Assignment 2

COMP-545/599 and LING-484/782

1. Learning Word Embeddings (50 pts)

In this part of the assignment, you will implement two models from the word2vec project: Continuous Bag-of-Words (CBOW) and Skip-Gram. The implementations follow from this paper: https://arxiv.org/pdf/1301.3781.pdf

Note: Throughout this assignment, do not modify inputs to a function in-place.

1.1 Continuous Bag-of-Words and Skip-Gram Pre-processing (20 pts)

We have provided code to convert the initial text data (NLI dataset) into tokenized indices, similar to the model input for A1. Using that, implement the following functions in order to convert the sentences (mix of premises and hypotheses) into input indices and output labels for CBOW and Skip-Gram:

- build current surrounding pairs
- expand surrounding pairs
- cbow preprocessing
- skipgram preprocessing

The detailed specifications for each function are provided below.

build current surrounding pairs (5 pts)

A helper function that is applied to each sample in order to construct pairs of current word and its surrounding words. This will be used later in the assignment.

Concretely, this function pairs up each current word w(t) with its surrounding words (...w(t+2), w(t+1), w(t-1), (w(t-2)...), with respect to a window size.

Note: To ensure that <code>current_indices</code> has a consistent inner length, you will need to omit the start and end of the sample_indices list from the surrounding since it would otherwise have unbalanced sides.

Parameter	Туре	Description
indices	list of int	The indices output by tokens_to_ix for a single sample (not

		for the entire dataset).
window_size	int	The number of indices from each side to choose from. The context should be 2 times the window size (e.g., 2 * 5 = 10). Takes a default value of 2.

Returns	Туре	Description
surrounding_indices	list of list of int	Indices nearby the current word but does not include itself. Make sure that the length of the inner surrounding is consistent (i.e., 2 * window size).
current_indices	list of int	Indices of the current words in the middle of a context. Denoted at w(t) in the paper. The length of this list should be sample_indices - 2 * window_size

Example

```
>>> text = "dogs and cats are playing".split()
>>> surroundings, currents = build current surrounding pairs(
        text, window size=1
...)
>>> print(currents)
['and', 'cats', 'are']
>>> print(surroundings)
[['dogs', 'cats'], ['and', 'are'], ['cats', 'playing']]
>>> indices = [word to index[t] for t in text]
>>> surroundings, currents = build_current_surrounding_pairs(
        indices, window size=1
...)
>>> print(currents)
[3, 4887, 11]
>>> print(surroundings)
[[110, 4887], [3, 11], [4887, 31]]
expand surrounding words (5 pts)
```

A helper function used in Skip-Gram to expand a list of surroundings into pairs of a single surrounding with the target (the latter will be repeated).

Using the output of build_current_surrounding_pairs, convert the surrounding into pairs of context-target pair. The resulting lists should be longer.

Parameter	Туре	Description
ix_surroundings	list of list of int	The context from a window. Those are the indices of words around the current word.
ix_current	list of int	The indices of the current words. Denoted as w(t) in the paper.

Returns	Туре	Description
ix_surroundings_expanded	list of int	The indices of each surrounding word (after expansion).
ix_current	list of int	The indices of the current word (after expansion) matching each single surrounding word.

Example

```
>>> # dogs and cats are playing
>>> surroundings = [
. . .
        ['dogs', 'cats'], ['and', 'are'], ['cats', 'playing']
...]
>>> currents = ['and', 'cats', 'are']
>>> surrounding expanded, current_expanded =
expand_surrounding_words(
. . .
       surroundings, currents
>>> print(surrounding expanded)
['dogs', 'cats', 'and', 'are', cats', 'playing']
>>> print(current expanded)
['and', 'and', 'cats', 'cats', 'are', 'are']
>>> ix surroundings =[[110, 4887], [3, 11], [4887, 31]]
>>> ix currents = [3, 4887, 11]
>>> ix_surr_expanded, ix_curr_expanded = expand_surrounding_words(
        ix_surroundings, ix_currents
...)
>>> print(ix surr expanded)
[110, 4887, 3, 11, 4887, 31]
>>> print(ix curr expanded)
[3, 3, 4887, 4887, 11, 11]
```

cbow preprocessing (5 pts)

Preprocess the dataset to be ready for CBOW to use for training.

