AnchorInv: Few-Shot Class-Incremental Learning of Physiological Signals via **Feature Space-Guided Inversion**

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Abstract

Deep learning models have demonstrated exceptional performance in a variety of real-world applications. These successes are often attributed to strong base models that can generalize to novel tasks with limited supporting data while keeping prior knowledge intact. However, these impressive results are based on the availability of a large amount of highquality data, which is often lacking in specialized biomedical applications. In such fields, models are usually developed with limited data that arrive incrementally with novel categories. This requires the model to adapt to new information while preserving existing knowledge. Few-Shot Class-Incremental Learning (FSCIL) methods offer a promising approach to addressing these challenges, but they also depend on strong base models that face the same aforementioned limitations. To overcome these constraints, we propose Anchor-Inv following the straightforward and efficient buffer-replay strategy. Instead of selecting and storing raw data, Anchor-Inv generates synthetic samples guided by anchor points in the feature space. This approach protects privacy and regularizes the model for adaptation. When evaluated on three public physiological time series datasets, AnchorInv exhibits efficient knowledge forgetting prevention and improved adaptation to novel classes, surpassing state-of-the-art baselines.

Code — https://github.com/chenqi-li/anchorinv Extended Version — http://arxiv.org/abs/2412.13714

1 Introduction

The rapid advancement of wearable technologies and the ubiquity of physiological data have sparked great excitement in the development of data-driven models to improve health analytics (Ravì et al. 2016; Miotto et al. 2018). From clinical diagnostics to brain-computer interfaces and fitness tracking, AI models are revolutionizing how we approach healthcare and interact with the world (Zhang et al. 2019, 2022; Ramanujam, Perumal, and Padmavathi 2021). Although data-driven models have shown incredible performance in a variety of benchmarks, they rely on large amounts of training data and can only perform very specific tasks (Sun et al. 2017). New classes may arise when

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users demand additional functionalities, or new diseases emerge. Furthermore, access to extensively labeled datasets for emerging classes faces many challenges, such as the long-tail distribution of rare diseases, the high cost of data collection and annotation, as well as privacy and ethical considerations (Gao, Sharma, and Cui 2023). Therefore, it is paramount for data-driven models to possess the ability to adapt to new classes with limited supporting samples, mimicking how humans leverage past experiences to learn new tasks with minimal guidance.

Few-Shot Class-Incremental Learning (FSCIL) presents a realistic challenge for models to learn new classes with few-shot samples, without forgetting old classes (Tao et al. 2020). Existing FSCIL methodologies rely on two important assumptions: a large number of base classes to train a generalizable backbone and the ability to store samples in memory for access in incremental sessions. For biomedical time series datasets, the number of base classes available is limited. A backbone trained with limited classes does not generalize well to new classes, which calls for the need to finetune the backbone in incremental sessions. Naive finetuning leads to catastrophic forgetting (Goodfellow et al. 2013), in which the model abruptly forgets previously learned knowledge once it has been trained to learn new information. To help regularize prior knowledge during finetuning, many approaches store samples in memory, violating data privacy concerns. To address these challenges, we investigate inversion-based methods for FSCIL application to physiological time series datasets. We propose to guide the inversion process in the feature space, as opposed to the label space, in order to provide a faithful and diverse representation of prior classes. Our contributions are summarized as follows:

- We empirically show that as the number of classes available to train the backbone reduces, the generalization capability of the backbone to unseen categories decreases. This motivates the need to finetune the backbone during incremental sessions for physiological datasets, which often have a limited number of base classes.
- · We propose feature space-guided model inversion to retroactively synthesize diverse and representative samples of previously seen classes. The inverted samples enable the finetuning of the model backbone to incrementally learn new classes while maintaining a faithful rep-

- resentation of previous knowledge and adhering to data privacy and sharing regulations.
- We further investigate how the choice of anchor points from base classes affects few-shot class-incremental learning performance.
- We point out that the existing evaluation of FSCIL methods is highly sensitive to the choice of incremental training samples due to its few-shot nature. To address this, we randomly sample multiple training sets for each incremental session and repeat the adaption process across multiple trials to provide an expectation of model performance in the wild. We comprehensively benchmark our proposed method against SOTA methods on three physiological datasets, surpassing state-of-the-art baselines.

2 Background and Related Works

2.1 Problem Definition

In FSCIL, a learner is deployed to handle a sequence of T learning tasks, $\{\mathcal{D}_{tr}^{(0)}, \mathcal{D}_{tr}^{(1)}, \dots, \mathcal{D}_{tr}^{(T)}\}$, and required to retain the acquired knowledge without forgetting. In the base session, a sufficient amount of data is available for a given set of base classes as $\mathcal{D}_{tr}^{(0)}$. In each incremental session, the learner addresses a N-way K-shot problem by observing N sample-label pairs for K incremental classes: $\mathcal{D}_{tr}^{(t)} = \{(\mathbf{x}_i^{(t)}, y_i^{(t)})\}_{i=1}^{N \times K}$, with corresponding label space $\mathcal{C}^{(t)}$ that satisfies $\mathcal{C}^{(t)} \cap \mathcal{C}^{(t')} = \varnothing$, $\forall t \neq t'$. Note that for session t, all prior and future datasets are inaccessible, with $\mathcal{D}_{tr}^{(t)}$ being the only accessible dataset for session t. After adaptation, the model is evaluated on an unseen test set that consists of samples from all seen classes to date.

