalize cognition, without reducing it to either extreme of objectivism or subjectivism.

2.4 The middle way between rigidity and flexibility

Traditional approaches in Western philosophy have struggled to reconcile the dichotomy between rigidity and flexibility. Wittgenstein's *Tractatus* represents an approach mired in excessive rigidity, where the world is treated as a system of facts laid out in logical space, and meaning is strictly determined by reference. In contrast, *Philosophical Investigations* swings toward excessive flexibility, where meaning is entirely a matter of use, creating the potential for a kind of interpretative nihilism.

The "middle way" proposed here avoids these extremes, much like certain paths in Eastern philosophy that navigate between objectivism and nihilism. This approach aligns well with the Madhyamaka tradition in Buddhism — which holds that all phenomena are empty of inherent existence — but this does not lead to nihilism. Instead, it leads to a relational understanding of phenomena. This work seeks to balance the formal structure of topos theory with the flexibility of enactivism by understanding cognition as the adjunction between action and perception.

2.5 $\hat{S}\bar{u}nyat\bar{a}$ and initial object

The *initial object* I in a category C is the object I^1 with the universal property that there is a unique arrow $I \to X$. The universality condition means that for any object J with a unique arrow $J \to X$ there is a unique arrow $k \colon I \to J$ such that



commutes; $J \to X$ "factors through" $I \to X$ "via" k. The terminal object is obtained by flipping the directions of all of the arrows.

In the category of contexts, if the arrow structure is causal then the initial object 0 is the context that is prior to all other contexts, that is, the unique context from which any other context is possible: **the self**. And the terminal object is the final context, which one might call God or the universe.

In the category of sets **Set**, the initial object is the empty set \emptyset , as for any set A we have a unique arrow $\emptyset \to A$, namely, the empty arrow $\emptyset \subset \emptyset \times A$. The singleton $\{*\}$ is the terminal object, as there is only one function $A \to \{*\}$ for any set A, namely, the function $a \mapsto *$.

2.6 Wigner's puzzle and Maslow's hammer

Eugene Wigner famously puzzled over the "unreasonable effectiveness" of mathematics in the natural sciences, pointing out that mathematical concepts seem to fit the physical world in surprisingly

¹If it exists.

fruitful and precise ways, even in contexts where this fit appears coincidental. Wigner likened this to having a bunch of keys and always finding the right one for every door, despite not understanding why the key fits.

Maslow's hammer – the notion that "if all you have is a hammer, then everything looks like a nail" — warns against overapplying a single tool or framework to every problem. The author's past work [13] on topos theory admittedly biases them towards believing that it can be used "fruitfully" scientifically. One *always* does well to recall that cognitive sophistication does not attenuate the bias blind spot [14], and in fact it likely increases it.

But on the other hand, if all you have is a hammer, then why bother with anything that *isn't* a nail? Nails are things to hammer: Wigner's puzzle is actually no puzzle at all. Lived experience and the "natural world" are different aspects of the same fundamental process, so one should expect to find similarity in their structure. That similarity is described by the enactment of cognition (e.g., as an adjunction).

2.7 Preview

Topos theory offers a generalization of set theory and its foundations. The fundamental relation becomes not the structural, hierarchical relation \in of set membership but the arrow \rightarrow between objects. This corresponds to the development from a fixed, representational self to a relational understanding of identity.

Let **Con** denote the category of contexts and let **Beh** denote the category of behaviors. Then cognition can be expressed as an adjunction $\mathcal{A} \dashv \mathcal{P}$, where

- action is expressed as a functor $A : \mathbf{Con} \to \mathbf{Beh}$
- perception is expressed as a functor $\mathcal{P} \colon \mathbf{Beh} \to \mathbf{Con}$.

That is, cognition consists of a bijection

$$\Psi_{CB}$$
: $\operatorname{Hom}(\mathcal{A}(C), B) \cong \operatorname{Hom}(C, \mathcal{P}(B))$

that is natural² in both C and B, for any context C and behavior B.