Concretely, use the build_current_surrounding_pairs function you implemented above to complete this function. The difference is that the input is a list of indices (so a nested list), but the output format should be the same.

Parameter	Туре	Description
indices_list	list of list of int	The inner list contains the indices of a single sample. The outer list contains all the samples in the dataset.
window_size	int	The number of indices from each side to choose from.

Returns	Туре	Description
sources	list of list of int	The inputs to the CBOW model. The inner list contains the indices of surrounding words and the outer list contains the samples (from a batch or from the whole dataset).
targets	list of int	The targets of the CBOW model. Contains the indices of the target word w(t).

skipgram_preprocessing (5 pts)

Preprocess the dataset to be ready for Skip-Gram to use for training.

Concretely, use the build_current_surrounding_pairs function you implemented above to complete this function. The difference is that the input is a list of indices (so a nested list), but the output format should be the same.

Note: Here, you need to return all possible pairs between a word w(t) and its surroundings. In the paper, it's a sampling method based on distance, but we will not do that for simplicity, instead we'll just use everything.

Parameter	Туре	Description
indices_list	list of list of int	A nested list of indices. The inner list contains the indices of a single sample. The outer list contains all the samples in the dataset.

window_size int	nt	The number of indices from each side to choose from.
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Returns	Туре	Description
targets	list of int	The targets of the Skip-Gram model. List of indices of a single surrounding word.
sources	list of int	The inputs to the Skip-Gram model. List of indices of the center word w(t).

1.2 Continuous Bag-of-Words and Skip-Gram Models (15 pts)

Build CBOW and Skip-Gram as torch modules, and train them using the provided train function. You will need to write the following classes:

- SharedNNLM: A helper class (not a nn.Module) that loads the embedding and project layers and binds the weights (such that the weights are shared).
- SkipGram: The Skip-Gram model. It will use SharedNNLM. You only need to implement the forward pass.
- CBOW: The CBOW model. It will use SharedNNLM. You only need to implement the forward pass.

The detailed specifications for each class are provided below:

SharedNNLM. init (5 pts)

Initializes SharedNNLM model. This class will be used by SkipGram and CBOW. This class is a simplification of the NNLM model (no hidden layer) and the input and output layers share the same weights. The projection in word2vec does not have a bias. Your __init__ function should initialize the model's embeddings and create a projection layer (which does not have a bias term).

Parameter	Туре	Description
num_words	int	The number of words in the vocabulary. Used to determine the size of the embedding table.
embed_dim	int	The dimension of embeddings to use.

SkipGram.forward (5 pts)

Executes the forward pass for the SkipGram model. Given the index of a target word w(t), this function returns predicted distribution of the index of a surrounding word.

Parameter	Туре	Description
х	Tensor[batch_size]	The indices of the target word w(t).

Returns	Туре	Description	
output	Tensor[batch_size, num_words]	The predicted distribution of the index of a surrounding word.	

CBOW.forward (5 pts)

Executes the forward pass for the CBOW model. Given the indices of the surrounding words, this function returns the predicted distribution of the index of w(t).

Parameter	Туре	Description
x	Tensor[batch_size, 2 * window_size]	The indices of the surrounding words, i.e., w(t - window_size),, w(t + window_size).

Returns	Туре	Description
output	Tensor[batch_size, num_words]	The predicted distribution of the index of w(t).

1.3 Analysis (15 pts)

Build functions that lets you find the K most similar words:

- compute_topk_similar: Helper function used in retrieve_similar_words and word_analogy, it allows you to retrieve the K indices with highest cosine similarity given a vector and an embedding weight.
- retrieve_similar_words: A function that, given a model and index map, takes a word and finds K most similar words.
- word_analogy: A function that computes word analogies, e.g. man is to woman what?
 is to girl.

The detailed specifications for each function are provided below.

compute topk similar (5 pts)

Helper function used in retrieve_similar_words and word_analogy, it allows you to retrieve the K indices with highest cosine similarity given a vector and an embedding weight.

