### **Audio-Visual Speech Processing**

COM 4110 / COM 6070

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### Lecture 4: Face Detection (Part 1)

# **Objectives**

To examine methods for finding faces in arbitrary scenes.

### **Topics**

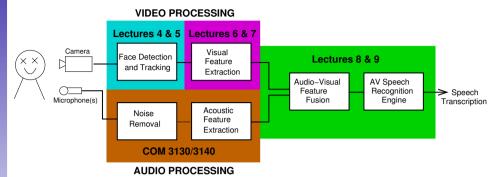
- The Face Detection Problem.
- Neural Network-based systems.
- Chromaticity-based Face Detection.

### Reading

- Neural network-based face detection, Rowley, Baluja and Kanade, 1998
- Face and feature finding for a face recognition system, A.W.Senior, 1999

# Audio-Visual Automatic Speech Recognition (AV-ASR)

The figure shows the basic design of a typical AV-ASR system.



This lecture and the one that follows will address the first video processing stage - **face detection**.

We will be comparing two systems, that of Carnegie Mellon University (CMU) and that of IBM. Techniques will be introduced that will be useful again at later points in the processing pipeline.

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# Lecture 4: Face Detection (Part 1)

### **Overview**

- Face Detection The Problem.
- Sources of Facial Variability.
- A General Approach to Face Detection.
- The CMU Neural Network-Based Face Detector.
- The IBM Face Detection System:
  - Chromaticity-Based Classification,
  - Fisherfaces Line Discriminant Analysis, (Lecture 5)
  - Eigenfaces Principal Component Analysis. (Lecture 5)

### **Face Detection**

#### What is face detection?

- Face detection systems try to answer the following questions:
  - Given a visual scene, is there a face present?
  - If so, where is the face?

### Why is this important?

Basically, we can't do speechreading unless we can first find the speakers' faces!

Why is face detection a challenging task?

...

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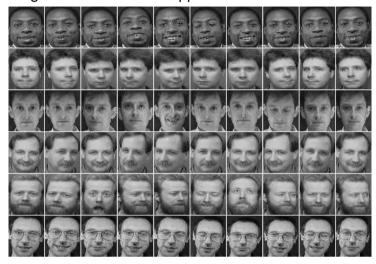
### Sources of Inter-Face Variability

Some (not all!) sources of variability between faces:

- Differences in the face shape
- Differences between facial features noses, eyes, lips etc
- Hair colour, length, style,
- Skin tone, (with or without make-up!)
- Beards, moustaches, glasses, hats,
- Distance from camera,
- Angle of head,
- Lighting,
- Visual obstructions

# Facial Variability

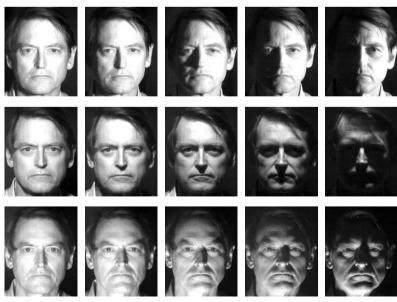
There is large variation in facial appearance:



Images from the AT&T Laboratories, Database of Faces, Samaria and Harter (1995).

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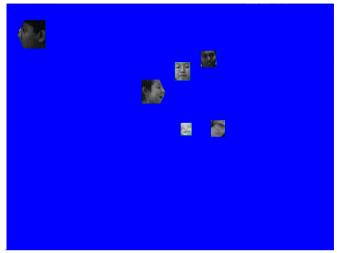
# Variability Due to Lighting



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### Face Detection Demonstration

Output of the CMU neural network-based face detector.



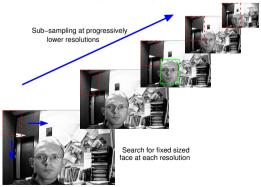
Rowley, Baluja and Kanade (1996)

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### A General Approach To Face Detection

Outline of the general approach:

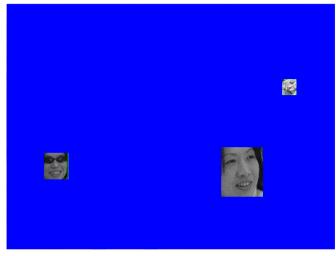
1. Consider every face-proportioned sub-image in the full image, i.e. consider rectangles at every possible position and scaling.



2. To each sub-image apply two class classification: 'Face' or 'Not Face.'

### **Face Detection Demonstration**

Output of the CMU neural network-based face detector.

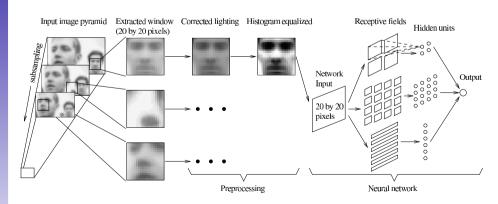


Rowley, Baluja and Kanade (1996)

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# Face Detection Using Neural Networks

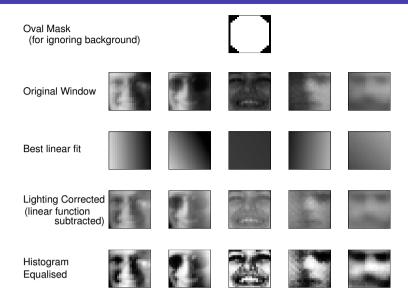
Overview of the CMU neural network-based face detection system.



