

# Decomposition Structures for Soft Constraints Evaluation Problems

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# Outline

- ▶ Introduction of an algebraic framework for representing and solving Soft Constraint Evaluation Problems
  - ▶ Syntax inspired by process algebras
- ▶ Correspondence between tree decompositions and terms of the SCEP algebra
- ▶ Polyadic soft constraints as an interpretation of the given algebra
- ▶ Examples of application

# Constraint Satisfaction Problems and dynamic programming

- ▶ Constraint Satisfaction Problems can be represented as (hyper)graphs
  - ▶ Intuitively, given a domain of values, a set of variables and a set of constraints, we want to find an assignment of the variables s.t. all the constraints are satisfied
  - ▶ Further operations required to combine or remove constraints
  - ▶ CSP solving is NP-complete
- ▶ Dynamic programming applies well to CSPs:
  - ▶ We can decompose the problem and then repeatedly solve the subproblems
  - ▶ Deciding the best decomposition is known as *secondary optimization problem*: NP-complete

# Soft constraints

- ▶ More flexible than classical constraints
  - ▶ Each soft constraint associates a certain assignment to a value in a poset
  - ▶ To solve an SCSP we seek for an assignment of the variable s.t. the total amount is minimized or maximized, due to the fact that we express negative or positive preferences
- ▶ SCSPs are difficult to represent and compose
  - ▶ Need for a generalisation which allows for representing both the problem's structure and the solution process via an algebraic framework
  - ▶ Decomposition and solving are thus correct by construction

# Algebraic structures for soft constraints

- ▶ Absortive semirings (also known as c-semirings)  $\langle S, \oplus, \otimes, 0, 1 \rangle$ 
  - ▶ The  $\oplus$  operator is idempotent and 1 is its absorbing element
  - ▶ The  $\otimes$  operator is associative and commutative
- ▶  $\oplus$  is often used to induce the order on the elements of such a semiring
  - ▶ We have  $a \leq b \iff a \oplus b = b$
- ▶ We use  $\otimes$  to combine constraints
- ▶ We may additionally require some kind of "subtraction"
  - ▶ Based on residuation theory
  - ▶ The residuation operator is a kind of weak inverse of  $\otimes$

# Algebraic structures for soft constraints

- ▶ Properties of semirings are useful for manipulating soft constraints
  - ▶ Associativity and commutativity of  $\otimes$  guarantee the order of combination to be irrelevant
  - ▶ Absortivity enforces the fact that adding constraints decreases the number of solutions
  - ▶ The existence of the 0 element represents the crisp feature of total dislike of any solution involving a certain assignment
  - ▶ The unit 1 allows for modelling the crisp feature of "indifference" for a certain constraint

# Polyadic Soft Constraints

- ▶ A formalism to manipulate soft constraints based on polyadic algebras
  - ▶ Two families of operators, called *cylindrification* and *polyadic substitution* model variables hiding and parameters passing
  - ▶ Allows for a polynomial representation of soft constraints
- ▶ This algebra respects both the weak and the strong SCEP specification, thus representing an interpretation of the initial algebra

## Soft Constraints and concrete networks

- ▶ We assume  $\mathcal{E}_C$  to be a ranked alphabet of soft constraints, equipped with an arity function  $ar : \mathcal{E}_C \rightarrow \mathbb{N}$  and a set of variables  $\mathbb{V}$
- ▶ A *concrete network* is a pair  $I \blacktriangleright N$  where
  - ▶  $N = (V_N, E_N, a_N, lab_N)$  is a labelled hypergraph over  $\mathcal{E}_C$  with no isolated vertices
  - ▶ Vertices are a subset of  $\mathbb{V}$
  - ▶  $I$  is a set of interface variables
- ▶ We also assume that  $\mathcal{E}_C$  has a function  $var : \mathcal{E}_C \rightarrow \mathbb{V}^*$  which assigns a tuple of distinct canonical variables to each constraint, i.e.  $var(A) \cap var(B) = \emptyset$  if  $A \neq B$

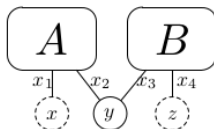


- └ Networks and tree decomposition
- └ Concrete and abstract networks

## Example

- ▶  $A, B$  constraints with  
 $ar(A) = ar(B) = 2$
- ▶  $var(A) = (x_1, x_2)$ ,  
 $var(B) = (x_3, x_4)$
- ▶  $V_N = \{x, y, z\}$ ,  
 $E_N = \{e_1, e_2\}$
- ▶  $a_N(e_1) = \langle x, y \rangle$ ,  
 $a_N(e_2) = \langle y, z \rangle$
- ▶  $lab_N(e_1) = A$ ,  $lab_N(e_2) = B$