Concretely, this function computes the cosine similarity between the embedding of a single word and the embedding of all words and then returns the indices of the top K most similar results (excluding the word itself).

Parameter	Туре	Description
word_emb	Tensor[1, embed_dim]	The embedding representation of a single word.
w2v_emb_weight Tensor[num_words, embed_dim]		The entire tensor representing the weight of the nn.Embedding of all words in the vocabulary.
k	int	The number of indices to retrieve.

Returns	Туре	Description
topk_ix	list of int	The indices of the top K most similar words.

retrieve similar words (5 pts)

A function that, given a model and index map, takes a word and finds the K most similar words.

Specifically, given your implementation of <code>compute_topk_similar</code> and a word2vec model, this function finds the K most similar words from your vocabulary. Make sure you set your model to evaluation mode and disable gradient calculation.

Note: compute_topk_similar takes as input a word_emb of shape [1, embed_dim]. You will need to handle that.

Parameter	Туре	Description
model	nn.Module	Either the SkipGram or CBOW model you created previously.
word	str	Some word that exists in <code>index_map</code> (or the list of its keys).
index_map	dict of {str:int}	A dictionary mapping a word to its index.
index_to_word	dict of {int:str}	A dictionary mapping an index to the word (reverse of index_map).

k ii	int	The number of words to retrieve.
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Returns	Туре	Description
similar_words	list of str	The K most similar words to the input word.

word_analogy (5 pts)

A function that computes word analogies, e.g. man is to woman what ? is to girl.

Using your <code>compute_topk_similar</code> function and a word2vec model, this function will compute the following analogy:

"word_a" is to "word_b" what "?" is to "word_c"

It can also be represented as as:

Your function will find the K most similar words to "?" from your vocabulary. Make sure you set your model to evaluation mode and disable gradient computation.

Parameter	Туре	Description
model	nn.Module	Either the SkipGram or CBOW model you created previously.
word_a	str	Some word that exists in index_map (or the list of its keys).
word_b	str	Some word that exists in index_map (or the list of its keys).
word_c	str	Some word that exists in index_map (or the list of its keys).
index_map	dict of {str: int}	A dictionary mapping a word to its index.
index_to_word	dict of {int: str}	A dictionary mapping an index to the word (reverse of index_map).
k	int	The number of words to retrieve most similar to the unknown word.

Returns	Туре	Description
similar_words	list of str	The K most similar words to the unknown word "?".

2. Measuring Gender Bias in Word Embeddings (30 pts)

In this part of the assignment, you will be investigating techniques for measuring gender bias in word embeddings. Specifically, you will be investigating gender bias in $\underline{\text{GloVe}}$ embeddings. We will use the 300 dimensional glove. 6B vectors. The embeddings can be downloaded from here (download the glove. 6B.zip file and use the glove. 6B.300d.txt file contained within).

We have provided functions to load the GloVe embeddings and to load a list of gender attribute words (e.g., man/woman) for this section.

2.1 Estimating Gender Subspace (5 pts)

```
compute_gender_subspace
```

To begin, you will implement <code>compute_gender_subspace</code> which estimates the "gender direction" in an embedding space. To estimate this gender direction, you will use the gender attribute words we have provided. You will make use of the <code>sklearn</code> implementation of PCA to estimate the gender direction.

Concretely, your function will take a dictionary of word embeddings and a list of pairs of gender attribute words (e.g., man/woman) and estimate a gender subspace. The steps for estimating the gender subspace are:

- 1. Convert each pair of gendered words (e.g., man/woman) to their embeddings.
- 2. Normalize each pair of embeddings. That is, compute the mean embedding for each pair (e.g., Mean (Emb (man), Emb (woman))) and subtract the mean from each embedding in the pair (e.g., Emb (man) Mean):

```
>>> mean = (word_to_embedding["man"]+ word_to_embedding["woman"]) / 2
>>> man_embedding = word_to_embedding["man"] - mean
>>> woman_embedding = word_to_embedding["woman"] - mean
```

3. Run PCA using the resulting list of normalized embeddings.

Note: In lecture, we used the difference between pairs of gendered embeddings as input to PCA. In this assignment you are only required to use the gendered embeddings themselves. Also, the principal components can be accessed through the <code>components_</code> attribute of the PCA class in <code>sklearn</code>.