For each transformation of action such that one responds to C not with $\mathcal{A}(C)$ but with B, there is a unique transformation of perception such that one perceives B not as $\mathcal{P}(B)$ but as C. More plainly, "be the change you want to see in the world".

In what follows, I will demonstrate that the diagram

is an operationalizable³ characterization of local truth. One says suggestively here that U forces φ , written $U \vDash \varphi(\alpha)$.

²Make this precise or don't say it.

³Again, in what sense?

3 Mathematics

In this section, we introduce the mathematical concepts necessary for our framework, aiming to make them accessible through intuitive explanations and examples.

3.1 Category Theory

Definition 3.1. A category C consists of:

- (a) A collection of **objects** X, Y, Z, \ldots
- (b) For each pair of objects X and Y, a collection of morphisms (or arrows) $f: X \to Y$.
- (c) For each object X, an identity morphism $1_X: X \to X$.
- (d) A composition law that assigns to each pair of morphisms $f: X \to Y$ and $g: Y \to Z$ a morphism $g \circ f: X \to Z$.

These must satisfy the following axioms:

- 1. **Associativity**: For morphisms $f: W \to X$, $g: X \to Y$, and $h: Y \to Z$, we have $h \circ (g \circ f) = (h \circ g) \circ f$.
- 2. **Identity**: For every morphism $f: X \to Y$, $1_Y \circ f = f$ and $f \circ 1_X = f$.

A prototypical example of a category is **Set**, the category whose objects are sets and whose morphisms are functions between sets.

3.1.1 Categories of interest

Definition 3.2. Let Con denote the category of contexts, where:

- 1. Objects: Each object is a moment of conscious experience.
- 2. Morphisms: Arrows represent causal structures or transitions between contexts.

Definition 3.3. Let Beh denote the category of behaviors, where:

- 1. Objects: Each object is a behavior in lived experience.
- 2. Morphisms: Arrows represent causal structures or transitions between behaviors.

3.1.2 Functors

Definition 3.4. A functor $F: \mathcal{C} \to \mathcal{D}$ between categories \mathcal{C} and \mathcal{D} assigns:

- To each object X in C, an object F(X) in \mathcal{D} .
- To each morphism $f: X \to Y$ in C, a morphism $F(f): F(X) \to F(Y)$ in D.

Such that:

- 1. $F(id_X) = id_{F(X)}$.
- 2. $F(g \circ f) = F(g) \circ F(f)$.

Functors are structure preserving arrows between categories in the sense that they commute with composition.

3.2 Limits and Colimits

Understanding limits and colimits is essential for grasping the structural aspects of categories that model cognitive processes.

Definition 3.5. A limit of a diagram $D: \mathcal{J} \to \mathcal{C}$ in a category \mathcal{C} is a universal cone to D. Concretely, it consists of an object L in \mathcal{C} and a family of morphisms $\{\pi_j: L \to D(j)\}_{j \in \mathcal{J}}$ such that for every morphism $f: j \to k$ in \mathcal{J} , $D(f) \circ \pi_j = \pi_k$. Moreover, for any other cone $(N, \{\phi_j: N \to D(j)\})$, there exists a unique morphism $u: N \to L$ such that $\pi_j \circ u = \phi_j$ for all $j \in \mathcal{J}$.

Definition 3.6. A colimit is the dual notion of a limit. It is a universal cocone from a diagram $D: \mathcal{J} \to \mathcal{C}$.

3.2.1 Finite Limits

Definition 3.7. A category C has **finite limits** if it has all limits of finite diagrams. This includes the existence of products, equalizers, and a terminal object.

Definition 3.8. A pullback (or fibered product) of two morphisms $f: X \to Z$ and $g: Y \to Z$ in a category C is a limit of the diagram consisting of X, Y, and Z with f and g as the morphisms to Z. It is denoted by:

$$\begin{array}{ccc}
P & \longrightarrow Y \\
\downarrow & & \downarrow g \\
X & \xrightarrow{f} Z
\end{array}$$

where P is the pullback object.

