

## Chapter 4A

# Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) – periodic fees



## TP persons periodic fees for the period from 1 April 2023 to 31 March 2024

4A

### Part 1

Activity group	Fee payable
A.1	<p>Band Width (£ million of Modified Eligible Liabilities (MELs))</p> <p>Fee (£/£m or part £m of MELs)</p> <p>General Periodic fee</p> <p>&gt;10 - 140 14.836</p> <p>&gt;140 - 630 14.836</p> <p>&gt;630 – 1,580 14.836</p> <p>&gt;1,580 – 13,400 18.545</p> <p>&gt;13,400 24.479</p>
A.2	<p>Band Width (no. of mortgages and/or home finance transactions)</p> <p>Fee (£/mortgage)</p> <p>&gt;50 3.145</p>
A.3	<p>Gross written premium for fees purposes (GWP)</p> <p>Periodic fee</p> <p>Band Width (£ million of GWP)</p> <p>Fee (£/£m or part £m of GWP)</p> <p>&gt;0.5 344.20</p> <p>PLUS</p> <p>Best estimate liabilities for fees purposes (BEL)</p> <p>General Periodic fee</p> <p>Band Width (£ million of BEL)</p> <p>Fee (£/£m of part £m of BEL)</p> <p>&gt;1 21.70</p>
A.4	<p>Gross written premium for fees purposes (GWP)</p> <p>General Periodic fee</p> <p>Band Width (£ million of GWP)</p> <p>Fee (£/£m or part £m of GWP)</p> <p>&gt;1 238.93</p> <p>PLUS</p> <p>Best estimate liabilities for fees purposes</p> <p>General Periodic fee</p> <p>Band Width (£ million of BEL)</p> <p>Fee (£/£m or part £m of BEL)</p> <p>&gt;1 15.898</p>
A.7	<p>For class 1(C), (2), (3) and (4) firms:</p> <p>Band Width (£ million of Funds under Management (FuM))</p> <p>Fee (£/£m of part £m of FuM)</p> <p>&gt;10 4.947</p> <p>Class 1 (C) firms are defined in <a href="#">FEES 4 Annex 1A</a></p>
A.9	<p>Band Width (£ million of Gross Income (GI))</p> <p>Fee (£/£m of part £m of GI)</p>

Activity group		Fee payable
A.10	>1	988.25
	Band Width (no. of traders)	Fee (£/trader)
A.13	>1	8,069.40
	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
A.14	>100	2.47
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
A.18	> 100	1.54
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
A.19	> 100	10.455
	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
CC.2	>100	1.727
	Band Width (£ thousands of annual income (AI))	Fee (£)
	0 - 50	1,000
	>50 - 100	1,250
	>100	1,500
	<b>PLUS:</b>	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	1.627

## Part 2

The table below shows the tariff rates (minimum fees) applicable to each of the fee blocks set out in Part 1 of ■ FEES 4A Annex 1R other than fee-block CC2.

Activity group	Fee payable
A.0	£1,500 unless it is a <i>TP firm</i> that also pays minimum fees set out in the PRA Rulebook, in which case it is £750

## Part 3

TA PI firm or TA RAISP firm

Activity group		Fee payable
G.2	Minimum fee (£)	558
	£ million or part £ million of Modified Eligible Liabilities (MELs)	Fee (£/£m or part £m of MELs)
	>0.1	0.360
G.3	Minimum fee (£)	558
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.419

## Part 4

TA EMI firm

Activity group		Fee payable
G.10	Minimum fee (£)	1,833
	£ million or part £ million of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	14.90