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### 1. Introduction

### 1.1 General viewpoint

- -6- What can happen to an atom or molecule or dust grain sitting in the ISM?
  - Can it absorb a photon? Energy levels  $\leftrightarrow h\nu$  (Need cross-sections for dust grains)
  - Can it collide with other particles?  $\rightarrow$  collisional rates [s<sup>-1</sup> cm<sup>-3</sup>]
  - Is there a magnetic field? Is the particle charged?
  - Are there cosmic rays? These can penetrate dense gas.

Three possible sources of ionization (and excitation):

- 1. photons
- 2. collisions
- 3. cosmic rays

Typical collisional energies: kinetic energy. Translate  $mv^2 \to kT \to h\nu \to eV$ .

### 1.2 Dust grains

- -8- Dust grains also occur in the neutral medium, and probably also in the (warm) ionized medium. Dust grains play an important role in various processes:
  - extinction of starlight
  - emission of absorbed energy in FIR
  - formation of molecules often occurs on grain surfaces
  - absorption of ionizing UV radiation and Ly $\alpha$  photons (reducing amount of ionizing radiation)
  - heating of HI gas by photoelectric emission

Composition: carbon and silicates. Typical sizes: 0.01 -  $0.1~\mu m$  (How do we know?  $\rightarrow$  shape of extinction curve). Grains as small as  $\sim$  60 atoms across discovered; evidence from emission lines in NIR and excess emission at 5 - 40  $\mu m$  over what is expected from dust in the ISM. The larger dust grains have temperatures between 10 and 40 K, while the small ones can be heated to higher temps due to the

absorption of even a single photon (smaller heat capacity, as volume  $\propto r^3$ ). A promising candidate for small dust grains: polycyclic aromatic hydrocarbons ( $\sim$  car soot!)

In hot environments, dust grains may be destroyed by *sputtering*, where collisiosn of grains with other atoms, electrons, or molecules knock molecules off the grains.

-9- At low temperatures, molecules stick to dust grains, causing depletion of heavy elements along certain lines of sight (most dust in the plane). Dust contributes about 1% of the mass of the ISM in the solar neighborhood, mostly in the form of large grains.

### 1.3 Ionized gas

- a) Photoionization: especially effective near hot stars. Shock ionization
- b) Cosmic rays: can occur throughout most of the ISM, so can also produce a small amount of ionization in denser gas (though recombination happens quickly, so not much of gas is in an ionized state at any given time).
- -11- c) Collisions: Hot ( $\sim 10^7$  K) expanding bubble sweeps up shell of warm ( $\sim 10^4$  K) ionized gas, which shows a different optical spectrum than HII regions. The hot gas shows up by:
  - Free-free and line x-rays
  - absorption lines of highly ionized species toward bright UV sources Kirchoff's law: apparently can still see absorption lines when looking through a gas that is hotter than the source behind it!

### 1.4 Magnetic fields and cosmic rays

In the solar neighborhood,  ${\bf B} \sim 2-5 \times 10^6$  Gauss. This follows from measurements of Faraday rotation, giving  $< n_e B_{||} >$  toward pulsars and radio sources. The random component of the B field is probably as large as the uniform component. In clouds, the B field can be much higher,  $\sim 70 \mu {\rm G}$  (from Zeeman effect splitting measurements).

The magnetic field is important for several reasons:

1. It aligns elongated grains, giving rise to polarization of starlight

- 2. Causes relativisitic electrons to emit synchrotron radiation, and most likely plays a role in accelerating electrons to relativistic velocities ("magnetic bottle", Fermi acceleration).
- 3. Provides pressure support against gravitational collapse of matter since it is frozen into the matter due to ionization heavy elements. It also seems to play animportant role in solving the angular momentum problem in star formation.\*

Total energy density of cosmic rays in solar neighborhood:  $U_R \sim 1.3 \times 10^{-12}$  erg cm<sup>-3</sup>. Why are cosmic rays important?

- They produce  $\gamma$ -rays through collisions with atoms and molecules. The observed  $\gamma$ -ray intensity from the ISM forms an excellent independent measure of the total amount of matter between stars. For example, calibrating the conversion factor of CO line intensity to H $_2$  mass.
- Provide pressure against gravitational collapse

Five pressures that play an important role in supporting the ISM against gravitational collapse:

- 1. thermal P = nkT
- 2. magnetic  $P = \frac{B^2}{8\pi}$
- 3. turbulent (bulk motion)\*
- 4. cosmic ray
- 5. radiation
- \* The cloud to cloud velocity dispersion due to turbulence on various scales increases line widths [over?] thermal widths. From the table on page -12-, you will note rough thermal pressure equilibrium between the components. This is not a coincidence; in fact, some of this information was inferred by assuming pressure equilibrium. The argument is that if there were no equilibrium, the resulting perturbations would be wiped out on sound-crossing time scales, which are short compared to the time scales we would consider the ISM to evolve over.

However, the actual evidence for equilibrium in the thermal pressure is scarse, and there are claims that it is not true in the very local  $ISM^{\dagger}$ .

-15-

<sup>\*</sup>Shu et al.

<sup>†</sup>Bowyer et al. Nature 1905

There seems to be a "cosmic conspiracy": the estimates for the thermal, magnetic, and cosmic ray pressure for the solar neighborhood give roughly equal numbers for all three. Thus it may be inappropriate to only consider the thermal pressure (the only one that can be measured with much certainty). Interestingly, the magnetic pressure number is also very similar to the energy density of the CMB. \* †

-16- Next section is sort of a shortened condensation of Draine's chapters 2 and 3. We may come back to specific topics discussed there in more detail.

# 2. Validity of the laws of statistical physics in ISM conditions

Four major laws of statistical physics:

- 1. Maxwellian velocity distribution
- 2. Boltzmann distribution of energy levels in atoms and molecules
- 3. Saha equation for ionization equilibrium
- 4. Planck function for radiation

#### Maxwellian

-17-

T is defined by motion of particles. ( $\vec{\omega}=$  velocity  $=\vec{v}$  in Draine).  $f(\vec{\omega})\mathrm{d}\vec{\omega}=$  fractional number of particles whose velocity lies within the three-dimensional volume element  $\mathrm{d}\vec{\omega}=\mathrm{d}\omega_x\mathrm{d}\omega_y\mathrm{d}\omega_z$ , centered at velocity  $\vec{\omega}$ .

In thermodynamic equilibrium,  $f(\vec{\omega})$  is isotropic, so  $\vec{\omega} \to \omega$ .

$$f(\omega) = \frac{\ell^{3/2}}{\pi^{3/2}} \exp(-\ell^2 \omega^2); \quad \ell^2 = \frac{m}{2kT} = \frac{3}{2 < \omega^2 > 0}$$
$$f(\omega) = \left(\frac{m}{2\pi kT}\right)^{3/2} \exp\left(-\frac{m\omega^2}{2kT}\right)$$

For two groups of particles with different masses, we replace  $\omega$  by u, the relative velocity between the two groups, and m by the reduced mass  $m_r=\frac{m_1m_2}{m_1+m_2}$ . For H atoms colliding with particles of mass  $Am_H$ , Spitzer derives:

<sup>\*</sup>Draine discusses possible reasons in section 1.3

<sup>&</sup>lt;sup>†</sup>Supplemental info in Draine chapter 1.

$$\langle u \rangle = \left[ \frac{8kT}{\pi m_r} \right]^{1/2} = 1.46 \times 10^4 \sqrt{T} \left( 1 + \frac{1}{A} \right)^{1/2} \text{ [cm s}^{-1]}$$

... Speed vs. velocity

The Maxwell velocity distribution is characterized by several speeds:

- Most probable speed:  $\omega_o = \sqrt{\frac{2kT}{m}}$
- RMS speed:  $<\omega^2>^{1/2}=\sqrt{\frac{3kT}{m}}$
- $\bullet$  RMS velocity in one direction:  $<\omega_{x}^{2}>^{1/2}=\sqrt{\frac{kT}{m}}$

#### **Boltzmann distribution**

-18- gives the population of energy levels in an atom or molecule:

$$\frac{n_u}{n_l} = \frac{g_u}{q_l} \exp\left[-\left(E_u - E_l\right)/kT\right] = \frac{g_u}{q_l} \exp\left[-h\nu_o/kT\right]$$

where  $n_{u,l}$  are the number densities,  $g_{u,l}$  are the statistical weights, and  $E_{u,l}$  are the energies of the levels. (Partition function: summing over all energy levels...chemical term).

#### Saha equation

describes ionization equilibrium:

$$\frac{n_{i+1}}{n_i} = \frac{g_{i+1}g_e}{g_i} \left(\frac{2\pi m_e kT}{h^2}\right)^{3/2} \exp\left(-I/kt\right)$$

where I is the ionization potential for an ion in the ground state and initial ionization state i (aka, the energy required to ionize from i to i+1).  $g_e=2$  (two spin conditions). Electrons affect whether and how easily atoms can be ionized.

#### Planck function

specifies the radiation field: \*

$$B(\nu) = \frac{2h\nu^3}{c^2} \frac{1}{\exp[h\nu/kT] - 1}$$

$$= \sim \frac{2\nu^2}{c^2} kT \quad \text{for } h\nu << kT \text{ (Rayleigh - Jeans)}$$

$$= \sim \frac{2h\nu^3}{c^2} \exp[-h\nu/kT] \quad \text{for } h\nu >> kT \text{ (Wien)}$$

### 3. Statistical equilibrium

The four laws discussed above hold under thermodynamic equilibrium (TE). However, this is not often the case for the ISM. Thermodynamic equilibrium requires detailed balancing, i.e. each process is as likely to occur as its inverse. Example: Consider the 3727 Å emission from O<sup>+</sup>. This is a forbidden transition (actually a doublet). The excitation of the electron level occurs through collisions with electrons, in most conditions in the ISM. If detailed balancing were to hold, deexcitation should also occur by collisions. However, as we will see, under the low density conditions found in the ISM, collisions are rare, and de-excitation is more likely to proceed through emission of a photon, in spite of the fact that we are dealing with a forbidden transition. Thus [OII] emission can be quite strong, and by converting collisional (kinetic) energy into radiation, we actually have created a cooling mechanism for the gas.

Another reason why TE does not hold is the strong **dilution** of the radiation field: the concept of a dilute radiation field is quite familiar. For example, the sun's photosphere is  $\sim 6000$  K, and at the surface the flux leaving the sun is approximately that of a blackbody of this temperature. However, the Earth is not 6000 K because by the time the radiation reaches us, it is diluted. A diluted radiation field is one in which the energy density does not match the color temperature.

-20- For the solar neighborhood, the total energy density of the radiation field due to all stars in that volume is about  $1 \text{ eV cm}^{-3}$  (close to cosmic ray density, as mentioned

 $<sup>\</sup>begin{array}{l} * e^x \approx 1 + x \text{ for } x << 1 \\ e^{h\nu/kT} - 1 \approx 1 \text{ for } h\nu << kT \\ e^{h\nu/kT} - 1 \approx e^{h\nu/kT} \text{ for } h\nu >> kT \end{array}$ 

before). When interpreted as an average temperature using the Stefan-Boltzmann law (energy density of a blackbody,  $u=aT^4$ ), this energy density implies an equivalent temperature of  $\sim 3$  K. Yet the color temperature implied by the shape of the spectrum of this Interstellar Radiation Field (ISRF) is that of A and B stars (T  $\sim 10^4$  K). So there is a **dilution factor** W given by:

$$W \approx (\frac{3}{10^4})^4 \approx 0.25 \times 10^{-14}$$

We conclude that using the Planck law to describe intensities is not correct.