CMU System - Rowley, Baluja and Kanade, PAMI, 1998

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### Preprocessing Steps for Neural Network Face Detection



Rowley, Baluja and Kanade: Neural Network-Based Face Detection (PAMI, 1998)

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### Training the Neural-Network

### The network is trained using the following scheme:

- 1. Create an initial set of 1000 random non-face images.
- 2. Train network to output 1 for face examples, and -1 for nonfaces.
- 3. Run system on scenery *containing no faces*. Collect sub-images incorrectly identified as faces.
- 4. Select 250 of these sub-images and add them to the non-face training set. **Go** to step 2.





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# Generating Training Data: Examples of Faces

### Positive training examples (i.e. faces) are generated as follows:

- 1050 face examples gathered from face databases.
- faces normalised to a fixed 20x20 pixel size and upright orientation.
- 15 copies of each face generated with combinations of the following random transformations:
  - rotations about centre of up to 10 degrees,
  - scalings between 90% and 110%,
  - horizontal or vertical translations of +/-1/2 a pixel,
  - horizontal mirroring.











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# Examples Taken From Rowley, Baluja and Kanade (1998)









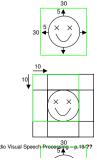
### Examples Taken From Rowley, Baluja and Kanade (1998)



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### Computational Cost of the CMU System

- The **basic CMU system** is fairly slow:
  - ◆ A 320x240 pixel image contain 246766 sub-images!
  - Processing a single image on a 200MHz R4400 SGI Indigo 2 takes 383 seconds (>6 minutes). (Maybe about 10 time faster on a modern desktop).
- A 'fast' version of the system can be made by training on faces that are off-centre by up to 5 pixels in any direction.



# Examples Taken From Rowley, Baluja and Kanade (1998)



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# Face Detection for Video Sequences

When applying face detection of **video data** there are extra constraints that can be exploited to help:

- Continuity: As a face moves about its location in one frame is a strong predictor of its location in the next frame (Face Tracking). i.e. Tracking a face that has been previously detected is an easier problem than detecting a face in the first place.
- Sound location: If we have stereo audio (or better, the output of a microphone array), we can estimate the face position using the apparent direction of the speaker's voice.

These sources of information can be used to **focus the search on a specific region**, i.e. only a portion of the whole image has to be processed.

### Computationally Efficient Face Detection

- Even with a focused search the problem remains that there may be a large number of possible sub-images that need to be classified.
- For a **realtime** system face detection must be performed on at least 25 frames a second. i.e **40 ms processing time per frame!**
- The classification step must be **computationally cheap**... but to avoid errors it must also be **reliable**.

How can we achieve this?

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# Overview

■ Face Detection - The Problem.

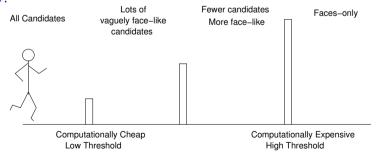
Lecture 4: Face Detection (Part 1)

- Sources of Facial Variability.
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# Computationally Efficient Classification

How can we make the face search both **computationally cheap** and **reliable**?



For each classification arrange a series of 'hurdles':

- Most sub-images will look very different from faces and can be rejected cheaply.
- Expensive classification techniques are only applied to a small number of good candidates.

# Classification Techniques Employed in the IBM System Senior

The IBM system uses a combination of **three** separate classification techniques:

- Skin-tone-Based Classification.
  - Cheap but unreliable.
- The Fisher Linear Discriminant. (next lecture).
  - More expensive, but more reliable.
- **Distance From Face Space** (next lecture).
  - Computationally expensive.

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### Skin-Tone-Based Classification

1. Mark pixels whose colour is similar to skin-tone.



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### Next Lecture: Face Detection (Part 2)

### **Objectives**

To examine more sophisticated face detection techniques.

# **Topics**

- Linear Discriminant Analysis Fisherfaces
- Principal Component Analysis Eigenfaces

### Reading

- Eigenfaces for recognition, Turk and Pentland, 1991
- Eigenfaces vs. Fisherfaces: Recognition using class specific linear projection,
   Belhumeur, Hespanha and Kriegman, 1997

### Summary

- Face detection is challenging due to the large degree of variability in the appearance of faces.
- The general approach is to break the scene into a large number of sub-images and classify each sub-image as face or non-face.
- Neural-networks can perform very well but are computationally expensive.
- Face tracking can be employed to focus the search space.
- Efficient systems can be built by cascading a variety of increasingly sophisticated classification techniques.
- Skin-tone-based classification can be used to cheaply reject sub-images that do not have a high proportion of skin coloured pixels.

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### References

- Duda and Hart (1973) Pattern classification and scene analysis, John Wiley and Sons, New York.
- Rowley, Baluja and Kanade, (1996) Neural network-based face detection, In IEEE Transactions on Pattern Analysis and Machine Intelligence, San Francisco, CA, pp. 203-207.
- Samaria and Harter (1994) Parameterisation of a Stochastic Model for Human Face Identification, In *Proceedings of 2nd IEEE Workshop on Applications of Computer Vision*, Sarasota FL.
- A.W.Senior (1999) Face and feature finding for a face recognition system, In Proc. Second International Conference on Audio- and Video-based Biometric Person Authentication, 154–159, Washington, 1999.
- CMU Face detection demo: http://www.vasc.ri.cmu.edu/cgi-bin/demos/findface.cgi

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