In **Set**, the pullback of f and q is the set $\{(x,y) \in X \times Y \mid f(x) = q(y)\}.$

3.3 Monomorphisms and Epimorphisms

Definition 3.9. A morphism $f: X \to Y$ in a category C is a **monomorphism** (or **mono**) if for all objects Z and all pairs of morphisms $g_1, g_2: Z \to X$, $f \circ g_1 = f \circ g_2$ implies $g_1 = g_2$.

Definition 3.10. A morphism $f: X \to Y$ in a category C is an **epimorphism** (or **epi**) if for all objects Z and all pairs of morphisms $g_1, g_2: Y \to Z$, $g_1 \circ f = g_2 \circ f$ implies $g_1 = g_2$.

In **Set**, monomorphisms are injective functions, and epimorphisms are surjective functions.

3.4 Equalizers and Coequalizers

Definition 3.11. Given two parallel morphisms $f,g:X\rightrightarrows Y$ in a category \mathcal{C} , an **equalizer** of f and g is a morphism $e:E\to X$ such that $f\circ e=g\circ e$, and for any morphism $m:M\to X$ with $f\circ m=g\circ m$, there exists a unique morphism $u:M\to E$ such that $e\circ u=m$.

Definition 3.12. A coequalizer of two parallel morphisms $f,g:X\rightrightarrows Y$ is a morphism $q:Y\to Q$ such that $q\circ f=q\circ g$, and for any morphism $m:Y\to M$ with $m\circ f=m\circ g$, there exists a unique morphism $u:Q\to M$ such that $u\circ q=m$.

3.5 Subobjects and Subobject Classifier

Definition 3.13. A subobject of an object X in a category C is an equivalence class of monomorphisms into X, where two monomorphisms $f \colon A \to X$ and $g \colon B \to X$ are considered equivalent if there exists an isomorphism $h \colon A \to B$ such that $f = g \circ h$.

Definition 3.14. A subobject classifier in a category C is an object Ω together with a monic true: $1 \to \Omega$ such that for every subobject of X, represented by some monic $m: A \to X$, there exists a unique morphism $\chi_m: X \to \Omega$ making the following diagram a pullback:

$$\begin{array}{ccc} A & \longrightarrow & 1 \\ \downarrow^m & & \downarrow_{true} \\ X & \stackrel{\chi_m}{\longrightarrow} & \Omega \end{array}$$

In **Set**, the subobject classifier is the two-element set $1 + 1 = \{\text{true}, \text{false}\}$, and χ_m maps each element of X to true if it is in the subset A and to false otherwise.

3.6 Topos Theory

Definition 3.15. A topos \mathcal{E} is a category that satisfies the following properties:

- 1. \mathcal{E} has all finite limits.
- 2. \mathcal{E} has exponentials; that is, for any objects X and Y in \mathcal{E} , there exists an exponential object Y^X .
- 3. \mathcal{E} has a subobject classifier Ω .

Topos theory generalizes set theory and provides a framework for mathematical logic and geometry. A topos can be seen as a universe of varying contexts, each equipped with its own internal logic.

3.6.1 Internal Logic of a Topos

Each topos \mathcal{E} has an internal language that is intuitionistic, meaning that it does not necessarily satisfy the law of excluded middle (LEM). The internal logic allows for reasoning about objects and morphisms within the topos as if they were sets and functions, but with a more flexible logical foundation.

3.6.2 Sheaves and Presheaves

Definition 3.16. A presheaf on a category C is a functor $F: C^{op} \to \mathbf{Set}$.

Definition 3.17. A sheaf on a topological space X is a presheaf that satisfies the sheaf axioms, which ensure that local data can be uniquely glued together to form global data.

Sheaf theory provides a way to systematically track locally defined data attached to the open sets of a topological space, enabling the formalization of context-dependent truth.