What about the other laws?

#### 1. Maxwell velocity distribution

Good news! It is generally valid. Detailed balancing is possible for the elastic collisions that are generally occurring. Because the maxwellian distribution is a good description of the motions of the particles, we can define a kinetic temperature which describes the physical condition of the gas. Often, for a plasma, the kinetic temperature is equal to the electron temperature:  $T_{ions} = T_e$ .  $T_{ions} \neq T_e$  may occur behind shocks.

#### 2. Boltzmann distribution

-21- is rarely correct. If excitation and de-excitation occurs by photons, we may still not have a Boltzmann distribution because the photon distribution is not given by the Planck function. Often we do not even have detailed balancing. However, as we will see, sometimes the distribution of excited levels is not too different from Boltzmann distribution. This happens when collisions dominate excitation and de-excitation, while radiation is relatively unimportant.

To describe situations close to TE, Spitzer introduced the so-called b-factors (Draine calls them "departure coefficients").

$$b_j \equiv \frac{n_j(\text{true distribution})}{n_j(\text{LTE distribution})}$$

Example: in an HII region, the hightest excited levels of HI have  $b_j \sim 1$ . Some radiation does escape (producing radio recombination lines) but collisions dominate the level populations. Since motions of particles *are* described by a Maxwellian velocity distribution, whenever collisions dominate the level population they will closely follow a Boltzmann law.

-22- In general, a Maxwellian velocity distribution tends to set up a Boltzmann population for energy levels in the atoms/particles *if* transitions resulting from emission and absorption of photons are relatively unimportant, and collisional (de)excitation is dominant. In the case of the highly excited levels in H mentioned before, collisions with electrons are dominant.

#### 3. Saha equation

is generally not valid. There is no detailed balancing, and even though the ionization and recombination processes are each other's inverse, the ionization process is determined by the photon field in most cases, while the recombination process is determined by collisions between  $A^+$  and  $e^-$ . The collision rate depends on  $\{n_e, n_{A^+}\}$  and  $T_e$ , but the ionization is dependent on  $T_{radiation}(\neq T_e)$ .

So in general, assume **statistical equilibrium**, where there is a balance between transitions one way and the other way, no matter what process caused each transition.

In level i, we have  $n_i$  atoms cm<sup>-3</sup>, and  $R_{ij}$  is the rate coefficient such that

 $n_i R_{ij}$  [s<sup>-1</sup>] = # transitions from level i to level j  $R_{ji} n_j$  [s<sup>-1</sup>] = # transitions from level j to level i

$$\frac{\mathrm{d}n_i}{\mathrm{d}t} = \sum_j \left( -R_{ij}n_i + R_{ji}n_j \right); \quad i = 1, 2, \dots$$

-23- In statistical equilibrium,  $\frac{\mathrm{d}n_i}{\mathrm{d}t}=0$ . The rate factor  $R_{ij}$  includes all possible processes that would take the atom or molecule from level i to j. In the worst case, you would have to include many processes to calculate the  $n_i$  values. This requires knowledge of a lot of physical input parameters, e.g. cross sections for particular processes, collisional rate coefficients  $^*$ , etc.

In other cases, where only one or two processes matter, the situation can be very simple. We will encounter cases of each.

Before going more into Ch 2 and 3 in Draine, we will first discuss some basic

<sup>\*</sup>Drane section 2.1

radiative transfer.\*

### 4. Radiative Transfer

Flux at surface of a sphere:

$$F_{
u}=\pi B_{
u}$$
 for blackbody  $F_{
u}=\pi I_{
u}$  for isotropic emitting non-blackbody

Flux at a distance r:

$$\begin{split} F_{\nu}(r) &= \pi I_{\nu}(\frac{R}{r})^2 = \frac{L_{\nu}}{4\pi r^2} \\ \text{where } R &= \text{radius of body and} \\ L_{\nu} &= \text{luminosity of body [erg s$^{-1}$ Hz$^{-1}]} \end{split}$$

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### 4.1 Energy density of radiation

$$u_{
u}=rac{1}{c}\int I_{
u}\mathrm{d}\Omega$$
 [erg cm $^{-3}$  Hz $^{-1}$ ]

### 4.2 Radiation pressure

$$P_{\nu} = \frac{1}{c} \int I_{\nu} \cos^2 \theta d\Omega$$

### 4.3 Emission and absorption coefficients

#### 4.3.1 Emission

- •  $j_{\nu}$  [erg cm  $^{-3}$  sec  $^{-1}$   $\Omega^{-1}$  Hz  $^{-1}$  ] spontaneous emission coefficient
- ullet  $[j_
  u]=$  volume emission coefficient
- $j_{\nu}'=\frac{j_{\nu}}{\rho}\left[\mathrm{erg}\ \mathrm{g}^{-1}\ \mathrm{sec}^{-1}\ \Omega^{-1}\ \mathrm{Hz}^{-1}\right]=\mathrm{mass}$  emission coefficient
- $j_{\nu}=\frac{\epsilon_{\nu}}{4\pi}$  (isotropic emitter);  $\epsilon_{\nu}=$  emissivity [erg cm $^{-3}$  s $^{-1}$  Hz $^{-1}$ ]

<sup>\*</sup>RL Ch 1, Draine Ch 6, 7

-26-

- $\kappa_{\nu}$  [cm<sup>-1</sup>] volume absorption coefficient (includes *stimulated emission*, aka "negative absorption").
- $\bullet \ \, \kappa_{\nu}' = \frac{\kappa_{\nu}}{\rho} \left[ \mathrm{g}^{-1} \ \mathrm{cm}^2 \right] = \mathrm{mass} \ \mathrm{emission} \ \mathrm{coefficient}$
- microscopically:  $\kappa_{\nu} = n\sigma_{\nu}$

Loss of intensity in a beam of light as it travels distance ds:

$$dI_{\nu} = -\kappa_{\nu} I_{\nu} ds$$

The absorption process refers to the sum of "true absorption" + stimulated emission, ( $\sim$  net emission). It removes a *fraction* of the incoming radiation.

mean free path:  $\ell_{
u}$ 

$$\tau_{\nu} = \kappa_{\nu} \cdot \ell_{\nu}$$
, so for condition  $\tau = 1$ ,  $\ell_{\nu} = \frac{1}{\kappa_{\nu}} = \frac{1}{n\sigma_{\nu}}$ 

### 5. Radiative transfer equation

$$\frac{dI_{\nu}}{ds} = -\kappa_{\nu}I_{\nu} + j_{\nu}$$

Define optical depth (unitless):

$$\tau_{\nu} = \int \kappa_{\nu} ds$$

then

$$d\tau_{\nu} = \kappa_{\nu} ds$$

or

$$\frac{dI_{\nu}}{d\tau_{\nu}} = -I_{\nu} + \frac{j_{\nu}}{\kappa_{\nu}} \equiv S_{\nu}$$

where  $S_{\nu} = \underline{\text{source function}}$ 

- $\tau \gtrsim 1$  optically *thick* emission
- $\bullet$   $\ensuremath{\tau} < 1$  optically  $\emph{thin}$  emission

#### Formal solution:

$$I_{\nu}(\tau_{\nu}) = I_{\nu}(o)e^{-\tau_{\nu}} + \int_{0}^{\tau_{\nu}} e^{-(\tau_{\nu} - \tau'_{\nu})} S(\tau'_{\nu}) d\tau'_{\nu}$$

-27- →attenuated incoming beam + contribution from gas itself.

### **Special cases**

- 1. Source function is constant throughout source:  $I_{\nu}(\tau_{\nu})=I_{\nu}(o)\mathrm{e}^{\tau_{\nu}}+S_{\nu}(1-\mathrm{e}^{-\tau_{\nu}})$ 
  - optically thick emission:  $I_{\nu} = S_{\nu}$
  - optically thin emission:  $I_{\nu} = I_{\nu}(o)(1-\tau_{\nu}) + \tau_{\nu}S_{\nu}$
- 2. Thermal radiation:  $S_{\nu}=B_{\nu}(T)$  (The Planck function)
  - optically thick emission:  $I_{\nu}=B_{\nu}$
  - optically thin emission:  $I_{\nu}=\tau_{\nu}B_{\nu}$

For radio emission:  $I_{\nu}$  is replaced by the brightness temperature,  $T_b$ , defined as:

$$I_{\nu, \text{obs}} \equiv B_{\nu}(T_b)$$

In the Rayleigh-Jeans limit for  $B_{\nu}$  we get:

$$I_{\nu,\text{obs}} = \frac{2\nu^2 k T_b}{c^2}$$

or

$$I_b = \frac{I_\nu c^2}{2\nu^2 k}$$

Solution of the transfer equation in terms of  $T_b$ :

$$T_{b,\text{obs}} = T_{b,o} e^{-\tau_{\nu}} + T(1 - e^{-\tau_{\nu}})$$

- -28- where
  - T is the thermal source temperature (physical temperature of the layer)
  - ullet  $T_b$  is the brightness temperature of the incident radiation

 $T_b$  is never greater than T!

#### 5.1 Einstein coefficients

- \* Transition probabilities per unit time. Three possible processes:
  - 1. Spontaneous emission: Einstein A coefficient
  - 2. Absorption
- -29- 3. Stimulated emission

#### 5.1.1 Relations between the Einstein coefficients

Valid under all conditions since they only refer to *atomic* principles (no collisions, just radiation). TE: rate of transitions out of state 1 = rate of transitions into state 1 (per unit volume).

-30- In TE, apply the Boltzmann law.

### 5.1.2 Relations between Einstein coefficients and $\kappa_{ u}$ and $j_{ u}$

# emission coefficient absorption coefficient

-31- The second term here for  $\kappa_{\nu}$  corresponds to *stimulated emission*.

### 5.2 Line profile function, $\phi(\nu)$

†

#### 5.2.1 Natural line width

Key point: A small Einstein coefficient A results in a narrow line.

The natural line width of most transitions is quite small, and broadening due to other effects is more important.

<sup>\*</sup>Draine, section 6.1

<sup>&</sup>lt;sup>†</sup>See RL chapter 10.6 and Draine 6.4

#### 5.2.2 Doppler broadening

- Thermal velocities
- Bulk motion (turbulence)
- -32- and the profile function is

#### 5.2.3 Collisional broadening

 $\sim$  Pressure broadening, which is not generally important in the ISM because the density is so low...mostly occurs in stellar atmospheres. This still produces a Lorenzian profile, but with:

$$\phi(\nu) = \frac{4\Gamma^2}{16\pi^2(v - v_o)^2 + \Gamma^2}$$

Can be written in terms of a *Voigt function*:

-33- So the core of the profile is Gaussian due to Doppler broadening, while the wings are much stronger than expected in a Gaussian profile, due to the intrinsic line width.

### 6. Atomic H in the ISM

\* (Aka HI, or neutral hydrogen). Wherever HI dominates the ISM, all atoms are found in the **ground state** ( $^2$ S)(n=1). The next excited level ( $^2$ P) is about 10 eV above the ground-level. This excitation is very rare and and quickly falls back to the ground level, so there is no significant population of this level. For example, consider potential excitation mechanism: collision of H-atom with cosmic ray particle (lots of energy, probability is once per  $10^{17}$  seconds), ionizes the H-atom. Recombination results in some atoms winding up in  $^2$ P state. But the Einstein A coefficient for spontaneous emission from  $^2P \rightarrow ^2S$  is  $10^8$  s $^{-1}$ . Hence, this excitation process results in relative population of  $^2P$  level of  $10^{-8}/10^{17} = 10^{-25}$ .

