# **NP Completeness**

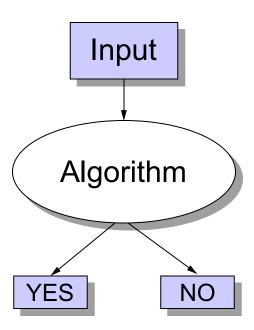
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https://github.com/lawliet89/np-complete

### **Content**

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- 2. What is the Computational complexity theory?
- 3. Turing Machine model of computation
- 4. Complexity Hierarchy
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## **Decision Problems**



## Reachability

RCH : Given a directed graph  $\ G$  and nodes  $\ x$  ,  $\ y$  , is there a path from  $\ x$  to  $\ y$  ?

## **RCH Algorithm**

Let s be a set of nodes to process. There are n nodes in the graph.

Initially,  $S = \{x\}$ .

At each stage, for some  $z \in S$ :

- remove z from s
- mark z
- Find all unmarked "successors" of z and add them to s

Until y is found or s is empty.

Worst case: each edge is examined once. There are at most  $n^2$  edges.

So  $O(n^2)$ .

### (Formal) Big Oh Notation

Let  $f, g : \mathbb{N} \to \mathbb{N}$ .

#### Definition

$$f(n) = O(g(n))$$
 if  $\exists c, n_0 \in \mathbb{Z}_+$  such that  $\forall n \geq n_0 \ f(n) \leq c.g(n)$ .

The time or space required is bounded by this function.

## **Computation Complexity Theory**

- More than Big Oh Notation
- Classifying computational problems according to their inherent difficulty into different classes
- Relation between the different *classes*

#### **Decidable vs Undecidable**

RCH: Decidable

HALT: Given the description of an *arbitrary* program and a finite input, decide whether the program finishes running or will run forever.

This is undecidable over Turing Machines.

Proceedings of the London Mathematical Society, Volume s2-42, Issue 1, 1 January 1937, Pages 230–265, https://doi.org/10.1112/plms/s2-42.1.230

#### **HALT**

Consider an Oracle H(p,x) which decides that if some program p will halt for some input x. (i.e. a black box that solves HALT)

Then, we construct program P:

```
program P(y):
   if H(y,y) = halt then
   loop forever
   else:
     return
```

- If H(P,P) = halt then P(P) runs forever.
- If H(P,P) = loop then P(P) halts.

H always gives the wrong answer. Generalized H cannot exst.

â^Ž Contradiction

#### Tractable vs Intractable

• Tractable problems can be solved in a *feasible* or *practical* amount of time

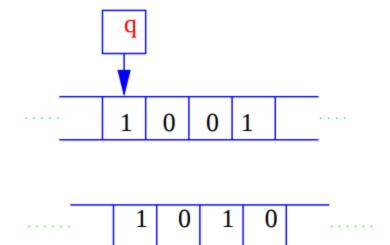
Cook-Karp Thesis: Tractable = polynomial time (P)

but... is  $n^{100}$  or  $2^{n/100}$  more practical?

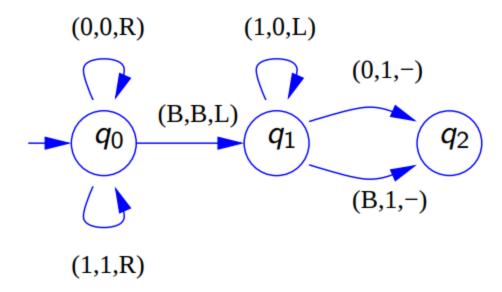
## (Deterministic) Turing Machine

- Read/Write head over a tape. Can move right or left. The head stores the current state of the machine
- Symbols on tape
- A table of instructions where given the current state, and the symbol on the tape, decide whether to write to the tape, move left/right, or transition into a new state.

## **Turing Machine - Binary Successor**



#### State Machine:



## **Universal Turing Machine (UTM)**

- A Turing Machine that can take in an arbitrary program as input and run that program.
- "Stored-program computer"
- The basis of modern computing
- A multi-tape UTM is only slower by a logarithmic factor compared to the machine it simulates.

