**Code of Conduct (COCON)** 

Chapter 2

Individual conduct rules



## 2.2 Senior manager conduct rules

- 2.2.1 SC1: You must take reasonable steps to ensure that the business of the firm for which you are responsible is controlled effectively.
- 2.2.2 R SC2: You must take reasonable steps to ensure that the business of the firm for which you are responsible complies with the relevant requirements and standards of the regulatory system.
- 2.2.3 R SC3: You must take reasonable steps to ensure that any delegation of your responsibilities is to an appropriate person and that you oversee the discharge of the delegated responsibility effectively.
- 2.2.4 SC4: You must disclose appropriately any information of which the FCA or PRA would reasonably expect notice.

COCON 2/2