ISM is too cool for collisions to happen often and cosmic rays are rare.

<sup>\*</sup>Draine Ch 8, 29; Ch 17.1, 17.3

### 6.1 Possible tracers of HI gas

- 1. 21 cm HI transition (=hyperfine transition) in emission or absorption.
- 2. Lyman absorption lines against hot background stars.

Why only these two? Because only the  ${}^2S$  level is populated. HI is hard to find in the ground state; fine structure  $\rightarrow$  different angular momentum.\*

#### 6.1.1 Excitation and radiative transport for the 21-cm line

- -34- Spin of proton and electron:
  - Parallel (upper energy)
  - Anti-parallel (lower energy)

(Spin is around particle's own axis, not to be confused with angular momentum). Motions specified by maxwellian velocity distribution, and collisions dominate the level populations (excite and de-excite).

Energy difference (very small):

$$h\nu = 9.4 \times 10^{-18} \text{ erg}$$
  
 $\nu = 1420.4 \text{ MHz}$   
 $\lambda = 21.11 \text{ cm}$ 

#### **Spontaneous emission:**

probability is very small:

$$A_{kj} = 2.86 \times 10^{-15} \text{ sec}^{-1}$$
  
 $\rightarrow \text{ lifetime} = 1.10 \times 10^7 \text{ years}$ 

#### Collisions:

are more likely to dominate transitions and cause the atoms to flip energy states.

$$\mathsf{H} + \mathsf{H} \to \mathsf{H}_2^* \to \mathsf{H} + \mathsf{H}$$

<sup>\*</sup> See Draine Ch 4 (& 5) on notation of energy levels and atomic structure.

(excited  $H_2$  molecule - not stable). Chance for collisional excitation per second:  $\gamma n(H)$  [s<sup>-1</sup>].

$\gamma [\times 10^{-11} \text{ cm}^3 \text{s}^{-1}]$	T [K]
0.23	10
3.0	30
9.5	100
16	300
25	1000

As long as  $n(H^o) > 10^{-2} \ {\rm cm}^{-3}$ ,  $\gamma n_H >> A$ , so collisions determine excitation and de-excitation. . . implies detailed balancing, so Boltzmann distribution is valid for level populations.

$$\frac{n_1}{n_o} = \frac{g_1}{g_o} \exp(-h\nu/kT_k) \approx \frac{g_1}{g_o} (1 - \frac{h\nu}{kT_k}) \approx \frac{g_1}{g_o}$$

where  $T_k = \text{kinetic gas temperature.}$ 

#### -35- Derivation:

#### -36- Now assume that...

In general, in situations where stimulated emission and absorption can be neglected, we would obtain

$$\frac{b_1}{b_o} = \frac{1}{1 + \frac{A_{10}}{n_H \gamma_{10}}} \approx 1$$

Note: Potential other excitation process of upper level for HI: HI + Ly $\alpha$  photon  $\rightarrow$  n=2 level ( $^2P$ ).  $^2P$  level might cascade down to upper  $^2S$  hyperfine level.

In principle, one might selectively populate the upper level with this so-called *photon pumping\**. It turns out that, due to thermilization of the photons this anomalous population of the levels does *not* generally occur. (Ly $\alpha$  photons frequently scatter off A-atom again = LTE situation).<sup>†</sup>

-37- Since the b's are effectively 1, our main result is that

<sup>\*</sup>D 17.3

 $<sup>^{\</sup>dagger}$  This point is also discussed in Kushami & Heiles (in Gal. & Extragal. Radio astron., page 100)

$$\frac{n_1}{n_0} = \frac{g_1}{g_0} \left( 1 - \frac{h\nu}{kT_k} \right) \approx \frac{g_1}{g_0} = 3$$

(since 
$$h\nu \ll kT$$
). So  $n_0 = (1/4)n(H^o$ ,  $n_1 = (3/4)n(H^o)$ 

Are the HI levels always populated mainly by collisions? No, if  $n(H^o)$  drops low enough, and if the HI is warm it doesn't work as well.

The warm HI in our Galaxy has  $n_H\sim 0.4$  cm  $^{-3}$  and  $T_k\approx 8000$  K. But  $T_s=$  spin temperature, of order  $T_k/$ 5, if it weren't for excitation by Lylpha photons.

But it is true that the 3K background radiation field does *not* significantly disturb the equilibrium set up by collisions, as we discussed above.

If  $n_H$  drops to very low values, collisional excitation is ineffective. But even in that case,  $T_s \approx T_k$  because of the above mentioned Ly $\alpha$  excitation.

In any case, in general we now obtain for the emission coefficient:

$$j_{\nu} = \frac{3}{4} A \frac{h\nu}{4\pi} n(H^o) \phi(\nu)$$

which is independent of temperature in most circumstances. So we don't need temp to know how much HI there is! And for the absorption coefficient:

$$\kappa_{\nu} = \frac{h\nu}{c} \left[ n_0 B_{01} - n_1 B_{10} \right] \phi(\nu)$$

-38- Using the relation between Einstein coefficients:

Important result: high  $T_k$  (and  $T_s$ ) and/or broad  $\phi_{\nu}$  both = less absorption. Warm HI has less optical depth than cold HI for the same column density.

### 6.2 Simple case of a single layer of gas

-39-

#### Consider two cases

- (i)  $au_{
  u_o}(L) >> 1$  Optically thick
- (ii)  $au_{\nu_o}(L) << 1$  Optically thin

In general, the line profile of HI emission is entirely determined by the velocity of the atoms, so the assumption of a Gaussian profile is correct.

-40-

### 6.3 Observing brightness temperature

#### Aside: How do we observe HI?

- 1. Radio telescope
  - single dish
    - $\circ$  "single beam": one spectrum per position  $1 \times 1$  pixel!
    - Multiple beam system: get 7 = 30 beams ("ish")
    - low angular resolution
    - sensitive to all the flux

Make a map by many pointings. Point telescope, take spectra, repeat.

• interferometer One or  $\sim$  few pointings per source. FOV is set by FWHM of beam of *one* telescope. Angular resolution set by the longest baseline (FOV still the same, regardless of D). In one

pointing, can make a map of an area in the sky.  $\theta = \frac{1.2 \lambda}{D_{max}}$ 

- High angular resolution
- Missing baselines in array
  - \* No zero-m spacing  $\rightarrow$  no net flux
  - \* Missing short spacings → negative bowl
  - \* Missing gaps in baselines  $\rightarrow$  grating rings

Spectroscopic resolution is generally very good.

### 6.4 HI emission and absorption

#### -41- Distinguish two cases:

- 1. Absorption by an HI cloud of an extended background source, usually a continuum source (e.g. AGN)
- 2. HI self-absorption (enough of it at same velocity)

#### Case 1:

Simple picture: the radio continuum source is usually a compact, distant (extragalactic) radio source (but this does not have to be the case).

Reminder of radiative transfer: suppose that the HI layer has kinetic temperature  $T_s$ , uniform throughout. Then:

$$I_{\nu} = I_{\nu}(0)e^{-\tau_{\nu}} + B_{\nu}(T_s)\left[1 - e^{-\tau_{\nu}}\right]$$

Rayleigh-Jeans law:

$$B_{\nu}(T_s) = \frac{2\nu^2 k T_s}{c^2}, \quad h\nu \ll k T_s$$

Toward the source, we then get:

$$T_b = T_{bo}e^{-\tau_{\nu}} + T_s \left(1 - e^{-\tau_{\nu}}\right)$$

where

- $T_b =$  observed brightness temperature (as function of  $\nu$ )
- $T_{bo} =$  brightness temperature of background source  $\equiv T_c$  (continuum), which is essentially independent of frequency across narrow frequency range of 21 cm line. Constant, though technically still function of frequency.
- $\tau_{\nu}=$  optical depth ghrough HI layer, at frequency  $\nu.$  Only non-zero right around the 21 cm line;  $\nu_0=$  1420.4 MHz.
- -42- In the direction immediately next to the source we have:

$$T_{b,off} = T_b e^{-\tau_{\nu}} + T_s \left(1 - e^{-\tau_{\nu}}\right)$$

The brightness temperature both on and off the source are for each individual frequency channel.

#### Some practical points

1. Observe in a *frequency band* in a number of channels, together spanning a range in velocity.

$$\frac{\Delta v}{c} = \frac{\Delta \nu}{\nu}$$

Total bandwidth is 100 - 1000 km s  $^{-1}$  , and the channel spacing,  $\Delta\nu$  is  $\sim$  1 - 5 km s  $^{-1}$  .

- 2. The assumption here is that the "off source" position, the HI emission from the cloud is the same as in front of the continuum source (so the cloud is rather uniform). Evidently, this assumption works better if your radio telescope has high angular resolution. → need a large (single) dish.
- A way to potentially avoid this problem is to have a background source that switches on and off (a pulsar). However, in practice, pulsars are generally too weak.
- 4. Do we really have only one HI cloud along the LOS, and is its temperature uniform? We really don't need only one cloud, provided the clouds have different *velocities*. However, the uniform  $T_s$  assumption is definitely a problem. (More about that later.) We can minimize the complexity in terms of many clouds along the LOS by observing clouds and background sources at high galactic latitudes.
- -43- We observe at each frequency for which there is HI. Let the observed brightness temperature at source position after subtraction of continuum be given by

$$T_{b,on} - T_c \equiv T_{b,obs}$$

We get

$$T_{b,off} - T_{b,on} = -T_c e^{-\tau_{\nu}}$$

$$T_{b,off} - T_{b,obs} = T_c \left(1 - e^{-\tau_{\nu}}\right)$$

$$\left(1 - e^{-\tau_{\nu}}\right) = \frac{T_{b,off} - T_{b,obs}}{T_c}$$

Measure (observe)  $T_{b,off}$ ,  $T_{b,on}$ , and  $T_c$ . Then derive  $\tau_{\nu}$ .

Once  $au_{
u}$  is known, we can find  $T_s$  because

$$T_s = \frac{T_{b,off}}{1 - e^{-\tau_{\nu}}}$$

the HI spin or kinetic temperature.

Reminder of general point:

$$T_{b,off} = T_s \left( e^{-\tau_{\nu}} \right)$$

$$= \tau_{\nu} T_s \quad \text{for } \tau_{\nu} \ll 1$$

$$= T_s \quad \text{for } \tau_{\nu} \gg 1$$

$$T_{b,off} \leq T_s$$

The last line is always the case!

