

Chapter 15A

Operational resilience

		15A.4 Mapping
15A.4.1	R	A <i>firm</i> must identify and document the people, processes, technology, facilities and information necessary to deliver each of its <i>important business services</i> . This must be sufficient to allow the <i>firm</i> to identify vulnerabilities and remedy these as appropriate.
15A.4.2	G	Where a <i>firm</i> relies on a third party for the delivery of an <i>important business service</i> , we would expect the <i>firm</i> to have sufficient understanding of the people, processes, technology, facilities, and information that support the provision by the third party of its services to or on behalf of the <i>firm</i> so as to allow the <i>firm</i> to comply with its obligations under ■ SYSC 15A.4.1R.
15A.4.3	R	<p>A <i>firm</i> must keep its compliance with ■ SYSC 15A.4.1R under review and, in particular, review its compliance in the following circumstances:</p> <ul style="list-style-type: none">(1) if there is a material change to the <i>firm's</i> business, the <i>important business services</i> identified in accordance with ■ SYSC 15A.2.1R or <i>impact tolerances</i> set in accordance with ■ SYSC 15A.2.5R; and(2) in any event, no later than 1 year after it last carried out the relevant assessment.