2.2 Related Works

Few-Shot Class Incremental Learning Recently, Sun et al. pioneered the exploration of FSCIL for physiological signal (Sun et al. 2023). The authors proposed a MAPIC framework, which consists of a meta-learning trained feature extractor, an attention-based prototype enhancement module, and a composition of three loss functions to adapt incremental classes (Sun et al. 2023). Concurrently, Ma et al. proposed a graph convolutional network with a growing linear classifier for Electroencephalogram (EEG) emotion recognition (Ma, Zheng, and Lu 2023). While FSCIL for physiological signals has been limited to these two works, FSCIL for images proposed a broader range of approaches.

A popular choice for FSCIL in the computer vision domain focuses on training a robust backbone that can generalize well to new classes. Forward-compatible methods seek to encourage intra-class compactness and reserve space for incremental classes during base-stage training, by creating virtual classes (Peng et al. 2022; Song et al. 2023b) or simulating pseudo-incremental sessions (Zhou et al. 2022b,a; Chi et al. 2022). Many methods also assume that a robust and generalizable backbone is available from base-stage training. During incremental sessions, the backbone is frozen and performance gains are achieved through the design of classifiers (Yang et al. 2022; Zhuang et al. 2023; Hersche

et al. 2022) or calibrating prototypes (Yao et al. 2022; Wang et al. 2024). However, in a healthcare setting, the number of base classes, often in single digits, is much less than the 60-100 base classes available in computer vision datasets. The lack of sufficient base classes cannot support the creation of pseudo-incremental sessions or the synthesis of new classes through interpolation between existing ones. Furthermore, identifying relevant augmentations to synthesize pseudo-classes that effectively simulate real incremental classes for different physiological modalities is rather non-trivial. Moreover, a backbone trained on limited basestage data does not generalize well to new classes, limiting the effectiveness of methods that freeze the backbone.

Many works also focused on finetuning the backbone to improve incremental learning performance while maintaining base knowledge. Notably, some have focused on identifying unimportant weights of the backbone network to finetune (Kang et al. 2023; Kim et al. 2023), but their performances have been shown to be inferior to the aforementioned methods. To provide better regularization for base class knowledge, many methods store exemplars from the base session and finetune using a combination of stored and new class data (Zhao et al. 2023; Chen and Lee 2021; Shi et al. 2021; Dong et al. 2021; Kukleva, Kuehne, and Schiele 2021; Akyürek et al. 2021; Zhu et al. 2022). Unfortunately, due to strict data regulations in a healthcare setting, direct storage of samples raises data and privacy concerns. To address this challenge, generative replay methods mitigate privacy concerns by training an auxiliary generator to synthesize representative samples from prior classes (Agarwal et al. 2022; Shankarampeta and Yamauchi 2021; Liu et al. 2022). Training of generative adversarial networks (GANs) incur significant complexity & computation overhead and encounter many challenges such as mode collapse and vanishing gradients (Arjovsky and Bottou 2017).

An alternative to GAN is model inversion techniques such as DeepDream (Mordvintsev, Olah, and Tyka 2015) and DeepInv (Yin et al. 2020). These generative models directly extract training-like samples from pre-trained deep models without an additional generator and discriminator, resulting in a more efficient and stable sample generation process. When applied to FSCIL, this property helps guide regularization in the label space by minimizing classification loss. However, this indirect regularization in the feature space may not sufficiently prevent catastrophic forgetting, especially given the non-parametric classifier design commonly used in FSCIL. To address this, we generate replay samples through embedding anchors that characterize the feature space, thereby preventing significant shifts in the feature space when the model is optimized to integrate new tasks.

2.3 Impact of Reduced Base Classes

To show how the number of base classes available impacts the performance of the generalization of the backbone, we train a prototypical network with {10, 8, 6, 4} base classes, and directly transfer it for inference on six unseen classes. We repeat the evaluation 20 times with different incremental training sets randomly sampled and report the mean and standard deviation. As seen in Table 1, as the number of

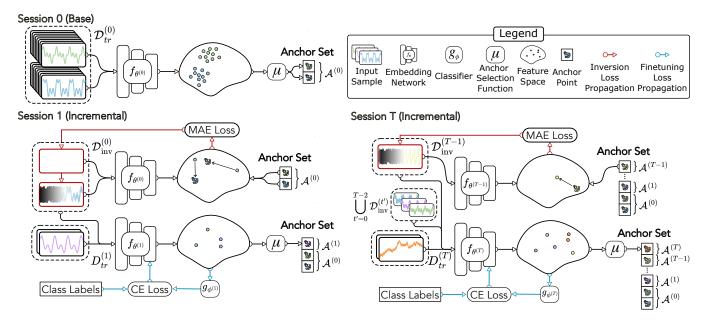


Figure 1: Overview of AnchorInv. In the base session, the training dataset is projected to the feature space, and anchor points for each class are identified and saved in the anchor set memory. In incremental sessions, the anchor set guides the model inversion process to generate representative samples of previously seen classes. The inverted samples and the few-shot training set are subsequently used to finetune the backbone. Anchor points for the new classes from the session are also appended to the anchor set memory, for access in later incremental sessions.