#### Discussion of some results

Many recent references\*†

-44- Plots

#### 6.5 Conclusions

-45-

1. The absorption profile  $(1-e^{-\tau_{\nu}})$  is always sharper and simpler in structure than the emission line profile. Follows from Gauss analysis of profiles (so fit Gaussians).

$$T = \sum_{i=1}^{m} T_i \exp\left\{-\frac{1}{2} \left(\frac{v - v_i}{\sigma_i}\right)^2\right\}$$

 $\sigma$ 's are smaller for absorption profile. However, it is not clear as to what extent this is significant, except to note that absorption profiles are especially sensitive to cold cloud (cores) which presumably are narrower in velocity width. "You have to realize you are comparing apples & peas" ?? Given an HI distribution of column density N(HI)= constant, say  $\tau T_s=20$  K (note that  $\tau T_s \propto N(HI)$ ). Assume that  $T_s=50$ , 100, 200 K. Then:

$\tau T_s$	$T_s$	au	$1 - e^{-\tau}$	$T_B^*$
20 K	50 K	.4	0.33	16.5
20 K	100 K	.2	0.18	18.0
20 K	200 K	.1	0.095	19.0

\* from  $T_B=T_s(1-e^{-\tau})$ . So a variation of  $\sim$  factor of 3 in absorption profile,  $1-e^{-\tau}$  corresponds to variation of only 16% in  $T_B$ . (Note: what would shape of  $(1-e^{-\tau})$  and  $T_B$  look like for single [layer cloud]?) Warm HI will only appear in emission, since the optical depth is too small to give significant absorption. Only recent deep observations begin to see absorption in warm HI. $^{\ddagger}$ 

-46-

2. The variation in spin temperature,  $T_s$ , apparent in Fig 3-29, makes onewonder whether there is really one temperature in each cloud (there seems to be

<sup>\*</sup> Dickey et al. ApJ 228, 465 (1979)

<sup>†</sup> See also Dickey and Lockman, Ann Rev of A & A 28 (1990)

<sup>&</sup>lt;sup>‡</sup> Look for recent papers by Carl Heiles and by Jay Lockman.

- three in this profile). wonder whether there is really only one temperature in the cloud.
- 3. The range of  $T_s$  one finds from absorption line studies is 50 K  $\leq T_s \leq$  1000 K. However, the upper value is only a lower limit since we don't see absorption anymore for  $T_s >$  1000 K. So we have no handle on  $T_s$  above that.
- 4. One can compare  $T_{spin}$  with  $T_{Doppler}$ , the temperature derived from the velocity width of each line. It turns out that always  $T_{spin} < T_{Doppler}$ .
  - ightarrow Doppler motions that we see in a cloud generally do not correspond to thermal velocities; this is probably due to turbulence.

Analysis of emission and absorption line profiles of HI across our Galaxy have led to a continuously evolving pictrue of the HI distribution that we have. The early desire to attribute all HI to "clouds" is understandable, but not always useful.\*

#### 6.6 Some relevant results

-47-

- 1. HI is *not* concentrated in a small number of giant clouds, as H<sub>2</sub> is; estimates of filling factor range from 20-90%?!
- 2. HI occurs roughly 50/50 in two important forms:
  - CNM = cold neutral medium  $\sim$  80 K
  - $\bullet$  WNM = warm neutral medium  $\lesssim 8000$  K About half of all HI gas, whose emission line profiles can be split into two components
    - narrow  $(\sigma < 5 \text{ km s}^{-1})$
    - $\circ$  wide (5 <  $\sigma$  < 17 km s $^{-1}$ )

WNM = wide component

- present in essentially all directions from solar neighborhood
- large vertical scale-geight, possibly up to 480 pc
- 3. Clouds are filaments and/or sheets, rather than spheres
- 4. At low galactic latitudes, it is not possible to distinguish between WNM and CNM because there is too much material along the line of sight.

<sup>\*</sup> Good summary of some of the main properties of MW HI distribution is given by Mulkami & Heiles in their 1988 review in Gal. & Extragal. radio astronomy

5. **Problem with different temperatures along the line of sight:** Illustration: consider just two isothermal clouds. For one cloud:

$$T_s = \frac{T_B}{1 - e^{-\tau}}$$

-48- For two clouds, we have two spin temperature:  $T_{s1} and T_{s2}$ . Then let  $T_n(v)$  be the (naively determined) spin temperature:

$$T_n(v) = \frac{T_{s1}(1 - e^{-\tau_1}) + T_{s2}(1 - e^{-\tau_2})e^{-\tau_1}}{(1 - e^{-\tau_1})^{-\tau_2}}$$

We see that  $T_n$  depends on v and ranges from  $T_{s1}$  through  $T_{s2}$ , depending on  $\tau_{\nu}$ . We would conclude that HI exists at temperatures intermediate to  $T_{s1}$  and  $T_{s2}$ .

Special cases: compare  $\tau_1$  to  $\tau_2$  over velocity range where N(HI) peaks:

- 1.  $\tau_1 \gg 1$ ,  $\tau_2 \ll 1 \rightarrow T_n \approx T_{s1}$
- 2.  $\tau_1 \ll 1$ ,  $\tau_2 \gg 1 \to T_n \approx T_{s1}\tau_1 + T_{s2}$ . So we increased the cold temperature  $T_{s2}$  by a non-physical foreground temperature of warm gas. Happens a lot in practice!
- 3.  $au_1 \ll 1$ ,  $au_2 \ll 1 \to T_n$  is a column density weighted harmonic mean temperature. Example: 80 K CNM + 8000 K WNM with equal column densities; then  $T_n \approx 160$  K, so you overestimate  $T_{cool}$  and greatly underestimate  $T_{warm}$ .

### 6.7 Some additional points on HI

- -49-
- HI absorption measurements toward Galactic continuum sources provide (some) information on the distance to these sources, using the velocity of the HI absorption feature to derive a kinematical distance. The usual problem of distance ambiguity may still be a problem here as well, although the total HI column [density?] that is derived from the absorption measurements helps a little in distinguishing near and far distances.
- Temperature of WNM...two methods:
  - 1. HI absorption: complicated because  $\tau$  is small, plus stray radiation. Also biases to low temperatures when cooler gas is along the LOS.

- 2. UV absorption lines: local (< 200 pc) stars give  $T\gtrsim$  6000 K for WNM So far: data in agreement with 5000 K  $\lesssim T\lesssim$  8000 K, but needs more confirmation.
- Temperature of CNM...from absorption spectra. We already pointed out correlation of  $T_{spin}$  with peaks in absorption profile (see fig. in handout, page 44). Interpretation: HI clouds are not isothermal blobs. Usually we derive what we call  $T_{spin}$  of the cloud at the center of the absorption profile, so this is  $T_{n,min}$  The absorption lines are narrow.

Present research on Milky Way HI concentrates on:

- 1. Origin of high velocity clouds
- 2. Properties of smallest clouds/features
- 3. Search for HI clouds that may contain dark matter, but no stars
- -50a, Images and plots.
- 50b,

-50-

- 50c-
- -I1-

### 7. Atomic structure

#### 7.1 Introduction

### 7.2 Hydrogen atom & hydrogen-like atoms (or ions)

- -l2- Example: energy of ground states:
  - H = 13.6 eV
  - $He^+ = 54.4 \text{ eV}$
  - $Li^{2+} = 122.5 \text{ eV}$
- -I3-
- -14-
- -15-
- -16-

### 7.3 Electron spin

-I7- But, since  $\vec{J_1}$  and  $\vec{J_2}$  can have different directions, there are different possible

values of  $\vec{J}$ .

-18-

### 7.4 Spin orbit coupling

Two possible orientations of electron spin with respect to orbital angular momentum lead to a doubling of energy levels of H-like atoms (except s-levels, where  $\ell=0$ ).

Lines appear in pairs, close together, called doublets. Example: for Na D lines,  $\lambda=5890\text{Å}$ , 5896Å. (Question: are atoms with filled shells + one electron also hydrogen-like? Doubling is due to spin-orbit coupling.)

Physically, the spin-orbit coupling produces an extra energy term for the electron, proportioned to  $\vec{S} \cdot \vec{L}$ .

-I10-

### 7.5 Atoms with multiple electrons

-l11-			
-112-			
-l13-			
-114-	7.6	Transition rules	
-115-			
-116-			
-117-			
-l18-			
-l19-	7.7	X-ray emission	
-I20-			
	7.8	Zeeman effect	
-l21-			

### 8. HII regions

### 8.1 Introductory remarks

Process: hot OB stars emit UV photons that can ionize the surrounding neutral H (and He) medium. In practice, this requires stars hotter than  $\sim$  30,000 K, aka. spectral type B0 or earlier.

Physics for planetary nebulae is similar, but central stars may be much hotter (though they are also dimmer because there is "more stuff").

UV photons impart energy to gas by ionizing H and He. Excess kinetic energy of created free electrons is shared with ions and other electrons, heating the medium. Relevant processes:

- photoionization
- electron-electron encounters
- electron-ion encounters
  - Excited ions
  - Recombination
  - Bremsstrahlung

H atom + photon  $h\nu \longrightarrow p^+ + e^- + E_k$ 

Since stars create a continuous stream of photons, a balance is reached between ionization and recombination. \* †

Field OB stars: were they born there or travel there somehow? Usually in groups (OB associations), dense clouds, etc.

Consequences:  $\sim 10^4$  K. Warm ionized plasma emitting various forms of radiation: recombination, free-free continuum, bree-bound continuum, 2-photon continuum, collisionally excited forbidden lines from "metals". If there is dust around, get MIR

<sup>\*</sup>Draine Ch 10, 13.1, 14, 15, 17, 18, 27, 28

<sup>†</sup>Osterbrock AGN<sup>2</sup>

dust continuum. Runaway OB stars (like teenagers!)

1 km  $\equiv$  1 pc in  $10^6$  years. (?)

 $Q_o$  [s<sup>-1</sup>] = number of ionized photons emitted by OB star per second. Factor of 100 from O 9.5V to O 3V (luminosity classes).

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### 8.2 Strömgren Theory

"It's only a model."

Classical paper\*

Basic result:

- Hot star in a uniform medium will ionize a spherical volume out to a certain radius, whose size is determined by:
  - 1. Number of ionizing photons emitted by the star
  - 2.  $\rho$  of medium (determines recombination rate)
- There is a *sharp* boundary from the ionized to the surrounding neutral medium.

### 8.3 Simple derivation

Pure H nebula, uniform density. Hot star emits  $N_{Lyc}$  photons per second (Lyc= Lyman continuum), all at the *same* frequency,  $\nu_o$ . The star will ionize the gas, but there is a balance:

#### ionization $\longleftrightarrow$ recombination

Stationary ionization eqilibrium characterized by degree of ionization:

$$x(r) \equiv \frac{n_e(r)}{n_H}$$

<sup>\*</sup>Strömgren 1939 ApJ 89, 526

where  $n_H = total$  hydrogen density (neutral and ionized).  $0 \le x \le 1$  ( $x = 1 \to \text{complete ionization}$ ;  $x = 0 \to \text{completely neutral}$ ). Problem: what is the shape of x(r)?

Important quantity: the Strömgren radius,  $R_{SO}$ , defined as

$$\frac{4}{3}\pi\alpha n_H^2 R_{SO}^3 \equiv N_{Lyc}$$

where  $\alpha$  is the recombination coefficient (collisional process  $\sim \rho^2 \to$  more encounters, see 8.3.2). The quantity  $N_{Lyc}^*$  gives the total number of recombinations per unit time (all the magic is here!).

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#### 8.3.1 Description of photoionization equilibrium

#### Overview:

Consider pure H nebula surrouding a single hot star. Ionization equilibrium:

$$n_{H^o} \int_{\nu_1}^{\infty} \frac{4\pi J_{\nu}}{h\nu} \sigma_{\nu} d\nu = n_e n_p \alpha(H, T)$$

where

- $n_{H^o}$  = neutral hydrogen density
- $J_{\nu}=$  mean intensity of radiation field
- $\sigma_{\nu}=$  ionization cross-section for H by photons with energy above threshold  $h\nu_{01}$
- $n_e, n_p$  = electron and proton densities
- $\alpha(H,T)$  = recombination coefficient
- LHS: number of *ionizations* per second per cm<sup>3</sup>
- RHS: number of *recombinations* per second per cm<sup>3</sup>

To first order, not including radiative transfer effects:

$$4\pi J_{\nu} = \frac{R^2}{r^2} \pi F_{\nu}(0) = \frac{L_{\nu}}{4\pi r^2}$$

 $<sup>^{</sup>st}N_{Lyc}=S_4$  in Spitzer's notation.