The detailed specifications for this function are provided below.

Parameter	Туре	Description
word_to_embedding	dict of {str: np.array}	A dictionary mapping from string to GloVe embedding.
gender_attribute_words	list of lists of strings	The gender attribute words (e.g., man/woman) used to estimate the gender subspace. Each element in the list is a pair of words which have similar meaning and differ primarily only with respect to gender (e.g., grandmother/grandmother).
n_components	int	The number of principal components to use for the estimated gender subspace.

Returns	Туре	Description
gender_subspace	np.array[n_components, embed_dim]	The estimated gender subspace. Each element in the first dimension is a principal component for the estimated gender subspace.

Example

```
>>> gender_attribute_words = [
... ["man", "woman"],
... ["boy", "girl"]
... ]
>>> gender_subspace = compute_gender_subspace(
... word_to_embedding=word_to_embedding, # GloVe embeddings
... gender_attribute_words=gender_attribute_words,
... n_components=1 # Single dimension
... )
>>> print(len(gender_subspace), type(gender_subspace[0]))
```

2.2 Vector Projection (5 pts)

Now that you've estimated a "gender direction" in the GloVe embedding space, we will want to <u>project</u> embeddings onto this estimated direction. In this section, you will implement a function which computes a vector projection. The projection of a vector **a** onto a vector **b** is defined as:

$$\operatorname{proj}_{\mathbf{b}} \mathbf{a} = \frac{\mathbf{a} \cdot \mathbf{b}}{\mathbf{b} \cdot \mathbf{b}} \mathbf{b}.$$

Your function will also need to return the scaling coefficient s:

$$s = \frac{\mathbf{a} \cdot \mathbf{b}}{\mathbf{b} \cdot \mathbf{b}}$$

which we will use later in the assignment. We denote the dot product between vectors **a** and **b** by **a·b**. The detailed specifications for the function are provided below:

Parameter	Туре	Description
а	np.array[embed_dim]	The vector which you are projecting.
b	np.array[embed_dim]	The vector which you are projecting onto.

Returns	Туре	Description
scalar	float	The scaling coefficient (s) in the vector projection.
vector_projection	np.array[embed_dim]	The vector projection of a onto b.

Example

```
>>> a = np.random.randn(768)
>>> b = np.random.randn(768)
>>> scalar, vector_projection = project(a, b)
>>> print(vector_projection.shape)
(768,)
```

2.3 BEC-Pro Profession Representations (4 pts)

Now that we've estimated a gender direction in the embedding space and implemented a function to project vectors onto this direction, we will investigate gender bias within representations for *professions* (e.g., nurse, mechanic, doctor). In this section, you will compute representations for professions from the BEC-Pro dataset (<u>Bartl et al., 2020</u>).

Since professions sometimes contain multiple words (e.g., aerospace engineer), your function will need to average the GloVe embeddings for professions which consist of multiple words. You can split the professions into subwords using simple whitespace tokenization (e.g., profession.split()).

The specifications for this function are provided below:

Parameter	Туре	Description
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from string to GloVe embedding.
professions list of strings		The list of professions to compute representations for.

Returns	Туре	Description
profession_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from profession strings to embeddings.

2.4 Words with Large Gender Components (4 pts)

You will now implement the function <code>compute_extreme_words</code> which, given a list of words, computes the K words with either the smallest or largest scalar coefficients onto a given gender direction. You will make use of the <code>project</code> function you previously implemented to get the scaling coefficients.

Concretely, for this function you will need to compute the scalar coefficients for each word embedding (corresponding to a word in words) onto the estimated gender direction. Then, you will return the words with either the largest scalar coefficients or the smallest. You can compute the scalar coefficients using only the first direction in your estimated gender subspace (e.g., the first principle component). The specifications for this function are provided below:

|--|

words	list of strings	The list of words from which you select the K words with either the largest or the smallest scalar coefficients.
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.
gender_subspace	np.array[n_components, embed_dim]	The estimated gender subspace. Each element in the first dimension is a principal component for the estimated gender subspace.
k	int	The number of words to select.
max_	bool	Whether to select the words with the most <i>positive</i> scalar coefficients (largest) or the most <i>negative</i> scalar coefficients (smallest). Defaults to true (largest).