- ▶ The concrete network  $\{y\}$  ▶  $N$ :



## Soft Constraint Satisfaction Problems

- ▶ A Soft Constraint Satisfaction Problem (SCSP) is a tuple  $(I \blacktriangleright N, \mathbb{D}, S, val)$
- ▶ A *value*  $val_A$  is a function giving a cost in  $S$  to each assignement of canonical variables in  $A$ 
  - ▶ We will use  $val_e$  as a function giving a cost to every assignement to variables  $e$  is attached to
- ▶ Variables  $I$  are those of interest
- ▶ The solution is a function  $sol : (I \rightarrow \mathbb{D}) \rightarrow S$ : for each assignement  $\rho : I \rightarrow \mathbb{D}$

$$sol(\rho) = \bigoplus_{\{\rho' : V_N \rightarrow \mathbb{D} \mid \rho' \downarrow_I = \rho\}} \left( \bigotimes_{\{i \mid e_i \in E_N\}} val_e(\rho' \downarrow_{a_N(e_i)}) \right)$$

- └ Networks and tree decomposition
- └ Concrete and abstract networks

## A canonical representative for networks

- ▶ Since we are interested only in interface variables, we can define isomorphisms between networks
- ▶ Then two networks are isomorphic if there is an isomorphism between them preserving the interface variables
- ▶ An abstract network  $I \triangleright N$  is an isomorphism class of concrete networks
- ▶ In the following we assume the choice of a canonical representative of abstract networks

# Tree decomposition

- ▶ A decomposition of a graph can be represented as a tree decomposition
  - ▶ Each vertex is a piece of the graph
- ▶ A (rooted) tree decomposition of a hypergraph  $G$  is a pair  $\mathcal{T} = (T, X)$  where  $T$  is a rooted tree and  $X = \{X_t\}_{t \in V_T}$  is a family of subset of  $V_G$  s.t.
  - ▶ For each vertex  $v \in V_G$  there exists a vertex of  $\mathcal{T}$  s.t.  $v \in X_t$
  - ▶ For each hyperedge  $e \in E_G$  there is a vertex of  $\mathcal{T}$  s.t.  $a_G(e) \subseteq X_t$
  - ▶ Each subtree generated from  $v \in V_G$  is a rooted tree

## Tree decomposition

- ▶ The decomposition of a network  $I \triangleright N$  is a decomposition of  $N$  where we choose as a root a vertex of  $\mathcal{T}$  containing all the interface variables
- ▶ We'll provide a translation from tree decompositions to SCEP (weak) terms: this will enable applying algebraic techniques to tree decompositions
- ▶ We'll refer to *completed versions* of tree decompositions, which explicitly associate components of  $N$  to vertices of  $\mathcal{T}$ 
  - ▶ for each  $v \in V_N (e \in E_N)$ ,  $t_v(t_e)$  is the vertex closest to the root of  $\mathcal{T}$  s.t.  $v \in X_{t_v}$

## SCEP signature

- ▶ SCEP algebras are *permutation algebras*
- ▶ The SCEP-signature (*s-signature*) is given by the following grammar:

$$p, q := p \parallel q \mid (x)p \mid p\pi \mid A(\tilde{x}) \mid nil$$

- ▶ The free variables of  $p$  ( $fv(p)$ ) are defined recursively as expected
  - ▶ the restriction  $(x)$  is a bounding operator
  - ▶ the free variables of  $p\pi$  are just  $\pi(fv(p))$

## Strong SCEP specification

- ▶ A certain set of axioms together with the signature give the strong SCEP specification (*s-specification*)
  - ▶  $\parallel$  forms a commutative monoid
  - ▶ Restrictions can be swapped and  $\alpha$ -converted
  - ▶ Permutations distribute over syntactic operators

$(\mathbf{AX}_{\parallel})$

$$p \parallel q \equiv_s q \parallel p \quad (p \parallel q) \parallel r \equiv_s p \parallel (q \parallel r) \quad p \parallel \mathbf{nil} \equiv_s p$$