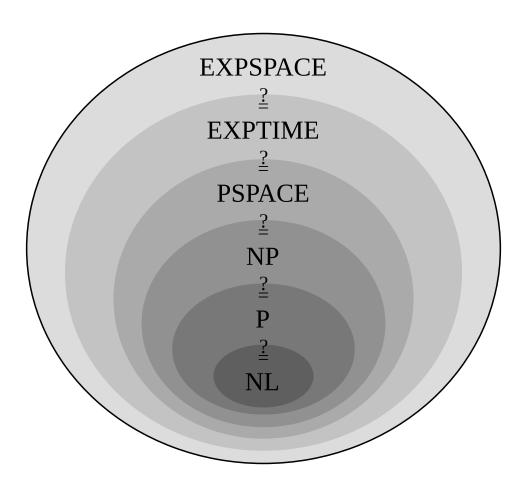
#### **Invariance Thesis**

(similar to Church-Turing Thesis)

All *reasonable* sequential models of computation have the same time complexity as Deterministic Turing Machines (DTM) up to a polynomial.

e.g. RAMs, 1-tape DTM, k-tape DTM

# **Complexity Hierarchy**



## (Formal) Languages

Formally, a language L is a set of strings over a given alphabet  $\Sigma$ .

Then let  $L\subseteq (\Sigma-\{B\})^*$  and M be a DTM with alphabet  $\Sigma$  such that for any  $w\in (\Sigma-\{B\})^*$ :

- ullet if  $w\in L$  then M(w) terminates with yes
- ullet if w
  otin L then M(w) terminates with  ${ t no}$

Then we can say M decides L and L is recursive because it is decided by some DTM.

Then iff for any length n=|w| of  $w\in (\Sigma-\{B\})^*$  , M operates within the time bound f(n) , we say

$$L \in TIME(f(n))$$

## Polynomial Time (P)

$$P = \bigcup_k TIME(n^k)$$

That is P is the set of decision problems which can be decided by a DTM in polynomial time for all inputs.

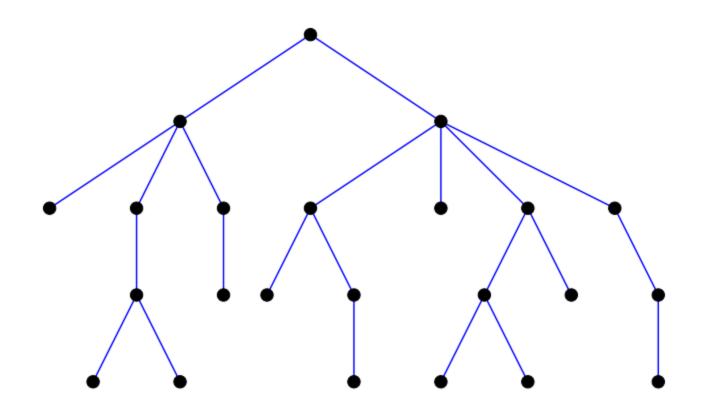
The function analogue to P is FP.

#### **Known Problems in P**

- Greatest Common Denominator (GCD)
- PRIMES whether a number is prime
   (https://www.cse.iitk.ac.in/users/manindra/algebra/primality\_v6.
   pdf)

## Non-deterministic Turing Machines (NDTM)

A NDTM M has many possible computation for some input w that is chosen at random.



M(w) represents the tree, with some depth.

NDTM is not practical.

## (Formal) Nondeterministic Acceptance and Time

For some language L, if M returns  $\log M$  on some input M, then we say M accetps L. (i.e. it can reject, or never terminate).

A NDTM M operates within time f(n) if M(w) has depth  $\leq f(|w|)$ .

Then we can say NDTM M decides a language L within time f(n)

- M operates within time f(n)
- ullet M accepts L

 $L \in NTIME(f(n))$  iff L is decided by some MDTM operating within time f(n).

$$L \in NTIME(f(n))$$

## Non-deterministic Polynomial Time (NP)

$$NP = \bigcup_k NTIME(n^k)$$

That is NP is the set of decision problems that can be decided by a NDTM in polynomial time for all inputs.

More usefully: you can "guess" a solution to a NP problem in polynomial time and *verify* that it is a solution in polynomial time.

### Simulating a NDTM

Suppose L is decided by some NDTM N in time f(n). Then it can be simulated by a DTM M in time  $O(c^{f(n)})$  for some constant C>1.

We don't know if the simulation can be improved. (i.e. We don't know if P=NP.)

#### **NP Problems**

- ullet All problems in P
- Integer factorization (most likely in NP)
- Graph Isomorphism
- SAT: Given a set of logic clauses with variables, is there an assignment of boolean values to the variables that will satisfy the clauses?
- Hamiltonian Path ( HP ): Given an undirected graph, is there a path visiting each node exactly once?
- Decision version of Travelling Salesman ( TSP(D) ): Is there a route visiting all cities with total distance less than some k?

### Reduction

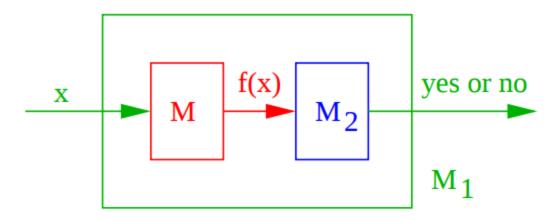
- ullet Let some problem  $L_1$  be *less hard* than some problem  $L_2$ .
- ullet We can say  $L_1 \leq L_2$
- Two ways: Karp (or many-one) reduction or Cook (or Turing) reduction

## (Formal) Karp Reduction

 $L_1$  is Karp reducible to  $L_2$  (  $L_1 \leq L_2$ ) if there is a map f such that

- ullet  $x\in L_1$  iff  $f(x)\in L_2$  and
- f is in P

Let  $M_1$  decide  $L_1$ ,  $M_2$  decide  $L_2$  and M computer f(x). Then



## **Properties of Reduction**

If  $L_1 \leq L_2$ 

- ullet if  $L_2$  is in P, then  $L_1$  in P
- ullet if  $L_2$  is in NP, then  $L_1$  in NP
- $\bullet \le$  is transitive

## **NP-complete (NPC)**

L is NP-hard if for some L' in NP,  $L' \leq L$ .

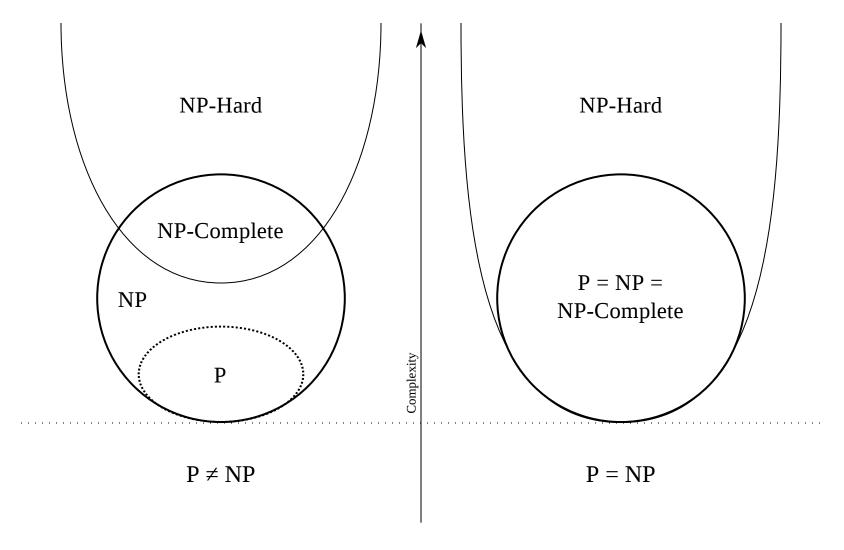
Then

L is NP-complete if

- L is in NP
- ullet L is NP-hard

That is NPC problems are the "hardest" in NP

## **NPC Relation**

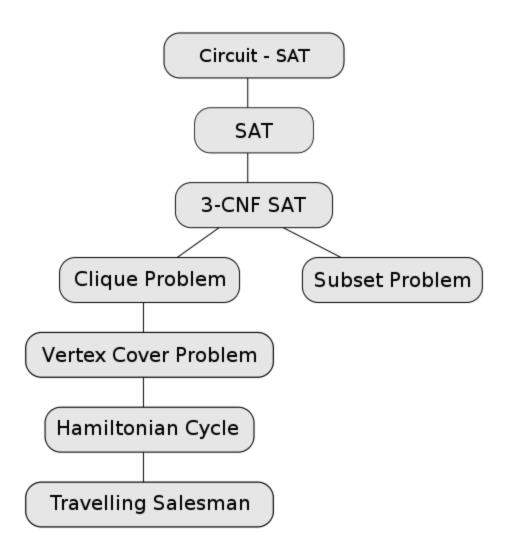


#### **NPC Problems**

- SAT: Proved by Cook-Levin Theorem
- TSP(D)
- ullet Knapsack problem: Can a value of at least V be achieved without exceeding the weight W?
- HP
- K-Graph colouring

Huge list of NPC problems

### **NPC Reduction**



### P = NP?

- P problems can be solved efficiently.
- NP problems have no known efficient algorithms to solve.

## **Consequences in Public Key Cryptrography**

- Depend on "difficulty" of problems like Discrete logarithm and integer factorization that are known to be neither in P nor NPcomplete to create "one-way" functions.
- If P=NP, then we can find an effective solution to some NP-complete problem and reduce the rest to that problem to solve them.
- ullet If P 
  eq NP then we can show that one-way functions exist.

## **Consequences in Operation**

ullet If P=NP Efficient solution to Integer Linear Programming (for optimization under constraints) and Travelling Salesman