 $<sup>^\</sup>dagger S_4$  formally should include contributions from the diffuse radation field.

(Local radiation field.) Order of magnitudes:

$$N_{Lyc} = \int_{\nu_1}^{\infty} \frac{L_{\nu}}{h\nu} d\nu$$

= number of Lyman continuum photons emitted per second.

- $N_{Lyc} \approx 5 \times 10^{48}~{
  m sec^{-1}}$  for O6 star  $\sigma_{\nu} \approx 6 \times 10^{-18}~{
  m cm^2}$
- $\alpha(H,T) \approx 4 \times 10^{-13} \text{ cm}^3 \text{ sec}^{-1}$
- -54-Physical conditions: radiative decay from upper levels to n=1 is quick  $\rightarrow$  nearly all neutral hydrogen will be in the ground level. Photoionization takes place from the ground level, and is balanced by recombination to excited levels, which then quickly de-excite by emission of photons.

The photoionization cross-section  $(\sigma \propto \nu^{-3})$  is actually rather complicated to calcualte. Spitzer (who calls this erroneously the "absorption coefficient") gives:

$$\sigma_{f\nu} = \frac{7.9 \times 10^{-18}}{Z^2} \left(\frac{\nu_1}{\nu}\right)^3 g_1 f$$

where  $g_1 f = \text{Gaunt factor (from level 1 to free)}^*$ 

Since  $\sigma_{\nu} \propto \nu^{-3}$ , higher energy photons will typically penetrate further into the nebula before being absorbed.

#### 8.3.2 the recombination coefficient

 $\alpha_n(H,T)$  = recombination coefficient for *direct* recombination to level n.

$$\alpha^{(n)} \equiv \sum_{m=n}^{\infty} \alpha_m$$

where m = all other levels stepped through to get to n.

Note: Ouside of level 1, higher energy not necessarily better for ionization. OIII is not necessarily from the same place as OII. Free electrons: there is a recombination coefficient for every level, depends on velocity of the electron.

<sup>\*</sup>see, e.g. Table 5.1 Spitzer (Draine Ch. 10); see also Fig 13.1 in Draine and Fig. 2.2 in handout (from Osterbrock).

-55- The summed recombination coefficient  $(\alpha)$  is the relevant quantity because recombination counts, irrespective of the level n to which it happens.

Larger velocities  $\rightarrow$  smaller  $\sigma$ .

For a distribution f(v)dv,  $n_e f(v)v =$  number of electrons with velocity v passing through a unit area per second. Thus

$$\alpha_n = \int_0^\infty v \sigma_n(H, v) f(v) dv$$

where  $\sigma_n(H,v) \propto v^{-2} =$  recombination cross-section to the level n for electrons with velocity v.

$$f(v) = \frac{4}{\sqrt{\pi}} \left(\frac{m}{2kT}\right)^{3/2} v^2 e^{-mv^2/2kT}$$

(Maxwellian). Hence,  $\alpha \propto \frac{1}{\sqrt{T}}^*$ 

We are interested in the total recombination coefficient to all levels:

$$\alpha^{(n)} = \sum_{m=n}^{\infty} \alpha_m$$

 $\alpha^{(1)}$ : summed over all levels. However, for direct recombination to level 1, a photon is generated which itself can ionize H again; so the recombination coefficient that we are interested in is  $\alpha^{(2)} \equiv \alpha_B{}^\dagger$  This refers to "case B": optically thick through Ly $\alpha$  emission. Almost always here! Most common situation. Case A: very low density gas.

- Neutral fraction *inside* HII region<sup>‡</sup>: Consider pure H nebula, and case B recombination (see later). *Mean energy* of stellar ionization photons is  $h\nu$ .
- -57- Rewrite latter as:

so neutral fraction x is small and hence  $x^2 \approx 1$ .

-58- Comments:

<sup>\*</sup>see e.g. Spitzer, Table 5.2

<sup>†</sup>see Draine section 14.2

<sup>‡</sup>see Draine section 15.3

- 1. Reality: include He, include diffuse radiation field generated from direct recombination to n=1 (which can ionize an atom again!), realistic stellar energy spectrum below  $\lambda=912\text{\AA}$ ; results are qualitatively the same.
- 2. Is assumption of a static ionization equilibrium realistic? No: HII region is overpressureized compared to the surrounding medium:  $T_e$  and n higher  $\longrightarrow$  HII region expands\*. Expansion of the ionization front happens rather slowly,  $v \propto 1-2$  km s<sup>-1</sup>, dynamical timescale.  $\frac{R_{SO}}{v} \sim 10^7$  years, of order liftime of HII region. So ionization equilibrium does hold.
- 3. Recombination time scale = ionization time scale:

$$t_{ionization} \equiv \frac{\frac{4}{3}(R_{SO})^3 n_H}{Q_o} = \frac{1}{\alpha_B n_H} = \frac{1.22 \times 10^3}{n_2} \text{ years} = t_{recombination}$$

( $n_2=n_H$  in units of 100). The two are identical! So  $t_{recombination} \propto \frac{1}{n_e}$  Key: for  $n_H>0.03~{\rm cm}^{-3}~t_{recombination}<$  lifetime of massive stars ( $\lesssim 5~{\rm Myr}$ ).

- 4. As we will see later, each Lyc photon results in  $\sim$  0.35 H $\alpha$  photons begin produced in this ionization  $\rightarrow$  recombination equilibrium.
- 5. Next: influence of dust on  $R_{SO}$

### 8.4 The spectrum of an HII region

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#### 8.4.1 Continuum Radiation

#### Sources:

- ullet free-free  $^\dagger=$  thermal Bremsstrahlung
- free-bound<sup>‡</sup>
- two-photon decay§
- emission by dust particles

<sup>\*</sup>First discussed by Kahn (1954)

<sup>†§3.5</sup> Spitzer, Drane ch. 10

<sup>‡</sup>See previous footnote

<sup>§</sup>Osterbrock, pp 89-93

#### Two-photon decay:

Transition from  $n=2 \to n=1$  ( $\ell=0 \to \ell=0$ ) is strictly forbidden ( $\Delta \ell \neq 0$ ). The actual process happens in two steps, with a virtual intermediate phase. Two photons are emitted whose joint energy adds up to the energy of a Ly $\alpha$  photon = 3/4 ionization energy of H. The two-photon continuum is symmetric about  $\nu_{12}/2$  if expressed in photons per unit frequency instead.

#### Free-free emission:

Bremsstrahlung, continuous emission and absoprtion by *thermal* (Maxwellian velocity distribution) electrons due to *encounters* between electrons and positive ions. Acceleration/deceleration  $\longrightarrow$  photons.

Classical theory: electron emits a single narrow Em pulse in time, with no oscillation in E.  $\rightarrow$  FT is broad, almost the FT of a  $\delta$  function.  $\rightarrow$  Emission coefficient  $j_{\nu}$  is nearly independent of frequency, up to a *cutoff* frequency, which corresponds to the Maxwellian velocity distribution of electrons. So cutoff frequency is given roughly by  $h\nu \sim kT_e$ . (HII regions:  $T_e \sim 10^4$  K, so  $h\nu \approx 0.87$  eV and  $\lambda \approx 1.4 \mu m$ ).

In practice, dust emission dominates at IR wavelengths, so it is unlikely to observe the free-free spectrum this far.

#### Emission coefficient:

-64-

$$j_{\nu} = \frac{8}{3} \left(\frac{2\pi}{3}\right)^{1/2} \frac{Z_i^2 e^6}{m_e^{3/2} c^3 (kT)^{1/2}} g_{ff} n_e n_i e^{-h\nu/kT}$$
$$= 5.44 \times 10^{-39} \frac{g_{ff} Z_i^2 n_e n_i}{\sqrt{T}} e^{-h\nu/kT} \quad [\text{erg cm}^{-3} \text{ s}^{-1} \text{ sr}^{-1} \text{ Hz}^{-1}]$$

where  $e^{-h\nu/kT}$  is the exponential cutoff due to  $kT_e$  and  $g_{ff}$  is the Gaunt factor for free-free transitions\*.

Total amount of energy radiated in free-free transitions per  ${\rm cm}^3$  per second:

$$\epsilon_{ff} = 4\pi \int j_{\nu} \mathrm{d}\nu$$

... (long-ass equation)

Remember that the corresponding absorption coefficient  $\kappa_{
u}$  is related to  $j_{
u}$  by

<sup>\*</sup>see Draine, figure 10.1

Kirchoff's law, since we deal with *thermal* emission (*not* blackbody though!)  $j_{\nu} = \kappa_{\nu} B_{\nu}(T)$  which leads to [anther long-ass equation].

#### Free-bound emission

-68-

#### 8.4.2 Line Radiation

- -69- Two kinds:
  - 1. Recombination lines, predominantly from H and He
    - optical
    - radio
    - forbidden
  - 2. Collisionally excited liens in heavy elements

#### Recombination lines:

#### Collisionally excited lines in heavy elements:

-79- Generally: electrons don't have enough energy to excite ions from the ground state to their first excited states. However, many ions have multiplets in their ground state, due to the coupling of the electrons individual (orbital and spin) angular momenta.

Many ions have incomplete "p-shells"; (there is not really a p-shell, but we talk about electrons which have  $\ell=1$ , corresponding to p). Remember:

```
H 1s He 1{\rm s}^2 C 1{\rm s}^2 2s 2{\rm p}^2 For n=2, there is room for 6 p-electrons, since each n\ell O 1{\rm s}^2 2s 2{\rm p}^4
```

has a number of  $m_\ell$  and  $m_s$  combinations:

$$m_{\ell}: 2\ell+1 \\ m_{s}: \pm \frac{1}{2}$$
  $2(2\ell+1)$ 

So as long as  $\ell=1$  is possible (meaning n>1), we have 6 potential "p-shell" electrons, *always*.

The enclosed diagram shows the 3 most typical structures of energy levels for

various elements in different ionization stages. For [OIII] we have, in particular: 2 electrons in "p-shell",  $\ell_1=\ell_2$ , so L=0,1,2

-81- One can set up 5 equations of statistical equilibrium for each of these levels. This shows that both the  ${}^1S_o$  and the  ${}^1D_2$  levels are populated essentially exclusively by collisions from  ${}^3P$  level.

So, not surprisingly, the ratio

$$\frac{N(^{1}S_{0})}{N(^{1}D_{2})} \propto \exp\left(\frac{-\Delta E}{kT}\right)$$

where  $\Delta E=$  energy difference between  $^1S_0$  and  $^1D_2$ 

Also, observed flux in  $\lambda$ 4363 line is:

$$S(4363) \propto \frac{4}{3}\pi R^3 N(S_0) A_{4363} h \nu$$

and similarly for the 4959 and 5007 lines.

The net result, obtained by solving the equations of statistical equilibrium is:

$$\frac{S(4959) + S(5007)}{S(4363)} = 8.3 \exp\left(\frac{3.3 \times 10^4}{T_e}\right)$$

so this line ratio provides a direct measure of the electron temperature.

A similar relationship exists for [NII] (6548, 6583, and 5754) lines.

In general: Emission lines arising from ions, such as  $O^{++}$  and  $N^+$  that have upper energy levels that have considerable different energies are useful for estimating  $T_e$ . Vice versa, as we will see, ions with closely spaced upper energy levels provie little info on  $T_e$ , but can be used to derive  $n_e$  (examples: [SII], [OII] lines).