$(\mathbf{AX}_{(x)})$

$$(x)(y)p \equiv_s (y)(x)p \quad (x)\mathbf{nil} \equiv_s \mathbf{nil}$$

$(\mathbf{AX}_{\alpha})$

$$(x)p \equiv_s (y)p[x \mapsto y] \quad (y \notin \text{fv}(p))$$

$(\mathbf{AX}_{SE})$

$$(x)(p \parallel q) \equiv_s (x)p \parallel q \quad (x \notin \text{fv}(q))$$

$(\mathbf{AX}_{\pi})$

$$p \text{ id} \equiv_s p \quad (p\pi')\pi \equiv_s p(\pi \circ \pi')$$

$(\mathbf{AX}_{\pi}^p)$

$$A(x_1, \dots, x_n)\pi \equiv_s A(\pi(x_1), \dots, \pi(x_n)) \quad \mathbf{nil} \pi \equiv_s \mathbf{nil} \quad (p \parallel q)\pi \equiv_s p\pi \parallel q\pi$$

$$((x)p)\pi \equiv_s (\pi(x))(p\pi)$$

## Weak SCEP specification

- ▶ The axiom  $AX_{SE}$  in the s-specification states that the scope of restricted variables can be narrowed to terms where they occur free
- ▶ This cause s-terms with different decompositions to be equivalent
- ▶ To distinguish different decompositions, we define a *weak* SCEP specification (*w-specification*) where  $AX_{SE}$  is replaced with

$$(AX_{(x)}^w) \quad (x)p \equiv_w p \quad (x \notin fv(p))$$



## Normal and canonical form

- ▶ W-terms can be seen as networks having a hierarchical structure
- ▶ Normal and canonical forms are those of interest
- ▶ A w-term is said to be in normal form whenever it is of the form  $(\tilde{x})(A_1(\tilde{x}_1) \parallel \dots \parallel A_n(\tilde{x}_n))$
- ▶ A w-term is said to be in canonical form whenever is obtained by the repeated application of  $(AX_{SE})$  until termination
- ▶ As we'll see, a term's form have an impact on its evaluation complexity

# Soundness and completeness of networks

- ▶ The s-specification is sound and complete w.r.t. networks
- ▶ We can define a translation function which, given a concrete network  $I \blacktriangleright N$ , gives us the corresponding s-term and viceversa
  - ▶  $term(I \blacktriangleright N) = (V_N \setminus I)(A_1(\tilde{x}_1) \parallel \dots \parallel A_n(\tilde{x}_n))$
  - ▶  $net(p) = fv(p) \blacktriangleright N_p$
- ▶ Completeness follows by the fact that if  $net(n_1) \cong net(n_2)$ , then  $n_1 \equiv_s n_2$

## SCSPs as SCEPs

- ▶ SCSPs can be represented and solved as SCEPs
- ▶ SCEPs are indeed more general than SCSPs
  - ▶ There exist optimisation problems which are SCEPs and cannot be represented as SCSPs
- ▶ Thus we can define an algebra  $\mathcal{V}$  s.t.  $\llbracket I \triangleright N \rrbracket^{\mathcal{V}}$  gives the solution of the SCSP defined over the network

**Theorem** Given an SCSP with underlying network  $I \triangleright N$  and value functions  $val_A$ , we have that  $I \triangleright N$  evaluated in  $\mathcal{V}$  ( $\llbracket I \triangleright N \rrbracket^{\mathcal{V}}$ ) is its solution

# Evaluation Complexity

$$\begin{aligned} \langle\langle p \parallel q \rangle\rangle &= \max \{ \langle\langle p \rangle\rangle, \langle\langle q \rangle\rangle, |fv(p \parallel q)| \} & \langle\langle (x)p \rangle\rangle &= \langle\langle p \rangle\rangle & \langle\langle p\pi \rangle\rangle &= \langle\langle p \rangle\rangle \\ \langle\langle A(\tilde{x}) \rangle\rangle &= |set(\tilde{x})| & \langle\langle \mathbf{nil} \rangle\rangle &= 0 \end{aligned}$$

- ▶ We now introduce a notion of complexity of w-terms ( $\langle\langle - \rangle\rangle$ )
- ▶ The complexity of  $p$  ( $\langle\langle p \rangle\rangle$ ) is the maximum "size" of elements of an algebra  $\mathcal{A}$  computed while inductively constructing  $\llbracket p \rrbracket^{\mathcal{A}}$

**Theorem** Given a term  $p$ , let  $n$  be its normal form. For all canonical forms  $c$  of  $p$  we have  $\langle\langle c \rangle\rangle \leq \langle\langle n \rangle\rangle$