Returns	Туре	Description
extreme_words	list of strings	The K words with the most extreme scalar coefficients (either the smallest or largest scalar coefficients).

2.5 DirectBias Metric (4 pts)

You will now implement the DirectBias metric from Bolukbasi et al., 2016 (Section 5.2):

DirectBias_c =
$$\frac{1}{|N|} \sum_{w \in N} |\cos(w, g)|^{c}$$

Concretely, given a set of words N which we expect to be gender neutral. We compute the total absolute cosine similarity (raised to the power of C) between words from N and the estimated gender direction g.

To implement the DirectBias metric, you will need to implement two functions: cosine_similarity and compute_direct_bias. The specifications for these functions are given below:

cosine_similarity (2 pts)

A helper function for <code>compute_direct_bias</code> which computes the cosine similarity between two vectors. Note: Do not assume that a or b is a unit vector.

Parameter	Туре	Description
а	np.array[embed_dim]	One of the vectors to compute the cosine similarity with.
b	np.array[embed_dim]	One of the vectors to compute the cosine similarity with.

Returns	Туре	Description
cosine_similarity	float	The cosine similarity between a and b.

compute_direct_bias (2 pts)

For a given set of words and an estimated gender subspace, computes the DirectBias metric. You will compute the DirectBias metric using only the first direction in your estimated gender subspace (e.g., the first principle component).

Parameter	Туре	Description
words	list of strings	The list of words to compute the direct bias measure with.
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.
gender_subspace	np.array[n_components, embed_dim]	The estimated gender subspace. Each element in the first dimension is a principal component for the estimated gender subspace.
С	float	Parameter for the DirectBias metric. Determines the "strictness" of the measure.

Returns	Туре	Description
direct_bias	float	The computed DirectBias metric.

2.6 Word Embedding Association Test (8 pts)

You will now implement the Word Embedding Association Test (WEAT; <u>Caliskan et al., 2017</u>). WEAT is used to test for social bias within word representations. To review WEAT, we refer you to <u>slides 23 through 38 from Lecture 5</u>.

You will be required to implement two functions: weat_association and weat_differential_association. The specifications for these functions are provided below.

weat_association (4 pts)

Computes a word's mean cosine similarity with the words from each attribute word set. Then, returns the difference between these two means. This function computes s(w, A, B) as shown on slide 27 in Lecture 5.

Parameter	Туре	Description
w	str	The word to compute the difference in mean cosine similarities for.
Α	list of strings	One of the attribute word sets (e.g., man, boy).
В	list of strings	One of the attribute word sets (e.g., woman, girl).
word_to_embed ding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.

Returns	Туре	Description
weat_association	float	The computed difference in mean cosine similarities (i.e., the s (w, A, B) from Lecture 5).

weat_differential_association (4 pts)

This function computes the WEAT test statistic for given sets of target words (X, Y), attribute words (A, B), and embeddings. See slide 30 in <u>Lecture 5</u> for more information. You should make use of your previous <code>weat_association</code> implementation.

Parameter	Туре	Description
X	list of strings	One of the target word sets (e.g., doctor, mechanic).

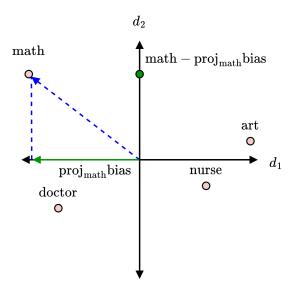
Υ	list of strings	One of the target word sets (e.g., <i>nurse</i> , <i>artist</i>).
А	list of strings	One of the attribute word sets (e.g., <i>man</i> , <i>boy</i>).
В	list of strings	One of the attribute word sets (e.g., woman, girl).
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.
weat_association_func	Callable	Your implemented weat_association function. (This is to make testing of your solution easier.)

Returns	Туре	Description
differential_association	float	The computed WEAT test statistic.