Number of Base Classes	ProtoNet	Random Chance
10	41.62 ± 2.49	6.25 ± 0.00
8	39.53 ± 2.27	7.14 ± 0.00
6	40.16 ± 1.90	8.33 ± 0.00
4	32.27 ± 1.72	10.00 ± 0.00

Table 1: Impact of Reduced Base Classes on GRABMyo Dataset: We train ProtoNet with varying numbers of base classes and compare the macro-F1 score (%) over 6 unseen classes. Random chance denotes a theoretical baseline that randomly guesses all predictions.

base classes decreases, the performance on new classes decreases, despite the problem becoming easier with fewer classes to choose from, as reflected in the increase in random chance performance. This reinforces the importance of finetuning the backbone in incremental sessions, when there are limited base classes to train the backbone.

3 Method

An overview of our method is summarized in Figure 1 and Algorithm 1.

3.1 **Model Inference**

Define the inference process for a neural network as:

$$\mathbf{h}_{i}^{(t)} = f_{\boldsymbol{\theta}^{(t)}} \left(\mathbf{x}_{i}^{(t)} \right) \tag{1}$$

$$\hat{y}_i^{(t)} = g_{\phi^{(t)}} \left(\mathbf{h}_i^{(t)} \right) \tag{2}$$

where $\mathbf{x}_i^{(t)} \in \mathbb{R}^{H \times W}$ denotes the *i*-th training sample from session t with H channels and W time steps. $f_{\boldsymbol{\theta}^{(t)}}$ and $g_{\boldsymbol{\phi}^{(t)}}$ denote the backbone network and classifier parametrized by $m{ heta}$ and $m{\phi}$ from the t-th session. $\mathbf{h}_i^{(t)} \in \mathbb{R}^{\hat{D}}$ represents the feature or embedding vector with dimension D. $\hat{y}_i^{(t)}$ denotes the model prediction for the corresponding training sample. We use a metric-based classifier:

$$g_{\boldsymbol{\phi}^{(t)}}\left(\mathbf{h}_{i}^{(t)}\right) := \operatorname*{argmax}_{k} s\left(\mathbf{h}_{i}^{(t)}, \boldsymbol{\phi}_{k}^{(t)}\right) \tag{3}$$

$$g_{\phi^{(t)}}\left(\mathbf{h}_{i}^{(t)}\right) := \underset{k}{\operatorname{argmax}} s\left(\mathbf{h}_{i}^{(t)}, \phi_{k}^{(t)}\right) \tag{3}$$

$$s\left(\mathbf{h}_{i}^{(t)}, \phi_{k}^{(t)}\right) = \frac{\exp\left(-d(\mathbf{h}_{i}^{(t)}, \phi_{k}^{(t)})/T\right)}{\sum\limits_{k'=1}^{C} \exp\left(-d(\mathbf{h}_{i}^{(t)}, \phi_{k'}^{(t)})/T\right)} \tag{4}$$

$$d(\mathbf{h}_{i}^{(t)}, \boldsymbol{\phi}_{k}^{(t)}) = -\frac{\mathbf{h}_{i}^{(t)} \cdot \boldsymbol{\phi}_{k}^{(t)}}{\|\mathbf{h}_{i}^{(t)}\| \|\boldsymbol{\phi}_{k}^{(t)}\|}$$
(5)

where T is a temperature parameter. $d(\cdot,\cdot)$ denotes the distance function that measures the similarity between the pair of embeddings, and in our case, the negative cosine distance is applied with a detailed description in Equation 5 where $\|\cdot\|$ denotes l2-norm. $\phi_k^{(t)}$ denotes classifier weight

Algorithm 1: Pseudocode for AnchorInv

Input: $\{\mathcal{D}_{tr}^{(t)}\}_{t=0}^{T}$ Output: $\boldsymbol{\theta}^{(T)}, \boldsymbol{\phi}^{(T)}$

Base Session

1: Randomly initialize $\boldsymbol{\theta}^{(0)}, \boldsymbol{\phi}^{(0)}$

2: Train $\boldsymbol{\theta}^{(0)}$, $\boldsymbol{\phi}^{(0)}$ on $\mathcal{D}_{tr}^{(0)}$ 3: Replace $\boldsymbol{\phi}^{(0)}$ with prototype vectors using Eq. 6