### 8.5 Types of HII Regions

- -85- In general:
  - HII regions are associated with molecular clouds and dark nebulae.
  - Structure:

- "Blister model" cavity inside GMC
- "Champagne model" half cavity at edge of GMC

There are also *compact* HII regions, which are only visible at radio and FIR wavelengths. These are very young objects, often associated with H2O and OH masers, infrared sources, molecular lines of complex molecules, etc.

The *range* in properties of HII regions is enormous\*.

Modeling of HII region spectra: the probably best known code is CLOUDY, developed by G. Ferland. You can in put an ioinizing spectrum from a star or other, a gas distribution, metallicity, etc., and then calculate the detailed emission line spectrum for the region.

HII regions can be density-bounded or radiation-bounded. rad: run out of photons before running out of gas. density: run out of material before using up photons. No clear boundary.

<sup>\*</sup>Kennicut, 1984

# 9. Interstellar absorption lines in stellar and quasar spectra

-89- Discovered in 1904, before we were aware of dust, or that MW and M31 were separate galaxies. Typical optical absorption lines discovered later include Ca<sup>+</sup>, Na<sup>o</sup>, K, Ti<sup>+</sup>, and some molecular lines, e.g. CH<sup>+</sup>, CH, CN. Lines from more abundant atoms and molecules (H, H<sub>2</sub>, C, N, O, etc.) were only found after Copernicus was launched (early 70s) because  $\lambda < 3000 \text{Å}$  for these lines, so they have to be observed from space.

<u>Key</u>: Since the absorption process is  $\propto I_{incoming}$ , we can find very small column densities of ISM, provided the background source is strong enough.

General, important property: Absorption of optical photons generally only occurs from the ground level of the atom, ion, or molecule, because only these levels are populated in most conditions (remember that kT = 0.86 eV for T =  $10^4$  K, or 8.6 eV for T =  $10^5$  K). This implies that in the formation of absorption lines, stimulated emission from the upper level does not play a role.

O<sup>+</sup> in HII region sits in ground state, can assume ions in ISM also stay in n=1. Pure absorption foreground screen.  $I=S(\lambda)\,(1-{\rm e}^{-\tau_\lambda})$ , can leave the exponent out  $(\to 0)$ .

This is not the case whenever excitation conditions are such that absorption lines do arise from excited atoms or molecular levels. Usually collisions would be responsibe for the excitation, so keep an eye on kT.

-90- Generally, interstellar absorption lines are *narrow* and *complex* in structure. So we require very high resolution to resolve them:

$$\left(rac{\lambda}{\Delta\lambda}>3 imes10^5
ight) \Leftarrow$$
 ideal, but rarely achieved. res: couple thousand

Remember that 
$$\frac{\Delta\lambda}{\lambda}=\frac{\Delta v}{c}$$
 and for  $\frac{\lambda}{\Delta\lambda}\geq 3\times 10^5 \to v \leq 1$  km per second. Which implies  $\Delta\lambda\sim 0.02$  Å at 6000 Å and  $\Delta\lambda\sim 0.0033$  Å at 1200 Å

### 9.1 Theory of formation of (interstellar) absorption lines

Ideal background source: bright (especially UV), with a fetureless continuum.  $\Rightarrow$  Quasars and AGN or hot stars (which tend to be more complicated, though quasars sometimes have their own lines).

The high spectral resolution that is required to resolve a line is often unattainable. However, even if we cannot *resolve* the absorption line, we can still infer some important properties about the ISM. As long as the resolution is sufficient to separate absorption lines at different velocites, coming from different clouds along the line-of-sight, or if only one component is present, we can use the *area* of an absorption line, or the **equivalent width**, EW, the width of an absorption line which would absorb 100% everywhere, and which would have the same area as the hatched area. Note that EW is always defined relative to  $I_o$ ; we *divide* by  $I_o$ .

-91- Note also that if we decrease the resolving power of the spectrograph, EW does not change.

Theoretical expression for EW:

$$\tau_{\nu} = \int_{0}^{\infty} \kappa_{\nu} dr; \quad \kappa_{\nu} = h \nu_{u\ell} \frac{n_{\ell} B_{\ell u} - n_{u} B_{u\ell}}{c} \phi(\nu)$$

(using "energy density" definition of B coefficients). u= upper level and  $\ell=$  lower level.

If stimulated emission can be neglected, we have:

$$\kappa_{\nu} = \frac{h\nu_{u\ell}B_{\ell u}}{c}n_{\ell}\phi(\nu) \equiv \frac{\pi e^2}{m_{e}c}f_{\ell u}n_{\ell}\phi(\nu)$$

where  $f_{\ell u} = \textit{oscillator strength}$  (historical terminology), and  $\frac{\pi e^2}{m_e c} = \text{"classical cross-section"}^* \sim \text{Einstein coefficient.}$ 

<sup>\*</sup> see for example Rybicki and Lightman, section 3.6

Use  $|\mathrm{d}v|=\frac{c}{\lambda^2}|\mathrm{d}\lambda|$  and we obtain:

$$EW = \int_{o}^{\infty} \left[ 1 - e^{-\tau_{\nu}} \right] d\lambda$$

$$= \frac{\lambda^{2}}{c} \int_{o}^{\infty} \left[ 1 - e^{-\tau_{\nu}} \right] d\nu$$

$$= \frac{\lambda^{2}}{c} \int_{o}^{\infty} d\nu \left[ 1 - \exp\left( -\int_{0}^{\infty} \left( \frac{\pi e^{2}}{mc} \right) f_{\ell u} n_{\ell} \phi(\nu) dr \right) \right]$$

 $\tau \sim n_e$ 

Now assume:  $\phi(\nu)$  independent of r for the particular cloud that we are observing. Then:

$$EW = \frac{\lambda^2}{c} \int_0^\infty d\nu \left[ 1 - \exp\left(-\left(\frac{\pi e^2}{mc}\right) f_{\ell u} \phi(\nu) N_\ell\right) \right]$$

with  $N_{\ell} = \int n_{\ell} d\nu = column \ density$  of atoms in level  $\ell$ . The quantity  $\phi(\nu)N_{\ell}$  is the key part of this equation.

-92- Note: This situation is very different from the discussion of HI 21-cm abs lines where the upper level *is* populated, and we had to include stimulated emission to first order.

We next assume a Gaussian velocity distribution (Maxwellian) to describe the distribution of radial velocities:

$$f(v_{rad}) = \frac{1}{\sqrt{\pi b}} \exp \left[ -\left(\frac{v_{rad} - v_o}{b}\right)^2 \right]$$

where  $b=\sqrt{\frac{2kT}{m}}$  (only valid for thermal motions) and  $\phi(\nu)$  becomes:

$$\phi(\nu) = \frac{1}{\sqrt{\pi}} \frac{1}{\Delta v_b} H(a, u)$$

where  $a\equiv\frac{\Gamma}{4\pi\Delta v_b}$ ;  $u=\frac{\nu-\nu*}{\Delta\nu_b}$ ;  $\Delta\nu_b\equiv b\frac{\nu_o}{c}$ ; and  $\nu*=\nu_o(1-\frac{v_o}{c})$ , the central frequency of the line.  $b^2=2\sigma^2$  where  $\sigma=$  velocity dispersion, and a is a measure of where the Gaussian profile levels off to the Lorentzian wings\* (transition of Gaussian line core to the damping wings).

<sup>\*</sup>see notes from before, p. 34

### 9.2 The Curve of Growth

What is all this good for?

For a given spectral line, we can measure EW from observations. Free parameters:  $N_{\ell}$ , b, and a. For a given cloud, b and a are specified, and we can plot EW as a function of  $N_{\ell}$ .

⇒ The Curve of Growth

In practice, one plots 
$$\log \left( \frac{W}{\lambda} \right)$$
 against  $\log \left( N_\ell f_{\ell u} \lambda \right).^*$ 

We can distinguish three regimes in a curve of growth.

-93- I 
$$EW \propto N_{\ell}$$
 (linear)

II EW almost independent of  $N_\ell$ 

III 
$$EW \propto \sqrt{N_\ell}$$

-94-

- 9.2.1 Turnover points in the curve of growth
  - 9.2.2 Growth curves in practice
- 9.3 UV absorption lines from H and  $H_2$

-96-

<sup>\*</sup> example: figure 3.2 in Spitzer

### 10. **Dust**

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#### 10.1 Far infrared emission from dust

- **10.1.1** General properties of dust
- 10.1.2 Absorption efficiency: the Q parameter
- 10.1.3 Calculating dust mass from FIR fluxes
  - **10.1.4** Dust temperatures

### 10.2 Interstellar extinction

-116- The presence of dust was demonstrated by Trumpler, who detected the dimming and reddening of distant stars. High-z proto-galaxies!

Dust both scatters and absorbs light. The combined effect is **extinction**. For a point source (e.g. a star), the object is *dimmed* by extinction, since both scattered and absorbed light do not reach the observer. For an extended source (e.g. a galaxy), some light may be scatter *into* the line of sight, so the extinction will generally be less than for point sources. On average, extinction is about 0.6 - 1 mag kpc<sup>-1</sup> (reddening is about 0.3 mag kpc<sup>-1</sup> in (B-V) in the plane of the Milky Way - see §10.3).

NGC 6240, a heavily obscured starburst nucleus, emits 10 times more power in the IR/FIR than in the optical, with peak near 60-100  $\mu$ m. Large z: shifts into sub-mm. Led to detection of Ultra-Luminous IR Galaxies (ULIRGs), where "ultra-luminous" is  $> 10^{12}$  L $_{\odot}$ . More typical galaxies have L $_{\rm FIR} \sim L_{\rm optical}$ .

#### 10.2.1 The extinction law

-117- Empirical result: reddening in magnitudes

$$r_{\lambda} = a + \frac{b}{\lambda}$$

where a and b are roughly constant. This is between two extreme cases:

- 1. grey extinction,  $r_{\lambda}$  independent of  $\lambda$
- 2. Rayleigh scattering,  $r_{\lambda} \propto \lambda^{-4}$
- $\Rightarrow$  particle size is  $\sim 10^{-5}~{\rm cm} =$  0.1  $\mu{\rm m}$  (= 100 nm).

$$dI_{\lambda} = -I_{\lambda}n(x)\sigma_{\lambda}d\lambda = -Id\tau_{\lambda}$$

- ullet n(x) = number density of grains at position x
- $\sigma_{\lambda}=$  extinction cross-section per dust grain at wavelength  $\lambda$

The star is dimmed by

$$I_{\lambda} = I_{\lambda}^{0} \mathrm{e}^{-\tau_{\lambda}}$$

where

$$\tau_{\lambda} = \sigma_{\lambda} \int_{0}^{x} n(x') dx' = \sigma_{\lambda} N(x)$$

In magnitudes:

$$A_{\lambda} \equiv -2.5 \log \left[ \frac{I_{\lambda}}{I_{\lambda}^{0}} \right]$$

$$= -2.5 \log \left[ e^{-\tau_{\lambda}} \right]$$

$$= -2.5 \log \left[ e^{\ln(e^{-\tau_{\lambda}})} \right]$$

$$= -2.5 \ln(e^{-\tau_{\lambda}}) \log [e]$$

$$= -2.5(-\tau_{\lambda}) \log [e]$$

$$= 1.086 \tau_{\lambda}$$

#### Dependence on Galactic latitude:

Assume simplest model of uniform dust layer.