## Tree decompositions as w-terms

- ▶ Given a network  $I \triangleright N$ , let  $\mathcal{CT} = (\mathcal{T}, \{t_x\}_{x \in E_N \cup V_N})$  the completed version of one of its tree decompositions
- ▶ We translate  $\mathcal{CT}$  into a w-term:
  - ▶ Given  $t \in \mathcal{T}$ , let  $V(t) = \{v \in V_N \mid t_v = t\}$  and  $E(t) = \{e \in E_N \mid t_e = t\}$
  - ▶ Suppose  $t$  has children  $t_1, \dots, t_n$  and  $E(t) \setminus \{e_1, \dots, e_k\}$
  - ▶ Let  $\tilde{x} = V(t) \setminus I$
- ▶ The term  $\chi(t)$  is inductively defined as:
$$\chi(t) = (\tilde{x})(A_1(\tilde{x}_1) \parallel \dots \parallel A_k(\tilde{x}_k) \parallel \chi(t_1) \parallel \dots \parallel \chi(t_n))$$

- └ Networks and tree decomposition
- └ Tree decompositions as w-terms

## Tree decompositions as w-terms

- ▶ Given a tree decomposition of  $\mathcal{T}$  rooted in  $r$ , the corresponding w-term  $wterm(\mathcal{T})$  is  $\chi(r)$  computed on the completed version of  $\mathcal{T}$
- ▶  $wterm(\mathcal{T})$  correctly represents the network  $\mathcal{T}$  decomposes: if  $\mathcal{T}$  is a tree decomposition for  $I \triangleright N$ , then
$$\llbracket wterm(\mathcal{T}) \rrbracket^{\mathcal{N}} = I \triangleright N$$
- ▶ Furthermore, given a tree decomposition  $\mathcal{T}$ , we have
$$\langle\langle wterm(\mathcal{T}) \rangle\rangle \leq width(\mathcal{T})$$

## An algorithm for computing canonical decomposition

- ▶ Based on *bucket elimination*
  - ▶ Differently from it, the introduced algorithm produces all and only canonical terms
- ▶ Here putting a constraint in the bucket of its last variable means to apply the  $(AX_{SE})$  axiom
- ▶ The input are an s-term  $(R)A$  in normal form and a total order  $O_R$  over  $R$
- ▶ The output is a w-term  $P$  in canonical form

**Theorem**  $C$  is a canonical form of  $R(A)$  if and only if there is  $O_R^C$  s.t. the algorithm with inputs  $(R)A$  and  $O_R^C$  outputs  $C$

# An algorithm for computing canonical decomposition

**Inputs:** s-term  $(R)A$  in normal form; a total order  $O_R$  over  $R$ .

**Output:** w-term  $P$  in canonical form.

```

1   $P \leftarrow (R)A$ 
2  while  $O_R \neq \emptyset$ 
3       $x \leftarrow \text{extract max } O_R$ 
4       $O_R \leftarrow O_R \setminus \{x\}$ 
5      find all terms  $A' \subseteq A$  such that  $x \in fv(A')$ 
6      if  $A' = \{(R')P'\}$  where  $P'$  has no top-level restriction
7           $Q \leftarrow \text{call the algorithm on } (x)P' \text{ with order } \{(x, x)\}$ 
8           $P'' \leftarrow (R')Q$ 
9      else  $P'' \leftarrow (x)A'$ 
10      $P \leftarrow (R \setminus \{x\})A \setminus A' \cup \{P''\}$ 
11 return  $P$ 

```



# PSC as an interpretation of the initial algebra

- ▶ We define the algebra  $\mathcal{P}$  of polyadic soft constraints
- ▶ Constants are  $A^{\mathcal{P}}(x_1, \dots, x_n)\eta = c_{(x_1, \dots, x_n)}(\eta \circ \hat{\sigma})$ ,  $nil^{\mathcal{P}} = 0$
- ▶ Operations are
  - ▶  $((X)^{\mathcal{P}}c)\eta = (\exists_X c)\eta = \bigvee_{\rho} \{c\rho \mid \eta|_{V \setminus X} = \rho|_{V \setminus X}\}$
  - ▶  $c\pi^{\mathcal{P}} = (s_{\pi}c)\eta = c(\eta \circ \pi)$
  - ▶  $c_1 \parallel^{\mathcal{P}} c_2 = (c_1\eta) \otimes (c_2\eta)$
- ▶  $\hat{\sigma}$  is used to map  $var(A)$  to  $\langle x_1, \dots, x_n \rangle$