3.7 Adjunctions in Category Theory

Definition 3.18. An adjunction between two categories C and D consists of two functors:

$$\mathcal{A} \colon \mathcal{C} \to \mathcal{D}$$
 and $\mathcal{P} \colon \mathcal{D} \to \mathcal{C}$

and a natural bijection

$$\phi_{CB} \colon Hom_{\mathcal{D}}(\mathcal{A}(C), B) \cong Hom_{\mathcal{C}}(C, \mathcal{P}(B))$$

for all objects C in C and B in D.

In this context, \mathcal{A} is the **left adjoint** and \mathcal{P} is the **right adjoint** and we write $\mathcal{A} \dashv \mathcal{P}$.

4 Cognition as adjunction

4.1 Defining the categories

Definition 4.1. The category **Con** (Contexts):

- Objects: Contexts or situations in which cognition occurs.
- Arrows: Transitions or relationships between contexts.

Example 4.1. A context could be a visual scene, and a morphism could represent the act of shifting attention within that scene.

Definition 4.2. The category **Beh** (Behaviors):

- Objects: Behaviors or actions taken by an agent.
- Arrows: Processes transforming one behavior into another.

Example 4.2. A behavior could be reaching for an object, and a morphism could represent the modification of that action due to environmental feedback.

4.2 Modeling action and perception as functors

We model action and perception as functors between these categories:

- Action Functor $A : \mathbf{Con} \to \mathbf{Beh} : \mathbf{Maps}$ contexts to behaviors.
- Perception Functor $\mathcal{P} \colon \mathbf{Beh} \to \mathbf{Con} \colon$ Maps behaviors to resulting contexts.

4.3 Establishing the Adjunction

The adjunction $\mathcal{A} \dashv \mathcal{P}$ captures the reciprocal relationship between action and perception.

Theorem 4.1. For any context C and behavior B there is a bijection

$$\phi_{C,B} : Hom_{Beh}(\mathcal{A}(C), B) \cong Hom_{Con}(C, \mathcal{P}(B))$$

between such behavioral and contextual transformations that is natural in both C and B.

Proof. This is straight up the definition of $\mathcal{A} \dashv \mathcal{P}$.

This adjunction formalizes the idea that actions are generated from contexts and, in turn, behaviors influence subsequent contexts through perception. It embodies the enactivist view that cognition is a continuous loop of action and perception.

In this diagram, the dotted arrow represents the process of enaction, combining action and perception into a cohesive cognitive process.

5 The Empty Self and $\hat{Sunyata}$

5.1 Initial Object in Category Theory

Definition 5.1. An initial object in a category C is an object 0 such that for every object X in C, there exists a unique morphism $0 \to X$.

Example 5.1. In **Set**, the empty set \emptyset is the initial object. To see this, note that

5.2 Self as the Initial Object

We propose that the self can be modeled as the initial object in **Con**.

Remark 5.1. The self, being the origin of all experiences, maps uniquely to every context but lacks inherent structure within this framework, aligning with the notion of an initial object.

5.3 Connection to $\hat{Sunyata}$

In Buddhist philosophy, $\dot{sunyata}$ refers to the emptiness of inherent existence in all phenomena, including the self [3].

"Form is emptiness, emptiness is form." – Heart Sutra

Our model reflects this by representing the self as devoid of intrinsic properties, defined only through its relations (morphisms) to contexts.

5.4 Philosophical Implications

This conceptualization challenges the traditional notion of a fixed, representational self and supports a relational understanding of identity.

6 Examples and Applications

6.1 A Simple Cognitive Process

Consider an agent navigating a maze.

- Contexts: The agent's current location and perception of the maze.
- Behaviors: Movements such as turning left or right.

- Action Functor A: Given a context (current location), the functor maps to a behavior (choose direction).
- **Perception Functor** \mathcal{P} : Given a behavior (movement), the functor maps to a new context (new location).

The adjunction captures how the agent's decision to move influences its perception and how that perception informs future actions.

