

Chapter 28A

Regulated funeral plan
activities: good reputation
requirements

		<div>28A.3</div> <div>Record keeping requirements</div>
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28A.3.1	R	<div>A <i>firm</i> must:</div> <div><div>(1) establish, maintain and keep appropriate records to demonstrate compliance with this chapter; and</div><div>(2) be in a position to provide to the <i>FCA</i>, on request, the name of the <i>person</i> responsible for the record-keeping requirement in (1).</div></div>
28A.3.2	R	<div>A <i>firm</i> must not prevent any <i>person</i> from obtaining a copy of the records relating to them which are maintained by the firm for the purposes of</div> <div>SYSC 28A.3.1R.</div>
28A.3.3	G	<div>The rules specified in SYSC 28A.3.1R relate to the requirements specified in</div> <div>SYSC 5.1.1R, SYSC 9.1.1R and SYSC 10.1.6R.</div>