-118- In reality, an exponential z-dependence would be more realistic, while the dust is also clumped, just like the gaseous ISM.

### 10.3 Interstellar reddening

Reddening is caused by the wavelength dependence of extinction. We can define a **color excess**:

$$E(\lambda_1 - \lambda_2) \equiv \underbrace{(m_{\lambda 1} - m_{\lambda 2})}_{\text{observed color}} - \underbrace{(m_{\lambda 1,0} - m_{\lambda 2,0})}_{\text{intrinsic color}}$$

e.g.:

$$E(B - V) = (B - V) - (B - V)_0$$

Question: How is the reddening measured?

Reddening line is defined by the extinction law.

$$E(U-B) = \underbrace{0.72}_{\text{"standard}} E(B-V) + \underbrace{0.05(B-V)}_{\text{minor correction}}$$

We can define *reddening free colors*, if the shape of the reddening law is known. For example, the following is reddening free:

$$Q \equiv (U - B) - \frac{E(U - B)}{E(B - V)}(B - V) = (U - B) - 0.72(B - V)$$

-119- In general:

$$m_i = m_i^0 + A(\lambda_i)$$
  

$$m_j = m_j^0 + A(\lambda_j)$$

2200Å bump.

### 11. Molecular hydrogen and CO

Molecular gas is, with HI, the dominant phase of the ISM in galaxies, in its contribution to the total gas mass. The distribution of  $H_2$ , however, is very different from HI gas. The gas is much colder and denser, and as a result, fills only a small part of the volume of the Galactic disk. The gas is heavily concentrated to the midplane of the galaxy (scale height  $\sim 60$  pc) and is mostly found in Giant Molecular Clouds (GMCs), complexes of small clumps of molecular gas, gravitationally bound, with overall sizes  $\sim 50$  pc and masses of  $10^4$  -  $10^6$   $M_{\odot}$ . All available evidencee indicates that stars form from molecular gas. There is some diffuse molecular gas locally at higher latitude, so the scale height cited refers to the main GMC layer, not all molecular gas. Forming molecules is not trivial; dust grains may act as a catalyst.

Since H2 molecule is not easily observable (see later), we need a tracer. CO is the most abundant ( $\sim$  one CO molecule for every  $10^4$  H2 molecules). In terms of observational techniques, the predominant tracer of molecular gas is the CO molecule, through rotational transitions in the mm wavelength range. (2.6 mm, to be exact.) This sets a very different set of observational challenges compared to the 21-cm line: smoother telescope surfaces, different receivers/noise demands, smaller primary beams, larger short spacings, problems in interferometer maps. CO maps are harder to make than HI.

### 11.1 Molecular gas and CO as a tracer

H<sub>2</sub> molecule has no permanent dipole moment (because the center of mass and center of charge coincide). Electronic transitions in the UV (Lyman and Werner bands) have been detected in absorption, and rotational-vibrational transitions in IR (H<sub>2</sub> gas that is warm due to shocks) but these do not allow general maping of this component.  $\Rightarrow$  Need a different tracer. CO has J=1-0 rotational transition at 2.6 mm (115.3 GHz) which is easily excited by collisions with H<sub>2</sub> ( $h\nu/k = 5.5$  K) even in cold gas. Critical density for significant excitation of the 2.6 mm line:  $n_{H_2} \geq 3000 \text{ cm}^{-3}$  if there is a balance between CO-H<sub>2</sub> collisions and spontaneous decay. However, since lines are optically thick in reality, the critical density is reduced by  $1/\tau$  (where  $\tau$  is the optical depth)\* The result is that  $T_x = T_k$  for

<sup>\*</sup> See Draine 19.3.1, 19.3.2

 $n_{H_2} \ge 300 \ {\rm cm}^{-3}$  (the levels are "thermalized").  $T_x$  is the excitation temperature and  $T_k$  is the kinetic temperature.

### 11.2 CO luminosity

-130-

$$L_{CO} = 4\pi D^2 \int I \mathrm{d}\Omega$$

Cloud of surface area  $\pi R^2$  occupies

$$\mathrm{d}\Omega = \frac{\pi R^2}{4\pi D^2}$$

so  $L_{CO} \propto \pi R^2 T_{CO} \Delta v$  (assumes  $T_{CO} \simeq T_A^* \dots$ ). For gravitationally bound clouds:

$$\Delta v = \sqrt{\frac{GM_{H_2}}{R}}$$

$$\implies M_{H_2} = L_{CO} \sqrt{\frac{4}{3\pi G}} \frac{\sqrt{\rho}}{T_{CO}}$$

$$M_{H_2} \propto L_{CO} \frac{\sqrt{\rho}}{T_{CO}}$$

SO

 $L_{CO}$  is measured (need to know distance).  $T_{CO}$  is not necessarily physical.\*

Potential problems: How constant is the proportionality? For example, metallicity – abundance of  $H_2$  over CO may differ. This is especially a problem for dwarf galaxies. Note:  $H_2$  molecules mainly form on grains. Dissociation energy of  $H_2$  and CO: 4.48 eV and 11.1 eV.

### $CO \rightarrow H_2$ conversion calibration methods:

- optical extinction for Galactic clouds  $\leftrightarrow L_{CO}$   $(A_V)$ .
- $\gamma$ -ray emission at "soft  $\gamma$ -ray energies" probes total gas column.
- The famous x-factor! Calibration of  $L_{CO}$  to  $M_{H_2}$ . Canonical value x (see below). Assuming gas-to-dust ratio of 1%.
- virial mass of molecular clouds

<sup>\*</sup> See Draine 19.6 and figure on reverse side of handwritten notes.

Results:

$$\frac{N(H_2)}{I_{CO}} = 2 - 3 \times 10^{20} \text{ cm}^{-2} \text{ (km s}^{-1})^{-1}$$

Good info about molecular lines and transitions on pages 135 - 141 (photocopy from book)

## 12. Hot ionized gas

### 12.1 Collisional excitation and ionization

-142-

### 12.2 Properties of hot ionized gas and spectrum

-145-

### 13. Heating and cooling

-151- Following Spitzer's general formalism\*:

 $\Gamma = \text{total kinetic energy } gained [\text{erg cm}^{-3} \text{ s}^{-1}]$ 

 $\Lambda = \text{total kinetic energy lost [erg cm}^{-3} \text{ s}^{-1}]$  (aka. the "cooling function")

All the physics are contained in these two quantities; need to find out what they are.

For  $\Lambda = \Gamma$  we reach equilibrium temperature,  $T_E$ . Since  $\Lambda$  and  $\Gamma$  may depend on  $T_E$  themselves, we can set up a time dependent differential equation:

$$n\frac{\mathrm{d}}{\mathrm{d}t}\left(\frac{3}{2}kT\right) - \underbrace{kT\frac{\mathrm{d}n}{\mathrm{d}t}}_{\text{rate of increase in thermal energy for a mono atomic gas}} - \underbrace{kT\frac{\mathrm{d}n}{\mathrm{d}t}}_{\text{work done by gas (energy loss)}} = \underbrace{\sum_{\varrho,\eta}\left(\Gamma_{\varrho,\eta}-\Lambda_{\varrho,\eta}\right)}_{\text{Sum over interacting particles}} = \Gamma-\Lambda$$

(Note: in what follows, we generally ignore "work done by gas" component.)

For T $\lesssim 2 \times 10^4$  K, thermal conduction can be ignored. If we do have enrgy gain or loss by conduction (electrons), we add  $-\vec{\nabla} \cdot \left(k\vec{\nabla}T\right)$  to the righthand side. Conductivity is hard to figure out, but not important below 20,000 K. ( $\vec{B}$  fields prevent conductivity across field lines.)

 $PV=nRT=NkT\to P\Delta V=$  work done by gas.  $R=N_Ak$ , where  $N_A$  is Avagadro's number.

If a gas is not at its equilibrium temperature  $(T_E)$ , we can define a "cooling time"  $t_T$  (like a half-life, sorta):

$$\frac{\mathrm{d}}{\mathrm{d}t} \left( \frac{3}{2} kT \right) = \frac{3}{2} k \left( \frac{\mathrm{d}T}{\mathrm{d}t} \right) = \frac{3}{2} k \left( \frac{T - T_E}{t_T} \right)$$

where  $T_E$  = constant and T = variable. For  $T_E$  and  $t_T$  = constant,  $T - T_E \propto \mathrm{e}^{-t/t_T}$  (exponential decay for cooling). t obviously can't be negative  $\to$  quasi-stable

-152- If  $t_T < 0$ , unstable situation and gas will cool or heat toward an entirely different

<sup>\*</sup> see also Draine chapters 27, 30, 34

equilibrium temperature. This is relevant to the multi-phase ISM. All the physics is in  $\Lambda$  and  $\Gamma$ .

### 13.1 General

#### 13.1.1 Primary heat source

The primary heat source is (photo)ionization.

 $E_2 = \text{kinetic energy of ejected electron}$ 

 $E_1 = \text{kinetic energy of recaptured electron}$ 

 $\Delta E = |E_2 - E_1| \to {\rm energy}$  available. Ionization from the ground state (maximum energy) recombines with less energy. Number of captures to level j of neutral atom:

$$n_e n_i < \omega \sigma_{cj} > [\text{cm}^{-3} \text{ s}^{-1}]$$

where  $<\omega\sigma_{cj}>=$  recombination coefficient. The final net gain associated with electron-ion recombination:

$$\Gamma_{ei} = n_e n_i \sum_j \left( \begin{array}{ccc} <\omega \sigma_{cj} > \overline{E}_2 & - & <\omega \sigma_{cj} E_1 > \\ & & & \text{ionization out of } j \end{array} \right)$$

<> = average over Maxwellian velocity distribution

 $\overline{E}_2 =$  average over all ionizing photon energies

As we have seen, all ionizations take place from the ground level  $\to \overline{E}_2$  is independent of j; use recombination coefficient to all levels  $\geq n$ :

$$\alpha^{(n)} = \sum_{n=0}^{\infty} \alpha_m$$

and

$$\Gamma_{ei} = n_e n_i \left\{ egin{array}{ccc} \underline{\alpha} \overline{E}_2 & - & rac{1}{2} m_e \sum <\omega^3 \sigma_{cj} > \ & & ext{kinetic energy} \end{array} 
ight\}$$

#### Main point:

-153-  $\Gamma_{ei}$  is not dependent on ionization probability or radiation density for *steady state*, where the number of ionizations is equal to the number of recombinations.

### 13.1.2 Primary cooling source

The primary cooling source is **inelastic collisions**. (excitation of energy levels, since particles that undergo inelastic collisions will lose energy).

- $n_e n_i \gamma_{jk} [{\rm cm}^{-3} {\rm s}^{-1}] = {\rm number}$  of excitations from level  $j \to k$  for ions in ionization stage i and excitation state j.
- $E_{jk} = E_k E_j$  = energy lost by colliding electrons (in the form of emitted photons).

This cooling is offset by *de-exciting* collisions, which give energy gain to electrons (give energy back to the nebula). Net cooling:

$$\rightarrow \Lambda_{ei} = n_e \sum_{j < k} E_{jk} \left( \underbrace{n_{ij} \gamma_{jk}}_{\text{excitation}} - \underbrace{n_{ik} \gamma_{kj}}_{\text{de-excitation}} \right)$$

 $\gamma$ s are collisional rate coefficients. Assumption: all photons escape (not true for dense molecular clouds). Again, in most cases all ions are in the ground level, so we don't need to sum over j, and  $n_{ij}=n_{i1}=n_i$  (simpler)

### 13.2 HII Regions

-154-

- Main heat sources: ionization of H and He
- Main cooling source: excitation of C, N, O, Ne
  - $\circ$  Heavy elements have low abundance. If they didn't, HII regions would cool to very low  $T_E!$

UV = radiation field energy density. Radiation is from OB stars (ionizing photons) and diffuse radiation in HII regions from direct recombination to n=1.

