Senior Management Arrangements, Systems and Contro

Chapter 22

Regulatory references



## **Drafting the reference and the** 22.3 request for a reference

- 22.3.1 G [deleted]
- 22.3.2 G [deleted]
- 22.3.3 G (1) A firm (A) asking another firm (B) for a reference should give B sufficient information to let B know that the requirements in this chapter apply to the reference it is being asked to give and which requirements apply.
  - (2) As long as it complies with (1), A does not have to set out specifically the information this chapter requires it to obtain. This is because B should include that information even though B is not specifically asked to include it.
- 22.3.4 A firm asking for a reference under this chapter from a current or former employer that is not a firm will normally need to specify what information it would like.
- 22.3.5 G (1) [deleted] [Editor's note: The text of this provision has been moved to ■ SYSC 22.4.6G(-1)]
  - (2) [deleted] [Editor's note: The text of this provision has been moved to ■ SYSC 22.4.7Gl
- 22.3.6 G [deleted] [Editor's note: The text of this provision has been moved to ■ SYSC 22.4.8G]