4: Calculate $\mathcal{A}^{(0)}$ using $\mathcal{D}_{tr}^{(0)}$, following Eq. 7-10

Incremental Sessions

1: **for** session $(t+1) \leftarrow 1$ to T **do**

[Optional] Initialize $\phi^{(t)}$ for incremental classes as class prototype from $\mathcal{D}_{tr}^{(t+1)}$ following Eq. 6

Invert $\mathcal{D}_{\text{inv}}^{(t)}$ using $\mathcal{A}^{(t)}$ following Eq. 11 3:

Calculate \mathcal{L}_{old} using $\bigcup_{t'=0}^{t} \mathcal{D}_{\text{inv}}^{(t')}$ following Eq. 13 Calculate \mathcal{L}_{new} using $\mathcal{D}_{tr}^{(t+1)}$ following Eq. 14 Calculate \mathcal{L}_{ft} following Eq. 12 4:

5:

6:

7:

 $oldsymbol{ heta}^{(t+1)} \leftarrow oldsymbol{ heta}^{(t)} - \eta
abla_{oldsymbol{ heta}^{(t)}} \mathcal{L}_{\mathrm{ft}} \ oldsymbol{\phi}^{(t+1)} \leftarrow oldsymbol{\phi}^{(t)} - \eta
abla_{oldsymbol{\phi}^{(t)}} \mathcal{L}_{\mathrm{ft}}$ 8:

Calculate $\mathcal{A}^{(t+1)}$ using $\mathcal{D}_{tr}^{(t+1)}$ following Eq. 7-10

10: **end for**

for class k in session t and is trained jointly with $\theta^{(t)}$. Once the backbone network has been trained, the classifier weights are replaced as the prototype vector or the average embedding of each class:

$$\phi_k^{(t)} = \frac{1}{|\mathcal{D}_{tr,k}^{(t)}|} \sum_{(\mathbf{x}_i^{(t)}, y_i^{(t)}) \in \mathcal{D}_{tr,k}^{(t)}} f_{\boldsymbol{\theta}^{(t)}} \left(\mathbf{x}_i^{(t)}\right) \tag{6}$$

where $\mathcal{D}_{tr,k}^{(t)}$ denotes the subset of $\mathcal{D}_{tr}^{(t)}$ that belongs to class $k, |\mathcal{D}_{tr,k}^{(t)}|$ denotes the number of samples of the subset, and $|\mathcal{D}_{tr\,k}^{(t)}| = N \text{ for } N\text{-way-}K\text{-shot setup.}$

3.2 Feature Space-Guided Inversion

Anchor Point Selection To enable fine-grained control over the inversion process, we now introduce feature spaceguided inversion. Given the training dataset for session t, $\mathcal{D}_{tr}^{(t)}$, we can project each input sample into the feature space using the feature extractor $f_{oldsymbol{ heta}(t)}$ and obtain the corresponding feature set:

$$\mathcal{H}_{tr}^{(t)} = \left\{ \left(\mathbf{h}_i^{(t)}, y_i^t \right) \right\}_{i=1}^N, \tag{7}$$

$$\mathbf{h}_{i}^{(t)} = f_{\boldsymbol{\theta}^{(t)}}\left(\mathbf{x}_{i}^{(t)}\right), \ \left(\mathbf{x}_{i}^{(t)}, y_{i}^{(t)}\right) \in \mathcal{D}_{tr}^{(t)} \tag{8}$$

A set of anchor points for session t can be subsequently calculated to summarize the feature set:

$$\mathcal{A}^{(t)} = \mu \left(\mathcal{H}_{tr}^{(t)} \right) \tag{9}$$

$$\mathcal{A}^{(t)} = \left\{ \left(\mathbf{a}_j^{(t)}, y_j^t \right) \right\}_{j=1}^J \tag{10}$$

where μ is an algorithm that calculates a set of representative samples $\mathcal{A}^{(t)}$ from a set of feature vectors $\mathcal{H}_{tr}^{(t)}$. Examples of μ include random sampling or clustering to identify cluster centroids. J is the size of the anchor set, and $J = P \times K$, if we select P anchor points for each of the K incremental classes. Intuitively, the anchor set summarizes the distribution of the feature set.

Inversion We derive a replay set, $\mathcal{D}_{\text{inv}}^{(t)} = \{(\hat{\mathbf{x}}_j^{(t)}, y_j^{(t)})\}$, from the selected anchor points of session t to regularize the adaptation of the feature extractor to session t + 1. A natural formalization of this derivation process is to align the embedding of a potential replay sample, $\mathbf{x}_j^{(t)}$, to an anchor point following the minimization operator:

$$\hat{\mathbf{x}}_{j}^{(t)} = \underset{\hat{\mathbf{x}}_{j}}{\operatorname{argmin}} \mathcal{L}\left(f_{\boldsymbol{\theta}^{(t)}}\left(\hat{\mathbf{x}}_{j}^{(t)}\right), \mathbf{a}_{j}^{(t)}\right)$$
(11)

where \mathcal{L} represents Mean Absolute Error (MAE). Optimization can be performed via gradient descent on randomly initialized replay samples.

Model Finetuning The objectives for finetuning the model are:

$$\mathcal{L}_{ft} = \mathcal{L}_{new} + \lambda \mathcal{L}_{old}$$
 (12)

$$\mathcal{L}_{\text{old}} = \mathcal{L}\left(g_{\phi^{(t)}} \circ f_{\boldsymbol{\theta}^{(t)}}\left(\hat{\mathbf{x}}_{j}^{(t)}\right), y_{j}^{(t)}\right) \tag{13}$$

$$\mathcal{L}_{\text{new}} = \mathcal{L}\left(g_{\phi^{(t)}} \circ f_{\theta^{(t)}}\left(\mathbf{x}_{i}^{(t)}\right), y_{i}^{(t)}\right) \tag{14}$$

where \mathcal{L}_{old} regularizes knowledge of all previously seen classes using inverted samples from $(\hat{\mathbf{x}}_j, y_j) \in \cup_{t'=0}^t \mathcal{D}_{\mathrm{inv}}^{(t')}$ and $\mathcal{L}_{\mathrm{new}}$ learns the new classes using the training dataset for session t from $\left(\mathbf{x}_i^{(t)}, y_i^{(t)}\right) \in \mathcal{D}_{tr}^{(t)}$. The model update then follows:

$$\begin{aligned} \boldsymbol{\theta}^{(t+1)} &\leftarrow \boldsymbol{\theta}^{(t)} - \eta \nabla_{\boldsymbol{\theta}^{(t)}} \mathcal{L}_{\text{ft}} \\ \boldsymbol{\phi}^{(t+1)} &\leftarrow \boldsymbol{\phi}^{(t)} - \eta \nabla_{\boldsymbol{\phi}^{(t)}} \mathcal{L}_{\text{ft}} \end{aligned}$$

In summary, feature space-guided inversion summarizes the distribution of each session in the feature space as an anchor set. The anchor set then serves as the target for inversion to synthesize representative samples for all previously learned classes. This enables the finetuning of the model with incremental class samples while maintaining knowledge over prior classes and safeguarding data privacy.

3.3 **Evaluation Across Trials**

Given the few-shot nature of incremental training sets, the selection of few-shot samples can yield significant variation in performance across different methods. This is further exacerbated for physiological datasets, where inter-subject variability can lead to large variances in samples. It is commonly true that during deployment, practitioners often have to work with the few-shot samples at hand and do not have the opportunity to select which few-shot samples to use. However, in FSCIL benchmarks, the incremental training sets are artificially sampled from a larger pool of samples. To remove stochasticity in performance due to the random selection of few-shot samples and to provide an expectation of the model's performance in the wild, we sample M incremental training sets in each session. The M adapted models are then evaluated in the test set and we report the mean and standard deviation for all M trials. This process is visually illustrated in Figure 2.

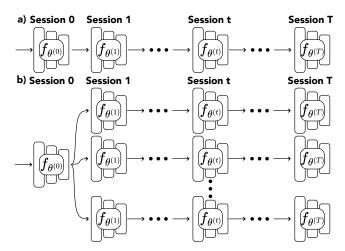


Figure 2: Overview of FSCIL Evaluation Procedure a) illustrates the FSCIL evaluation process in the existing literature. b) illustrates the FSCIL evaluation process adopted in this work. In Session 1, we create M copies of the base session model. In each incremental session, each copy is adapted with a randomly sampled training set. Each copy is evaluated on the test set and the mean and standard deviation are reported across all M trials.

4 Experiments

We evaluate AnchorInv on several FSCIL scenarios simulated from three standard physiological datasets with both EEG and electromyography (EMG) data. For a comprehensive assessment, the classification tasks are further diversified with varying categories, numbers of base classes, incremental classes, and different levels of class correlation. A detailed description of train-test split and preprocessing can be found in the Extended Version.

4.1 Dataset and Setup

Brain-Computer Interface Motor-Imagery The BCI-IV 2a dataset (Brunner et al. 2008) is an EEG dataset for the classification of 4 distinct motor imagery classes. We select the first two classes as base classes (left hand, right hand), and the two incremental classes (feet, tongue) are learned over two incremental sessions, with a 1-way-10-shot setup.

Grading of Neonatal Hypoxic-Ischemic Encephalopathy The NHIE dataset (O'toole et al. 2023) is an EEG dataset for grading the severity of background abnormalities in neonates who have been diagnosed with hypoxic-ischaemic encephalopathy, a common brain injury as a result of impaired oxygen or blood flow to the brain during the time of birth. The severity is categorized into 4 grades, ranging from

normal to inactive, following the guidelines from (Murray et al. 2009). Unlike the other datasets, adjacent grades may share similar characteristics. We select the first 2 classes as base classes (normal/mildly abnormal, moderate abnormalities), and the remaining 2 incremental classes (major abnormalities, inactive) are learned over 2 incremental sessions, with a 1-way-10-shot setup.

Gesture Recognition The GRABMyo dataset (Jiang, Pradhan, and He 2022) is an EMG dataset for classifying 16 distinct hand and finger gestures. We select the first 10 classes as base classes, and learn 6 incremental classes over 6 incremental sessions, with a 1-way-10 shot setup.

4.2 Implementation Details

Network Architecture For the embedding network, $f_{\boldsymbol{\theta}^{(t)}}$, we use the convolution-transformer backbone of EEG-Conformer (Song et al. 2023a), a state-of-the-art architecture for EEG classification. The backbone consists of a convolution module that captures one-dimensional temporal and spatial information, followed by a transformer module to extract global correlation. For the classifier, $g_{\phi^{(t)}}$, we follow the classifier described in Equations 3 to 5 with T=16. For the BCI dataset, we adopt the original EEG-conformer architecture without any modifications. For the NHIE and GRABMyo datasets, given different input sizes, we modify the convolution module so that the feature vector, $\mathbf{h}_{i}^{(t)} \in$ \mathbb{R}^D , are similar in dimension and the transformer module is adopted without any modification. Detailed convolution architecture is summarized in the Extended Version, resulting in feature vectors dimensions D=2440 for BCI, D=2360for NHIE, D = 2320 for GRABMyo.

Base Session Training and Incremental Session Adaptation Please refer to the Supplemental Materials for our implementation details on model training and adaption.

4.3 Benchmark Comparison

Baseline Methods We conduct a comprehensive comparison with the baseline methods from multiple perspectives. DeepDream (Mordvintsey, Olah, and Tyka 2015) and Deep-Inv (Yin et al. 2020), which share similar motivations with our approach, are implemented and adjusted to substitute our inversion method. Furthermore, we compare with recent state-of-the-art FSCIL models, NC-FSCIL (Yang et al. 2022) and TEEN (Wang et al. 2023), both demonstrate outstanding performance in vision tasks. For fair comparison, we use a backbone with an identical architecture to ours, given the application to a new modality. A non-parametric model, ProtoNet (Snell, Swersky, and Zemel 2017), which relies heavily on a strong feature extractor, is also included due to its stability across different dataset settings. Furthermore, we denote the model that is directly finetuned on the few-shot samples as 'Finetune' in the result tables.

Evaluation Metric We report the Macro-F1 (All Classes) for all datasets. We also report Macro-F1 (Base Classes) and Macro-F1 (Incremental Classes) for the BCI and NHIE datasets. Macro-F1 (All Classes) presents the average F1 score across all classes seen up to the session. Macro-F1

Method	Macro-F1 (All Classes)			Macro-F1 (Base Classes)		Macro-F1 (Incremental Classes)	
1vIctilou	Base Session	Session 1	Session 2	Session 1	Session 2	Session 1	Session 2
Finetune	78.81	42.39 ± 5.40	27.83 ± 3.50	49.40 ± 11.56	41.89 ± 9.78	28.36 ± 8.65	13.77 ± 3.68
NC-FSCIL	78.39	48.77 ± 1.80	34.85 ± 1.53	60.32 ± 1.56	51.04 ± 1.44	25.67 ± 5.71	18.66 ± 3.08
ProtoNet	77.17	45.36 ± 0.80	31.02 ± 0.94	$\textbf{61.52} \pm \textbf{0.35}$	$\textbf{51.76} \pm \textbf{0.32}$	13.03 ± 2.35	10.28 ± 1.76
TEEN	77.17	46.48 ± 1.08	32.69 ± 1.24	51.05 ± 0.74	51.38 ± 0.66	17.34 ± 3.58	13.99 ± 2.52
DeepDream	77.17	49.97 ± 2.03	32.34 ± 1.29	58.08 ± 3.46	50.16 ± 2.66	33.77 ± 5.97	14.52 ± 2.10
DeepInv	77.17	50.16 ± 1.80	32.44 ± 1.14	58.61 ± 2.94	50.51 ± 2.20	33.25 ± 5.83	14.36 ± 2.06
AnchorInv	77.17	$\textbf{50.70} \pm \textbf{1.45}$	$\textbf{37.04} \pm \textbf{1.24}$	57.52 ± 2.02	48.45 ± 2.07	$\textbf{37.05} \pm \textbf{4.50}$	$\textbf{25.63} \pm \textbf{2.46}$

(b) NHIE 1-way-10-shot FSCIL across 100 trials (%)

Method	Macro-F1 (All Classes)			Macro-F1 (Base Classes)		Macro-F1 (Incremental Classes)	
Withing	Base Session	Session 1	Session 2	Session 1	Session 2	Session 1	Session 2
Finetune	82.16	24.45 ± 8.49	6.83 ± 1.17	22.14 ± 10.72	0.40 ± 1.15	29.07 ± 4.46	13.21 ± 1.82
NC-FSCIL	81.74	53.27 ± 2.88	40.78 ± 4.84	64.17 ± 6.17	57.22 ± 5.60	31.45 ± 6.88	24.35 ± 5.58
ProtoNet	81.83	56.07 ± 2.93	60.89 ± 7.95	69.05 ± 3.60	68.14 ± 3.88	30.12 ± 9.16	53.64 ± 16.28
TEEN	81.83	56.85 ± 3.0	59.76 ± 7.33	68.22 ± 3.78	66.01 ± 4.59	24.10 ± 8.88	53.51 ± 15.51
DeepDream	81.83	55.90 ± 3.73	62.72 ± 4.55	67.59 ± 5.03	62.80 ± 6.76	32.51 ± 12.59	62.63 ± 6.88
DeepInv	81.83	53.89 ± 5.36	62.79 ± 2.89	68.24 ± 5.40	67.0 ± 3.63	25.20 ± 9.06	58.58 ± 4.26
AnchorInv	81.83	$\textbf{57.01} \pm \textbf{2.83}$	$\textbf{66.03} \pm \textbf{2.73}$	68.13 ± 3.55	$\textbf{68.67} \pm \textbf{2.72}$	$\textbf{34.77} \pm \textbf{8.79}$	$\textbf{63.39} \pm \textbf{4.38}$

(c) GRABMyo 1-way-10-shot FSCIL across 20 trials (%)

Method	Macro-F1 (All Classes)						
1/10011011	Base Session	Session 1	Session 2	Session 3	Session 4	Session 5	Session 6
Finetune	87.32	22.66 ± 4.32	20.23 ± 3.77	18.21 ± 3.34	16.57 ± 3.00	14.87 ± 2.69	13.30 ± 2.43
NC-FSCIL	86.83	82.0 ± 0.99	74.07 ± 1.64	69.63 ± 1.46	64.04 ± 1.61	59.96 ± 1.53	58.35 ± 0.96
ProtoNet	86.73	82.99 ± 0.39	74.81 ± 0.69	70.77 ± 0.49	65.66 ± 0.56	63.73 ± 0.60	60.15 ± 0.75
TEEN	86.73	81.19 ± 0.56	73.42 ± 0.99	69.45 ± 0.65	64.63 ± 0.81	62.33 ± 7.71	59.01 ± 0.81
DeepDream	86.73	82.84 ± 1.04	74.02 ± 1.29	69.89 ± 2.11	63.71 ± 2.0	58.72 ± 1.73	55.68 ± 1.74
DeepInv	86.73	82.83 ± 1.08	73.80 ± 1.01	69.56 ± 0.80	63.48 ± 1.93	58.52 ± 1.72	54.79 ± 1.30
AnchorInv	86.73	$\textbf{83.20} \pm \textbf{0.70}$	$\textbf{75.39} \pm \textbf{1.01}$	$\textbf{71.23} \pm \textbf{0.79}$	$\textbf{66.14} \pm \textbf{0.65}$	$\textbf{64.28} \pm \textbf{0.64}$	$\textbf{60.78} \pm \textbf{0.66}$

Table 2: Comparison of AnchorInv against Baseline. Across all incremental sessions, AnchorInv is statistically significantly different from all baseline methods with p < 0.05 using a two-sided Wilcoxon signed-rank test.

(Base Classes) measures how well the knowledge of the base class is retained and is defined as the average F1 score across all classes from the base session. Macro-F1 (Incremental Classes) measures how well new classes are learned and is defined as the average F1 score across all incremental classes seen up to the session.

Performance Comparison Experiment results on BCI, NHIE, and GRABMyo are shown in Table 2a, 2b and 2c respectively. We note that AnchorInv achieves the best Macro-F1 score in all three datasets throughout all sessions. The improvements are particularly significant in the BCI and NHIE datasets, achieving an improvement of 2.19% and 3.24% over the best baseline method. In GRABMyo, the backbone can generalize better to new classes given more base classes, so the room for improvement with finetuning is diminished compared to the BCI and NHIE datasets. However, AnchorInv continues to outperform baseline methods, despite a smaller improvement margin. Breaking the score into base class and incremental class performance, we see that AnchorInv achieves the best incremental class performance while maintaining competitive base class performance. We

also observe an improvement in performance in session 2 over session 1 for the NHIE dataset. This is because the incremental class introduced in session 2 appears relatively distinct from the remaining classes, as visualized in the Extended Version. The high F1 score in the new class improves the average across all classes. Overall, the experiments on three datasets showcase the advantages of AnchorInv, especially when the number of base classes available is limited.

Qualitative Analysis of Inversion Figure 3 visualizes the anchor set and the feature vector of the inverted replay set for the two base classes of the NHIE dataset. Note that the inverted feature vector and the anchor points overlap. This shows that the proposed model inversion algorithm can synthesize inverted samples that project to any target anchor point in the feature space. Further comparison of the corresponding real and inverted EEG segments from all four classes of the NHIE dataset are presented in the Extended Version. Despite sharing nearly identical feature vectors (mean absolute error between 0.06-0.08), the real and inverted samples are visually distinct. In particular, the inverted samples appear to be dominated by

high-frequency fluctuations while the real samples observe more low-frequency fluctuations. Furthermore, high amplitude fluctuations tend to be observed towards the beginning of the inverted segments. By visual inspection, class 0 enjoys much higher amplitude fluctuations than class 4 in the real EEG segments, and the same trend can be observed for the inverted EEG segments.