HII Regions: Lyman photons didn't escape; they were all re-absorbed and turned into Balmer line. Photons absorbed by dust  $\rightarrow$  cooling. Photons scattered  $\rightarrow$  *not* cooling. Keep in mind: **Do all photons make it out of the nebula?** 

### 13.2.1 Heating

$$\overline{E}_2 = \frac{\int_{\nu_1}^{\infty} h(\nu - \nu_1) s_{\nu} U_{\nu} d\nu / \nu}{\int_{\nu_1}^{\infty} s_{\nu} U_{\nu} d\nu / \nu}$$

- $s_{\nu} = {\rm cross\ section}$
- $\nu_1 = \text{ionization limit for HI}$

<u>Problem</u>:  $U_{\nu}$  is determined by stellar radiation  $(U_{s\nu})$  and diffuse radiation  $(U_{D\nu})$ , and depends in turn on  $n_H$ , etc.

Spitzer discusses two simple cases:

- 1. Close to exciting star:  $U_{s\nu}$  large,  $U_{D\nu}$  negligible in comparison
- 2. Evaluate  $\overline{E}_2$  for entire HII region.

Approximation: Use dilute blackbody of temperature  $T_c$  (color temperature) to describe the stellar radiation field at distances from the stars.

Define: 
$$\psi = \frac{\overline{E}_2}{kT_c}$$

-155-

 $\psi_0 = \psi(r \to 0)$ , so close to star

 $<\psi>=$  average of star

 $\psi(r) = \text{over entire HII region}$ 

$$\psi_0: \quad U_{\nu} = \frac{4\pi B_{\nu}(T_c)}{c} = \frac{1}{c} \int I_{\nu} d\Omega$$

Then it is possible to calculate table 6.1 (Spitzer), which lists values of  $\psi_0$  (Draine, table 27.1) for various values of  $T_c$ .

<sup>\*</sup> Spitzer also discusses how to calculate  $<\psi>$ ; too lengthy to do here.

We had that:

$$\Gamma_{ei} = n_e n_i \left\{ \alpha \overline{E}_2 - \frac{1}{2} m_e \sum \langle \omega^3 \sigma_{cj} \rangle \right\}$$

where second term = mean energy lost per recombining electron.

We have  $\overline{E}_2$ , now we need

$$\sum_{i=k}^{\infty} \langle \omega^3 \sigma_{cj} \rangle = \frac{2A_r}{\sqrt{\pi}} \left( \frac{2kT}{m_e} \right)^{3/2} \beta \chi_k(\beta)$$

 $A_r =$  "recapture constant" \*

$$\beta = \frac{h\nu_1}{kT}$$

 $\chi_k(\beta)$  are listed in table 6.2 in Spitzer. They are "energy gain functions" with values from  $\sim\!0.4$  to 4.0.

#### **13.2.2** Cooling

Use directly the basic equation using

$$\frac{n_k}{n_j} = \frac{b_k}{b_j} \frac{g_k}{g_j} \exp\left(-\frac{h\nu_{ju}}{kT}\right)$$

and

$$\frac{b_2}{b_1} = \frac{1}{1 + A_{21}/n_e \gamma_{21}}$$

(discussed for HI emission) for a two-state ion. 3 level ions are more complicated.

- $\frac{g_k}{g_j} \exp\left(-\frac{h\nu_{ju}}{kT}\right) o ext{Boltzmann equation}$
- ullet  $\frac{b_2}{b_1}$  o "b correction", since we can't assume Boltzmann level populations

#### 13.2.3 **Results**

-156- See figures on handout (page -157-).

<sup>\*</sup>Spitzer, page 100

#### Some main points:

two groups of coolants

- meta-stable fine-structure levels in ground. Spectroscopic term of various ions.
  - $E_{ex} < 0.1 \text{ eV} \rightarrow IR \text{ radiation}$  examples in Fig:

### Weak $T_E$ dependence

2. meta-stable other spectroscopic terms with excitation energies  $\gtrsim 1$  eV, giving rise to optical and UV lines. Strong  $T_e$  dependence of course! Act as thermostat  $\rightarrow$  will keep  $T_e \sim 10,000$  K in HII regions.

#### Note:

Figure is for (arbitrary) assumption that O, Ne, N are 80% singly and 20% double ionized. H is 0.1% neutral.

#### Net effective heating rate

 $G-L_R$  in the fig. is what we have been calculating (where G is photoionization and  $L_R$  is recombination emission for H and He).

# The intersection between heating and cooling gives $T_E$ Optical depth $\tau_0$

in figures refers to *distance* from star. It is the optical depth at the ionization limit of HI, so it is proportional to N(H).

#### Outer parts of nebula are hotter!

This happens because the photoionization cross-section is proportional to  $\nu^{-3}$ , so higher energy photons are absorbed *later*.  $T_E$  decreases at first, because  $U_{s\nu}$  falls. Then, beyond  $r=0.6R_S$ ,  $T_E$  increases and is higher near  $r=R_0$ . Finally, it decreases again in the transition region where the ionized fraction drops to zero.

The second figure shows what happens if Ne is large enough that some excited levels of heavy ions are collisionally de-excited;  $T_E$  increases, since cooling is less effective.

 $\epsilon_{ff}$ , the free-free loss rate, follows directly from (3-56) in Spitzer; it is not very important.

How fast does T change when  $T \neq T_E$ ?

Close to  $T_E$ ,

$$t_T \approx \frac{2 \times 10^4}{n_p}$$
 [years]

Compare to recombination time:\*

$$t_r = \frac{1}{n_e \alpha} = \frac{1.54 \times 10^3 \sqrt{T}}{Z^2 n_e \phi_2(\beta)} \quad \text{[years]}$$

(It would take  $10^4$  years for recombination to occur if the star in the HII region disappeared). So for  $T\sim 10^4$  K,  $t_R\gtrsim t_k\to {\rm cooling}$  is faster than recombination. (Also, cooling time is much larger for  $T\gg 10^4$  K, as we discussed before.)

- -159- Consider the handout (cooling and heating in HII regions, taken from Osterbrock). What is missing in these diagrams?
  - Cooling by free-bound and bound-bound HI and He? No; since each recombination is balanced by an ionization, these photons, even though they may well escape from the nebula, do not draw net heat from it. Rather, they draw heat from the star itself, not the nebula.<sup>†</sup>
  - photon  $h\nu$  absorbed  $\rightarrow$  ionizes atom  $\rightarrow$  creates electron with (kinetic) energy  $\frac{1}{2}mv^2 = h(\nu \nu_0) \rightarrow \text{electron thermalizes its energy with ions and electrons}$  and sets up temperature  $T_e$ .
  - However, each electron recombines from energy  $\frac{1}{2}mv'^2$ , which produces photons with a total energy  $h\nu'=\frac{1}{2}mv^{-2}-h\nu_0$

The *net* energy (or heat) gain from the photoionization is given by  $\frac{1}{2}mv^2 - \frac{1}{2}mv'^2$  (Notice that in general, |v'| < |v|). This is to be balanced against the *cooling*, which is predominantly due to emission from collisonally excited heavier elements (in HII regions).

Pure H in the ISM: not many photons around; HII regions are fully ionized. Gas not generally in both phases, e.g. 90% neutral and 10% ionized.

<sup>\*</sup>Spitzer 6-11

<sup>&</sup>lt;sup>†</sup>Draine does not agree? He includes a recombination cooling rate in Fig. 27.2, 27.3; it is low. He keeps it in heating too though...so okay, fine. Whatever.

### 13.3 HI gas

### -160- (Draine, ch. 30)

Not as simple as one might believe. . .

### Simple considerations

HI neutral  $\rightarrow$  few free electrons to share heat. Only elements with ionization potential (IP) < 13.6 eV will be ionized (and dust grains, but more on that later). However, there is still a dominant cooling line from CII (IP of C is 11.26 eV). So:

- ullet  $rac{\Gamma_{ei}}{n_e}$  down by factor of  $\sim 1/2000$

HI is naturally cool

#### **Problem:**

We observe some very warm HI. General solution may well depend on  $T_e$ , n, chemical composition (i.e. depletion)...

We will just list the general players.

### 13.3.1 Cooling function

Cooling function  $\Lambda$ :

- neutral atoms
- ions
- molecules

Excitation sources:

- electrons
- H atoms

- -161- Some sources in particular:
  - 1. CII and SiII excitation by collisions with H. Problem: depletion
  - 2. Excitation of HI, [exp.?] n=2 level in warm HI. Generally, n=2 is not strongly populated, but if it does happen, it will cool:
    - Ly $\alpha$  photon  $\rightarrow$  dust  $\rightarrow$  IR
    - $\Lambda_{eH} = 7.3 \times 10^{-19} n_e n(HI) \exp(-118, 400/T)$  [erg cm<sup>-3</sup> s<sup>-1</sup>]  $(T = h\nu/k = 118,400 \text{ for a } 1216\text{\AA photon}).$

Fate of Ly $\alpha$  photons: Scatter until absorbed by dust, can't do 2-photon emission, level  $1 \to 2p...$  or something.

- 3. H<sub>2</sub> molecules: gain or loss source
  - loss: excitation of rotational levels
  - gain: photon pumping of upper rotational levels, followed by collisional de-excitation. Also other molecular lines may cool (CO, CN, CH,...)
- 4. Collisions with dust grains (can both heat and cool): Spitzer's fig. 6-2 (page -172- in notes) shows cooling function, including HI and H<sup>+</sup> range. Generally, cooling time:

$$t_T \approx \frac{2.4 \times 10^5}{n_H}$$
 [years]

longer than for HII regions (not many lines available).

#### 13.3.2 Heating function

Very poorly known.  $\Gamma_{ei}$  from ionizing elements such as C is best known (i.e. photo-electric heating).

-162- However, using only CII heating would produce  $T_E=16~{\rm K}$  (Spitzer); clearly too cool. Adding metals is not enough

#### Other potential players

- (a) Cosmic ray ionization of H, no radiation, but this could do it too.
- (b) Formation of H<sub>2</sub> molecules on grains. This releases 4.48 eV. Goes into:
  - heating grain
  - overcome energy of adsorption to grain surface
  - excitation of new H<sub>2</sub> molecule
  - translational kinetic energy that H<sub>2</sub> molecule gets as it leaves the grain.
- (c) Photoelectric emission from grains. What is efficiency, as a function of  $\lambda$ ? Clearly, complicated problems; see Draine for a discussion.

How can HI become 6000K? Cooling is 10 times more efficient (from figure on page 172:  $\frac{\Lambda}{n_H}$ ) than for cool HI. But, if  $n_H$  is lower, then:

- cosmic ray heating more efficient, but still problematic
- also grain photoelectric heating

### 13.4 Few comments/additions from Spitzer

See figure 6.2, which sketches what happens at lower T (below  $10^4 {\rm K}$ ). Note that Spitzer talks about  $\frac{\Lambda}{n_H^2}$ 

- -166- Why are there two HI phases?
- -167- The "destruction" of the hot phase happens (only) through cooling of the gas. This cooling depends critically on  $T_e$  and  $n_e$ , as we will next explore.
- -168- Heating and cooling of hot gas: