

## Chapter 27

# Senior managers and certification regime: Certification regime

		<div>27.1</div> <div>Application and purpose</div>
		<div>Application</div>
27.1.1	R	This chapter applies to an <i>SMCR firm</i> , except those excluded from this chapter by ■ SYSC 27.6 (Other exclusions).
27.1.2	G	This chapter is also relevant to <i>employees</i> of <i>SMCR firms</i> performing functions specified as <i>FCA certification functions</i> .
		<div>Purpose</div>
27.1.3	G	<div><div>(1) This chapter is about the <i>FCA's</i> certification regime.</div><div>(2) Under this regime, a <i>firm</i> should ensure that its employees only perform an <i>FCA certification function</i> if they have a certificate issued by that <i>firm</i> to perform that function.</div><div>(3) The purpose of this chapter is to specify '<i>FCA certification functions</i>' and to give <i>guidance</i> on the <i>FCA's</i> certification regime.</div></div>