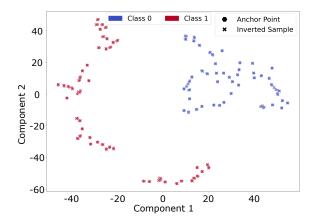


Figure 3: **t-SNE Visualization** Comparison of the Anchor Set and the Inverted Samples in Feature Space

4.4 Ablation Studies

Selection of Anchor Points from Base Session We repeat AnchorInv experiments from Table 2a, 2b and 2c and study how different anchor selection algorithms for the base classes impact the last session performance. Instead of random sampling, we select anchor points as feature vectors that are closest to class prototypes, denoted as "Closest". We also apply k-means clustering to group each base class into five clusters and use the cluster centroids as anchor points, denoted as "5 k-means Cluster". We also randomly sample 50 anchors from 30%, 50%, and 70% of the feature vectors closest to each class prototype, denoted as "Random Closest {30, 50, 70}%". The selected anchor points guide the inversion of 50 samples for each base class in all experiments. As shown in Table 3, there does not appear to be a distinct anchor selection algorithm that outperforms others in all datasets. In the case of a small number of base classes, BCI prefers anchor points that are closer to the prototype, while NHIE prefers more diverse anchor points. This could be due to the fact that BCI is trained and tested on the same subject, while NHIE is trained and tested on different subjects. The setup of the cross-subject train test requires more diverse anchor points to fully represent the base class distributions, as illustrated through the t-SNE visualization in the Extended Version. When a larger number of base classes is available, GRABMyo is less sensitive to the choice of anchor points than BCI and NHIE. Overall, clustering and random sampling are viable options for selecting anchor points, independent of the dataset. Based on Occam's razor principle, we prefer random sampling over clustering due to its simplicity.

Anchor Selection	BCI	NHIE	GRABMyo
Closest	$38.65 \pm 1.26 \dagger$	62.63±2.38 †	60.67 ± 0.70
5 k-means Cluster	36.97 ± 1.28	66.05 ± 2.90	60.70 ± 0.68
Random Closest 30%	38.36±1.34 †	63.07±2.39 †	60.67±0.71 †
Random Closest 50%	38.55±1.30 †	64.03±2.23 †	60.76 ± 0.57
Random Closest 70%	38.05±1.61 †	64.21±2.33 †	60.68 ± 0.71
Random Sample	37.04 ± 1.24	66.03 ± 2.73	60.78 ± 0.66

Table 3: Ablation on the Selection of Anchor Points and its Impact on Last Session Performance. We report macro-F1 (%) across all classes. \dagger indicates results are statistically significantly different from the Random Sample with p < 0.05 using a two-sided Wilcoxon signed-rank test.

Number of Shots for Incremental Training Sets We further study how the number of support samples available for each incremental class, K, impacts the performance of the last session. We vary K between $\{1, 5, 10, 15, 20, 25, 30\}$. Figure 4b shows that the performance stabilizes beyond 10 shots.

Number of Anchor Points from Base Session We further study how the number of anchor points stored from the base session impacts the last session performance on BCI. We randomly select $P = \{1, 2, 5, 10, 20, 30, 40, 50, 60, 70, 80, 90, 100\}$ anchor points and invert the same number of samples in session 1. Figure 4a shows that as the number of inverted samples increases, the macro-F1 score of the last session increases and stabilizes.

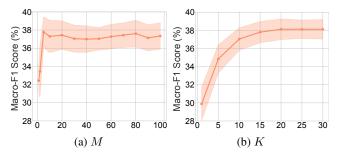


Figure 4: **AnchorInv Ablations.** We compare the last session's performance on the BCI dataset under varying parameter settings. (a) M Number of anchor points per base class (b) K Number of training samples per incremental class

5 Conclusion and Extension

In this study, we investigate FSCIL for physiological time series and note that existing methods rely heavily on a large number of base classes or violate data sharing and privacy concerns between sessions. To this end, we investigate model inversion guided by anchor points to retrieve diverse representations for previously seen classes. We further investigate how the selection of anchor points impacts incremental session performance. Experiments across multiple trials show that feature space-guided inversion helps to learn new classes better while maintaining a more faithful representation of previously seen classes.

Acknowledgements

The work of Chenqi Li was supported by the Cyril and Phillis Long Scholarship at The Queen's College in partnership with the Clarendon Fund. The work of Tim Denison was funded by the Royal Academy of Engineering and supported by the NIHR Oxford Health Biomedical Research Centre (NIHR203316). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care. The Royal Academy of Engineering supported the work of Tingting Zhu under the Research Fellowship scheme.

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