3. Mitigating Gender Bias in Word Embeddings (20 pts)

3.1 HardDebias (10 pts)

Now that you have implemented two metrics for gender bias in word embeddings, you will now implement <u>HardDebias</u>, a technique for mitigating bias in word embeddings. To review HardDebias, we refer you to <u>slides 19 through 32 from the lecture</u>.



To implement HardDebias, you will make use of your estimated gender direction from Part 2 of this assignment. You will be required to implement two functions for HardDebias: debias_word_embedding and hard_debias. The specifications for both functions are given below.

debias_word_embedding (5 pts)

Given a word and an estimated gender subspace, this function subtracts the embedding's projection onto the estimated gender subspace from itself (making the embedding orthogonal to the estimated gender subspace). See the figure above for an example of this procedure.

Parameter	Туре	Description
word	str	The word embedding to debias.
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.
gender_subspace	np.array[n_components, embed_dim]	The estimated gender subspace. Each element in the first dimension is a principal component for the estimated gender subspace.

Returns	Туре	Description
debiased_embedding	np.array[embed_dim]	The debiased word embedding.

hard_debias (5 pts)

Given a dictionary of word embeddings, this function uses debias_word_embedding to debias all of the word embeddings.

Parameter	Туре	Description
word_to_embedding	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to embeddings.
gender_attribute_words	list of list of strings	The gender attribute words (e.g., man/woman) used to estimate the gender subspace. Each element in the list is a pair of words which have similar meaning and differ primarily only with respect to gender (e.g., grandmother/grandmother).
n_components	int	The number of principal components to use for the estimated gender subspace.

Returns	Туре	Description
debiased_word_to_embeddin g	dict of {str: np.array[embed_dim]}	A dictionary mapping from strings to debiased embeddings.

3.2 Debiasing Evaluation (10 pts)

Given your implementation of HardDebias, you will now empirically evaluate the debiasing technique. The three required components for your report are detailed below. **This report component is completed on Gradescope.**

DirectBias Evaluation (2 pts)

First, using your implementation of $compute_direct_bias$, you will measure the gender bias in the profession embeddings before and after applying HardDebias. When computing DirectBias, you can use c = 0.25. Report these DirectBias values on Gradescope and comment on what the ideal score for DirectBias (one to two sentences).

WEAT Evaluation (2 pts)

Now, you will run a WEAT test using your GloVe embeddings *before* and *after* applying HardDebias. The target word sets and attribute word sets are provided below:

Math/Arts and Male/Female		
X	math, algebra, geometry, calculus, equations, computation, numbers, addition	
Υ	poetry, art, dance, literature, novel, symphony, drama, sculpture	
Α	male, man, boy, brother, he, him, his, son	
В	female, woman, girl, sister, she, her, hers, daughter	

We have provided a function $p_value_permutation_test$ which runs an exact one-sided permutation test on the WEAT test statistic and returns the p-value.

Do you obtain statistically significant p-values (with significance level 0.05) for the test? What about after applying HardDebias? It is okay if you do not (or obtain a similar p-value). You will provide the two p-values on Gradescope and briefly comment on your results (two to three sentences).

Gender Bias T-SNE Plot (6 pts)

Finally, you will create a 2D <u>T-SNE</u> plot which visualizes embeddings for professions with large gender components *before* applying HardDebias. You will be required to identify two sets of 10 professions each. To identify these sets of professions, you will use your previously estimated gender direction and your compute extreme words function:

One set of words will contain the professions with the smallest scalar coefficients onto the estimated gender direction and the other set of words will contain the words with the largest scalar coefficients onto the estimated gender direction.

You can use the sklearn implementation of <u>T-SNE</u> to create your plot. In the figure, make sure to plot the professions from each set with a different color. You can use any plotting library you like (e.g., matplotlib). You may need to adjust the hyperparameters used with T-SNE (e.g., perplexity). You will **upload your plot to Gradescope**.

Optionally (**you are not required to submit this**), you can plot the same sets of professions *after* applying Hard-Debias. However, since we implemented a simplified form of Hard-Debias you may not be able to observe a substantial difference between the two plots.