6.2 Expanded Example: Language Acquisition

Traditional models of language acquisition often rely on a representational framework where the brain is seen as storing internal models of grammar and vocabulary, which are then retrieved and applied during language use. In contrast, our adjunction-based model emphasizes the dynamic interaction between the agent and its linguistic environment.

For instance, in language learning:

- Contexts: Linguistic environments (e.g., hearing spoken language, reading text).
- Behaviors: Speech acts (e.g., speaking, writing, gesturing).
- Action Functor A: Maps contexts (hearing a word) to behaviors (attempting to use the word).
- **Perception Functor** \mathcal{P} : Maps behaviors (the act of speaking) to resulting contexts (the listener's reaction, or self-correction based on feedback).

In our model, this interaction forms a natural adjunction: for every linguistic context, there is a corresponding set of actions that influence the learner's perception, which in turn modifies future actions. Unlike rigid representational models, this adjunction-based framework captures the dynamic, relational nature of language acquisition as proposed by enactivism.

6.3 Implications for Artificial Intelligence

Modeling cognition in this way can inform the development of AI systems that learn and adapt through interaction, rather than relying solely on pre-programmed representations.

7 Implications for Cognitive Science and Philosophy

7.1 Hard problem of consciousness

This framework not only aligns with enactivism but also contributes to ongoing debates in philosophy of mind, particularly concerning the "hard problem" of consciousness (Chalmers). By rejecting the notion of a fixed, representational self and adopting a relational view through the adjunction framework, we propose that consciousness emerges through the ongoing interaction between the self and its contexts.

This relational view contrasts with modern theories such as Dennett's "self as a narrative" and Metzinger's "Ego Tunnel," which treat the self as either an illusion or a construct. Instead,

our model suggests that the self, as the initial object, is devoid of intrinsic structure and gains meaning only through its dynamic relations to contexts — paralleling both enactivism and Eastern philosophies such as $\delta \bar{u} nyat\bar{a}$.

7.2 Context-Dependence and Situatedness

By modeling contexts explicitly, we highlight the importance of situatedness in cognitive processes, aligning with embodied cognition theories [4].

7.3 Bridging Eastern and Western Thought

The correspondence between the empty self and $\dot{su}nyat\bar{a}$ offers a philosophical bridge that enriches both traditions.

8 Related Work

8.1 Enactivism in Cognitive Science

Our work builds upon the foundational ideas of enactivism [1], extending them with mathematical rigor.

8.2 Category Theory in Cognitive Models

Previous attempts to apply category theory to cognition include conceptual spaces [12] and neural network architectures [11].

8.3 Philosophical Perspectives on the Self

The concept of the self as relational is explored in both Western [10] and Eastern philosophies [3].

9 Future Work

9.1 Formalizing the Categories

Further research will focus on precisely defining the objects and morphisms in **Con** and **Beh**, potentially using empirical data.

9.2 Potential Empirical Validation

While this framework is currently theoretical, it lends itself to empirical validation in domains such as cognitive neuroscience or AI. For instance, one can design experiments where an agent (biological or artificial) interacts with an environment, and one can track how action-perception cycles form adjunctions that influence behavior over time.

Specifically, reinforcement learning systems, where an agent learns through interaction with an environment, could be adapted to model the adjunction $\mathcal{A} \dashv \mathcal{P}$. By comparing this adjunction-based

model to traditional AI systems that rely on internal representations, we can empirically investigate whether the adjunction model provides a more accurate or efficient description of cognitive processes.

9.3 Extensions to Other Cognitive Domains

Exploring how this framework applies to social cognition, emotions, and consciousness.

10 Conclusion

This work has presented a novel framework to expresses cognition as an adjunction between action and perception, capturing the dynamic interplay central to enactivism. By conceptualizing the self as the initial object, we align with the philosophical concept of $s\bar{u}nyat\bar{a}$, offering a relational understanding of identity. This interdisciplinary approach provides new insights into cognitive science and opens avenues for future research.

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