## Weak and strong axioms for $\mathcal{P}$

- ▶ Axioms for  $\alpha$ -conversion and swapping of restrictions hold in  $\mathcal{P}$ , as well as those involving permutations and parallel composition
- ▶  $\mathcal{P}$  is a w-algebra: it holds  $(\exists_X c)\eta = c\eta$  if  $X \cap \text{supp}(c) = \emptyset$   
 $(AX_{(x)}^w)$
- ▶  $\mathcal{P}$  is an s-algebra: it holds  
 $X \cap \text{supp}(c_2) = \emptyset \implies (\exists_X (c_1 \otimes c_2))\eta = (\exists_X (c_1 \otimes \exists_X c_2))\eta =$   
 $(\exists_X c_1 \otimes \exists_X c_2)\eta = (\exists_X c_1)\eta \otimes (\exists_X c_2)\eta = (\exists_X c_1)\eta \otimes c_2\eta$

## Example

- ▶ Consider a network where meeting activities for a group require the existence of paths between every pair of collaborators
- ▶ We assume that the network is composed of end-to-end two-way connections with independent probabilities of failure
- ▶ We want to find the probability of a certain group to stay connected
- ▶ We consider a graph with probabilities associated to each edge: the solution is the probability of some interface vertices staying connected

## Example

- ▶ We evaluate networks  $I \triangleright N$  into an algebra of probability distributions on the partitions of  $I$  ( $Part(I)$ )
- ▶ If we are interested in a group  $J$ , we compute the probability distribution  $P$  for  $J \triangleright N$  and then we select  $P(\{J\})$
- ▶ Let us focus on parallel composition, defined as:

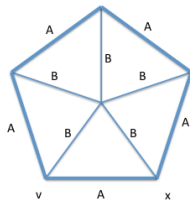
$$\llbracket I_1 \triangleright N_1 \parallel I_2 \triangleright N_2 \rrbracket^{\mathcal{D}} \Pi = \sum_{\Pi' \in Part(I \cup x) \mid \Pi' - x = \Pi} \llbracket I_1 \triangleright N_1 \rrbracket^{\mathcal{D}} \Pi_1 \times \llbracket I_2 \triangleright N_2 \rrbracket^{\mathcal{D}} \Pi_2$$

- ▶ Here  $\Pi \in Part(I_1 \cup I_2)$  and each  $\Pi_1, \Pi_2$  must belong to  $Part(I_1)$  and  $Part(I_2)$  respectively. The  $\cup$  operator produces the finest partition coarser than the two components and  $\times$  is the multiplication on reals

## Formal specification

- ▶ Considered networks are wheels  $W_k(v, x)$
- ▶ For  $k = 2$  we have the following graph:

$$\begin{aligned}
 R_0(x, y, z) &= A(x, y) \parallel B(x, z) \\
 R_{i+1}(x, y, z) &= (v)(R_i(x, v, z) \parallel R_i(v, y, z)) \\
 W_k(v, x) &= (z)(R_k(x, v, z) \parallel A(v, x) \parallel B(v, z)) \\
 FW_k(v, x) &= (z)(R_k(x, v, z) \parallel B(v, z))
 \end{aligned}$$



## Non existence of an SCSP formulation

- ▶ The given SCEP does not fit the SCSP format
- ▶ Suppose to define the problem as an SCSP:
  - ▶ a partition in  $Part(I)$  can be represented as an assignment of variables  $I$
  - ▶ the solution is the probability  $sol(\Pi)$  associated to a partition  $\Pi$
- ▶ The solution in the SCSP case, for any two networks, is  $val_{N_1}(\Pi_1) \otimes val_{N_2}(\Pi_2)$ 
  - ▶ The solution considers only the probabilities caused by the same  $\Pi$  on the two subnetworks
- ▶ This is not compatible with the given definition of parallel composition

## References

- ▶ *U. Montanari, M. Sammartino, A. Tcheukam* - Decomposition Structures for Soft Constraint Evaluation Problems: An Algebraic Approach
- ▶ *F. Bonchi, L. Bussi, F. Gadducci, F. Santini* - Polyadic Soft Constraints

# Conclusions

- ▶ We saw an algebraic framework for defining and solving SCEPs
- ▶ We also saw as polyadic soft constraints can be seen as an s-algebra or a w-algebra
- ▶ Using these frameworks, we can apply dynamic programming to SCSPs, thus improving their complexity